



EESSI – CDM 4.4

R - Recovery

R_BUC_03 - v4.4.0

**Offsetting of provisionally paid cash benefits
with Payments of another Member State under
Art. 73 (1) of Regulation (EC) No 987/2009**

BUC Specifications

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Document history

Version	Date	Short Description of Changes
v0.1	30/10/2015	Initial version
v0.2	10/11/2015	Adaptations following AHG feedback received from AHG.
v0.3	17/12/2015	Adaptations following AHG discussion in F2F meeting (Liverpool UK)
v0.4.0	14/06/2016	<p>Aligned title between first page, document control information – document title, and section 4.1 Use Case Name.</p> <p>Updated section 4.1 RUP Table Representation:</p> <ul style="list-style-type: none"> - Branch 2 step 7: replaced "This use case" by "This branch", because of the decision for issue 3. - Branch 4 step 1: replaced "Triggering participant" with "Case Owner". - Branch 5 step 1: replaced "Triggering participant" with "Counterparty". - Branch 10, 11, 12: added 2 additional steps, to allow a new creation of the SED after an invalidate has been executed. - Branch 12 step 1: updated 'Case Owner' to 'Counterparty'. - Frequency of Use: replaced 'xxxx' to 'tbd'. <p>Updated section 4.3 to correct the arrow for H_BUC_01.</p> <p>Removed section 5.2 Called Sub Processes. Section '5.2.1 – Identify Participants' and section '5.2.2 – Fill in & send SED (R008, R009 and R004)' are not regarded as a sub process anymore (= decision applicable for all BUCs).</p> <p>Updated section 6.1 Issues: proposed to close issue 2, 3 and 4.</p>

		Included formatting, date, version and grammar changes.
v0.5.0	22/06/2016	<p>Alignment to the standard description and layout of the BUC:</p> <ul style="list-style-type: none"> - Confidentiality logo is added. - Document history table is sorted with latest version at the bottom. - Throughout the document updated with grammatical changes and formatting. - Aligned Title of document and name of business use case for applicable sections. - Renamed <u>section 2.1</u> from 'Detailed Description' to '<u>Business Scenario</u>' & <u>rephrased the text</u>. - Renamed section 2.2 from 'Legal Regulations / Policy Issues / Other' to 'Legal Base' & aligned the text and table to the standard BUC. - Section 4.1 RUP Table Representation: deleted 'Frequency of Use'. - Section 4.1 RUP Table Representation exception step 1: replaced 'Triggering Participant' with 'Case Owner or the Counterparty' to align with other Recovery BUCs - Section 4.1 RUP Table Representation - Special Requirements – added for branches 10, 11 and 12 "(provided a new SED has been created following the invalidation)" - Section 4.5 SED and Sub-process Versioning: updated the SUB PROCESS table to include overview of all sub-processes as identified for Horizontal and Administrative sectors - Section 5 Business Process: split section 5.1 'Case Owner and Counterparty' into section 5.1 'Case Owner' and 5.2 'Counterparty' and added Section 5.3 Sub Processes as Not Applicable.

		- Section 6.1 Issues – added issue 5 to take action related to update BPMN model.
v0.06.0	04/07/2016	<p>Updated following feedback received at recovery AHG June 2016 meeting:</p> <ul style="list-style-type: none"> - Updated section 4.1 RUP Table Representation: added step 3 "The Case Owner receives R009 SED" for branch 2 and 3; - Updated section 4.1 RUP Table Representation: added new Branch 16 and included it as special requirement. - Updated section 4.1 RUP Table Representation – 'invalidate' branches 10 and 11 and 'update' branches 6 and 7 to start after the Sending step. - Updated section 4.4 RUP UC Diagram Representation: included UC Reopen in the diagram. - Updated section 4.5 SED and SUB-process Versioning - table SUB PROCESS: indicated the use of AD_BUC_02_Subprocess – Reopen Case. - Updated section 6.1 Issues: closed issue 1 to 4.
v0.07.0	19/08/2016	<p>Updated following review AHG members:</p> <ul style="list-style-type: none"> - Updated section 2.1 Business Scenario: <p>2nd bullet after "The Business Use Case will allow for" - changed the position of "from corresponding case benefit". Under Article 73(1), offset from arrears is from a corresponding benefit (without further conditions) but offset from on-going payments is from any benefit subject to the conditions and limits applying to this kind of off-setting procedure under the legislation of the requested state.</p> <p>And deleted "requested institution" from bullet 2 and 3.</p>

