

Binary Search Trees

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Uppsala University

(Based on previous material by Mohamed Faouzi Atig and Parosh Aziz Abdulla)

Introduction

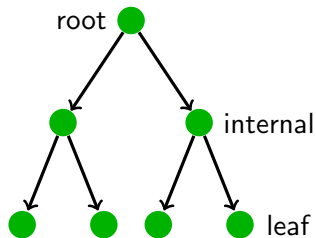
Binary Search Trees

- Data structures that support many dynamic-set operations, including SEARCH, MINIMUM, MAXIMUM, PREDECESSOR, SUCCESSOR, INSERT, and DELETE.
- Can be used as both dictionary and as priority queue
- Basic operations take time proportional to the height of the tree:
 - For complete binary tree with n nodes: worst case $\Theta(\log_2(n))$
 - For linear chain with n nodes: worst case $\Theta(n)$

- 1 Definition
- 2 Walking
- 3 Searching
- 4 Minimum
- 5 Maximum
- 6 Successors
- 7 Insertion
- 8 Deletion

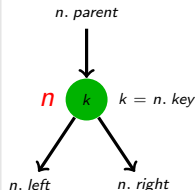
Binary Trees

- A binary tree is a tree such that:
 - Each node has at most two child nodes, distinguished by **left** and **right**.
 - The trees we consider here need not be complete binary trees.



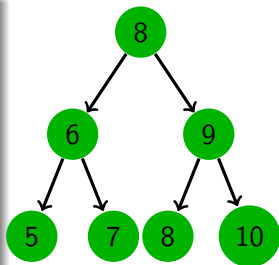
Implementation of Binary Trees

- A binary tree T can be represented as a linked data structure
- Each node n is represented by an object having the following attributes:
 - $n.key$ is the key stored at the node n .
 - ($n.value$ is the value stored at the node n .)
 - $n.left$ points to the left child of n .
 - $n.right$ points to the right child of n .
 - $n.parent$ points to the parent of n .
- If a child or the parent is missing, the appropriate attribute contains the value NIL
- The root node of the tree T is pointed to by the attribute $T.root$.

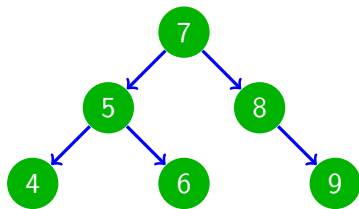


Binary Search Tree

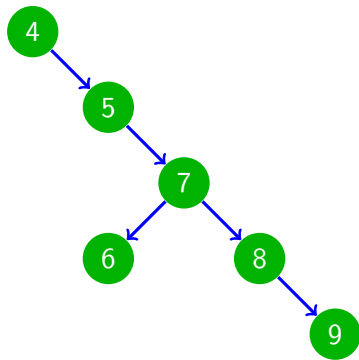
- A binary **search** tree T is a binary tree such that:
 - For every x and y two nodes of T :
 - If y is in the left subtree of x then $y.key \leq x.key$.
 - If y is in the right subtree of x then $x.key \leq y.key$.



Binary Search Trees: Example (1)



Binary Search Trees: Example (2)



Binary Search Trees: Operations:

Let T be a tree, x be a node in T , and a key k :

- **INORDER-TREE-WALK(x)**: Print out all the keys of the subtree rooted at x in a sorted order.
- **SEARCH(x, k)**: Return a pointer to a node with key k in the subtree of x if one exists; otherwise, return *NIL*
- **TREE-MINIMUM(x)**: Return a pointer to the node with smallest key in the subtree of x .
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TREE-WALK

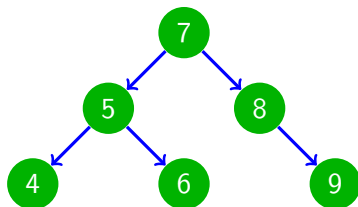
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INORDER-TREE-WALK(x)

