

Accurate Recognition of Words in Scenes without Character Segmentation using Recurrent Neural Network

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Abstract

Recognition of texts in scenes is one of the most important tasks in many computer vision applications. Though different scene text recognition techniques have been developed, scene text recognition under a generic condition is still a very open and challenging research problem. One major factor that defers the advance in this research area is character touching, where many characters in scene images are heavily touched with each other and cannot be segmented for recognition. In this paper, we proposed a novel scene text recognition technique that performs word level recognition without character segmentation. Our proposed technique has three advantages. First it converts each word image into a sequential signal for the scene text recognition. Second, it adapts the recurrent neural network (RNN), the technique that was widely used for the handwriting recognition. Third, by integrating multiple RNNs, an accurate recognition system is developed which is capable of recognizing scene texts including those heavily touched ones without character segmentation. Extensive experiments have been conducted over a number of datasets including several ICDAR Robust Reading datasets and Google Street View dataset. Experiments show that the proposed technique is capable of recognizing texts in scene accurately.

Keywords: Scene Text Recognition, Recurrent Neural Network

1. Introduction

Text recognition in scenes is one of the most important research areas in computer vision and it has been studied for many years with different successful applications. Due to the rapid development of mobile sensors and internet technology, a huge amount of digital images are produced every day. Textual regions as one of the most informative regions in scene images need to be interpreted properly and automatically to make these images more accessible and valuable.

The Robust Reading Competitions [1, 2] held under the framework of the International Conference on Document Analysis and Recognition(ICDAR) 2011 & 2013 show recent development on this research topic. One of the tasks of these competitions is to recognize cropped word images which have little constraints in terms of text fonts, environmental lighting, image background, etc. A number of recognition systems have been reported and evaluated over the benchmarking datasets and the recognition accuracy has been lifted from the initial around 50% to the recent around 80% over the last decades.

Scene text recognition is often investigated in two typical approaches. The first is the traditional OCR (Optical Character Recognition) approach, which first segments text pixels from the image background and then applies some existing OCR engines to recognize the segmented characters. Another is feature based approach, which extracts various visual features such as HOG (histograms of oriented gradients) and SIFT (scale-invariant feature transform) to train a multi-class character classification model.

The traditional OCR techniques have been developed for decades and achieves great success in different commercial systems. On the other hand, most of them are designed for the scanned document texts which are usually well formatted and have a good image quality. They often fail to produce good results when applied for texts in scenes, where characters have little constraints in term of text fonts, environmental lighting, image background, etc. as illustrated in Figs 1 (a) and 1 (e). Several systems [3, 4, 5] have been reported to extract a clean character regions before feeding to OCR engines but they usually suffer from

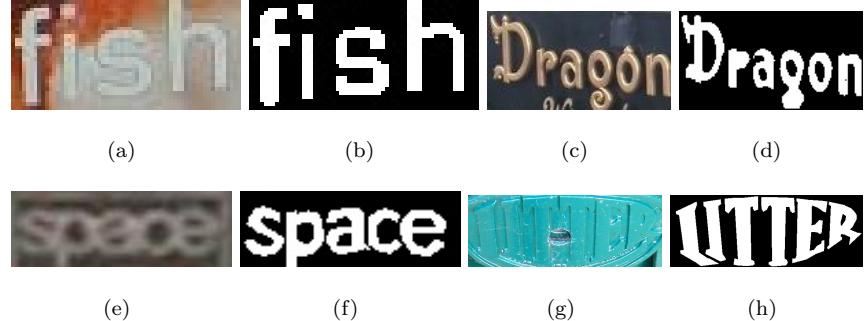


Figure 1: Four text image examples and their corresponding text segmentation ground truth that are taken from the benchmarking word image dataset [8] (From up to down, the text images become more difficult to recognize). The OCR results obtained using Abbyy Fine Reader 10.0 are (a: r), (b: fish), (c: -), (d: Draoon), (e: -), (f: -), (g: -), (h: -), where '-' denotes no results produced.

two typical constraints. First, text segmentation in scene images is a non-trivial problem due to uneven illumination, blur, low text background contrast, etc., as illustrated in Figs 1 (e), and 1 (g). Second, texts in scene images often have perspective distortion and special fonts, which cannot be recognized by traditional OCR engines properly as illustration in Figs 1 (c) and 1 (h). Different image restoration techniques [6, 7] are often required to produce satisfactory recognition results.
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The other approach exploits the object recognition techniques that have been extensively studied in recent years. In particular, these techniques can be
 40 categorised into two groups, namely character level recognition methods [9, 10, 11, 12, 13, 14, 15, 16] and word level recognition methods [17, 18, 19]. The character level recognition methods first recognize each character of the word image, and then group all the recognized characters into a word string. Various visual features such as HOG [12, 13, 20], and part based tree structure [14]
 45 have been exploited to represent characters in scenes. The convolutional neural network (CNN) has also been widely used as the character classifier in recent years [9, 10, 11, 16]. Besides, different clustering strategies have been proposed to group the recognized characters into a word string such as pictorial struc-



Figure 2: Word image examples taken from the recent Public Datasets [22, 1, 2]. All the words in the images are correctly recognized by our proposed method.

ture [13], conditional random field [12, 14], HMM [9], N-gram model [16, 10], etc.