		<p>- Updated Section 3 Actors & Roles: Case Owner - deleted "provisionally paid benefits".</p> <p>- Updated section 4.1 RUP table Representation:</p> <p>Description: moved the position of the comma.</p> <p>Preconditions + Post conditions: changed the word "done" to "made".</p> <p>Post conditions: changed the word "neither" to "nor".</p> <p>Main Scenario, step 4 - changed the word "of" to "for" and added "including any attachments".</p> <p>Main Scenario, step 5 – rephrased to ", including any attachments (if provided)" to mirror to the wording of R_BUC_07.</p> <p>Main Scenario, step 6 - changed the word "done" to "made".</p> <p>Main Scenario, step 10 – added ", including any attachments".</p> <p>Main Scenario, step 11 – added ", including any attachments (if provided)".</p> <p>Main Scenario, step 12 - changed the word "done" to "made".</p> <p>Alternative Scenarios, Branch 1 step 2 and 3 - ", including any attachments" and ", including any attachments (if provided)".</p> <p>Alternative Scenarios, Branch 2 - added the word "in".</p> <p>Alternative Scenarios, Branch 3 - deleted "in sections 11 and 13".</p> <p>Alternative Scenarios, Branch 3, step 3 - changed R004 to R009.</p> <p>Alternative Scenarios, Branch 6 - removed an unnecessary space.</p>
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		<p>Alternative Scenarios, Branch 6, 7 and 8 - changed the wording to mirror the wording in R_BUC_05.</p> <p>Alternative Scenarios, Branch 6, step 1 - removed an unnecessary space.</p> <p>Alternative Scenarios, Branch 10, step 3 and Branch 12 step 3 - added "including any attachments".</p> <p>Alternative Scenarios, Branch 16 - rephrased that branch 9 can only apply where exception 1 has been invoked.</p> <p>Special requirements - changed the rules for exception 1. It must be possible to close a case more than once, when reopen is allowed.</p> <p>Updated following AC Review – NL:</p> <p>- Updated section 4.1 RUP table Representation:</p> <p>Main Scenario, step 7 – removed the word "back", as R009 is new created by the CP.</p> <p>Main Scenario, step 9 and 10 – rephrased to mirror to steps 3 and 6.</p> <p>Branch 9 – updated 'Reminder' to 'reminder' to mirror with R_BUC_04 remarks and in addition for Branch 16 – updated the word 'ReOpen' and 're-open' to 'reopen'.</p> <p>Branches 10, 11, 12 – rephrased 'they have sent' to 'it has sent' and added the word 'new' to step 3 as per step 2.</p> <p>Branches 14, 15 and exception – changed 'point' into 'step' to mirror the wording of R_BUC_04 following a AC review comment.</p> <p>Added an extra space after the] at branches 6-15 + at exception 1.</p>
v0.99.0	26/09/2016	<p>Candidate for AHG approval.</p> <p>Updated following AHG members approval:</p>

		<p>- Update section 2.1 Business Scenario: second and third bullet: removed '(the debtor)'</p> <p>- Update section 4.1 RUP table Representation:</p> <p>Branch 9 Reminder – Updated aligned the wording with R_BUC_07, following AC review comment from NL and as approved by AHG members;</p> <p>Branch 13 Forward – updated to allow forward for all parties, to be aligned for all R_BUCs.</p> <p>Exception 1 – removed the step 2 as the branch 5 is already available for the BUC.-</p> <p>Section 6.1 Issues: issue 1: changed the decision to include an additional block for the SED.</p>
v0.99.1	28/09/2016	<p>Updated following AHG feedback:</p> <p>- Updated section 4.1 RUP Table Representation:</p> <p>Included a new alternative branch 2 for when <i>reimbursement will not be possible because the request was made outside the 3 months deadline</i>. Renumbered the other branches accordingly;</p> <p>Branch 3 step 4: replaced 'This branch' ends with 'This use case' ends;</p> <p>Special Requirements: added new branch 2 + renumbered branches + changed branch 4 to 'may be invoked only once.</p>
v0.99.2	06/10/2016	Updated with minor spelling and editorial changes following review AHG members.
v0.99.3	07/10/2016	Submit for AC Approval.
v1.0.0	21/10/2016	AC Approved Version.
v1.0.1	29/06/2017	Included new BPMN Picture.
v1.0.2	13/11/2017	-Included the BPMN diagram version in section 5.