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3         print  $x$ . key
4         INORDER-TREE-WALK( $x$ . right)
```

INORDER-TREE-WALK: Principle

- Check whether the node x is not *NIL*
- Recursively, print the keys of the nodes in the left subtree of x
- Print the key of x
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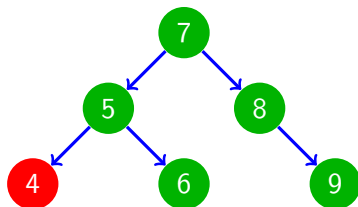
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4

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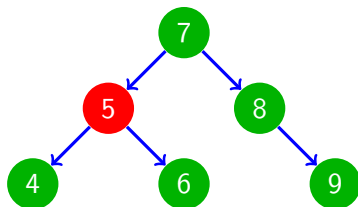
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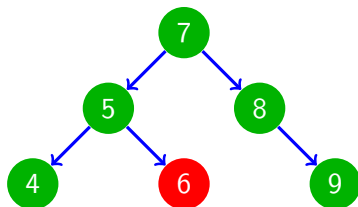
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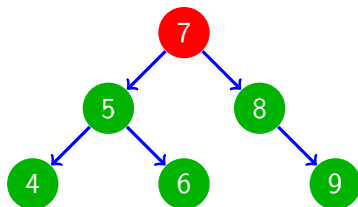
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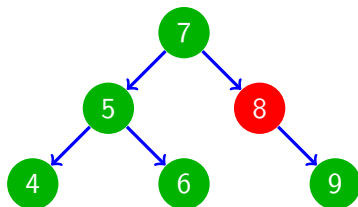
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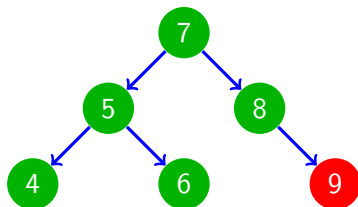
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TREE-WALK

- Let n be the number of nodes in the subtree rooted at x
- Let $T(n)$ be the time taken by $\text{INORDER-TREE-WALK}(x)$
- Each of lines 1 and 3 takes constant time.
- Let k be the number of nodes of the left subtree of x then we have:

$$T(n) = T(k) + T(n - k - 1) + \Theta(1)$$

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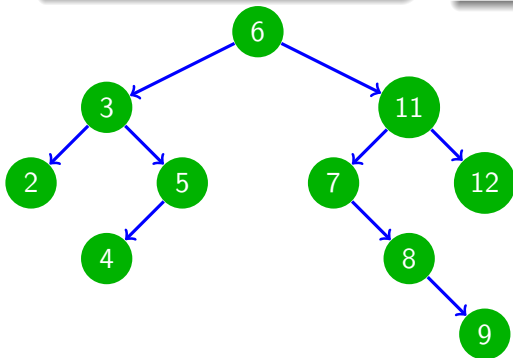
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For each node x it encounters, it compares the key k and $x.key$:

- If the two keys are equal, the search terminates.
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TREE-SEARCH($T.root, 4$)

TREE-SEARCH

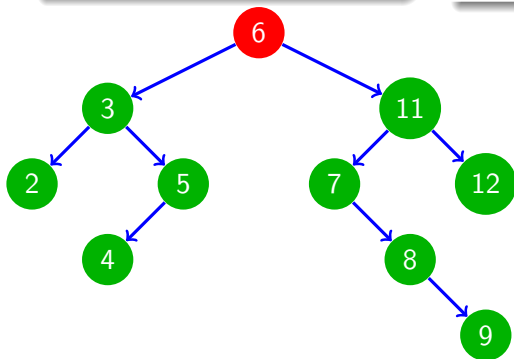
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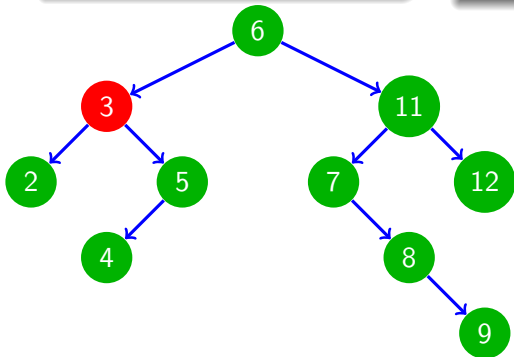
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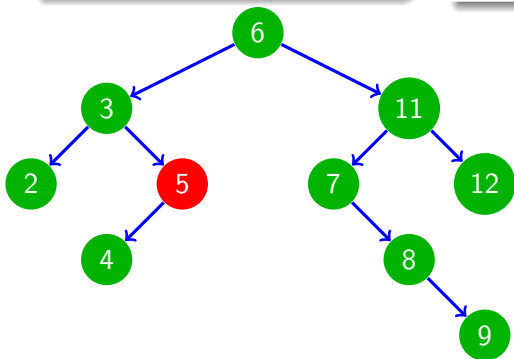
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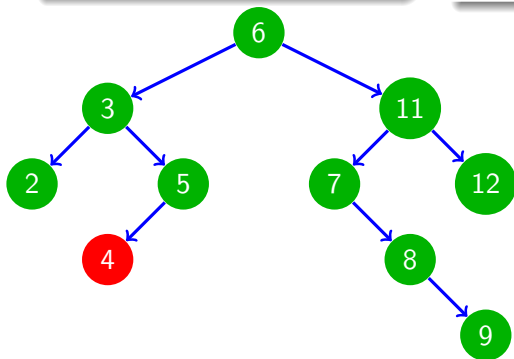
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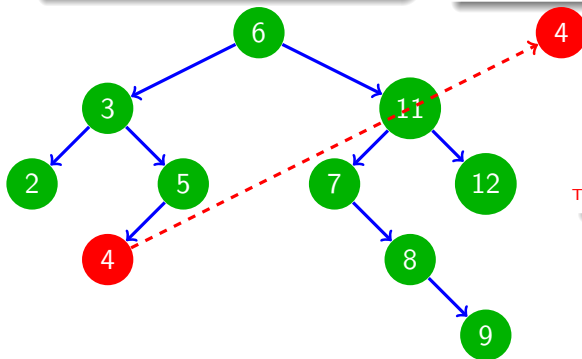
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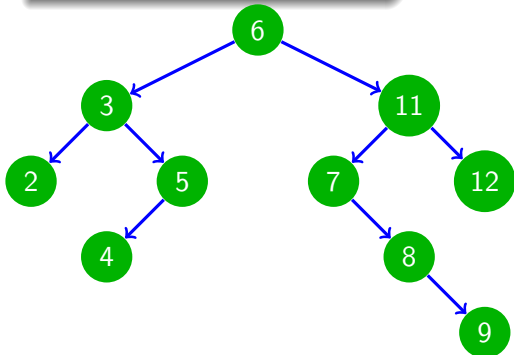
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Binary Search Trees

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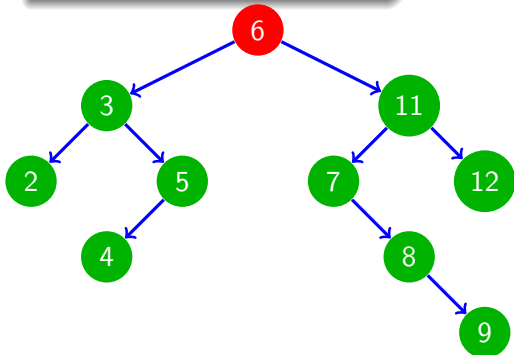


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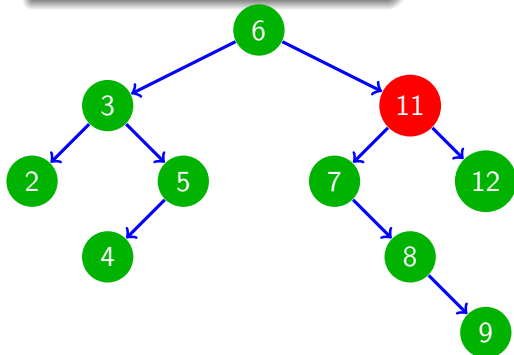


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TREE-SEARCH($T.root.right, 10$)

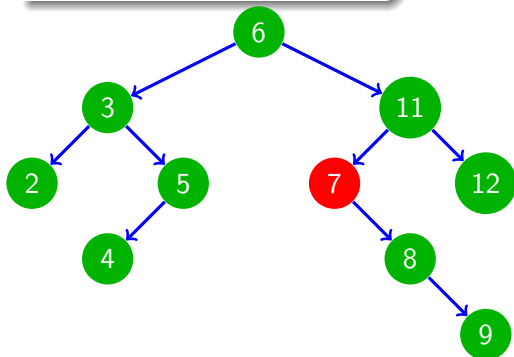


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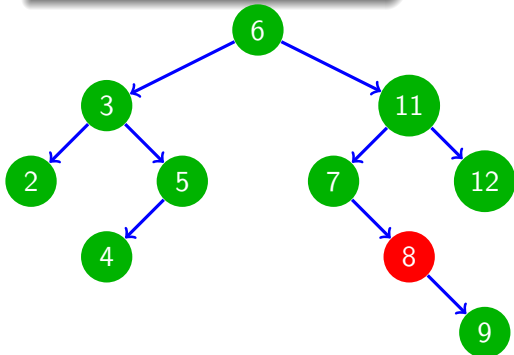


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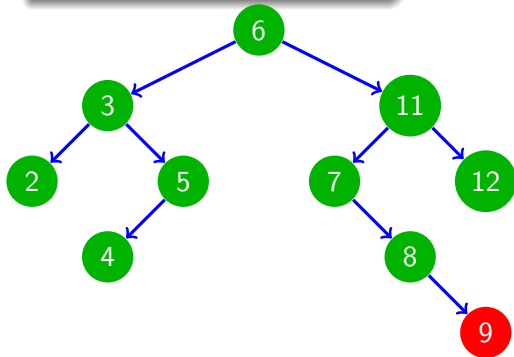


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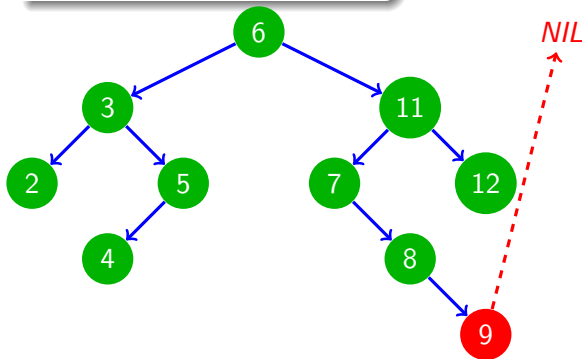


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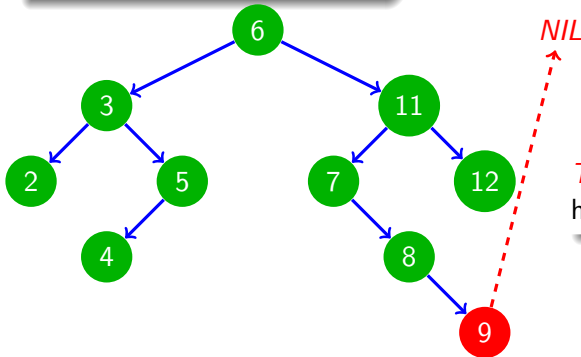


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$T(n) = O(h)$ where h is the height of the tree

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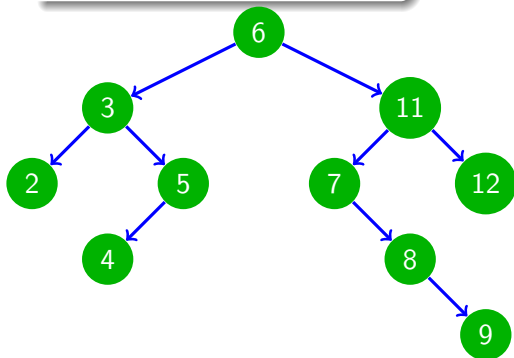
TREE-MINIMUM

TREE-MINIMUM(x)

```
1 while  $x.left \neq NIL$ 
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```

TREE-MINIMUM: Principle

The minimum key of a binary search tree is located at the leftmost node



TREE-MINIMUM($T.root$)

