

Introduction to cryptography

3. Hashing

Gilles VAN ASSCHE
Christophe PETIT

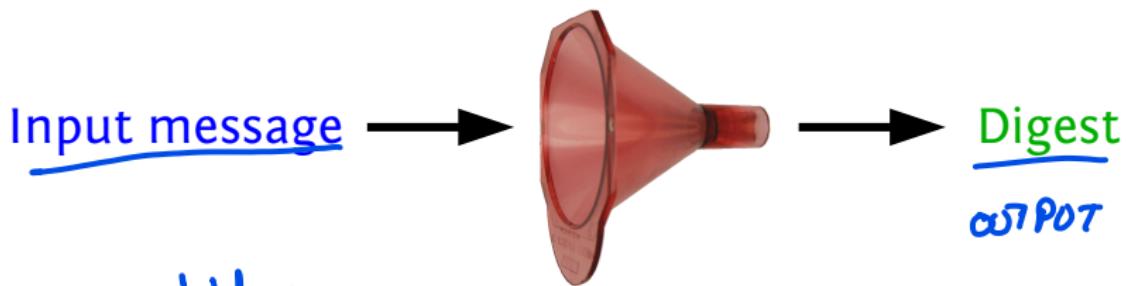
INFO-F-405
Université Libre de Bruxelles
2023-2024

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Cryptographic hash functions

Dashing = no keys

$$h : \{0, 1\}^* \rightarrow \{0, 1\}^n$$



- Applications
 - Signatures: $\text{sign}_{\text{RSA}}(h(M))$ instead of $\text{sign}_{\text{RSA}}(M)$
 - Key derivation: master key K to derived keys ($K_i = h(K||i)$)
 - Bit commitment, predictions: $h(\text{what I know})$
 - Message authentication: $h(K||M)$
 - ...
- multiple input can give the same output. Really hard to invert

Cryptographic hash functions

$$h : \{0, 1\}^* \rightarrow \{0, 1\}^n$$

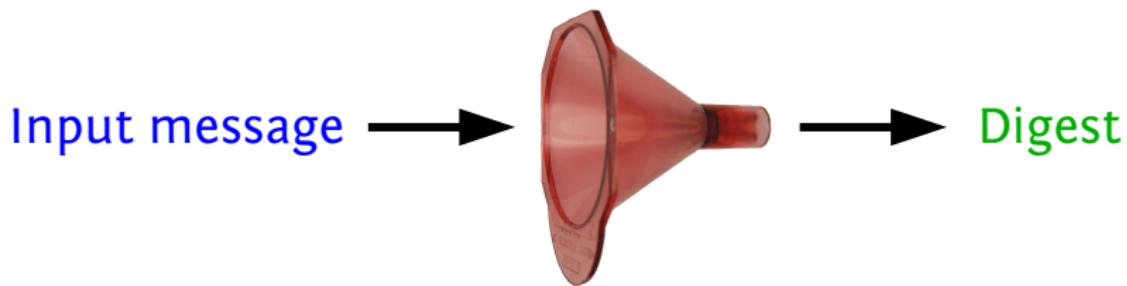


■ Applications

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Cryptographic hash functions

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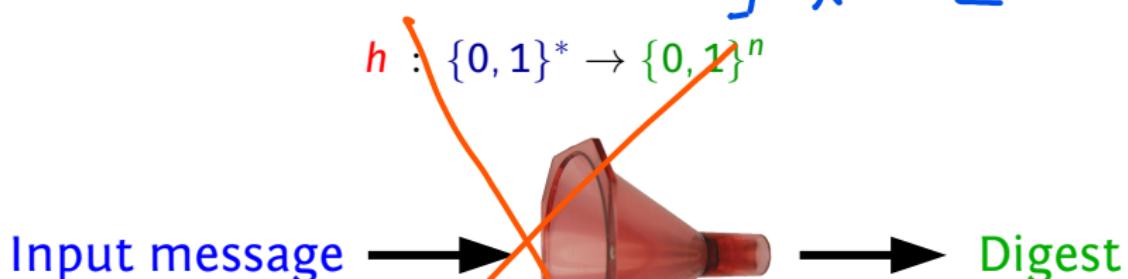
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* on a tjs le m̄ digest

Le dir qu'on vote pour opp et garder le fichier original pour prouver en re. locat qui

Cryptographic hash functions

Ideas model
→ random bits
← message



■ Applications

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- .

XOF

Generalized: extendable output function (XOF)

$$h : \{0, 1\}^* \rightarrow \{0, 1\}^\infty \neq m$$

“XOF: a function in which the output can be extended to any length.”

[Ray Perlner, SHA 3 workshop 2014]

■ Applications

- *Signatures*: full-domain hashing, mask generating function
- *Key derivation*: as many/long derived keys as needed
- *Stream cipher*: $C = P \oplus h(K \parallel \text{nonce})$

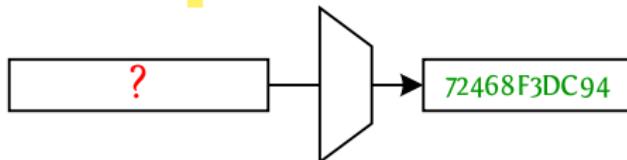
hash function: deterministic function \rightarrow same input \rightarrow same result
 \hookrightarrow change a bit the input \rightarrow totally different result

Think of hash function as RANDOM function.

Preimage resistance

WARNING: Preimage resistance
second image resistance
collision resistance } 3 main requirements

- Given $y \in \mathbb{Z}_2^n$, find $x \in \mathbb{Z}_2^*$ such that $h(x) = y$

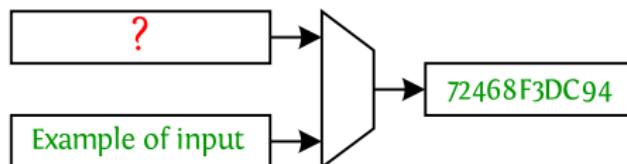


- If h is a random function, about 2^n attempts are needed
- Example:** given derived key $K_1 = h(K\|1)$, find master key K
 $\rightarrow 1 \text{ dance} / 2^n \text{ dances to guess the correct input}$

Second preimage resistance

Find another input that gives the same digest as another input that we have!

- Given $x \in \mathbb{Z}_2^*$, find $x' \neq x$ such that $h(x') = h(x)$



- If h is a random function, about 2^n attempts are needed
- Example:** signature forging

- Given M and $\text{sign}(h(M))$, find $M' \neq M$ with equal signature

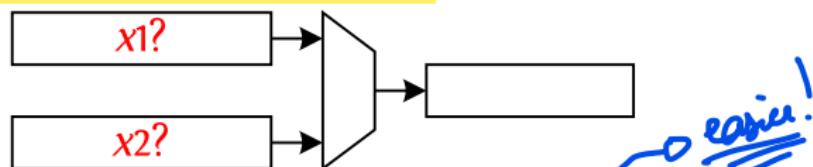
↳ if we have one message + signature ($\lambda(n)$)

Hope to get the same signature with another message

Collision resistance

Find $2^{\frac{n}{2}}$ inputs that gives the same output

- Find $x_1 \neq x_2$ such that $h(x_1) = h(x_2)$

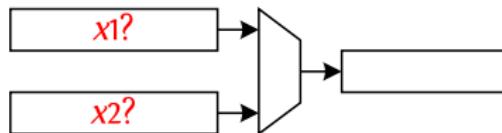


- If h is a random function, about $2^{n/2}$ attempts are needed

- Birthday paradox:** among 23 people, probably two have same birthday
- Scales as $\sqrt{|\text{range}|} = 2^{n/2}$

see 246 day²

Collision resistance (continued)



■ Example: “secretary” signature forging

- Set of good messages $\{M_i^{\text{good}}\}$
- Set of bad messages $\{M_i^{\text{bad}}\}$
- Find $h(M_i^{\text{good}}) = h(M_j^{\text{bad}})$
- Boss signs M_i^{good} , but valid also for M_j^{bad}

[Yuval, 1979]

Other requirements

- 
- Security claims by listing desired properties
 - Collision resistant
 - (Second-) preimage resistant
 - Multi-target preimage resistance
 - Chosen-target forced-prefix preimage resistance
 - Correlation-free
 - Resistant against length-extension attacks
 - ...
 - But ever-growing list of desired properties
 - A good hash function should behave like a random mapping...