- 50 On the other hand, segmenting a word images into character images is often a very challenging task and sometime even impossible as illustrated in Fig. 2 [21].

The word-level recognition treats each word image as a whole and performs recognition without the character segmentation. Different techniques [17, 18, 19] have been proposed in recent years and very promising results have been obtained. In particular, the DWT method [17] tries to find smallest distance between the word images and the font-renderings words within a lexicon. The attribute embedding method [18] creates a joint embedding space for word images and the word strings within a lexicon and finds a close match. The Whole Word Deep CNN method [19] treats each possible word in the lexicon as an output label of the trained CNN. The common limitation of these methods is that they all require an explicit lexicon which is costly and often inaccessible under many scenarios.

In [23], we proposed a scene text recognition technique that treats a word image as an unsegmented sequence. The major advantage is that it does not require an explicit lexicon (e.g. all the possible words are listed) and can perform the word-level recognition without lexicon or with an implicit lexicon (e.g. some constraints on the output word string) which is much easier to construct. Input

images are normalized into the same height and retain the aspect ratio before the feature extraction. The column feature is also extracted by using a fixed sized window. The aspect ratio of different characters such as 'i', 'I', 'W', and 'M' is very different, and so the same character in different fonts. The column features with a fixed window size cannot capture characteristics of different characters concurrently.

The new model as presented in this paper addresses the limitation and improves the word recognition accuracy significantly. In particular, we used image patches of different sizes to handle the large character aspect ratio variation and this approach also captures much richer characteristics of texts. Generally speaking, a small image patch can capture the stroke-level features as well as those thin characters such as 'l' and 'i', whereas a larger image patch is able to capture the character/intra-character level features as well as those wide characters such as 'M' and 'W'. In addition, the new model implemented multiple recurrent neural networks (RNNs) to combine column features from patches of different window sizes. Experiments show that the new model is robust and able to recognize various challenging word images.

The contributions can be summarized as follows:

- First, we design an effective way of converting a word image into a sequential signal so that RNN techniques that have been successfully used in speech processing and handwriting recognition areas, can be introduced and applied. We adapt RNN for recognition of texts in scenes, and design a segmentation-free scene word recognition system that obtains superior word recognition accuracy.
- Second, we propose a new ensembling technique that combines outputs from two RNNs for better recognition results. The proposed ensembling technique is generic and can be easily extent to ensemble other models for better performance.
- Third, compared with some systems [10, 11] that rely heavily on certain local dataset (which are not available to the public), our system makes use

of several publicly available datasets in training stage, hence providing a baseline for easier benchmarking of the ensuing scene text recognition techniques.
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- Last but not least, compared with the character based recognition methods, our system only require word level annotation of text for training, which could save a lot effort on generating character level ground truth, as well as character level segmentation.

105 **2. Proposed Method**

The proposed technique consists of three key components, including sequential feature generation which converts a word image into a sequential feature, RNN model training where two multi-layer RNNs are trained together with Long Short Term Memory (LSTM) [24] and connectionist temporal classification (CTC) [25], and an ensembling technique that combines outputs of multiple RNN to produce improved word recognition accuracy.
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2.1. Word to Sequential Feature Conversion

To apply the RNN model, the input word image needs to be first converted into a sequential feature. In speech recognition, the input signal is already a
115 sequential data and feature can be directly extracted frame by frame. Similarly, a word image can be viewed as a sequential array if we take each column of the word image as a frame. This idea has been applied for handwriting recognition [25] and achieved great success.

However, the same procedure for handwriting cannot be applied to the scene
120 text recognition problem due to two factors. First, the input data of the handwriting recognition task is usually binary or has a clear bi-modal pattern, where the text pixels can be easily segmented from the background. Second, the handwritten text usually has a much smaller stroke width variation compared with texts in scenes, where features extracted from each column of handwriting
125 images usually contains more meaningful classification information.

