



# Math-Net.Ru

Общероссийский математический портал

С. Е. Степанов, И. Г. Шандра, Семь классов гармонических диффеоморфизмов и их геометрия, *Тр. геом. сем.*, 2003, том 24, 139–154

Использование Общероссийского математического портала Math-Net.Ru подразумевает, что вы прочитали и согласны с пользовательским соглашением  
<http://www.mathnet.ru/rus/agreement>

Параметры загрузки:

IP: 178.205.19.235

7 июня 2024 г., 16:20:31



*I.G. Shandra, S.E. Stepanov*

## THE SEVEN CLASSES OF HARMONIC DIFFEOMORPHISMS AND THEIR GEOMETRY

### Abstract

One of the present authors classified harmonic diffeomorphisms between Riemannian manifolds [1]. This paper is devoted to the study of the local and global geometry of certain classes of harmonic diffeomorphisms.

### Аннотация

*С.Е. Степанов, И.Г. Шандра* Семь классов гармонических диффеоморфизмов и их геометрия

В [1] была получена классификация гармонических диффеоморфизмов между римановыми многообразиями (см. [1]). В данной работе изучается локальная и глобальная геометрия некоторых гармонических диффеоморфизмов.

### 1. Harmonic diffeomorphisms

**1.1.** Let  $(M, g)$  be a connected smooth pseudo-Riemannian manifold,  $\nabla$  its Levi-Civita connection, and  $R$  the corresponding curvature tensor defined by  $R_{XY} = \nabla_{[X, Y]} - [\nabla_X, \nabla_Y]$  for smooth vector fields  $X$  and  $Y$  on  $(M, g)$ . Let  $Ric$  and  $Scal$ , respectively, denote the Ricci tensor and the scalar curvature.

Next, let  $f : (M, g) \rightarrow (M', g')$  be a smooth map between two pseudo-Riemannian manifolds and let  $f^{-1}TM'$  be the pull-back bundle. The Levi-Civita connections on  $TM$  and  $TM'$  induce a connection  $\bar{\nabla}$  in the bundle of 1-forms on  $M$  with values in  $f^{-1}TM'$ . Then  $\wp_f = \bar{\nabla}df$  is a

---

*1991 Mathematical Subject Classification.* 53C43, 58E20.

*Key words and phrases.* Riemannian manifold, harmonic diffeomorphism.

symmetric bilinear form on  $TM$ , called the *second fundamental form* of  $f$  [2]. The trace of  $\wp_f$  with respect to  $g$  is called the *tension field* of  $\wp_f$ , and is denoted by  $\tau(\wp_f)$ . The map  $f$  is said to be *harmonic* if and only if it satisfies the *Euler-Lagrange equations* (see [3, 4, 5] for more details and references), that is

$$\tau(\wp_f) := \text{trace}_g \bar{\nabla} df = 0, \quad (1.1)$$

where  $\text{trace}_g$  denotes the trace operator with respect to  $g$ .

Let's find out a form of the Euler-Lagrange equations in terms of local coordinate systems. Take coordinate neighbourhoods  $U$  with local coordinates  $x^1, \dots, x^m$  of  $(M, g)$  and  $U'$  with local coordinates  $y^1, \dots, y^{m'}$  of  $(M', g')$  such that  $f(U) \subseteq U'$ . The indices  $i, j, k, l, \dots$  run over the range  $\{1, 2, \dots, m\}$  and the indices  $\alpha, \beta, \gamma, \delta, \dots$  run over the range  $\{1, 2, \dots, m'\}$ , where  $m = \dim M$  and  $m' = \dim M'$ , respectively.

Suppose that  $f : (M, g) \rightarrow (M', g')$  is represented by equations  $y^\alpha = y^\alpha(x^1, \dots, x^m)$  with respect to  $U$  and  $U'$ . We set  $f_i^\alpha = (\partial_i y^\alpha)(x^1, \dots, x^m)$ , where  $\partial_i = \frac{\partial}{\partial x^i}$ . Then the differential  $df$  of the mapping  $f$  is represented by the matrix  $(f_i^\alpha)$  with respect to the local coordinates  $x^1, \dots, x^m$  of  $(M, g)$  and  $y^1, \dots, y^{m'}$  of  $(M', g')$ .

We denote by  $g_{ij}$  the local components of the pseudo-Riemannian metric  $g$ , by  $g'_{\alpha\beta}$  those of the pseudo-Riemannian metric  $g'$ . The Christoffel symbols formed with  $g_{ij}$  and  $g'_{\alpha\beta}$  we denote by  $\Gamma_{ij}^k$  and  $\Gamma_{\beta\gamma}^\alpha$ , respectively.

In  $U$  we set

$$f_{ij}^\alpha = \bar{\nabla}_j f_i^\alpha, \quad (1.2)$$

where

$$\bar{\nabla}_j f_i^\alpha = \partial_j f_i^\alpha + \Gamma_{\beta\gamma}^{\alpha'} f_i^\beta f_j^\gamma - \Gamma_{ij}^l f_l^\alpha \quad (1.3)$$

is a local expression of the tensor field  $\bar{\nabla} df$  and  $f_{ij}^\alpha = f_{ji}^\alpha$ . Differentiating (1.2) covariantly, we find the following

$$\bar{\nabla} f_{ij}^\alpha = \partial_k f_{ij}^\alpha + \Gamma_{\beta\gamma}^{\alpha'} f_{ij}^\beta f_k^\gamma - \Gamma_{jk}^l f_{li}^\alpha - \Gamma_{ik}^l f_{lj}^\alpha, \quad (1.4)$$

where  $\bar{\nabla} f_{ij}^\alpha = \bar{\nabla}_k \bar{\nabla}_j f_i^\alpha$ . Taking into account (1.2), (1.3), and (1.4), we obtain the formula of Ricci type [6]

$$\bar{\nabla}_k \bar{\nabla}_j f_i^\alpha - \bar{\nabla}_j \bar{\nabla}_k f_i^\alpha = R_{\beta\gamma\delta}^{\alpha'} f_i^\beta f_k^\delta f_j^\gamma - R_{ijk}^l f_l^\alpha, \quad (1.5)$$

where  $R_{\beta\gamma\delta}^{\alpha'}$  and  $R_{ijk}^l$  are components of the curvature tensors of the Levi-Civita connections  $\nabla$  and  $\bar{\nabla}$ , respectively.