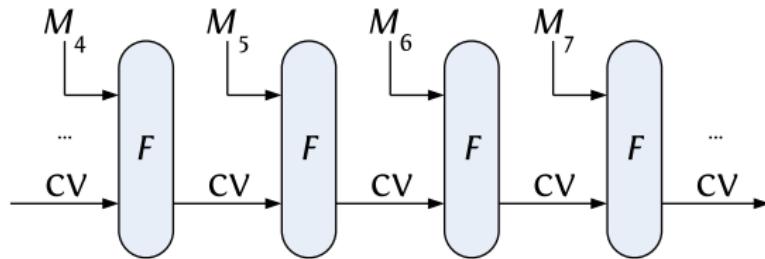
Security requirements summarized

- Hash or XOF h with n -bit output
- Modern security requirements
 - h behaves like a random mapping
 - ... up to security strength s
- Classical security requirements, derived from it



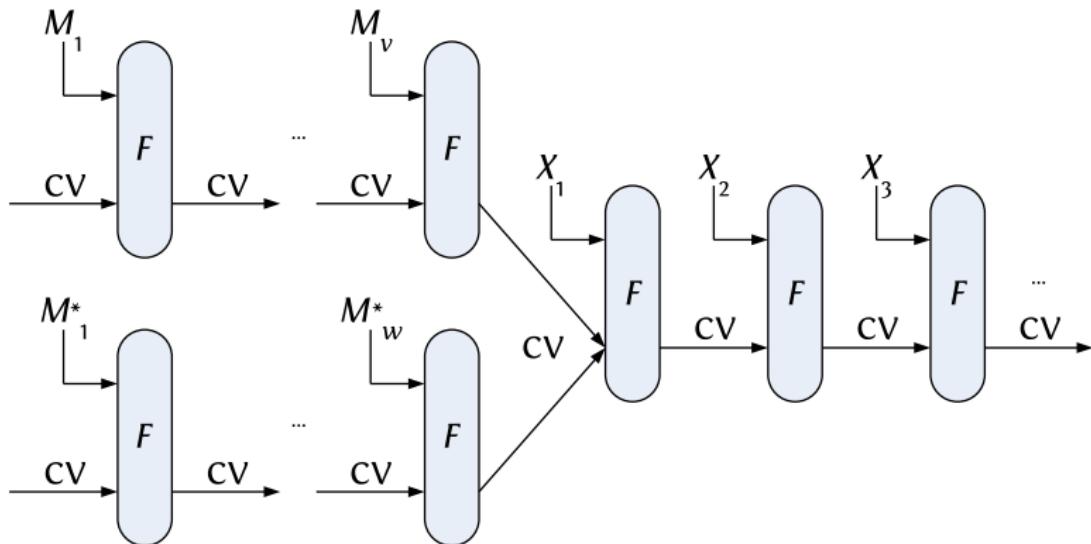
Preimage resistance	$2^{\min(n,s)}$
Second-preimage resistance	$2^{\min(n,s)}$
Collision resistance	$2^{\min(n/2,s)}$

Iterated functions



- All practical hash functions are iterated
 - Message M cut into blocks M_1, \dots, M_l
 - q -bit chaining value
- Output is function of final chaining value

Internal collisions!



- Different inputs M and M^* giving the same chaining value
- Messages $M||X$ and $M^*||X$ always collide for any string X

Does not occur in a random mapping!

Examples of hash functions

- MD5: $n = 128$
 - Published by Ron Rivest in 1992
 - Successor of MD4 (1990) *MD4 = Test ~MD5 is used!*
- SHA-1: $n = 160$
 - Designed by NSA, standardized by NIST in 1995
 - Successor of SHA-0 (1993)
- SHA-2: family supporting multiple lengths
 - Designed by NSA, standardized by NIST in 2001
 - SHA-224, SHA-256, SHA-384 and SHA-512
- SHA-3: based on KECCAK
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- Other SHA-3 finalists
 - Blake (Aumasson et al.), Grøstl (Gauravaram et al.), JH (Wu), Skein (Ferguson et al.)

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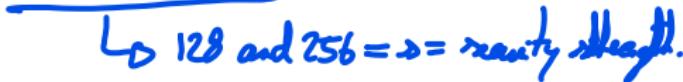
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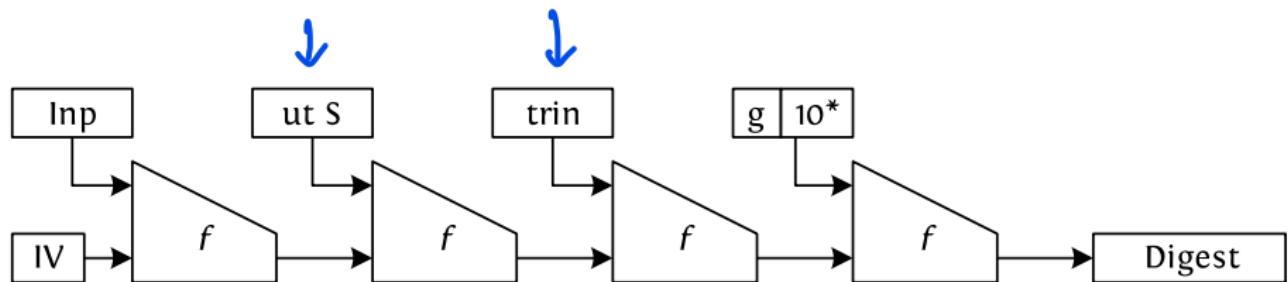
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Attacks on MD5, SHA-0 and SHA-1



- 2004: SHA-0 broken (Joux et al.)
- 2004: MD5 broken (Wang et al.)
- 2005: practical attack on MD5
(Lenstra et al., and Klima)
- 2005: SHA-1 theoretically broken
(Wang et al.)
- 2006: SHA-1 broken further
(De Cannière and Rechberger)
- 2016: freestart collision on SHA-1
(Stevens, Karpman and Peyrin)
- 2017: actual collision on SHA-1
(Stevens, Bursztein, Karpman, Albertini and Markov)

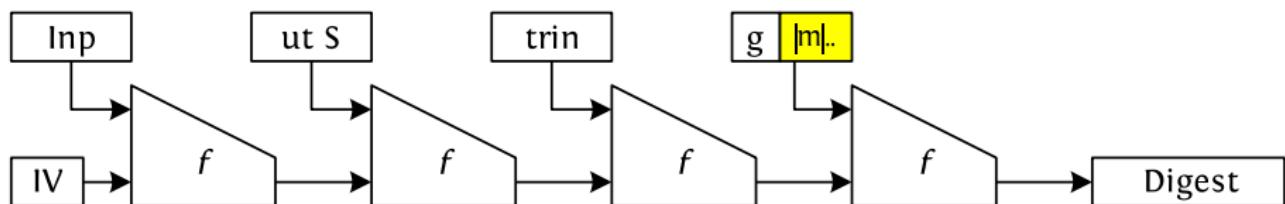
Merkle-Damgård



- Uses a **compression function** from $n + m$ bits to n bits
- Instances: MD5, SHA-1, SHA-2 ...
- Merkle-Damgård strengthening

[Merkle, CRYPTO'89], [Damgård, CRYPTO'89]

Merkle-Damgård

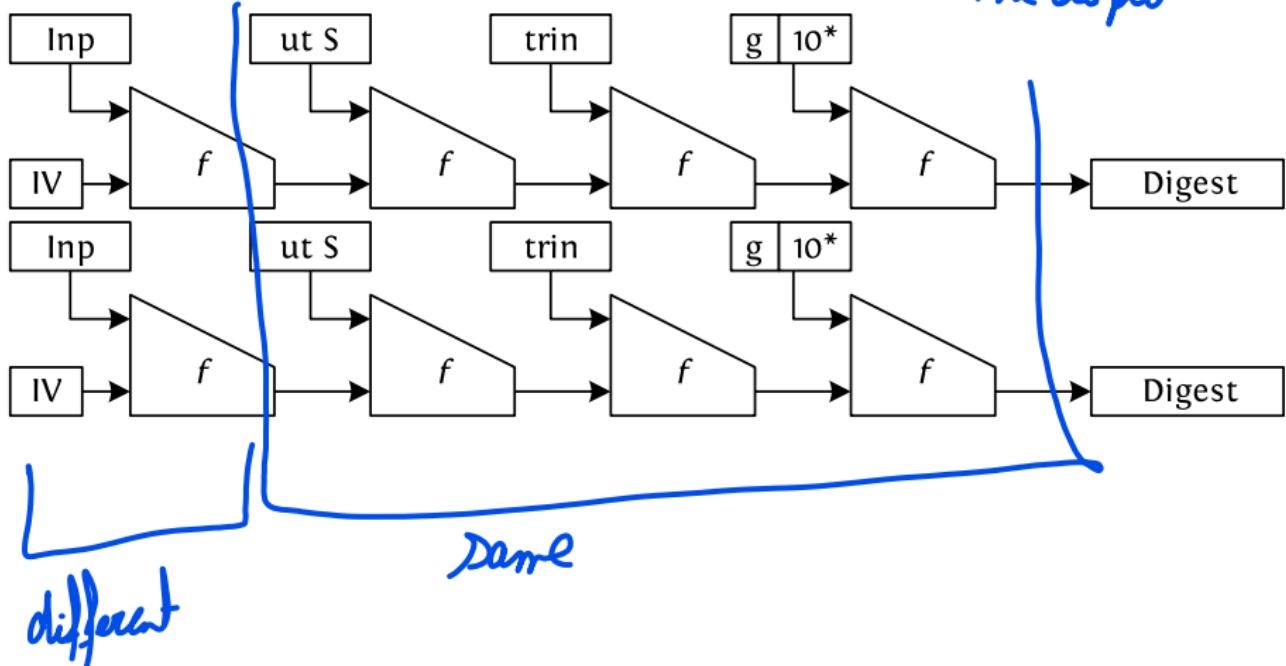


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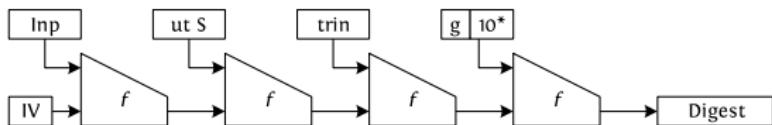
[Merkle, CRYPTO'89], [Damgård, CRYPTO'89]