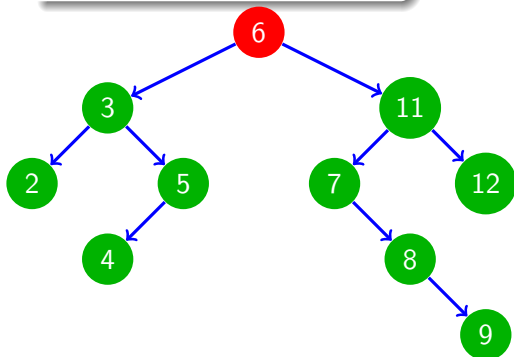
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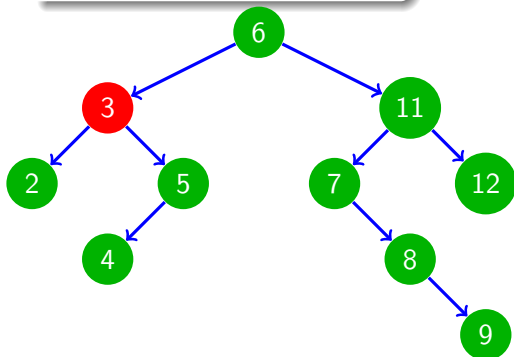
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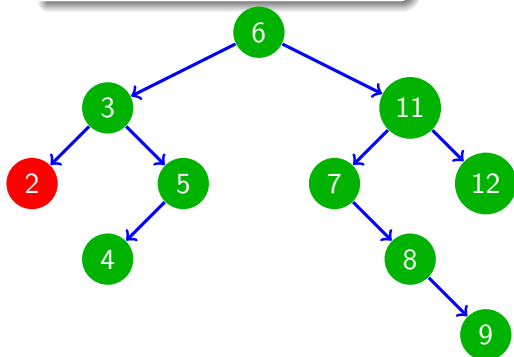
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TREE-MINIMUM($T.root.left.left$)

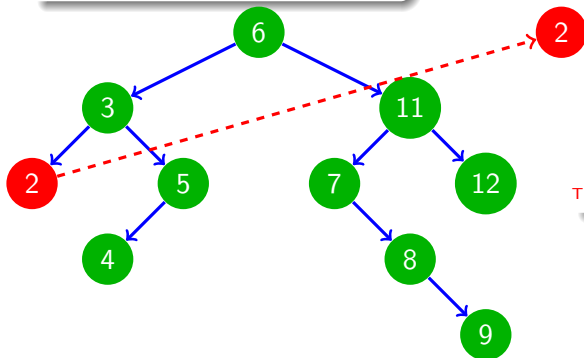
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TREE-MINIMUM($T.\text{root}.\text{left}.\text{left}$)

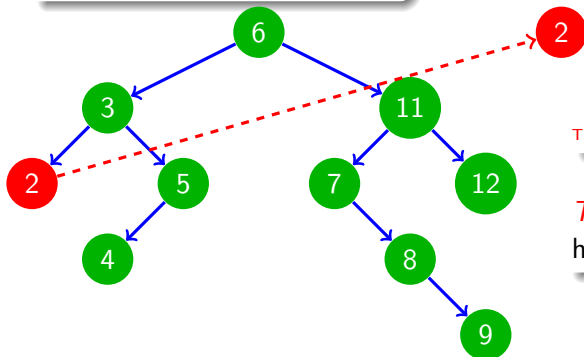
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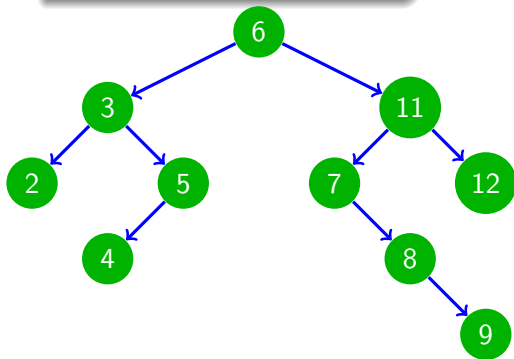
TREE-MAXIMUM

TREE-MAXIMUM(x)

```
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```

TREE-MAXIMUM: Principle

The maximum key of a binary search tree is located at the rightmost node



TREE-MAXIMUM($T.root$)

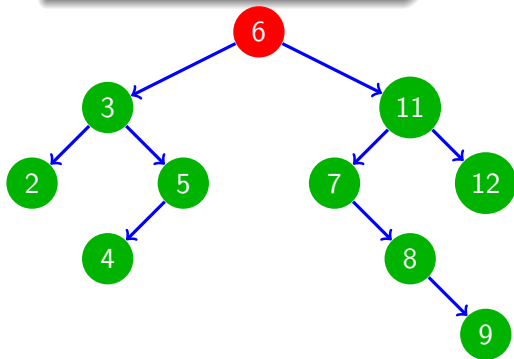
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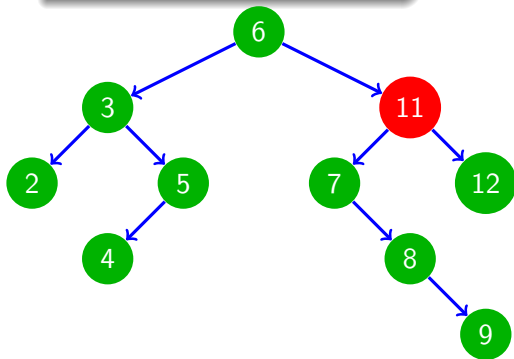
TREE-MAXIMUM

TREE-MAXIMUM(*x*)

```
1 while x.right ≠ NIL  
2   do x ← x.right  
3 return x
```

TREE-MAXIMUM: Principle

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TREE-MAXIMUM(*T.root.right*)

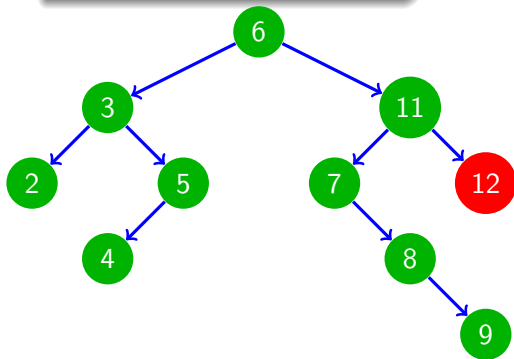
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TREE-MAXIMUM($T.root.right.right$)

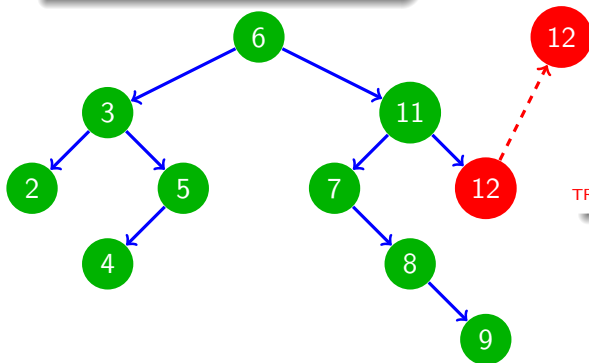
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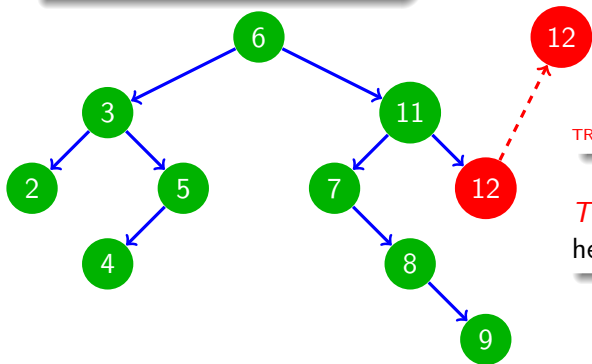
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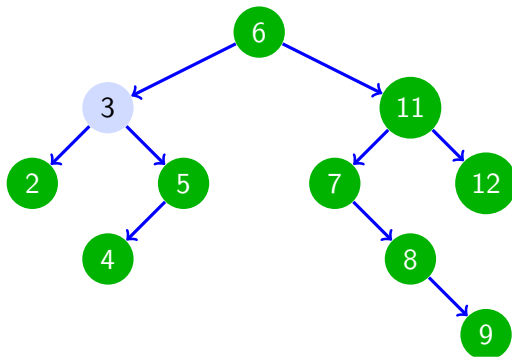
TREE-SUCCESSOR

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```
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3  $y \leftarrow x.parent$ 
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5   do  $x \leftarrow y$ 
6    $y \leftarrow y.parent$ 
7 return ( $y$ )
```