Now, using the (1.3), we find the coordinate form of the Euler-Lagrange equations

$$g^{ij}(\partial_i \partial_j f^\alpha - \Gamma_{ij}^k \partial_k f^\alpha + \Gamma'_{\beta\gamma}{}^\alpha \partial_i f^\beta \partial_j f^\gamma) = \Delta f^\alpha + g^{ij} \Gamma'_{\beta\gamma}{}^\alpha \partial_i f^\beta \partial_j f^\gamma = 0, \quad (1.6)$$

where  $\Delta$  is the Laplace operator of the manifold  $(M, g)$ .

**Remark 1.1.** In the origin of normal coordinate system ( $\Gamma_{ij}^k = 0$  and  $\Gamma'_{\beta\gamma}{}^\alpha = 0$ ), each of the components of the harmonic mapping turns to be a harmonic function of the coordinates. Using the Cauchy-Riemann equations, we immediately obtain that every holomorphic mapping of Kählerian manifolds is harmonic [7]. We shall generalize this result (cf. the Theorem 1.3 and Corollary 1.4) in the third part of this section.

**1.2.** Let  $f : (M, g) \rightarrow (M', g')$  be a diffeomorphism of equidimensional pseudo-Riemannian manifolds. If  $\dim M = \dim M' = m$ , we can assume that  $f$  is represented by the following equations [8]

$$y^i = x^i \quad (1.7)$$

with respect to the local coordinate systems  $x^1, \dots, x^m$  of  $(M, g)$  and  $y^1, \dots, y^m$  of  $(M', g')$ . In this case the differential  $df$  is represented by the matrix  $(\delta_j^i)$ , where  $\delta_j^i$  is the Kronecker delta. If  $f : (M, g) \rightarrow (M', g')$  is a harmonic diffeomorphism, the Euler-Lagrange equations (1.6) are equivalent to the following equations

$$\sum_{i,j} g^{ij} (\Gamma'_{ij}{}^k - \Gamma_{ij}^k) = 0, \quad (1.8)$$

where  $T_{ij}^k = \Gamma'_{ij}{}^k - \Gamma_{ij}^k$  are local components of the deformation tensor  $T = \nabla' - \nabla$  with respect to a common local coordinate system  $x^1, \dots, x^m$  [9].

We observe that the deformation tensor  $T = \nabla' - \nabla$  is a section of the vector bundle  $f^{-1}TM' \otimes S^2M$  for an arbitrary diffeomorphism  $f : (M, g) \rightarrow (M', g')$ . But if  $f : (M, g) \rightarrow (M', g')$  is harmonic, then  $T = \nabla' - \nabla$  is a section of the vector bundle  $f^{-1}TM' \otimes S_0^2M$ , where  $S_0^2M$  is the space of traceless symmetric 2-tensor fields on  $(M, g)$ .

On the other hand, from definition of the deformation tensor and the Christoffel symbols we have

$$\nabla'_k g_{ij} = -g_{lj} T_{ik}^l - g_{il} T_{jk}^l; \quad \nabla_k g'_{ij} = g'_{lj} T_{ik}^l + g'_{il} T_{jk}^l; \quad (1.9)$$

and consequently

$$\begin{aligned} T_{ij}^k &= \frac{1}{2} g^{kl} (-\nabla'_i g_{jl} - \nabla'_j g_{il} + \nabla'_l g_{ij}); \\ T_{ij}^k &= \frac{1}{2} g'^{kl} (\nabla_i g'_{jl} + \nabla_j g'_{il} - \nabla_l g'_{ij}). \end{aligned} \quad (1.10)$$

Then we conclude that (1.8) is equivalent to

$$2g^{ik}\nabla'_i g_{kj} = g^{ik}\nabla'_j g_{ik} \quad (1.11)$$

or

$$2g^{ik}\nabla_i g'_{kj} = \nabla_i (g^{ik} g'_{jk}). \quad (1.12)$$

Now we can give the following theorem which provides an easy way to verify whether a diffeomorphism  $f : (M, g) \rightarrow (M', g')$  is harmonic.

**Theorem 1.1** *The diffeomorphism  $f : (M, g) \rightarrow (M', g')$  of a pseudo-Riemannian manifold  $(M, g)$  with the Levi-Civita connection  $\nabla$  onto a pseudo-Riemannian manifold  $(M', g')$  with the Levi-Civita connection  $\nabla'$  is harmonic if and only if one of the following equalities is true:*

$$(i) \sum_{i,j} g^{ij} T_{ij}^k = 0; \quad (ii) \quad 2g^{ik}\nabla'_i g_{kj} = g^{ik}\nabla'_j g_{ik}; \quad (iii) \quad 2g^{ik}\nabla_i g'_{kj} = \nabla_j (g^{ik} g'_{ik});$$

where  $T_{ij}^k$ ,  $g_{ij}$ ,  $g'_{ij}$  are local components of the deformation tensor  $T = \nabla' - \nabla$  and the pseudo-Riemannian metrics  $g$  and  $g'$  with respect to a common local coordinate system  $x^1, \dots, x^m$ .

**1.3.** Here we shall give three examples of harmonic diffeomorphisms.

**Example 1.1.** We assume that  $f = f'' \circ f'$  is a composition of a conformal diffeomorphism  $f' : (M, g) \rightarrow (M', g')$  and a projective diffeomorphism  $f'' : (M', g') \rightarrow (M'', g'')$ .

It is well known that a diffeomorphism  $f' : (M, g) \rightarrow (M', g')$  between pseudo-Riemannian manifolds is called conformal if  $g' = e^{2\sigma} g$  for an arbitrary  $\sigma \in C^\infty M$ . In this case the deformation tensor  $T' = \nabla' - \nabla$  has the local expression [9]

$$T'^k_{ij} = \Gamma'^k_{ij} - \Gamma^k_{ij} = \sigma_i \delta^k_j + \sigma_j \delta^k_i - \sigma^k g_{ij}, \quad (1.13)$$

where  $\sigma_i = \partial_i \sigma$  and  $\sigma^k = g^{ki} \sigma_i$ , with respect to a common local coordinate system  $x^1, \dots, x^m$ .

