Rail Crossing Violation Warning

Architecture and Design Specifications





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В	7/9/2020	Revision to Include Updated GNSS Solution	Several throughout the document	
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Chapter 1. Scope

This Architecture and Design Specification provides specifications for the Rail Crossing Violation Warning (RCVW) connected vehicle (CV) system and cloud-based messaging system. This document describes the architecture, i.e., the design and integration, of the components that comprise this system including methods of its installation.

The following interfaces are defined herein:

- Roadside-based subsystem (RBS) and vehicle-based subsystem (VBS)
- RBS and the existing highway rail intersection (HRI) controller preemption connection
- RBS and cloud-based subsystem (CBS)
- CBS and third-party stakeholders authorized subscribers

This document, together with the RCVW Concept of Operations (ConOps) and System Requirements Specification (SRS) documents, provides design details that fulfill the technical objectives of this system.

Chapter 2. Applicable Documents

<u>American Railway Engineering and Maintenance-of-Way</u> <u>Association (AREMA)</u>

 Communications & Signal Manual, Volume 1, Section 3 – Highway-Rail Crossing Warning Systems

United States Department of Transportation (U.S. DOT)

- "Core System of Concept of Operations (ConOps)," US Department of Transportation Research and Innovative Technology Administration ITS Joint Program Office
- DOT HS 812 068, "Human Factors for Connected Vehicles: Effective Warning Interface Research Findings"
- Manual on Uniform Traffic Control Devices (MUTCD) for Streets and Highways, 2009
 Edition Including Revision 1 and Revision 2 dated May 2012, US Department of
 Transportation Federal Highway Administration
- Rail Crossing Violation Warning Concept of Operations
- Rail Crossing Violation Warning System Requirements Specification
- Vehicle-to-Infrastructure (V2I) Hub Design Document, March 2017
- V2I Hub Interface Control Document (ICD), March 2017

Institute of Electrical and Electronic Engineers (IEEE)

- IEEE 802.11p, 2020 Standard for Information technology Telecommunications and information exchange between systems Local and metropolitan area networks – Specific requirements – Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications
- IEEE 1609, Standards for Wireless Access in the Vehicular Environment (WAVE)
 - IEEE 1609.02019 Standard for Wireless Access in Vehicular Environments (WAVE) Architecture
 - IEEE 1609.22016 Standard for Wireless Access in Vehicular Environments Security Services for Applications and Management Messages
 - IEEE 1609.32020 –Standard for WAVE Networking Services
 - IEEE 1609.42016 –Standard for WAVE Multi-Channel Operation
 - IEEE 1609.12-2019 Standard for Wireless Access in Vehicular Environments (WAVE) – Identifier Allocations

National Electrical Manufacturers Association (NEMA)

 NEMA TS 2-2021 v.03.08 Standard for Traffic Controller Assemblies with NTCIP Requirements

National Marine Electronics Association (NMEA)

NMEA-0183 v 4.11 Serial Data Networking

Society of Automotive Engineers (SAE)

- SAE J2735_202211 V2X Communications Message Set Dictionary
- SAE J2945_201712 Dedicated Short-Range Communications (DSRC) Systems Engineering Process Guidance for SAE J2945/X Documents and Common Design Concepts
- SAE J2945/1_202004 On-Board System Requirements for V2V safety Communications
- SAE J2945/2_201810 Dedicated Short-Range Communications (DSRC) Performance Requirements for V2V Safety Awareness
- SAE J2402_201001 Road Vehicles Symbols for Controls, Indicators, and Tell-Tales

Chapter 3. System Overview

System Overview and Context

The RCVW system will leverage the components and technologies developed under previous U.S. DOT CV deployment projects and will include additional capabilities to enhance the safety of CVs at HRIs.

The RCVW system will consist of three physically separate subsystems: A VBS installed in vehicles, an RBS interconnected with roadside infrastructure at HRIs and a CBS that interfaces to the RBS to provide HRI related information to authorized subscribers via the internet.

These subsystems will share some common hardware and software components, as well as include unique components. The RCVW system in its entirety will include the following components:

- Computing Platform (CP) The heart of the RCVW system is the CP, utilized in both the VBS and the RBS. The CP includes: a central processor, interfaces to the other supporting subsystems, and RCVW specific software applications.
- Data Communication Radios (DCR) DCRs will be utilized in both the VBS and the RBS. DCRs and associated protocols will provide low-latency wireless communications between the two systems.
- Data Communication Backhaul The RBS will be connected to the CBS via a wide-area network (WAN) that is likely to include one or more wireless links. This connection is not provided by the RCVW system.
- CBS The CBS will serve as an intermediary between the RBS and third-party application services to provide HRI activation status via the internet.
- Global Navigation Satellite System (GNSS) A multi-band GNSS module will be utilized
 within the VBS, to provide sub-meter positional accuracy. The positional corrections data
 required to attain this degree of accuracy will be supplied from the RBS via the DCRs.
 The corrections data will be either provided by a GNSS base station incorporated within
 the RBS architecture or received from the CBS¹via the RBS.
- Driver-Vehicle Interface (DVI) The RCVW interface to the CV driver will be utilized to provide the vehicle driver RCVW warnings and alerts.
- Software Applications Each of the RCVW subsystems will implement specific software applications based on their specific functionality.

¹ The RBS will have the on-site-configurable option of providing corrections data from a collocated GNSS base station for cases where communication to the CBS is: not possible (i.e., areas with no cellular or internet connectivity) or dependable.