TREE-SUCCESSOR: Principle

- **Assumption:** All keys are different
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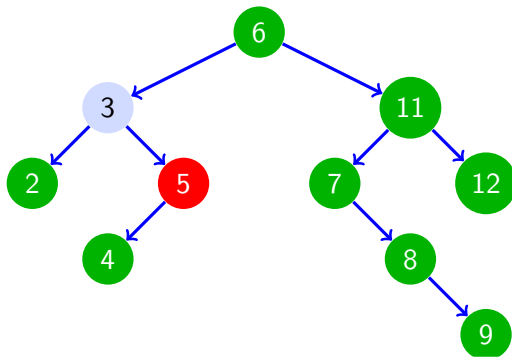
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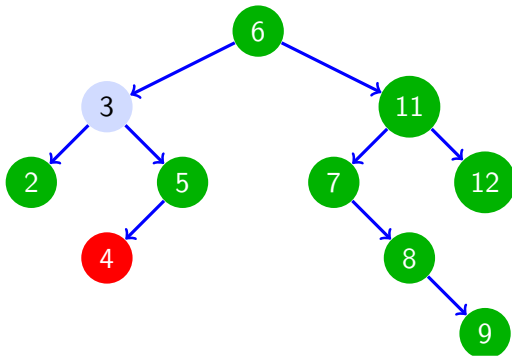
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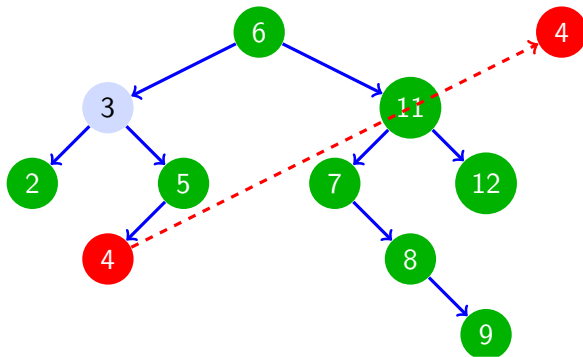
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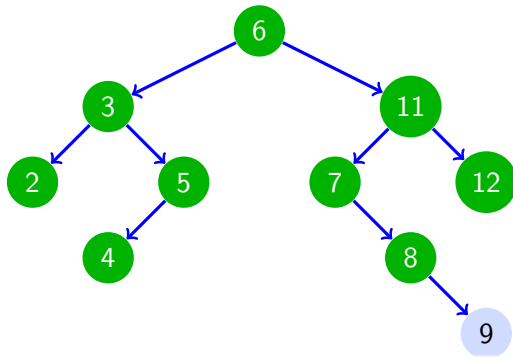
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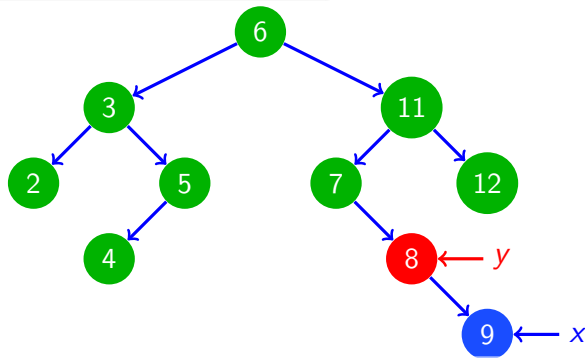
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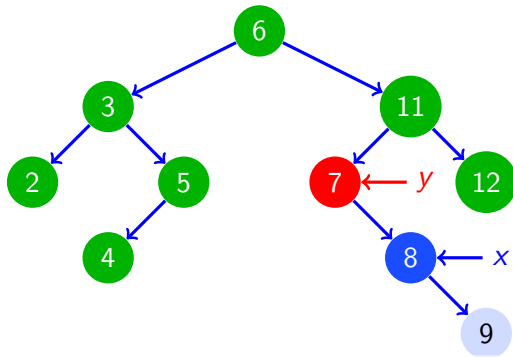
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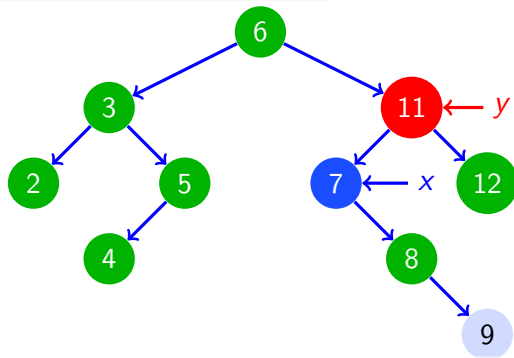
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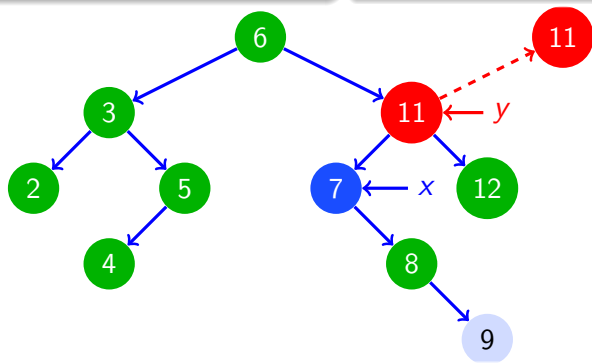
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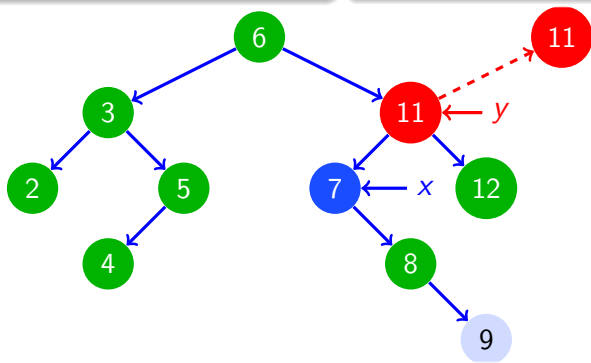
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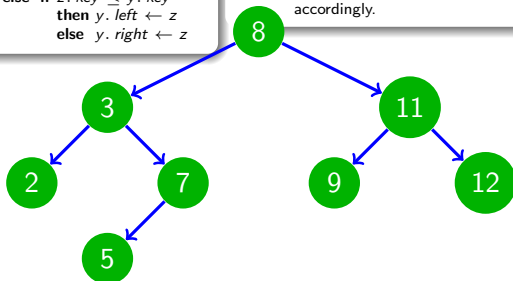
TREE-INSERT

TREE-INSERT(T, z)

```
1   $y \leftarrow NIL$ 
2   $x \leftarrow T.root$ 
3  while  $x \neq NIL$ 
4      do  $y \leftarrow x$ 
5         if  $z.key \leq x.key$ 
6             then  $x \leftarrow x.left$ 
7             else  $x \leftarrow x.right$ 
8   $z.parent \leftarrow y$ 
9  if  $y = NIL$ 
10     then  $T.root \leftarrow z$ 
11     else if  $z.key \leq y.key$ 
12         then  $y.left \leftarrow z$ 
13         else  $y.right \leftarrow z$ 
```

TREE-INSERT: Principle

- Begin at the root and maintain two pointers:
 - Pointer x traces a simple path downward looking for a NIL to replace it with the node z
 - Pointer y : Trailing pointer to keep track of the parent of x
- Traverse the tree downward by comparing $x.key$ to $z.key$, and move to the left or right child accordingly.
- When x is NIL , it is at the right position to insert z
- Compare the key of z to the one of y , and insert z on the left or right of y accordingly.



$z.key = 4$

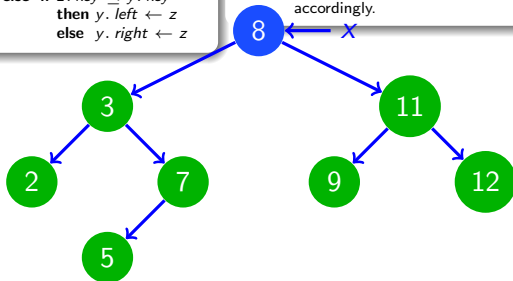
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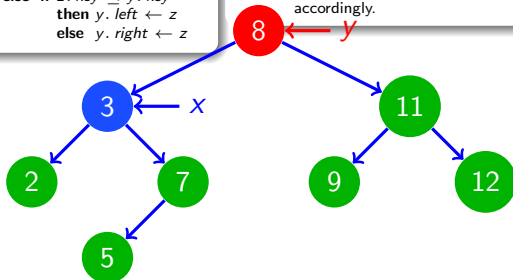
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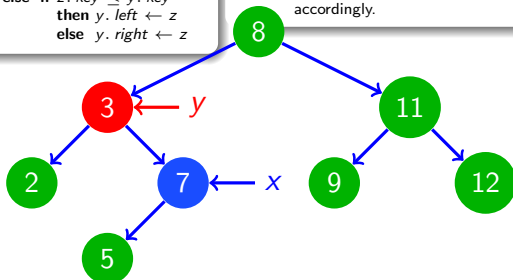
TREE-INSERT

TREE-INSERT(T, z)

