

Lecture 10

Segmentation and clustering

Administrative

A2 is out

- Due Feb 7th

A3 is out

- Due Feb 21st

Administrative

Recitation

- Geometric transformations

Content-aware Retargeting Operators

Content-aware



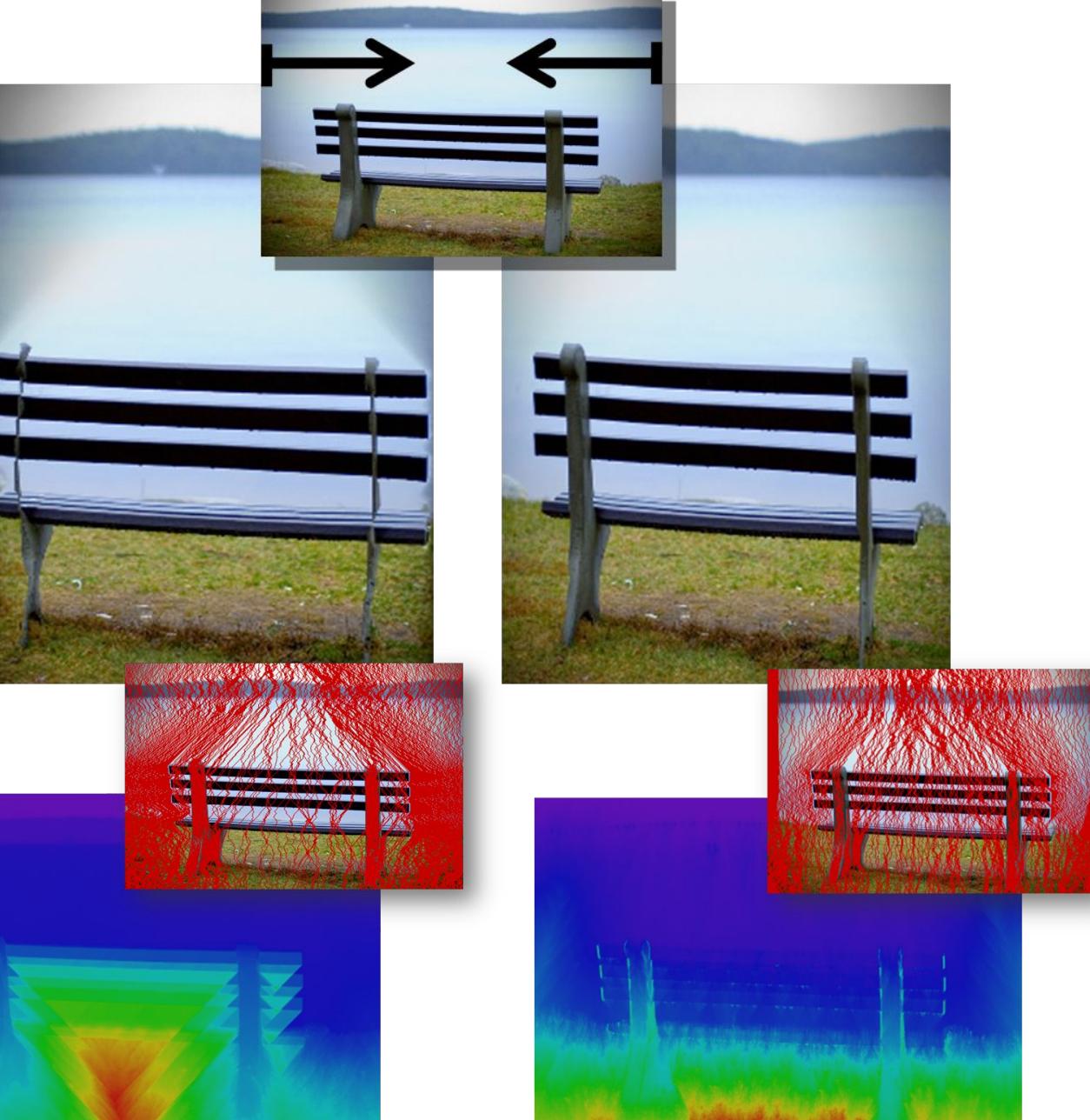
“Important”
content



Content-
oblivious

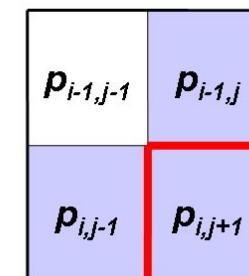
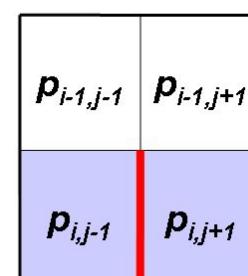
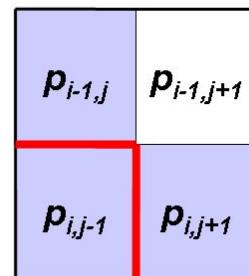
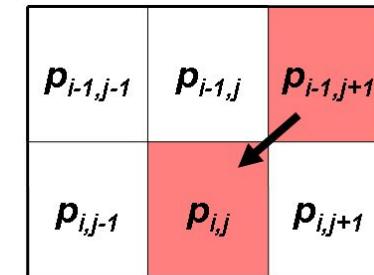
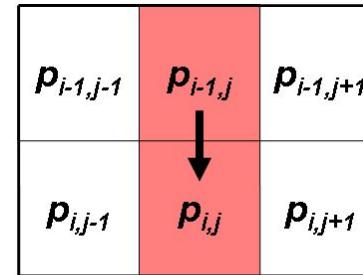
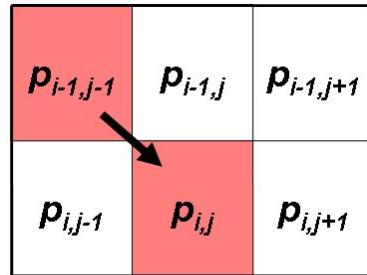


So far



So far: Seam carving with pixel energies

$$M(i, j) = E(i, j) + \min \begin{cases} M(i - 1, j - 1) + C_L(i, j) \\ M(i - 1, j) + C_V(i, j) \\ M(i - 1, j + 1) + C_R(i, j) \end{cases}$$



Retargeting in Both Dimensions

- Let $T(r,c)$ denote a new cost matrix of obtaining an image of size $(n-r) \times (m-c)$.

$$T(r, c) = \min(T(r - 1, c) + E(s^x(I_{n-r-1 \times m-c})), T(r, c - 1) + E(s^y(I_{n-r \times m-c-1})))$$

where $E(s^x(I_{n-r-1 \times m-c}))$ is the cost of removing a horizontal seam from the image $I_{n-r-1 \times m-c}$

Today's agenda

- Introduction to segmentation and clustering
- Gestalt theory for perceptual grouping
- Graph-based oversegmentation
- Agglomerative clustering

Reading:

Szeliski, 2nd edition, Chapter 7.5

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Reading:

Szeliski, 2nd edition, Chapter 7.5

Q. What do you see?

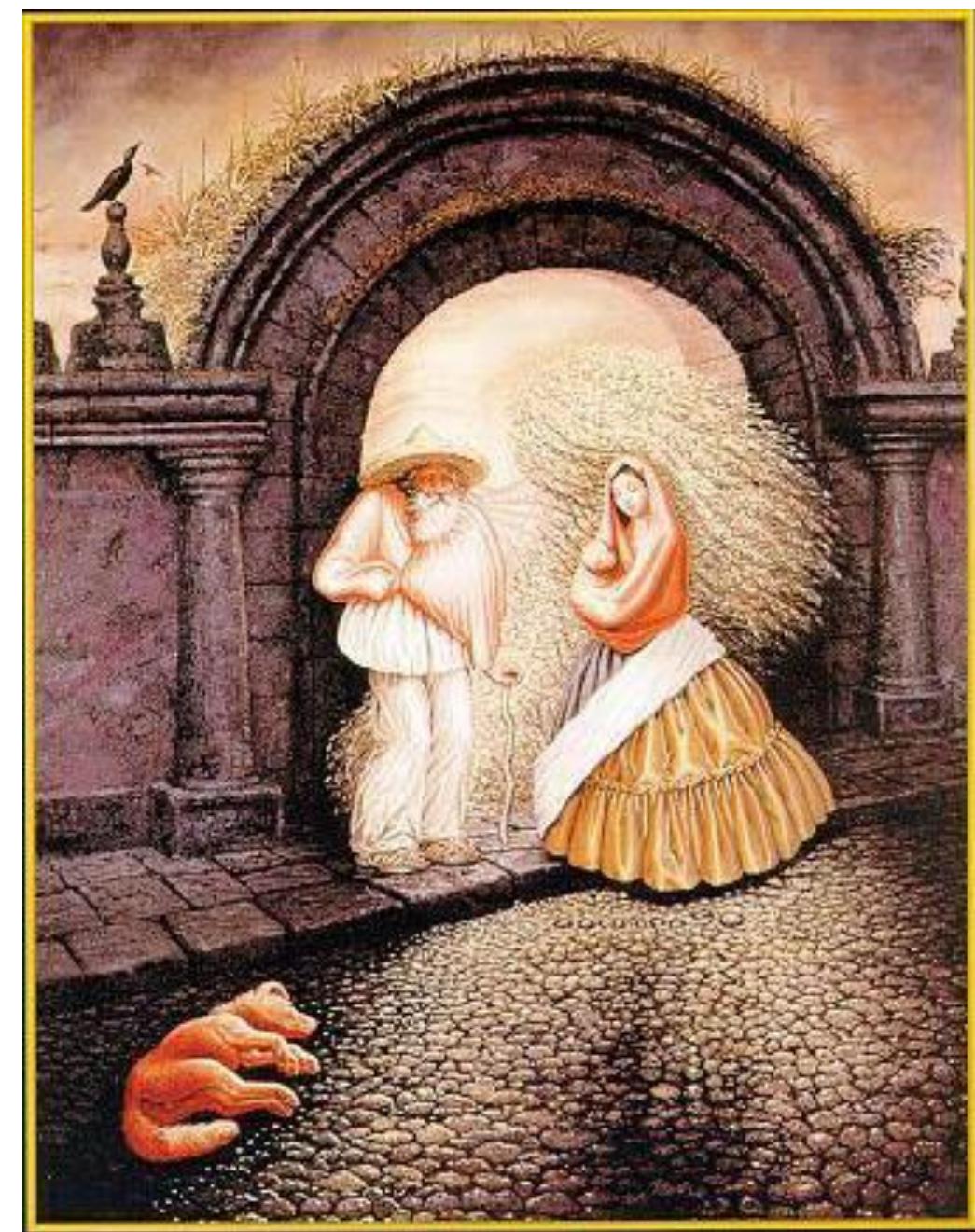
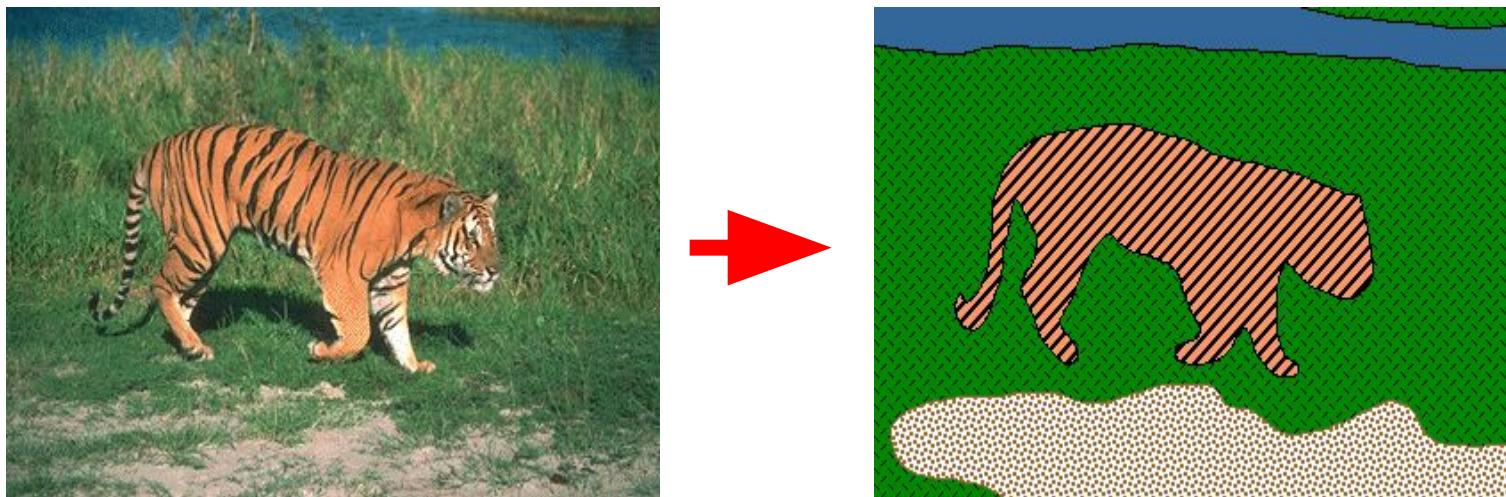