The interface to information sources external to the RCVW system will be managed by the V2I Hub software. The V2I Hub software is a communication platform based on the SAE J2735 and SAE J2945 standards (see Applicable Documents section). This platform was developed for the DOT sponsored V2I Reference Implementation project to support infrastructure communications for CV applications. It consists of a set of integrated plugins to supply and receive information from the RCVW system components. The V2I Hub plugins used by the RCVW application include means to interface with the HRI controller, report HRI activation status, produce the HRI geometry message (MAP), report RBS operational status, facilitate connectivity with the DCRs, and manage GNSS location and its correction data.

The RCVW system leverages the V2I Hub design and documentation. Additional details on the V2I Hub along with supporting documentation and other reference materials are available at www.its.dot.gov/code

The transmission of the HRI activation status from the roadside to the vehicle is the primary use case for RCVW. However, other use cases for the system have emerged that include scenarios that will enhance road user awareness. When compared to the primary use case, these having less stringent latency and reliability requirements, lend themselves well to a cloud-based design. The CBS has been added to the RCVW architecture as an easily accessible way to facilitate communication of HRI information to external applications that may implement these scenarios, many of which are documented in the RCVW System Requirements Specification.

A more descriptive overview of the RCVW System can be found in the "Rail Crossing Violation Warning – Concept of Operations" document.

Chapter 4. System Architecture

This chapter provides an overall system description of the physical design of the RCVW system including the logical organization for each of the hardware used in the system and their corresponding subsystem connections.

RCVW System Architecture Overview

A high-level architectural view of the RCVW System is shown in Figure 1 below. Since the RCVW system was developed on top of the existing V2I Hub software and hardware that were completed on the Integrated V2I Prototype (IVP) and V2I Hub projects, the design of the RCVW system is constrained to that of the system created by these projects. The V2I Hub plugin architecture can be found in the referenced V2I Hub Design document and V2I Hub Interface Control Document (ICD) document. The orange-colored components are existing devices which when interfaced with the RBS, VBS and CBS as shown comprise the RCVW system. Hardware components, their functionality, inputs, outputs, software, communications, and interface details are provided in the following sections of this document.

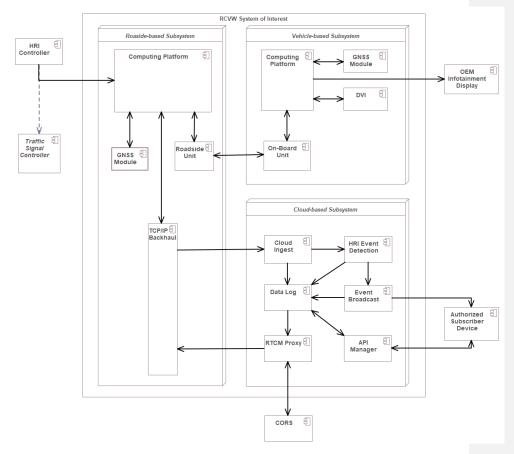


Figure 1. RCVW System Architecture Overview

Computing Platform

Identical CPs will be employed by the VBS and RBS. Both CPs will employ the V2I Hub software developed under the IVP and V2I Hub projects. The V2I Hub software allows plugins to share information between each other in a V2I Hub system and broadcast information via Cellular Vehicleto-Everything (C-V2X) radios. Utilizing the V2I Hub will facilitate a reduction in deployment time and effort through the reuse of plugins already developed for other projects. The VBS will also use the V2I Hub as its main communication hub to receive messages from the RBS and make those messages available to other RCVW VBS plugins. The RCVW plugin on the VBS contains an application to use

inputs supplied by other plugins, including J2735 MAP and Signal Phase and Timing (SPaT), and GNSS to determine when an RCVW warning, or an inform alert, will be presented.

Operating System

The Operating System (OS) selected for the CPs is Linux as it allows a flexible platform for product development due to it being open source and easily customized. In addition to the OS layer, the RCVW will be developed using a set of loosely coupled plugins which will be responsible for registering with the V2I Hub. As part of registration, a plugin will notify the hub which message types it will produce and transmit. Each plugin will also identify which message types it will request to receive from the V2I Hub. Plugins can be either message producers, message consumers or both. A high-level overview diagram of the V2I Hub platform is presented below in Figure 2.

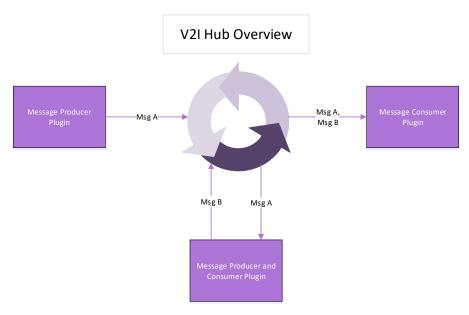


Figure 2. V2I Hub Overview

Source: Battelle

Data Communication Radios

The C-V2X radio transmits and receives messages in accordance with the IEEE 802.11p, 1609.2, and J2735 message standards. Communications from the Roadside Unit (RSU) will be User Datagram Protocol (UDP) immediate forward-raw data payload messages as defined in the RSU 4.1 specification. A sample MAP message is shown below in Figure 3. Table 1 shows a listing of the RCVW radio messages broadcasted by the RBS via the C-V2X radios.

The RCVW system will utilize SPaT, MAP and Radio Technical Commission for Maritime Services (RTCM) corrections messages from the J2735 message set. These messages will be generated by

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the RBS and broadcasted by a C-V2X radio. The MAP messages will contain the intersection geometry including the vehicle lanes and tracked vehicle lanes (train tracks) for the HRI. These messages will be received and used by the VBS to fix its location when approaching an HRI (i.e., the HRI Hazard Zone or HRI Approach Zone). The SPaT message will contain the status of each lane in the HRI. For example, at a simple HRI with vehicle lanes crossing a train track and no roadway traffic intersection present, when the HRI is not active, the status of the vehicle lanes will be 'permitted movement allowed' and the tracked vehicle lane will be 'stop and remain.' When the HRI is active, the status of the vehicle lanes will be 'stop and remain' and the tracked vehicle lane will be 'permitted movement allowed.' SPaT information will be used by the VBS to determine HRI activation status-based messaging. The RTCM corrections messages will be used for deriving the VBS position solution. For more detail on the format of these messages, refer to the 2020 SAE J2735 DSRC Message Set Dictionary.

