DDF-MXD

Danish Research Database Metadata Exchange Format for Documents

Version 1.3.0

12 March 2012

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0.1 Preface to version 1.0.0

Version 1 of the exchange format for documents was developed as an initiative of the DEFF E-publishing Programme Area.

The primary motivation was to contribute to the organisation and dissemination of Danish research by establishing an infrastructure, where DDF, the Danish Research Database, automatically may harvest local research databases and institutional repositories and build a national database and search engine in an efficient and quality assured fashion.

The format may well prove useful in a number of other use-cases related to research publications and documentation.

The work started in August 2004 and has entailed analysis of the current formats of DDF and the emerging institutional repositories of Danish universities as well as the ongoing university initiative to standardise the categorisation of research documents in order to produce better research output statistics.

The standards for XML and XML-schema used as basis for this document and schema are:

- XML 1.0 : Third Edition http://www.w3.org/TR/2004/REC-xml-20040204/
- XML Schema : Second Edition (Part 1 & 2) http://www.w3.org/TR/xmlschema-1/ and http://www.w3.org/TR/xmlschema-2/

The current document metadata of the Danish Research Database will be converted to the exchange format shortly.

Similar formats for the exchange of metadata for projects, organisations and persons will follow.

Version 1.0.0, 8 November, 2005

Mogens Sandfær, editor

0.2 Preface to version 1.1.0

A new release (v.1.1.0) of the MXD-documentation was decided upon the first experience and usage of the initial corresponding schema. Some fields had been interpreted too restrictive in the schema and thus needed relaxations to comply better with real data. Additionally an extra document type as well as a couple of new supplier names and person roles have been added to the format. We refer further to the changelog from the schema for details in the changes.

Please note that there has been an equivalent new release of the schema and the corresponding stylesheet for sorting elements - more information available on this in section 2.

Version 1.1.0 18 May, 2006

Liv Fugl, editor

0.3 Preface to version 1.2.0

Version 1.2.0 of the MXD-documentation has been released to comply with the demands of the DASTI (Danish Agency for Science, Technology and Innovation) Bibliographic Research Indicator model. Thus elements for unique identification of authors, publishing channels and national research areas have been added in addition to a required create date for each document and type definitions for ISSN and ISBN. Furthermore MXDs document types have been modified to handle the new requirements.

In addition to the demands of DASTI the publication element in the MXD-schema has been expanded with a digital object container that handles the increasing number of full-text documents in managed institutional repositories. Moreover, a few elements and minor changes to the publication container and updates to the enumerated lists have been added. In particular an access attribute to the URI element has been added, to make it easier to promote Open Access in the Danish National Research Database. Changes are explained in detail in the changelog from the schema.

The version number definition has been changed, such that adding backward-compatible changes (like new non-mandatory elements) does not require a new namespace definition in the document.

The version numbering of this format follows a 3-digit numbering scheme, where digit:

- Represents a major version an increment would require a thorough study of the new version and subsequent changes of style sheets and other programs. Changes in major version always imply both a new release of the schema, AND a change in the namespace declaration. The new version of the schema will therefore NOT validate older documents.
- 2. Represents a revision, which modifies some definitions of the previous version in a way that is not backward compatible with older version. An increment of the revision number would most likely require the update of XSLT style sheets for presentation or similar purposes.
 - Changes in revision always imply both a new release of the schema, AND a change in the namespace declaration. The new version of the schema will therefore NOT validate older documents.
- 3. Represents a minor revision, which either only contains changes in wording or clarifications, OR expands the vocabulary of certain elements, OR which adds new non-mandatory data elements in a way which still validates older documents with the same version and revision.

An increment in minor revision number may require updates of XSLT style sheets and similar in order to reflect newly-added elements.

Version 1.2.0 19 June, 2008

Mikael K. Elbæk, editor

0.4 Preface to version 1.2.1

Version 1.2.1 of the MXD-documentation has been released to comply with the additional demands of the DASTI (Danish Agency for Science, Technology and Innovation) Bibliographic Research Indicator model. Following the first release (1.2.0) complying with the Bibliographic Research Indicator model there has been identified a need to add the DASTI publisher number in the publication elements report and in_report.

Moreover the dataprovider READ - Arkitekt- og designskolerne has been added in the documentation of exporting institutions @rec source.

Version 1.2.1 22 December 2009

Mikael K. Elbæk, editor

0.5 Preface to version 1.2.2

Version 1.2.2 of the MXD-documentation has been released to enable compliancy with the OpenAIRE Guidelines 1.1 (www.openaire.eu). The OpenAIRE Guidelines explicitly requires the decleration of an EC grant agreement number, if the access is embargoed and date stamp indicating the end of the embargo period.

In addition small changes have been adopted to accommodate the requests received from DDF-MXD stakeholders since the last version (1.2.1). The changes includes: the addition of a new state of publication – unpublished related to unpublished material i.e. grey literature. A new <series> field in <report> and <in_report> to accommodate the need to express series titles in the two publication forms. And finally the inclusion of <vol> and <issue> in_report series.

Version 1.2.2 9 May 2011

Mikael K. Elbæk, editor

0.6 Preface to version 1.3.0

Version 1.3.0 of the MXD-documentation has been released to simplify the use of BFI indicators, by moving bfi_journal_no and bfi_publishers_no from a granular level to the higher *Publication* container level. The *DASTI* notion has been replace by *BFI* generally. Bfi_conference_no has been added as an attribute to *Event*. Sub_event has been added to *Event* to enable description of sub event types like Conference track, Lectures and similar and vocabulary for sub event types has been in note 32.

The Namespace has changed to http://mx.forskningsdatabasen.dk/ns/*documents*/1.3 where documents replaces MXD.

Version 1.3.0 12 March 2012

Mikael K. Elbæk, editor

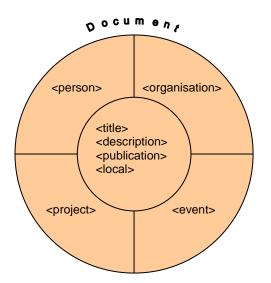
1. Introduction

DDF MXD, the Danish Research Database Metadata Exchange Format for Documents, is intended for the exchange of document metadata between institutional research databases (Institutional Repositories etc.) and the national index and search engine for research information, the Danish Research Database (Den Danske Forskningsdatabase - DDF).

DDF MXD has a simple yet flexible design, intended to cater equally well for the simplest minimum-level metadata as for more detailed and sophisticated cataloguing practises.

DDF MXD may be transported using OAI PMH, the Open Archives Initiative Protocol for Metadata Harvesting, and mapped to OAI DC, the simple Dublin Core format used as default in OAI PMH.

DDF MXD is organised in 8 elements, which may be broken down using sub-elements. The diagram below illustrates the data model and the 8 main elements:



The 4 elements in the centre describe the document itself

- title
- description
- **publication** information
- **local** information

The surrounding 4 elements, which may be repeated, represent entities related to the document:

- person
- organisation
- project
- event

Title

Contains the document title and optional translated title.

Description

Contains a variety of descriptive data types that deal with the content of the document, such as notes, abstracts, subject classifications and keywords etc.

Publication

Contains all the information about how the document was published in the widest sense of the word, including electronic availability via personal homepages, institutional repositories and similar web sites.

Local

Contains additional local information, which may be formatted according to local preferences.

Person

Contains information about any person related to the creation of the document - like authors, editors, composers, publishers, illustrators etc.

Organisation

Contains information about any organisation related to the document, whether it be as the affiliation of an author, as a corporative author or in any other capacity of importance for the document.

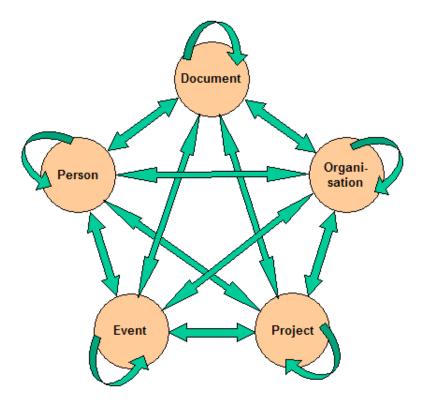
Project

Contains information about any project related to the document.

Event

Contains information about any event (conference, seminar, workshop, meeting, course, etc.) related to the document.

The Exchange Format for Documents is fully compatible with the general data model for the Danish Research Database, illustrated by the diagram below.



As DDF-MXD only serves to describe documents (one of the five DDF information objects above) it has a simple architecture with a few elements describing the document itself and four elements describing the relations to the four other information objects of the model.

Other DDF exchange formats with similar architectures are planned for projects, persons, organisations, etc.

2. Overview of format

The table below is only intended to provide a quick overview.

- For full details please consult to section 3.
- The numbers at the right hand side of the page refer to the notes in section 4.
- Examples illustrating the use of the format may be found in section 5.

General specifications

- UTF-8 is mandatory character encoding for all data
- Whenever an element contains sub-elements, all data must be placed in these subelements, rather than in the element itself.
- The latest corresponding XML schema for validation of MXD v.1.3.0 can be found at http://mx.forskningsdatabasen.dk/ns/documents/1.3
 Please note the sequence of elements in the schema must be obeyed - A stylesheet for sorting can be found at the same address.
- The namespace for the schema is set to http://mx.forskningsdatabasen.dk/ns/documents/1.3

Explanation of codes used in the first two columns of the list below

- § Mandatory in all cases.
 - Records without this element or attribute will be rejected.
- (§) **Mandatory** when its parent element is used.
 - In such cases, records without this element or attribute will be rejected
- [§] **Mandatory** when its parent element is used and another specified condition is met. In such cases, records without this element or attribute will be rejected
- ! Required whenever it applies and data is available.
 - These elements or attributes are required to establish a fully functional DDF record, which may be successfully searched, displayed and used for statistics. They cannot, however, be made mandatory, as they do not apply in all cases (e.g. not all publications have a personal author, but if such exists it must be recorded).
- + **Recommended** whenever it applies and data is available.
 - These elements or attributes are recommended, as they substantially enhance the functionality and usability of the record.
- Optional these elements or attributes are entirely optional. They primarily serve the needs of data suppliers that want to preserve the finer details of their local data for example to use the DDF data as a backup copy or similar.
- ® Repeatable the element may be repeated