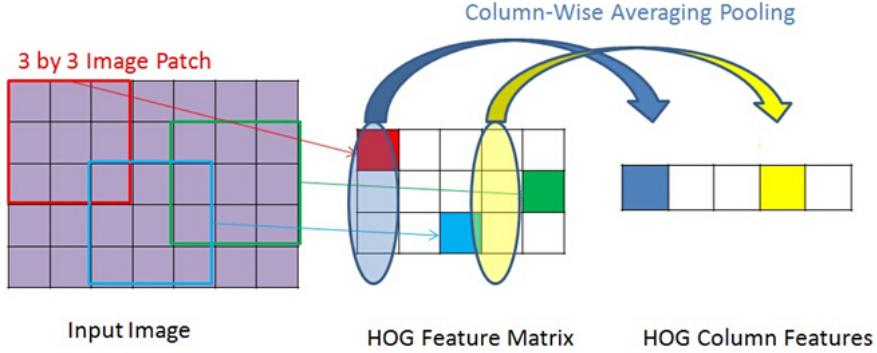


Figure 3: An illustration of the HOG column feature extraction process: The 5 by 7 rectangle grid is used to represent an input image, where each cell denotes an image pixel. The feature is extracted based on 3 by 3 image patch to form a HOG feature matrix represented by a 3 by 5 grid, each cell of which denotes a HOG feature vector. Finally, the average pooling strategy is applied column by column to construct the HOG column feature.

As word images in scenes often do not have a clear bi-modal pattern and the texts have large variations in stroke width and inter-word blank, we extract features by using HOG that is robust to the illumination variation and invariant to the local geometric and photometric transformations. In particular, the input images are first resized to the same height to obtain a column feature with the same length. The input image is then convolutionally partitioned into patches with step size 1.
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$$HOG_{avg}(i, j) = \sum_{p=i-t/2}^{i+t/2} (HOG(p, j))/t \quad (1)$$

where i, j refer to index, HOG denotes the normalized HOG feature vector of corresponding patch, HOG_{avg} denotes the feature vector after averaging pooling, and t denotes the size of neighbouring window for average pooling on the same column. A column feature is finally determined by concatenating the averaged HOG feature vectors at the same column, so the vertical positioning
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information of the text is preserved in the sequential feature. Fig. 3 illustrates the overall feature extraction process using 3×3 image patch.

¹⁴⁰ We also tested max pooling in our experiments. Our test shows that average pooling scheme performs slightly better, largely due to the better suppression of the background noise by the average pooling.

2.2. Recurrent Neural Network Modelling

¹⁴⁵ RNN is a special neural network that has been used for handling sequential data. The RNN aims to predict the label of current time stamp with the contextual information of past time stamps. It is a powerful classification model but not widely used in the literature. The major reason is that it often requires a long training process as the error path integral decays exponentially along the sequence [26].

¹⁵⁰ The long short-term memory (LSTM) model [26] was proposed to solve this problem. In LSTM, an internal memory structure is used to replace the nodes in the traditional RNN, where the output activation of the network at time $t + 1$ is determined by the input data of the network at time $t + 1$ and the internal memory stored in the network at time t . The learning procedure under LSTM ¹⁵⁵ therefore becomes local and constant. Furthermore, a forget gate is added to determine whether to reset the stored memory [27]. This strategy helps the RNN to remember contextual information and withdraw errors during learning.

Bidirectional LSTM is further proposed to predict the current label with past and future contextual information by processing the input sequence in two ¹⁶⁰ directions (i.e. from beginning to end and, from end to beginning).

CTC [25] is then applied to the output layer of RNN to label the unsegmented data. In our system, a training sample can be viewed as a pair of input column feature and a target word string $(\mathbf{C}, \mathcal{W})$. The objective function of CTC is then defined as follows:

$$\mathcal{O} = - \sum_{(\mathbf{C}, \mathcal{W}) \in \mathcal{S}} \ln p(\mathcal{W} | \mathbf{C}) \quad (2)$$

¹⁶⁵ where \mathcal{S} denotes the whole training set and $p(\mathcal{W}|\mathbf{C})$ denotes the conditional probability of word \mathcal{W} given a sequence of column feature \mathbf{C} . The target is to minimize \mathcal{O} , which is equivalent to maximize the conditional probability $p(\mathcal{W}|\mathbf{C})$.

¹⁷⁰ The output path π of the RNN output activations has the same length of the input sequence \mathbf{C} . It is clear that the neighbouring column feature vectors might represent the same character. In addition, some column feature vectors may not represent any labels, an additional 'blank' output label is added into the RNN output layer. The repeating labels and empty labels also need to be removed to map to the target word \mathcal{W} . For example, ('-', 'a', 'a', 'a', 'a', 'b', 'b', 'b') can be mapped to (a, b) , where '-' denotes the empty label. So the $p(\mathcal{W}|\mathbf{C})$ is defined as follows:

$$p(\mathcal{W}|\mathbf{C}) = \sum_{V(\pi)=\mathcal{W}} p(\pi|\mathbf{C}) \quad (3)$$

¹⁸⁰ where V denotes the operator that translates the output path π to target word \mathcal{W} . It is worth to note that the translation process V is not unique. $p(\pi|\mathbf{C})$ refers to the conditional probability of output path π given input sequence \mathbf{C} , which is defined as follows:

$$p(\pi|\mathbf{C}) = \prod_{t=1}^L p(\pi_t|\mathbf{C}) = \prod_{t=1}^L y_{\pi_t}^t \quad (4)$$

where L denotes the length of the output path and π_t denotes label of output path π at time t . The term y^t denotes the network output of RNN at time t , which can be interpreted as the probability distribution of the output labels at time t . Therefore $y_{\pi_t}^t$ denotes the probability of π_t at time t .