On the other hand, if an arbitrary geodesic in  $(M', g')$  is mapped into a geodesic in  $(M'', g'')$ , then  $f'' : (M', g') \rightarrow (M'', g'')$  is said to be a projective mapping [9]. In this case, with respect to a common local coordinate system  $x^1, \dots, x^m$  the deformation tensor  $T'' = \nabla'' - \nabla'$  has the local expression

$$T''^k_{ij} = \Gamma''^k_{ij} - \Gamma'^k_{ij} = \psi_i \delta^k_j + \psi_j \delta^k_i, \quad (1.14)$$

where  $\psi_j = \frac{1}{2(m+1)} \partial_j \ln \left| \frac{\det g''}{\det g'} \right| = \frac{1}{2(m+1)} \partial_j \left| \frac{\det g''}{\det g} \right| - \frac{m}{m+1} \sigma_j$ .

Denote by  $T = T' + T'' = \nabla'' - \nabla$  the deformation tensor of the composition  $f = f'' \circ f' : (M, g) \rightarrow (M', g')$ , then from (1.13) and (1.14) we obtain

$$\Gamma_{ij}''^k - \Gamma_{ij}^k = (\sigma_i + \psi_i)\delta_j^k + (\sigma_j + \psi_j)\delta_i^k - \sigma^k g_{ij}. \quad (1.15)$$

Since  $f = f'' \circ f'$  is harmonic, Theorem 1.1 gives  $(n - 2)\sigma_j = 2\psi_j$ . From this we obtain immediately the following (cf. [1]).

**Theorem 1.2** *For any conformal diffeomorphism  $f' : (M, g) \rightarrow (M', g')$  and a projective diffeomorphism  $f'' : (M', g') \rightarrow (M'', g'')$  between  $m$ -dimensional ( $m \geq 3$ ) pseudo-Riemannian manifolds the composition  $f'' \circ f'$  is a harmonic diffeomorphism if and only if  $g' = e^{2\sigma}g$  for  $\sigma = (m^2 + m - 2)^{-1} \ln \left| \frac{\det g''}{\det g} \right| + \text{const.}$*

**Remark 1.2.** If  $m = 2$ , from (1.13) it follows that any conformal diffeomorphism is harmonic. But if  $m \geq 3$ , any harmonic diffeomorphism  $f : (M, g) \rightarrow (M', g')$  which is at the same time conformal, is a homothetic diffeomorphism [6].

**Example 1.2.** In this example we shall consider a holomorphic diffeomorphism  $f : (M, g, J) \rightarrow (M', g', J')$  of a Kählerian manifold  $(M, g, J)$  onto a Kählerian manifold  $(M', g', J')$ .

Let us recall necessary definitions. A manifold  $M$  endowed with a field  $J$  of endomorphisms of the tangent bundle  $TM$  such that  $J^2 = Id_{TM}$ , is called an *almost complex manifold*. Let  $g$  be a *Hermitian metric* on  $M$ , that is, a pseudo-Riemannian metric such that  $g(J, J) = g$ . An almost complex manifold  $(M, g, J)$  is a Kählerian manifold [11, 12] if  $\nabla J = 0$ . For Kählerian manifolds a map  $f : (M, g, J) \rightarrow (M', g', J')$  is said to be *holomorphic* ([3] - [5]) if  $f_* \circ J = J' \circ f_*$ . In [7] Lichnerowicz proved that a holomorphic map  $f : (M, g, J) \rightarrow (M', g', J')$  of a compact Kählerian manifold  $(M, g, J)$  onto a Kählerian manifold  $(M', g', J')$  is harmonic.

**Example 1.3.** Now let  $f : (M, g, J) \rightarrow (M', g', J')$  be a holomorphic diffeomorphism of an *almost semi-Kählerian manifold*  $(M, g, J)$  onto a nearly Kählerian manifold  $(M', g', J')$ .

Let us recall that an almost complex manifold  $(M, g, J)$  is an *almost semi-Kählerian manifold* (see [11, 12]) if

$$\text{trace}_g \nabla J = 0. \quad (1.16)$$

An almost complex manifold  $(M', g', J')$  is a *nearly Kählerian manifold* (see [11, 12]) if  $\nabla' J'$  is a section of the tensor bundle  $TM' \otimes \Lambda^2 M'$ , where

$\Lambda^2 M'$  is the space of skew-symmetric 2-tensor fields on  $(M', g')$ . This condition is equivalent to

$$(\nabla_X J')X = 0 \quad (1.17)$$

for an arbitrary  $X \in TM'$ .

**Theorem 1.3** *Let  $(M, g, J)$  be an almost Hermitian manifold. Then any holomorphic diffeomorphism  $f : (M, g, J) \rightarrow (M', g', J')$ , where  $(M', g', J')$  is a nearly Kählerian manifold, is harmonic if and only if the manifold  $(M, g, J)$  is an almost semi-Kählerian manifold.*

**Proof.** The diffeomorphism of an almost Hermitian manifold  $(M, g, J)$  onto an almost Hermitian manifold  $(M', g', J')$  is holomorphic if and only if with respect to a common local coordinate system  $x^1, \dots, x^m$

$$J_j^i = J_j'^i. \quad (1.18)$$

Differentiating (1.18) covariantly, we find

$$\nabla_k J_j^i = \nabla'_k J_j'^i + J_l'^i T_{jk}^l - J_j'^l T_{lk}^i. \quad (1.19)$$

We multiply (1.19) by  $g^{kj}$  and use (1.17), then we obtain

$$g^{kj} \nabla_k J_j^i = J_j'^i (g^{jk} T_{jk}^i). \quad (1.20)$$

From (1.20) we conclude that under assumptions of Theorem 1.3 the following statements

- (i)  $(M, g, J)$  is an almost semi-Kählerian manifold;
- (ii)  $f : (M, g, J) \rightarrow (M', g', J')$  is a harmonic map

are equivalent.  $\square$

From (1.16) and (1.17) we obtain that a nearly Kählerian manifold is almost semi-Kählerian. As a consequence we have the following corollary.

**Corollary 1.4** *Let  $(M, g, J)$  and  $(M', g', J')$  be nearly Kählerian manifolds. Then any diffeomorphism  $f : (M, g, J) \rightarrow (M', g', J')$  is holomorphic if and only if  $f : (M, g, J) \rightarrow (M', g', J')$  is a harmonic map.*

**1.4.** For the first time the Bochner technique [13] was used in studies of harmonic maps in the well-known paper by Eells and Sampson [4], where the first Weitzenböck formula was obtained. Now we give the following analogue of this formula for harmonic diffeomorphisms.