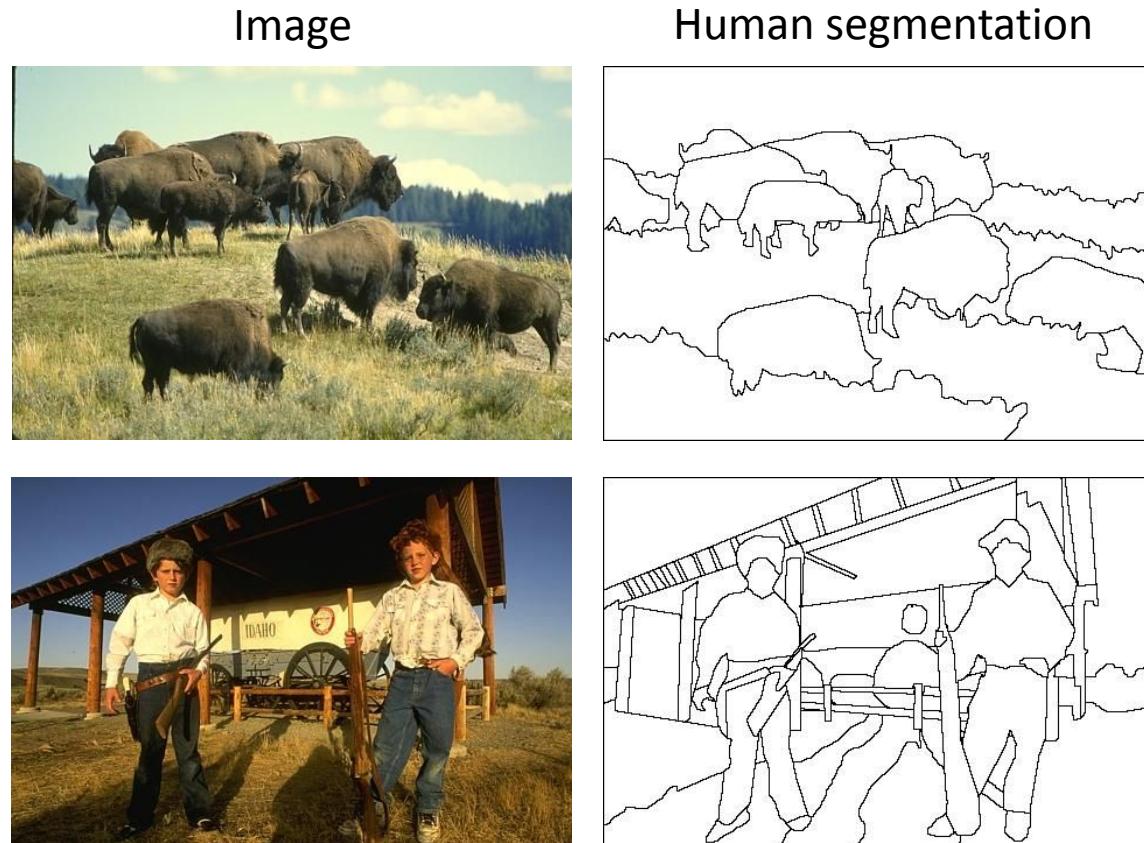
Image Segmentation

- Goal: identify groups of pixels that go together



The Goals of Segmentation

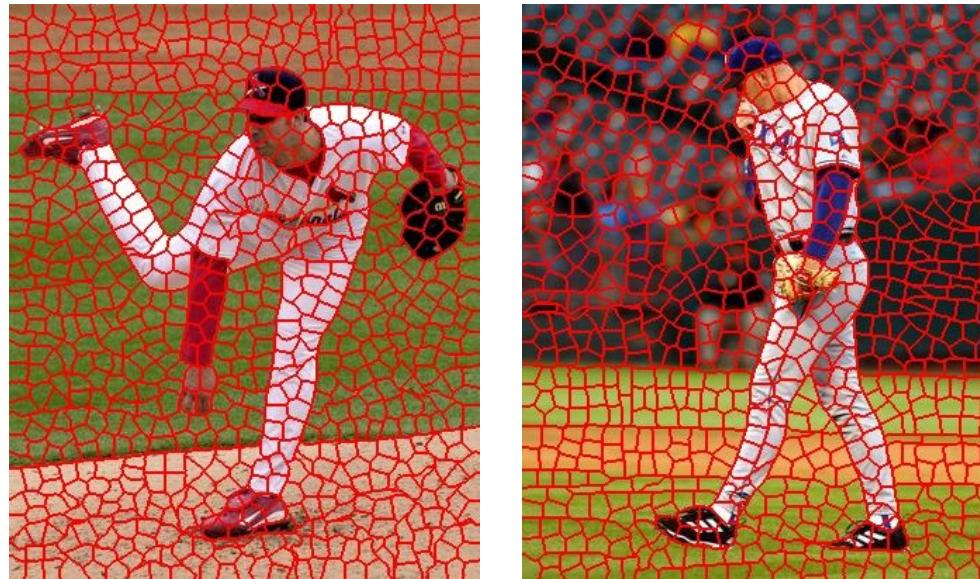
- Separate image into coherent “objects”



The Goals of Segmentation

- Separate image into coherent “objects”
- Group together similar-looking pixels for efficiency of further processing

“superpixels”

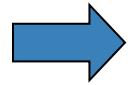


X. Ren and J. Malik. [Learning a classification model for segmentation](#). ICCV 2003.

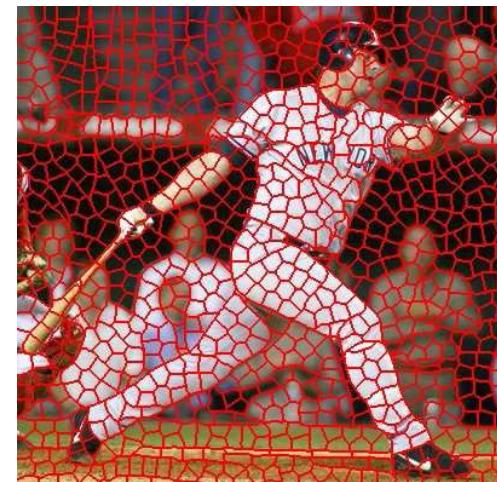
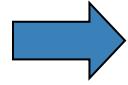
Segmentation for feature support



Segmentation for efficiency



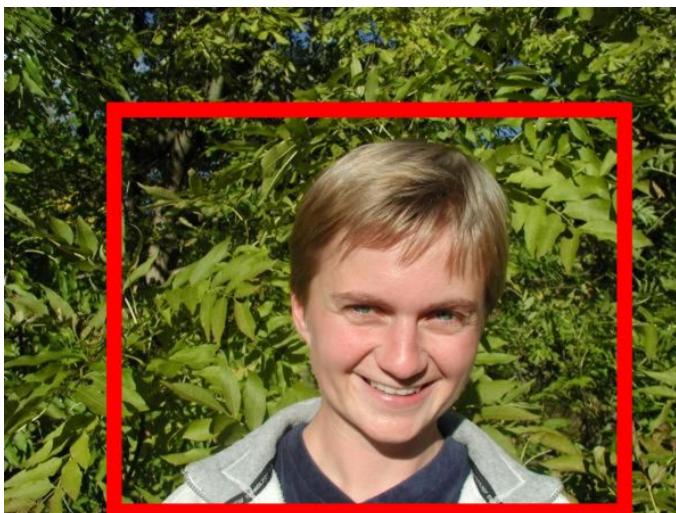
[Felzenszwalb and Huttenlocher 2004]



[Hoiem et al. 2005, Mori 2005]

[Shi and Malik 2001]

Segmentation is used in Adobe
photoshop to remove background

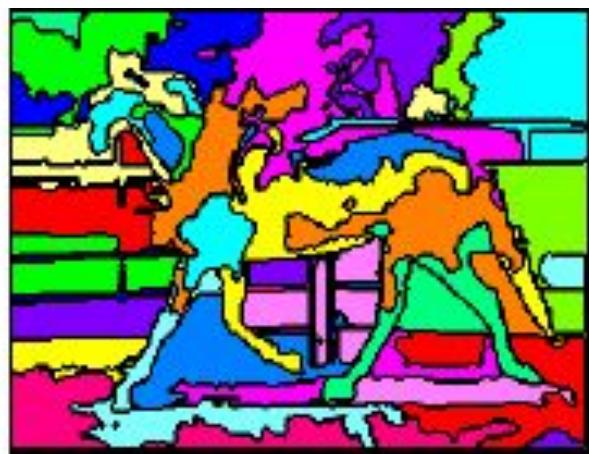


Rother et al. 2004

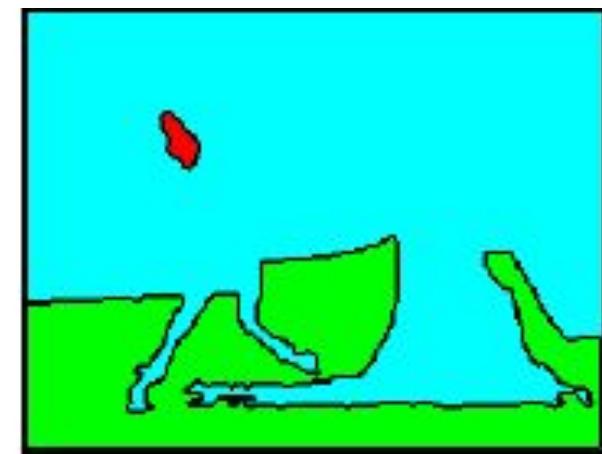
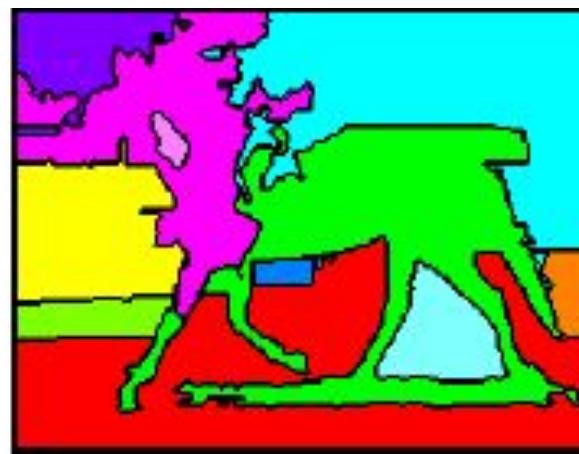
Segment Anything [2023]



Levels of segmentations



Over-segmentation



Under-segmentation

One way to think about “segmentation” is clustering

Clustering: group together similar data points and represent them with a single token

Key Challenges:

- 1) What makes two points/images/patches similar?
- 2) How do we compute an overall grouping from pairwise similarities?