Version=0.5

Type=MAP

PSID=0xBFF0

Priority=7

TxMode=CONT

TxChannel=172

TxInterval=0

DeliveryStart=

DeliveryStop=

Signature=True

Encryption=False

Payload=3081DE8001108109000000000000000100830101A481C63081C3800102A11BA119A01080 0418054A3B8104CE3585DF82020D0681020040820102820207DB830306162184027D00850102A61 080041804FD888104CE35C39E82020CF68702016E880100A93C303A80020040A234A032A3300404 1C6BCDB304040420EC2B0404FAC8EC280404EF79F1210404EBC4FD660404E65310690404F9621 AA50404095B3F31AA3AA0383006A004800235293006A0048002010C3006A004800231383006A00 4800222113006A0048002010C3006A004800231483006A0048002221185021001

Figure 3. Map Data File to be Sent over UDP

Source: usdot-rsu-specification-4-1_final_r1.pdf, version 1, October 31, 2016, section 3.4.4

Table 1. RCVW System Message Types

Common Message Name	PSID ¹	Application	SAE J2735 message
Signal Phase and Timing (SPaT)	0x8002	RCVW	MSG_SignalPhaseAndTiming ²
MAP message (Geographic Intersection Description)	0x8002	RCVW	MSG_MapData
RTCM correction	0x8000	RCVW	MSG_RTCMcorrections

GNSS Receiver

The GNSS receiver within the VBS provides the vehicle's location and speed and sends this information to the CP for the generation of in-vehicle alerts and warnings. The GNSS module interfaces with the CP to gather and process the received RTCM corrections to generate accurate vehicle speed and location (within 1.5-meter accuracy). The RBS can be configured to create its own RTCM corrections via the addition of a GNSS module. A GNSS module will function as a base station generating RTCM messages. These messages will then be communicated to the CP at the RBS and subsequently broadcast via the C-V2X radio.

Driver Vehicle Interface

The Driver Vehicle Interface (DVI) is the how the RCVW communicates warnings and alert messages to the vehicle driver. For example, an inform alert is displayed and announced if a "known" RBS is not operational/broadcasting. Similarly, a warning is displayed and announced if vehicle is on course to violate the HRI and/or the roadway vehicle is stopped within the HRI Hazard Zone.

TCP/IP Backhaul

The Transmission Control Protocol/Internet Protocol (TCP/IP) backhaul is how the RBS will exchange information with the CBS. It will be a low latency high-speed internet connection ethernet / WiFi. Similar to the communication between the RBS and the VBS, the RBS will broadcast both MAP and SPaT messages to the CBS following the SAE J2735 standard. The MAP message will contain the intersection geometry including the vehicle lanes and tracked vehicle lanes for the HRI. The SPaT message will provide the status of each lane in the MAP message along with the corresponding HRI ID. RBS system diagnostic messages will also be transmitted to the CBS as a result of periodic system self-checks. The CBS will transmit RTCM SC-104 correction messages to the RBS which will subsequently be relayed to the VBS following the J2735 standard.

Error! Reference source not found. presents the different messages that will be broadcasted over the backhaul.

¹ PSID: Provider Services Identifier

² J2735 script uses SPAT versus SPaT

Table 2. Message Exchange over the Backhaul

Common Message Name	Message Standard	Sent by	Received
Signal Phase and Timing (SPaT)	J2735	RBS	CBS
MAP message (Geographic Intersection Description)	J2735	RBS	CBS
RTCM correction	RTCM SC-104	CBS	RBS
RBS System Diagnostics	TCP/IP	RBS	CBS

Cloud Ingest

Cloud service providers generally have advanced capabilities for high-volume data ingest, but many are tailored to Internet of Things (IoT) events that do not require real-time upload. For RCVW, however, the expectation is that the messages will be pushed to the cloud at the same frequency as they will be transmitted to the VBS. That is once per second (1 Hz) for MAP and ten times per second (10 Hz) for SPaT. The RCVW cloud provider offers two potential solutions for rapid incoming messages: Service Bus and Event Hubs. The Service Bus offering uses Advanced Message Queuing Protocol (AMQP) while Event Hubs use partitions like Apache Kafka. Benchmark testing shall be used to determine the more appropriate solution for RCVW.

HRI Event Detection

Cloud based functions are scalable serverless solutions for creating state-driven programs in the cloud. Each incoming SPaT message will trigger a function execution for the specified HRI. When the HRI activation status transitions from inactive to active, or vice versa, the function will create a new HRI Event message. Depending on System Diagnostic messages, the CBS may also generate System Fault events for that HRI.

Data Log

For prototype purposes, the RCVW CBS will log every MAP, SPaT, and RBS operational Status message that it receives as well as every Event Message that it generates into a cloud-based data store. Event Messages will be available in the data log for use in subscriber applications.

Event Broadcast

HRI Event messages including Signal Status and System Faults, that are produced by the CBS will be posted to an Event Streaming Endpoint to support the pushing of messages to a subscriber, for example a TMC, listening for events. In Azure this is facilitated by the Azure Service Bus.

RTCM Proxy

For every received MAP message, which includes the GNSS location of the HRI, the RCVW CBS will initialize a proxy service that uses the Networked Transport of RTCM over IP (NTRIP) protocol to obtain GNSS corrections from a networked base station within the Constantly Operated Reference Station (CORS) network. These corrections are relayed back to RBS over the TCP/IP backhaul and ultimately broadcast over the RBS DCR. It is important to note that a failure of the proxy service or the backhaul network may cause the RCVW VBS to report an error state due to missing corrections.