		<ul style="list-style-type: none"> - removed Use Case diagram - Aligned Invalidate & forward branches to standard wording (= invalidate with reference to Art 5, & forward only within MS)
v4.1.0	03/08/2018	<ul style="list-style-type: none"> - Section 4.4: merged 2 tables (for SED & for Subprocesses) into 1 Artefact table. - Version adaptations to release 4.1.0.
v4.2.0	29/05/2019	Version adaptations to release 4.2.0.
v4.3.0	31/08/2021	Version adaptations to release 4.3.0.
v4.4.0	06/12/2024	Version adaptations to release 4.4.0

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1. Introduction

1.1. Purpose

The purpose of this document is to construct an external view of, part of, the 'EESSI business system' as described in EC Regulations 883/2004 [R1] and 987/2009 [R2]. The 'EESSI Business System' describes the business and expected business processes without consideration as to which part(s) may be realized by an IT System (i.e. the proposed EESSI IT System).

The external view comprises of models and descriptions of business use cases, the services of a business system offered to business actors: customers, business partners, or other business systems.

A business use case is described from an actor's perspective; it describes the interaction between an actor and the business system, meaning it describes the behaviours of the business system that the actor utilizes. The Business Use Case includes Use Case Diagrams and Business Process Models.

Use case diagrams show actors, business use cases, and their relationships. Use case diagrams do not describe procedures. Alternative scenarios also remain hidden. These diagrams give a good overview of the behaviours of the EESSI business system which will direct and govern part of the expected behaviours and functionality delivered by the EESSI IT System.

1.2. Scope

This document is limited to the external view on the Recovery sector process Offsetting of provisionally paid cash benefits with Payments of another Member State under Art. 73 (1) of Regulation No 987/2009. The different elements like use case description, actors, and business process as well as supporting UML diagrams and BPMN models pertaining to Offsetting of provisionally paid benefits with Payments of another Member State under Art. 73 (1) of Regulation No 987/2009.

1.3. Definitions, Acronyms and Abbreviations

Please see the EESSI Project Glossary [here](#)

1.4. References

#	Description	
R1	Basic Regulations EC (883/04)	Regulation EC No. 883/2004.pdf
R2	Implementing Regulations EC (987/09)	Regulation EC No 987/2009.pdf

1.5. Overview

Chapter 1 introduces the external view on the business system under review and lists the elements of this specification.

Chapter 2 introduces us to the Off-setting of provisionally paid cash benefits with Payments of another Member State under Article 73 (1) of Regulation (EC) No 987/2009 [R2] business process. The chapter gives a short and detailed description as well as a reference to business process' legal base.

Chapter 3 lists the actors involved in the Off-setting of provisionally paid cash benefits with Payments of another Member State under Article 73 (1) of Regulation (EC) No 987/2009 [R2] business process.

Chapter 4 describes in detail the Off-setting of provisionally paid cash benefits with Payments of another Member State under Article 73 (1) of Regulation (EC) No 987/2009 [R2] business process based on the RUP use case template, as well as the relationship to other use cases.

Chapter 5 describes the Off-setting of provisionally paid cash benefits with Payments of another Member State under Article 73 (1) of Regulation (EC) No 987/2009 [R2] business process using business process modelling notation (BPMN).

2. Description

2.1. Business Scenario

This Business Use Case concerns cash benefits which were provisionally paid by one institution pending a decision about which institution is competent to pay such cash benefits.

Article 73 (1) of Regulation (EC) No 987/2009 [R2] distinguishes between off-setting from:

- Arrears of a corresponding benefit (without further conditions) and
- Ongoing payments of any benefit subject to the conditions and limits applying to this kind of off-setting procedure under the legislation.

The institution that paid benefits provisionally, wants reimbursement from the institution that is competent. Usually, another BUC (or another administrative procedure) from another sector will be created before the Recovery one, in order to decide about the final competence of paying a cash benefit.