Merkle-Damgård: preserving collision resistance

assume that all ut-S and trin are the same \rightarrow no collision at the output



Merkle-Damgård: length extension



Recurrence (modulo the padding):

- $h(M_1) = f(\text{IV}, M_1) = \text{CV}_1$
- $h(M_1 \parallel \dots \parallel M_i) = f(\text{CV}_{i-1}, M_i) = \text{CV}_i$

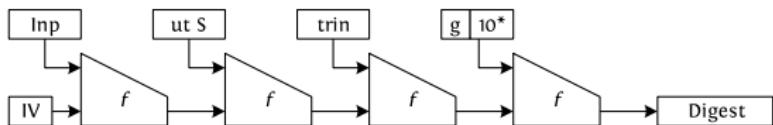
Forgery on naïve message authentication code (MAC):

- $\text{MAC}(M) = h(\text{Key} \parallel M) = \text{CV}$
- $\text{MAC}(M \parallel \text{suffix}) = f(\text{CV} \parallel \text{suffix})$

Solution: **HMAC**

$$\text{HMAC}(M) = h(\text{Key}_{\text{out}} \parallel h(\text{Key}_{\text{in}} \parallel M))$$

Merkle-Damgård: length extension



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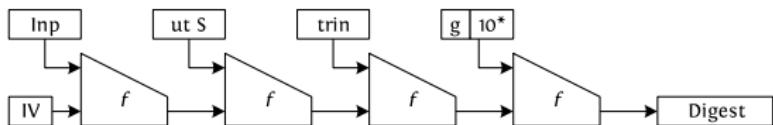
- $\text{MAC}(M) = h(\text{Key} \parallel M) = \text{CV}$
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*Leaking the key and the message
is not good*

Solution: **HMAC**

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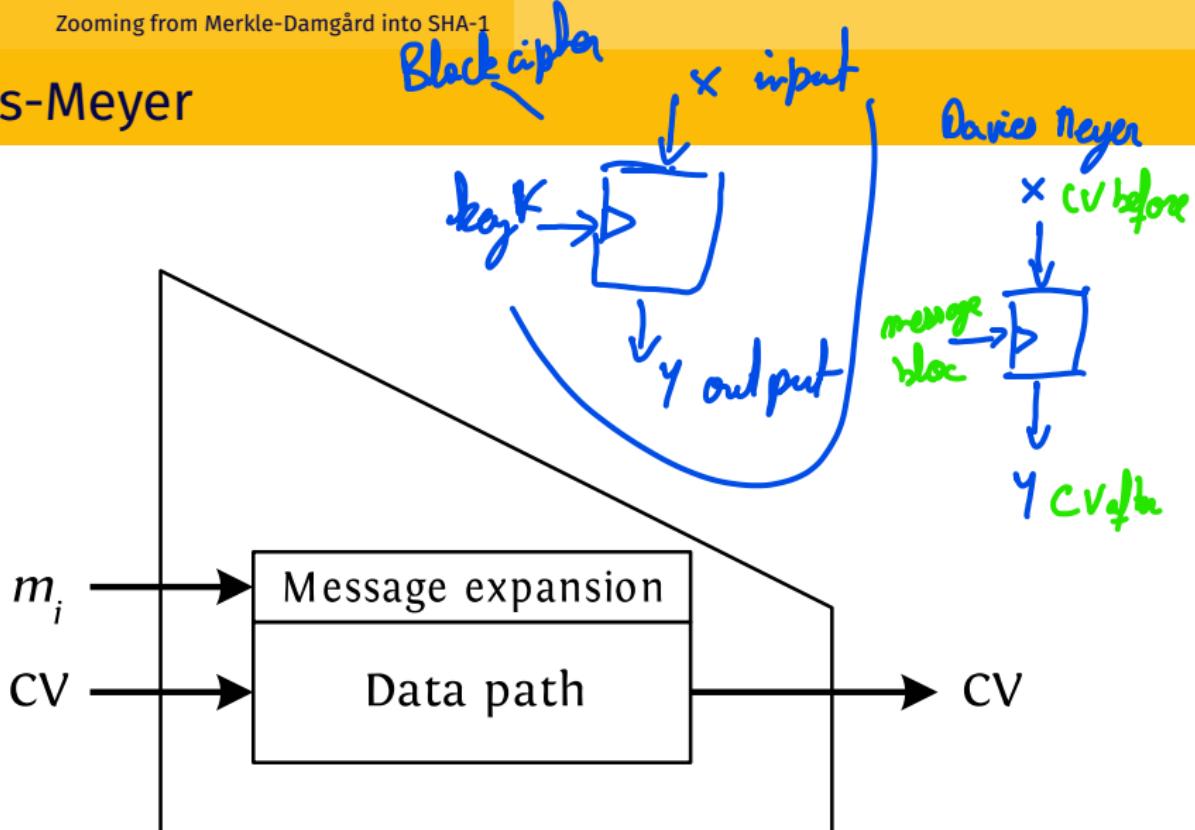
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$$\text{HMAC}(M) = h(\text{Key}_{\text{out}} \parallel \overset{\text{inner MAC}}{h(\text{Key}_{\text{in}} \parallel M)})$$