Application Programming Interface Manager

HRI activation status information retrieval is best implemented through the existing cloud provider's Application Programming Interface (API) management capabilities, allowing for simplified development for the subscriber applications.

Chapter 5. Component Architecture

This section contains a detailed description of each system component along with the software and hardware that will be either developed or modified to support the determination of RCVWs for connected vehicles.

Vehicle-based Subsystem

The VBS is a collection of hardware and software for the purpose of alerting/warning the connected vehicle driver of imminent rail crossing violations.

Hardware

A hardware block diagram for the RCVW VBS is shown in Error! Reference source not found.4. This figure identifies the main components and the associated interconnects that are required.

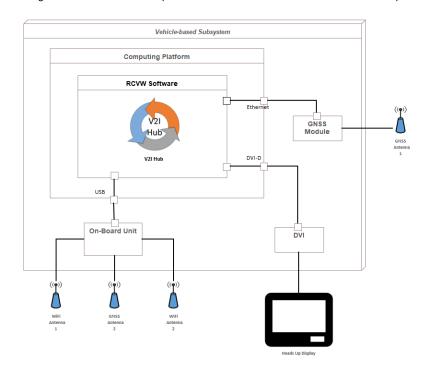


Figure 4. RCVW VBS Block Diagram

Source: Battelle

The hardware for the VBS will consist of the following:

- Computing Platform
- C-V2X Radio
- C-V2X Antenna 1 and C-V2X Antenna 2 and GNSS Antenna
- GNSS Receiver and Antenna
- DVI

Supporting Equipment

OEM Infotainment System or Heads-up Display

Interfaces

- Computing Platform
- Serial interface to C-V2X On-Board Unit (OBU) DCR
- Ethernet (TCP/IP) interface to GNSS device
- High-Definition Multimedia Interface (HDMI) to Driver Visual Interface / OEM Infotainment System

Computing Platform

The CP will serve as the central hub for all RCVW activity on the CV. This device will communicate with the other RCVW subsystems as well as the external equipment on the CV. The CP will contain the RCVW algorithm which will process all the information it receives from the RBS and the GNSS module to process alerts and warnings to the vehicle driver. The hardware of the CP was chosen from existing commercial options that offer rugged systems specifically designed for advanced vehicle applications. With the desire to use a common CP for both RBS and VBS RCVW systems, the basic requirements of the hardware were to support high-speed Ethernet, TIA/EIA-422, Digital I/O, USB, WiFi and DVI connectivity. While there are existent CPs that integrate C-V2X radio communication along with cellular networking and GNSS, the final design was simplified by decoupling the necessary wireless communication, namely GNSS and C-V2X radio, into external hardware models. The full specifications of the CP can be found in Appendix B.

GNSS

The GNSS module will be used to determine the position of the VBS. The position accuracy of the GNSS must be sufficient to allow placement of the VBS within the lane information provided by the J2735 MAP message. The requirements document specifies a GNSS accuracy of +/-1.5 meters in order to achieve lane-level accuracy for the VBS. The VBS will use an uBlox ZED-F9R RTK multiband GNSS device which integrates the GNSS measurements with an inertial measurement unit, wheel ticks and RTCM corrections (broadcasted from the RBS) to enhance the positioning resolution. The GNSS device will interface with the CP via a Universal Serial Bus (USB) connection. The full specifications of the GNSS devices can be found in Appendix D.

Data Communication Radio

The VBS will use a C-V2X radio unit to receive the J2735 messages from the RBS. The Danlaw Autolink C-V2X OBU device was selected from several commercially available devices. This unit allows the broadcast of vehicle-to-everything safety communications over the upper 30 megahertz of the 5.9 GHz band and interfaces with the CP via its ethernet port. The unit is equipped with a WiFi, Bluetooth and Long Term Evolution (LTE) interfaces for future functionality expansion. The full specifications of the Data Communication Radios can be found in Appendix C.

Driver Visual Interface

The DVI for displaying and annunciating alerts and warnings will be a commercial-off-the-shelf external LCD display with speakers. The speakers will have adjustable volume allowing the driver to hear the aural annunciations inside a vehicle above ambient noise. A HDMI/DVI-D cable will be used as an interface between the DVI and the CP. The DVI will be receiving the information via its HDMI port and the CP sending it via its DVI-D port.

OEM Infotainment System

The OEM (Original Equipment Manufacturer) Infotainment System is an in-vehicle system produced by the vehicle manufacturer that controls various non-safety critical functions like the radio and climate control. Examples of infotainment systems are the Ford Sync system, Chrysler UConnect, Android Auto and Apple CarPlay. Interfaces to each OEM's infotainment system is vehicle specific. In cases where using an infotainment system is not possible or otherwise impractical, a custom heads-up display will be used.

Software

This RCVW application will be designed to interface with the V2I Hub software platform. The logic required to perform the needed functions will be developed as a set of plugins. Each plugin will perform a single discrete function. The diagram in Figure 5, illustrates the plugins, including how they interact with RCVW system components.

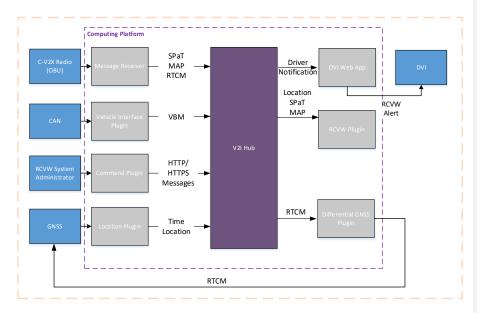


Figure 5. VBS Software Design

Table 3 below, provides a brief description of each plugin and its associated data exchange. The internal format of the messages is described in the IVP Design document's IVP Java Script Object Notation (JSON) Message Structure section.