**Theorem 1.5** *Let  $f : (M, g) \rightarrow (M', g')$  be a harmonic diffeomorphism between Riemannian manifolds of some dimensional. Then*

$$\Delta \ln \frac{\det g'}{\det g} = 2(\text{Scal} - \text{trace}_g \text{Ric}') + 3\|\text{sym } T\|^2 - \|T\|^2. \quad (1.21)$$

**Proof.** From (1.5) one easily can obtain

$$\nabla'_i T_{jl}^k - \nabla'_l T_{ji}^k = \nabla_i T_{jl}^k - \nabla_l T_{ji}^k + T_{jl}^n T_{ni}^k - T_{ji}^n T_{nl}^k = R_{jl}^k - R_{ji}^k. \quad (1.22)$$

By Theorem 1.1 and (1.22) we have

$$\begin{aligned} g^{ij} \nabla_i T_{jk}^k &= g^{ij} (R_{ikj}^k - R_{ikj}^k) + g^{ij} T_{ki}^l T_{lj}^k = g^{ij} R_{ij} - g^{ij} R_{ij}^l + T_{ijk} T^{jik} = \\ &= \text{Scal} - \text{trace}_g \text{Ric}' + \frac{3}{2} \|\text{sym } T\|^2 - \frac{1}{2} \|T\|^2, \end{aligned} \quad (1.23)$$

where the tensor field  $\text{sym } T$  has the following local components

$$(\text{sym } T)_{ijk} = \frac{1}{3} (T_{ijk} + T_{jki} + T_{kij}).$$

By definitions of the deformation tensor  $T$  and the Christoffel symbols  $\Gamma_{ij}^k$  and  $\Gamma_{ij}^k$  we have

$$T_{kj}^k = \Gamma_{kj}^k - \Gamma_{kj}^k = \partial_j \ln \sqrt{\det g'} - \partial_j \ln \sqrt{\det g} = \partial_j \ln \sqrt{\frac{\det g'}{\det g}} \quad (1.24)$$

in a common local coordinate system  $x^1, \dots, x^m$ . Finally from (1.23) and (1.24) we get (1.21).  $\square$

**Remark 1.3.** Other Weitzenböck formulas for harmonic maps can be found in [15], [16].

## 2. The seven classes of harmonic diffeomorphisms

**2.1.** In this section we shall find a classification of the harmonic diffeomorphisms with the use of representation theory.

Let  $x$  be an arbitrary point of  $(M, g)$ , and  $E^* = T_x^* M$ .  $E^*$  is a pseudo-Euclidean vector space over  $\mathbb{R}$  with inner product  $q$  induced from the metric  $g_x$ ,  $E^*$  being the dual of  $E = T_x M$ . Then  $T(E) = E^* \otimes S_0^2 E$  is a pseudo-Euclidean vector space with inner product defined by  $q(T, T') = \sum_{i,j,k=1}^m T(e_i, e_j, e_k) T'(e_i, e_j, e_k)$ , where  $\{e_1, \dots, e_m\}$  is an arbitrary orthonormal basis of  $E = T_x M$  and  $T, T' \in T(E)$ .



Denote by  $O(q)$  the group of linear transformations  $\mathcal{A}$  under which the quadratic form  $q$  is invariant,  $q(\mathcal{A}, \mathcal{A}) = q$ . It is customary to call  $O(q)$  an orthogonal group. If  $\mathcal{A}$  belongs to the orthogonal group  $O(q)$  determined by  $q$ , then  ${}^{\text{tr}}\mathcal{A}^{-1} = \mathcal{A}$  and, hence, the vector spaces  $E$  and  $E^*$  are isomorphic. The orthogonal group  $O(q)$  also acts in  $\mathcal{T}(E)$  according to the rule

$$(\mathcal{A}T)(a, b, c)T(\mathcal{A}^{-1}a, \mathcal{A}^{-1}b, \mathcal{A}^{-1}c)$$

for  $a, b, c \in E$ ,  $T \in \mathcal{T}(E)$ , and  $\mathcal{A} \in O(q)$ , this turns  $\mathcal{T}(E)$  into a representation space of the orthogonal group  $O(q)$ .

Next we set  $T_{12}(c) = \sum_{k=1}^m (e_k, e_k, c)$  for  $c \in E$  and let  $\mathcal{T}_1(E) = S_0^3 E$ ;  $\mathcal{T}_2(E) = \{T \in \mathcal{T}(E) \mid T(a, b, c) + T(b, c, a) + T(c, a, b) = 0, T_{12}(a) = 0\}$ ;  $\mathcal{T}_3(E) = \{T \in \mathcal{T}(E) \mid T(a, b, c) = T_{12}(c)q(a, b) + T_{13}(b)q(a, c) - \frac{2}{m}T_{23}(a)q(b, c)\}$  for  $a, b, c \in E$ .

We apply the Weyl theorem to find the number of irreducible subspaces of  $\mathcal{T}(E) = E^* \otimes S_0^2 E$  with respect to the action of  $O(q)$  (cf. [4]). Then we have

**Theorem 2.1** *For  $\dim E \geq 3$ ,  $\mathcal{T}(E)$  is the orthogonal direct sum of the subspaces  $\mathcal{T}_n(E)$ ,  $n = 1, 2, 3$ . Moreover these spaces are invariant and irreducible under the action of  $O(q)$ .*

Let  $f : (M, g) \rightarrow (M', g')$  be a diffeomorphism. In local considerations we may suppose that  $M = M' = U$  is a domain in  $\mathbb{R}^m$  and  $f$  is the identity map  $Id_M : (M, g) \rightarrow (M', g')$ . Therefore we will consider the deformation tensor  $T$  as a section of the vector bundle  $TM \otimes S^2 M$  (cf. also [9]). In particular, if  $f$  is harmonic, then the deformation tensor  $T$  is a section of the vector bundle  $TM \otimes S_0^2 M$ . Moreover, we shall consider the deformation tensor  $T$  as a tensor field of type  $(0, 3)$ .