Table of elements and attributes

| Root element: | | | |
|---|----------|--|----|
| <ddf_doc></ddf_doc> | § | | |
| @format_version | § | Exchange format version used - "1.3.0" for current version | |
| @doc_type | <u>§</u> | Type of document | 1) |
| @doc_lang | § . | Language of document | 2) |
| @doc_year | <u>§</u> | Annual report year of the document (may differ from publication year) | 3) |
| @doc_review | <u>§</u> | Document review process type like "peer-review", "no review" | 4) |
| @doc_level | <u>§</u> | Indicating purpose/audience like "scientific", "educational", "popular" | 5) |
| @rec_source @rec_id | <u>§</u> | Code of exporting database/institution | 6) |
| | <u> </u> | Data supplier's local record ID - must be unique for supplier | |
| @rec_created @rec_upd | <u> </u> | Date when the record was first created in the local database, in yyyy-mm-dd | |
| | <u> </u> | Last update date in format yyyy-mm-dd Whether the record is to be considered as "new", "updated" or "deleted" | 7) |
| @rec_status | § | whether the record is to be considered as new, updated or deleted | 7) |
| Sub-elements: | | | |
| | | Title of the consider for our | |
| <title></td><td><u> </u></td><td>Title of the work in focus</td><td></td></tr><tr><td><original></td><td>§</td><td>The title in the original language</td><td>2)</td></tr><tr><td>@xml:lang</td><td>?</td><td>Language of title</td><td>2)</td></tr><tr><td><main></td><td><u>§</u></td><td>Main title</td><td></td></tr><tr><td><sub></td><td>! ®</td><td>Sub title Part designation of a multipart work (")/al. 1" "Bind 1" eta)</td><td></td></tr><tr><td><part></td><td>+</td><td>Part designation of a multipart work ("Vol. 1", "Bind 1" etc)</td><td></td></tr><tr><td><other></td><td>?</td><td>Any other title information</td><td></td></tr><tr><td><translated></td><td></td><td>The title translated to another language</td><td></td></tr><tr><td>@xml:lang</td><td>(§)</td><td>Language of title</td><td>2)</td></tr><tr><td><main></td><td>(§)</td><td>Main title</td><td></td></tr><tr><td><sub></td><td>? ®</td><td>Sub title</td><td></td></tr><tr><td><part></td><td>?</td><td>Part designation of a multipart work ("Vol. 1", "Bind 1" etc)</td><td></td></tr><tr><td><other></td><td>?</td><td>Any other title information</td><td></td></tr><tr><td></td><td></td><td></td><td></td></tr><tr><td><pre><description></pre></td><td>!</td><td>Commence of content</td><td></td></tr><tr><td><abstract></td><td></td><td>Summary of content</td><td>-01</td></tr><tr><td>@xml:lang</td><td>?</td><td>Language of abstract</td><td>2)</td></tr><tr><td><note></td><td></td><td>Any descriptive note</td><td></td></tr><tr><td>@xml:lang</td><td>?</td><td>Language of note</td><td>2)</td></tr><tr><td><thesis></td><td>- !</td><td>Special thesis note</td><td></td></tr><tr><td><institution></td><td>!</td><td>Name of the academic institution awarding the degree</td><td></td></tr><tr><td><advisor></td><td>+</td><td>Name of the academic advisor/supervisor</td><td></td></tr><tr><td><aw_date></td><td>+</td><td>Date of awarded degree in format yyyy-mm-dd Any other information</td><td></td></tr><tr><td></td><td></td><td>Subject description</td><td></td></tr><tr><td><subject></td><td>! ! ®</td><td>Subject description</td><td></td></tr><tr><td>@key_type</td><td></td><td>Vesselven/these virus used like "ELIDICED" "INCREC" or "free"</td><td>0)</td></tr><tr><td></td><td>(§)</td><td>Vocabulary/theasurus used, like "EUDISED", "INSPEC" or "free"</td><td>8)</td></tr><tr><td>@xml:lang</td><td>! ®</td><td>Language of keyword</td><td>2)</td></tr><tr><td><class></td><td></td><td>Oleraification anatom mad libra IIDDOI IIIIDOI IIDEEI IIDMEI</td><td>0)</td></tr><tr><td>@class_type @xml:lang</td><td>(§) ?</td><td>Classification system used, like "DDC", "UDC", "DEF", "DK5" Language of classification</td><td>9)</td></tr><tr><td><research_area></td><td>!</td><td>Special national research area, used by DASTI</td><td>2)</td></tr><tr><td>@area_code</td><td></td><td>One of four national research areas.</td><td>31)</td></tr><tr><td></td><td></td><td>One of four national research areas.</td><td>D I</td></tr><tr><td></td><td>(§)</td><td>Language of research area</td><td></td></tr><tr><td>@xml:lang</td><td>?</td><td>Language of research area</td><td>2)</td></tr><tr><td>@xml:lang</td><td>?</td><td></td><td></td></tr><tr><td>@xml:lang</td><td>? ! ®</td><td>Person related to the document (in the same sequence as in document)</td><td>2)</td></tr><tr><td>@xml:lang <pre><pre><pre><pre>person> @pers_role</pre></td><td>? ! ® (§)</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note)</td><td>10)</td></tr><tr><td>@xml:lang <pre> cperson> @pers_role @aff_no </pre></td><td>? ! ® (§) (§)</td><td>Person related to the document (in the same sequence as in document)</td><td>2)</td></tr><tr><td>@xml:lang <pre>cperson> @pers_role @aff_no <name></pre></td><td>! ® (§) (§) (§)</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note) Reference no. of the affilition within the record (links person to organisation)</td><td>10)</td></tr><tr><td>@xml:lang <person> @pers_role @aff_no <name> <first></td><td>? ! ® (§) (§) (§)</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note) Reference no. of the affilition within the record (links person to organisation) First name (personal name) or initials</td><td>10)</td></tr><tr><td>@xml:lang <person> @pers_role @aff_no <name> <first> <last> </pre></td><td>? ! ® (§) (§) (§) (§)</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note) Reference no. of the affilition within the record (links person to organisation) First name (personal name) or initials Last name (family name)</td><td>10)</td></tr><tr><td>@xml:lang <person> @pers_role @aff_no <name> <first> <last> </pre></td><td>? ! ® (§) (§) (§) (§) (§)</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note) Reference no. of the affilition within the record (links person to organisation) First name (personal name) or initials Last name (family name) Identification number or code</td><td>10)</td></tr><tr><td>@xml:lang cperson> @pers_role @aff_no <name></td><td>? ! ® (§) (§) (§) (§) (§) ? ®</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note) Reference no. of the affilition within the record (links person to organisation) First name (personal name) or initials Last name (family name) Identification number or code Type of ID, registered with DDF - like Danish CPR no.</td><td>10,11,</td></tr><tr><td>@xml:lang cperson> @pers_role @aff_no cname> clirst> clast> cid> @id_type @id_source</td><td>? ! ® (§) (§) (§) (§) (§) ? ® (§) +</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note) Reference no. of the affilition within the record (links person to organisation) First name (personal name) or initials Last name (family name) Identification number or code Type of ID, registered with DDF - like Danish CPR no. Source of the person-ID, i.e. aau, cbs etc. only for use with the Danish DNFD</td><td>10,11,</td></tr><tr><td>@xml:lang cperson> @pers_role @aff_no <name></td><td>?</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note) Reference no. of the affilition within the record (links person to organisation) First name (personal name) or initials Last name (family name) Identification number or code Type of ID, registered with DDF - like Danish CPR no. Source of the person-ID, i.e. aau, cbs etc. only for use with the Danish DNFD Title</td><td>10,11,</td></tr><tr><td>@xml:lang cperson> @pers_role @aff_no cname> cfirst> clast> cid> @id_type @id_source ctitle> cbirthdate></td><td>?</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note) Reference no. of the affilition within the record (links person to organisation) First name (personal name) or initials Last name (family name) Identification number or code Type of ID, registered with DDF - like Danish CPR no. Source of the person-ID, i.e. aau, cbs etc. only for use with the Danish DNFD Title In format yyyy-mm-dd</td><td>10)</td></tr><tr><td>@xml:lang cperson> @pers_role @aff_no cname> clirst> clast> cid> @id_type @id_source ctitle> cbirthdate> country></td><td>? ! ® (§) (§) (§) (§) ? ® (§) + ?</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note) Reference no. of the affilition within the record (links person to organisation) First name (personal name) or initials Last name (family name) Identification number or code Type of ID, registered with DDF - like Danish CPR no. Source of the person-ID, i.e. aau, cbs etc. only for use with the Danish DNFD Title In format yyyy-mm-dd Country of citizenship using ISO country codes</td><td>10)</td></tr><tr><td>@xml:lang cperson> @pers_role @aff_no cname> cfirst> clast> cid> @id_type @id_source ctitle> cbirthdate></td><td>?</td><td>Person related to the document (in the same sequence as in document) Role of the person in relation to the document (see note) Reference no. of the affilition within the record (links person to organisation) First name (personal name) or initials Last name (family name) Identification number or code Type of ID, registered with DDF - like Danish CPR no. Source of the person-ID, i.e. aau, cbs etc. only for use with the Danish DNFD Title In format yyyy-mm-dd</td><td>10)</td></tr></tbody></table></title> | | | |

| rganisation> | & R | Organisation related to the document, information formatted as below | |
|---|---------|--|----|
| @org_role | § | Role of the organisation in relation to the document (see note) | 14 |
| @aff_no | | Reference no. of the affilition within the record (links person to organisation) | 11 |
| <name></name> | § §® | Name - only repeatable in case of language variants | |
| @xml:lang | [§] | Language of organisation name (mandatory if name is repeated) | 2 |
| <level1></level1> | § | Name of highest organisational level (example: university) | |
| <level2></level2> | ! | Name of second organisational level (example: faculty) | |
| <level3></level3> | i | Name of third organisational level (example: institute, department) | |
| <level4></level4> | i | Name of fourth organisational level (example: section, center, division) | |
| <acronym></acronym> | 1 | Acronym | |
| <id></id> | ? ® | Identification number or code | |
| @id_type | (§) | Type of ID, registered with DDF - like Danish CVR no. | 12 |
| <country></country> | + | Country of legal residence using ISO country codes | 13 |
| <uri></uri> | ? | URI (URL) link to web page | |
| | | - (-) | |
| roject> | ! ® | | |
| @proj_role | (§) | Role of the project in relation to the document (see note) | 15 |
| <title></td><td>(§) ®</td><td>Title - only repeatable in case of language variants</td><td></td></tr><tr><td>@xml:lang</td><td>[§]</td><td>Language of project title (mandatory if title is repeated)</td><td>2</td></tr><tr><td><main></td><td>(§)</td><td>Main title</td><td></td></tr><tr><td><sub></td><td>!</td><td>Sub title</td><td></td></tr><tr><td><acronym></td><td>!</td><td>Acronym</td><td></td></tr><tr><td><id></td><td>? ®</td><td>Identification number or code</td><td></td></tr><tr><td>@id_type</td><td>(§)</td><td>Type of ID, registered with DDF - like local project number</td><td>1:</td></tr><tr><td><uri></td><td>?</td><td>URI (URL) link to web page</td><td></td></tr><tr><td></td><td></td><td></td><td></td></tr><tr><td>vent></td><td>! ®</td><td>Event (like conference) related to the document</td><td></td></tr><tr><td>@event_role</td><td>(§)</td><td>Role of the event in relation to the document (see note)</td><td>16</td></tr><tr><td>@bfi_conference_no</td><td>!</td><td>BFI conference number from the BFI conference database</td><td>28</td></tr><tr><td><title></td><td>(§) ®</td><td>Title - only repeatable in case of language variants</td><td></td></tr><tr><td>@xml:lang</td><td>[§]</td><td>Language of the event title (mandatory if title is repeated)</td><td>2</td></tr><tr><td><full></td><td>(§)</td><td>Full title, like "European Conference on Digital Libraries"</td><td></td></tr><tr><td><acronym></td><td>!</td><td>Acronym title, like "ECDL"</td><td></td></tr><tr><td><year></td><td>!</td><td>Year of the event</td><td></td></tr><tr><td><number></td><td>!</td><td>Number in series of recurrent events, like "8"</td><td></td></tr><tr><td><dates></td><td>!</td><td>·</td><td></td></tr><tr><td><start></td><td>(§)</td><td>First day of the event in format yyyy-mm-dd, or yyyy-mm, or yyyy</td><td>19</td></tr><tr><td><end></td><td>(§)</td><td>Last day of the event in format yyyy-mm-dd, or yyyy-mm, or yyyy</td><td>19</td></tr><tr><td><place></td><td>\<u>3/</u></td><td>Place (city, country) of the event/conference</td><td></td></tr><tr><td><sub_event></td><td>! ®</td><td>Sub event (like track or lecture) related to the main event and the document</td><td></td></tr><tr><td>@event_type</td><td>(§)</td><td>Sub event type in relation to the main event (see note)</td><td>32</td></tr><tr><td><title></td><td>(§)</td><td>Title of sub event like "User Group Session 2C (Fedora): Search and Metadata"</td><td></td></tr><tr><td>@xml:lang</td><td>(\$) [§]</td><td>Language of the sub event title (mandatory if title is repeated)</td><td></td></tr><tr><td><acronym></td><td>131</td><td>Acronym title, or call name like "Fedora"</td><td></td></tr><tr><td><id><id><</td><td>2 @</td><td>Identification number or code</td><td></td></tr><tr><td>@id_type</td><td>(§)</td><td>Type of ID, registered with DDF - like local course id</td><td>12</td></tr><tr><td></td><td>?</td><td>URI (URL) link to web page</td><td>14</td></tr><tr><td><uri></td><td>ŗ</td><td>ORI (ORL) IIIIk to web page</td><td></td></tr><tr><td>cal_field></td><td>? ®</td><td>Locally defined field with optional subfield</td><td></td></tr><tr><td>@tag_type</td><td>(§)</td><td>Defining if/how field should be indexed and displayed</td><td>17</td></tr><tr><td>@xml:lang</td><td>?</td><td>Language of field</td><td>2</td></tr><tr><td><code></td><td>(§)</td><td>Field code (tag) using a-z (upper or lower case) and 0-9 only</td><td></td></tr><tr><td><data></td><td>(§)</td><td>Field data</td><td></td></tr><tr><td><subfield></td><td>(3)</td><td>Sub tag</td><td><math>\dashv</math></td></tr><tr><td></td><td></td><td>Subfield code (tag) using a-z (upper or lower case) and 0-9 only</td><td></td></tr><tr><td><code></td><td>(§)</td><td></td><td></td></tr></tbody></table></title> | | | |