This Business Use Case will allow for:

- The Creditor institution that paid the cash benefits provisionally to notify the final competent institution of the payments that it has made. The request for reimbursement must be done within 3 months of the date of the decision identifying the institution competent for paying the cash benefits.
- The final competent institution to deduct the amount from the arrears from a corresponding cash benefit and/or ongoing payments due to the claimant.
- The final competent institution to transfer the amount deducted from the arrears and / or ongoing payments to the institution that has paid the cash benefits provisionally.

2.2. Legal Base

This Business Use Case document's legal base is described in the following Regulation

- implementing Regulation (EC) No 987/2009 [R2]

The following matrix specifies the SEDs that are used in this Business Use Case and documents the articles that provide the legal base for each SED.

SED	Implementing Reg (987/09)
	73 (1)
R008	✓
R009	✓
R004	✓

Table 1: SED – Legal base relationship matrix

3. Actors & Roles

This chapter captures details of the actors which are important to understand the different types of system users. An actor is anyone or anything that exchanges data with the business system. An actor can be a user, external hardware, or another system.

The overarching description of each actor described in this Business Use Case can be found in the Glossary. Below you will find a short description which provides further clarity of this actor within the context of this Business Use Case.

Actor name	Description
Case Owner	In this BUC the Case Owner is the institution which will request reimbursement of provisionally paid benefits.
Counterparty	<p>In this BUC the Counterparty is the competent institution which will reply with information on finally payable benefits, and eventually perform the payment of the benefit to be reimbursed.</p> <p>There will be only one Counterparty in this BUC.</p>

4. Use Case

4.1. RUP Table Representation

Use Case ID:	R_BUC_03		
Use Case Name:	Offsetting of provisionally paid cash benefits with Payments of another Member State under Article 73 (1) of Regulation (EC) No 987/2009		
Created By:	EESSI BA Team	Last Updated By:	EESSI BA Team
Date Created:	30/10/2015	Publication Date:	06/12/2024
Actors:	Case Owner Counterparty		
Description:	<p>This BUC is providing the possibility for the institution that provisionally paid cash benefits, to request reimbursement from the institution that is finally competent.</p> <p>If multiple off-settings need to be requested, then separate cases need to be sent for each type of benefit paid provisionally.</p> <p>There are 3 SED's which are used in this BUC :</p> <ul style="list-style-type: none"> - R008: "Request for reimbursement of provisionally paid benefits", - R009: "Reply with information on finally payable benefits", - R004: "Notification of Payment". 		
Trigger:	<p>The institution that paid cash benefits provisionally pending the decision on which institution was competent wants reimbursement from the institution that is competent.</p> <p>Evidence of the decision on final competence should be attached to the R008 SED (LA_BUC_02; SED A012 or PD A1).</p>		
Preconditions:	The request for reimbursement must be made within 3 months of the date of the decision identifying the institution competent for paying the benefits (Art. 73 (1)).		
Post conditions:	<p>The possible situations for the post-conditions:</p> <ul style="list-style-type: none"> - Reimbursement is not possible, because the request has not been made within 3 months. - Reimbursement is not possible, neither from arrears, nor from ongoing payments. - Reimbursement is possible from arrears in full (one R004 will be used). - Reimbursement is partially possible from arrears but not in full (because the amount of provisionally paid cash benefits exceeds the amount of arrears). However a deduction from ongoing payments is possible and one or multiple R004 will be used. 		