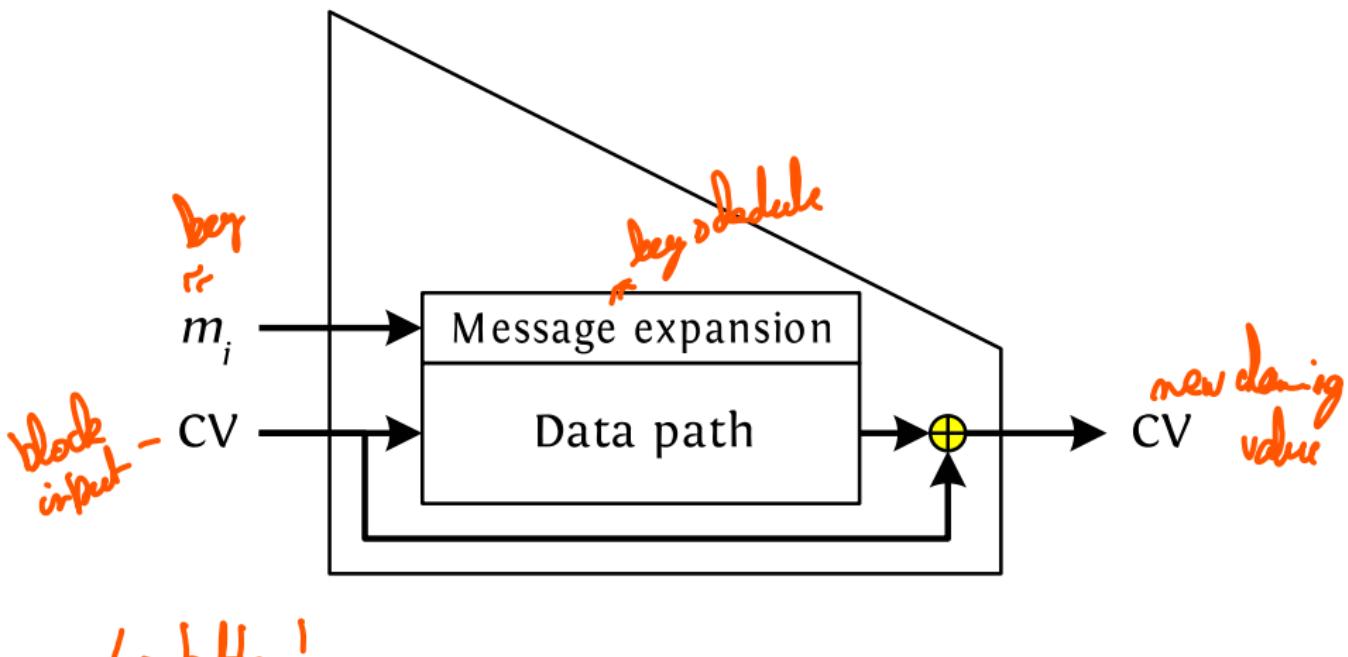
Davies-Meyer



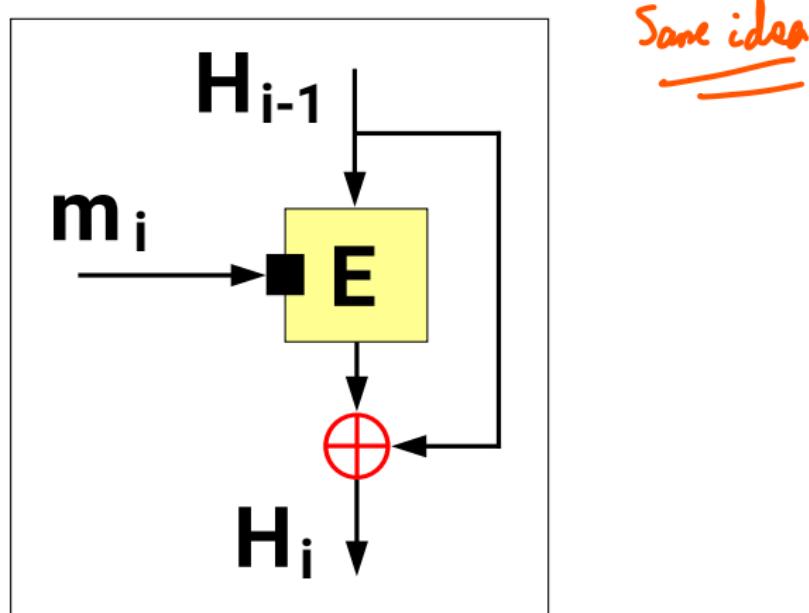
Problem : it is not one way !

Davies-Meyer

→ compression function



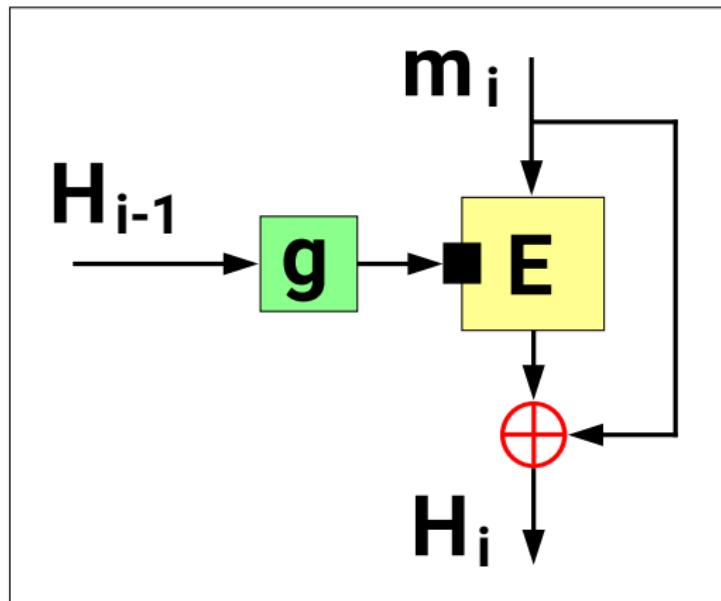
Other constructions using block ciphers



Davies-Meyer

[Matyas et al., IBM Tech. D. B., 1985], [Quisquater et al., Eurocrypt'89]

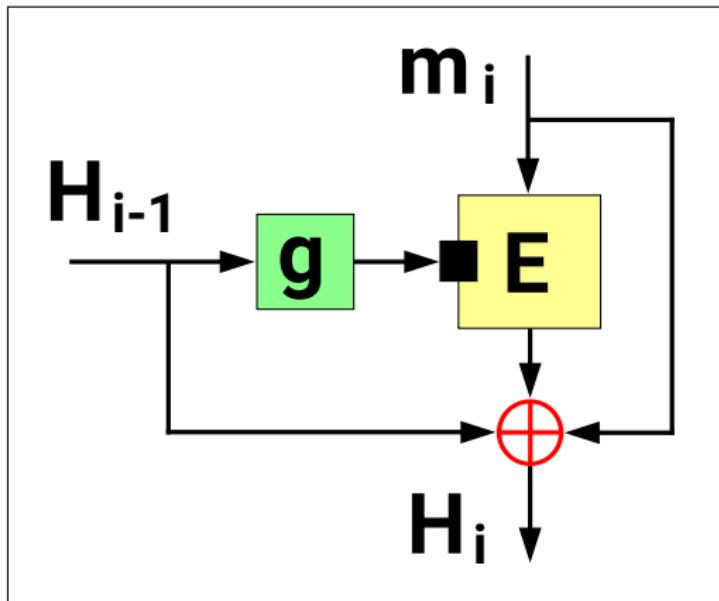
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Matyas-Meyer-Oseas

[Matyas et al., IBM Tech. D. B., 1985]

Other constructions using block ciphers



Miyaguchi-Preneel

[Miyaguchi et al., NTT Rev., 1990], [Preneel, PhD th., 1993]

Inside SHA-1

- Uses Davies-Meyer with
 - data path $n = 160 = 5 \times 32$
 - message expansion $m = 512 = 16 \times 32$ *→ 16 words of 32 bits*
- State initialized with $(A, B, C, D, E) = (67452301, \text{EFCDAB89}, 98BADCFE, 10325476, \text{C3D2E1F0})$
- Message block (w_0, \dots, w_{15}) expanded as
$$w_t = (w_{t-3} \oplus w_{t-8} \oplus w_{t-14} \oplus w_{t-16}) \lll 1 \quad (16 \leq t \leq 79)$$
- Data path with 80 steps...

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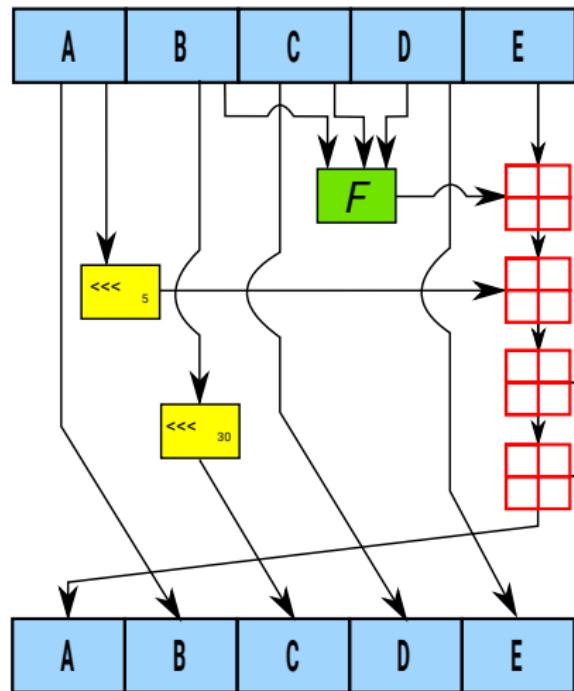
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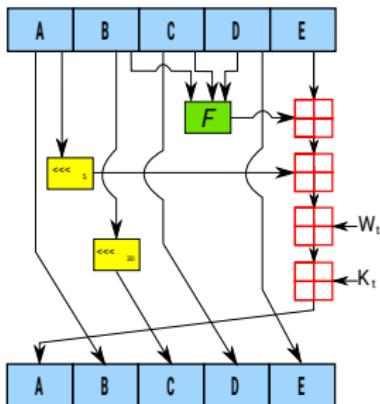
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- Message block (w_0, \dots, w_{15}) expanded as expand 16 words to 80 words $w_t = (w_{t-3} \oplus w_{t-8} \oplus w_{t-14} \oplus w_{t-16}) \lll 1 \quad (16 \leq t \leq 79)$
- Data path with 80 steps... or a LINEAR expansion

Inside SHA-1: data path

*F = bits in function**This is done 80 times**add a lot BCD**no message block
no constant**≈ Feistel Network! (shift of the function and A replaced by output)*

Inside SHA-1: data path details

$$t = \#\text{t_wan}$$



details for F

\rightarrow selection function

$0 \leq t \leq 19$	$f(B, C, D) = (B \odot C) \oplus (\bar{B} \odot D)$	$K_t = 5A827999$
$20 \leq t \leq 39$	$f(B, C, D) = B \oplus C \oplus D$	$K_t = 6ED9EBA1$
$40 \leq t \leq 59$	$f(B, C, D) = (B \odot C) \oplus (B \odot D) \oplus (C \odot D)$	$K_t = 8F1BBCDC$
$60 \leq t \leq 79$	$f(B, C, D) = B \oplus C \oplus D$	$K_t = CA62C1D6$

\rightarrow XOR \rightarrow majority function

Collision in SHA-1

SHA-1 broken (2005), updated to increase complexity
→ broke again (collision seen in 2017) → several math on a lot of gr

- February 23, 2017: first collision on SHA-1 published
- Estimated complexity: $2^{63} \ll 2^{80}$