| ublication> | § | Use one (minimum) or more of the cases below | |
|---|-----|--|---|
| @bfi_publisher_no | | BFI publisher number from the authoritative BFI publisher database | 2 |
| @bfi_serial_no | ! | BFI serial number from the authoritative BFI serials database | 2 |
| <in_journal></in_journal> | ! | Use for articles, papers published in a journal (incl. proceedings issues) | |
| @pub_status | (§) | Status of publication, like "submitted", "accepted", "published" | 1 |
| <title></td><td>(§)</td><td>Journal title</td><td></td></tr><tr><td><title_alternative></td><td>! ®</td><td>Alternative journal title, i.e. former title, title from other data sources and languages</td><td></td></tr><tr><td><issn></td><td>! ®</td><td>ISSN no. without dashes</td><td>2</td></tr><tr><td>@type</td><td>!</td><td>Defining electronic or print ISSN</td><td></td></tr><tr><td><year></td><td>!</td><td>Year of publication in format yyyy</td><td></td></tr><tr><td><vol></td><td>!</td><td>Volume no.</td><td></td></tr><tr><td><issue></td><td>!</td><td>Issue no.</td><td></td></tr><tr><td><pages></td><td>!</td><td>In the format Firstpage-Lastpage (when Paper ID, the number of pages)</td><td>2</td></tr><tr><td><pre><paperid></pre></td><td>!</td><td>Paper ID</td><td></td></tr><tr><td><doi></td><td>!</td><td>DOI no.</td><td></td></tr><tr><td><uri></td><td>+</td><td>URI (URL) link to article on journal web site</td><td></td></tr><tr><td>@access</td><td>+</td><td>Use to state the accessibility of the linked article, i.e. "Open Access"</td><td>2</td></tr><tr><td><in_book></td><td><u> </u></td><td>Use for chapters, papers published as a part of a book (incl. proceedings)</td><td></td></tr><tr><td>@pub_status</td><td>(§)</td><td>Status of publication, like "submitted", "accepted", "published"</td><td>1</td></tr><tr><td><title></td><td>(§)</td><td>Book title</td><td>-</td></tr><tr><td><sub title></td><td>+</td><td>Book sub title</td><td></td></tr><tr><td></td><td><u>+</u> !</td><td></td><td></td></tr><tr><td><part> <edition></td><td>-</td><td>Part designation of a multipart work ("Vol. 1", "Bind 4: Middelalderen" etc) Book edition, like "2. rev. udg."</td><td></td></tr><tr><td></td><td>!</td><td></td><td>_</td></tr><tr><td><editor></td><td>!</td><td>Name of the editor of the book</td><td></td></tr><tr><td><isbn></td><td></td><td>ISBN no. without dashes</td><td>-</td></tr><tr><td>@type</td><td>!</td><td>Defining electronic or print ISBN</td><td>_</td></tr><tr><td><issn></td><td></td><td>ISSN no. without dashes</td><td>2</td></tr><tr><td>@type</td><td>!</td><td>Defining electronic or print ISSN</td><td>2</td></tr><tr><td><place></td><td>!</td><td>Place of publication</td><td></td></tr><tr><td><publisher></td><td>!</td><td>Name of publisher</td><td></td></tr><tr><td><year></td><td>!</td><td>Year of publication in format yyyy</td><td></td></tr><tr><td><doi></td><td>!</td><td>DOI no.</td><td></td></tr><tr><td><pages></td><td>!</td><td>In the format Firstpage-Lastpage</td><td>2</td></tr><tr><td><series></td><td>! ®</td><td>Book series</td><td></td></tr><tr><td><uri></td><td>+</td><td>URI (URL) link to web site of book</td><td></td></tr><tr><td>@access</td><td>+</td><td>Use to state the accessibility of the linked article, i.e. "Open Access"</td><td>2</td></tr><tr><td><in_report></td><td>!</td><td>Use for chapters, papers etc published as a part of a report</td><td></td></tr><tr><td>@pub_status</td><td>+</td><td>Status of publication, like "submitted", "accepted", "published"</td><td>1</td></tr><tr><td><title></td><td>(§)</td><td>Report title</td><td></td></tr><tr><td><sub_title></td><td>+</td><td>Report sub title</td><td></td></tr><tr><td><part></td><td>İ</td><td>Part designation of a multipart work ("Vol. 1", "Bind 4: Middelalderen" etc)</td><td></td></tr><tr><td><editor></td><td>i</td><td>Name of the editor of the report</td><td></td></tr><tr><td><isbn></td><td>l ®</td><td>ISBN no. without dashes</td><td></td></tr><tr><td>@type</td><td>: W</td><td></td><td></td></tr><tr><td><series></td><td>! ! ®</td><td>Report series title</td><td></td></tr><tr><td><pre><series> <rep_no></pre></td><td>! ®</td><td>Report number</td><td></td></tr><tr><td><pre><rep_no> <ple><ple><ple></pre></td><td>: (E)</td><td>Place of publication</td><td></td></tr><tr><td>-</td><td></td><td></td><td></td></tr><tr><td><publisher></td><td></td><td>Name of publisher</td><td></td></tr><tr><td><year></td><td>!</td><td>Year of publication in format yyyy</td><td></td></tr><tr><td><vol></td><td>!</td><td>Volume no.</td><td></td></tr><tr><td><issue></td><td>!</td><td>Issue no.</td><td></td></tr><tr><td><pages></td><td>!</td><td>In the format Firstpage-Lastpage</td><td>_</td></tr><tr><td><paperid></td><td>!</td><td>Paper ID</td><td></td></tr><tr><td><doi></td><td>!</td><td>DOI no.</td><td></td></tr><tr><td><uri></td><td>+</td><td>URI (URL) link to web site of report</td><td></td></tr><tr><td>@access</td><td>+</td><td>Use to state the accessibility of the linked article, i.e. "Open Access"</td><td>2</td></tr></tbody></table></title> | | | |

DDF-MXD - Danish Research Database - Metadata Exchange Format for Documents

| <book></book> | ! | Use when the document is an entire book | |
|---|----------|--|--|
| @pub_status | (§) | Status of publication, like "submitted", "accepted", "published" | |
| <edition></edition> | ! | Book edition, like "2. rev. udg." | |
| <isbn></isbn> | ! ® | ISBN no. without dashes | |
| @type | ! | Defining electronic or print ISBN | |
| <place></place> | ! | Place of publication | |
| <publisher></publisher> | ! | Name of publisher | |
| <year></year> | ! | Year of publication in format yyyy | |
| <pages></pages> | ! | Total no. of pages | |
| <series></series> | ! ® | | |
| <uri></uri> | + | URI (URL) link to web site of book | |
| @access | + | Use to state the accessibility of the linked article, i.e. "Open Access" | |
| <report></report> | ! | Use when the document is an entire report | |
| @pub_status | + | Status of publication, like "submitted", "accepted", "published" | |
| <isbn></isbn> | ! ® | | |
| @type | 1 | Defining electronic or print ISBN | |
| <series></series> | ! ® | | |
| <rep_no></rep_no> | | Report number | |
| <pre><ple><ple><ple><ple><ple><ple><ple><pl< td=""><td>: W</td><td>Place of publication</td><td></td></pl<></ple></ple></ple></ple></ple></ple></ple></pre> | : W | Place of publication | |
| <pre><publisher></publisher></pre> | <u>:</u> | Name of publisher | |
| <year></year> | <u>:</u> | Year of publication in format yyyy | |
| | <u>:</u> | | |
| <pages></pages> | <u> </u> | Total no. of pages URI (URL) link to web site of report | |
| <uri></uri> | + | | |
| @access | + | Use to state the accessibility of the linked article, i.e. "Open Access" | |
| <pre><patent></patent></pre> | ! | Use when the document is a patent (application) | |
| @pub_status | (§) | Status of publication, like "submitted", "accepted", "published" | |
| <country></country> | <u>!</u> | Country of patent issue using ISO country codes | |
| <ipc></ipc> | ! | International Patent Classification | |
| <number></number> | <u>!</u> | Patent no. | |
| <date></date> | ! | Date of status above in format yyyy-mm-dd | |
| <uri></uri> | + | URI (URL) link to web site of patent | |
| @access | + | Use to state the accessibility of the linked article, i.e. "Open Access" | |
| <inetpub></inetpub> | ! ® | 3-7,1-1 | |
| <text></text> | (§) | Text describing the file and service: "PDF on personal home page" | |
| <uri></uri> | (§) | The URI (URL) | |
| @access | + | Use to state the accessibility of the linked article, i.e. "Open Access" | |
| <digital_object></digital_object> | ! ® | Digital object - typically a full text | |
| @id | (§) | Unique id for the parent record | |
| @role | ! | What is the role of the object in relation to the record ie. Postprint | |
| @access | (§) | Use for stating t+F119he access rights for the object ie. "Open Access" | |
| <description></description> | ! | Description of the specific digital object | |
| <embargo_end></embargo_end> | [§] | Embargo end date in the format yyyy-mm-dd | |
| <file></file> | (§) | System generated description of the digital_object | |
| @lang | Ĭ. | Language of the file | |
| @size | [§] | The size of the file | |
| @mime_type | ! | Mime type for the file must comply with the IANA specifications | |
| @timestamp | [§] | Timestamp given when the file was change or uploaded | |
| @filename | [§] | Name of the file when uploaded | |
| <description></description> | ! | Description of the specific version | |
| <uri></uri> | | URI (URL) to the digital object ie. in the local repository | |
| | | | |

3. Annotated list of elements and attributes

This section contains a complete listing of the elements and attributes of the format.

- The numbers at the right hand side of the page refer to the notes in section 4.
- Examples illustrating the use of the format may be found in section 5.
- A more condensed overview table is provided in section 2.

General specifications

- UTF-8 is mandatory character encoding for all data
- Whenever an element contains sub-elements, all data must be placed in these subelements, rather than in the element itself.
- The latest corresponding XML schema for validation of MXD v.1.2.0 can be found at http://mx.forskningsdatabasen.dk/mxd/1.2.0
 Please note the sequence of elements in the schema must be obeyed - A styelsheet for sorting can be found at the same address.
- The namespace for the schema is set to http://mx.forskningsdatabasen.dk/mxd/1.2

Explanation of codes used in the first two columns of the list below

- § **Mandatory** in all cases.