Why do we cluster?

- **Summarizing data**

- Look at large amounts of data
- Find clusters of pixels
- Represent each cluster of pixels with a HoG feature

- **Counting**

- Histograms of texture, color, SIFT vectors

- **Foreground-background separation**

- Separate the image into different regions

- **Prediction**

- Images in the same cluster may have the same labels

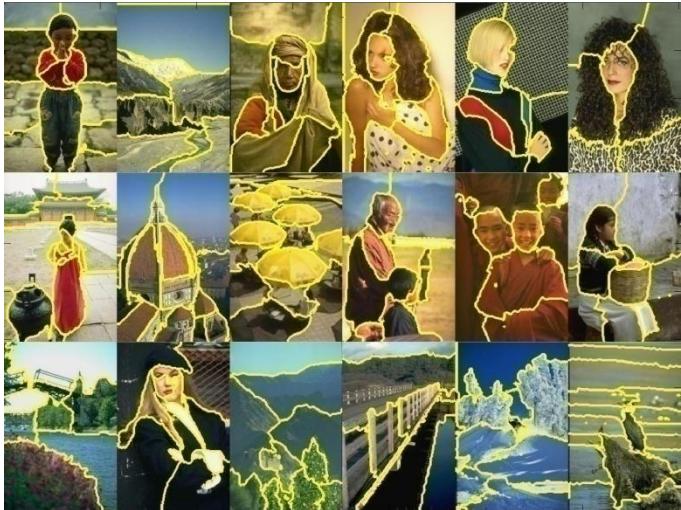
How do we cluster?

- **Agglomerative clustering**
 - Start with each point as its own cluster and iteratively merge the closest clusters
- **K-means**
 - Iteratively re-assign points to the nearest cluster center
- **Mean-shift clustering**
 - Estimate modes of pdf

General ideas

- **Tokens**
 - Things that can be grouped together
 - (e.g. pixels, points, surface elements, etc., etc.)
- **Bottom up clustering**
 - tokens belong together because they are locally coherent
- **Top down clustering**
 - tokens belong together because they lie on the same visual entity (object, scene...)
- > These two are not mutually exclusive

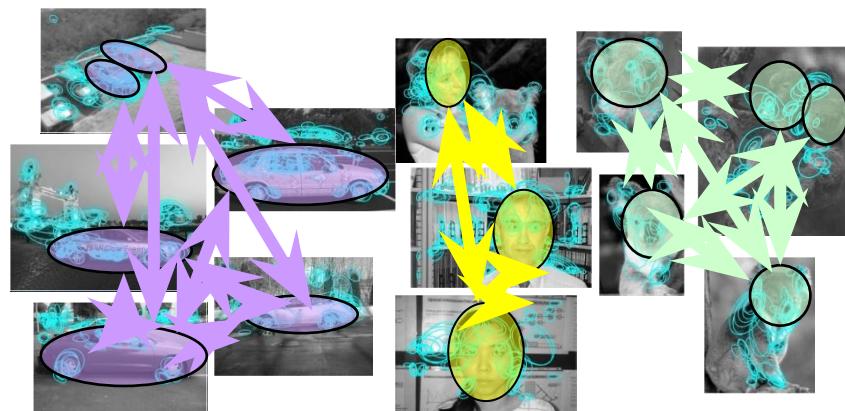
Examples of Grouping in Vision



Determining image regions



Grouping video frames into shots



Object-level grouping

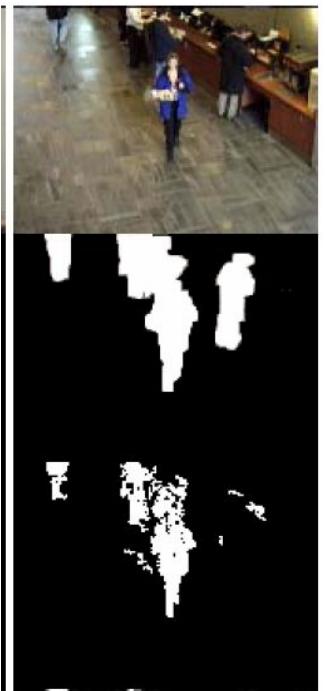


Figure-ground

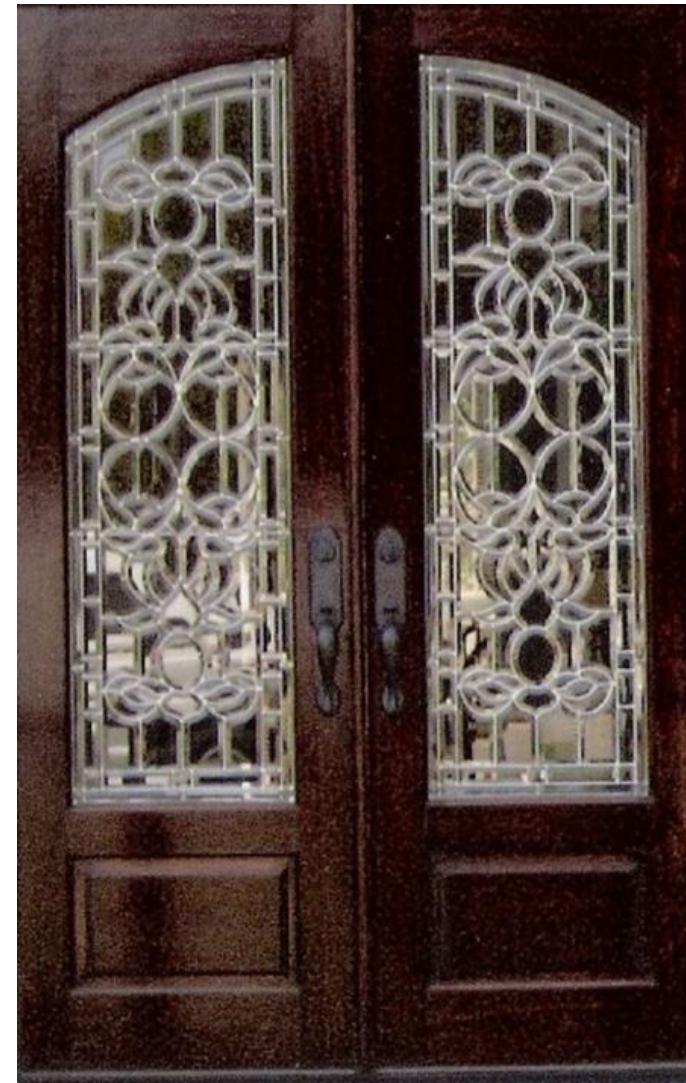
Similarity



What things should
be grouped?

What cues
indicate groups?

Symmetry



Slide credit: Kristen Grauman

Ranjay Krishna, Jieyu Zhang

Lecture 10 - 25

February 6, 2025

Common Fate



Image credit: Arthus-Bertrand (via F. Durand)



(c) 2005 Heiko Burkhardt, lila.no.com

Proximity

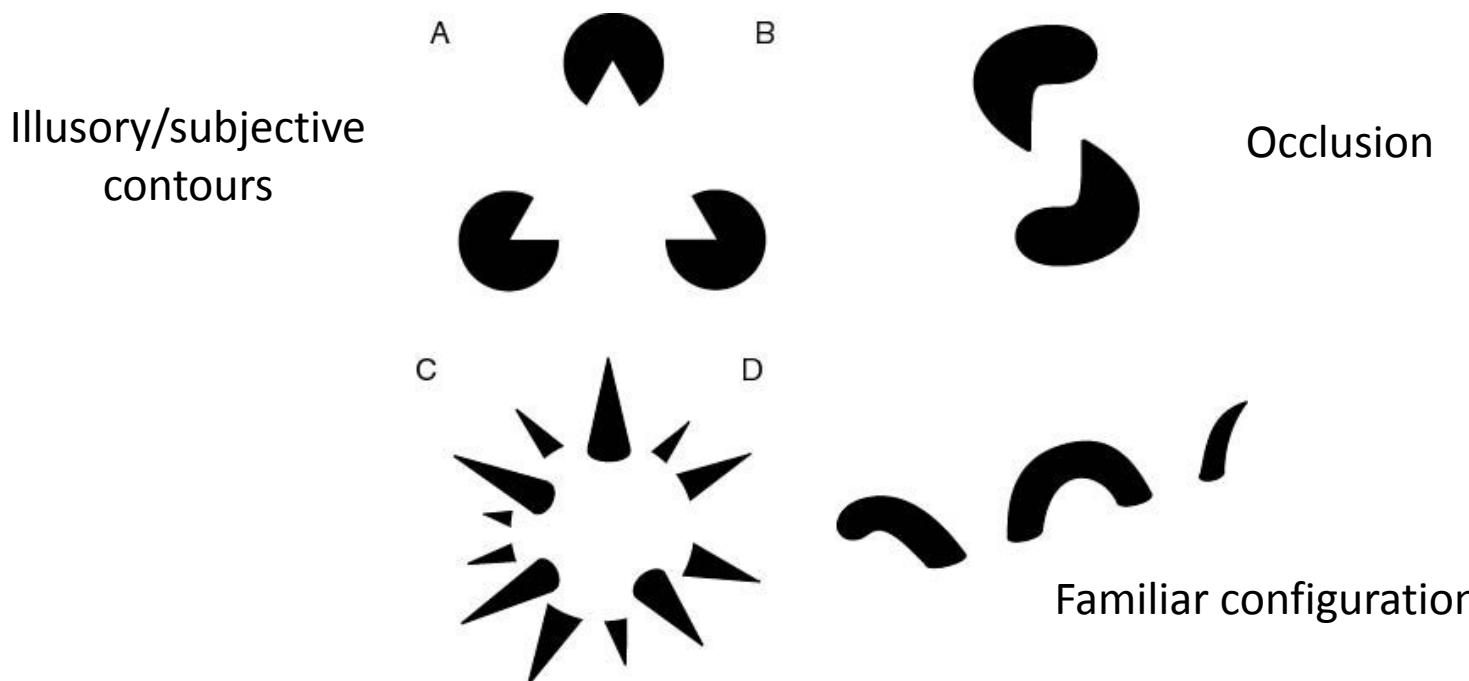


What will we learn today?