[Stevens, Bursztein, Karpman, Albertini and Markov]

Collision in SHA-1

~~Block(1) = Block(2)~~

$$\text{SHA-1}(P \| M_1^{(1)} \| M_2^{(1)} \| S) = \text{SHA-1}(P \| M_1^{(2)} \| M_2^{(2)} \| S)$$



1

CV_0	4e a9 62 69 7c 87 6e 26 74 d1 07 f0 fe c6 79 84 14 f5 bf 45								
$M_1^{(1)}$	<table> <tr><td><u>7f</u></td><td>46 dc <u>93</u> <u>a6</u> b6 7e <u>01</u> <u>3b</u> 02 9a <u>aa</u> <u>1d</u> b2 56 <u>0b</u></td></tr> <tr><td><u>45</u></td><td>ca 67 <u>d6</u> <u>88</u> c7 f8 <u>4b</u> <u>8c</u> 4c 79 <u>1f</u> <u>e0</u> 2b 3d <u>f6</u></td></tr> <tr><td><u>14</u></td><td>f8 6d <u>b1</u> <u>69</u> 09 01 <u>c5</u> <u>6b</u> 45 c1 <u>53</u> <u>0a</u> fe df <u>b7</u></td></tr> <tr><td><u>60</u></td><td>38 e9 <u>72</u> <u>72</u> 2f e7 <u>ad</u> 72 8f 0e <u>49</u> <u>04</u> e0 46 <u>c2</u></td></tr> </table>	<u>7f</u>	46 dc <u>93</u> <u>a6</u> b6 7e <u>01</u> <u>3b</u> 02 9a <u>aa</u> <u>1d</u> b2 56 <u>0b</u>	<u>45</u>	ca 67 <u>d6</u> <u>88</u> c7 f8 <u>4b</u> <u>8c</u> 4c 79 <u>1f</u> <u>e0</u> 2b 3d <u>f6</u>	<u>14</u>	f8 6d <u>b1</u> <u>69</u> 09 01 <u>c5</u> <u>6b</u> 45 c1 <u>53</u> <u>0a</u> fe df <u>b7</u>	<u>60</u>	38 e9 <u>72</u> <u>72</u> 2f e7 <u>ad</u> 72 8f 0e <u>49</u> <u>04</u> e0 46 <u>c2</u>
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$CV_1^{(1)}$	8d 64 <u>d6</u> <u>17</u> ff ed <u>53</u> <u>52</u> eb c8 59 15 5e c7 eb <u>34</u> <u>f3</u> 8a 5a 7b								
$M_2^{(1)}$	<table> <tr><td><u>30</u></td><td>57 0f <u>e9</u> <u>d4</u> 13 98 <u>ab</u> <u>e1</u> 2e f5 <u>bc</u> <u>94</u> 2b e3 <u>35</u></td></tr> <tr><td><u>42</u></td><td>a4 80 <u>2d</u> <u>98</u> b5 d7 <u>0f</u> <u>2a</u> 33 2e <u>c3</u> <u>7f</u> ac 35 <u>14</u></td></tr> <tr><td><u>e7</u></td><td>4d dc <u>0f</u> <u>2c</u> c1 a8 <u>74</u> <u>cd</u> 0c 78 <u>30</u> <u>5a</u> 21 56 <u>64</u></td></tr> <tr><td><u>61</u></td><td>30 97 <u>89</u> <u>60</u> 6b d0 <u>bf</u> 3f 98 cd <u>a8</u> <u>04</u> 46 29 <u>a1</u></td></tr> </table>	<u>30</u>	57 0f <u>e9</u> <u>d4</u> 13 98 <u>ab</u> <u>e1</u> 2e f5 <u>bc</u> <u>94</u> 2b e3 <u>35</u>	<u>42</u>	a4 80 <u>2d</u> <u>98</u> b5 d7 <u>0f</u> <u>2a</u> 33 2e <u>c3</u> <u>7f</u> ac 35 <u>14</u>	<u>e7</u>	4d dc <u>0f</u> <u>2c</u> c1 a8 <u>74</u> <u>cd</u> 0c 78 <u>30</u> <u>5a</u> 21 56 <u>64</u>	<u>61</u>	30 97 <u>89</u> <u>60</u> 6b d0 <u>bf</u> 3f 98 cd <u>a8</u> <u>04</u> 46 29 <u>a1</u>
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CV_2	1e ac b2 5e d5 97 0d 10 f1 73 69 63 57 71 bc 3a 17 b4 8a c5								



DONE

2

CV_0	4e a9 62 69 7c 87 6e 26 74 d1 07 f0 fe c6 79 84 14 f5 bf 45								
$M_1^{(2)}$	<table> <tr><td><u>73</u></td><td>46 dc <u>91</u> <u>66</u> b6 7e <u>11</u> <u>8f</u> 02 9a <u>b6</u> <u>21</u> b2 56 <u>0f</u></td></tr> <tr><td><u>f9</u></td><td>ca 67 <u>cc</u> <u>a8</u> c7 f8 <u>5b</u> <u>a8</u> 4c 79 <u>03</u> <u>0c</u> 2b 3d <u>e2</u></td></tr> <tr><td><u>18</u></td><td>f8 6d <u>b3</u> <u>a9</u> 09 01 <u>d5</u> <u>df</u> 45 c1 <u>4f</u> <u>26</u> fe df <u>b3</u></td></tr> <tr><td><u>dc</u></td><td>38 e9 <u>6a</u> <u>c2</u> 2f e7 <u>bd</u> 72 8f 0e <u>45</u> <u>bc</u> e0 46 <u>d2</u></td></tr> </table>	<u>73</u>	46 dc <u>91</u> <u>66</u> b6 7e <u>11</u> <u>8f</u> 02 9a <u>b6</u> <u>21</u> b2 56 <u>0f</u>	<u>f9</u>	ca 67 <u>cc</u> <u>a8</u> c7 f8 <u>5b</u> <u>a8</u> 4c 79 <u>03</u> <u>0c</u> 2b 3d <u>e2</u>	<u>18</u>	f8 6d <u>b3</u> <u>a9</u> 09 01 <u>d5</u> <u>df</u> 45 c1 <u>4f</u> <u>26</u> fe df <u>b3</u>	<u>dc</u>	38 e9 <u>6a</u> <u>c2</u> 2f e7 <u>bd</u> 72 8f 0e <u>45</u> <u>bc</u> e0 46 <u>d2</u>
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From SHA-1 to SHA-2

Changes from SHA-1 to SHA-2:

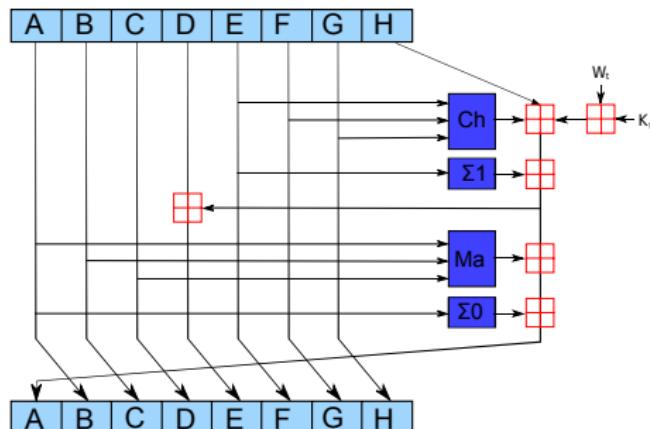
instead of 5

- Two compression functions

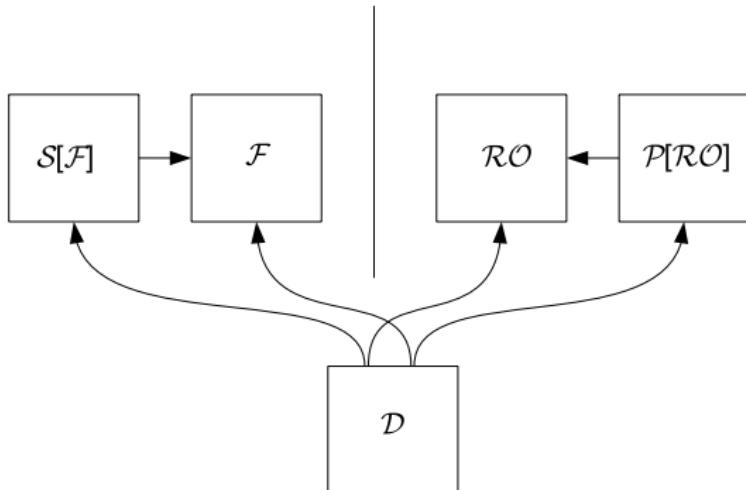
- SHA-{224, 256}: $n = 256 = 8 \times 32$ and $m = 512 = 16 \times 32$
- SHA-{384, 512}: $n = 512 = 8 \times 64$ and $m = 1024 = 16 \times 64$