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1  $y \leftarrow NIL$ 
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3 while  $x \neq NIL$ 
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6       then  $x \leftarrow x.left$ 
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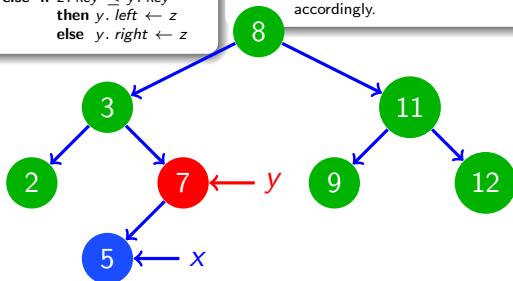
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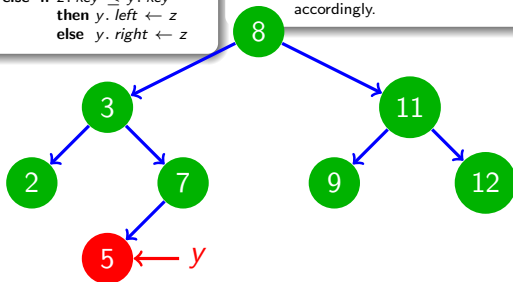
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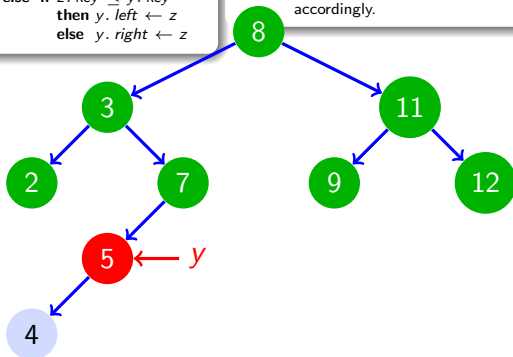
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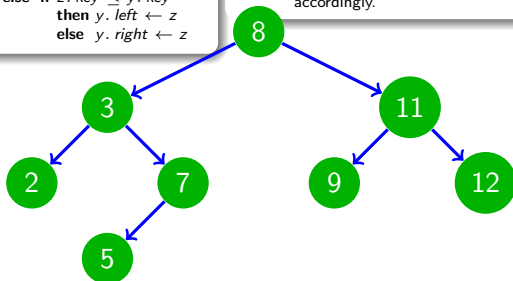
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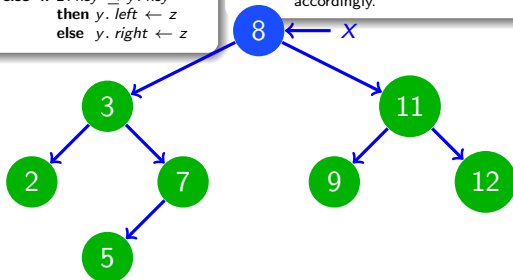
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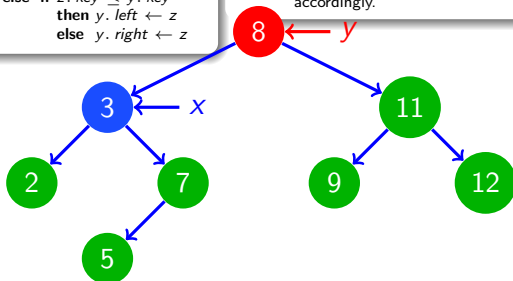
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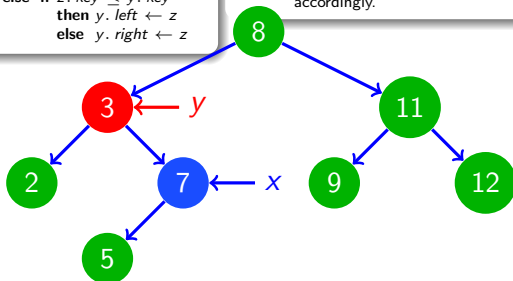
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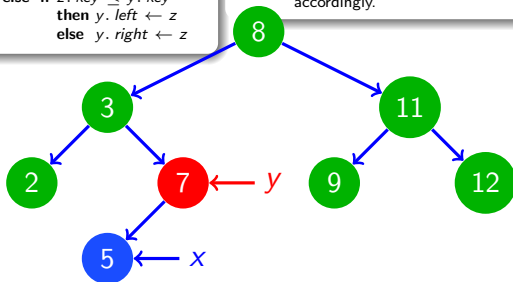
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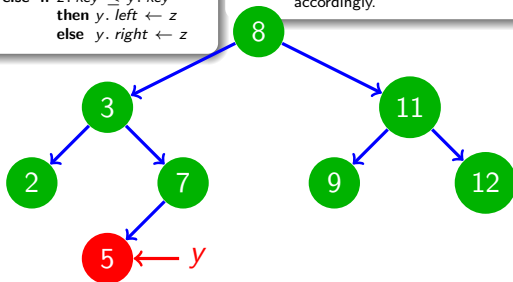
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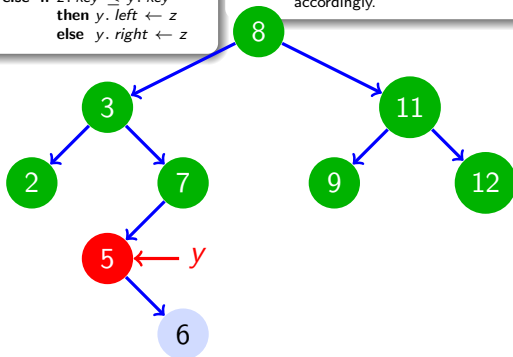
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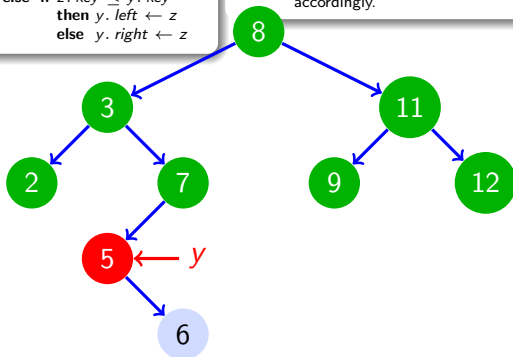
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$T(n) = O(h)$ where
 h is the height of
the tree

Binary Search Trees: Operations:

Let T be a tree, x be a node in T , and a key k :

- **INORDER-TREE-WALK(x)**: Print out all the keys of the subtree rooted at x in a sorted order.
- **SEARCH(x, k)**: Return a pointer to a node with key k in the subtree of x if one exists; otherwise, return *NIL*
- **TREE-MINIMUM(x)**: Return a pointer to the node with smallest key in the subtree of x .
- **TREE-MAXIMUM(x)**: Return a pointer to the node with largest key in the subtree of x .
- **TREE-SUCCESSOR(x)**: Return a pointer to the node with the smallest key larger than x . *key*.
- **TREE-INSERT(T, x)**: Insert x in T such that the binary search property is preserved.
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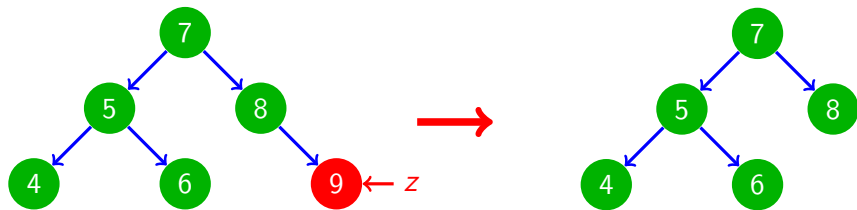
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TREE-DELETE: Principle

TREE-DELETE(T, z): Delete z from T such that the binary search property is preserved.

There are three cases to consider depending on the position of the node z :

- **Case 1:** The node z has no children
 - Delete z by making the parent of z point to NIL , instead of to z .