The Theorem 2.1 implies that there are eight invariant subspaces, so we give

**Definition.** *Let  $G(E)$  be an invariant subspace of  $\mathcal{T}(E)$ . We say that a harmonic diffeomorphism  $f : (M, g) \rightarrow (M', g')$  is of type  $G$  if the deformation tensor  $T \in G(T_x M)$  for all  $x \in M$ .*

Hence we may consider the seven classes of harmonic diffeomorphisms including the trivial class characterized by the condition  $T = 0$ . All these classes have many interesting properties.

**2.2.** Let  $f : (M, g) \rightarrow (M', g')$  be a harmonic diffeomorphism of class  $\mathcal{T}_1 \oplus \mathcal{T}_2$  which is defined by the following identities:

$$g^{ij}T_{kij} = 0, \tag{2.1}$$

$$g^{ij}T_{ijk} = 0, \quad (2.2)$$

where  $T_{ijk} = g_{il}T_{jk}^l$ ,  $T_{jk}^l$  are local components of the deformation tensor  $T = \nabla' - \nabla$ . Let  $dV_{g'} = \sqrt{|\det g'|}dx^1 \wedge \cdots \wedge dx^m$ ,  $dV_g = \sqrt{|\det g|}dx^1 \wedge \cdots \wedge dx^m$  be the volume elements of  $(M, g)$  and  $(M', g')$ , respectively. Then from (2.2) and (1.24) we get

$$dV_{g'} = e^C dV_g$$

for an arbitrary constant  $C > 0$ . Hence the harmonic diffeomorphism  $f : (M, g) \rightarrow (M', g')$  is either *volume decreasing* [15] or *volume increasing*. Thus we have proved

**Theorem 2.2** *Any harmonic diffeomorphism  $f : (M, g) \rightarrow (M', g')$  of  $m$ -dimensional ( $m \geq 3$ ) pseudo-Riemannian manifolds of class  $\mathcal{T}_1 \oplus \mathcal{T}_2$  is either volume decreasing or volume increasing.*

**2.3.** Let us consider the class  $\mathcal{T}_1 \oplus \mathcal{T}_3$  which is defined by the following identities

$$T_{ijk} - T_{jik} = \frac{1}{m-1}(\theta_j g_{ik} - \theta_i g_{jk}), \quad (2.3)$$

where  $\theta_j = T_{kj}^k = \partial_j \ln \sqrt{\left| \frac{\det g'}{\det g} \right|}$  with respect to a common local coordinate system  $x^1, \dots, x^m$ . Using (1.9) one conclude fairly easy that the identities (2.3) are equivalent to

$$\nabla'_j G_{ik} - \nabla'_i G_{jk} = 0, \quad (2.4)$$

where

$$G_{ij} = e^{-\frac{1}{m-1}\theta} g_{ij} \quad (2.5)$$

for  $\theta = \ln \sqrt{\left| \frac{\det g'}{\det g} \right|} + \text{const}$ . Hence the tensor field  $G = e^{-\frac{1}{m-1}\theta} g$  is a Codazzi tensor field on  $(M', g')$  [17]. Thus we have

**Theorem 2.3** *A diffeomorphism  $f : (M, g) \rightarrow (M', g')$  of  $m$ -dimensional ( $m \geq 3$ ) pseudo-Riemannian manifolds is a harmonic diffeomorphism of class  $\mathcal{T}_1 \oplus \mathcal{T}_3$  if and only if the tensor field  $G = e^{-\frac{1}{m-1}\theta} g$  for  $\theta = \ln \sqrt{\left| \frac{\det g'}{\det g} \right|} + \text{const}$  is a Codazzi tensor on  $(M', g')$ .*

Now, let  $(M', g')$  be a pseudo-Riemannian manifold of nonzero constant sectional curvature  $C'$ , then by (2.4) we have

$$G = \text{Hess}(F) + C' F g', \quad (2.6)$$

where  $Hess(F) = \nabla' dF$  for an arbitrary smooth function  $F$  on  $(M', g')$ . It is proved in [17] that any Codazzi tensor  $G$  has the form (2.6) on the pseudo-Riemannian manifold  $(M', g')$  of nonzero constant sectional curvature  $C'$ . Then from (2.5) and (2.6), we get

$$g = e^{\frac{1}{m-1}\theta} [Hess(F) + C' F g']. \quad (2.7)$$

This implies

**Theorem 2.4** *A diffeomorphism  $f : (M, g) \rightarrow (M', g')$  of an  $m$ -dimensional ( $m \geq 3$ ) pseudo-Riemannian manifold  $(M, g)$  onto another pseudo-Riemannian manifold  $(M', g')$  of nonzero constant sectional curvature  $C'$  is a harmonic diffeomorphism of class  $\mathcal{T}_1 \oplus \mathcal{T}_3$  if and only if the metric tensor  $g$  has the form  $g = e^{\frac{1}{m-1}\theta} [Hess(F) + C' F g']$  for  $\theta = \ln \sqrt{\left| \frac{\det g'}{\det g} \right|} + \text{const.}$*

**2.4.** Any harmonic diffeomorphism  $f : (M, g) \rightarrow (M', g')$  of class  $\mathcal{T}_2 \oplus \mathcal{T}_3$  is characterized by the identities

$$T_{ijk} + T_{jik} + T_{kij} = \frac{2}{m+2} (\theta_i g_{jk} + \theta_j g_{ki} + \theta_k g_{ij}), \quad (2.8)$$

where  $\theta_j = T_{kj}^k = \partial_j \ln \sqrt{\left| \frac{\det g'}{\det g} \right|}$  with respect to a common local coordinate system  $x^1, \dots, x^m$ . Using (1.9) we conclude that (2.9) is equivalent to

$$\nabla'_i H_{jk} + \nabla'_j H_{ki} + \nabla'_k H_{ij} = 0, \quad (2.9)$$

where

$$H_{jk} = e^{-\frac{2}{m+2}\theta} g_{jk}. \quad (2.10)$$

We remark that in a pseudo-Riemannian manifold  $(M', g')$  the differential equations of the geodesics  $\frac{dx^j}{ds} + \Gamma_{jk}^i \frac{dx^j}{ds} \frac{dx^k}{ds} = 0$  admit linear quadratic first integrals of the form  $H_{jk} \frac{dx^j}{ds} \frac{dx^k}{ds} = \text{const}$  ( $H_{jk} = H_{kj}$ ) if and only if the equations (2.9) hold true. Hence follows

**Theorem 2.5** *A diffeomorphism  $f : (M, g) \rightarrow (M', g')$  between  $m$ -dimensional ( $m \geq 3$ ) pseudo-Riemannian manifolds is a harmonic diffeomorphism of class  $\mathcal{T}_2 \oplus \mathcal{T}_3$  if and only if the differential equations of geodesics*

$$\frac{dx^i}{ds} + \Gamma_{jk}^i \frac{dx^j}{ds} \frac{dx^k}{ds} = 0$$

in  $(M', g')$  written with respect to a common local coordinate system  $x^1, \dots, x^m$  admit quadratic first integrals  $H_{jk} \frac{dx^j}{ds} \frac{dx^k}{ds} = \text{const}$ , where  $H_{jk} = e^{-\frac{2}{m+2}\theta} g_{jk}$ , and  $\theta = \ln \sqrt{\left| \frac{\det g'}{\det g} \right|} + \text{const}$ .