- Non-linear message expansion *✗ linear in SHA-1*

- Stronger data path mixing



Generic security: indifferentiability [Maurer et al. (2004)]



Applied to hash functions in [Coron et al. (2005)]

- distinguishing mode-of-use from ideal function (\mathcal{RO})
- covers adversary with access to primitive \mathcal{F} at left
- additional interface, covered by a *simulator* at right

Consequences of indifferentiability

\hookrightarrow allows to distinguish the oracle from the OR.

$$\Pr_{\text{OP}}(\text{indifferent property on a given mode/construction}) = \Pr_{\text{H}}(\text{UP1 mode behaves as RO}) + \Pr_{\text{H}}(\text{UP1 7 mode behaves as RO}) \\ \leq \Pr_{\text{H}}(\text{OP is a RO}) + \Pr_{\text{H}}(\text{distinguishable mode than RO}) \\ \Rightarrow 2 \text{ most generic attacks} = \boxed{\epsilon/2^m + \epsilon^2/2^{c+1}} \quad \text{see slide below adv}$$

Theorem 2. Let \mathcal{H} be a hash function, built on underlying primitive π , and RO be a random oracle, where \mathcal{H} and RO have the same domain and range space. Denote by $\text{Adv}_{\mathcal{H}}^{\text{pro}}(q)$ the advantage of distinguishing (\mathcal{H}, π) from (RO, S) , for some simulator S , maximized over all distinguishers \mathcal{D} making at most q queries. Let atk be a security property of \mathcal{H} . Denote by $\text{Adv}_{\mathcal{H}}^{\text{atk}}(q)$ the advantage of breaking \mathcal{H} under atk , maximized over all adversaries \mathcal{A} making at most q queries. Then:

$$\text{Adv}_{\mathcal{H}}^{\text{atk}}(q) \leq \boxed{\Pr_{\text{RO}}^{\text{atk}}(q)} + \boxed{\text{Adv}_{\mathcal{H}}^{\text{pro}}(q)} \quad \frac{\epsilon^2}{2^{c+1}} \quad (1)$$

where $\Pr_{\text{RO}}^{\text{atk}}(q)$ denotes the success probability of a generic attack against \mathcal{H} under atk , after at most q queries.

[Andreeva, Mennink, Preneel, ISC 2010]

Limitations of indifferentiability

- Only about the mode
 - No security proof with a concrete primitive
- Only about single-stage games [Ristenpart et al., Eurocrypt 2011]
 - Example: hash-based storage auditing

$$Z = h(\text{File} \parallel C)$$

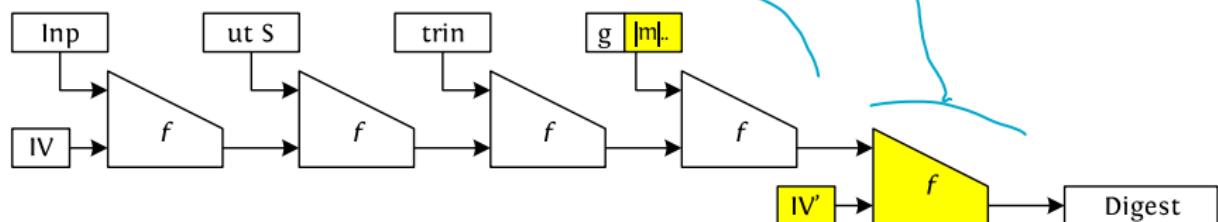
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Making Merkle-Damgård indifferentiable

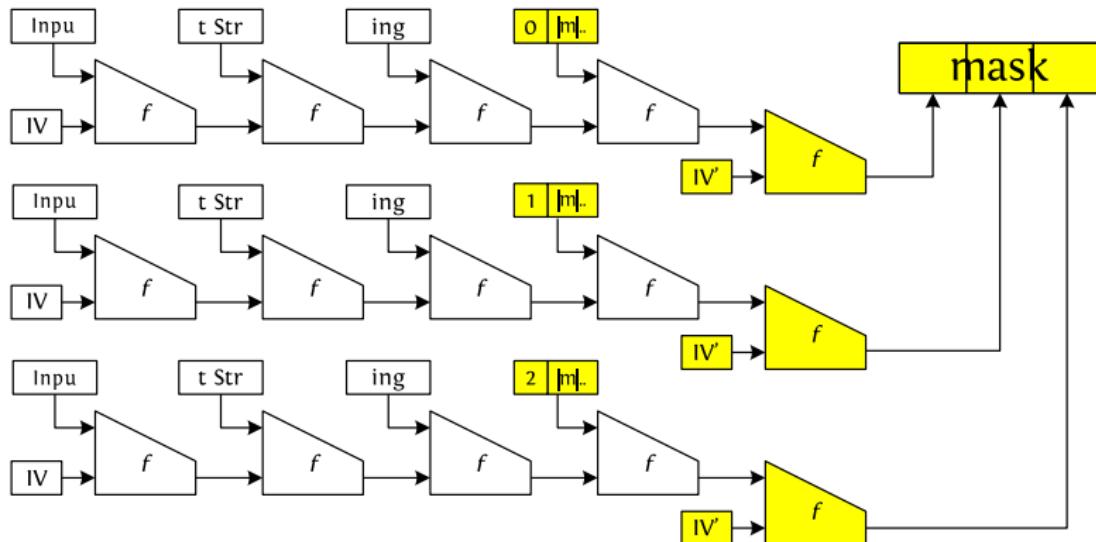
Enveloped Merkle-Damgård *→ hash the input + hashing the hash*



[Bellare and Ristenpart, Asiacrypt 2006]

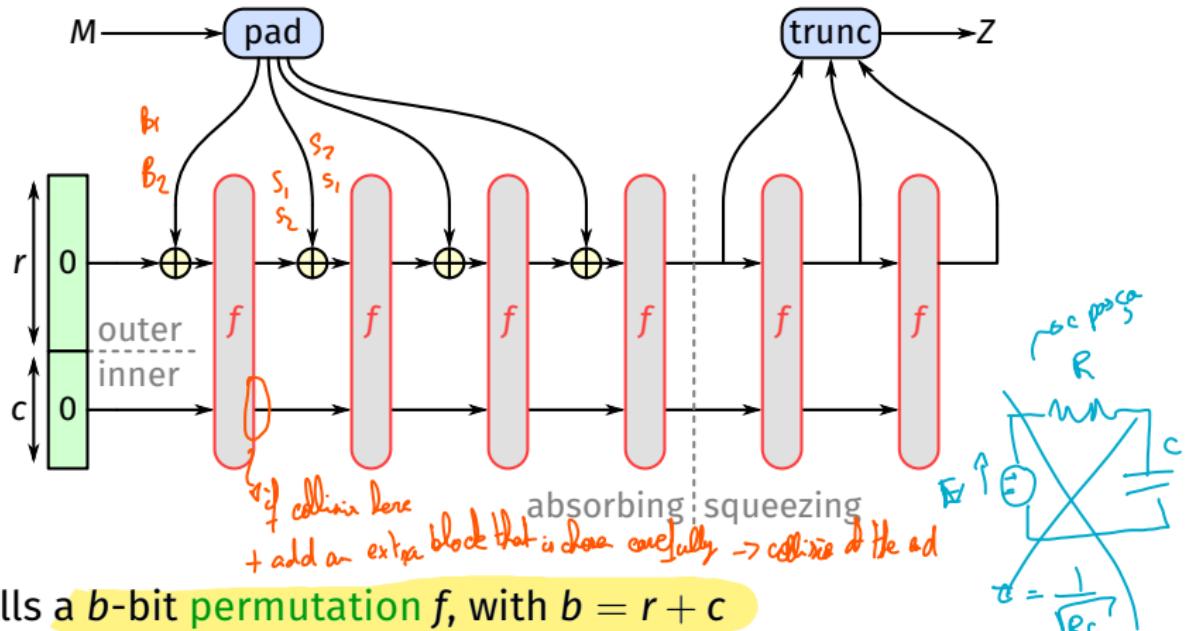
Making Merkle-Damgård suitable for XOFs

Mask generating function construction “MGF1”



The sponge construction

example: designed for Keccak



- Calls a b -bit **permutation** f , with $b = r + c$
 - r bits of **rate** \rightarrow speed \uparrow
 - c bits of **capacity** (security parameter) | user chosen and fixed for the entire process
 - Natively implements a XOF
- PROBLEM:** if an adversary makes a collision in b -bit \Rightarrow output too.