	<ul style="list-style-type: none"> - Reimbursement is not possible from arrears but from ongoing payments (therefore, one or multiple R004 will be used). - Reimbursement is not possible from arrears but it is possible from ongoing payments. However, the requested institution is not competent for the deduction from ongoing payments.
Main Scenario:	<p>Identify Participant</p> <ol style="list-style-type: none"> 1. The Case Owner identifies the EU Member State(s) in which the competent institution is situated; 2. The Case Owner identifies the Competent Institution (the Counterpart(y)) in the selected Member State to which the request for reimbursement shall be made. <p>Process Request / Reply</p> <ol style="list-style-type: none"> 3. The Case Owner creates an R008 ("Request for reimbursement of provisionally paid benefits") SED by filling the necessary fields concerning the request for reimbursement of provisionally paid benefits. <u>Optionally</u>, the Case Owner should also attach the evidence of the final decision on competence to the R008 SED. (LA_BUC_02; SED A012 or PD A1); 4. The Case Owner sends the R008 SED request for reimbursement of provisionally paid benefits, including any attachments, to the Counterparty ; 5. The Counterparty receives the R008 SED, including any attachments (if provided); 6. The Counterparty creates an R009 SED ("Reply with information on finally payable benefits") by filling in the information needed from the request; provided that some payments can be made (<i>Main Scenario</i>); 7. The Counterparty sends the R009 SED to the Case Owner; 8. The Case Owner receives the R009 SED; 9. The Counterparty makes the payment and creates an R004 SED ("Notification of payment"), by filling in the necessary fields for this notification of Payment; 10. The Counterparty sends the R004 SED, for Notification of payment, including any attachments, to the Case Owner; 11. The Case Owner receives the R004 SED from the Counterparty, including any attachments (if provided); 12. The Counterparty has no more payments to be made; and so 13. The use case ends here.
Alternative Scenarios:	<p><u>Branch 1: at [step 12], the Counterparty has additional payments to be sent to the Case Owner</u></p> <ol style="list-style-type: none"> 1. The Counterparty fills in a new R004 SED; 2. The Counterparty sends R004 SED, including any attachments, to the Case Owner; 3. The Case Owner receives R004 SED, including any attachments (if provided); 4. [This Branch] Ends. (This branch is repeatable).

	<p><u>Branch 2: at [step 6], while filling in R009 SED, the Counterparty specifies that no reimbursement will be possible because the request was made outside the 3 months deadline</u></p> <ol style="list-style-type: none"> 1. The Counterparty fills in a R009 SED and specifies that the request was made outside the 3 months deadline; 2. The Counterparty sends R009 SED to the Case Owner; 3. The Case Owner receives R009 SED; 4. [This use case] Ends. <p><u>Branch 3: at [step 6], while filling in R009 SED, the Counterparty specifies that no reimbursement will be possible, neither from the Counterparty itself nor from another institution in the competent Member State</u></p> <ol style="list-style-type: none"> 1. The Counterparty fills in a R009 SED and specifies that no payment is possible for reimbursement; 2. The Counterparty sends R009 SED to the Case Owner; 3. The Case Owner receives R009 SED; 4. [This use case] Ends. <p><u>Branch 4: at [step 6], while filling in R009, the Counterparty specifies that no or no further reimbursement will be possible from itself, but (perhaps) from another institution in the Counterparty's Member State</u></p> <ol style="list-style-type: none"> 1. The Counterparty fills in a R009 SED and specifies that no payment is possible from itself but another institution is competent for ongoing payments in its Member State; 2. The Counterparty sends R009 SED to the Case Owner; 3. The Case Owner receives R009 SED; 4. [This use case] Ends. (The Case Owner could start a new BUC with the other institution as Counterparty.) <p><u>The Following Branches determine the use of Horizontally Defined Processes within this Business Process</u></p> <p><u>Branch 5: at any step after [step 8], the Case Owner may optionally choose to Request AdHoc Information from the Counterparty</u></p> <ol style="list-style-type: none"> 1. The Case Owner executes business use case H_BUC_01_Subprocess – AdHoc Exchange of Information; 2. [This Branch] Ends. <p><u>Branch 6: at any step after [step 5], the Counterparty may optionally choose to Request AdHoc Information from the Case Owner</u></p> <ol style="list-style-type: none"> 1. The Counterparty executes business use case H_BUC_01_Subprocess – AdHoc Exchange of information;
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	2. [This Branch] Ends.
	<u>The Following Branches Determine the use of Administrative Processes within this Business Process</u>
	<u>Branch 7: at any step after [step 4], the Case Owner may choose to send an updated version of their R008, provided the SED has not been invalidated</u> 1. The Case Owner executes business use case AD_BUC_10_Subprocess-Update SED; 2. [This Branch] Ends.
	<u>Branch 8: at any step after [step 7], the Counterparty may choose to send an updated version of their R009, provided the SED has not been invalidated</u> 1. The Counterparty executes business use case AD_BUC_10_Subprocess-Update SED; 2. [This Branch] Ends.
	<u>Branch 9: at any step after [step 10] or in [Branch 1 after step 2], the Counterparty may choose to send an updated version of their R004, provided the SED has not been invalidated</u> 1. The Counterparty executes business use case AD_BUC_10_Subprocess-Update SED; 2. [This Branch] Ends.
	<u>Branch 10: at any step where a participant is expecting to receive a SED from the other participant, that participant may optionally choose to send a reminder to the other participant for the return of information they were expecting from that participant but did not receive</u> 1. The Case Owner or Counterparty executes business use case AD_BUC_07_Subprocess-Reminder; 2. [This Branch] Ends.
	<u>Branch 11: at any step after [step 4], the Case Owner may choose to advise the Counterparty of the SED R008 that it is Invalid under Art 5 of Regulation (EC) no 987/09</u> 1. The Case Owner executes business use case AD_BUC_06_Subprocess-Invalidate SED; 2. Optionally, the Case Owner fills in a new R008 SED; 3. Optionally, the Case Owner sends the new R008 SED, including any attachments, to the Counterparty; 4. [This Branch] Ends.

