



EESSI – CDM 4.4

S - Sickness

S_BUC_21 - v4.4.0

Inventory of months – Fixed amounts

BUC Specifications

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Document history

Version	Date	Short Description of Changes
v0.1	20/10/2015	Document has been updated based on information received during the Sickness AHG meeting on 4 th November 2015. Chapter 3: <ul style="list-style-type: none"> Description of actors has been updated Claimant has been deleted Chapter 4: 4.1 RUP Table Representation <ul style="list-style-type: none"> Claimant has been deleted Add the repeatable property for "Information on payment of individual claim" and "Acknowledgment of the payment of single claim" Branch 1: selection of checkbox(es) for refusal code in SED S102 and S104. Branch 2: A credit note of months can be sent during all the process. So, it becomes "at any step after [step 8]" instead of "at any step between [step 8] and [step 12]" Branch 3: If Counterparty rejects the claim for reimbursement, he sends a S112 to the Case Owner and the BUC ends Branch 4: A contestation of global claim can be sent during all the process. So, it becomes "at any step after [step 12]" instead of "at any step between [step 12] and [step 14]" Branch 4: Several checkboxes can be selected in Section 3 of SED S112 Branch 5: "Information on down payment" can be sent to the Case Owner at the beginning of the process. So, it becomes "at any step between [step 8] and [step 14]" instead of "at any step between [step 10] and step [14]" SR4: Rules about the destination of each SED type: <ul style="list-style-type: none"> S112: if this SED is sent from Counterparty to the Case Owner with the refusal code "Late submission of claims fixed amounts", the BUC ends. 4.2 RUP UC Diagram Representation Claimant is deleted 5. Business Processes <ul style="list-style-type: none"> Identify Participants has been renamed as "Identify Liaison Body" "FILL IN and SEND" has been renamed as "CREATE and SEND"
v0.2	16/11/2015	
v0.3.0	11/12/2015 01/02/2016	The document has been updated: <ul style="list-style-type: none"> In order to be in line with the content and layout for approval by AC

		<ul style="list-style-type: none"> Updated with documents / remarks received as feedback from the Member States Section 2.1 is renamed and reference to previously defined flow has been removed Section 2.2: <ul style="list-style-type: none"> Basic Regulation added Reference to the version of SED has been removed Section 3: Member of Stay removed from description of Case Owner Section 4.1: RUP Table Description Branch 4 step 4 has been reworded "Exceptions" is added "Includes" has been updated. SR3 has been changed Section 4.2: Request – Reply SEDs added and completed Section 4.3: Attachments allowed Section 4.5: SED and Sub-Process Versioning added Section 5.3 has been updated Section 5.4 is removed Section 6.1 is removed Section 6.2 removed and replaced by section 4.5. The remaining section in this chapter focuses on this process New Section 6.1 "Issues" has been completed with all comments / remarks and questions received from the Member States Version number of the document has been changed to be in line with the convention M.m.p where: <ul style="list-style-type: none"> - M = Major Version (e.g. Approved by AC) - m = Minor Version (e.g. changes in the process) - p = Patch version (e.g. wording...any changes without any impact on the process itself)
v0.4.0	29/06/2016	Alignment to the standard description and layout of the BUC. Document is updated based on answers received during the AHG meeting. Section 2 Legal Base: Art 35(2) Basic Regulation has been added for some SEDs (see issue 1). S106 is removed (see issue 3). Section 4.1 RUP Table Description Main Scenario: Step 15 is updated (see issue 8) Branch 2: from step 4 to step 6 have been removed (see issue 3) Branch 3: steps have been added to send S113 (see issue 11) Branch 4: from step 4 to step 6 have been removed (see issue 13) Section 4.2: row pair S105 – S106 is removed (see issue 3) Sections 4.3 and 4.5: S106 is removed (see issue 3)
v0.4.1	25/11/2016	AC comments received have been implemented in the document.

v0.99.0	20/02/2017	Submitted for approval.
v.1.0.0	06/07/2017	Version AC approved
v1.0.1	10/07/2107	Include new version of BPMN diagram in section 5. - removed Use Case diagram
v1.0.2	08/02/2018	Section 4.1: as requested by the AHG, removed the references to the fields or sections of the SEDs.
v4.1.0	08/08/2018	- Section 4.4: merged 2 tables (for SED & for Subprocesses) into 1 Artefact table. - Version adaptations to release 4.1.0
v4.2.0	29/05/2019	Version adaptations to release 4.2.0
v4.3.0	31/08/2021	- Implementation of Change Request EESSI-6438: update section 4.4 Special requirements: SR5 contestation loop - Implementation of Change Request EESSI-6382: update section 4.4 Special requirements: SR6: Rules for selecting individual claims - Version adaptations to release 4.3.0
v4.3.5	22/10/2024	Update the <i>4.3. Attachments Allowed</i> section by mentioning that S110 SED does not allow attachments, according to the EESSI-12173.
v4.4.0	06/12/2024	Update RUP Table (<i>Branch 1</i> and <i>Special Requirements SR5</i> and <i>SR6</i>) and BPMN diagrams (<i>Figure 3</i> and <i>Figure 7</i>) to accommodate the possibility to send S014 in a loop, provided that the replies reference different individual claims, according to EESSI-10828 CR.