Now, let  $(M', g')$  be a locally flat pseudo-Riemannian manifold with the fundamental form  $ds'^2 = g'_{ij} dx^i dx^j = \varepsilon_j (dx^j)^2$ , where  $\varepsilon_j = \pm 1$ . Then the coefficients  $H_{jk}$  of quadratic first integrals of geodesics  $H_{jk} \frac{dx^j}{ds} \frac{dx^k}{ds} = \text{const}$  can be expressed in the following form (cf. [19])

$$H_{ij} = A_{ijkl} x^k x^l + B_{ijk} x^k + C_{ij}, \quad (2.11)$$

where  $A_{ijkl} = A_{jikl}$ ,  $B_{ijk} = B_{jik}$ ,  $C_{ij} = C_{ji}$ , and the  $A$ 's,  $B$ 's and  $C$ 's are constants. By substituting (2.11) into (2.9) we find that these coefficients also have symmetries:

$$A_{ijkl} + A_{jkil} + A_{kijl} = 0; \quad B_{ijk} + B_{jki} + B_{kij} = 0.$$

From (2.10) and (2.11) we get

$$g_{ij} = e^{\frac{1}{m+2}\theta} (A_{ijkl} x^k x^l + B_{ijk} x^k + C_{ij}) \quad (2.12)$$

We have proved the following result.

**Theorem 2.6** *A diffeomorphism  $f : (M, g) \rightarrow (M', g')$  of an  $m$ -dimensional ( $m \geq 3$ ) pseudo-Riemannian manifold  $(M, g)$  onto a locally flat pseudo-Riemannian manifold  $(M', g')$  is a harmonic diffeomorphism of class  $\mathcal{T}_1 \oplus \mathcal{T}_3$  if and only if with respect to a common local coordinate system  $x^1, \dots, x^m$  such that  $ds'^2 = g'_{ij} dx^i dx^j = \varepsilon_j (dx^j)^2$  ( $\varepsilon_j = \pm 1$ ) the metric tensor  $g$  has the following components*

$$g_{ij} = e^{\frac{1}{m+2}\theta} (A_{ijkl} x^k x^l + B_{ijk} x^k + C_{ij}),$$

where  $\theta = \ln \sqrt{\left| \frac{\det g'}{\det g} \right|} + \text{const}$ , the  $A$ 's,  $B$ 's and  $C$ 's are constants such that  $A_{ijkl} = A_{jikl}$ ,  $A_{jkil} + A_{jklj} + A_{kijl} = 0$ ,  $B_{ijk} = B_{jik}$ ,  $B_{ijk} + B_{jki} + B_{kij} = 0$ ,  $C_{ij} = C_{ji}$ .

We have established that the tensor field  $H$  with the local components (2.10) satisfies the equations (2.9). On a compact Riemannian manifold  $(M', g')$  of nonpositive sectional curvature such a tensor field is parallel [17]. This, by Shirokov's theorem [18], implies that either  $(M', g')$  is locally a Riemannian product, or  $H = \mu g'$  for  $\mu = \text{const}$ . In the second

case  $g' = \mu^{-1}e^{-\frac{2}{m+2}\theta}g$ , then  $f$  is a conformal diffeomorphism. Thus, using Remark 2, we obtain

**Theorem 2.7** *Let  $f : (M, g) \rightarrow (M', g')$  be a diffeomorphism of an  $m$ -dimensional ( $m \geq 3$ ) Riemannian manifold  $(M, g)$  onto a compact Riemannian manifold  $(M', g')$  of nonpositive sectional curvature. If  $f$  is a harmonic diffeomorphism of class  $\mathcal{T}_1 \oplus \mathcal{T}_3$ , then either  $(M', g')$  is locally a Riemannian product, or  $f$  is a homothetic diffeomorphism.*

**Remark 2.1.** P.A. Shirokov proved the following remarkable theorem [18]: a simply connected Riemannian manifold  $(M, g)$  is locally a Riemannian product of  $(M_1, g_1), \dots, (M_q, g_q)$  for  $2 \leq q \leq m$  if there exists a symmetric 2-tensor field  $g'$  with  $q$  distinct eigenvalues  $\lambda_1, \dots, \lambda_q$  which is covariantly constant. Moreover, if  $(M, g)$  is not locally a Riemannian product, then for each covariantly constant symmetric tensor field  $g'$  there holds  $g' = \mu g$  with constant  $\mu$ .

**2.5.** The diffeomorphism  $f : (M, g) \rightarrow (M', g')$  is a harmonic diffeomorphism of class  $\mathcal{T}_1$  if and only if the deformation tensor  $T \in \mathcal{T}_1(T_x M)$  for all  $x \in M$ . Then with respect to a common local coordinate system  $x^1, \dots, x^m$  we have

$$T_{ijk} = T_{jik}; \quad (2.13)$$

$$g^{ij}T_{kij} = 0 \quad (2.14)$$

It turns out that  $f : (M, g) \rightarrow (M', g')$  is a harmonic diffeomorphism of class  $\mathcal{T}_1$  if and only if  $\nabla'_k g_{ij} = \nabla'_i g_{kj}$  and  $dV_{g'} = e^C dV_g$  for an arbitrary constant  $C > 0$ . Thus we get

**Theorem 2.8** *A diffeomorphism  $f : (M, g) \rightarrow (M', g')$  between  $m$ -dimensional ( $m \geq 3$ ) pseudo-Riemannian manifolds is a harmonic diffeomorphism of class  $\mathcal{T}_1$  if and only if*

