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LA - Legislation Applicable

LA_BUC_03 - v4.4.0

Notification of relevant information

BUC Specifications

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Document history

Version	Date	Short Description of Changes
v0.1	13/01/2015	First Draft
v0.2	21/01/2015	Citizen actor added, BPMN changed accordingly
v0.3	28/04/2015	Change version system Remove former flow reference Add Forward Case Branch
v0.4.0	27/01/2016	Add the Request – Reply SED Add the Attachments Allowed section Add SED and Sub-process versioning Add Close Exception Update to align with the business architecture principles
v0.4.1	12/02/2016	Integration of the changes made following the AHG meeting: - added the note saying that A008 could be the trigger of LA_BUC_02 - changed "Title II" at Implementing Regulation (instead of "Art 16") - added the "Update", "Invalidate" and "Add Participant" branches
v0.4.2	31/03/2016	Integrated further comments received from the AHG
v0.5.0	26/06/2016	Add the Reopen branch Add steps 7 and 8 (Close) Alignment to the standard description and layout of the BUC
v0.5.1	29/06/2016	Rephrased the Description, Trigger, Post Conditions in the Main Scenario, following the comments received from the Deputy Rapporteur of the AHG
v0.6.0	25/07/2016	Alignment to the standard description and layout of the BUC.
v0.99.0	14/09/2016	Integrated the comments received from the AC (typos)
v0.99.1	15/09/2016	Submitted for AC approval Integrated more comments received from the AC
v1.0.0	24/10/2016	AC Approved version
v1.0.1	3/07/2017	Added BPMN diagram (version 1.0.0.5)
v1.1.0	11/12/2017	Approval of change request for " LA_BUC_03 - Add Administrative Sub-processes Clarify and Reject ", see JIRA EESSI-1780.": The participants receiving SEDs need to clarify or reject them. - Added BPMN version of the diagram in section 5. - Correction in the sub-process table in section 4.5.

v1.1.1	19/12/2017	- Updated the BPMN diagrams to clarify the fact that the issuing a portable document A1 is out of the scope of EESSI. - Patched the Invalidate-SED and Forward-Case BUC branches in order to align them for all sectors.
v4.1.0	20/08/2018	- Changes in section 4.4: the two tables were merged into one, containing all the used artefacts; - Small patch changes to fit release 4.1.0
v4.2.0	29/05/2019	- CR EESSI-5468 - LA Global documentation changes – Changes in names of SED and minor grammar changes
v4.3.0	31/08/2021	Version adaptations to release 4.3.0
v4.4.0	06/12/2024	Accommodate the new BUC Specification template

Table of Contents

1. Introduction

1.1 Purpose

The purpose of this document is to construct an external view of, part of, the 'EESSI business system' as described in EC Regulations 883/2004 and 987/2009. The 'EESSI Business System' describes the business and expected business processes without consideration as to which part(s) may be realised by an IT System (i.e. the proposed EESSI IT System).

The external view comprises of models and descriptions of business use cases, the services of a business system offered to business actors: customers, business partners, or other business systems.

A business use case is described from an actor's perspective; it describes the interaction between an actor and the business system, meaning it describes the behaviors of the business system that the actor utilises. The Business Use Case includes Use Case Diagrams and Business Process Models.

Use case diagrams show actors, business use cases, and their relationships. Use case diagrams do not describe procedures. Alternative scenarios also remain hidden. These diagrams give a good overview of the behaviors of the EESSI business system which will direct and govern part of the expected behaviors and functionality delivered by the EESSI IT System.

1.2 Scope

This document is limited to the external view on the Legislation Applicable sector process of Notification of relevant Information.

The different elements like use case description, actors, and business process as well as supporting UML diagrams and BPMN models pertaining to the Notification of relevant Information.

1.3 Definitions, Acronyms and Abbreviations

Please consult the EESSI Project Glossary

1.4 References

#	Document Type	Document Name
R1	Basic Regulations EC (883/04)	Regulation EC No. 883/2004.pdf
R2	Implementing Regulations EC (987/09)	Regulation EC No 987/2009.pdf

Table 1: List of References

1.5 Overview

Chapter1 introduces the external view on the business system under review and lists the elements of this specification.