Table 3. RCVW System VBS V2I Hub Plugins

Plugin	Description	Plugin Input	Plugin Output
Location Plugin	This plugin will interface with the GNSS hardware and provide the current location and time information to the rest of the system.	Output stream from GNSS receiver	Location Message
Message Receiver Plugin	The Message receiver plugin relays messages received via the C-V2X radio to the rest of the system.	Messages from C-V2X Radio	SPaT Message MAP Message RTCM Message
DVI Web App	The Driver Notification web application will alert/warn the vehicle driver via visual and audible cues.	RCVW Inform Alerts Clear HRI Warning RCVW System Failure	Suitable audio output annunciated via DVI speakers Events shown on driver display
Vehicle Interface Plugin	The Vehicle Interface plugin will connect to the vehicle's controller area network (CAN) to access Vehicle Basic Messages (VBM).	OBD-II messages	VBM message
RCVW Plugin	The RCVW Plugin processes information from VBS support plugins and the CP to determine if and when to issue driver warnings and alerts.	SPaT Message MAP Message Location Message	Driver Notification Message
Command Plugin	Interfaces with V2I Hub administration portal.	HTTP/HTTPS messages	N/A
Differential GNSS Plugin	This plugin receives J2735 RTCM correction messages and passes the correction information directly to the GNSS receiver.	RTCM Message	N/A

Figure 6 below shows the applications and their data flow inside the RCVW VBS. To simplify the diagram, the V2I Hub core message router is not shown. Plugins that produce and send messages to V2I Hub will have a line to the consumer of those messages. Additional details regarding the RCVW application for the VBS are described below.

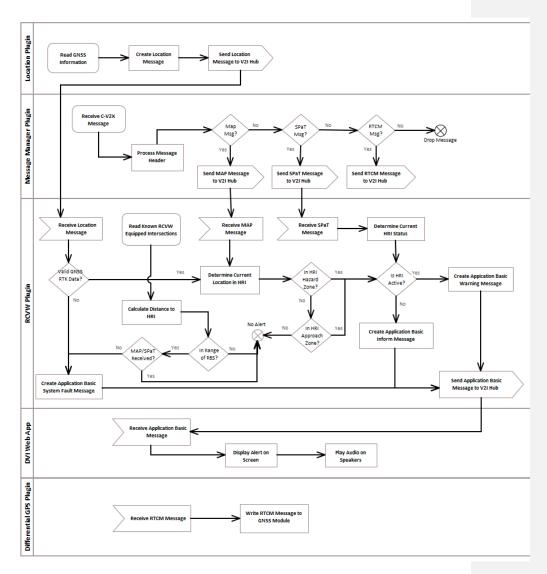


Figure 6. RCVW VBS Application Data Flow

VBS Support Plugins

The RCVW application plugin is supported by a suite of plugins designed to interface with the V2I Hub platform. The Message Receiver plugin acts as the interface to the C-V2X radio and converts the received J2735 messages into a generic format used by the V2I Hub platform. The differential GNSS plugin will correct the GNSS position using the correction data from the RTCM J2735 message. The

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DVI Web application is responsible for both issuing visual and audible warnings and alerts to the driver and uses notification outputs from the RCVW plugin application to do so.

Vehicle Interface Plugin

The Vehicle Interface Plugin will notify the driver with an alert when the VBS is on track to intercept an HRI and is within nominal C-V2X reception range of a "known" RBS-equipped HRI and has not received any messages. The alert from the Vehicle Interface Plugin will notify the driver that the RBS is not operating properly, and to proceed with caution.

RCVW Application Plugin

The RCVW application plugin continuously executes the RCVW algorithm and actively monitors MAP messages received. Based on the receipt of the MAP message from the RBS and the current vehicle location provided by the Location plugin, the RCVW application plugin will determine if a vehicle is approaching an HRI, and more importantly, its location relative to the HRI. When it has been determined that a vehicle is approaching the HRI, the application plugin will receive the HRI Hazard Zone geoSPaTial information and compute the HRI Approach Zone for a vehicle based on typical operator response time, vehicle characteristics, and instantaneous location and speed. The safestopping distance derived by the HRI Approach Zone algorithm ensures that the driver will be provided a timely warning in the event of a predicted rail crossing violation. The RCVW application plugin will monitor the received SPaT messages for the HRI Active status. When a vehicle is within the HRI Approach Zone of an active HRI, the RCVW application plugin will determine if an alert/warning is needed to avert a potential RCVW.

Roadside-based Subsystem

The RBS will wirelessly transmit to approaching vehicles specific details regarding the physical layout of the intersection, HRI activation status, and GNSS corrections. Additionally, the RBS will transmit over TCP/IP to the CBS the layout of intersection, HRI activation status, and its current operational status.

Hardware

A hardware block diagram for the RCVW RBS is shown in **Error! Reference source not found.**This figure identifies the main components and the associated interconnections that are required.