- Introduction to segmentation and clustering
- Gestalt theory for perceptual grouping
- Graph-based oversegmentation
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The Gestalt School

- Grouping is key to visual perception
- Elements in a collection can have properties that result from different **relationships (space, affordance, etc.)**
 - “The whole is greater than the sum of its parts”

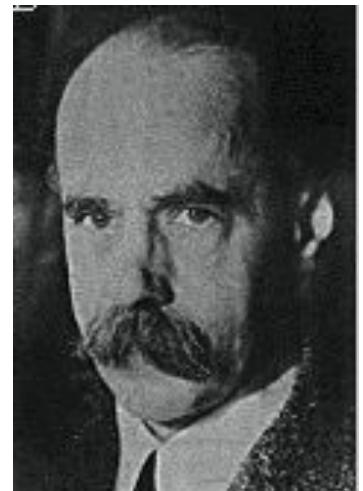


Gestalt Theory

- Gestalt: whole or group
 - Whole is greater than sum of its parts
 - Relationships among parts can yield new properties/features
- Psychologists identified series of factors that predispose set of elements to be grouped (by human visual system)

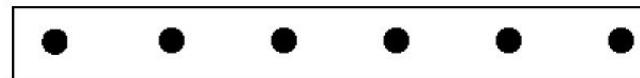
*“I stand at the window and see a house, trees, sky.
Theoretically I might say there were 327
brightnesses and nuances of colour. Do I have “327”?
No. I have sky, house, and trees.”*

Max Wertheimer
(1880-1943)



Untersuchungen zur Lehre von der Gestalt,
Psychologische Forschung, Vol. 4, pp. 301-350, 1923
<http://psy.ed.asu.edu/~classics/Wertheimer/Forms/forms.htm>

Gestalt Factors



Not grouped



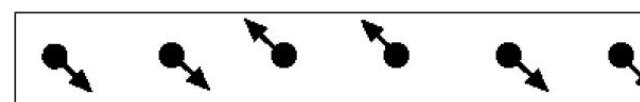
Proximity



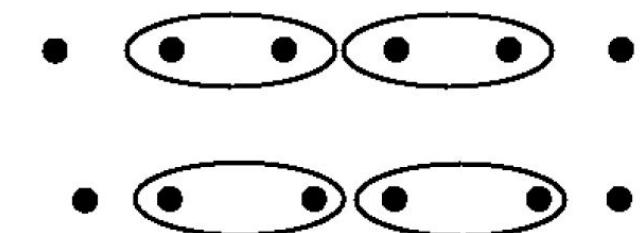
Similarity



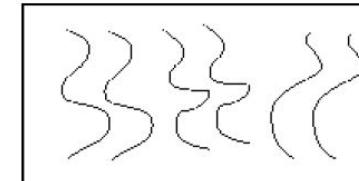
Similarity



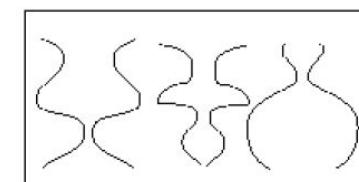
Common Fate



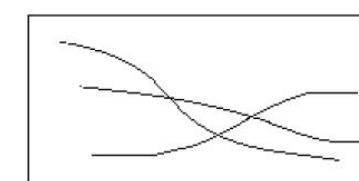
Common Region



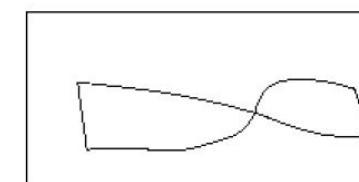
Parallelism



Symmetry



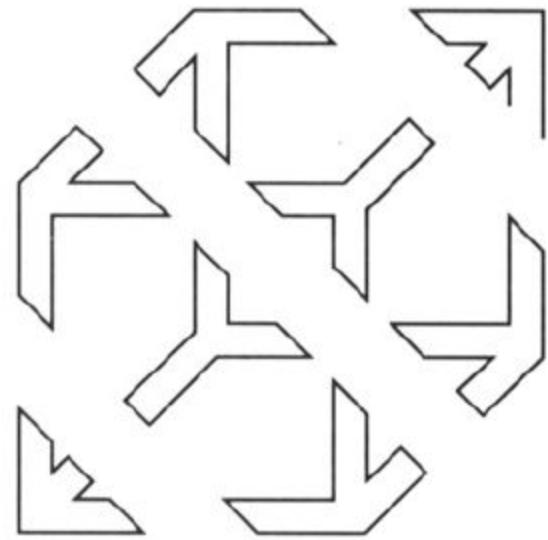
Continuity



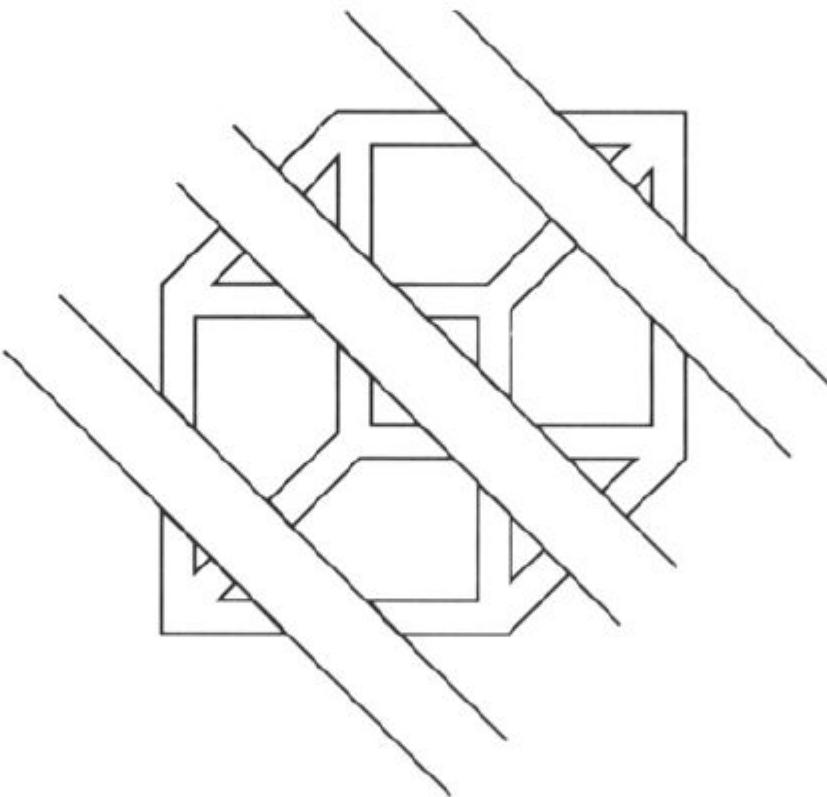
Closure

These factors make intuitive sense, but are very difficult to translate into algorithms.