Chapter 2 introduces the Notification of relevant information business process. The chapter gives a short and detailed description as well as a reference to business process' legal base.

Chapter 3 lists the actors involved in the Notification of relevant information business process.

Chapter 4 describes in detail the Notification of relevant information business process based on the RUP use case template, as well as the relationship to other use cases.

Chapter 5 describes the Notification of relevant information business process using business process modelling notation (BPMN).

Chapter 6 add appendices

2. Description

2.1 Detailed Description

The "Notification of relevant information" business process in the Legislation Applicable sector describes how situations involving a need to revise a previous decision are handled according to Title II of Regulation (EC) No 883/2004 [R1].

If one of the institutions that participated in determining the legislation applicable notices that relevant changes have occurred (affecting the situation that lead to the mentioned decision), it can notify the other institution concerned about the changes. This may lead to issuing a new PD A1.

The BUC also covers the situations when there is a need to inform the institution in the state of residence of an employee or self-employed person about him/her pursuing an activity in two or more Member States. This will allow the institution in the state of residence to make a provisional assessment as described in article 16 Reg. 987/2009 [R2].

2.2 Legal basis

This Business Use Case document's legal base is described in the following Regulations

1. Basic Regulation (EC) No 883/2004 [R1]
2. Implementing Regulation (EC) No 987/2009 [R2]

The following matrix specifies the SEDs that are used in this Business Use Case and documents the articles that provide the legal basis for each SED.

SED	Basic Reg (883/04)	Implementing Reg (987/09)
	Title II	Title II
A008	✓	✓

Table 2: SED – Legal base relationship matrix

3. Actors & Roles

This chapter captures details of the actors which are important to understand the different types of system users. An actor is anyone or anything that exchanges data with the business system. An actor can be a user, external hardware, or another system.

The overarching description of each actor described in this Business Use Case can be found in the Glossary. Below you will find a short description which provides further clarity of this actor within the context of this Business Use Case.

Actor name	Description
Case Owner	Institution that notices the relevant changes **or** Institution that wants to inform other Member States about the activities of one of their residents in two or more Member States.
Counterparty	Institution that is being notified about: Relevant changes **or** The activity in two or more Member States. The Case Owner and the Counterparty(ies) are herein collectively referred to as the Participants.
Citizen	The beneficiary of the PD A1.

Table 3: Actors & Roles

4. Use Case

4.1 RUP Table Representation

Use Case ID:	**LA_BUC_03**		
Use Case Name:	Notification of Relevant Information		
Created By:	EESSI BA Team	**Last Updated By:**	EESSI BA Team
Date Created:	13/01/2015	**Publication Date:**	06/12/2024
Actors:	Case Owner Counterparty Citizen		
Description:	A Competent Institution from a Member State finds out that relevant changes have occurred and notifies the other(s) concerned institution(s) about it. It can also be used to inform the Competent Institution of the country of residence of a worker/self employed working in 2 or more countries.		
Trigger:	Relevant changes occurred or a Competent Institution of a Member State learns about the situation of a worker/self-employed, residing in another Member State, working in 2 or more countries (Member States)		
Preconditions:	A decision has already been taken **or** A SED has been exchanged **or** A Competent Institution of a Member State learns about the situation of a worker/self-employed, residing in another Member State, working in 2 or more countries (Member States)		
Post conditions:	All institutions concerned are notified about: Relevant changes **or** The activity of a worker/self-employed in 2 or more Member States After LA_BUC_03 is finished, LA_BUC_02 can be used, in order to continue the business process and determine the legislation applicable.		
Main Scenario:	**Identify Participants** 1. The Case Owner identifies the EU Member State(s) which is (are) concerned with the changes or which is the country of residence of the (self) employed person working in 2 or more Member States; 2. The Case Owner then identifies the correct institution(s) (the Counterparty(ies)) in the concerned Member State(s). The Case Owner and the Counterparty(ies) are herein collectively referred to as the Participants.		