- (i) *the tensor field is a Codazzi tensor on  $(M', g')$ ;*
- (ii)  *$dV_{g'} = e^C dV_g$  for an arbitrary constant  $C > 0$ , therefore the diffeomorphism  $f : (M, g) \rightarrow (M', g')$  is either volume decreasing or volume increasing.*

From (1.21), (2.13), and the harmonicity of  $f$ , i.e. (2.14), we get

$$\Delta \ln \sqrt{\frac{\det g'}{\det g}} = \text{Skal} - \text{trace}_g \text{Ric}' + \|T\|^2.$$

**Theorem 2.9** *Let  $(M, g)$  and  $(M', g')$  be Riemannian manifolds of a same dimension, and  $f : (M, g) \rightarrow (M', g')$  be a harmonic diffeomorphism of class  $\mathcal{T}_1$ . Assume in addition that  $(M, g)$  is compact. If  $(M, g)$*

has non-negative scalar curvature, and  $(M', g')$  has non-positive Ricci curvature, then  $f$  is affine.

**Proof.** From the hypothesis we have  $Skal - trace_g Ric' + \|T\|^2 \geq 0$ . Therefore the Weitzenböck formula for harmonic diffeomorphisms of class  $\mathcal{T}_1$  implies that

$$\Delta \ln \sqrt{\frac{\det g'}{\det g}} = Skal - trace_g Ric' + \|T\|^2 \geq 0.$$

Thus, if  $(M, g)$  is compact, then  $T = 0$ . Such a map  $f : (M, g) \rightarrow (M', g')$  is said to be *affine* (see also [6]). In this case an arbitrary geodesic in  $(M, g)$  is mapped by  $f$  into a geodesic in  $(M', g')$  with the affine parameter preserved.  $\square$

**2.6.** Next, let  $f : (M, g) \rightarrow (M', g')$  be a harmonic diffeomorphism of class  $\mathcal{T}_2$ , i.e. the deformation tensor  $T \in \mathcal{T}_2(T_x M)$  for all  $x \in M$ . Then with respect to local coordinates we have

$$T_{ijk} + T_{jki} + T_{kij} = 0; \quad (2.15)$$

$$g^{jk} T_{jki} = 0. \quad (2.16)$$

From (1.11) we conclude that the identities (2.15) are equivalent to

$$\nabla'_i g_{kj} + \nabla'_k g_{ji} + \nabla'_j g_{ik} = 0. \quad (2.17)$$

Hence, for local coordinates  $x^1, \dots, x^m$  in  $(M', g')$  the differential equations of the geodesics  $\frac{dx^i}{ds} + \Gamma'^i_{jk} \frac{dx^j}{ds} \frac{dx^k}{ds} = 0$  admit the quadratic first integrals  $H_{jk} \frac{dx^j}{ds} \frac{dx^k}{ds} = \text{const}$  with the coefficients  $H_{jk} = g_{jk}$ .

In addition we can say that the identities (2.15) are equivalent to  $dV_{g'} = e^C dV_g$  for an arbitrary constant  $C > 0$ . This yields the following result.

**Theorem 2.10** *A diffeomorphism  $f : (M, g) \rightarrow (M', g')$  between  $m$ -dimensional ( $m \geq 3$ ) pseudo-Riemannian manifolds is a harmonic diffeomorphism of class  $\mathcal{T}_2$  if and only if*

(i) *the differential equations  $\frac{dx^i}{ds} + \Gamma'^i_{jk} \frac{dx^j}{ds} \frac{dx^k}{ds} = 0$  of geodesics in  $(M', g')$  written with respect to a common local coordinate system  $x^1, \dots, x^m$  admit quadratic first integrals  $H_{jk} \frac{dx^j}{ds} \frac{dx^k}{ds} = \text{const}$ , where  $H_{jk} = g_{jk}$ ;*

(ii)  *$dV_{g'} = e^C dV_g$  for an arbitrary constant  $C > 0$ , thus the diffeomorphism  $f : (M, g) \rightarrow (M', g')$  is either volume decreasing or volume increasing.*

From (1.21), (2.15) and the harmonicity of  $f$  we get

$$\Delta \ln \frac{\det g'}{\det g} = 2(Skal - trace_g Ric') - \|T\|^2.$$

**Theorem 2.11** *Let  $(M, g)$  and  $(M', g')$  be Riemannian manifolds of a same dimension, and  $f : (M, g) \rightarrow (M', g')$  be a harmonic diffeomorphism of class  $\mathcal{T}_2$ . Assume in addition that  $(M, g)$  is compact. If  $(M, g)$  has non-negative scalar curvature, and  $(M', g')$  has non-positive Ricci curvature, then  $f$  is affine.*

**Proof.** From the hypothesis we have  $2(\text{Skal} - \text{trace}_g \text{Ric}') - \|T\|^2 \leq 0$ . Therefore the Weitzenböck formula for harmonic diffeomorphisms of class  $\mathcal{T}_2$  implies that

$$\Delta \ln \frac{\det g'}{\det g} = 2(\text{Skal} - \text{trace}_g \text{Ric}') - \|T\|^2 \leq 0.$$

Thus, if  $(M, g)$  is compact, then  $T = 0$ . Such a map  $f : (M, g) \rightarrow (M', g')$  is affine [6].  $\square$

**2.7.** Let  $f : (M, g) \rightarrow (M', g')$  be a harmonic diffeomorphism of class  $\mathcal{T}_3$ . In this case the deformation tensor  $T \in \mathcal{T}_3(T_x M)$  for all  $x \in M$ , and hence we have

$$T_{ij}^k = \varphi_i \delta_j^k + \varphi_j \delta_i^k - \frac{2}{m} \varphi^k g_{ij}, \quad (2.18)$$

where  $\varphi^k = g^{kj} \varphi_j$ , and  $\varphi_j = \frac{m}{(m+2)(m-1)} T_{kj}^k = \frac{m}{(m+2)(m-1)} \partial_j \ln \sqrt{\left| \frac{\det g'}{\det g} \right|}$ .