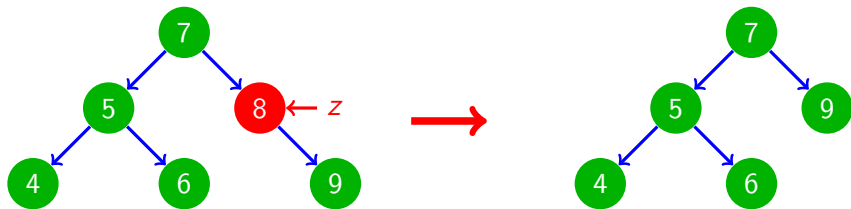


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- **Case 2:** The node z has one child
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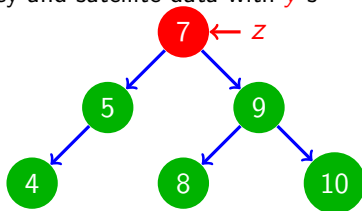


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There are three cases to consider depending on the position of the node z :

- **Case 3:** The node z has two children
 - Compute y the successor of z (y has at most one child).
 - Delete y from the tree (via case 1 or 2)
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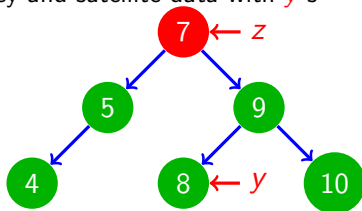


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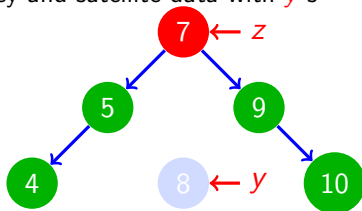


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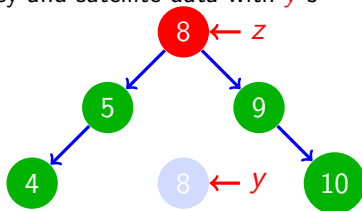


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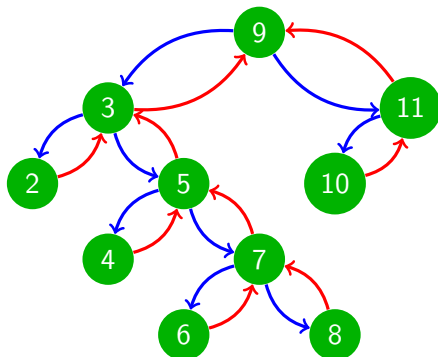
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TREE-DELETE

TREE-DELETE(T, z)

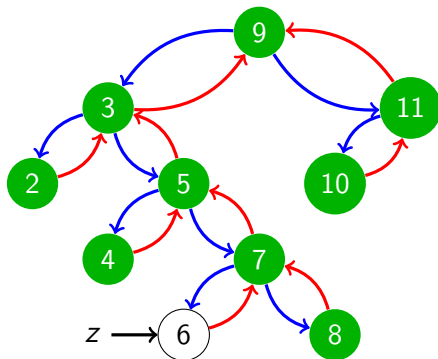
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   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
4    else  $y \leftarrow \text{TREE-SUCCESSOR}(z)$   
5  ▷  $x$  is set to a non- $NIL$  child of  $y$ , or to  $NIL$   
   if  $y$  has no children  
6  if  $y.left \neq NIL$   
7    then  $x \leftarrow y.left$   
8    else  $x \leftarrow y.right$   
9  if  $x \neq NIL$   
10   then  $x.parent \leftarrow y.parent$   
11  ▷  $y$  is removed from the tree by manipulating  
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12  if  $y.parent = NIL$   
13    then  $T.root = x$   
14    else if  $y = y.parent.left$   
15         then  $y.parent.left \leftarrow x$   
16         else  $y.parent.right \leftarrow x$   
17  ▷ If it was  $z$ 's successor that was spliced out,  
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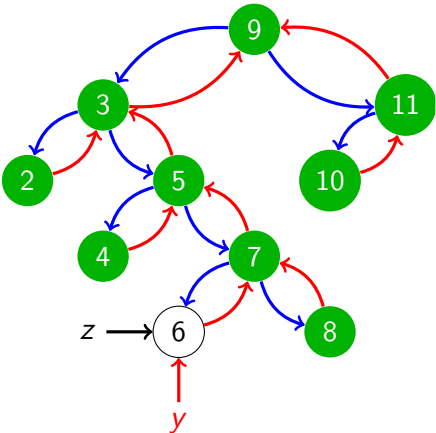
TREE-DELETE

TREE-DELETE(T, z)

```

1  ▷ Determine which node y to splice out:
   either z or z's successor
2  if z.left = NIL or z.right = NIL
3      then y ← z
4      else y ← TREE-SUCCESSOR(z)
5  ▷ x is set to a non-NIL child of y, or to NIL
   if y has no children
6  if y.left ≠ NIL
7      then x ← y.left
8      else x ← y.right
9  if x ≠ NIL
10     then x.parent ← y.parent
11  ▷ y is removed from the tree by manipulating
   pointers of y.parent and x
12  if y.parent = NIL
13     then T.root = x
14     else if y = y.parent.left
15         then y.parent.left ← x
16         else y.parent.right ← x
17  ▷ If it was z's successor that was spliced out,
   copy its data into z
18  if y ≠ z
19     then z.key = y.key
20         copy y's data into z
21  return y

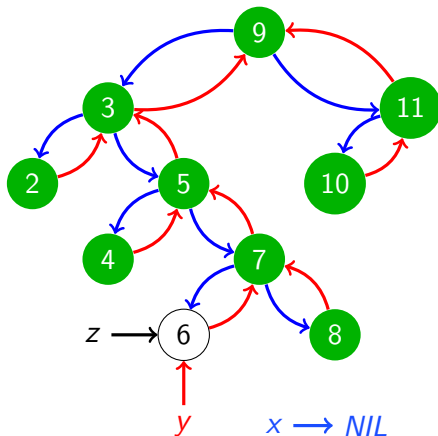
```



TREE-DELETE

TREE-DELETE(T, z)

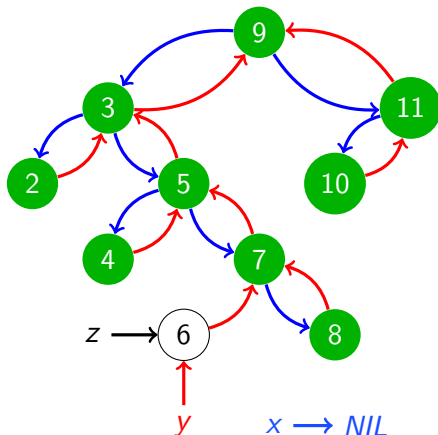
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
4    else  $y \leftarrow \text{TREE-SUCCESSOR}(z)$   
5  ▷  $x$  is set to a non- $NIL$  child of  $y$ , or to  $NIL$   
   if  $y$  has no children  
6  if  $y.left \neq NIL$   
7    then  $x \leftarrow y.left$   
8    else  $x \leftarrow y.right$   
9  if  $x \neq NIL$   
10   then  $x.parent \leftarrow y.parent$   
11  ▷  $y$  is removed from the tree by manipulating  
   pointers of  $y.parent$  and  $x$   
12  if  $y.parent = NIL$   
13   then  $T.root = x$   
14   else if  $y = y.parent.left$   
15     then  $y.parent.left \leftarrow x$   
16     else  $y.parent.right \leftarrow x$   
17  ▷ If it was  $z$ 's successor that was spliced out,  
   copy its data into  $z$   
18  if  $y \neq z$   
19   then  $z.key = y.key$   
20   copy  $y$ 's data into  $z$   
21  return  $y$ 
```



TREE-DELETE

TREE-DELETE(T, z)

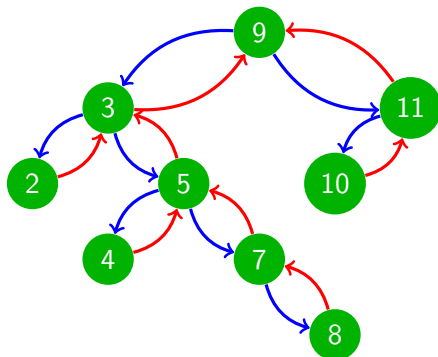
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
4    else  $y \leftarrow \text{TREE-SUCCESSOR}(z)$   
5  ▷  $x$  is set to a non- $NIL$  child of  $y$ , or to  $NIL$   
   if  $y$  has no children  
6  if  $y.left \neq NIL$   
7    then  $x \leftarrow y.left$   
8    else  $x \leftarrow y.right$   
9  if  $x \neq NIL$   
10   then  $x.parent \leftarrow y.parent$   
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   pointers of  $y.parent$  and  $x$   
12  if  $y.parent = NIL$   
13   then  $T.root = x$   
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   copy its data into  $z$   
18  if  $y \neq z$   
19   then  $z.key = y.key$   
20   copy  $y$ 's data into  $z$   
21  return  $y$ 
```



TREE-DELETE

TREE-DELETE(T, z)