	<p><u>Branch 12:</u> at any step after [step 7], the Counterparty may choose to advise the Case Owner of the R009 SED, that it is Invalid under Art 5 of Regulation no 987/09</p> <ol style="list-style-type: none"> 1. The Counterparty executes business use case AD_BUC_06_Subprocess-Invalidate_SED; 2. Optionally, the Counterparty fills in a new R009 SED; 3. Optionally, the Counterparty sends the new R009 SED to the Case Owner; 4. [This Branch] Ends.
	<p><u>Branch 13:</u> at any step after [step 10] or after [Branch 1 step 2], the Counterparty may choose to advise the Case Owner of the R004 SED that it is Invalid under Art 5 of Regulation (EC) no 987/09</p> <ol style="list-style-type: none"> 1. The Counterparty executes business use case AD_BUC_06_Subprocess-Invalidate_SED; 2. Optionally, the Counterparty fills in a new R004 SED; 3. Optionally, the Counterparty sends the new R004 SED, including any attachments, to the Case Owner; 4. [This Branch] Ends.
	<p><u>Branch 14:</u> at any step after [step 5], any participant may choose to Forward this Business Process to a new participant within their MS who assumes responsibility for handling it</p> <ol style="list-style-type: none"> 1. The Case Owner or Counterparty executes business use case AD_BUC_05_Subprocess-Forward Case; 2. [This Branch] Ends.
	<p><u>Branch 15:</u> at any step after [step 5], the Counterparty may optionally choose to request the Case Owner to provide clarification of the data that they have sent</p> <ol style="list-style-type: none"> 1. The Counterparty executes business use case AD_BUC_08_Subprocess-Clarify Content; 2. [This Branch] Ends.
	<p><u>Branch 16:</u> at any step after [step 8], the Case Owner may optionally choose to request the Counterparty to provide clarification of the data that they have sent</p> <ol style="list-style-type: none"> 1. The Case Owner executes business use case AD_BUC_08_Subprocess-Clarify Content; 2. [This Branch] Ends.
	<p><u>Branch 17:</u> where [Exception 1] has been executed, either participant may choose to reopen the BUC</p> <ol style="list-style-type: none"> 1. Any participant executes business use case AD_BUC_02_Subprocess-Reopen Process; 2. [This Branch] Ends.