 Records without this element or attribute will be rejected.
- (§) **Mandatory** when its parent element is used. In such cases, records without this element or attribute will be rejected
- [S] **Mandatory** when its parent element is used and another specified condition is met In such cases, records without this element or attribute will be rejected
- ! Required whenever it applies and data is available.
 - These elements or attributes are required to establish a fully functional DDF record, which may be successfully searched, displayed and used for statistics. They cannot, however, be made mandatory, as they do not apply in all cases (e.g. not all publications have a personal author, but if such exists it must be recorded).
- + **Recommended** whenever it applies and data is available.
 - These elements or attributes are recommended, as they substantially enhance the functionality and usability of the record.
- Optional these elements or attributes are entirely optional. They primarily serve the needs of data suppliers that want to preserve the finer details of their local data for example to use the DDF data as a backup copy or similar.
- ® Repeatable the element may be repeated

Root element

Notes S ddf doc Root element of one document record. Other DDF record types similarly have type-specific root elements, ddf pro for projects, ddf org for organisations, ddf per for persons, etc. The element is organised in 8 sub-elements, described on the following pages: ddf doc/title ddf doc/description ddf_doc/person ddf_doc/organisation ddf_doc/project ddf doc/event ddf doc/local ddf_doc/publication and the mandatory attributes described below: Ş ddf_doc/@format_version The particular version of the exchange format used, for the current version check the cover. As subsequent versions have now been issued, this aids the schema validation and other applications parsing the XML. S ddf doc/@doc type Code for type of document (like "dja" for "journal article") according to the DDF list of types and their codes (see note). Code is used for various retrieval and sorting purposes, see Annex 1. 1) Ş ddf doc/@doc lang Code for language of the document itself. Codes must follow the W3C rules for xml:lang, which means complying with IETF RFC 1766 and ISO 639. 2) S ddf doc/@doc year Annual report year of the document (may differ from publication year) in the format yyyy. This is used for various sorting and searching purposes as well as for data management. 3) S ddf doc/@doc review Code indicating the type of review the document has been subject to like "peer-review" or "no review". See note for DDF list of review types and their codes. Code is used for various retrieval and sorting purposes, see Annex 1. 4) S ddf doc/@doc level Code indicating literary scope or level of the document, like "scientific", "educational", "popular". See note for DDF list of levels and their codes. Code is used for various retrieval and sorting purposes, see Annex 1. 5) ddf doc/@rec source \$ Code of exporting database/institution. Each organisation/database acting as a source and exporting records to DDF is registered with a unique code. See note for DDF list of source database codes. 6) S ddf doc/@rec id The data supplier's local/original ID of the record – must be unique per data supplier. In case supplier is exporting from more than one local database, which may use the same IDs, these must be prefixed (with database name or similar) to become unique within the supplier's data. S ddf doc/@rec created Date when the record was first created in the local database in the format yyyy-mm-dd S ddf doc/@rec upd Last update date of the exporting database in the format yyyy-mm-dd \$ ddf doc/@rec_status Code indicating whether the record is to be considered as "new", "updated" or "deleted". Since data is gathered via OAI harvesting "new" records must be set as "updated". See note for DDF list of record status codes. 7)

Title element

| \$ | | ddf_doc/title Title of the work in focus. Other titles such as conference titles and titles of host publications (journals, books) are recorded in other elements, ddf_doc/event and ddf_doc/publication respectively. The element is organised in the following 2 sub-elements: title/original (mandatory) title/translated (optional and repeatable) | |
|----------|---|---|----|
| § | | ddf_doc/title/original The title in the original language – use sub-elements below | |
| ? | | <pre>ddf_doc/title/original/@xml:lang Language of title</pre> | 2) |
| § | | <pre>ddf_doc/title/original/main Main title</pre> | |
| + | ® | <pre>ddf_doc/title/original/sub Sub title</pre> | |
| + | | <pre>ddf_doc/title/original/part Part designation of a multipart work ("Vol. 1", "Bind 1" etc)</pre> | |
| ? | | ddf_doc/title/original/other Any other title information | |
| ? | ® | <pre>ddf_doc/title/translated The title translated to another language – use sub-elements below</pre> | |
| (§) | | <pre>ddf_doc/title/translated/@xml:lang Language of title</pre> | 2) |
| (§) | | <pre>ddf_doc/title/translated/main Main title</pre> | |
| ? | ® | <pre>ddf_doc/title/translated/sub Sub title</pre> | |
| ? | | ddf_doc/title/translated/part Part designation of a multipart work ("Vol. 1", "Bind 1" etc) | |
| ? | | <pre>ddf_doc/title/translated/other Any other title information</pre> | |

Description element

| ! | | ddf_doc/description The description element is the common container for a variety of descriptive data types that deal with the content of the document, such as notes, abstracts, subject classifications and keywords etc. The description element is not mandatory but should be used whenever such data is available. The element is organised in the following sub-elements: description/abstract (summary of the content), description/thesis (special structured note for academic thesis), description/note (other descriptive notes), description/subject/keyword (free or controlled keywords), description/subject/class (classification system codes). description/research_area (special national Danish research areas). |
|-----|---|--|
| ! | ® | ddf_doc/description/abstract Summary of content |
| ? | | ddf_doc/description/abstract/@xml:lang Language of abstract |
| ? | ® | <pre>ddf_doc/description/note Any descriptive note</pre> |
| ? | | ddf_doc/description/note/@xml:lang Language of note |
| ! | | ddf_doc/description/thesis Special thesis note – use sub-elements below. The attribute ddf_doc/@doc_type is used to differentiate between different types/levels of thesis |
| ! | | <pre>ddf_doc/description/thesis/institution Name of he academic institution awarding the degree</pre> |
| + | | ddf_doc/description/thesis/advisor Name of the academic advisor/supervisor |
| + | | ddf_doc/description/thesis/aw_date Date of awarded degree in format yyyy-mm-dd |
| ? | | ddf_doc/description/thesis/other Any other information |
| ! | | ddf_doc/description/subject Subject description – use sub-elements below. |
| ! | ® | <pre>ddf_doc/description/subject/keyword Keywords - whether free or controlled.</pre> |
| (§) | | <pre>ddf_doc/description/subject/keyword/@key_type Vocabulary/theasurus used, like "EUDISED", "INSPEC" or "free"</pre> |
| ? | | <pre>ddf_doc/description/subject/keyword/@xml:lang Language of keyword</pre> |
| ! | ® | ddf_doc/description/subject/class Classification codes according to the system declared in the attribute below. |
| (§) | | <pre>ddf_doc/description/subject/class/@class_type Classification system used, like "DDC", "UDC", "DEF", "DK5"</pre> |
| ? | | <pre>ddf_doc/description/subject/class/@xml:lang Language of classification</pre> |

ddf doc/description/research area ļ

Special national research area used by the Danish Agency for Science, Technology and

(§) ddf doc/description/research area/@area code

Code for one of the four national research areas, ie.: Science/technology, Social science,

Humanities and Medical science.

ddf_doc/description/research_area/@xml:lang

Language of the research area

Person element

! ® ddf doc/person

> This repeatable element is used to record information about any person related to the creation of the document (like authors, editors, composers, publishers, illustrators etc.). Each person and the corresponding role are recorded in a separate instance of the element and the sequence should follow that of the document title page. The correct attribution of persons to documents is essential for any statistical purposes.

The element is organised in the following sub-elements of which most are optional:

person/name (split in first and last name),

person/id (various forms of identification numbers - optional),

person/title (personal title - optional),

person/birthdate (optional),

person/country (of citizenship - recommended),

person/address (postal - optional),

person/email (optional),

person/uri (link to web page - optional)

(§) ddf doc/person/@pers role

Role of the person in relation to the document (see note for authorised values)

10)

31)

(§) ddf_doc/person/@aff_no

> Internal reference no. of the organisation ($\texttt{ddf_doc/organisation/@aff_no}$) to which the person was affiliated while creating/contributing to this document.

11)

ddf doc/person/name (S)

Pesonal name - use sub-elements below

(§) ddf doc/person/name/first

First name (personal name) or initials

ddf doc/person/name/last (S)

Last name (family name)

2 ddf doc/person/id (R)

Identification number or code

(§) ddf doc/person/@id type

Type of ID, registered with DDF - like Danish CPR no.

12) 6)

ddf doc/person/@id source

Source of the NFDB Person-ID, i.e. aau, cbs etc. A combination of local id and prefix will create a unique id for each author.

ddf doc/person/title ?

Personal title related to academic degree and/or job function.

? ddf doc/person/birthdate

In format yyyy-mm-dd

| ? | ddf_doc/person/country Country of citizenship using ISO country codes | 13) |
|---|---|-----|
| ? | ddf_doc/person/address Postal address | |
| ? | ddf_doc/person/email Email address | |
| ? | ddf_doc/person/uri URI (URL) link to web page | |

Organisation element

\$ 8 ddf doc/organisation

This repeatable element is used to record information about any organisation related to the document, whether it be as the affiliation of an author, as a corporative author or in any other capacity of importance for the document. Each organisation and the corresponding role is recorded in a separate instance of the element. The correct attribution of organisations to documents is essential for any statistical purposes.

The element is organised in the following sub-elements:

organisation/name (split in up to 4 hierarchical levels and acronym), organisation/id (optional),

organisation/country (recommended), organisation/uri (link to web page - optional)

§ ddf doc/organisation/@org role

Role of the organisation in relation to the document (see note for authorised values)

\$ ddf doc/organisation/@aff no

Internal reference no. of the organisation. Is used to link persons with the right affiliation.

\$ 8 ddf_doc/organisation/name

Name - only repeatable in case of language variants - use sub-elements below

[§] ddf_doc/organisation/name/@xml:lang

Language of organisation name (mandatory if name is repeated)

\$ ddf doc/organisation/name/level1

Name of highest organisational level (example: university)

In cases where the name cannot be split in several hierarchical levels, this sub-element contains the entire name.

! ddf_doc/organisation/name/level2

Name of second organisational level (example: faculty)

! ddf doc/organisation/name/level3

Name of third organisational level (example: institute, department)

! ddf_doc/organisation/name/level4

Name of fourth organisational level (example: section, division, group)

! ddf_doc/organisation/name/acronym

Acronym. Whenever possible, the acronym should reflect the same organisational hierarchy as the levels of the full name, for example UNIV.FACU.INST.GRP

? ® ddf doc/organisation/id

Identification number or code

(§) ddf doc/organisation/id/@id type

Type of ID, registered with DDF - like Danish CVR no. 12)

+ ddf_doc/organisation/country

Country of legal residence using ISO country codes

? ddf doc/organisation/uri

URI (URL) link to web page

Project element

! ® ddf doc/project

This repeatable element is used to record information about any project related to the document. Each project and the corresponding role are recorded in a separate instance of the element. The attribution of projects to documents will allow users to jump easily between projects and their documents.