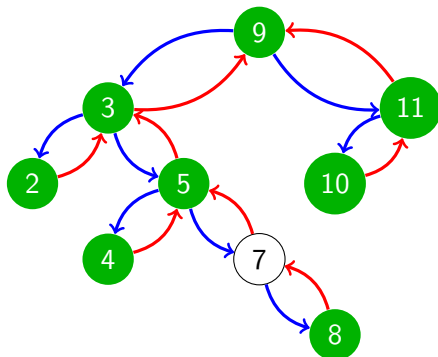
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
4    else  $y \leftarrow \text{TREE-SUCCESSOR}(z)$   
5  ▷  $x$  is set to a non- $NIL$  child of  $y$ , or to  $NIL$   
   if  $y$  has no children  
6  if  $y.left \neq NIL$   
7    then  $x \leftarrow y.left$   
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11  ▷  $y$  is removed from the tree by manipulating  
   pointers of  $y.parent$  and  $x$   
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17  ▷ If it was  $z$ 's successor that was spliced out,  
   copy its data into  $z$   
18  if  $y \neq z$   
19    then  $z.key = y.key$   
20         copy  $y$ 's data into  $z$   
21  return  $y$ 
```



TREE-DELETE

TREE-DELETE(T, z)

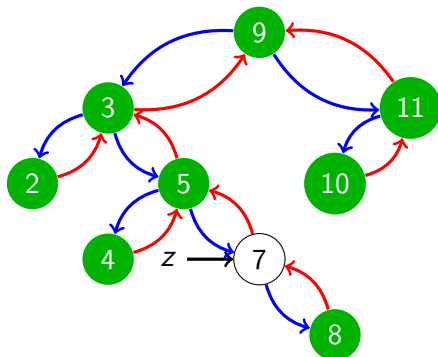
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
4    else  $y \leftarrow \text{TREE-SUCCESSOR}(z)$   
5  ▷  $x$  is set to a non- $NIL$  child of  $y$ , or to  $NIL$   
   if  $y$  has no children  
6  if  $y.left \neq NIL$   
7    then  $x \leftarrow y.left$   
8    else  $x \leftarrow y.right$   
9  if  $x \neq NIL$   
10   then  $x.parent \leftarrow y.parent$   
11  ▷  $y$  is removed from the tree by manipulating  
   pointers of  $y.parent$  and  $x$   
12  if  $y.parent = NIL$   
13   then  $T.root = x$   
14   else if  $y = y.parent.left$   
15     then  $y.parent.left \leftarrow x$   
16     else  $y.parent.right \leftarrow x$   
17  ▷ If it was  $z$ 's successor that was spliced out,  
   copy its data into  $z$   
18  if  $y \neq z$   
19   then  $z.key = y.key$   
20   copy  $y$ 's data into  $z$   
21  return  $y$ 
```



TREE-DELETE

TREE-DELETE(T, z)

```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
4    else  $y \leftarrow \text{TREE-SUCCESSOR}(z)$   
5  ▷  $x$  is set to a non- $NIL$  child of  $y$ , or to  $NIL$   
   if  $y$  has no children  
6  if  $y.left \neq NIL$   
7    then  $x \leftarrow y.left$   
8    else  $x \leftarrow y.right$   
9  if  $x \neq NIL$   
10   then  $x.parent \leftarrow y.parent$   
11  ▷  $y$  is removed from the tree by manipulating  
   pointers of  $y.parent$  and  $x$   
12  if  $y.parent = NIL$   
13   then  $T.root = x$   
14   else if  $y = y.parent.left$   
15     then  $y.parent.left \leftarrow x$   
16     else  $y.parent.right \leftarrow x$   
17  ▷ If it was  $z$ 's successor that was spliced out,  
   copy its data into  $z$   
18  if  $y \neq z$   
19   then  $z.key = y.key$   
20   copy  $y$ 's data into  $z$   
21  return  $y$ 
```



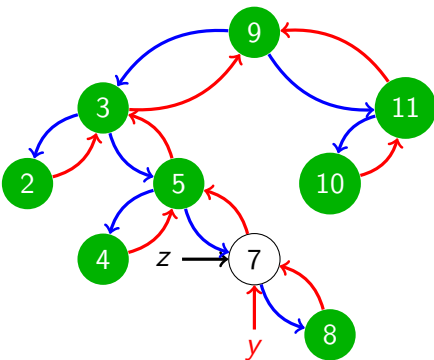
TREE-DELETE

TREE-DELETE(T, z)

```

1  ▷ Determine which node y to splice out:
   either z or z's successor
2  if z.left = NIL or z.right = NIL
3      then y ← z
4      else y ← TREE-SUCCESSOR(z)
5  ▷ x is set to a non-NIL child of y, or to NIL
   if y has no children
6  if y.left ≠ NIL
7      then x ← y.left
8      else x ← y.right
9  if x ≠ NIL
10     then x.parent ← y.parent
11  ▷ y is removed from the tree by manipulating
   pointers of y.parent and x
12  if y.parent = NIL
13     then T.root = x
14     else if y = y.parent.left
15         then y.parent.left ← x
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17  ▷ If it was z's successor that was spliced out,
   copy its data into z
18  if y ≠ z
19     then z.key = y.key
20         copy y's data into z
21  return y

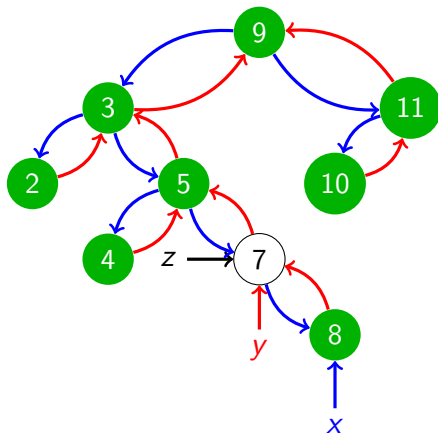
```



TREE-DELETE

TREE-DELETE(T, z)

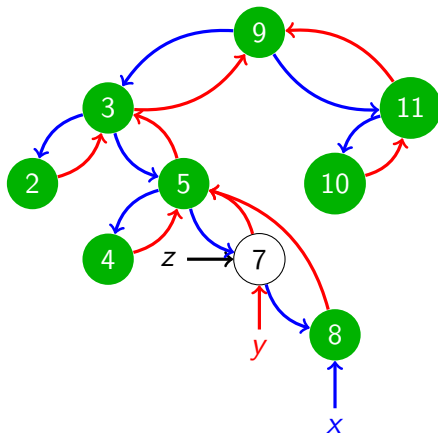
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
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   pointers of  $y.parent$  and  $x$   
12  if  $y.parent = NIL$   
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19   then  $z.key = y.key$   
20   copy  $y$ 's data into  $z$   
21  return  $y$ 
```



TREE-DELETE

TREE-DELETE(T, z)

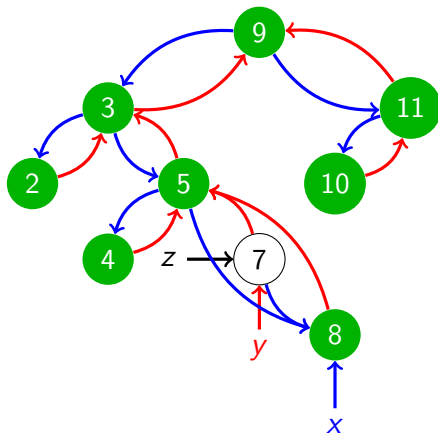
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
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   pointers of  $y.parent$  and  $x$   
12  if  $y.parent = NIL$   
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17  ▷ If it was  $z$ 's successor that was spliced out,  
   copy its data into  $z$   
18  if  $y \neq z$   
19   then  $z.key = y.key$   
20   copy  $y$ 's data into  $z$   
21  return  $y$ 
```



TREE-DELETE

TREE-DELETE(T, z)

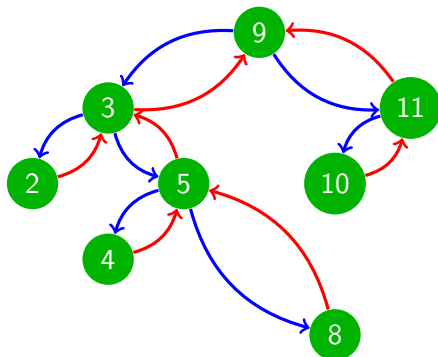
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
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   pointers of  $y.parent$  and  $x$   
12  if  $y.parent = NIL$   
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```



TREE-DELETE

TREE-DELETE(T, z)

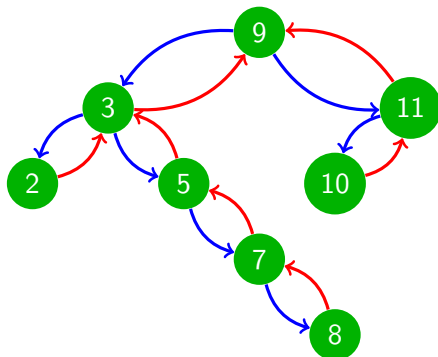
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
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TREE-DELETE

