

# 第十三周作业

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## 0 说明

可以将作业中遇到的问题标注在此. 如有, 请补充.

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## 0.1 Exercise 1

Determine whether the following are inner product spaces (简要回答即可).

1.  $V$  is the vector space continuous functions over  $\mathbb{R}$ , and the pairing is

$$(f, g) \mapsto \int_0^1 f(x)g(x) \, dx.$$

2.  $V = \mathbb{R}[x]$ , and the pairing is

$$(f, g) \mapsto \int_{-\infty}^{\infty} f(x)g(x)e^{-x^2} \, dx.$$

3.  $V = \mathbb{R}^{n \times n}$ , and the pairing is

$$(A, B) \mapsto \det(A^T \cdot B).$$

**解答** 1. 是

2. 是,  $e^{-x^2}$  保证了收敛性

3. 不是, 没有双线性

## 0.2 Exercise 2

Show that every real matrix is similar to a block diagonal matrix, wherein the candidates of the blocks are

1. the Jordan form of a real eigenvalue;
2. the block of the form

$$\begin{bmatrix} H_{r,\theta} & Q & & & \\ & H_{r,\theta} & Q & & \\ & & \ddots & \ddots & \\ & & & H_{r,\theta} & Q \\ & & & & H_{r,\theta} \end{bmatrix},$$

where

1.  $H_{r,\theta} = \begin{bmatrix} r \cos \theta & -r \sin \theta \\ r \sin \theta & r \cos \theta \end{bmatrix}$ , and
2.  $Q = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$ .

**解答** 对实矩阵进行 Jordan 分解, 其特征值为实数的保持不变

对于复数特征值  $\lambda = re^{i\theta}$ , 可以把复数写成矩阵形式

## 0.3 Exercise 2.5

Show that every real matrix is a product of two real symmetric matrices.

**解答**  $A = P^{-1}JP$ , 然后把每个块  $J_i$  乘次对角线全为 1 的矩阵得到  $J'_i$ , 那么  $A = P^{-1}J'(P^{-1})^T \cdot P^T I' P$

## 0.4 Exercise 3

Let  $(V, (-, -))$  be a finite dimensional real inner product space. For unit vector  $v_0$  (i.e.,  $(v_0, v_0) = 1$ ), we define the reflection

$$\varphi : V \rightarrow V, \quad x \mapsto x - 2(x, v_0) \cdot v_0.$$

Show that for isometric transform  $\psi$  (i.e.,  $(\psi(u), \psi(v)) = (u, v)$ ), 1 is an eigenvalue for  $\psi$  or  $\psi \circ \varphi$ . Overall,  $1 \in \sigma(\psi) \cup \sigma(\psi \circ \varphi)$ .

**解答** 假设 1 不是  $\psi$  的特征值, 令  $(\psi \circ \phi)(x) = x$ , 即寻找非 0 的  $x$  使得  $(\psi - I)(x) = 2(x, v_0)\psi(v_0)$ , 即  $x = 2(x, v_0)(\psi - I)^{-1}\psi(v_0)$ ,  $\Rightarrow (x, v_0) = 2(x, v_0)((\psi - I)^{-1}\psi(v_0), v_0)$  所以  $(\psi - I)^{-1}\psi(v_0)$  非 0,  $x$  非 0

## 0.5 Exercise 4

Set  $V := \mathbb{R}[x]$  and  $V_0 := \{f \in \mathbb{R}[x] \mid f(0) = f(1)\}$ .