	Send the notification of relevant changes 3. The Case Owner fills in an A008 SED – Notification of relevant information; 4. The Case Owner sends the A008 SED – Notification of relevant information to the Counterparty(ies). **Receive the notification** 5. The Counterparty receives and reviews the A008 SED. **Issue the new PD A1 (if needed)** 6. The Case Owner or the Counterparty may issue a new PD A1 to the citizen, if needed, depending on the circumstances; 7. The Case Owner waits for a period of 30 calendar days; 8. The Case Owner executes use case **AD_BUC_01 – Close Case;** 9. The use case ends here.
Alternative branches:	*__The Following Branches Determine the use of Administrative Processes within this Business Process__*
	Branch 1: **at any step between [step 4] and [step 7] the Case Owner may choose to forward this Business Process to another Competent Institution within their Member State who assumes responsibility for handling it.** 1. The Case Owner executes business use case **AD_BUC_05 – Forward Case;** 2. [This Branch] Ends.
	Branch 2: **at any step between [step 5] and [step 7] the Counterparty may choose to forward this Business Process to another Competent Institution within their Member State who assumes responsibility for handling it.** 1. The Counterparty executes business use case **AD_BUC_05 – Forward Case;** 2. [This Branch] Ends.
	Branch 3: **at any step between [step 4] and [step 7] the Case Owner may choose to add a Participant to this Business Process.** 1. The Case Owner executes business use case **AD_BUC_03 – Add Participant;** 2. [This Branch] Ends.
	Branch 4: **at any step between [step 5] and [step 7] the Counterparty may choose to add a Participant to this Business Process.**

	1. The Counterparty or any Participant executes business use case *AD_BUC_03 – Add Participant*; 2. [This Branch] Ends.
	Branch 5: *at any step between [step 4] and [step 7] the Case Owner may choose to advise all recipients of their A008 that it is invalid under Art 5 of 987/09.* 1. The Case Owner executes business use case **AD_BUC_06_SubProcess – Invalidate SED;** 2. Optionally, the Case Owner fills in an A008 – Notification of relevant information, by entering all the required data; 3. Optionally, the Case Owner sends the A008, including any attachments, to the recipients; 4. [This Branch] Ends
	Branch 6: *at any step between [step 4] and [step 7] the Case Owner may choose to send an updated version of A008.* 1. The Case Owner executes business use case ***AD_BUC_10 – Update_SED;*** 2. [This Branch] Ends.
	Branch 7: *After Step [8] any Participant may choose to Reopen the Business Process.* 1. Any Participant may execute business use case ***AD_BUC_02 – Reopen Case;*** 2. [This Branch] Ends.
	Branch 8: *At any step after [Step 5] and before [Step 8] any Counterparty may request to another Participant (who previously sent them an A008 SED – Notification of relevant information) provide clarifications of the information they sent.* 1. The Participant executes business use case ***AD_BUC_08_SubProcess - Clarify Content***; ***2.*** [This Branch] Ends.
	Branch 9: *At any step after [Step 5] and before [Step 8] any Participant may choose to Reject the previously received SED.* 1. The Participant executes business use case **AD_BUC_09 - Reject_SED;** 2. [This Branch] Ends.
Includes:	See diagram at part 4.4.

Special Requirements:	N/A
Assumptions:	N/A
Notes and Issues:	N/A

4.2 Request – Reply SEDs

The following table specifies the SEDs that have a logical pairing to one another, usually this is known as a request-reply pair:

REQUEST SED	REPLY SED(s)
NONE	

4.3 Attachments

The following table specifies whether attachments are permitted to be included when sending a SED type:

SED	Attachments
A008	Allowed

4.4 Artefacts used

The following table specifies the artefacts that are used in this Business Use Case:
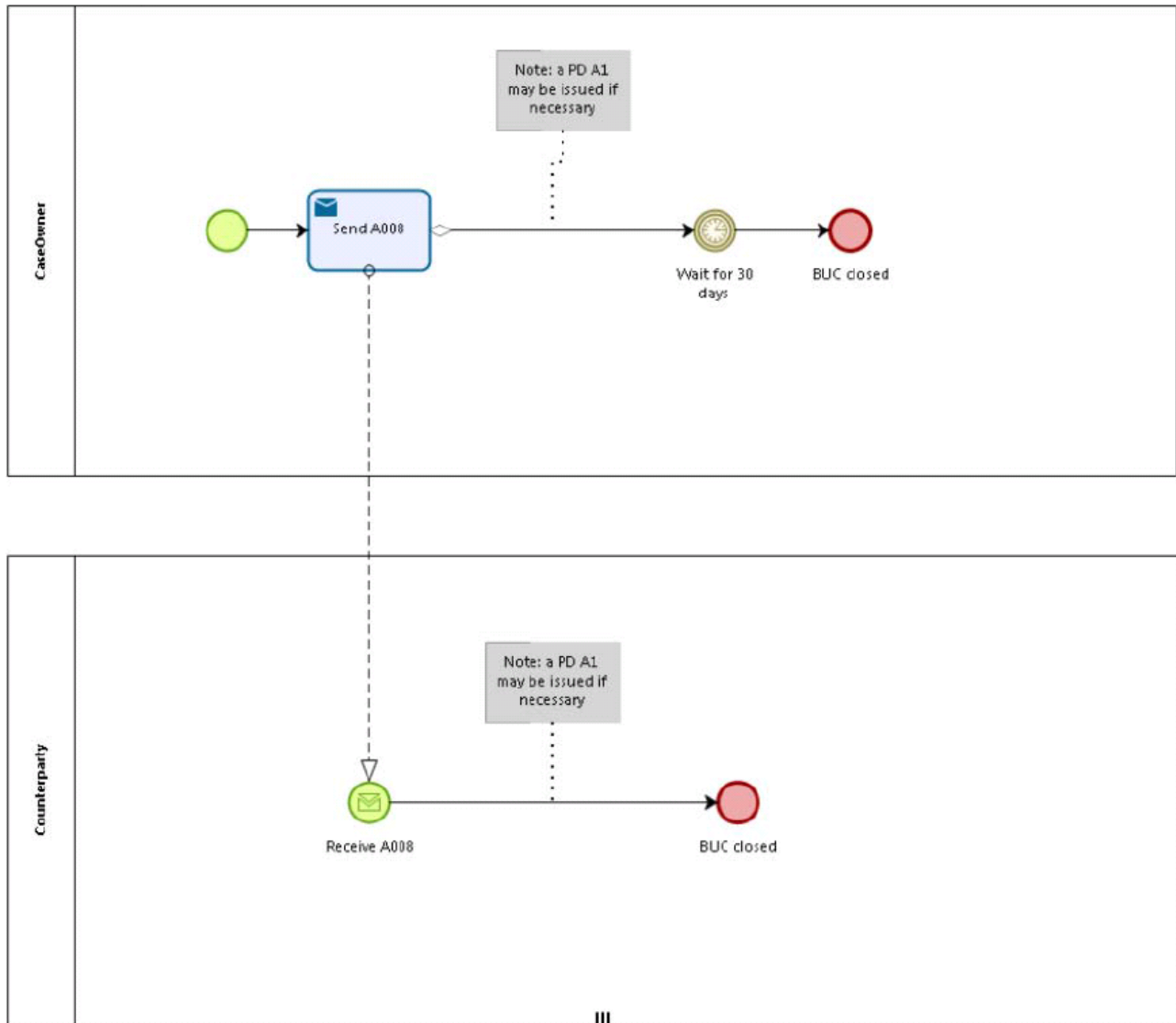
Artefact name	Artefact type
A008	SED
AD_BUC_01_Subprocess – Close Case	BUC
AD_BUC_02_Subprocess – Reopen Case	BUC
AD_BUC_03_Subprocess – Add Participant	BUC
AD_BUC_05_Subprocess – Forward Case	BUC
AD_BUC_06_Subprocess – Invalidate SED	BUC
AD_BUC_08_Subprocess – Clarify Content	BUC
AD_BUC_09_Subprocess – Reject SED	BUC
AD_BUC_10_Subprocess – Update SED	BUC

Artefact name	Artefact type
AD_BUC_11_Subprocess – Business Exception	BUC
AD_BUC_12_Subprocess – Change of Participant	BUC

5.   Business Processes / Flows

The business process models are described using BPMN 2.0.

5.1   Case Owner and Counterparty

5.2   Called Sub-processes

N/A

6.   Appendices

6.1 Issues

N/A