Table of Contents

1. Introduction	7
1.1. Purpose	7
1.2. Scope	7
1.3. Definitions, Acronyms and Abbreviations	7
1.4. References	7
1.5. Overview	8
2. Description	9
2.1. Business Scenario	9
2.2. Legal Base	9
3. Actors & Roles	10
4. Use Case	11
4.1. RUP Table Representation	11
4.2. Request – Reply SEDs	17
4.3. Attachments Allowed	18
4.4. Artefacts used	19
5. Business Processes	20
5.1. Case Owner and Counterparty	20
5.2. Sub Processes	20
5.2.1. Case Owner's sub processes	20
5.2.2. Counterparty's sub processes	21
6. Appendices	23
6.1. Issues	23

1. Introduction

1.1. Purpose

The purpose of this document is to construct an external view of the 'EESSI business system' as described in EC Regulations 883/2004 [R1] and 987/2009 [R2]. The 'EESSI Business System' describes the business and expected business processes without any consideration as to which part(s) may be realised by an IT System (i.e. the proposed EESSI IT System).

The external view comprises of models and descriptions of business use cases, the services of a business system offered to business actors: customers, business partners, or other business systems, and business processes.

A business use case is described from an actor's perspective; it describes the interaction between an actor and the business system, meaning it describes the behaviours of the business system that the actor utilises. The Business Use Case includes Use Case Diagrams and Business Process Models.

Use case diagrams show actors, business use cases, and their relationships. Use case diagrams do not describe procedures. Alternative scenarios also remain hidden. These diagrams give a good overview of the behaviours of the EESSI business system which will direct and govern part of the expected behaviours and functionality delivered by the EESSI IT System.

1.2. Scope

This document is limited to the external view of the Sickness' sector process about the Inventory of months – Fixed amounts.

The different elements like use case description, business actors, and business process as well as supporting UML diagrams and BPMN models pertaining to the Inventory of months – Fixed amounts case.

1.3. Definitions, Acronyms and Abbreviations

Please see the EESSI Project Glossary [here](#).

1.4. References

#	Document Type	Document Name
R1	Basic Regulations EC (883/04)	Regulation EC No. 883/2004.pdf
R2	Implementing Regulations EC (987/09)	Regulation EC No. 987/2009.pdf

1.5. Overview

Chapter 1 introduces the external view on the business system under review and lists the elements of this specification.

Chapter 2 introduces the Inventory of months – Fixed amounts business process. This chapter gives a short and detailed description as well as a reference to the business process' legal base.

Chapter 3 lists the actors involved in the Inventory of months – Fixed amounts process.

Chapter 4 describes in detail the Inventory of months – Fixed amounts business process based on the RUP use case template, as well as the relationship to other use cases.

Chapter 5 describes the Inventory of months – Fixed amounts business process using business process modelling notation (BPMN).

2. Description

2.1. Business Scenario

The benefits in kind provided by an institution of a Member State on behalf of the institution of another Member State shall give rise to full reimbursement. The reimbursements shall be determined either on production of proof of actual expenditure, or on the basis of fixed amounts.

This particular case deals with the reimbursements on the basis of fixed amounts while the basis of actual expenditure is not appropriate, for the Member State of residence because of its legal or administrative structure.

The amount of benefits in kind supplied to the insured person shall be reimbursed by the competent institutions to the institutions providing those benefits on the basis of a fixed amount established for each calendar year. This fixed amount shall be as close as possible to actual expenditure.

The first step of this reimbursement is to submit the number of months (inventories) that are to be paid for each individual person within a certain group for a specific year. This number of months will be valued later. In a second step, the number of months due is monetarily valued by means of the calculated monthly lump sums.

When the lump sum has been published in the Official Journal of the EU, the calculation for the reimbursements on a basis of fixed amounts can be realised, and the deadlines for the reimbursement process are defined.

2.2. Legal Base

This Business Use Case document's legal base is described in the following Regulations

1. Basic Regulation (EC) No 883/2004 [R2];
2. implementing Regulation (EC) No 987/2009 [R2].

The following matrix specifies the SEDs that are used in this Business Use Case and documents the articles that provide the legal basis for each SED.

SED	Basic Reg (883/04)	Implementing Reg (987/09)					
	35(2)	63	64	65	66	67	68
S100	✓		✓				
S101	✓	✓	✓	✓	✓	✓	✓
S102	✓	✓	✓	✓	✓	✓	✓
S103	✓	✓	✓	✓	✓	✓	✓
S104	✓	✓	✓	✓	✓	✓	✓
S105	✓	✓	✓	✓	✓	✓	✓
S107	✓	✓	✓	✓	✓	✓	✓
S108	✓	✓	✓	✓	✓	✓	✓
S110	✓	✓	✓	✓	✓	✓	✓
S111	✓	✓	✓	✓	✓	✓	✓
S112		✓	✓	✓	✓	✓	✓
S113		✓	✓	✓	✓	✓	✓
S114		✓	✓	✓	✓	✓	✓
S115		✓	✓	✓	✓	✓	✓
S116		✓	✓	✓	✓	✓	✓
S117		✓	✓	✓	✓	✓	✓

Table 1: SED – Legal base relationship matrix

3. Actors & Roles

This chapter captures details of the actors which are important to understand the different types of system users. An actor is anyone or anything that exchanges data with the business system. An actor can be a user, external hardware or another system.

The overarching description of each actor described in this Business Use Case can be found in the Glossary. Below you will find a short description which provides further clarity of this actor within the context of this Business Use Case.

Actor name	Description
Case Owner	In this BUC the Case Owner is the Creditor Institution's Liaison Body of the Member State of Residence that creates the necessary inventory for the reimbursement of benefits on the basis of fixed costs on behalf of a Creditor Institution.
Counterparty	In this BUC the Counterparty is the Debtor Institution's Liaison Body of the Competent Member State that replies for the claim on behalf of a Debtor Institution.