¹⁸⁵ The CTC forward backward algorithm [25] is then applied to calculate $p(\mathcal{W}|\mathbf{C})$. The RNN network is trained by back-propagating the gradient through the output layer based on the objective function as defined in Eq. 2. Once the RNN is trained, it can be used to convert a sequential feature vector into a probability matrix. In particular, the RNN will produce a $L \times G$ probability matrix \mathbf{Y} given an input sequence of column feature vector, where L denotes

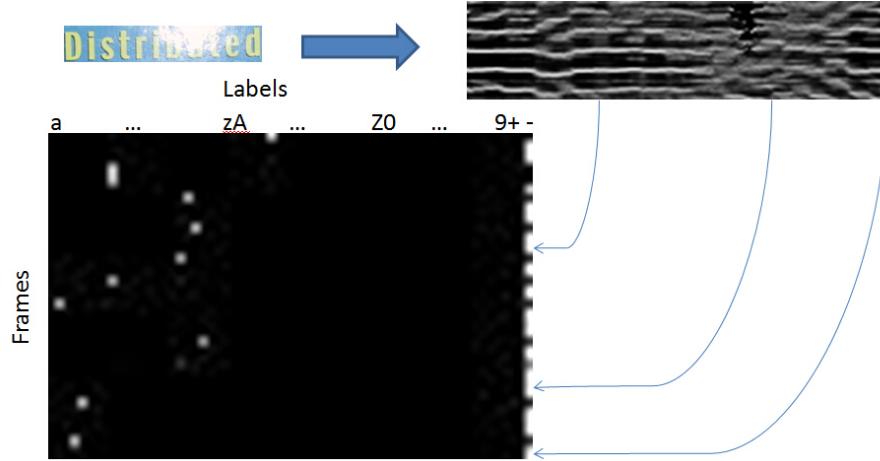


Figure 4: The output probability matrix of an input word image 'Distributed'. The input word image is first converted into column features. Each frame (column) is fed as the input of the RNNs. The RNNs will generate the output distribution of all the possible labels for each frame. The brightest spot of each row denotes the corresponding label has higher probability.

the length of the sequence, and G denotes the number of possible output labels, where the empty label is not included. Fig. 4 shows an example of probability matrix. Each entry of \mathbf{Y} can be interpreted as the probability of a label at a time step. Hence when the lexicon is unavailable, the recognition result can be derived by combining all the labels with the highest probability of each row.
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Compared with the traditional HMM model that generates observations based only on the current hidden state, this RNN approach incorporates the context information including the historical states by using the LSTM structure [25]. In addition, the proposed approach does not require explicit labelling
 200 of every single column vector of the input sequence. This is very important to the scene text recognition because characters in scenes are often connected, broken, or blurred where the explicit labelling is sometimes nearly an impossible task as illustrated in Fig. 2.

2.3. Ensembling RNNs with Lexicon

205 With a probability matrix \mathbf{Y} and a lexicon \mathcal{L} consisting of a set of possible words, the word recognition can be formulated as searching for the best match word w^* with a highest score. We first calculate a score of each possible word as follows:

$$score_w = p(w|\mathbf{Y}) = \sum_{V(\pi)=w} p(\pi|\mathbf{Y}) \quad (5)$$

210 where $p(w|\mathbf{Y})$ is the conditional probability of word w given \mathbf{Y} . A direct graph can be constructed for the word w so that each node represents a possible label of w . In other words, we need to sum over all the possible paths that can form a word w on the probability matrix \mathbf{Y} to calculate the score of a word w .

215 A new word w^i can be generated by adding some blank interval into the beginning and ending of w as well as the neighbouring labels of w , where the blank interval denotes the empty label. The length of w^i is $2 * |w| + 1$, where $|w|$ denotes the length of w . A new $|w^i| \times L$ probability matrix \mathfrak{P} can thus be formed, where $|w^i|$ denotes the length of w^i and L denotes the length of the input sequence. $\mathfrak{P}(m, t)$ denotes the probability of label w_m^i at time t , which can be determined by the probability matrix \mathbf{Y} . Each path from $\mathfrak{P}(1, 1)$ to $220 \mathfrak{P}(|w^i|, L)$ denotes a possible output π of word w , where the probability can be calculated using Eq. 4 as illustrated in Fig. 5.