The element is organised in the following sub-elements:

 $\verb|project/title| (split| in main and sub and acronym),$

project/id (optional)

project/uri (link to web page - optional)

(§) ddf doc/project/@proj role

Role of the project in relation to the document (see note for authorised values)

15)

2)

13)

(§) ® ddf_doc/project/title

Title - only repeatable in case of language variants - use sub-elements below

[§] ddf doc/project/title/@xml:lang

Language of project title (mandatory if title is repeated)

(§) ddf doc/project/title/main

Main title

! ddf_doc/project/title/sub

Sub title

! ddf_doc/project/title/acronym

Acronym

? ® ddf doc/project/id

Identification number or code

(§) ddf_doc/project/id/@id_type

Type of ID, registered with DDF - like local project number

12)

? ddf doc/project/uri

URI (URL) link to web page

Event element

| • | 8 | ddf_doc/event This repeatable element is used to record information about any event (conference, seminar, workshop, meeting, course, etc.) related to the document. Each event and its corresponding role are recorded in a separate instance of the element. The element is organised in the following sub-elements: event/title (split in full, acronym and number), event/dates (split in start and end), event/place (split in start and end), event/uri (link to web page - optional) | - |
|-----|---|---|----------|
| (§) | | <pre>ddf_doc/event/@event_role</pre> Role of the event in relation to the document (see note for authorised values) | 16) |
| ! | | ddf_doc/event/@bfi_conference_no BFI conference number from the BFI conference database | 28) |
| (§) | ® | ddf_doc/event/title Title - only repeatable in case of language variants – use sub-elements below | |
| [§] | | <pre>ddf_doc/event/title/@xml:lang Language of the event title (mandatory if title is repeated)</pre> | 2) |
| (§) | | ddf_doc/event/title/full Full event title, like "European Conference on Digital Libraries" | |
| ! | | ddf_doc/event/title/acronym Acronym event title, like "ECDL" | |
| ! | | ddf_doc/event/title/number Number in series of recurrent events, like "8" | - |
| ! | | ddf_doc/event/dates Start and end dates of event – use sub-elements below: | |
| (§) | | ddf_doc/event/dates/start First day of the event in format yyyy-mm-dd, or yyyy-mm, or yyyy | 19) |
| (§) | | ddf_doc/event/dates/end Last day of the event in format yyyy-mm-dd, or yyyy-mm, or yyyy | 19) |
| ! | | ddf_doc/event/place Place (city, country) of the event/conference | . |
| (§) | | ddf_doc/event/sub_event/ Sub event (like track or lecture) related to the main event and the document | |
| (§) | | ddf_doc/event/sub_event/@event_role Role of the sub_event in relation to the main event (see note for authorised values) | 32) |
| (§) | ® | <pre>ddf_doc/sub_event/title Title of sub event like "User Group Session 2C (Fedora): Search and Metadata</pre> | |
| [§] | | <pre>ddf_doc/event/sub_event/title/@xml:lang Language of the event title (mandatory if title is repeated)</pre> | 2) |
| ! | | ddf_doc/event/sub_event/title/acronym Acronym title or call name, like "Fedora" | |

| ! | ® | ddf_doc/event/sub_event/id | 12) |
|-------------|---|--|-----|
| | | Identification number or code | |
| ? | | ddf_doc/event/sub_event/@id_type | |
| | | Type of ID, registered with DDF – like local course ID | |
| | | | |
| (§) | | ddf doc/event/uri | |
| | | URI (URL) link to web page | |

Local field element

? ® ddf_doc/local_field

This repeatable and optional element is used to handle additional information using a locally defined two-level field structure, where a local field may have one or more parallel subfields. The <code>local_field/@tag_type</code> attribute is used to pass instructions on how to index and display the field and its subfields in the receiving system.

The element is organised in the following sub-elements:

local_field/code (field code),
local_field/data (field data),

local_field/subfield (subfield likewise split in code and data)

(§) ddf_doc/local_field/@tag_type

Defining if/how field and should be indexed and displayed, see note 17)

? ddf doc/local field/@xml:lang

Language of field 2)

(§) ddf_doc/local_field/code

Field code (tag) using a-z and 0-9 only

(§) ddf_doc/local_field/data

Field data

Repeatable subfield likewise split in code and data

(§) ddf_doc/local_field/subfield/code

Subfield code (tag) using a-z and 0-9 only

(§) ddf_doc/local_field/subfield/data

Subfield data

Publication element

§ ddf doc/publication

The mandatory publication element carries all the information about how the document was published in the widest sense of the word, including electronic availability via personal homepages, institutional repositories and similar web sites.

The publication element is structured in 9 publication cases, each with its own repertoire of subelements:

- In a journal (as an article or similar)
- In a book (as a chapter or similar)
- In a report (as a chapter or similar)
- As a book (the book as a whole)
- As a report (the report as a whole)
- As a patent (or patent application)
- As an Internet publication (institutional repository, preprint archive, home page etc.)
- As a Digital object (in a managed institutional repository, preprint archive etc.)
- Any other form of publication

These cases correspond to the sub-elements: in_journal, in_book, in_report, book, report, patent, digital object, inetpub and other.

At least one of these cases must be applied, but often two or more cases may be applied concurrently. For example, a journal article may be published "In a journal" and at the same be available at the author's institutional repository "As an Internet publication" or "Digital object".

(§) ddf doc/publication/@bfi publisher no

BFI publisher number from the authoritative BFI publisher database

28)

! ddf doc/publication/@bfi serial no

BFI serial number from the authoritative BFI serials database

28)

Publication case - In a journal

! ddf doc/publication/in journal

Use for articles, papers, etc. published in a journal (or submitted to a journal for publication) All data is placed in the sub-elements: title, title_alternative, issn, year, vol, issue, pages, paperid, doi, journal_no, uri.

(§) ddf_doc/publication/in_journal/@pub_status

Status of publication, like "submitted", "accepted", "published" – see note. Is mandatory for in-journal publications because the time from "submitted" to "published" is often long and may cross year boundaries.

(§) ddf doc/publication/in journal/title

Journal title

! ddf doc/publication/in journal/title alternative

Alternative title of the journal, i.e. former title, title in other languages, title from different data sources.

! ® ddf_doc/publication/in_journal/issn

23)

18)

Journal ISSN no. without dashes

! ® ddf_doc/publication/in_journal/issn/@type

29)

Defining type of ISSN, i..e. electronic or primary etc.

! ddf_doc/publication/in_journal/year

Year of publication in format yyyy

! ddf_doc/publication/in_journal/vol

Volume number

! ddf_doc/publication/in_journal/issue

Issue number

! ddf_doc/publication/in_journal/pages 22)

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| | | In the format Firstpage-Lastpage (or in case of Paper ID, the number of pages) | |
|--------|------------|--|-----|
| ! | | ddf_doc/publication/in_journal/paperid | |
| | | Paper ID, an alternative to page numbers, typically used by e-journals | |
| ! | | ddf_doc/publication/in_journal/doi | |
| | | Digital Object Identifier | |
| + | | ddf_doc/publication/in_journal/uri | |
| | | URI (URL) link to article on the journal's web site. Information about availability at preprint servers, web sites belonging to author or author's institution or similar should be recorded using the publication case "As an Internet publication" | |
| + | | ddf_doc/publication/in_journal/uri/@access | 27) |
| | | Use to state the accessibility of the linked article, i.e. "Open Access" | |
| Public | ation case | e - In a book | |
| ! | | ddf doc/publication/in book | |
| | | Use for chapters, papers, etc. published as a part of a book (incl. proceedings in book form) All data is placed in the sub-elements: title, sub_title, part, edition, editor, isbn, place, publisher, year, pages, series, publisher_no, uri. | |
| (§) | | ddf_doc/publication/in_book/@pub_status | |
| | | Status of publication, like "submitted", "accepted", "published" Is mandatory for in-book publications because the time from "submitted" to "published" is often long and may cross year boundaries. | 18) |
| (§) | | <pre>ddf_doc/publication/in_book/title Book title</pre> | |
| + | | ddf doc/publication/in book/sub title | |
| | | Sub title of the host publication/book | |
| ! | | <pre>ddf_doc/publication/in_book/part Part designation of a multipart work ("Vol. 1", "Bind 4: Middelalderen" etc.)</pre> | |
| ! | | <pre>ddf_doc/publication/in_book/edition Book edition, like "2nd rev. ed."</pre> | |
| ! | | <pre>ddf_doc/publication/in_book/editor Name of the (first) editor of the book</pre> | |
| ! | ® | ddf_doc/publication/in_book/isbn ISBN no. without dashes | 24) |
| ! | ® | <pre>ddf_doc/publication/in_book/isbn/@type Defining type of ISBN, ie. electronic or primary etc.</pre> | 29) |
| ! | R | <pre>ddf_doc/publication/in_book/issn Journal ISSN no. without dashes</pre> | 23) |
| ! | ® | <pre>ddf_doc/publication/in_book/issn/@type Defining type of ISSN, i.e. electronic or primary etc.</pre> | 29) |
| ! | | <pre>ddf_doc/publication/in_book/place Place of publication</pre> | |
| ! | | <pre>ddf_doc/publication/in_book/publisher Name of publisher</pre> | |
| ! | | <pre>ddf_doc/publication/in_book/year Year of publication in format yyyy</pre> | |
| ! | | <pre>ddf_doc/publication/in_book/pages In the format Firstpage-Lastpage</pre> | 22) |

| ! | ® | <pre>ddf_doc/publication/in_book/series Book series information (like "Progress in Nanotechnology, vol. 14")</pre> | |
|-------------|----------|--|-----|
| + | | <pre>ddf_doc/publication/in_book/uri URI (URL) link to web site of book</pre> | |
| + | | <pre>ddf_doc/publication/in_book/uri/@access</pre> Use to state the accessibility of the linked web site of the book, i.e. "Open Access" | 27) |
| Public | ation ca | ase - In a report | |
| ! | | <pre>ddf_doc/publication/in_report Use for chapters, papers, etc. published as a part of a report. All data is placed in the sub-elements: title, sub_title, part, editor, isbn, rep_no, place, publisher, year, pages, uri.</pre> | |
| + | | <pre>ddf_doc/publication/in_report/@pub_status Status of publication, like "submitted", "accepted", "published"</pre> | 18) |
| (§) | | <pre>ddf_doc/publication/in_report/title Report title</pre> | |
| + | | <pre>ddf_doc/publication/in_report/sub_title Sub title of the host publication/report</pre> | |
| + | | <pre>ddf_doc/publication/in_book/part Par designation of a multipart work ("Vol. 1", "Bind 4: Middelalderen" etc.)</pre> | |
| ! | | <pre>ddf_doc/publication/in_report/editor Name of the (first) editor of the report as a whole</pre> | |
| ! | ® | <pre>ddf_doc/publication/in_report/isbn ISBN no. without dashes</pre> | 24) |
| ! | ® | <pre>ddf_doc/publication/in_report/isbn/@type Defining type of ISBN, ie. electronic or primary etc.</pre> | 29) |
| ! | ® | <pre>ddf_doc/publication/in_report/series Report series title</pre> | |
| ! | | <pre>ddf_doc/publication/in_report/rep_no Report number</pre> | |
| ! | | <pre>ddf_doc/publication/in_report/place Place of publication</pre> | |
| ! | | <pre>ddf_doc/publication/in_report/publisher Name of publisher</pre> | |
| ! | | <pre>ddf_doc/publication/in_report/year Year of publication in format yyyy</pre> | |
| ! | | <pre>ddf_doc/publication/in_report/vol Volume number</pre> | |
| ! | | <pre>ddf_doc/publication/in_report/issue Issue number</pre> | |
| ! | | <pre>ddf_doc/publication/in_report/pages In the format Firstpage-Lastpage</pre> | 22) |
| + | | <pre>ddf_doc/publication/in_report/uri URI (URL) link to web site of report</pre> | |
| + | | ddf_doc/publication/in_report/uri/@access | 27) |