Continuity through Occlusion Cues

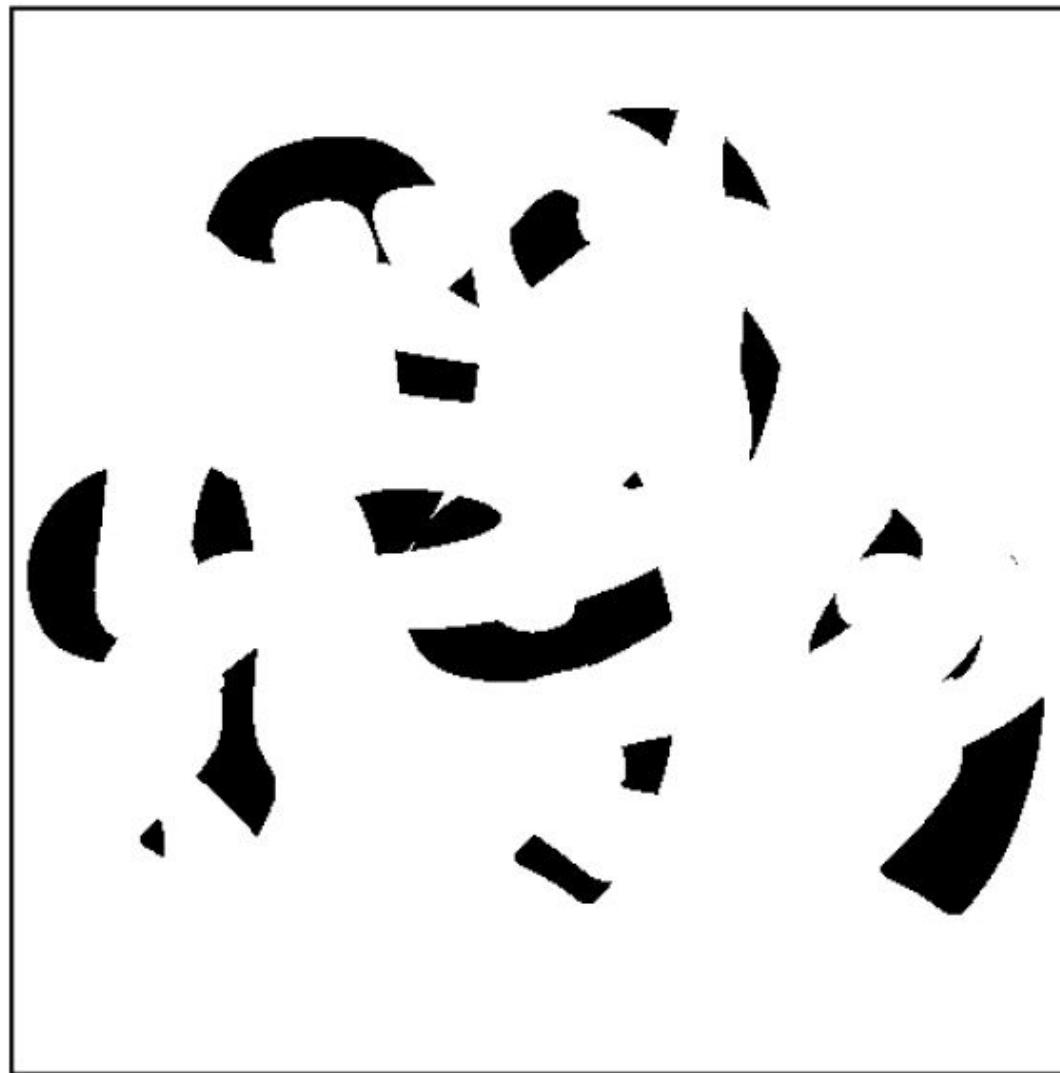


Continuity through Occlusion Cues



Continuity, explanation by occlusion

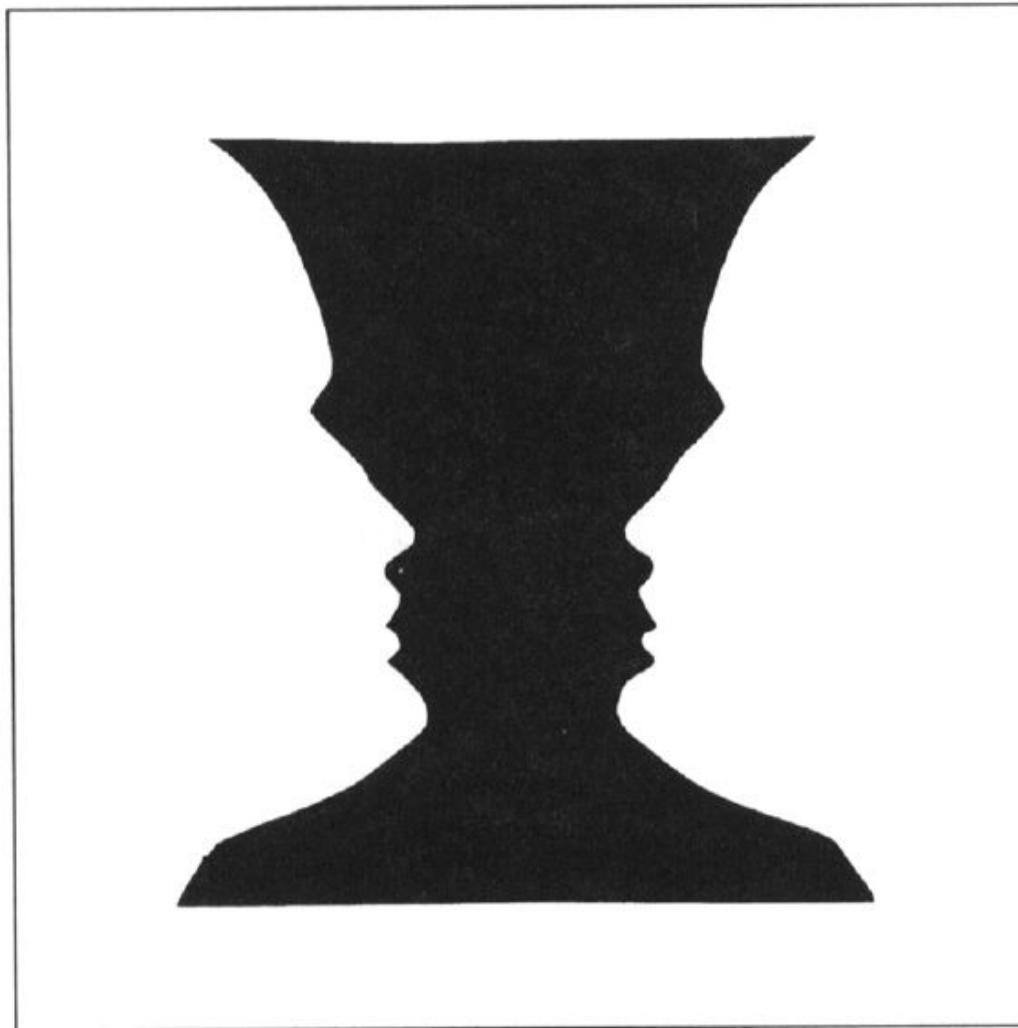
Continuity through Occlusion Cues



Continuity through Occlusion Cues



Figure-Ground Discrimination



The Ultimate Gestalt?



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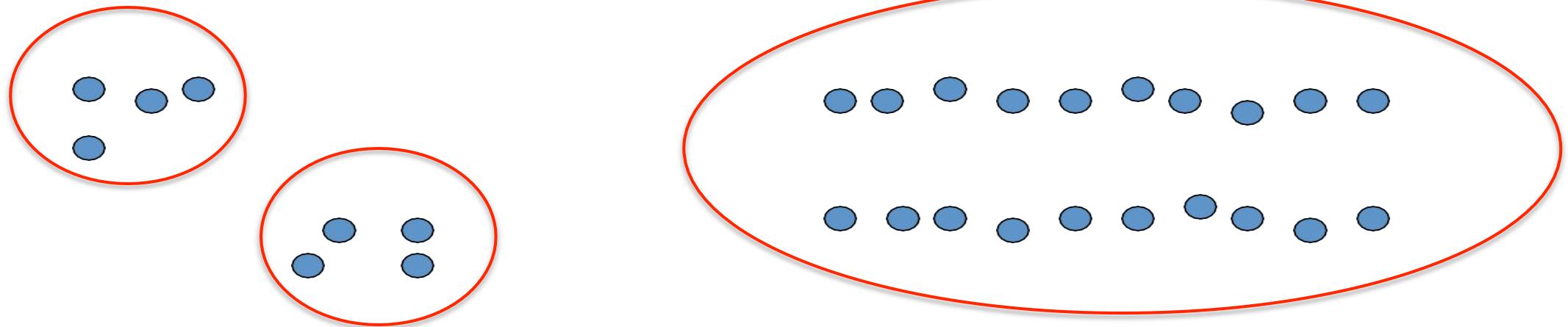


Over-segmenting images

- Graph-based clustering for Image Segmentation
 - Introduced by *Felzenszwalb and Huttenlocher* in the paper titled *Efficient Graph-Based Image Segmentation*.



Imagine you have a set of pixels,
how should you cluster them?

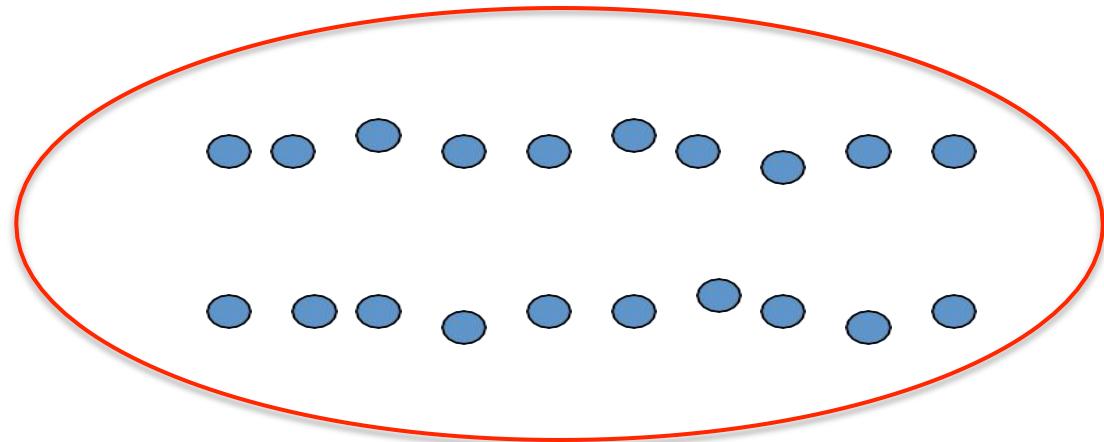
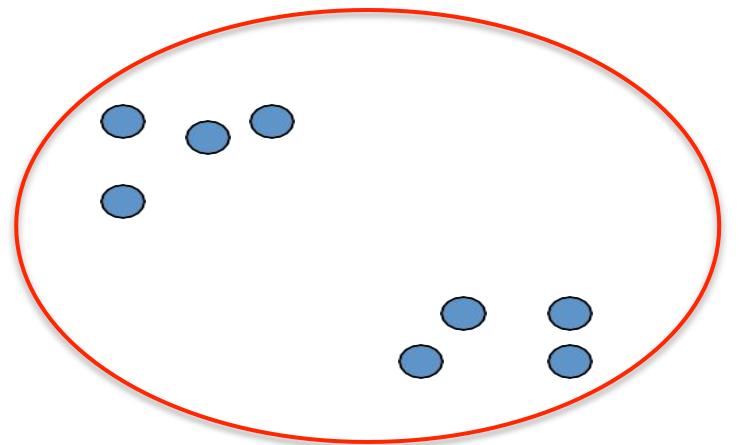


Basic idea: group together similar instances

Q. how do you measure similarity?

Q. do you need to measure similarity between every two pixels?

Imagine you have a set of pixels,
how should you cluster them?

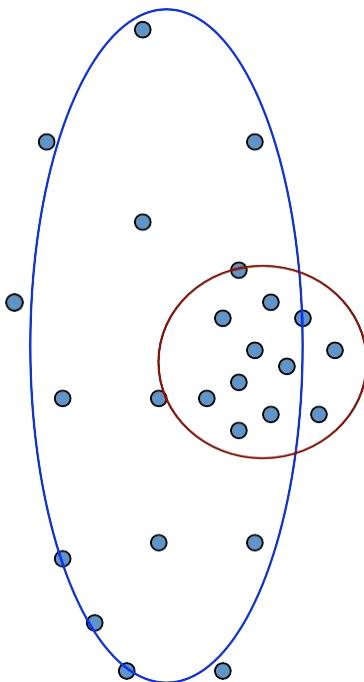


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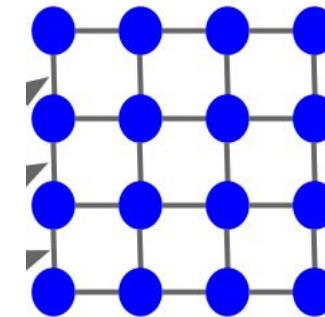
Distances calculated using only (x,y) location of each pixel can be a bad idea



- Clusters may overlap
- Some clusters may be “wider” than others
- Distances can be deceiving!

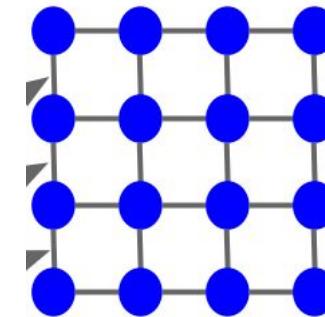
Image as a Graph - Features and weights

- Every pixel is connected to its **8 neighboring pixels**
- The edges between neighbors have **weights** that are determined by the distance between them.
- Edge weights between pixels are determined using $\text{dist}(x, x')$ distance in feature space.
 - where x and x' are two neighboring pixels
- Q. What is a good feature space?



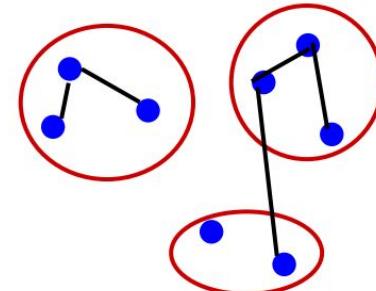
What are good pixel features?

- Use **RGB values**?
 - $v = [r, g, b]$
 - It is 3-dimensional
- Use **location**?
 - $v = [x, y]$
 - 2-dim
- Use **RGB + location**?
 - $v = [x, y, r, g, b]$
 - 5-dim
- Use **gradient magnitude**?
 - $v = [df/dx, df/dy]$
 - 2-d



Problem Formulation

- Graph $G = (V, E)$
- V is set of nodes (i.e. pixels)
- E is a set of undirected edges between pairs of pixels
- $\text{dist}(v_i, v_j)$ is the weight/distance of the edge between nodes v_i and v_j .
- S is a segmentation of a graph G such that $G' = (V, E')$ where $E' \subset E$.
 - That is, **we keep all vertices**, but **select a subset E'** from all initial edges E .
- S divides G into G' such that it contains distinct clusters C .



Weights of edges: distance measure

Clustering is an unsupervised learning method. Given items $v_1, v_2, \dots, v_n \in \mathcal{R}^D$, the goal is to group them into clusters.

We need a pairwise **distance/similarity function** between items, and sometimes the desired **number of clusters**.

When data (e.g. images, objects, documents) are represented by feature vectors, commonly used measures are:

- *Euclidean distance*.
- *Cosine similarity*.