Using Theorem 1.2, we obtain the following

**Theorem 2.12** *Let  $f : (M, g) \rightarrow (M'', g'')$  be a harmonic diffeomorphism of class  $\mathcal{T}_3$  between  $m$ -dimensional ( $m \geq 3$ ) pseudo-Riemannian manifolds. Then  $f$  is a composition  $f'' \circ f'$  of a conformal diffeomorphism  $f' : (M, g) \rightarrow (M', g')$  and a projective diffeomorphism  $f'' : (M', g') \rightarrow (M'', g'')$  of pseudo-Riemannian manifolds.*

From (1.21) and (2.18) we get

$$\Delta \ln \frac{\det g'}{\det g} = 2(\text{Skal} - \text{trace}_g \text{Ric}') + \frac{m-2}{(m-1)(m+2)} \|\theta\|^2,$$

where  $\theta = \text{trace} T = \frac{1}{2} \text{grad} \ln \frac{\det g'}{\det g}$ .

**Theorem 2.13** *Let  $(M, g)$  and  $(M', g')$  be Riemannian manifolds of a same dimension, and  $f : (M, g) \rightarrow (M', g')$  be a harmonic diffeomorphism of class  $\mathcal{T}_3$ . Assume in addition that  $(M, g)$  is compact. If  $(M, g)$  has non-negative scalar curvature, and  $(M', g')$  has non-positive Ricci curvature, then  $f$  is affine.*

**Proof.** From the hypothesis we have

$$2(\text{Skal} - \text{trace}_g \text{Ric}') + \frac{m-2}{(m-1)(m+2)} \|\theta\|^2 \geq 0.$$

Therefore the Weitzenböck formula for harmonic diffeomorphisms of class  $\mathcal{T}_3$  implies that

$$\Delta \ln \frac{\det g'}{\det g} = 2(\text{Skal} - \text{trace}_g \text{Ric}') + \frac{m-2}{(m-1)(m+2)} \|\theta\|^2 \geq 0.$$

Thus, if  $(M, g)$  is compact, then  $\theta = 0$ . A direct calculation shows that  $T = 0$  in this case. Therefore a diffeomorphism  $f : (M, g) \rightarrow (M', g')$  is affine [6].  $\square$

**2.8.** Finally, let  $f : (M, g) \rightarrow (M', g')$  be a harmonic diffeomorphism of the seventh class, i.e. the deformation tensor  $T = 0$ . Such a map  $f : (M, g) \rightarrow (M', g')$  is affine [6]. Under this assumption, we have from (1.9) that  $\nabla_k g'_{ij} = 0$ . Using Shirokov's theorem [18], we obtain

**Theorem 2.14** *Let  $f : (M, g) \rightarrow (M', g')$  be a diffeomorphism of a simply connected Riemannian manifold  $(M, g)$  onto a Riemannian manifold  $(M', g')$ . If  $f$  is a harmonic diffeomorphism of the seventh class, then one of the following assertions is true:*

- (i)  $(M, g)$  is locally a Riemannian product;
- (ii)  $f$  is a homothetic diffeomorphism.

## References

- [1] Stepanov S.E., *The classification of harmonic diffeomorphisms*, Abstracts of the 5th International Conf. on Geom. and Appl., Varna, August 24-29, 2001, Union of Bulgarian Mathematicians, Sofia, Bulgaria, 2001, P. 55.
- [2] Nore T., *Second fundamental form of a map*, Ann. Mat. Pure ed appl., **146** (1987), pp. 281-310.
- [3] Eells J., Lemaire L., *A report on harmonic maps*, Bull. London Math. Soc. **10** (1978), 1-68.
- [4] Eells J., Lemaire L., *Another report on harmonic maps*, Bull. London Math. Soc. **20** (1988), 385-584.
- [5] Davidov I., Sergeev A.G., *Twisted products and harmonic mappings*, Usp. Mat. Nauk, **48**, no. 3 (1993), pp. 3-96 (in Russian).
- [6] Yano K., Ishihara S., *Harmonic and relatively affine mappings*, J. Diff. Geom. **10** (1975), pp. 501-509.
- [7] Lichnerowicz A., *Applications harmoniques et varietes Kahleriennes*, Symposia Matematica (Indam, Rom, 1968/69), Bologna **3** (1970), pp. 341-402.
- [8] Narasimhan R., *Analysis on real and complex manifolds*, Masson & Cie, Paris, 1968.



- [9] Eisenhart L.P., *Riemannian geometry*, Princeton Univ. Press, Princeton (N. J.), 1949.
- [10] Gray A., Hervella L.M., *The sixteen classes of almost Hermitian manifolds and their linear invariants*, Ann. Math. Pure ed Appl., **123** (1980), 35-58.
- [11] Lew F., Hsiung Ch.-Ch., *A certain class of almost Hermitian manifolds*, Tensor, N.S. **48** (1989), 252-263.
- [12] Gray A., *Nearly Kahler manifolds*, J. Diff. Geom. **4** (1970), no. 3-4, 283-309.
- [13] Wu H. *The Bochner technique*. Princeton Univ. Press, Princeton (N. J.), 1987.
- [14] Eells J., Sampson J.H., *Harmonic mappings of Riemannian manifolds*, Amer. J. Math. **86** (1964), 109-160.
- [15] Stepanov S.E., *On the global theory of some classes of mappings*, Annals of Global Analysis and Geometry **13** (1995), no. 3, 239-249.
- [16] Stepanov S.E., *Riemannian almost product manifolds and submersions*, Journal of Mathematical Sciences **99** (2000), no. 6, 1788-1831.
- [17] Besse A.L., *Einstein Manifolds*, Springer-Verlag, Berlin-Heidelberg, 1987.
- [18] Shirokov P.A., *Constant vector fields and second order tensor fields in Riemannian spaces*, Izv. fiz.-matem. ob-va, Kazan **25** (1925), 86-114 (in Russian).
- [19] Nijenhuis A. *A note on first integrals of geodesics*, Proc. Kon. Ned. Akad. van Wetens., Amsterdam, 1967, Vol. 52, Ser. A, 141-145.

**Адрес:** Государственная Финансовая Академия, 129846, Москва, ул. Кибальчича, 1

**Адрес:** Владимирский государственный педагогический университет, 600024, г. Владимир, проспект Строителей, 11

**Address:** State Financial Academy, ul. Kibalchicha, Moscow: 129846, RUSSIA

**Address:** Vladimir State Pedagogical University, Prospect Stroiteley, 11, Vladimir: 600024, RUSSIA

**E-mail:** [stepanov@vtsnet.ru](mailto:stepanov@vtsnet.ru)