Table 2: Actors & Roles

4. Use Case

4.1. RUP Table Representation

Use Case ID:	S_BUC_21		
Use Case Name:	Inventory of months – Fixed amounts		
Created By:	EESSI BA Team	Last Updated By:	EESSI BA Team
Date Created:	20/10/2015	Publication Date:	06/12/2024
Actors:	Case Owner Counterparty		
Description:	<p>This case deals with the business transactions of a reimbursement based on fixed amounts whereby the Member State of Residence claims the reimbursement from the Competent Member State on behalf a Creditor Institution.</p> <p>The Creditor Institution's Liaison Body (Case Owner) acting on behalf of a Creditor Institution sends to the corresponding Debtor Institution's Liaison Body (Counterparty) tables with the number of months (inventories) to be paid for each individual person within a certain group for a specific year.</p> <p>The Debtor Institution's Liaison Body (Counterparty) can accept or contest the inventories sent by the Creditor Institution's Liaison Body.</p> <p>The Creditor Institution's Liaison Body (Case Owner) acting on behalf of a Creditor Institution has to send to the corresponding Debtor Institution's Liaison Body (Counterparty) the Claim for reimbursements of fixed amounts once the lump sums have been published in the Official Journal of the European Union.</p> <p>The Debtor Institution's Liaison Body (Counterparty) accepts, disputes or rejects the claim and advises the Creditor Institution's Liaison Body (Case Owner).</p> <p>The process ends when:</p> <ul style="list-style-type: none"> - the payment for this reimbursement is received by the Creditor Institution's Liaison Body (Case Owner) including agreement on contestations; - or if the deadline to send the claim by the Case Owner has been reached. 		
Trigger:	<p>The institution of the place of residence creates the necessary inventory, number of months that are to be paid for each individual person within a certain group (e.g. age category, pensioner/family member) for a specific year.</p> <p>After publishing the fixed amounts in the Official Journal of the EU, the Creditor Liaison Body finalises the submitting process by transferring the lump sum information to the debtor state.</p>		
Preconditions:	<p>The insured person who receives benefit is registered in the Member State of Residence which is different to the Competent Member State.</p> <p>The benefits must be reimbursed by the Competent Member State to the Member State of Residence on the basis of a fixed amount.</p>		
Postconditions:	The Liaison Body of the creditor state confirms to the Liaison Body of the		

	debtor state having received a payment for a claim regarding fixed amounts by sending the message type SED S117.
Main Scenario:	<p>Identify Participants</p> <ol style="list-style-type: none"> 1. The Case Owner (Liaison Body's Institution of Member State of Residence) identifies the Competent Member State which shall reimburse the benefits; 2. The Case Owner then identifies the correct institution (Debtor Liaison Body) in the Competent Member State. There will be only one counterparty. The Case Owner and the Counterparty are herein collectively referred to as the Participants. <p>Send Inventory of months</p> <ol style="list-style-type: none"> 3. The Case Owner creates the "Inventory of months relating to reimbursement on the basis of fixed amounts" (S100) by entering all required global and individual information on basis of fixed amount reimbursement; 4. The Case Owner sends the S100 to the Counterparty. <p>Acknowledgement to the Inventory of months</p> <ol style="list-style-type: none"> 5. The Counterparty receives the "Inventory of months relating to reimbursement on the basis of fixed amounts" (S100); 6. The Counterparty creates the "Acknowledgment of inventory of months" (S101) confirming the receipt of the "Inventory of months"; 7. The Counterparty sends the S101 to the Case Owner; 8. The Case Owner receives the "Acknowledgment of inventory of months" (S101). <p>Send Claim for reimbursement of fixed amounts</p> <ol style="list-style-type: none"> 9. The Case Owner creates the "Claim for reimbursement of fixed amounts" (S110) to inform the Counterparty of the submission date of the global claim and the lump sum; <p>This "Claim for reimbursement of fixed amounts" can only be sent to the Counterparty once the lump sums have been published in the Official Journal of the European Union;</p> <p>It is mandatory that S100 and S101 have previously been exchanged;</p> <ol style="list-style-type: none"> 10. The Case Owner sends the S110 to the Counterparty; 11. The Counterparty receives the "Claim for reimbursement of fixed amounts" (S110); 12. The Counterparty creates an "Acknowledgment of claim for reimbursement of fixed amounts" (S111) confirming the receipt of a request for reimbursement on the basis of fixed amounts; 13. The Counterparty sends the S111 to the Case Owner; 14. The Case Owner receives the "Acknowledgment of claim for reimbursement of fixed amounts" (S111) <p>Loop for payment</p> <p>Information on payment of individual claim</p> <ol style="list-style-type: none"> 15. The Counterparty (Liaison Body of the debtor state) creates the "Information on payment of individual claim – fixed amounts" (S116) whereby he announces the Case Owner about the schedule