TREE-DELETE(T, z)

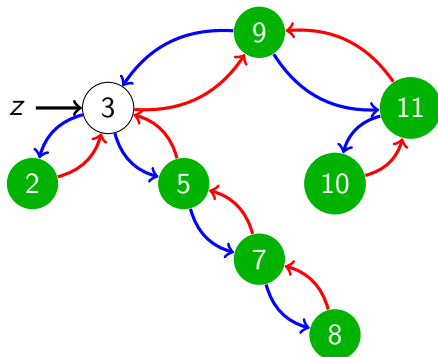
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
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```



TREE-DELETE

TREE-DELETE(T, z)

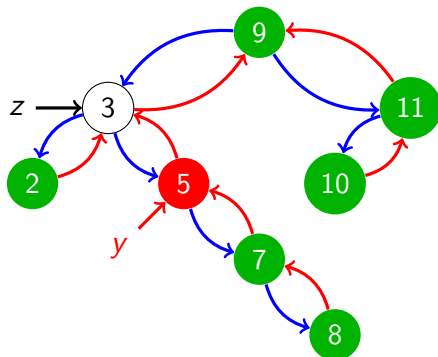
```
1  ▷ Determine which node  $y$  to splice out:  
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TREE-DELETE

TREE-DELETE(T, z)

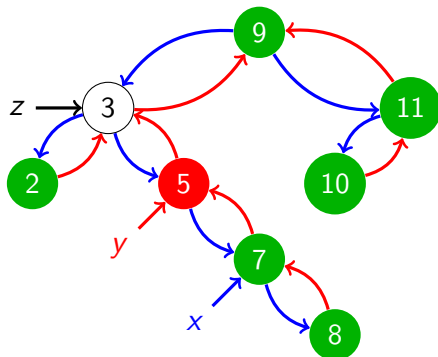
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
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TREE-DELETE

TREE-DELETE(T, z)

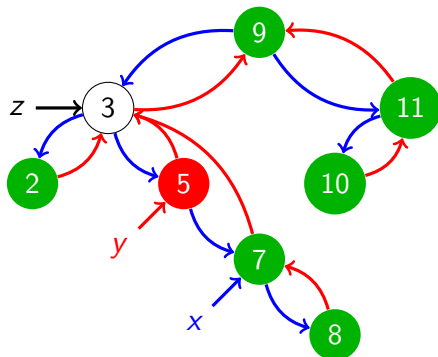
```
1  ▷ Determine which node  $y$  to splice out:  
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TREE-DELETE

TREE-DELETE(T, z)

```
1  ▷ Determine which node  $y$  to splice out:  
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17  ▷ If it was  $z$ 's successor that was spliced out,  
   copy its data into  $z$   
18  if  $y \neq z$   
19   then  $z.key = y.key$   
20   copy  $y$ 's data into  $z$   
21  return  $y$ 
```



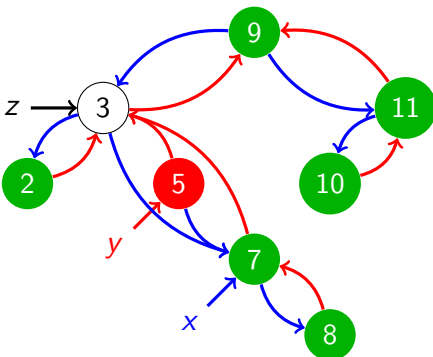
TREE-DELETE

TREE-DELETE(T, z)

```

1  ▷ Determine which node y to splice out:
   either z or z's successor
2  if z.left = NIL or z.right = NIL
3      then y ← z
4      else y ← TREE-SUCCESSOR(z)
5  ▷ x is set to a non-NIL child of y, or to NIL
   if y has no children
6  if y.left ≠ NIL
7      then x ← y.left
8      else x ← y.right
9  if x ≠ NIL
10     then x.parent ← y.parent
11  ▷ y is removed from the tree by manipulating
   pointers of y.parent and x
12  if y.parent = NIL
13     then T.root = x
14     else if y = y.parent.left
15         then y.parent.left ← x
16         else y.parent.right ← x
17  ▷ If it was z's successor that was spliced out,
   copy its data into z
18  if y ≠ z
19     then z.key = y.key
20         copy y's data into z
21  return y

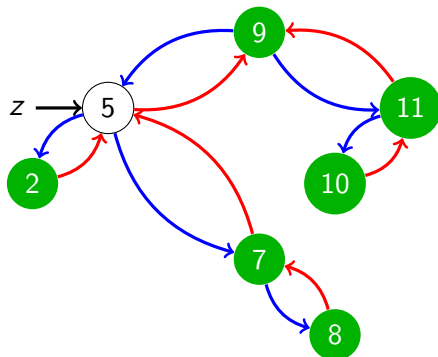
```



TREE-DELETE

TREE-DELETE(T, z)

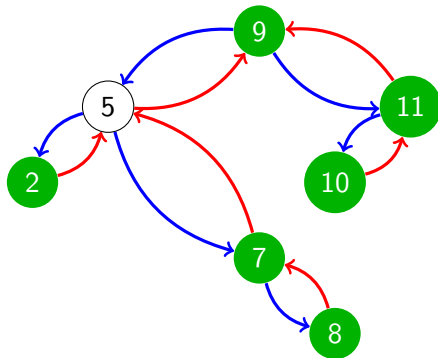
```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
3    then  $y \leftarrow z$   
4    else  $y \leftarrow \text{TREE-SUCCESSOR}(z)$   
5  ▷  $x$  is set to a non- $NIL$  child of  $y$ , or to  $NIL$   
   if  $y$  has no children  
6  if  $y.left \neq NIL$   
7    then  $x \leftarrow y.left$   
8    else  $x \leftarrow y.right$   
9  if  $x \neq NIL$   
10   then  $x.parent \leftarrow y.parent$   
11  ▷  $y$  is removed from the tree by manipulating  
   pointers of  $y.parent$  and  $x$   
12  if  $y.parent = NIL$   
13   then  $T.root = x$   
14   else if  $y = y.parent.left$   
15     then  $y.parent.left \leftarrow x$   
16     else  $y.parent.right \leftarrow x$   
17  ▷ If it was  $z$ 's successor that was spliced out,  
   copy its data into  $z$   
18  if  $y \neq z$   
19   then  $z.key = y.key$   
20   copy  $y$ 's data into  $z$   
21  return  $y$ 
```



TREE-DELETE

TREE-DELETE(T, z)

```
1  ▷ Determine which node  $y$  to splice out:  
   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
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12  if  $y.parent = NIL$   
13    then  $T.root = x$   
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15           then  $y.parent.left \leftarrow x$   
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   copy its data into  $z$   
18  if  $y \neq z$   
19    then  $z.key = y.key$   
20    copy  $y$ 's data into  $z$   
21  return  $y$ 
```

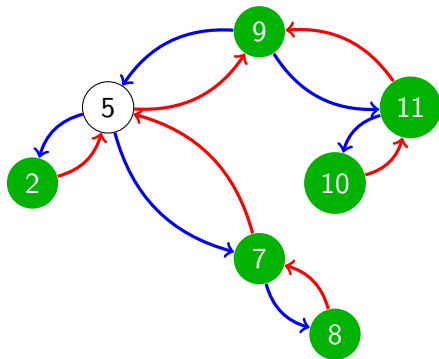


$T(n) = O(h)$ where h is the height of the tree

TREE-DELETE

TREE-DELETE(T, z)

```
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   either  $z$  or  $z$ 's successor  
2  if  $z.left = NIL$  or  $z.right = NIL$   
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6  if  $y.left \neq NIL$   
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18  if  $y \neq z$   
19    then  $z.key = y.key$   
20         copy  $y$ 's data into  $z$   
21  return  $y$ 
```



Note: In the 3rd edition of CLRS, they use a different implementation of TREE-DELETE

Binary Search Trees

- Almost all the operations can be performed in time $O(h)$ where h is the height of the tree (the exception is INORDER-TREE-WALK, which is $\Theta(n)$).
- If the tree contains n nodes then we have:
 - Worst-Case: $h = n - 1 = O(n)$
 - For linear chain of n nodes
 - Best-Case: $h = O(\log_2(n))$
 - For a complete binary tree with n nodes
 - Average-Case: $h = O(\log_2(n))$
 - After inserting the keys in a uniformly random order