Exceptions:	<p><i>Exception 1: at any step after [step 5], the Case Owner or the Counterparty may optionally choose to close the case due to unexpected information becoming known to them during the course of their investigations</i></p> <ol style="list-style-type: none"> 1. The Case Owner or the Counterparty executes business use case AD_BUC_01_Subprocess-Close; 2. The Use Case ends here.
Includes:	See diagram at part 4.4.
Special Requirements:	<p>SR1: Rules about the invoking of Branches:</p> <p>[Branch 1] – May be invoked more than once</p> <p>[Branch 2] – May be invoked only once</p> <p>[Branch 3] – May be invoked only once</p> <p>[Branch 4] – May be invoked only once</p> <p>[Branch 5] – May be invoked more than once</p> <p>[Branch 6] – May be invoked more than once</p> <p>[Branch 7] – May be invoked more than once</p> <p>[Branch 8] – May be invoked more than once</p> <p>[Branch 9] – May be invoked more than once</p> <p>[Branch 10] – May be invoked more than once</p> <p>[Branch 11] – May be invoked more than once (provided a new SED has been created following the invalidation)</p> <p>[Branch 12] – May be invoked more than once (provided a new SED has been created following the invalidation)</p> <p>[Branch 13] – May be invoked more than once (provided a new SED has been created following the invalidation)</p> <p>[Branch 14] – May be invoked more than once</p> <p>[Branch 15] – May be invoked more than once</p> <p>[Branch 16] – May be invoked more than once</p> <p>[Branch 17] – May be invoked more than once (provided a new close was requested following the re-open)</p> <p>[Exception 1] – May be invoked more than once (provided branch 17 has been invoked)</p>
Assumptions:	N/A

Notes and Issues:	N/A
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4.2. Request – Reply SEDs

The following table specifies any SEDs that have a logical pairing to one another, usually this is known as a request-reply pair.

REQUEST SED	REPLY SED(s)
R008	R009

4.3. Attachments Allowed

The following table specifies whether attachments are permitted to be included when sending a SED type.

SED	Attachments
R008	Allowed
R009	Allowed
R004	Allowed

4.4. Artefacts used

The following table specifies the artefacts that are used in this Business Use Case.

Artefact name	Artefact type
R008	SED
R009	SED
R004	SED
H_BUC_01_Subprocess	BUC
AD_BUC_01_Subprocess – Close Case	BUC
AD_BUC_02_Subprocess – Reopen Case	BUC
AD_BUC_05_Subprocess – Forward Case	BUC
AD_BUC_06_Subprocess – Invalidate SED	BUC
AD_BUC_07_Subprocess – Reminder	BUC
AD_BUC_08_Subprocess – Clarify Content	BUC
AD_BUC_10_Subprocess – Update SED	BUC
AD_BUC_11_Subprocess – Business Exception	BUC
AD_BUC_12_Subprocess – Change of Participant	BUC

5. Business Processes

This chapter describes the Business Use Case Offsetting of provisionally paid cash benefits with Payments of another Member State under Art. 73 (1) of Regulation No 987/2009.