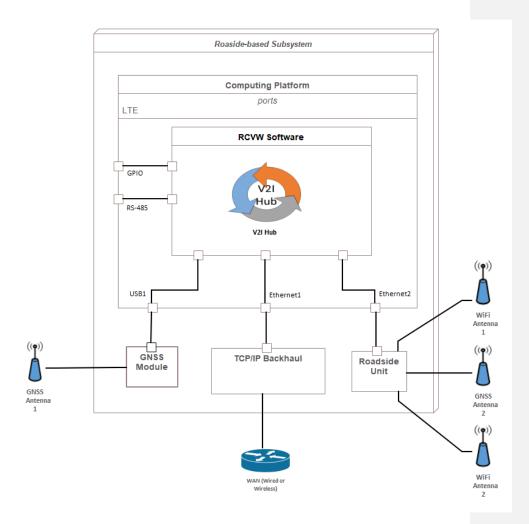


Figure 7. RBS Block Diagram

The RBS will consist of the following hardware:

- Computing Platform
- C-V2X Radio
- C-V2X Antenna 1 and C-V2X Antenna 2 and GNSS Antenna
- **GNSS Receiver and Antenna**
- External TCP/IP backhaul to WAN

Interfaces

- Computing Platform
 - o Interface(s) to receive HRI activation status
 - o Bi-directional interface(s) with IEEE-1570 device (if present)
 - Serial interface to GNSS device
 - Ethernet interface to C-V2X RSU communication radio
- TCP/IP Backhaul
 - Wireless interface to WAN
 - Wired interface to WAN

Computing Platform

The CP will receive, integrate, generate and distribute the appropriate RCVW related information to both the VBS and the CBS. Specifically, the RBS CP will receive the HRI activation status information from the HRI controller as well as receiving the RTCM corrections from the local GNSS base station or the CBS to create the C-V2X data messages to be broadcasted over the DCR. The RBS CP hardware will be an instance of the CP described in the Vehicle-based subsystem section.

GNSS

The GNSS module located at the RBS will serve as a local RTK base station to generate RTCM corrections. These corrections will be interfaced to the CP to be incorporated into a message that can be broadcasted over the C-V2X DCRs and to be used by the VBS to enhance the accuracy of its location. The RBS will use an uBlox ZED-F9P RTK multi-band GNSS base station. The device will interface with the CP via a USB connection. The full specifications of the GNSS devices can be found in Appendix D.

Data Communication Radios

The RBS will use a C-V2X RSU to transmit the J2735 messages generated by the RBS CP. The Danlaw Routelink C-V2X RSU was selected. Similar to the OBU previously described, the RBS RSU uses the upper 30 megahertz of the 5.9 GHz band to broadcast the HRI related information and interfaces with the CP via a Power Over Ethernet (POE) port. The full specifications of the Data Communication Radios can be found in Appendix C.

Train Detection Signal

The train detection system is the source of the HRI activation status within the RCVW SPaT message. The HRI Active message is initiated by the preemption/train detection signal from the HRI controller.

The suggested connectivity is the built-in DB-15 Data Input-Output (DIO) connector on the CP. Pins 1,2, 10 and 11 are discrete inputs, pin 3 and pin 9 are grounds. Input voltages are between 5-24 V for a logic high and 0-1.5 V for logic low. By wiring the preemption signal to Pin 1 and ground to Pin 9, the HRI status plugin can be set up to detect the train by voltage on Pin 1. Note that the configuration of the pin is zero-based in software (i.e., 0 is the first pin).

The second of two possible configurations for train detection is the IEEE 1570 protocol over a serial TIA/EIA-422 interface. To do this, the RBS system must be connected to an IEEE 1570 device using a serial cable connected to the COM-1 port on the CP. This port should be configured to use TIA/EIA-422 protocol. The HRI status plugin port name must be configured with the serial device name for

COM-1, which should be "/dev/ttyS0". If the port name is left empty, the system assumes that voltage detection is being used at the specified pin. If no device is connected at the COM-1 port or at the DIO pin 1, then the RBS system will always assume that the HRI is active.

Software

The RBS software is designed to provide MAP, SPaT, and RTCM messages so that the VBS may determine if and when inform alerts and/or warnings will be presented to the driver.

The RBS software will be designed to interface with the V2I Hub software platform as a set of plugins. Whenever practical, common functionality between the two subsystems will utilize the same plugins. Figure 8 provides a block diagram showing the design of the software.

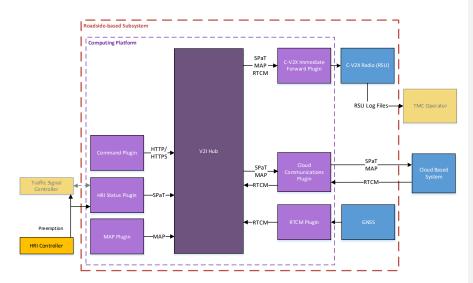


Figure 8. RBS Software Design

Source: Battelle

The core plugins in the RBS provide situational information for the intersection. Both the MAP and SPaT plugins were developed for the V2I Hub project and will be used to the maximum extent practical. Required modifications to the SPaT system include adding functionality to monitor the preemption signal status in order to set the lane status to stop and remain so when the HRI is active. The remaining plugins will support the task of providing the other required information. Table 4 below outlines each plugin to be used in the RBS along, with the messages produced and consumed by each plugin.

Table 4. RCVW System RBS V2I Hub Plugins

Plugin	Description	Plugin Input	Plugin Output
MAP Plugin	The MAP plugin will be responsible for generating the appropriate MAP message for the specific intersection.	HRI geometry loaded from filesystem	MAP Message
HRI Status Plugin	The HRI Status plugin is responsible for interfacing with the HRI controller and generating HRI Activation Status messages. The HRI Status plugin is also responsible for interfacing to the IEEE-1570 device when present.	Output from HRI controller	SPaT Message
C-V2X Immediate Forward Plugin	The C-V2X Immediate forward plugin is responsible for taking internal messages flagged for transmission and ensuring they are sent out via the C-V2X radio.	SPaT Message MAP Message	Input to C-V2X radio to send appropriate message
Location Plugin	This plugin will interact with a GNSS receiver and supply the system with location and time information.	GNSS NEMA Sentences	Time and Location Information
Command Plugin	Interacts with V2I Hub administration portal.	HTTP/HTTPS messages	N/A
RTCM Plugin	The RTCM Plugin is responsible for receiving GNSS corrections from the CBS or the RBS GNSS base station and creating the RTCM correction message	RTCM	RTCM Message

More information and details on the dataflow of these plugins can be found in Chapter $\bf 6$.