Defining Distance Measures

Let x and x' be two objects from the universe of possible objects.
The distance (or similarity) between x and x' is a real number:

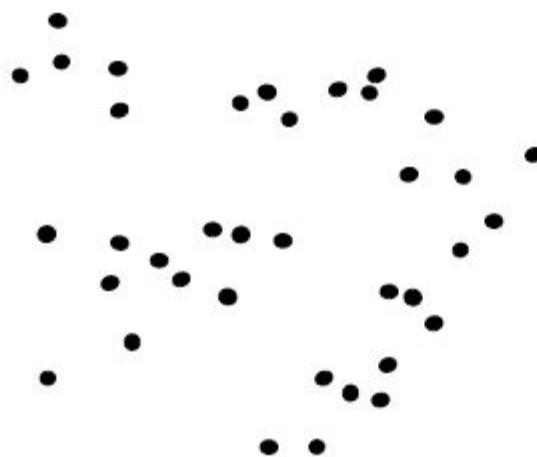
- The Euclidean distance is defined as $dist(v_1, v_2) = \sqrt{\sum_i (v_{1i} - v_{2i})^2}$
- In contrast, the cosine similarity measure would be

$$\begin{aligned} dist(v_1, v_2) &= 1 - \cos(v_1, v_2) \\ &= 1 - \frac{v_1^T v_2}{\|v_1\| \cdot \|v_2\|} \end{aligned}$$

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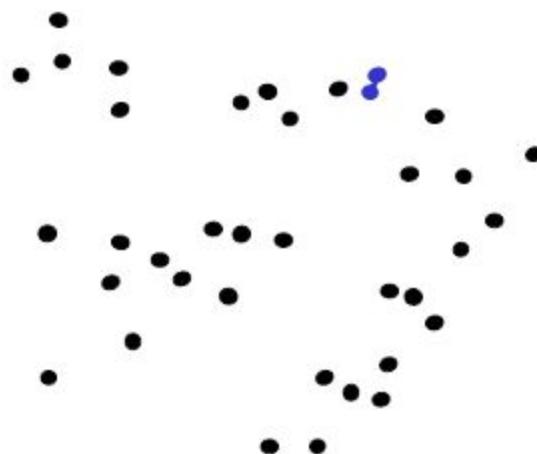
Agglomerative clustering



1. Say "Every point is its own cluster"

Slide credit: Andrew Moore

Agglomerative clustering

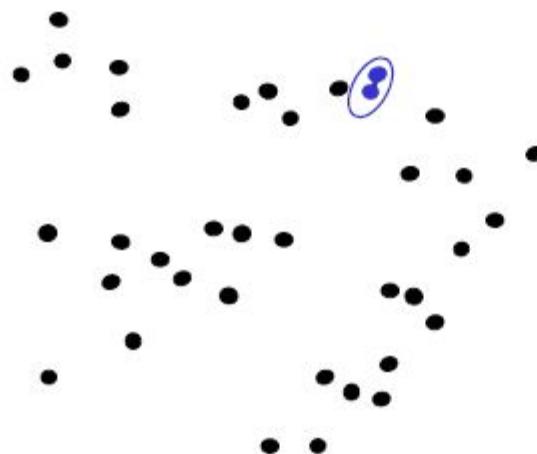


1. Say "Every point is its own cluster"
2. Find "most similar" pair of clusters



Slide credit: Andrew Moore

Agglomerative clustering

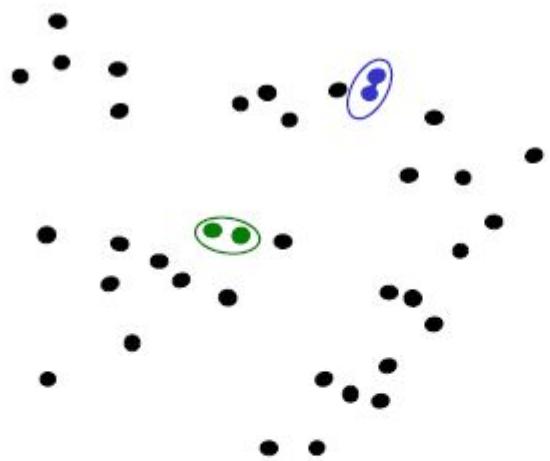


1. Say "Every point is its own cluster"
2. Find "most similar" pair of clusters
3. Merge it into a parent cluster



Slide credit: Andrew Moore

Agglomerative clustering

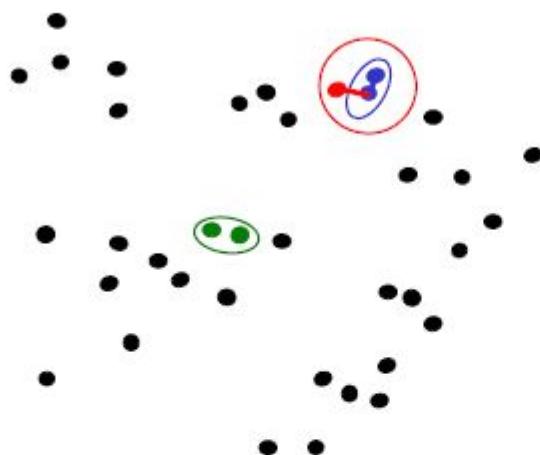


1. Say "Every point is its own cluster"
2. Find "most similar" pair of clusters
3. Merge it into a parent cluster
4. Repeat

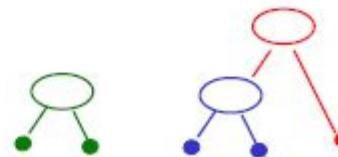


Slide credit: Andrew Moore

Agglomerative clustering



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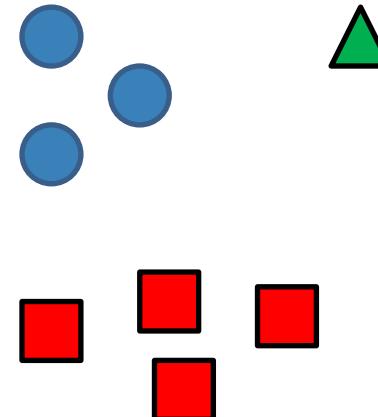


Slide credit: Andrew Moore

Agglomerative clustering

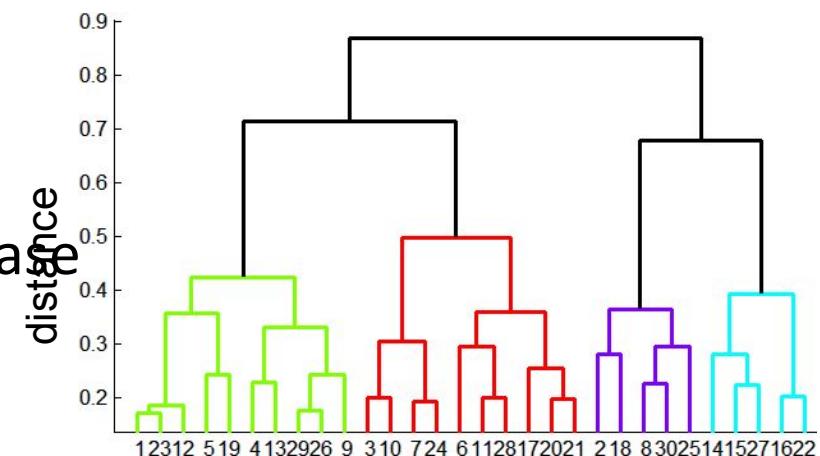
How to define cluster similarity?

- Average distance between all pixels between the two cluster?
- Maximum distance?
- Minimum distance?
- Distance between means?



How many clusters?

- Clustering creates a dendrogram (a tree)
- Threshold based on max number of clusters or base between merges

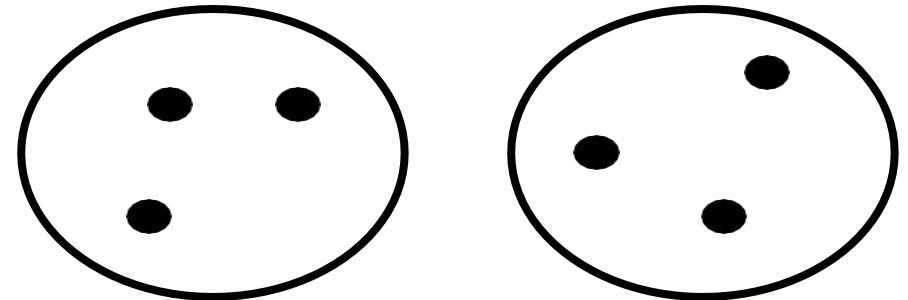


Agglomerative Hierarchical Clustering - Algorithm

Inputs:

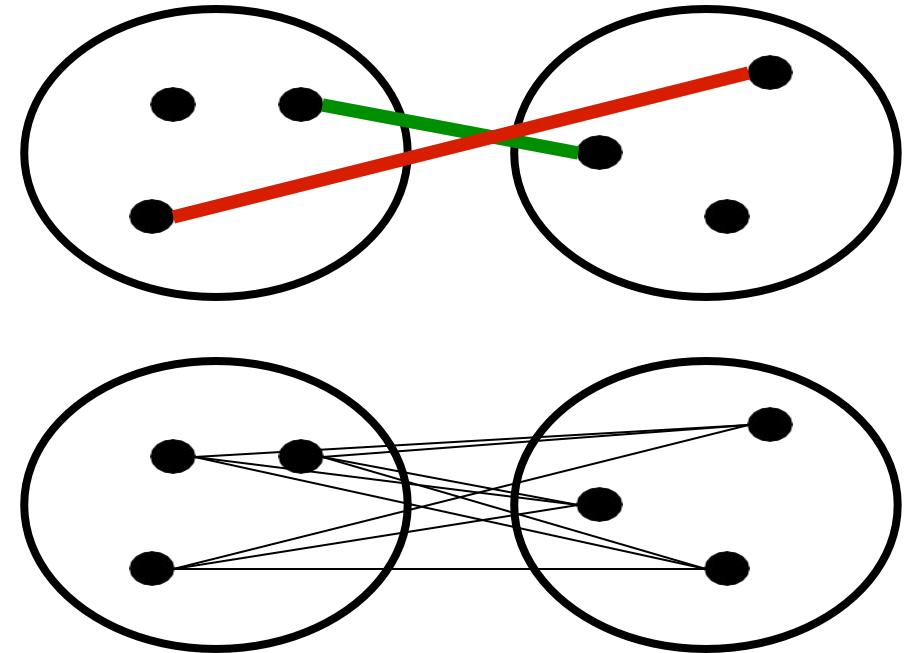
- An input image
 - Feature representation for each pixel
 - Distance metric $\text{dist}(\cdot, \cdot)$
- Initially, each pixel v_1, \dots, v_n is its own cluster C_1, \dots, C_n
- While True:
 - Find two nearest clusters according to $\text{dist}(C_i, C_j)$
 - Merge $C = (C_i, C_j)$
 - If only 1 cluster is left:
 - break

How should we define “closest” for clusters with multiple pixels already in it?



How should we define “closest” for clusters with multiple pixels already in it?