	<p>for payment. A specific payment announcement always refers to a specific SED 100 and SED 110. The S116 only provides the information, which invoices will be paid;</p> <p>16. The Counterparty sends the S116 to the Case Owner.</p> <p>Acknowledgement of the payment of single claim</p> <p>17. The Case Owner receives the "Information on payment of individual – fixed amounts" claim (S116);</p> <p>18. The Case Owner creates an "Acknowledgment of payment of single claim – fixed amounts" (S117) confirming the receipt of the payment for a claim;</p> <p>19. The Case Owner sends the S117 to the Counterparty;</p> <p>20. The Counterparty receives the "Acknowledgment of payment of single claim".</p> <p>The loop for payment ends here.</p> <p>21. The use case ends here.</p>
Alternative Scenarios:	<p><u>Branch 1:</u> At any step after [step 8] the Counterparty may optionally choose to create and send a Contestation of inventory of months concerning one person (S102).</p> <p>An inventory/claim can be contested after being paid.</p> <p>Loop for Contestation of Inventory of months concerning one person</p> <ol style="list-style-type: none"> 1. The Counterparty creates a "Contestation of inventory of months concerning one person" (S102) by entering all required global and individual information about the number of months to be contested; 2. The Counterparty sends the S102 to the Case Owner; 3. The Case Owner receives the "Contestation of inventory of months concerning one person" (S102); 4. The Case Owner creates an "Acknowledgment of contestation of inventory of months" (S103) to confirm the receipt of a contestation of inventories of months; 5. The Case Owner sends the S103 to the Counterparty; 6. The Counterparty receives the "Acknowledgment of contestation of months concerning one person"; <p>Loop for Reply to contestation of inventory of months</p> <ol style="list-style-type: none"> 7. The Case Owner creates a "Reply to contestation of inventory of months" (S104) by entering all required global and individual information about the number of months to be contested; 8. The Case Owner sends the S104 to the Counterparty; 9. The Counterparty receives the "Reply to contestation of inventory of months" (S104); <p>Loop for Reply to contestation of inventory of months ends here</p> <p>Loop for Contestation of Inventory of months concerning one</p>

	<p>person ends here</p> <p>10. [This Branch] Ends.</p> <p><u>Branch 2:</u> At any step after [step 8] the Case Owner may optionally notify the Counterparty of corrections it has made to its initial inventories: "Credit note of months".</p> <ol style="list-style-type: none"> 1. The Case Owner fills in a "Credit note of months" (S105) SED by entering all required global and individual information about the number of months to be corrected; A separate S105 must be completed for each S100 concerned by a correction to the initial inventory; 2. The Case Owner sends the S105 to the Counterparty; 3. The Counterparty receives the "Credit note of months" (S105) SED; <p>Loop for contestation: if Counterparty does not agree with the Credit note of months received</p> <ol style="list-style-type: none"> 4. Optionally the Counterparty fills in a "Contestation of credit note of inventory of months concerning one person" (S107) where the debtor State notifies the number of contested credited months but not the adjusted number of credit months which is resulting from contestation; 5. The Counterparty sends the S107 to the Case Owner; 6. The Case Owner receives the "Contestation of credit note of inventory of months concerning one person" SED (S107); 7. The Case Owner fills in a "Reply to contestation of credit note of inventory of months" (S108) and gives information about the contestations of credit notes; 8. The Case Owner sends the S108 to the Counterparty; 9. The Counterparty receives the "Reply to contestation of credit note of inventory of months"; <p>Loop ends here</p> <p>10. [This Branch] Ends.</p> <p><u>Branch 3:</u> Between [step 12] and [step 15], the Counterparty may optionally reject the claim for reimbursement if the deadline has been reached.</p> <ol style="list-style-type: none"> 1. If the deadline has been reached, the Counterparty rejects the claim for reimbursement on a basis of fixed amounts. The Counterparty creates a "Contestation of Global Claim" SED (S112) by introducing the required information. Section 3 of the SED (Refusal Code) should be filled in by selecting the appropriate checkbox; 2. The Counterparty sends the S112 to the Case Owner; 3. The Case Owner receives the S112; 4. Optionally, the Case Owner creates a "Reply to contestation of global claim" (S113);
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	<ol style="list-style-type: none"> 5. The Case Owner sends the S113 to the Counterparty; 6. The Counterparty receives the "Reply to contestation of global claim" (S113) SED; 7. [This Branch] Ends. <p><i>Branch 4: At any step after [step 12] the Counterparty may optionally choose to contest the lump sum submitted by the Case Owner in S110.</i></p> <p>An inventory/claim can be contested after being paid.</p> <ol style="list-style-type: none"> 1. The Counterparty contests the lump sum amount submitted by the Case Owner in the S110, by creating a "Contestation of global claim" SED (S112) by completing the required information; 2. The Counterparty sends the S112 to the Case Owner; 3. The Case Owner receives the "Contestation of global claim" (S112); 4. The Case Owner must reply by filling in a "Reply to contestation of global claim" (S113); 5. The Case Owner sends the S113 to the Counterparty; 6. The Counterparty receives the "Reply to contestation of global claim" (S113); 7. [This Branch] Ends. <p><i>Branch 5: at any step between [step 8] and [step 14] the Counterparty may optionally choose to fill in and send an Information on down Payment (S114).</i></p> <p>The Down Payment can be done only once.</p> <ol style="list-style-type: none"> 1. The Counterparty creates the "Information on down payment for fixed amounts" (S114) to make a down payment on the claims submitted by S110. The exact amount of the down payment is determined by the details in the global note claim of S110. It is irrelevant whether it is already known that certain inventories will be contested; 2. The Counterparty sends the S114 to the Case Owner; 3. The Case Owner receives the "Information on down payment for fixed amounts" SED (S114); 4. The Case Owner creates a "Reply to information on down payment for fixed amounts" (S115) informing the Counterparty whether the offer is accepted or not. If the offer is accepted, the amount agreed upon should be processed for payment; 5. The Case Owner sends the S115 to the Counterparty; 6. The Counterparty receives the "Reply to information on down payment for fixed amounts" (S115); 7. [This Branch] Ends.
Exceptions:	None
Includes:	See diagram at part 4.4
Special Requirements:	SR0: General Rule SR1: Rules about the invoking of Branches:

[Branch 1] - may be invoked more than once
 [Branch 2] - may be invoked more than once
 [Branch 3] - may be invoked once
 [Branch 4] - may be invoked more than once
 [Branch 5] - may be invoked once
SR2: Alternative Branch 4 can end the process;
SR3: Alternative Branch 3 can end the process;
SR4: Rules about the destination for each SED Type:

- If the S102 is sent and there is no reply, the contestation is considered accepted;
- If the S107 is sent and there is no reply, the contestation is considered accepted;
- A S110 can only be created if a S100 and S101 have been previously exchanged;
- If the S112 is sent and there is no reaction within the 12 months, the contestation is considered as accepted.