RCVW Cloud component

The CBS will provide an alternative communication mechanism to potentially relay HRI related information to drivers of vehicles that are not equipped with CV technology, as well as any authorized subscribers. The data will be shared between disjointed devices through an intermediary in the cloud facilitating connectivity through a publicly accessible virtual infrastructure.

The CBS will monitor the activation status of the HRIs that are registered in the cloud by means of periodic V2X messages pushed from RBS-equipped HRIs. The HRI activation status will be available for any partner application service to consume. A block diagram of the CBS is provided in Figure 9.

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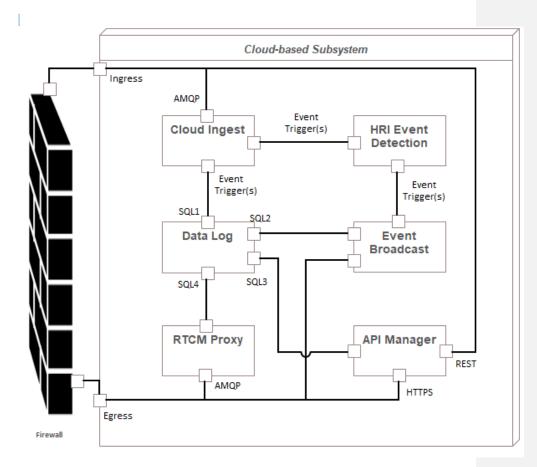


Figure 9. Cloud Based Subsystem Block Diagram

Cloud Software

The CBS component is designed to run within a commercially available cloud environment and compatible with any cloud platform, provided that the following basic set of requirements is established:

- Built-in network firewall
- High-volume, low latency ingest queues
- Automatic function invocation
- Relational data storage
- Easy to create and highly available web-based API support

The MS Azure cloud environment has been selected for the prototype implementation of the CBS due to its native support of each of these features. However, each CBS component is explicitly containerized to support individual deployment independent of the cloud provider. Some of these containers, such as the API Manager, run as a server process supported by Azure Container Apps that directly responds to external requests. Several others, however, execute as short bursts of processing supported by Azure Function Apps in response to system events such as an incoming message.

Cloud Ingest

The key role of the CBS implementation is to receive messages from the RBS as quickly and reliably as possible. The V2I Hub utilizes the Apache Kafka message streaming service for internal communications. Therefore, the Azure Event Hubs are highly attractive for their native support of Kafka streaming and similar constructs such as partitions.

Data Log

While cloud systems provide many advanced data storage options, the nature of the data being stored and shared as part of the RCVW CBS lends itself well to a traditional Structured Query Language (SQL) database. However, the underlying relational database management system (RDBMS) for use in the cloud should consider distinctive features such as native support for JSON in order to log V2I Hub messages without defined schema.

HRI Event Detection

This cloud native code will be invoked automatically upon a new SPaT message ingest. Since the SPaT message signifies the HRI identifier as well as the current state of that HRI, the function must also know the previous state of each HRI. This data must be "persisted" between received messages. Only when the HRI state is different than the persisted value will an HRI Status Event be created. Most other interesting HRI Events include fault situations such as lost connectivity to the RBS, failed RBS software, or message delivery rates dropping below pre-determined thresholds. All new HRI Events are stored in the data log and sent to a broadcast queue.

HRI Event Broadcast

This function will empty out the broadcast queues to the appropriate Event Streaming Endpoint. This is used for scenarios that are only interested in the corresponding HRI preemption signal status and wish to be notified of changes to that status when they occur. In order for easy coding at the subscriber end, the underlying messaging transfer technology will follow the Advanced Message Queuing Protocol (AMQP)¹, which is a publish/subscribe data distribution model.

API Management

The API Management component provides an HTTP-based API that can be used by authenticated subscribers to query data from the data log. The goal is to utilize as many native features in the MS Azure as possible while conforming to the Representational State Transfer (REST) web standard for

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Commented [A2]: AMQP link to white paper

¹ AMQP is commonly used for cloud publish/subscribe architectures and is supported by the Microsoft Azure Service Bus. See the *Cloud Access Performance Testing* technical memorandum for details on the assessment of select cloud supported technologies.

APIs. The Azure Data API Builder (DAB) product is a perfect fit for RCVW since it creates a RESTful API directly from a Azure SQL Database table or view. Therefore, the entirety of the HRI Data Log can be exposed through a RESTful query. RCVW does, however, enhance the default capabilities of DAB by including verification of subscription through the use of a unique identifier.

RTCM Proxy

Given a known HRI location, this function will make an HTTPS connection to pre-configured CORS NTRIP casters on behalf of the RBS. The NTRIP protocol requires a GNSS GPS Fixed Data (GGA) National Marine Electronics Association (NMEA) string to seed the location, therefore the RTCM Proxy must generate its own GGA string based on the known location of the HRI. The returned RTCM corrections are forwarded directly to the RBS as a V2I Hub message that will then be picked up by the RTCM plugin on the RBS for forwarding out of the radio.

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This section contains a detailed description of each system component along with the software and hardware that will be either developed or modified to support the determination of RCVWs for connected vehicles.

Vehicle-based Subsystem

The VBS is a collection of hardware and software for the purpose of alerting/warning the connected vehicle driver of imminent rail crossing violations.

Hardware

A hardware block diagram for the RCVW VBS is shown in Figure 4. This figure identifies the main components and the associated interconnects that are required.