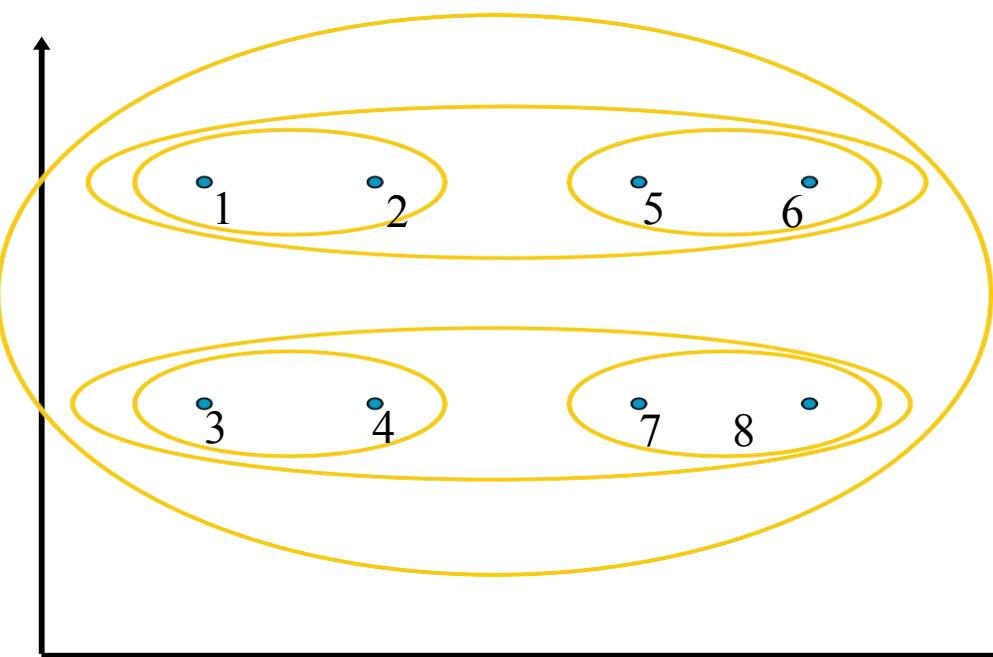
- Closest pair
(single-link clustering)
- Farthest pair
(complete-link clustering)
- Average of all pairs



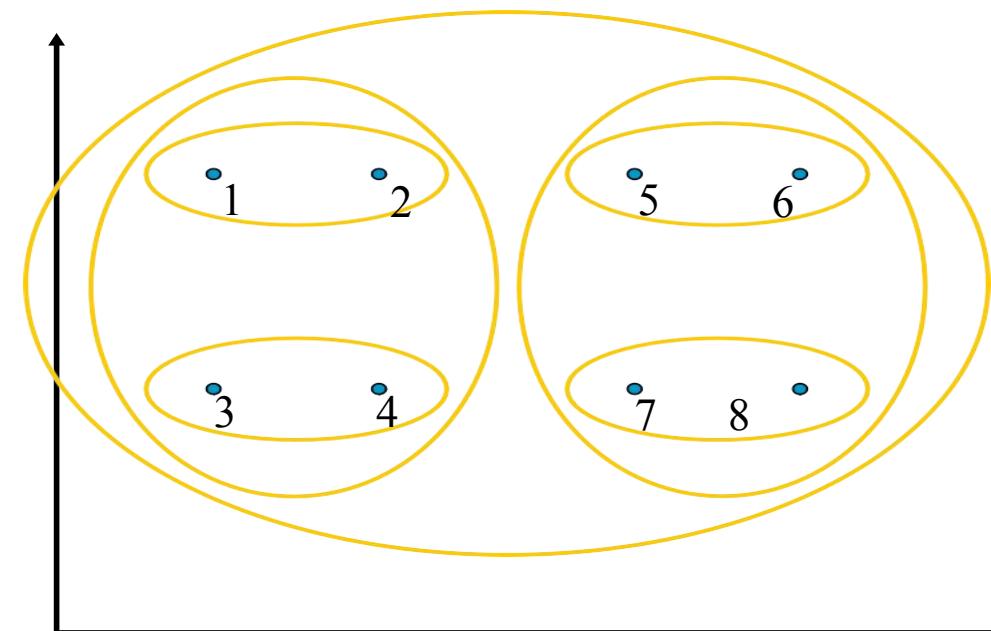
Different choices create different clustering behaviors

How should we define “closest” for clusters with multiple pixels already in it?

Closest pair
(single-link clustering)



Farthest pair
(complete-link clustering)

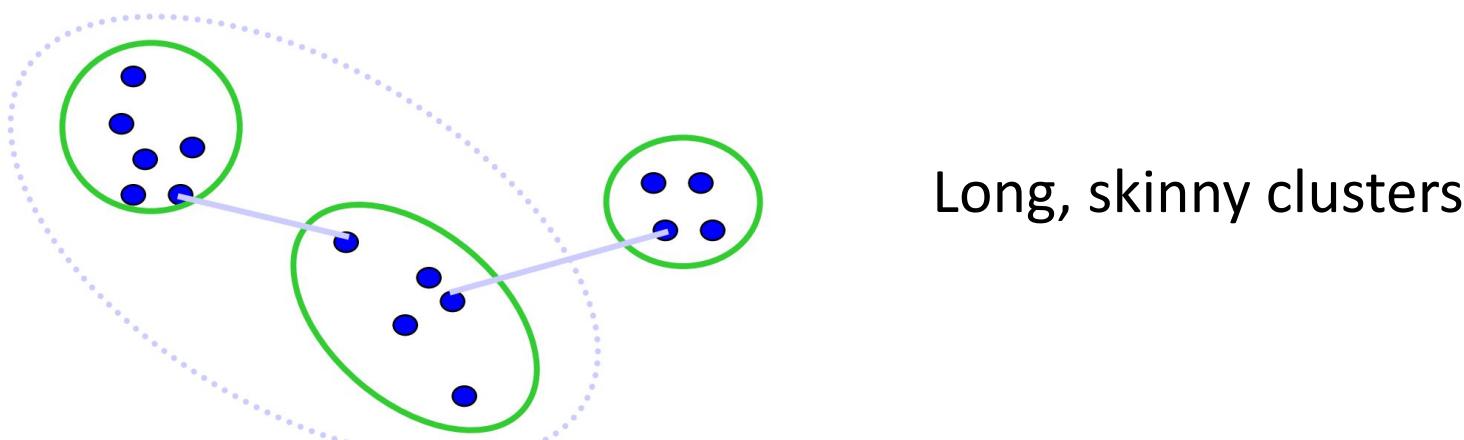


[Pictures from Thorsten Joachims]

Single Linkage distance measure

$$dist(C_i, C_j) = \min_{v_i \in C_i, v_j \in C_j, (C_i, C_j) \in E} dist(v_i, v_j)$$

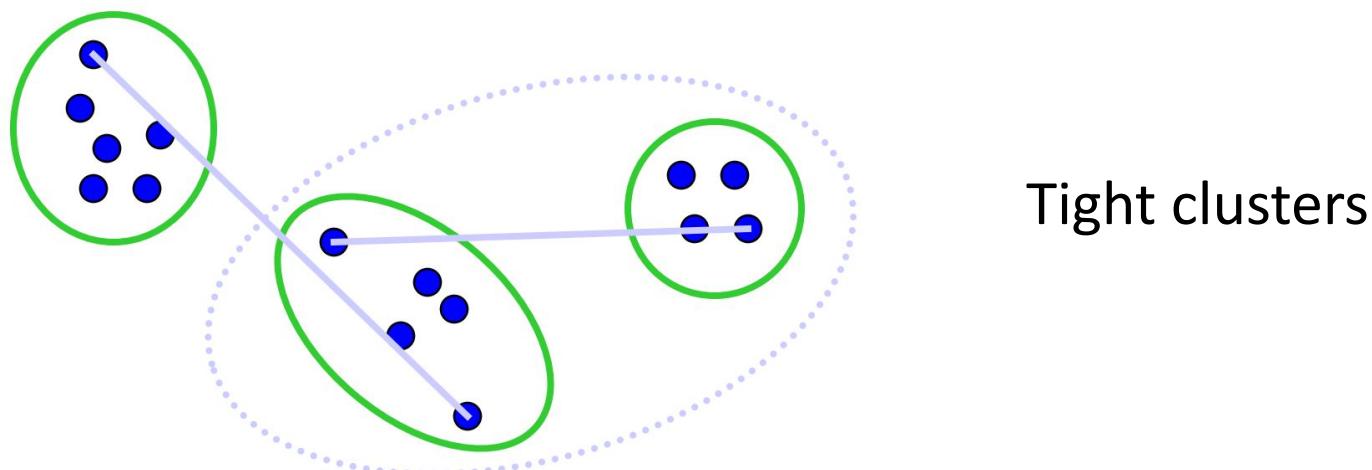
Connects the clusters based on the distance of their closest pixels
It produces “long” clusters.



Complete Link distance measure

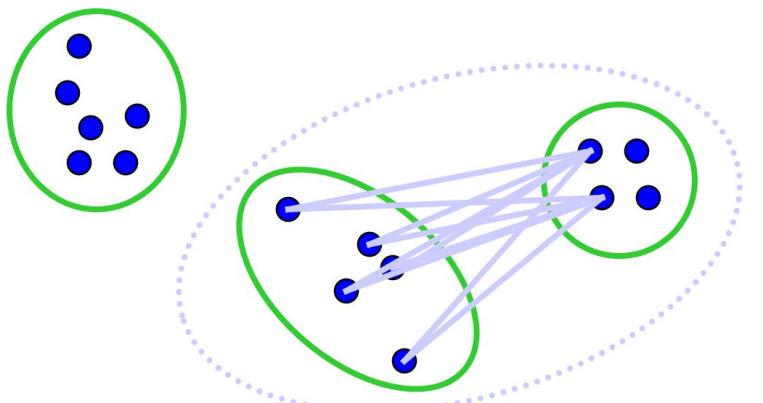
$$dist(C_i, C_j) = \max_{v_i \in C_i, v_j \in C_j, (C_i, C_j) \in E} dist(v_i, v_j)$$

Produces compact clusters that are similar in diameter



Average Link distance measures

$$dist(C_i, C_j) = \frac{\sum_{v_i \in C_i, v_j \in C_j, (C_i, C_j) \in E} dist(v_i, v_j)}{|C_i||C_j|}$$



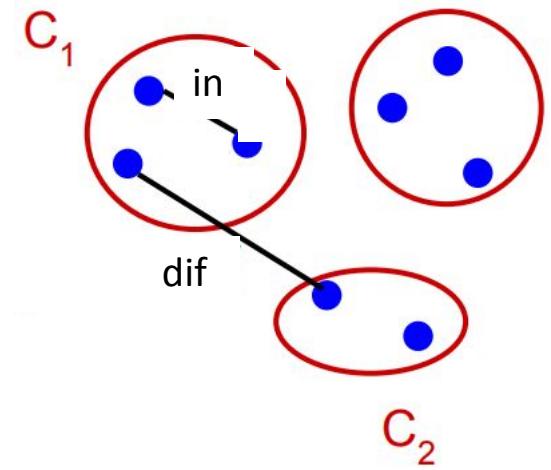
Robust against noise.

Inlier-outlier linkage distance measure

$$\text{Merge}(C_1, C_2) = \begin{cases} \text{True} & \text{if } \text{dif}(C_1, C_2) < \text{in}(C_1, C_2) \\ \text{False} & \text{otherwise} \end{cases}$$

Where

- $\text{dif}(C_1, C_2)$ is the difference between two clusters.
- $\text{in}(C_1, C_2)$ is the internal difference in the clusters C_1 and C_2



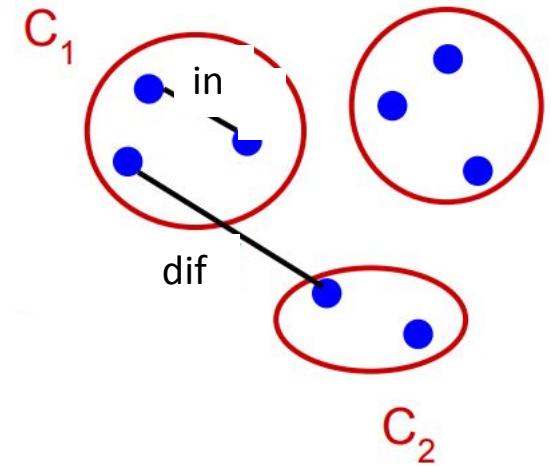
Inlier-outlier linkage distance measure

$$Merge(C_1, C_2) = \begin{cases} \text{True} & \text{if } dif(C_1, C_2) < in(C_1, C_2) \\ \text{False} & \text{otherwise} \end{cases}$$

$$dif(C_i, C_j) = \min_{v_i \in C_i, v_j \in C_j, (C_i, C_j) \in E} dist(v_i, v_j)$$