The problem thus changes to the score accumulation along all the possible paths in \mathfrak{P} . It can be solved using dynamic programming. The computational complexity of this algorithm is $O(L \cdot |w^i|)$.

225 If we extract several sets of features with different scales using different parameter settings, there will be more than one trained RNN models. Each RNN model will assign a score to every possible words in the lexicon \mathcal{L} . So we can combine the scores given by the two models to obtain the best match word w^* as follows:

$$w^* = \arg \max_{w \in \mathcal{L}} \sum_{i=1}^n (\alpha^i * score_w^i) \quad (6)$$

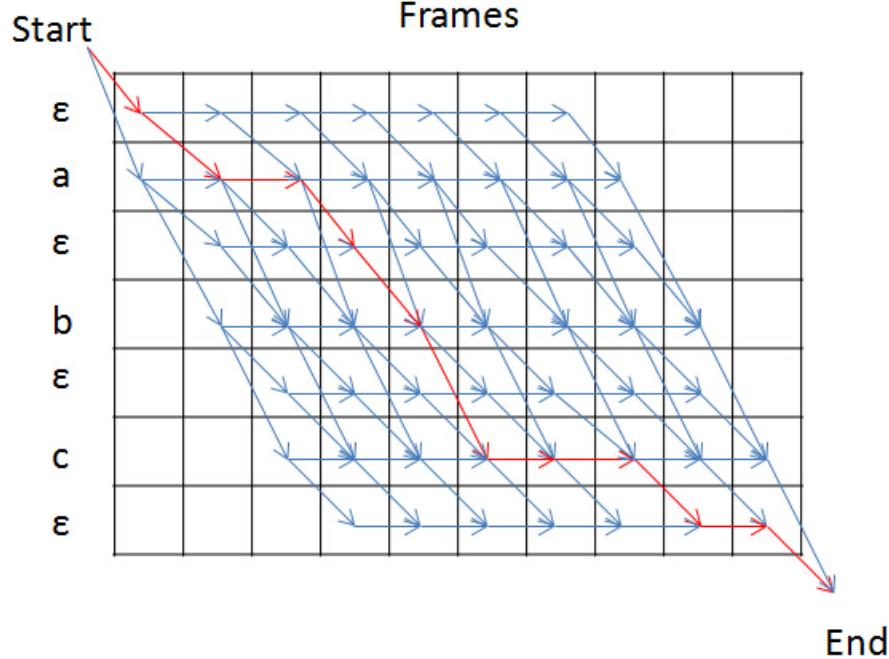


Figure 5: An illustration of calculation of word score. Take a simple string 'abc' as an example, we first form a m by n matrix, where m denotes the length of string after inserting empty label, n denotes the size of column features. Each cell (i,j) of the matrix denotes the probability of a label i at frame j . The blue arrows show all the possible paths from start to end, while the red arrow denotes a specific path.

²³⁰ where $score_w^i$ denotes the assigned score to word w by the i th RNN model as defined in Eq. 5, α^i denotes the weight of each RNN models, which can be determined based its recognition accuracy on the validation dataset as defined in Eq. 7 below.

$$\alpha^i = \frac{acc^i}{\sum_{j=1}^n acc^j} \quad (7)$$

²³⁵ where acc^i denotes the classification accuracy of RNN model i on the validating dataset. Basically, the classifier with higher accuracy will be given higher weight.

3. Experiments and Discussion

3.1. Experiment Configuration

In the proposed system, we use two sets of parameters as described in Section 2.2:

- 240 • Feature Set 32: all cropped word images are normalized to be of the same height, i.e., $M = 32$. The patch size W , the HOG bin number, and the averaging window size T are set to 8, 9, and 5, respectively.
- 245 • Feature Set 64: all cropped word images are normalized to be of the same height, i.e., $M = 64$. The patch size W , the HOG bin number, and the averaging window size T are set to 9, 9, and 7, respectively.

For RNN, the number of input cells is the same as the length of the extracted column feature at 40. The output layer has 64 cells including 62 for characters ([a...z,A...Z,0...9]), one label for special characters ([+,&,\$,...]), and one for empty label. The RNN uses 5 hidden layers that have 60, 80, 100, 120 and 140 cells, respectively.

The proposed method has been tested on four datasets, including 1) three ICDAR Robust Reading Competition datasets (ICDAR 2003, ICDAR 2011 and ICDAR 2013) [22, 1, 2] that consist of scene images captured in different environments, and 2) Google Street View Text dataset (SVT) [13] that mainly consists of images of signboards and shops' names in outdoor environments. Another three datasets are also included for training: ICDAR Born Digital Image Dataset (BDI) [28], Sign Recognition Dataset (SRD) [29] and IIIT5K Dataset [30].