Generic security of the sponge construction

Theorem (Bound on the \mathcal{RO} -differentiating advantage of sponge)

$t = \# \text{ calls to } f$ (time to evaluate)

$$\text{Adv} \leq \frac{t^2}{2^{c+1}}$$

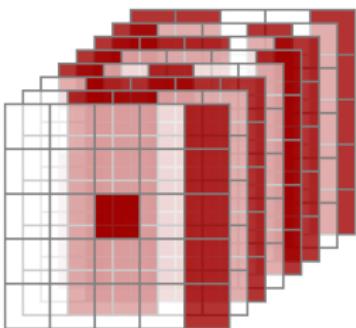
Adv: differentiating advantage of random sponge from random oracle
 t: time complexity (# calls to f) c: capacity [Eurocrypt 2008]

	Preimage resistance	$2^{\min(n,c/2)}$
	Second-preimage resistance	$2^{\min(n,c/2)}$
	Collision resistance	$2^{\min(n/2,c/2)}$
	Any other attack	$2^{\min(\mathcal{RO},c/2)} (*)$

(*) This means the minimum between $2^{c/2}$ and the complexity of the attack on a random oracle.

$\Rightarrow t < 2^{\min(\mathcal{RO},c/2)}$

KECCAK-*f*



- The seven permutation army:
 - 25, 50, 100, 200, 400, 800, 1600 bits
 - toy, lightweight, fastest
 - standardized in [FIPS 202]
- Repetition of a simple round function
 - that operates on a 3D state
 - (5×5) lanes
 - up to 64-bit each

KECCAK-f in pseudo-code

```

KECCAK-F[b](A) {
    forall i in 0...n_r-1
        A = Round[b](A, RC[i])
    return A
}

Round[b](A,RC) {
    θ step
    C[x] = A[x,0] xor A[x,1] xor A[x,2] xor A[x,3] xor A[x,4], forall x in 0..4
    D[x] = C[x-1] xor rot(C[x+1],1),
    A[x,y] = A[x,y] xor D[x],                                     forall (x,y) in (0..4,0..4)

    ρ and π steps
    B[y,2*x+3*y] = rot(A[x,y], r[x,y]),                         forall (x,y) in (0..4,0..4)

    χ step
    A[x,y] = B[x,y] xor ((not B[x+1,y]) and B[x+2,y]),         forall (x,y) in (0..4,0..4)

    λ step
    A[0,0] = A[0,0] xor RC

    return A
}

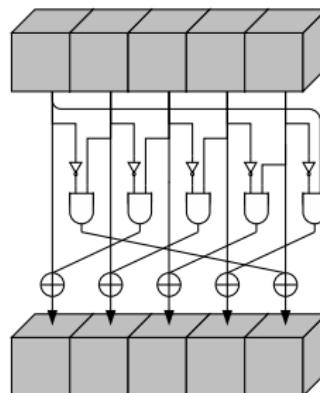
```

https://keccak.team/keccak_specs_summary.html

χ , the nonlinear mapping in KECCAK-f

Take a bit, copy its value but if 01 ...

Invertable



- “Flip bit if neighbors exhibit 01 pattern”
- Operates independently and in parallel on 5-bit rows
- **Cheap**: small number of operations per bit
- Algebraic degree 2, inverse has degree 3

θ , mixing bits

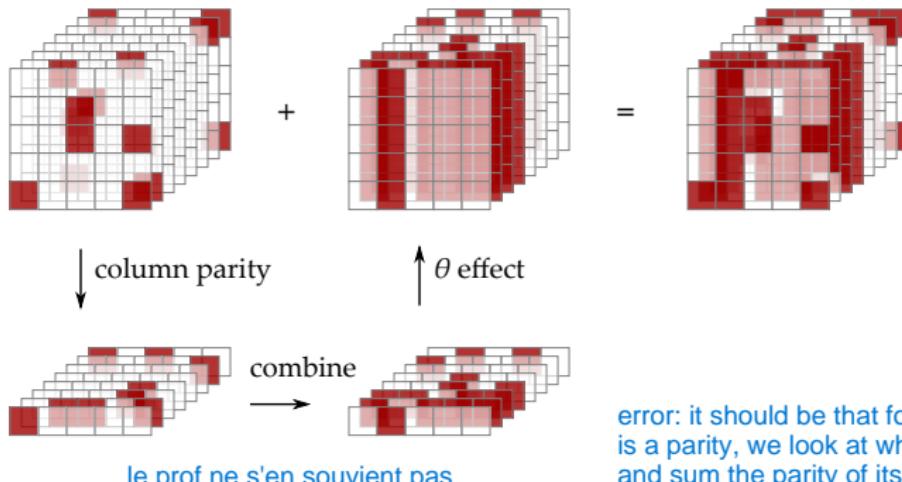
- Compute parity $c_{x,z}$ of each column
- Add to each cell parity of neighboring columns:

$$b_{x,y,z} = a_{x,y,z} \oplus c_{x-1,z} \oplus c_{x+1,z-1}$$

- Cheap: two XORs per bit

look at every column, make the sum of the parity of all the columns

red = 1
white = 0



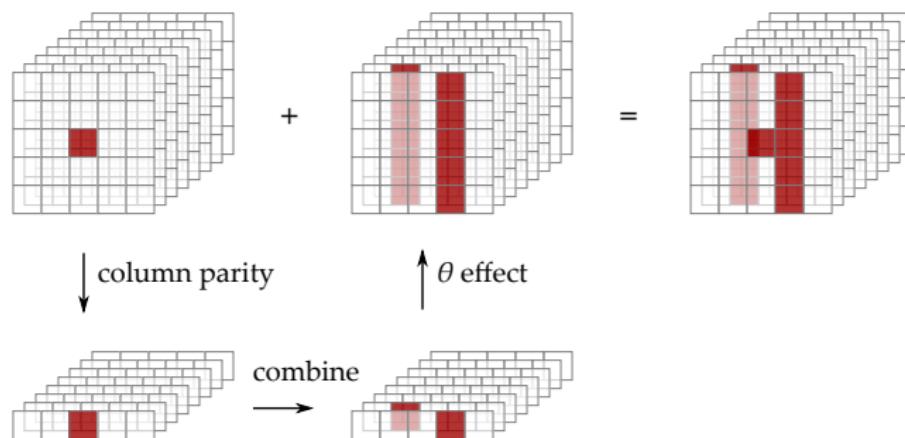
error: it should be that for each bit there is a parity, we look at what is to the left and sum the parity of itself+the leftone

θ , mixing bits

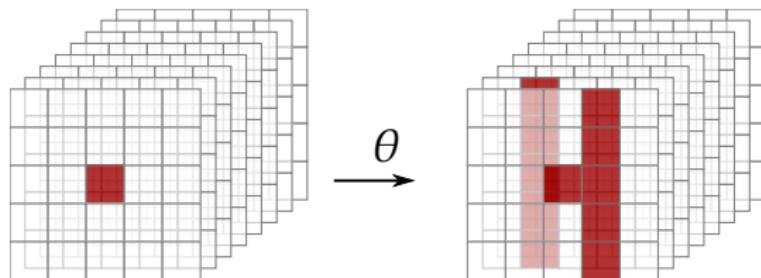
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- Add to each cell parity of neighboring columns:

$$b_{x,y,z} = a_{x,y,z} \oplus c_{x-1,z} \oplus c_{x+1,z-1}$$

- Cheap: two XORs per bit cheap! -> good diffusion



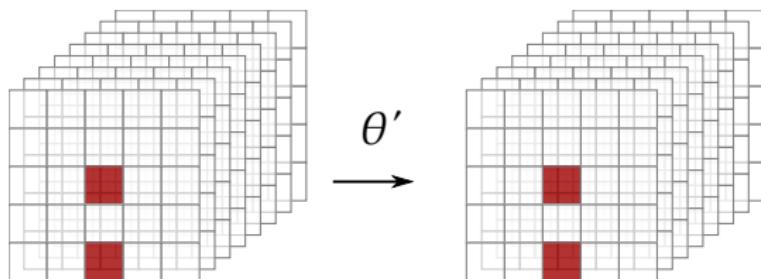
Diffusion of θ



parity = 1

$$1 + \left(1 + y + y^2 + y^3 + y^4\right) \left(x + x^4z\right) \\ \left(\bmod \langle 1 + x^5, 1 + y^5, 1 + z^w \rangle\right)$$

Diffusion of θ (kernel)

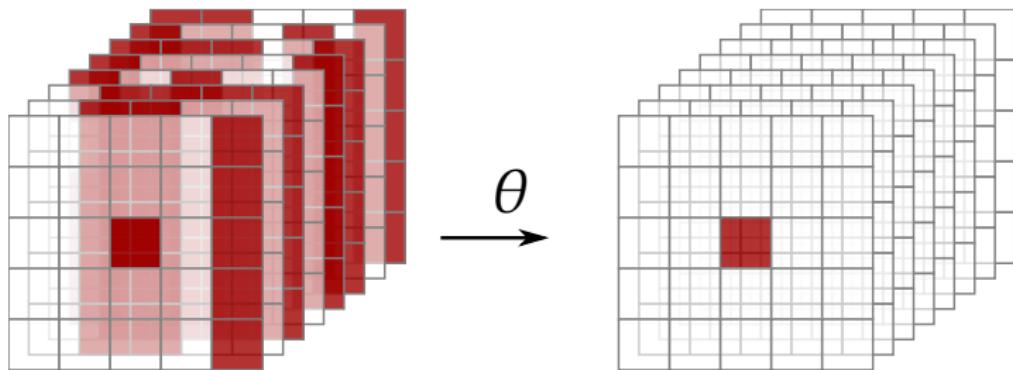


because 2 bits \rightarrow the column on the right will be $1+1=0 \rightarrow$ no influence