5.1. Case Owner and Counterparty

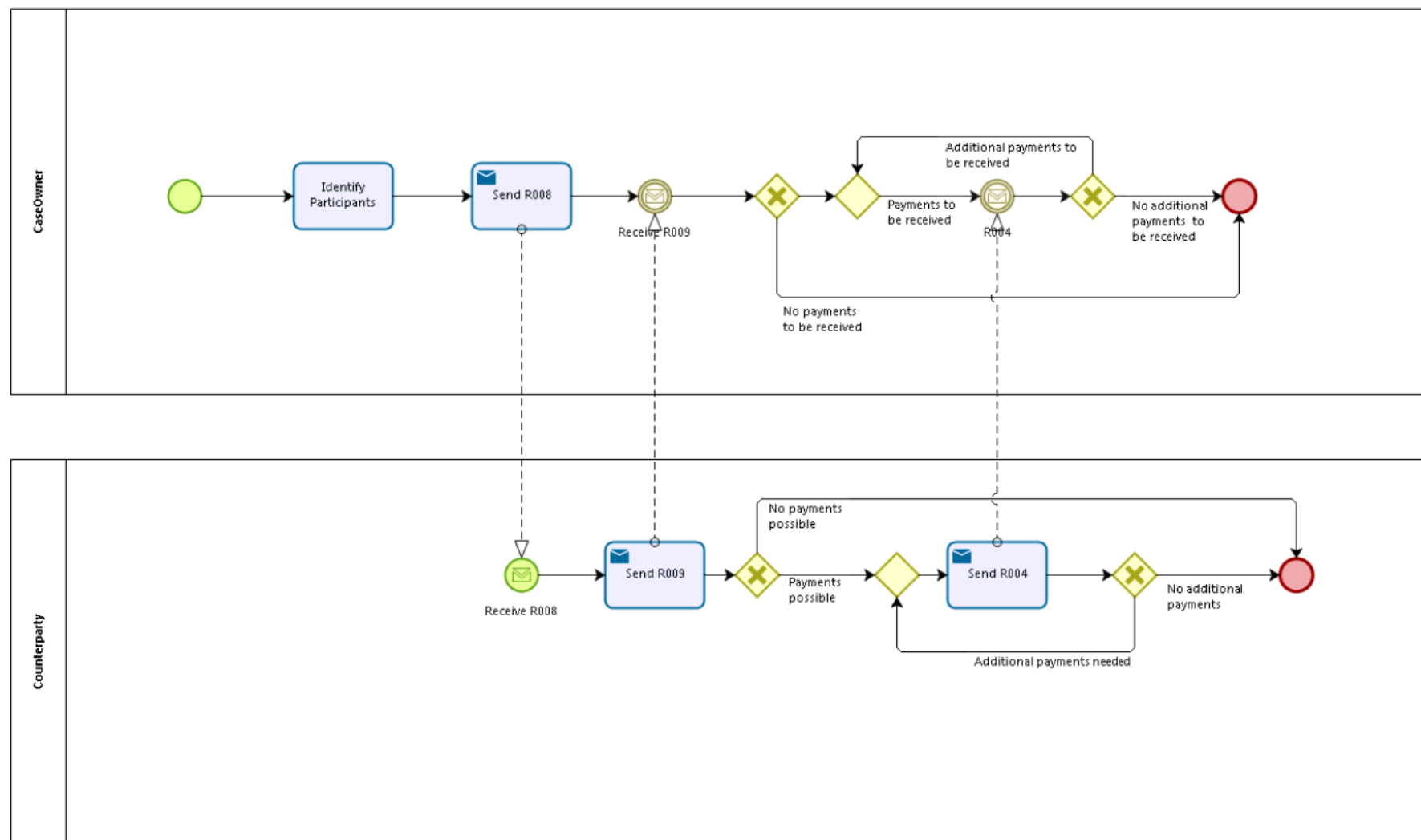


Figure 1: depicts the use case end-to-end of the Case Owner and Counterparty, from a high level, using the BPMN 2.0 collaboration diagram

5.2. Sub Processes

Not Applicable.

6. Appendices

6.1. Issues

#	Issue date	Description	Solution	Close date
1	30/10/15	<p>The request for reimbursement must be done within 3 months of the date of the decision identifying the institution competent for paying the benefits. (Art. 73 (1)).</p> <p>What should be done in case the request is not done within the 3 months?</p> <p>Should a negative reply be done on the SED (Branch 2)?</p> <p>Or should a Close of the case be done by the Counterparty and informing the Case Owner that the request is too late?</p>	<p>SED R009 allows refusal of a request because the deadline of three months has expired (e.g. SED R009 : explanation can be given in Section 14 "free text")</p> <p>AHG Recovery asks the DMEG to propose a block for this purpose.</p> <p>Counter Party should reply negatively with an explanation (free text, use of the SED R009 or Exception 1).</p> <p>Decision: an additional block is needed in the SED.</p>	26/09/2016
2	11/11/2015	<p>Branch 13: is a forward to be used for the situation described in Branch 3, i.e.: when the Counterparty can not pay, but another institution is competent for the ongoing payments?</p> <p>If this solution should not be used: should the Counterparty inform the Case Owner about the competent institution and then the Case Owner opens a new case with the competent institution?</p>	<p>Both solutions are possible; the AHG Recovery recommends to apply Branch 14 (previously Branch 13) when it is possible.</p> <p>Yes.</p>	29/06/2016
3	11/11/2015	<p>If R009 provides a negative response about possible payments, should R004 be available anyway to the clerk, in order to leave the system open/</p>	<p>AHG Recovery recommends to leave the choice to the clerk.</p>	29/06/2016

		choice to the clerk like had been decided for R_BUC_07?		
4	16.12.2015	If R004 provides "Final payment" information, that no more reimbursements will be provided, should R004 still be available to the clerk anyhow, in order to keep the system flexible and leave the choice to the clerks?	AHG Recovery recommends to leave the choice to the clerk.	29/06/2016
5	21/06/2016	Conversion of the BPMN model to split between Case Owner and Counterparty	Scheduled for update. => conversion of the BPMN model done, no need to split.	29/06/2017