Table 1 shows more details of all these datasets. Since some images appear concurrently in the ICDAR 2003, ICDAR 2011 and ICDAR 2013 datasets, we use only the training images within these three datasets in conjuncted with training images from the other three datasets (i.e. BDI, SRD and IIIT5K) to train a model when testing on the three ICDAR test datasets. For the SVT dataset, we take all the images from other datasets (BDI, SRD, IIIT5K and

Table 1: Information of experimental datasets.

Datasets	Training Images	Testing Images
ICDAR 2003 [22]	1156	1110
ICDAR 2011 [1]	848	1189
ICDAR 2013 [2]	848	1095
SVT [13]	257	647
IIIT5K	5000	-
BDI	918	-
SRD	215	-

²⁶⁵ ICDAR 2003, ICDAR 2011, and ICDAR 2013) together with the SVT train images to train a model and test on the SVT test images. The motivation is to use the publicly available datasets only, so that the ensuing models can be benchmarked in a fair way.

3.2. Experimental Results

²⁷⁰ We compare our proposed method with several state-of-the-art techniques as shown in Table 2. The compared techniques can be grouped into three categories including 1) **Segmentation based techniques** (markov random field method (MRF) [3], inverse rendering method (IR) [5], nonlinear color enhancement method (NESP) [4]) that segment the text regions from the word images, 2) **Character level recognition techniques** (HMM Maxout model (HMM) [9], HOG based conditional random field method (HOGCRF) [12], CNN model (CNN) [11], Part Based Tree structure method (PBS) [14] ¹, Clustering

¹The accuracy is obtained on 49 classes.

sub-patches of characters method (Strokelets) [15], PhotoOCR [10] and Deep CNN Model (DCNN) [16]) that recognize word images through segmentation and integration of character recognition results and 3) **Word level recognition techniques** (Embedded attributes (AE) [18], Dynamic time warping (DTW) [17], and Whole Word Deep CNN Model(WWDCNN) [19]) that treat each word images as a whole without character segmentation.

To make a fair comparison, we evaluate recognition accuracy on testing data with a lexicon created from all the words in the test set (as denoted by ICDAR03(FULL) and ICDAR11(FULL) in Table 2, as well as with lexicon consisting of 50 random words from the test set (as denoted by ICDAR03(50) and ICDAR11(50) in Table 2 as performed in [17, 32, 14]. For the SVT dataset, we directly adopt the 50-word lexicon as provided in [13].

290 3.2.1. Experiments on Public Datasets

Table 2 shows word recognition accuracy of the proposed technique and the compared techniques on ICDAR 2003, ICDAR 2011 and SVT datasets, respectively. Text segmentation methods (MRF [3], IR [5], and NESP [4]) produce lower recognition accuracy than other methods because robust and accurate scene text segmentation is a very challenging task. In addition, the CNN approach performs the best among all the character level recognition methods [9, 16, 11]. To train a robust CNN character classifier, a large amount of character level training data need to be labelled and synthetic character data is often needed as well.

For the word level recognition methods, our proposed method produces better recognition results compared with the DTW and AE methods. The WWDCNN method performs the best which can be largely attributed to the huge training dataset including 9 million synthetic word images. On the other hand, the deep network model in the WWDCNN method needs to be updated if the lexicon has been changed. Additionally, the WWDCNN method cannot work properly when the lexicon is implicit and the searching space is huge, such as the car plate recognition task to be described in the next subsection (where

Table 2: Word recognition accuracy on the ICDAR 2003 & 2011 and SVT testing datasets.

Methods	ICDAR 03 (Full)	ICDAR 03 (50)	ICDAR 11 (Full)	ICDAR 11 (50)	SVT
Text Segmentation Techniques					
MRF [3]	0.67	0.69	-	-	-
IR [5]	0.69	0.77	-	-	-
NESP [4]	0.66	-	0.73	-	-
Character Level Recognition Techniques					
PLEX [13]	0.62	0.76	-	-	0.57
HOGCRF [12]	-	0.82	-	-	0.73
PBS (49 classes) [14]	0.79	0.87	0.83	0.87	-
PhotoOCR [10]	-	-	-	-	0.90
CNN [11]	0.84	0.90	-	-	0.70
Strokelets [15]	0.80	0.88	-	-	0.76
HMM [9]	0.89	0.93	-	-	0.74
DCNN [16]	0.92	0.96	-	-	0.86
Word Level Recognition Techniques					
DWT [17]	-	0.90	-	-	0.77
AE [18]	-	-	-	-	0.87
WWDCNN [19]	0.99	0.99	-	-	0.95
Proposed 32	0.84	0.93	0.81	0.90	0.85
Proposed 64	0.85	0.93	0.83	0.90	0.88
Proposed 32+64	0.87	0.94	0.85	0.92	0.89
Proposed 32+64 with partial augmented data by [31]	0.89	0.95	0.87	0.93	0.91

building a complete lexicon is nearly impossible).