Column on the right doesn't change

$$1 + (1 + y + y^2 + y^3 + y^4) (x + x^4 z) \\ (\text{mod } \langle 1 + x^5, 1 + y^5, 1 + z^w \rangle)$$

Diffusion of θ^{-1}



$$1 + \left(1 + y + y^2 + y^3 + y^4\right) \mathbf{Q},$$

$$\text{with } \mathbf{Q} = 1 + (1 + x + x^4 z)^{-1} \bmod \langle 1 + x^5, 1 + z^w \rangle$$

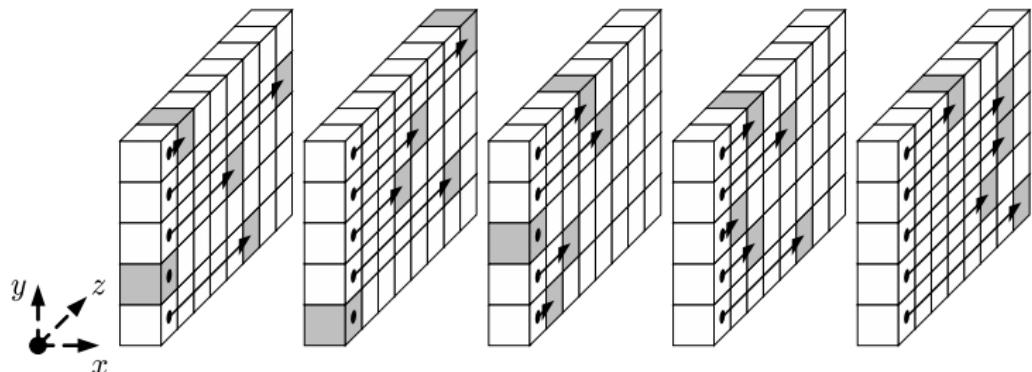
- **\mathbf{Q} is dense, so:**
 - Diffusion from single-bit output to input very high
 - Increases resistance against LC/DC and algebraic attacks

ρ for inter-slice dispersion

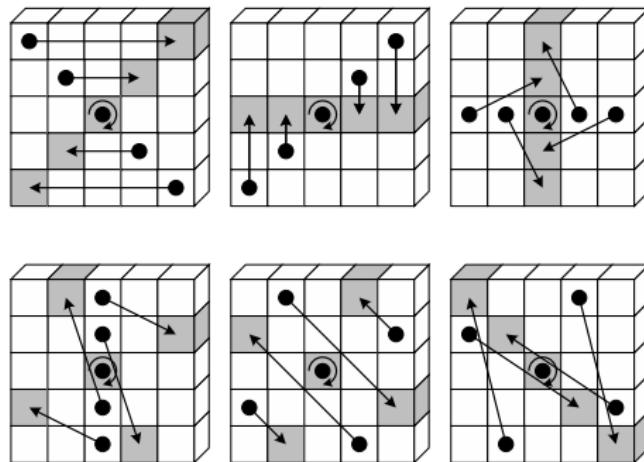
- We need diffusion between the slices ...
- ρ : cyclic shifts of lanes with offsets *: shift every lane by a ≠ amount*

$$i(i+1)/2 \bmod 2^\ell, \text{ with } \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 2 & 3 \end{pmatrix}^{i-1} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

- Offsets cycle through all values below 2^ℓ



π for disturbing horizontal/vertical alignment



$$a_{x,y} \leftarrow a_{x',y'} \text{ with } \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 2 & 3 \end{pmatrix} \begin{pmatrix} x' \\ y' \end{pmatrix}$$

ι to break symmetry

$\iota \neq 0$

- XOR of round-dependent constant to lane in origin
- Without ι , the round mapping would be symmetric
 - ■ invariant to translation in the z-direction
 - ■ susceptible to *rotational* cryptanalysis
- Without ι , all rounds would be the same
 - ■ susceptibility to *slide* attacks
 - ■ defective cycle structure
- Without ι , we get simple fixed points (000 and 111)

KECCAK- f summary

- Round function:

$$R = \iota \circ \chi \circ \pi \circ \rho \circ \theta$$

- Number of rounds: $12 + 2\ell$

- KECCAK- f [25] has 12 rounds
- KECCAK- f [1600] has 24 rounds

NIST FIPS 202 (August 2015)

- Four drop-in replacements to SHA-2
- Two extendable output functions (XOF)

XOF	SHA-2 drop-in replacements
KECCAK[$c = 256$]($M \parallel \textcolor{red}{11} \parallel \textcolor{blue}{11}$)	first 224 bits of KECCAK[$c = \textcolor{red}{448}$]($M \parallel \textcolor{blue}{01}$) <i>to secure 224 bits</i>
KECCAK[$c = 512$]($M \parallel \textcolor{red}{11} \parallel \textcolor{blue}{11}$)	first 256 bits of KECCAK[$c = 512$]($M \parallel \textcolor{blue}{01}$)
	first 384 bits of KECCAK[$c = 768$]($M \parallel \textcolor{blue}{01}$)
	first 512 bits of KECCAK[$c = 1024$]($M \parallel \textcolor{blue}{01}$)
SHAKE128 and SHAKE256	SHA3-224 to SHA3-512

- Toolbox for building other functions

NIST SP 800-185 (December 2016)

Customized SHAKE (**cSHAKE**)

more used

- $H(x) = \text{cSHAKE}(x, \text{name}, \text{customization string})$
- E.g., $\text{cSHAKE128}(x, N, S) = \text{KECCAK}[c = 256](\text{encode}(N, S) \| x \| 00)$
- $\text{cSHAKE128}(x, N, S) \triangleq \text{SHAKE128}$ when $N = S = ""$

KMAC: message authentication code (no need for HMAC-SHA-3!)

$$\text{KMAC}(K, x, S) = \text{cSHAKE}(\text{encode}(K) \| x, "KMAC", S)$$

TupleHash: hashing a sequence of strings $x = x_n \circ x_{n-1} \circ \dots \circ x_1$

$$\text{TupleHash}(x, S) = \text{cSHAKE}(\text{encode}(x), "TupleHash", S)$$

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KMAC: message authentication code (no need for HMAC-SHA-3!)

~~HMAC for SHA-2~~

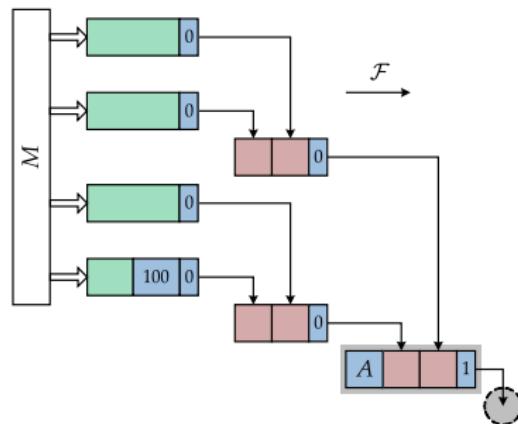
$$\text{KMAC}(K, x, S) = \text{cSHAKE}(\text{encode}(K) \| x, "KMAC", S)$$

TupleHash: hashing a sequence of strings $\mathbf{x} = x_n \circ x_{n-1} \circ \dots \circ x_1$

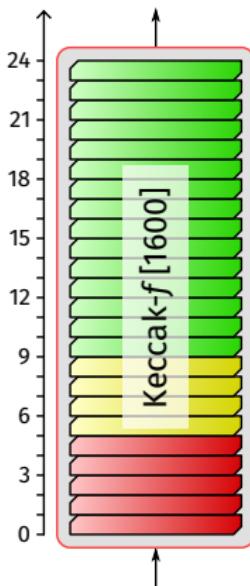
$$\text{TupleHash}(\mathbf{x}, S) = \text{cSHAKE}(\text{encode}(\mathbf{x}), "TupleHash", S)$$

NIST SP 800-185 (December 2016)

ParallelHash: faster hashing with parallelism



Status of KECCAK cryptanalysis



- Collision attacks up to 5 rounds
 - Also up to 6 rounds, but for non-standard parameters ($c = 160$)
[Song, Liao, Guo, CRYPTO 2017]
- Distinguishers
 - 7 rounds (practical time)
[Huang et al., EUROCRYPT 2017]
 - 8 rounds (2^{128} time)
[Dinur et al., EUROCRYPT 2015]
 - 9 rounds (2^{64} time)
[Suryawanshi et al., AFRICACRYPT 2020]
- Lots of third-party cryptanalysis available at:
https://keccak.team/third_party.html

KANGAROOTWELVE