SR5: Contestation of Individual Claim / Contestation of Credit Note

- For both partial and full contestations, a new contestation for the same individual claim can be submitted only after having received an answer for the first one.;
- after sending a contestation only one reply can be received for that contestation;
- after a contestation was sent, no payment of the individual claim is allowed until the contestation has been settled by both parties.
- after receiving a contestation (S102), several replies (S104) can be sent for that same contestation, provided that each S104 reference different individual claims contested in that same S102 SED.

SR6: Rules for selecting individual claims

For the following couple of SEDs, only the individual Claims that have been selected in the first SED can be selected in the second SEDs (e.g. only the Individual Claims that have been selected to create a 'S102 Contestation of inventory of months concerning one person' can be displayed and selected for the creation of a 'S104 Reply to contestation of inventory of months':

- S102 - S104: only the Individual Claims that have been selected to create a S102 - Contestation of inventory of months concerning one person (COC_IMO) and not contested yet with another S104 can be displayed and selected for the creation of a S104 - Reply to contestation of inventory of months (RPY_COC_IMO)
- S105 - S107: only the Individual Claims that have been selected to create a S105 - Credit note of months (CRN_IMO) can be displayed and selected for the creation of a S107 - Contestation of credit note of inventory of months concerning one person (COC_CRN_IMO)
- S107-S108: only the Individual Claims that have been selected to create a S107 - Contestation of credit note of inventory of months concerning one person (COC_CRN_IMO) can be displayed and selected for the creation of a S108 - Reply to contestation of credit note of inventory of months (RPY_COC_CRN_IMO)
- S108-S107: only the Individual Claims that have been selected to create a S108 - Reply to contestation of credit note of inventory of months (RPY_COC_CRN_IMO) can be displayed and selected for the

	creation of a S107 - Contestation of credit note of inventory of months concerning one person (COC_CRN_IMO)
Assumptions:	N/A
Notes and Issues:	N/A

4.2. Request – Reply SEDs

The following table specifies the SEDs that have a logical pairing to one another, usually this is known as a request-reply pair.

REQUEST SED	REPLY SED(s)
S100	S101
S102	S103 S104
S107	S108
S110	S111
S112	S113
S114	S115
S116	S117

4.3. Attachments Allowed

The following table specifies whether attachments are permitted to be included when sending a SED type.

SED	Attachments
S100	Not Allowed
S101	Not Allowed
S102	Allowed
S103	Not Allowed
S104	Allowed
S105	Not Allowed
S107	Allowed
S108	Allowed
S110	Not Allowed
S111	Not Allowed
S112	Not Allowed
S113	Allowed
S114	Not Allowed
S115	Not Allowed
S116	Not Allowed
S117	Not Allowed

4.4. Artefacts used

The following table specifies the artefacts that are used in this Business Use Case.

Artefact name	Artefact type
S100	SED
S101	SED
S102	SED
S103	SED
S104	SED
S105	SED
S107	SED
S108	SED
S110	SED
S111	SED
S112	SED
S113	SED
S114	SED
S115	SED
S116	SED
S117	SED
S118	SED
AD_BUC_11_Subprocess – Business Exception	BUC
AD_BUC_12_Subprocess – Change of Participant	BUC