Publication case – As a book

| ! | | ddf doc/publication/book | |
|---------|--------------|---|-----|
| | | Use when the document is an entire book All data is placed in the sub-elements: edition, isbn, place, publisher, | |
| | | <pre>publisher_no, year, pages, series, uri. Note that in this case, the book title information is placed in ddf_doc/title.</pre> | |
| (§) | | ddf_doc/publication/book/@pub_status | |
| | | Status of publication, like "submitted", "accepted", "published" | 18) |
| ! | | <pre>ddf_doc/publication/book/edition Book edition, like "2nd rev. ed."</pre> | |
| ! | ® | ddf_doc/publication/book/isbn ISBN no. without dashes | 24) |
| ! | ® | ddf_doc/publication/book/isbn/@type Defining type of ISBN, i.e. electronic or primary etc. | 29) |
| ! | | <pre>ddf_doc/publication/book/place Place of publication</pre> | |
| ! | | <pre>ddf_doc/publication/book/publisher Name of publisher</pre> | |
| ! | | <pre>ddf_doc/publication/book/year Year of publication in format yyyy</pre> | |
| ! | | <pre>ddf_doc/publication/book/pages Total no. of pages</pre> | |
| ! | ® | <pre>ddf_doc/publication/book/series Book series information (like "Progress in Nanotechnology, vol. 14")</pre> | |
| + | | <pre>ddf_doc/publication/book/uri URI (URL) link to web site of book</pre> | |
| + | | <pre>ddf_doc/publication/book/uri/@access Use to state the accessibility of the linked web site of the book, i.e. "Open Access"</pre> | 27) |
| Publica | ation case - | As a report | |
| ! | | ddf doc/publication/report | |
| | | Use when the document is an entire report | |
| | | All data is placed in the sub-elements: isbn, rep_no, place, publisher, year, | |
| | | pages, uri. Note that in this case, the report title information is placed in ddf_doc/title. | |
| + | | ddf doc/publication/report/@pub status | |
| · | | Status of publication, like "submitted", "accepted", "published" | 18) |
| ! | ® | ddf_doc/publication/report/isbn ISBN no. without dashes | 24) |
| | | | |
| | ® | <pre>ddf_doc/publication/report/series Report series title</pre> | |
| ! | | <pre>ddf_doc/publication/report/rep_no Report series and number</pre> | |
| ! | | <pre>ddf_doc/publication/report/place Place of publication</pre> | |
| ! | | <pre>ddf_doc/publication/report/publisher Name of publisher</pre> | |
| ! | | ddf doc/publication/report/year | |

Year of publication in format yyyy ! ddf doc/publication/report/pages Total no. of pages ddf doc/publication/report/uri URI (URL) link to web site of report ddf doc/publication/report/uri/@access 27) Use to state the accessibility of the linked web site of the record, i.e. "Open Access" Publication case - As a patent ! ddf doc/publication/patent Use when the document is a patent (or a patent application) All data is placed in the sub-elements: country, ipc, number, date, uri. (§) ddf doc/publication/patent/@pub status Status of publication, like "submitted", "accepted", "published" 18) Is mandatory for patent publications because the time from "submitted" to "published" is often long and may cross year boundaries. 1 ddf doc/publication/patent/country Country of patent issue using ISO country codes 13) 1 ddf doc/publication/patent/ipc International Patent Classification 20) ! ddf doc/publication/patent/number Patent no. ! ddf doc/publication/patent/date Date of status above in format yyyy-mm-dd ddf doc/publication/patent/uri URI (URL) link to web site of patent ddf doc/publication/patent/uri/@access 27) Use to state the accessibility of linked web site of the patent, i.e. "Open Access" Publication case - As an Internet publication ! ® ddf doc/publication/inetpub Use when published electronically on the net (institutional repository, author's homepage, preprint server, etc.) If the document is also published in a journal, book or report, the corresponding publication cases should be used in addition. All data is placed in the sub-elements: text and uri. (§) ddf doc/publication/inetpub/text Text describing the service/file behind the URI like "PDF on personal home page", "PDF in institutional repository", "LaTex at arXiv preprint server" (§) ddf doc/publication/inetpub/uri The URI (URL)

Publication case - Digital object

+

! ddf doc/publication/digital object

Use when published in repository managed by the data provider. Typically an institutional repository integrated into a CRIS. If the document is also published on the net in an unmanaged/external repository or website the Internet publication case should be used in addition. A typical case is that to submit a postprint to an institutional repository you must also link to the publisher version, i.e. inetpub.

27)

All data is placed in the sub-elements: description, file, uri

ddf doc/publication/inetpub/uri/@access

Use to stat the accessibility of the service/file, i.e. "Open Access"

| (§) | ddf_doc/publication/digital_object/@id Unique id for the parent record | |
|-----|---|-----|
| ! | <pre>ddf_doc/publication/digital_object/@role What is the role of the object in relation to the record, i.e. full text "preprint", "postprint", or "publisher version".</pre> | 30) |
| (§) | <pre>ddf_doc/publication/digital_object/@access</pre> Use for stating the access rights for the object i.e. "Open Access", campus access etc. | 27) |
| ! | <pre>ddf_doc/publication/digital_object/description Description of the digital object</pre> | |
| [§] | <pre>ddf_doc/publication/digital_object/embargo_end Embargo end date in the format yyyy-mm-dd</pre> | |
| (§) | <pre>ddf_doc/publication/digital_object/file System generated description of the digital_object</pre> | |
| ! | <pre>ddf_doc/publication/digital_object/file/@lang Language of the file</pre> | 2) |
| [§] | <pre>ddf_doc/publication/digital_object/file/@size The size of the file</pre> | |
| ! | <pre>ddf_doc/publication/digital_object/file/@mime_type Mime type for the file must comply with the IANA specifications</pre> | 25) |
| [§] | <pre>ddf_doc/publication/digital_object/file/@timestamp Timestamp given when the file was change or uploaded</pre> | 26) |
| [§] | <pre>ddf_doc/publication/digital_object/file/@file Name of the file when it was uploaded</pre> | |
| ! | <pre>ddf_doc/publication/digital_object/file/description Description of the specific version</pre> | |
| ! | ddf_doc/publication/digital_object/uri URI (URL) to the digital object, i.e. in the local repository | |

Publication case - Other

+ ddf_doc/publication/other

Use for any other form of publication - if none of the above apply

4. Notes

The notes in this section are referred to in section 2 and 3. They primarily consist of authoritative lists of codes and corresponding display values, which define the controlled vocabularies of a number of key attributes.

In many cases, these vocabularies may be extended by the DDF secretariat, acting as the DDF-MXD maintenance agency. DDF data suppliers needing such extensions should contact the DDF secretariat.

| 1) |) 1 | l'ype of | document | t - cod | les and | displ | lay tex | t (for | @doc_typ | e) |
|----|-----|----------|----------|---------|---------|-------|---------|--------|----------|----|
| | | | | | | | | | | |

| Code | Danish text | English text | Comment |
|------|--------------------------------|-----------------------------|--|
| dja | Tidsskrift-artikel | Journal article | Full article |
| | Tidsskrift-brev til redaktøren | | |
| djl | Tidsskrift-kommentar | Journal comment | Journal letters, letters to editor |
| djc | | | Comments to the journal |
| djr | Tidsskrifts-review article | Journal review article | Review in journal |
| djb | Tidskrifts-anmeldelse | Journal book review | Book review |
| dna | Avis-artikel | Newspaper article | |
| db | Bog | Book | An entire book |
| dba | Bog-kapitel | Book chapter | A chapter or article in a book |
| dbp | Bog forord, leksikonartikel | Book preface, encycl. entry | Entry in encyclopedia, preface to book |
| dr | Rapport | Report | |
| dra | Rapport-kapitel | Report chapter | |
| dcp | Konference-paper | Conference paper | Full paper |
| dca | Konference-abstract | Conference abstract | Abstract only |
| dco | Konference-plakat | Conference poster | • |
| dct | Konference-foredrag | Conference talk | Slides, video, audio of talk |
| dw | Arbejdspapir, (pr)eprint | Working paper, (pr)eprint | Informal print or Internet publication |
| dln | Undervisning-noter | Lecture notes | 1 |
| dl | Undervisning-forelæsning | Lecture | Slides, video, audio of lecture |
| dp | Patent | Patent | , , |
| dtd | Afhandling-Doktor | Thesis Doctoral | |
| dtp | Afhandling-Ph.D. | Thesis PhD | |
| dtm | Afhandling-Kandidat | Thesis Master | |
| dts | Studenterrapport | Student report | |
| dso | Software | Software | |
| dd | Datasæt | Data set | |
| drt | Radio/TV-udsendelse | Radio/TV broadcast | Public broadcast |
| dx | Udstillingskatalog | Exhibition catalogue | 1 dolle of oudeupt |
| do | Andet | Other | |
| uo | Alluet | Other | |

See note 21) for valid combinations of @doc_type, @doc_review and @doc_level and comments on the use of these attributes for statistical purposes.

2) Language codes (for @doc_lang and @xml:lang)

Codes must comply with the W3C rules for xml:lang, namely IETF RFC 3066 and ISO 639.

See http://www.ietf.org/rfc/rfc3066.txt and http://www.w3.org/WAI/ER/IG/ert/iso639.htm and http://www.loc.gov/standards/iso639-2/

In practice this provides for the use of 2-letter (ISO 639-1) as well as 3-letter codes (ISO 639-2). As the 3-letter codes are the most common in the bibliographical context, some illustrative examples (including mappings) are listed below. Please note the extra available codes "mul" and "und" for multiple languages and undetermined language respectively in ISO 639-2.

As an example:

639-2 Danish text English text (639-1 equiv.)

dan Dansk Danish da

3) Annual report year of document (for @doc_year)

A 4-digit year indicating which annual report year ("indberetningsår") the document belongs to. In most cases this will the same as the publication year, but (formal) publishing sometimes is not completed before the following calendar year or even later — a process outside the control of the author and the institution and a process which sometimes is repeated for the same document. The annual report year, on the other hand, documents when the institution considered the document to be completed and ready for listing in the annual report — a process under the control of the institution and which cannot be repeated for the same document. Thus the annual report year may be used for statistical purposes.

4) Indicator for document review process (quality control) (for @doc_review)

| Code | Danish text | English text | Comment |
|------|--------------|--------------|---|
| pr | Peer review | Peer review | |
| or | Andet review | Other review | Editorial, institutional review etc. |
| nr | Intet review | No review | |
| und | Ubestemt | Undetermined | The nature of the review process (if any) |
| | | | could not be determined |

See note 21) for valid combinations of @doc_type, @doc_review and @doc_level and comments on the use of these attributes for statistical purposes.

5) Indicator for literary scope/level of document (for @doc_level)

| Code | Danish text | English text | Comment |
|------|----------------------|----------------|---------------------------------------|
| sci | Videnskabelig | Scientific | |
| edu | Undervisningsrettet | Educational | |
| pop | Populærvidenskabelig | Popular | |
| adm | Administrativ | Administrative | Progress reports, Annual reports, etc |
| und | Ubestemt | Undetermined | The literary scope/level could not be |
| | | | determined |

See note 21) for valid combinations of @doc_type, @doc_review and @doc_level and comments on the use of these attributes for statistical purposes.

6) Codes for exporting database/institution (for @rec_source)

Codes in alphabetical order:

0 - 1 -

| Code | Danish text |
|--------|---|
| aaa | Arkitektskolen i Aarhus |
| aardas | Århus Dag- og Aftenseminarium |
| aas | Aalborg Sygehus |
| afg | Dansk Institut for Klinisk Epidemiologi |
| agri | Danmarks JordbrugsForskning |
| ai | Arbejdsmiljø Information |
| akf | Amternes og kommunernes forskningsinstitut |
| ami | Det nationale forskningscenter for Arbejdsmiljø |
| amr | Arbejdsmiljørådets Service Center |
| ark | READ - Arkitekt- og designskolerne |
| au | Aarhus Universitet |
| aau | Aalborg Universitet |
| | |

Daniel (---

bio Det Bioteknologiske Forsknings- og Udviklingsprogramg

bioteknik Teknologisk Institut. Bioteknik

can Kræftens Bekæmpelse

cemtv Center for Evaluering af Medicinsk Teknologivurering

cfb Center for Børnelitteratur

cuf Dansk Center for Internationale Studier og Menneskerettigheder

dbi Danmarks Biblioteksskole Danmarks Designskole dds dfs Dansk Folkemindesamling dlh Danmarks Lærerhøjskole dmf Dansk Matematisk Forening dmu Danmarks Miljøundersøgelser Danmarks Pædagogiske Institut dpi dpu Danmarks Pædagogiske Universitet

dss Dansk Institut for Sundheds- og Sygeplejeforskning

dtf Danmarks Transport Forskning dtu Danmarks Tekniske Universitet

ens Energistyrelsen fak Forsvarsakademiet

far Danmarks Farmaceutiske Universitet

for Forskningsstyrelsen

fou Forsknings- og udviklingscentret for Hjælpemidler og Rehabilitering

fri Forskningscenter Risø

fsl Forskningscenter for Skov og Landskab

ftu FTU-programmet

geus Danmarks og Grønlands Geologiske Undersøgelse

hha Handelshøjskolen i Århus cbs Copenhagen Business School

hhs Handelshøjskole Syd hvhosp Hvidovre Hospital

ifg Institut for grænseregionsforskning

ifo Institut for Forskning i Idræt og Folkelig Oplysning

itu IT-universitetet i København
jpsem Jydsk Pædagogseminarium
ka Kunstakademiets Arkitektskole
kab Danmarks Kunstbibliotek
kas Københavns Amts Sygehusvæsen
kib Kunstindustrimuseets Bibliotek

ku Københavns Universitet

kvl Københavns Universitet Det Biovidenskabelige Fakultet

mup Det Materialeteknologiske Udviklingsprogram nlb Danmarks Natur- og Lægevidenskabelige Bibliotek

ruc Roskilde Universitet

sbi By og Byg. Statens Byggeforskningsinstitut

sdu Syddansk Universitet

seb Sekretariat for Børnekultur netværk

shu Statens Husdyrbrugsforsøg

sji Statens Jordbrugs- og Fiskeriøkonomiske Institut

sp Statens Planteavlsforsøg ssl Statens Skadedyrslaboratorium suc Sydjysk Universitetscenter

svs Statens Veterinære Serumlab. og Statens Veterinære. Inst. for Virusforskning

► Further codes may be registered with DDF

7) Record status codes (for @rec_status)

| Code | Danish text | English text | Comment |
|------|--------------|--------------------------|---------|
| n | Ny post | New record | |
| c | Rettet post | Corrected/updated record | |
| d | Slettet post | Deleted record | |

Note: Since data is gathered via OAI harvesting, 'new' (n) records should be set as 'updated' (c).