Compared with the CNN architecture, our proposed model can perform the
310 word level recognition without requiring the lexicon because it treats each input
word image as a sequential signal. Additionally, the character labels are learnt
and recognized implicitly during the training and evaluation stages. In addition,
to show that the proposed model can perform much better with augmented
315 data, we also train a new text recognition model by adding in a certain part
of the newly publicly available data provided by [31] which consists of lots of
synthetic text images. Due to the constraints of the computational power, we
only incorporate a subset of the dataset with 10000 randomly selected text
images (out of 9 million images available). As the last row of Table 2 shows,
the recognition accuracy is improved with a small subset of the synthetic data
320 compared with that shown in the second last row where the augmented data
in [31] is not used.

Based on our study, our proposed model works best when the input word
images are more or less horizontal. In fact, one major failure source is due to the
severe perspective distortion where words are captured in arbitrary orientations.
325 This limitation could be relieved by perspective/affine rectification which we will
investigate in our future work.

In addition, our proposed method obtains a superior word recognition accuracy
330 of 89% for SVT data set as shown in Table 2. The superior performance
can be explained by the character-segmentation-free characteristic of our pro-
posed method, because many word images in the SVT dataset are difficult to
segment compared with word images in ICDAR datasets as illustrated in Fig. 6.
That is why almost all the state-of-the-art techniques perform worse on the
335 SVT dataset as compared with the three ICDAR datasets. At the same time,
the superior performance of our proposed technique can also be attributed to
the ensembling of the two sets of discriminative visual features, because texts
within the SVT dataset often have very different fonts and sizes. The Photo-
toOCR [10] and WWDCNN [19] also report higher word recognition accuracies
(90% and 95% respectively). As a comparison, our proposed method achieves

similar performance and is better in terms of training data size, training time,
340 and computational costs.

Furthermore, we apply our proposed method on the recent ICDAR 2013
Rubust Text Reading Competition dataset [2]², where 22 algorithms are sub-
mitted from 13 research groups. The winning PhotoOCR method [10] makes
use of a large multi-layer deep neural network and obtains 83% accuracy on the
345 testing dataset. The WWDCNN [19] also achieves very promising recognition
performance (91%) as shown in Tabel 3. Note that PhotoOCR method does
not use lexicon but uses a huge amount of training data including more than 5
million word images. The WWDCNN method also takes advantage of the 9 mil-
lion synthetic text images. Therefore, a large amount of training data can help
350 to train a better model but the acquisition is often costly and even infeasible
under many practical situations. Alternatively, it is often more approachable
to leverage on some implicit or explicit lexicon to reduce the searching space
and improve the recognition accuracy. As a comparison, our proposed method
achieved 90% recognition accuracy when a lexicon with around 1000 words is
355 used as illustrated in the last row of Table 3. The accuracy of our proposed
technique drops to 76% without using a lexicon, which is still much higher than
the other participating methods of the competition as shown in Table 3.

3.3. Discussion

Our system is implemented on Ubuntu 13.10 with 16GB RAM and Intel 64
360 bit 3.40GHz CPU. The training process takes about 1 hours on a training set
with about 3000 word images. The average time for recognizing a cropped word
image is about one second. This speed is comparable with the state-of-the-art
techniques, such as PhotoOCR [10], which takes around 1.4 seconds to recognize
a cropped word image. It can be further improved through code optimization
365 and hardware acceleration.

We also investigate the correlation between the lexicon size and the word

²<http://dag.cvc.uab.es/icdar2013competition>

Table 3: Word recognition accuracy on the ICDAR 13 testing dataset.

Methods	ICDAR 13 (Full)	ICDAR 13 (No Lexicon)
WWDCNN [19]	-	0.91
PhotoOCR [10]	-	0.83
NESP [4]	-	0.64
PicRead [2]	-	0.58
Baseline (ABBYY)	-	0.45
Proposed 32+64	0.85	0.70
Proposed 32+64 with partial augmented data by [31]	0.90	0.76

recognition accuracy of our proposed method. Fig. 7 shows word recognition accuracy of the three ICDAR datasets. As illustrated in Fig. 7, four lexicon sizes are tested that consist of 5, 10, 20, and 50 words, respectively, and the word recognition accuracy consistently increases when the lexicon size becomes smaller.
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The proposed technique fails typically when texts in scenes are severely curved or suffer from severe perspective distortion as illustrated in Fig. 8. Under such circumstance, each word image as cropped by a perfect rectangle box often includes a large non-text region which introduces a certain amount of noise into the converted sequential feature. The performance of the proposed technique can therefore be improved greatly if a more accurate bounding box can be produced where non-text background can be identified and excluded from the feature extraction. We will look into this issue in our future study.
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Figure 6: Some sample images taken from SVT dataset: The text in these images are blurred and heavily touched, where character segmentation is almost an impossible task.