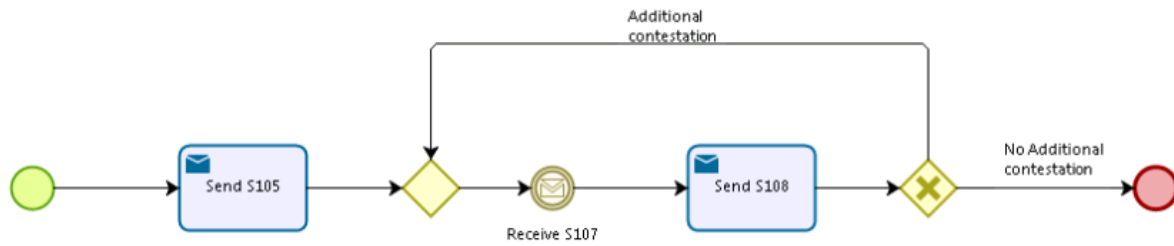


Figure 4 : Send Credit Note of Months Sub Process

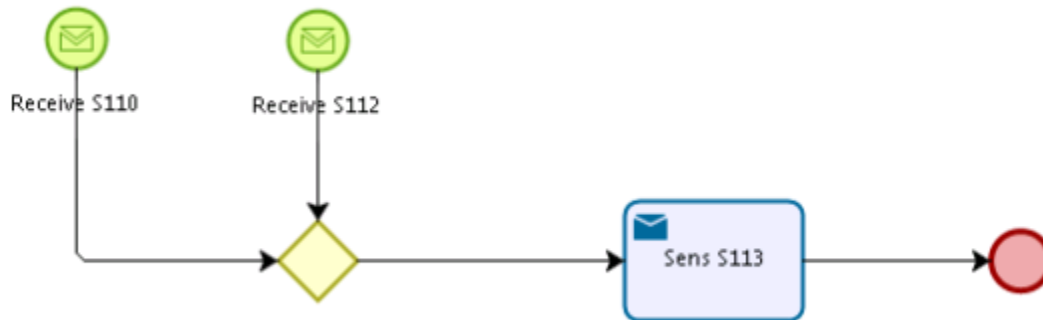


Figure 5 : Reply to contestation of Global Claim Sub Process

5.2.2. Counterparty's sub processes



Figure 6 : Information on Down Payments Sub Process



Figure 7 : Contestation of inventory of months concerning one person Sub Process

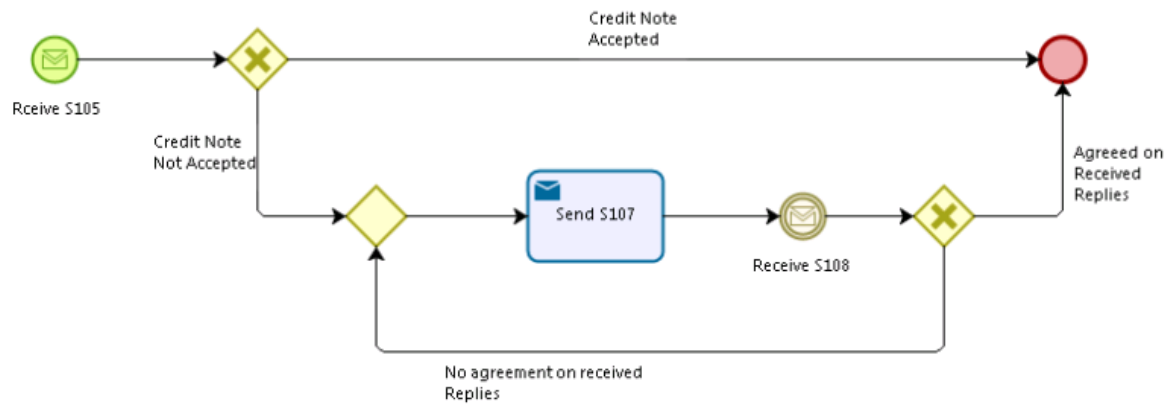


Figure 8 : Receive Credit Note of Months Sub Process



Figure 9 : Contestation of Global Claim Sub Process

6. Appendices

6.1. Issues

#	Issue date	Description	Replies	Action/Re solution	Close date
1	11/12/2015	<p>Section 2.2 Legal Base</p> <p><u>Question from EESSI Team</u></p> <p>Should the article 35 of the Basic Regulation be referenced in all the SEDs? While the reference to the article 35 is not mentioned on the SEDs themselves.</p>	<p>Applicable to all of them.</p> <p>In all reimbursement processes</p>	<p>Section 2.2 Legal Base is updated.</p> <p>Art 35(2) Basic Regulation is added.</p> <p>The SEDs for which this article 35 have been checked during the AHG meeting.</p>	29/06/2016
2	11/12/2015	<p>Section 2.2 Legal Base</p> <p><u>Question received from UK about S110</u></p> <p><i>Question regarding S110 Part 2 – "Global note" at 2.9 "Date of submission" is this the date the costs are published?</i></p>	Date of submission = sending date	No action required	29/06/2016
3	11/12/2016	<p>Section 2.2 Legal Base</p> <p><u>Question received from FI</u></p> <p><i>"Should it be the S117 that will disappear in the future?"</i></p> <p><u>Comment received from FR:</u></p> <p>France would like to keep the SED S117. In fact, currently it happens that we send our payment, but the counterparty doesn't receive it or receive it but link it to another</p>	<p><u>Additional information from EESSI Team</u></p> <p>If we compare both processes (S_BUC_19 and S_BUC_21) on the sub-processes' levels, the S106 ACK_CRN_IMO is a candidate to be removed in the future</p> <p>What is the final decision of the AHG member?</p> <p>S106 can be removed aligned to be with</p>	<p>Document is updated according to the agreed proposal.</p> <p>Section 2 Legal Base: S106 is removed.</p> <p>Section 4.1: RUP Table Description</p> <p>Branch 2 from step 4 to step 6</p>	29/06/2016

#	Issue date	Description	Replies	Action/Resolution	Close date
		claim for reimbursement. Having an acknowledgement will avoid such situation in the future.	S_BUC_19	have been removed. Section 4.2: pair of SEDs S105 – S106 is removed Sections 4.3 and 4.5: S106 is removed from the tables.	
4	11/12/2015	Section 4.1 RUP Table Description (Description) <i>The Debtor Institution's Liaison Body (Counterparty) accepts, disputes or rejects the claim and advises the Creditor Institution's Liaison Body (Case Owner)</i> <u>Comment received from FI:</u> <i>"Is it possible to reject"?</i>	What is the final decision? Same as in S_BUC_19	No action required. In S_BUC_21, the debtor Liaison Body can reject the claim	29/06/2016
		<u>Question from EESSI Team</u> Could the debtor liaison body reject the claim or not? Is this "reject" done by using S102 with the refusal code "We are not concerned by this document". If not, I suggest deleting this sentence while just upper another sentence still exists. <i>"The Debtor Institution's Liaison Body (Counterparty) can accept or contest the inventories sent by the Creditor Institution's</i>			

#	Issue date	Description	Replies	Action/Resolution	Close date
		<i>Liaison Body.</i> <i>Branch 3 of the process concerns the "rejection" of the claim.</i>			
5	11/12/2015	Section 4.1 RUP Table Description (Description) <i>"the process ends when: ... or if the deadline to send the claim by the Case Owner has been reached"</i> <u>Proposal received from DE:</u> <i>"the debtor MS liaison body rejected the claim because it was introduced too late"</i>	No technical close of the case. It should always be possible to send the SED.	No action required. This part of the description gives more detailed about the timeline when the case is considered as closed. But there is no technical closing for the case.	29/06/2016
6	11/12/2015	General comment in the document. <u>Comment received from DE:</u> <i>"Residence of stay" should be replaced by "residence" in the document</i>		Implemented in the document	01/02/2016
7	11/12/2015	Section 4.1: RUP Table Description (Post conditions) <i>The liaison body of the creditor state confirms to the liaison body of the debtor state having received a payment for a claim regarding fixed amounts by sending the message type SED S117</i> <u>Question from EESSI Team</u> If S117 is still part of the process in the	What is the final decision?	No action required. Postcondition should be kept as it is.	29/06/2016