8) Keyword vocabulary/thesaurus (for @key_type)

| Code | Danish text | English text | Comment |
|----------|------------------------|---------------------|---------|
| fre | Fri/ukontrolleret | Free/uncontrolled | |
| ini | INIS | INIS | |
| eud | EUDISED | EUDISED | |
| ins | INSPEC | INSPEC | |
| loc | Lokal | Local | |
| | | | |
| • | Further codes may be r | registered with DDF | |

9) Classification system (for @class_type)

| Code | Danish text | English text | Comment |
|-------------|----------------------|-----------------------|---------|
| ddc | Dewey | Dewey | |
| udc | UDK | UDC | |
| dk5 | DK5 | DK5 | |
| def | DEF | DEF | |
| loc | Lokal | Local | |
| | | | |
| > | Further codes may be | e registered with DDF | |

10) Person roles in relation to document (for @pers_role)

| Code | Danish text | English text | Comment |
|----------|----------------------------|------------------|---------------------------------------|
| pau | Forfatter | Author | |
| pa1 | Primær forfatter | Primary author | Optional – if needed to differentiate |
| pa2 | Sekundær forfatter | Secondary author | Optional – if needed to differentiate |
| ped | Redaktør | Editor | |
| ppu | Udgiver | Publisher | |
| ptr | Oversætter | Translator | |
| pil | Illustrator | Illustrator | |
| inv | Opfinder | Inventor | |
| sup | Vejleder | Supervisor | |
| _ | - | _ | |
| • | Further codes may be regis | stered with DDF | |
| | | | |

11) Affiliation reference key (for @aff_no)

The reference key is a simple number "0"-"999" which uniquely identifies organisations within the document record. The reference key is used to associate a person unambiguously with the organisation to which s/he is affiliated. The reference key is not intended to carry any meaning outside the context of the document record.

12) Identification system codes (for @id_type)

| Code | Danish text | English text | Comment |
|---------|---------------|-----------------|---------------------------------------|
| cpr | CPR nr. | CPR no. | Danish national person identification |
| cvr | CVR nr. | CVR no. | Danish national enterprise |
| | | | identification |
| ddf_rec | DDF post ID | DDF record ID | Danish Research Database record ID |
| loc_rec | Lokal post-ID | Local record ID | Local (data supplier) record ID* |
| | | | |

| loc_per | Lokal person-ID | Local person ID | Local (data supplier) person ID* |
|-----------|-----------------------------|-----------------------------|---|
| loc_pro | Lokal project-ID | Local project ID | Local (data supplier) project ID* |
| loc_org | Lokal organisations-ID | Local organisation ID | Local (data supplier) organisation ID* |
| loc_eve | Lokal event-ID | Local event ID | Local (data supplier) event ID* |
| fi_nfdb | FI national forsker databas | e FI national researcher DB | For use with the Danish researcher DB |
| ec_ga_fp7 | EU FP7 Bevillingsaftale ni | EC FP7 Grant Agreement No. | For use with OpenAIRE compliance and EC Grant Agreement numbers |

► Further codes may be registered with DDF

13) Countries - codes and names

| Code | Danish text | English text | Comment |
|------|----------------|---------------|---------|
| au | Australien | Australia | Comment |
| at | Østrig | Austria | |
| ca | Canada | Canada | |
| ch | Schweiz | Switzerland | |
| cn | Kina | China | |
| de | Tyskland | Germany | |
| dk | Danmark | Denmark | |
| es | Spanien | Spain | |
| fi | Finland | Finland | |
| fr | Frankrig | France | |
| gb | Storbritannien | Great Britain | |
| gr | Grækenland | Greece | |
| hu | Ungarn | Hungary | |
| ie | Irland | Ireland | |
| in | Indien | India | |
| is | Island | Iceland | |
| it | Italien | Italia | |
| jp | Japan | Japan | |
| nl | Nederlandene | Netherlands | |
| no | Norge | Norway | |
| rs | Serbien | Serbia | |
| ru | Rusland | Russia | |
| pl | Polen | Poland | |
| pt | Portugal | Portugal | |
| se | Sverige | Sweden | |
| th | Thailand | Thailand | |
| us | USA | USA | |
| za | Sydafrika | South Africa | |
| | | | |

Further country codes may be added according to ISO 3166
See http://www.iso.org/iso/en/prods-services/iso3166ma/index.html

14) Organisation roles in relation to document (for @org_role)

| Code | Danish text | English text | Comment |
|------|-------------------------|------------------|---------|
| oau | Korporativ forfatter | Corporate author | |
| oaf | Institutionstilknytning | Affiliation | |
| opu | Udgiver | Publisher | |
| | | | |

► Further codes may be registered with DDF

^{*} The local IDs are defined by the data supplying organisations se note 6).

A local ID prefixed with the code of the data-supplying organisation becomes nationally unique.

15) Project roles in relation to document (for @proj role)

Danish text Code **English text** Comment

pd Leverance fra Deliverable of Relateret til Related to pr

Further codes may be registered with DDF

16) Event roles in relation to document (for @event_role)

| Code | Danish text | English text | Comment |
|------|-----------------|--------------|---------|
| en | Præsenteret ved | Presented at | |

Relateret til Related to

Further codes may be registered with DDF

17) Local field types (for @tag_type)

Field treatment in DDF Code

- Field is neither indexed nor displayed in search module
- 2 Field may be displayed in search module
- 3 Field may be indexed in search module
- 4 Field may be indexed and displayed in search module

18) Status of publication (for @pub_status)

| Code | Danish text | English text | Comment | |
|------|-------------|--------------|----------------------|--|
| S | Indsendt | Submitted | | |
| a | Accepteret | Accepted | | |
| i | I trykken | In press | | |
| p | Publiceret | Published | | |
| u | Upubliceret | Unpublished | e.g. grey literature | |
| | | | | |

19) Date format for events

The start and end dates of events may be recorded as a year (yyyy e.g. "2004"), as a month (yyyy-mm e.g. "2004-11") or as a day (yyyy-mm-dd e.g "2004-11-03")

20) **International Patent Classification**

The Strasbourg Agreement (of 1971) concerning the International Patent Classification provides for a common classification for patents for invention including published patent applications, utility models and utility certificates. The International Patent Classification (IPC) is a hierarchical system in which the whole area of technology is divided into a range of sections, classes, subclasses and groups. See http://www.wipo.int/classifications/ipc/en/

21) Valid combinations of values of the 3 attributes @doc_type, @doc_review and @doc_level

| @doc_type | | | @doc_review | | | @doc_level | | | | |
|------------------------------------|------|----|-------------|----|-----|------------|-----|-----|-----|-----|
| English display text | code | pr | or | nr | und | sci | edu | pop | adm | und |
| Journal article | dja | pr | or | nr | und | sci | edu | pop | | und |
| Journal letter (to the editor) | djl | pr | or | nr | und | sci | edu | pop | | und |
| Journal comment | djc | pr | or | nr | und | sci | edu | pop | | und |
| Journal review article | djr | pr | or | nr | und | sci | edu | pop | | und |
| Journal book review | djb | pr | or | nr | und | sci | edu | pop | | und |
| Newspaper article | dna | | or | nr | und | | | pop | | Und |
| Book | db | pr | or | nr | und | sci | edu | pop | | und |
| Book chapter | dba | pr | or | nr | und | sci | edu | pop | | und |
| Book preface, encyclopedia article | dbp | pr | or | nr | und | sci | edu | pop | | und |

| Report | dr | pr | or | nr | und | sci | edu | | adm | und |
|------------------------------|-----|----|----|----|-----|-----|-----|-----|-----|-----|
| Report chapter | dra | pr | or | nr | und | sci | edu | | adm | und |
| Conference paper | dcp | pr | or | nr | und | sci | edu | | | und |
| Conference abstract | dca | pr | or | nr | und | sci | edu | | | und |
| Conference poster | dco | pr | or | nr | und | sci | edu | | | und |
| Conference talk/presentation | dct | pr | or | nr | und | sci | edu | | | und |
| Working paper, (pr)eprint, | dw | | or | nr | und | sci | edu | pop | adm | und |
| Lecture notes | dln | | | nr | und | | edu | | | und |
| Lecture | dl | | | nr | und | | edu | | | und |
| Patent | dp | | | nr | und | sci | | | | und |
| Thesis Doctoral | dtd | | | nr | und | sci | | | | und |
| Thesis Ph.D. | dtp | | | nr | und | sci | | | | und |
| Thesis Master | dtm | | | nr | und | | edu | | | und |
| Student report | dts | | | nr | und | | edu | | | und |
| Software | dso | | | nr | und | sci | edu | | adm | und |
| Data set | dd | | | nr | und | sci | edu | | adm | und |
| Radio/TV broadcast | drt | | | nr | und | | edu | pop | | und |
| Other | do | pr | or | nr | und | sci | edu | pop | adm | und |
| | | | | | | | | | | |

The table above specifies for each @doc_type value the allowed values of @doc_review and @doc_level. For example: "dna" (newspaper article) may be combined with either "or" or "nr" and with "pop".

One may retrieve documents (and statistical figures) using these three attributes in combination. In a number of cases a fourth attribute, @doc_lang, may be added in order to distinguish between documents in national languages (Danish etc.) and documents in an international language (generally English).

22) Valid page numbering for Firstpage-Lastpage

Format Firstpage-Lastpage must be a range in format of "optional letter+1-6 digits - optional letter+1-6 digits" E.g. S17-S24 and 354-376 are both valid while SS45, S.86, SS97-SS103 are non valid ranges.