1. Prove that  $V \times V \rightarrow \mathbb{R}$ ,  $(f, g) \mapsto \int_0^1 f(x)g(x) dx$  is an inner product.
2. Set  $\mathcal{D} : V_0 \rightarrow V$ ,  $f(x) \mapsto f'(x)$ . Find  $\dim \ker(\mathcal{D})$  and  $\dim \operatorname{coker}(\mathcal{D})$ .
3. Define the inner product restricted on the subspace

$$(\cdot, \cdot)_0 : V_0 \times V_0 \rightarrow \mathbb{R}, \quad (f, g) \mapsto \int_0^1 f(x)g(x) dx.$$

Is there any linear map  $\mathcal{D}^* : V \rightarrow V_0$  such that for any  $h \in V_0$  and  $g \in V$ ,

$$(\mathcal{D}^*g, h)_0 = (g, \mathcal{D}h)?$$

**解答** 1. 双线性, 对称, 正定

2.  $\dim \ker(\mathcal{D}) = 1$ ,  $\dim \operatorname{coker}(\mathcal{D}) = 1$
3.  $\mathcal{D}^* = -\mathcal{D}$

## 0.6 Exercise 5

Let  $(V, (\cdot, \cdot))$  be an inner product space, where  $V$  is not necessary finite dimensional.

- Say  $\varphi^*$  is the adjoint of  $\varphi \in \operatorname{Hom}_{\mathbb{R}}(V, V)$ , provided  $(\varphi^*(x), y) = (x, \varphi(y))$  for arbitrary  $x, y \in V$ .
- Let  $U$  be a subspace of  $V$ . Set

$$U^\perp := \{v \in V \mid (u, v) = 0, \forall u \in U\} = \bigcap_{u \in U} \ker((u, -))$$

Now we assume that  $\varphi$  is invertible, and  $\varphi^*$  exists.

1. Show that  $\varphi^*$  is injective, and  $(\operatorname{im}(\varphi^*))^\perp = 0$ .
2. Show that  $\varphi^*$  is surjective  $\implies (\varphi^{-1})^*$  exists and  $(\varphi^{-1})^* = (\varphi^*)^{-1}$ .
3. Show that  $(\varphi^{-1})^*$  exists  $\implies \varphi^*$  is invertible and  $(\varphi^{-1})^* = (\varphi^*)^{-1}$ .
4. Let  $V = \mathbb{R}[x]$  and  $(f, g) := \sum_{n \geq 0} f_n g_n$ , where  $h = \sum h_i \cdot x^i$ . Show that
  1.  $(V, (\cdot, \cdot))$  is an inner product space;
  2.  $L : f \mapsto \frac{f-f(0)}{x}$  is the linear map of moving left. Show that  $(\operatorname{id} + L)$  is invertible;
  3. Show that  $\varphi := (\operatorname{id} + L)^{-1}$  has no adjoint  $\varphi^*$ .

**解答** 1. 令  $\phi^*(x) = 0$ , 那么  $(\phi^*(x), y) = (x, \phi(y)) = 0$  对任意的  $y$  都成立, 所以  $x = 0$

令  $y \in (\operatorname{im}(\phi^*))^\perp$ , 那么对任意  $x$ ,  $(x, \phi(y)) = 0$ ,  $\phi(y) = 0$ ,  $y = 0$ .

2.  $\phi^*$  bij,  $\exists z, \phi(z) = x$ ,  $(\phi^*((\phi^{-1})^*(x)), y) = ((\phi^{-1})^*(x), \phi(y)) = (x, y)$ , 因此  $(\varphi^{-1})^* = (\varphi^*)^{-1}$
3.  $(\phi^*((\phi^{-1})^*(x)), y) = ((\phi^{-1})^*(x), \phi(y)) = (x, y)$ , 因此  $(\varphi^{-1})^* = (\varphi^*)^{-1}$

4.1. 双线性, 对称, 正定

4.2.  $(id + L)(f) = \sum_{i=0}^{n-1} (f_i + f_{i+1})x^i + f_n x^n$ , 显然可逆.

4.3.  $(id + L)^{-1}(f) = \sum_{i=0}^{n-1} (\sum_{j=i}^n (-1)^{j-i} f_j) x^i + f_n x^n$

假设  $\phi = (id + L)^{-1}$  存在  $\phi^*$ , 那么取  $f = 1$ ,  $(\phi^*(1), g) = (1, \phi(g)) = g_0 - g_1 + g_2 - \cdots$ , 取不同的  $g$ , 得到的  $\phi^*(1)$  不同, 矛盾.