#	Issue date	Description	Replies	Action/Resolution	Close date
		<p>future.</p> <p>Otherwise, the postcondition could be: "The liaison body of the creditor state receives from the liaison body of the debtor state a payment for a claim regarding fixed amounts"</p> <p>Section 4.2 should also be updated according to the final decision</p>			
8	11/12/2015	<p>Section 4.1: RUP Table Description (step 15)</p> <p><i>Exceptionally several payment notifications can be pooled into one single payment order.</i></p> <p><u>Comment received from DE:</u></p> <p><i>"Is it really possible to use one S116 for several S100? "</i></p>	<p><u>Additional information</u></p> <p>Check page 61 of Sickness guidelines section 6.5.4.16</p> <p><i>"Exceptionally, several payments notifications can be pooled into one single payment order..."</i></p> <p>It is not possible to use one S116 for several S100.</p>	<p>Document has been updated.</p> <p>Section 4.1: RUP Table Description (step 15)</p> <p>The sentence: "Exceptionally several payment notifications can be pooled into one single payment order" is removed while this is not possible to use one S116 for several S100.</p> <p>Sickness Guidelines has to be updated according to this remark.</p>	29/06/2016

#	Issue date	Description	Replies	Action/Resolution	Close date
9	04/11/2015	Section 4.1: RUP Table Description Branch 1 step 1 <i>"The section 3 should be filled-in by the information about the individual contestation, the reason for the contestation and refusal must be filled-in by selecting the appropriate checkbox(es) in the section 3.8"</i>	<p>Remark from AHG members:</p> <p>"Some codes should be added in section 3.8 of the SED S102 as refusal code".</p> <p>It should also be possible to select several refusal codes and not only one.</p>	<p>Additional code has been received.</p> <p>"No longer resides in your Member State since".</p> <p>This additional code will be handled with the version 4 of the SED.</p> <p>The possibility to select several refusal codes will be handled with the version 4 of the SED.</p>	29/06/2016
10	04/11/2015	Section 4.1: RUP Table Description Branch 1 step 7 <i>"The section 3 should be filled-in ...the reason for the contestation and refusal must be filled-in by selecting the appropriate checkbox(es) in the section 3.8"</i>	<p>Remark from AHG members:</p> <p>"Some codes should be added in section 3.8 of the SED S104 as refusal code"</p>	<p>The additional refusal codes should be defined by the AHG members and sent to EESSI team.</p> <p>No additional refusal codes have been received for this SED.</p>	
11	11/12/2015	Section 4.1: RUP Table Description	No need to send an answer.	Update the document	29/06/2016

#	Issue date	Description	Replies	Action/Resolution	Close date
		Branch 3 step 3 "The Case Owner receives the S112". <u>Comment received from FI</u> <i>"Does the process end here? Or should the Case Owner send S113, and Counterparty receive it?"</i>		Section 4.1: RUP Table Description Branch 3 additional steps in order to send S113	
12	07/01/2016	Section 4.1: RUP Table Description (Branch 4) "At any step after [step 12] the Counterparty may optionally choose to contest the lump sum submitted by the Case Owner in S110" <u>Comment received from PL:</u> <i>"Shouldn't there be added one more branch – CP may contest CLA if there was no inventory S100? It looks for me like that: Branch 3 refers to refusal code: Late submission of claims fixed amounts"</i> <i>"Branch 4: lump sum correction (in point 1 Section 3 is mandatory: refers to all 3 refusal codes, but the description suggests that Branch 4 refers only to 1 refusal code. And there is no path for refusal code: Claims without inventories"</i>	What is the final decision of AHG members? PL recalls that comment	Additional information requested from PL. No action required	29/06/2016
13	11/12/2016	Section 4.1: RUP Table Description (Branch 4) "The Counterparty can contest the submitted lump sum"	S110 can only be sent by the Case Owner and not by the Counterparty. CO sends a S113.	Document is updated according to the agreed answer.	01/07/2016

#	Issue date	Description	Replies	Action/Resolution	Close date
		<p>by sending an S112 or he can submit an adjusted lump sum by sending an S110".</p> <p><u>Comment received from UK:</u></p> <p>"Should the Counterparty be able to amend a claim submitted by the Case Owner by sending a S110? "</p>		<p>Section 4.1 RUP Table Description</p> <p>Branch 4 is updated (some steps have been removed)</p> <p>BPMN diagram for Contestation of Global Claim is updated.</p> <p>Sickness Guidelines should be updated according to this remark.</p>	
14	11/12/2016	<p>Section 4.1: RUP Table Description (Branch 4) – step 4</p> <p>"While the Counterparty wants to adjust the previous lump sum amount, he creates a "Claim for reimbursement of fixed amount" SED (S110) by introducing the required information</p> <p><u>Comment received from DE:</u></p> <p>"Not clear, maybe: if the Counterparty does not agree with the lump sum amount, the Case Owner creates S113 introducing the required information"</p> <p><u>Comment received from FI:</u></p>	<p><u>Additional information</u></p> <p>See page 60 point 6.5.4.13 of Guidelines</p> <p>"if the debtor state has submitted an adjusted lump sum amount by sending SED S110 and the creditor has confirmed by sending S113, then the receiving date of the S110 is no longer relevant for fixing the deadlines. In this case the receiving date of the SED S113 becomes decisive."</p> <p>Same answer that issue 13</p>	<p>See answer issue 13</p>	01/07/2016