23) Valid ISSN format

Format for ISSN is without dashes and should follow: 7 digits plus one digit or x - e.g. 0173083x or 00280453

24) Valid ISBN format

Format for ISBN is without dashes and should follow: '9 digits plus digit or x' OR '12 digits plus digit or x' e.g. 0201694972; 040669694x; 0236496273554; 026496273556x

25) Mime_types (for @mime_types)

Mime_types must comply with the definitions specified by IANA (Internet Assigned Numbers Authority). See http://www.iana.org/assignments/media-types/

26) Timestamp

Timestamp must comply with ISO 8601, i.e. YYYY-MM-DDThh:mm:ssTZD See http://www.w3.org/TR/NOTE-datetime

27) Access definitions (for @access)

| Code | Danish text | English text | Comment |
|------|---------------|---------------|---|
| oa | Open access | Open access | For use free and open access to resource. |
| ca | Campus adgang | Campus access | For use with campus only access. |

DDF-MXD - Danish Research Database - Metadata Exchange Format for Documents

| ea | Foreløbig lukket adgang | Embargoed access | For use with publications under an |
|----|-------------------------|------------------|--|
| | | | embargo. |
| na | Ingen offentlig adgang | No public access | For use with non-public and owner only |
| | | | access. |

Embargo periods in regards to Open Access must be managed by the data provider, i.e. the access status must be changed locally when the embargo period is ended.

28) bfi_conference_no,bfi_ journal_no and bfi_publisher_no (unique IDs from BFI databases)

Unique IDs used to identify Conference, Journal or Publisher titles in the Danish Agency for Science, Technology and Innovation/Styrelsen for Forskning og Innovation's Bibliometric Research Indicator authoritative databases.

| 29) | ISSN/ISBN type definition (for @type) | | | | | | | |
|------------|--|-----------------------------------|---|--|--|--|--|--|
| Cada | Denich toyt | English tout | Comment | | | | | |
| Code | Danish text ISSN/ISBN | English text ISSN/ISBN | Comment For use with | | | | | |
| pri | 1331\/13BIN | 1331/13BIN | primary ISSN/ISBN | | | | | |
| ele | E-ISSN/E-ISBN | E-ISSN/E-ISBN | For use with ISSN/ISBN for | | | | | |
| CIC | L ISSIVE ISBIV | E ISSIVE ISBIV | electronic resources. | | | | | |
| alt | ISSN/ISBN | ISSN/ISBN | For use with alternative | | | | | |
| 410 | 1881 (1881) | 1221 (1221) | ISSN/ISBN | | | | | |
| und | ISSN/ISBN | ISSN/ISBN | For use with undefined | | | | | |
| | | | ISSN/ISBN | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| 30) | Digital object definition (| for @role) | | | | | | |
| Code | Danish text | English text | Comment | | | | | |
| pre | Preprint | Preprint | Draft before refereeing and publication | | | | | |
| pos | Postprint | Postprint | The refereed, accepted final draft | | | | | |
| pub | Forlagsversion | Publisher version | Version as published by publisher | | | | | |
| rev | Revideret version | Revised version | Any revisions after the published version | | | | | |
| | | | , | | | | | |
| 31) | National research area (f | for @role) | | | | | | |
| | | | | | | | | |
| Code | Danish text | English text | Comment | | | | | |
| sci | Teknik/naturvidenskab Samfundsvidenskab | Science/technology Social science | | | | | | |
| soc hum | Humaniora | Humanities | | | | | | |
| med | Sundhedsvidenskab | Medical science | | | | | | |
| ilicu | Sulfulledsvideliskau | Wicdical science | | | | | | |
| | | | | | | | | |
| 32) | Sub event types (for @ev | ent_type) | | | | | | |
| Code | Danish text | English toyt | Comment | | | | | |
| lec | Forlæsning | English text Lecture | Comment | | | | | |
| cla | Undervisningstime | Class | | | | | | |
| Ciu | Chasi visinii Suniic | C1466 | | | | | | |

Session

Work shop

Conference track

ses

tra

wor

Session

Workshop

Konferencespor

5. Examples

Example 1: Book with two editors, one of which is affiliated with a DDF data supplier.

Full XML document

The book addresses the crucial intersection of Human-Computer Interaction (HCI) and software engineering. It examines quality from the perspectives of both HCI and Software Engineering, and then systematically develops and illustrates a framework for reasoning about the interaction between quality concerns from HCI and Software Engineering on the one hand, and critical—aspects of software development such as the choice of software architecture and the deployment of software tools. The book presents a framework for future research and development, both in academia and industry, into specialised software architectures, methods and tools for high quality interactive systems. Likely developments over the next few years depend mostly on the development of better formal approaches to the definition of practical and relevant software properties, better ways of describing and comparing software architectures, and more rigorous and reflective approaches to requirements definition for software tools. The book develops key concepts for guiding the application of these developments to the production of high quality interactive software.

```
</abstract>
   <note xml:lang="eng">Written by IFIP Working Group 2.7</note>
   <subject>
      <keyword key_type="fre" xml:lang="eng">Human-computer interaction</keyword>
      <keyword key_type="fre" xml:lang="eng">Software engineering</keyword>
      <keyword key_type="fre" xml:lang="eng">Documentation, theory</keyword>
      <class class_type="ddc">005.1</class>
      <class class type="udc">681.3.02</class>
   </subject>
   </research_area area_code="sci" xml:lang="dan"> Teknik/naturvidenskab</research_area>
</description>
<person pers role="ped" aff no="001">
   <name><first>Cristian</first><last>Gram</last></name>
   <id id_type="loc_per" id source="dtu">v661</id>
   <email>cg@imm.dtu.dk</email>
</person>
<person pers role="ped" aff no="003">
   <name><first>Gilbert</first><last>Cockton</last></name>
   <country>gb</country>
</person>
<organisation org_role="oaf" aff_no="001">
   <name xml:lang="eng">
      <level1>Technical University of Denmark</level1>
      <level2>Department of Information Technology</level2>
      <acronym>DTU_IT</acronym>
   </name>
   <country>dk</country>
   <uri>http://www.imm.dtu.dk/</uri>
</organisation>
<organisation org role="oau" aff no="002">
   <name xml:lang="eng">
      <level1>International Federation for Information Processing</level1>
      <level2>Technical Committee 2: Software Theory and Practice</level2>
      <level3>Working Group 2.7: User Interface Engineering</level3>
```

```
<acronym>IFIP_TC2_WG2.7</acronym>
      </name>
      <uri>http://www.ifip.or.at/</uri>
   </organisation>
   <organisation org_role="oaf" aff_no="003">
      <name xml:lang="eng">
         <level1>University of Glasgow</level1>
      </name>
      <country>gb</country>
      <uri>http://www.gla.ac.uk/</uri>
   </organisation>
   <publication bfi_publisher_no="102">
      <book pub_status="p">
         <edition>1</edition>
         <isbn>0412724707</isbn>
         <place>London</place>
         <publisher>Chapman and Hall/publisher>
         <publisher_no>102</publisher_no>
         <year>1996</year>
         <pages>248</pages>
         <uri>http://www.amazon.com/exec/obidos/ASIN/0412724707/104-9175344-3964725</uri>
      </book>
   </publication>
</ddf_doc>
```

Example 2: Conference paper published in proceedings in book form

Full XML document

```
<ddf\_doc\ xmlns="http://mx.forskningsdatabasen.dk/ns/documents/1.3"\ format\_version="1.3.0"\ doc\_type="dcp"\ doc\_lang="eng"\ doc\_year="2004"\ doc\_review="pr"\ doc\_level="sci"\ rec\_source="aaa"\ rec\_id="8954"\ rec\_created="2005-05-02"\ rec\_upd="2005-05-02"\ rec\_status="n">
```

```
<title><original><main>Quality Control in Visualization Processes</main></original></title><description>
<abstract xml:lang="eng">
```

Computer visualizations of planned built environment and infrastructures are increasingly used as a basis for democratic decisions when the impact of the projects is of wide-ranging interests and influence. It is of great importance for the democratic process that all aspects of the material in a project can be trusted as a basis for discussion and decisions among politicians and citizens. This paper describes the objective aspects of the quality of data in the information basis for 3D visualizations and it calculates the precision that can be achieved by the known methods of 3D-CAD visualization. Furthermore, the paper suggests a model that can secure sufficient quality in future visualization work processes by accumulating documentation for both the factual basic data and information that carry the aim and meaning of the message and make this information accessible through the visualization.

```
</abstract>
   <subject>
      <keyword key_type="fre" xml:lang="eng">3Dvisualization/keyword>
      <keyword key_type="fre" xml:lang="eng">Process Model</keyword>
      <keyword key_type="fre" xml:lang="eng">Quality Control</keyword>
   </subject>
</description>
<person pers_role="pau" aff_no="001">
   <name><first>Uffe</first><last>Lentz</last></name>
   <title>Professor, arkitekt</title>
   <email>uffe.lentz@aarch.dk</email>
   <uri>http://aarch.dk/arkitektskolen_aarhus/personale/persondata/uffe-lentz/</uri>
</person>
<person pers role="pau" aff no="001">
   <name><first>Andreas</first><last>Lvkke-Olsen</last></name>
   <title>Ph.d.-studerende, arkitekt</title>
   <email>andreas.lvkke-olesen@aarch.dk</email>
   <uri>http://aarch.dk/arkitektskolen_aarhus/personale/persondata/andreas-lykke-olesen/</uri>
</person>
<organisation org_role="oaf" aff_no="001">
   <name xml:lang="dan">
      <level1>Arkitektskolen i Aarhus</level1>
      <level2>Institut for Design</level2>
   </name>
   <country>dk</country>
   <uri>http://aarch.dk/institutter/institut_for_design/</uri>
</organisation>
<event event_role="ep" bfi_conference_no="101>
   <title xml:lang="eng">
      <full>Architecture in the Network Society. Association for Education in CAAD in
         Europe Conference</full>
      <acronym>eCAADe</acronym>
      <number>22</number>
   </title>
   <dates><start>2004-09-15</start><end>2004-09-18</end></dates>
   <place>Copenhagen</place>
   <sub event event type="tra">
      <title xml:lang="eng">A Very Special Conference Track</title>
      <acronym>AVSCT</acronym>
   </sub_event>
```

Example 3: Journal article with one author

Full XML document

```
<ddf_doc xmlns="http://mx.forskningsdatabasen.dk/ns/documents/1.3" format_version="1.3.0" doc_type="dja"
doc_lang="eng" doc_year="2003" doc_review="pr" doc_level="sci" rec_source="far" rec_id="23211"
rec_created="2003-05-11" rec_upd="2004-02-11" rec_status="c">
```

```
<title><original xml:lang="eng"><main>Recent applications of microemulsion electrokinetic chromatography</main></original></title>
<description>
<abstract xml:lang="eng"></abstract xml:lang="eng"></a>
```

Microemulsions are used in a similar way as micellar solutions are used for separations in capillary electrophoresis. Within the last six years, a number of papers have appeared in the literature in which the separation characteristics of microemulsion electrokinetic chromatography (MEEKC) have been investigated. One of the major fields of application for MEEKC has been the lipophilicity of chemical substances but the technique has also been applied for the analysis of substances within the fields of natural products, pharmaceuticals, vitamins, peptides, proteins, and nucleic acid bases as well as nucleosides. An overview of the applications is given together with the microemulsions used for each application.

```
</abstract>
  <subject>
      <keyword key_type="fre" xml:lang="eng">
        Microemulsion electrokinetic chromatography
      </keyword>
      <keyword key_type="fre" xml:lang="eng">Review</keyword>
  </subject>
</description>
<person pers_role="pau" aff_no="001">
  <name><first>Steen Honore</first><last>Hansen</last></name>
  <email>shh@dfuni.dk</email>
  <uri>http://www.dfh.dk/index.php?id=1338</uri>
</person>
<organisation org_role="oaf" aff_no="001">
  <name xml:lang="eng">
      <level1>The Danish University of Pharmaceutical Sciences</level1>
      <level2>Department of Analytical Chemistry</level2>
      <acronym>DFU</acronym>
  </name>
  <uri>http://www.dfuni.dk/index.php?id=531</uri>
</organisation>
<publication bfi_serial_no="A1234">
  <in_journal pub_status="p">
      <title>Electrophoresis</title><issn type="pri">01730835</issn><issn type="ele">15222683</issn>
      <year>200322-23/issue><pages>3900-3907</pages>
```