³⁸⁰ **4. Conclusion**

Word recognition under unconstrained conditions is a difficult task and has attracted increasing research interest in recent years. Many methods have been reported to address this problem but there is still a big gap for automatic machine reading of texts in natural scenes. This paper presents a novel scene text recognition system that is based on RNN modeling and ensembling.

³⁹⁰ Compared with state-of-the-art techniques, our proposed method is able to recognize the whole word images without segmentation. It works by integrating three key novel components. First, it converts a word image into sequential feature vectors and requires no character-level segmentation and recognition. Second, the RNN is introduced and exploited to classify the sequential column feature vectors into word accurately. Third, the proposed model combines two sets of sequential features to produce better results. Experiments on several public datasets show that the proposed technique obtains superior word recognition accuracy. In addition, the proposed technique is trained and tested over several publicly available datasets which could form a good baseline for future benchmarking of other new scene text recognition techniques.

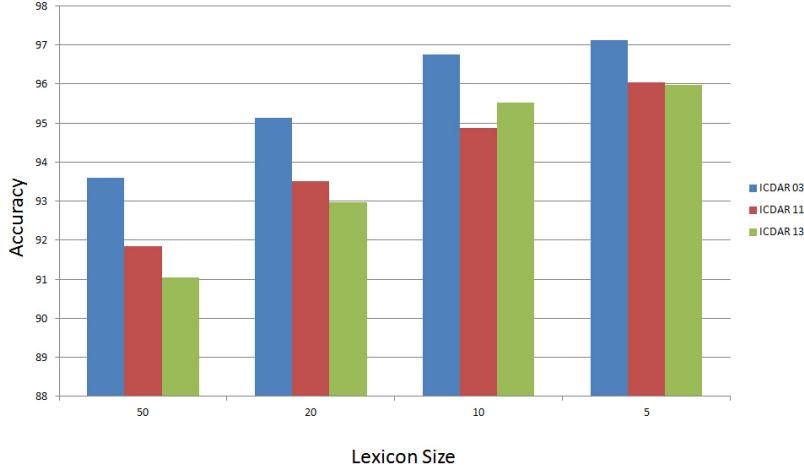


Figure 7: Word recognition accuracy of our proposed method on ICDAR 03, ICDAR 11 and ICDAR 13 datasets with different lexicon sizes.

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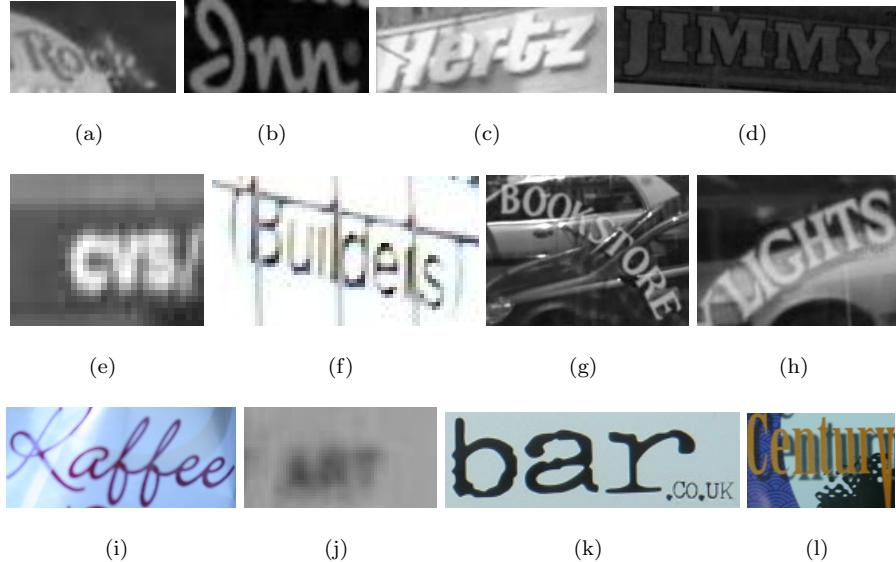


Figure 8: Examples of word images that fail to be recognized by our method. The recognition results of these images by our proposed method are: a) I, b) SWTW, c) MEAW, d) BMAMAY, e) TWA, f) PUIBEOR, g) MT, h) S, i) Xff9, j) PASN, k) DAr.L, l) Setuy

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