#	Issue date	Description	Replies	Action/Resolution	Close date
		<p><i>"Is it possible for Counterparty to send a S110?"</i></p> <p><u>Comment received from UK:</u></p> <p><i>"UK not sure this should be allowed – Case Owner should not be sent Claim for Reimbursement S110?"</i></p>			
15	11/12/2015	<p>Section 4.1: RUP Table Description</p> <p>Branch 4 step 7</p> <p><i>"When receiving the Contestation Global Claim (S112) or Claim for reimbursement of fixed amounts (S110), the Case Owner must reply by filling in a Reply to Contestation of Global Claim (S113)"</i></p> <p><u>Question from EESSI Team</u></p> <p><i>"What does happen if the Case Owner does not reply to the Counterparty? Should he receive a reminder of something else? Is the case automatically closed?"</i></p> <p><u>Comment received from FI</u></p> <p><i>"What is the process in this case?"</i></p> <p><i>"The Case Owner replies to contestation without receiving S112?"</i></p> <p><u>Comment received from PL</u></p> <p>What about S9 Decision?</p>	<p><u>Answer from AHG members to the question:</u></p> <p><i>"The claim will be later rejected by the Counterparty"</i></p> <p><u>Comment received from DE:</u></p> <p><i>"If there is no reaction to a contestation within 12 months the contestation is considered accepted"</i></p> <p><i>No technical closure of the case.</i></p>	<p>Section 4.1: RUP Table Description</p> <p>SR4 is updated according to the answer.</p> <p>Some rules have been removed.</p>	01/07/2016

#	Issue date	Description	Replies	Action/Resolution	Close date
		<p>According to the S9 Decision, art.12.2:</p> <p>„Where the liaison body of the creditor Member State has not replied and submitted the requested evidence within 12 months of the end of the month during which the contestation was received by the liaison body of the creditor Member State, the contestation shall be deemed to be accepted by the creditor Member State”,</p>			
17	11/12/2015	<p>Section 4.1: RUP Table Description</p> <p>Branch 5 – step 1</p> <p><i>"The Counterparty creates the S114 to make a down payment on the claims submitted by S110."</i></p> <p><i>Comment received from FR:</i></p> <p><i>"Here in France, currently with some countries down payment is estimated on the basis of S100 and paid before making the SED S110 (bilateral agreement). I don't know if in the future those bilateral agreements should be updated?"</i></p> <p><i>Additional question from EESSI team</i></p> <p><i>Should we foresee that the payment could be done before sending the S110 or should we keep the process as it is</i></p>	<p>Answer from EESSI Team</p> <p>Currently, in the process flow, the Claim S110 has to be sent before that the payment begins. Should we keep this flow like this, or should we foresee that the payment could be done before sending the S110?</p> <p>Answer received from AHG members during the meeting in November 2016:</p> <p>Some Member States have bilateral agreements that the down payment can be done based the last published average cost.</p>	No change in the document.	25/11/2016

#	Issue date	Description	Replies	Action/Resolution	Close date
		<i>currently.</i>			
18	11/12/2015	Section 4.1: RUP Table Description Branch 5: steps 4 to steps 6 Question from EESSI Team "Does this part of the process be kept?" Comment received from FR: <i>"Yes, it should be kept because in this process we can accept or refuse the down payment"</i>	Yes, should be kept	No action required.	01/07/2016
19	01/02/2016	Section 4.2 Request – Reply SEDs		Checked with the AHG members during the workshop.	01/07/2016
20	01/02/2016	Section 4.3 Attachments allowed	In the Contestation of credit note and reply (S_BUC_19)	Checked with the AHG members during the workshop	01/07/2016
21	11/12/2016	Section 5.3 Called Sub Processes "Identify Participants" Comment received from DE: <i>"replace participants by liaison body" like in the BUC</i>	Answer from EESSI Team This BPMN diagram is common to all the BUCs. "List of Competent Institutions" should be seen as "List of all Institutions", competent ones and liaison bodies Nevertheless, in order to be more general, a description has been added to check in the "Competent Institutions" can be found in the MD.	The BPMN diagram for the "Identify Participant s" is now removed due to the new layout of the BUC description .	01/07/2016

#	Issue date	Description	Replies	Action/Resolution	Close date
22	11/12/2015	Section 5.3 Called sub-processes Figure 8: Contestation of global claim sub-process should be updated according to other approved changes			
23	20/10/2015	What does it mean point 6.5.3 "This inventory is then forwarded to the competent institution via the responsible liaison bodies"? Case Owner and Counterparty are equal to liaison bodies?	Answer from AHG meeting 04/11/2015. This is only for information and is not part of the process described in this document.	No action required	04/11/2015
24	20/10/2015	What does it mean in point 6.5.4.6 "A credit note has to be taken into account during processing of the original batch. If that procedure is not possible, the debtor state has to take it into account while another batch"?	Answer from AHG meeting 04/11/2015. This is only for information and is not part of the process described in this document.	No action required	04/11/2015
25	20/10/2015	What does it mean in point 6.5.4.10 "If the creditor state does not submit the S110 within the deadline, the claim can be rejected by the debtor state"?	Answer from AHG meeting 04/11/2015. In this case, S112 is sent to the Case Owner to inform him that the claim has been rejected.	No action required.	01/07/2016
26	09/11/2016	S106 should be removed from Counterparty BPMN "Credit note of months"		Scheduled for update.	