Where

- $dif(C_1, C_2)$ is the difference between two clusters.
- $in(C_1, C_2)$ is the internal difference in the clusters C_1 and C_2



Inlier-outlier linkage distance measure

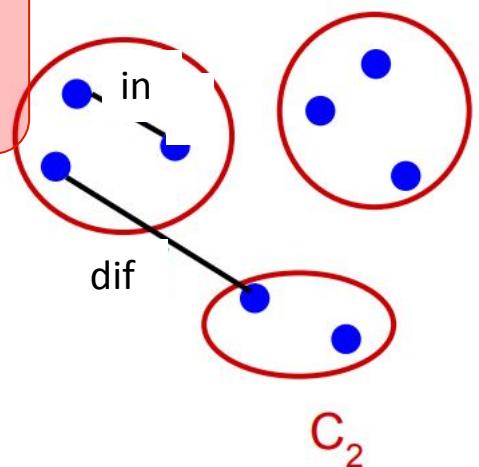
$$Merge(C_1, C_2) = \begin{cases} True & \text{if } dif(C_1, C_2) < in(C_1, C_2) \\ False & \text{otherwise} \end{cases}$$

$$dif(C_i, C_j) = \min_{v_i \in C_i, v_j \in C_j, (C_i, C_j) \in E} dist(v_i, v_j)$$

$$in(C_i, C_j) = \min_{C \in \{C_i, C_j\}} \left[\max_{v_i, v_j \in C} [dist(v_i, v_j) + \frac{k}{|C|}] \right]$$

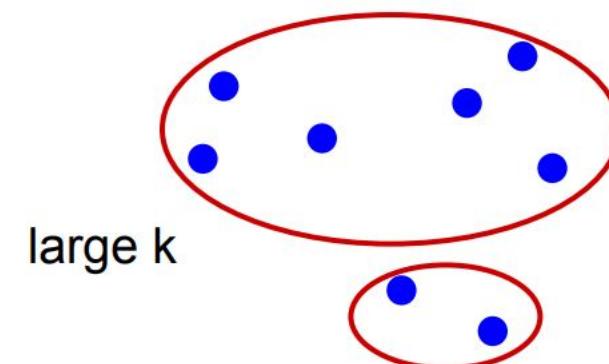
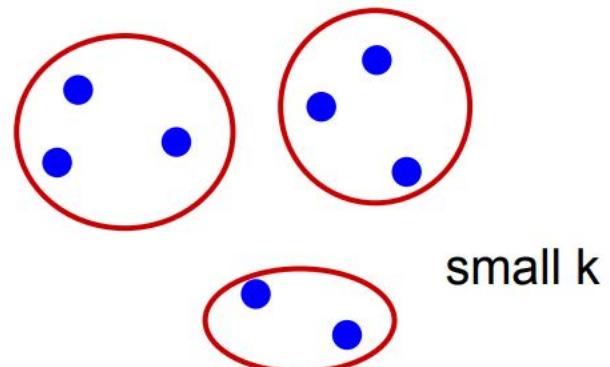
Where

- $dif(C_1, C_2)$ is the difference between two clusters.
- $in(C_1, C_2)$ is the internal difference in the clusters C_1 and C_2

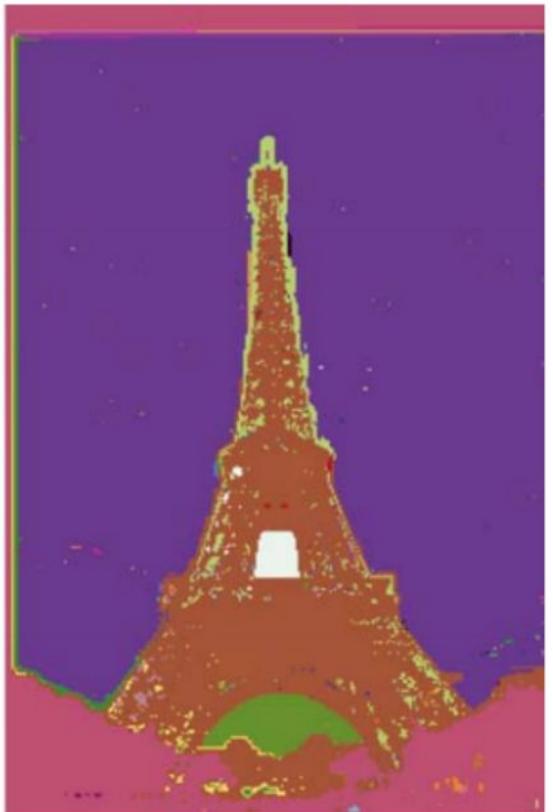
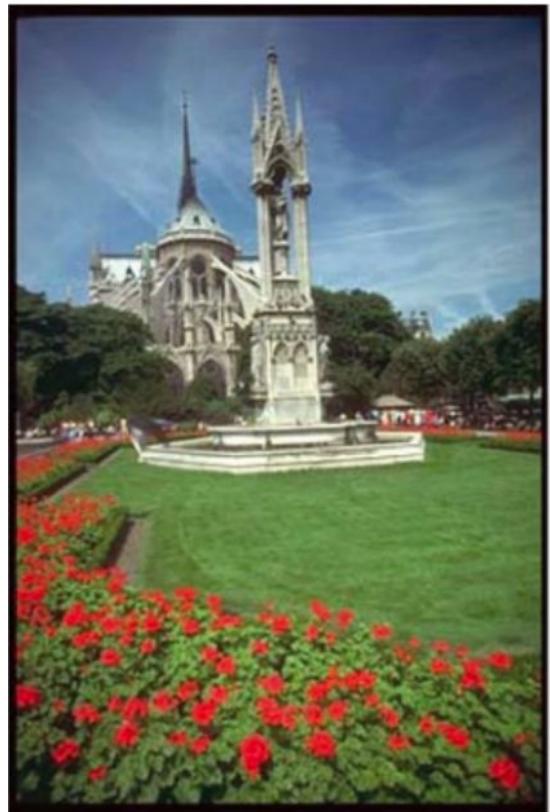


inlier-outlier linkage for Segmentation

- $k/|C|$ sets the threshold by which the clusters need to be different from the internal pixels in a cluster.
- Effect of k :
 - If k is large, it causes a preference for larger objects.

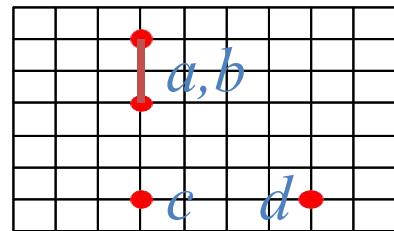
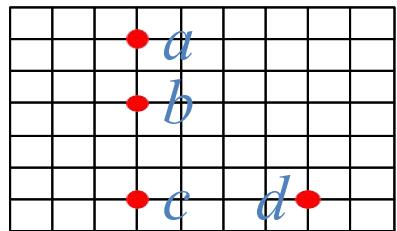


Results

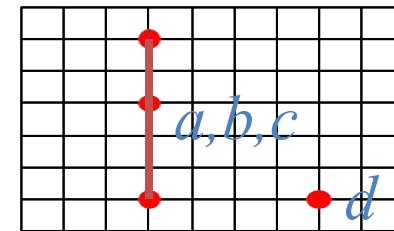


How to implement single-linkage efficiently

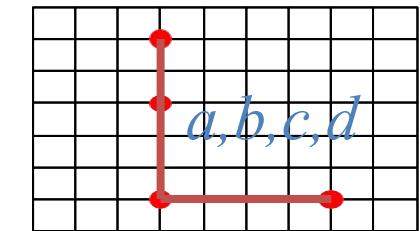
Euclidean Distance



(1)



(2)



(3)

	b	c	d
a	2	5	6
b		3	5
c			4

	b	c	d
a	2	5	6
b		3	5
c			4

	c	d
a, b	3	5
c		4

	d
a, b, c	4

Distance Matrix

Conclusions: Agglomerative Clustering

Pros:

- Simple to implement, widespread application.
- Clusters have adaptive shapes.
- Provides a hierarchy of clusters.
- No need to specify number of clusters **in advance**.

Cons:

- May have imbalanced clusters.
- Still have to choose number of clusters eventually for an application
- Does not scale well. Runtime of $O(n^3)$.
- Can get stuck at a local optima.

Today's agenda

- Introduction to segmentation and clustering
- Gestalt theory for perceptual grouping
- Graph-based oversegmentation
- Agglomerative clustering

Next time

K-means and mean shift

Other Kernels

A kernel is a function that satisfies the following requirements :

$$1. \int_{R^d} \phi(x) = 1$$

$$2. \phi(x) \geq 0$$

Some examples of kernels include :

$$1. \text{Rectangular } \phi(x) = \begin{cases} 1 & a \leq x \leq b \\ 0 & \text{else} \end{cases}$$

$$2. \text{Gaussian } \phi(x) = e^{-\frac{x^2}{2\sigma^2}}$$

$$3. \text{Epanechnikov } \phi(x) = \begin{cases} \frac{3}{4}(1 - x^2) & \text{if } |x| \leq 1 \\ 0 & \text{else} \end{cases}$$

[source](#)

Technical Details

Taking the derivative of: $\hat{f}_K = \frac{1}{nh^d} \sum_{i=1}^n K\left(\frac{\mathbf{x} - \mathbf{x}_i}{h}\right)$

$$\nabla \hat{f}(\mathbf{x}) = \underbrace{\frac{2c_{k,d}}{nh^{d+2}} \left[\sum_{i=1}^n g\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right) \right]}_{\text{term 1}} \underbrace{\left[\frac{\sum_{i=1}^n \mathbf{x}_i g\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right)}{\sum_{i=1}^n g\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right)} - \mathbf{x} \right]}_{\text{term 2}}, \quad (3)$$

where $g(x) = -k'(x)$ denotes the derivative of the selected kernel profile.

- Term1: this is proportional to the density estimate at \mathbf{x} (similar to equation 1 from two slides ago).
- Term2: this is the mean-shift vector that points towards the direction of maximum density.

Comaniciu & Meer, 2002

Technical Details

Finally, the mean shift procedure from a given point \mathbf{x}_t is:

1. Compute the mean shift vector \mathbf{m} :

$$\left[\frac{\sum_{i=1}^n \mathbf{x}_i g\left(\left\|\frac{\mathbf{x}-\mathbf{x}_i}{h}\right\|^2\right)}{\sum_{i=1}^n g\left(\left\|\frac{\mathbf{x}-\mathbf{x}_i}{h}\right\|^2\right)} - \mathbf{x} \right]$$

2. Translate the density window:

$$\mathbf{x}_i^{t+1} = \mathbf{x}_i^t + \mathbf{m}(\mathbf{x}_i^t).$$

3. Iterate steps 1 and 2 until convergence.

$$\nabla f(\mathbf{x}_i) = 0.$$

Comaniciu & Meer, 2002

Technical Details

Given n data points $\mathbf{x}_i \in \mathbb{R}^d$, the multivariate kernel density estimate using a radially symmetric kernel¹ (e.g., Epanechnikov and Gaussian kernels), $K(\mathbf{x})$, is given by,

$$\hat{f}_K = \frac{1}{nh^d} \sum_{i=1}^n K\left(\frac{\mathbf{x} - \mathbf{x}_i}{h}\right), \quad (1)$$

where h (termed the *bandwidth* parameter) defines the radius of kernel. The radially symmetric kernel is defined as,

$$K(\mathbf{x}) = c_k k(\|\mathbf{x}\|^2), \quad (2)$$

where c_k represents a normalization constant.