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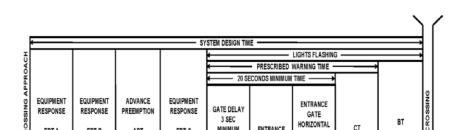
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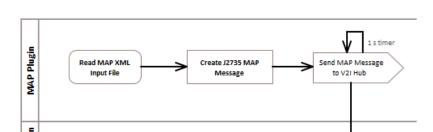
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A hardware block diagram for the RCVW VBS is shown in Figure 4. This figure identifies the main components and the associated interconnects that are required.

U.S. Department of Transportation, Federal Railroad Administration

RCVW Architecture and Design Specifications – Draft 135

100 ms timer **HRI Status Plugin** Read Signal Create J2735 SPaT Send SPaT Message to Preemption Message V2I Hub

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RCVW Architecture and Design Specifications – Draft 148

RTCM Plugin Send RTCM Read RTCM Create J2735 RTCM Message to V2I Hub .=

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Chapter 6 Component Architecture

This section contains a detailed description of each system component along with the software and hardware that will be either developed or modified to support the determination of RCVWs for connected vehicles.

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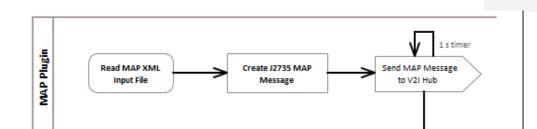
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RCVW Architecture and Design Specifications – Draft 159



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RCVW Architecture and Design Specifications – Draft 166

100 ms timer **HRI Status Plugin Read Signal** Create J2735 SPaT Send SPaT Message to Message

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RCVW Architecture and Design Specifications – Draft 178



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RCVW Architecture and Design Specifications – Draft 190

Authorized Subscriber Create SQL Receive API Send SQL Query Request

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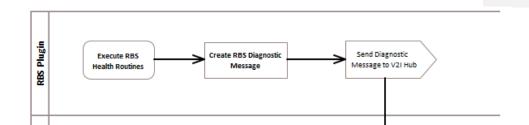
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RCVW Architecture and Design Specifications – Draft 195



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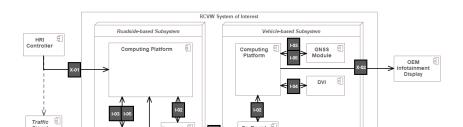
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RCVW Architecture and Design Specifications – Draft 206



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Appendix A.Terms, Definitions, and Acronyms

AASHTO American Association of State and Highway Transportation Officials

AMQP Advanced Message Queuing Protocol API Application Programming Interface

App Application

APT Advance Preemption

AREMA American Railway Engineering and Maintenance-of-Way Association

вт **Buffer Time**

CAN Controller Area Network **CBS** Cloud-based Subsystem **CONOPS** Concept of Operations

CORS Constantly Operated Reference Station

CP Computing Platform CT Clearance Time CV Connected Vehicle

C-V2X Cellular Vehicle-to-Everything **DCR** Data Communication Radio

DIO Data Input-Output

DOT Department of Transportation

DVI Driver-Vehicle Interface

EIA Electronic Industries Association **ERT Equipment Response Timing**

GNSS Global Navigation Satellite Systems

GGA GPS Fixed Data2

GPIO General Purpose Input/Output **GPSD** Global Positioning System Device **HDMI** High-Definition Multimedia Interface

HRI Highway Rail Intersection **HTTP** Hypertext Transfer Protocol

HTTPS HTTP Secure

ICD Interface Control Document

IoT Internet of Things **IEEE** Institute of Electrical and Electronics Engineers

ΙP Internet Protocol

IVP Integrated V2I Prototype **JSON** JavaScript Object Notation LCD Liquid Crystal Display LTE Long Term Evolution MAC Medium Access Control

MAP **HRI** Geometry

MQTT Message Queuing Telemetry Transport

MSG Message

MUTCD Manual on Uniformed Traffic Control Devices **NEMA** National Electrical Manufacturers Association **NMEA** National Marine Electronics Association **NTRIP** Networked Transport of RTCM over IP OBU On-board Unit (DSRC radio in VBS) **OEM** Original Equipment Manufacturer

os Operating System **PHY** Physical Layer

POE Power Over Ethernet **PSID** Provider Services Identifier

RBS Roadside-based Subsystem (an RCVW subsystem) **RCVW** Rail Crossing Violation Warning (warning message)

RDBMS Relational Database Management System

RS-485 Recommended Standard - 485 **RSU** Roadside Unit (DSRC radio in RBS)

RTCM Radio Technical Commission for Maritime Services

RTK Real-time Kinematics

SAE Society of Automotive Engineers SDK Software Development Kit **SPaT** Signal Phase and Timing SQL Structured Query Language **TBD** To Be Determined/Designated

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TCP/IP Transmission Control Protocol/Internet Protocol TIA Telecommunications Industries Association

TMC Traffic Management Center **TSC** Traffic Signal Controller **UDP** User Datagram Protocol

U.S. DOT United States Department of Transportation

USB Universal Serial Bus

VBS Vehicle-based Subsystem (an RCVW subsystem)

V2I Vehicle-to-Infrastructure **VBM** Vehicle Basic Message WAN Wide Area Network

WAVE Wireless Access in Vehicular Environments

WiFi Wireless Fidelity

XML Extensible Markup Language

Appendix B. Neousys Intel CP Specifications

	•		
CPU			
CPU Type	Intel® Core™ i5-9500E		
CPU Cores	6		
CPU Clock (Max)	3 GHz		
Memory			
RAM	16GB DDR4-2666		
Networking			
Ethernet	10/100/1GB		
Ethernet	10/100/1GB		
CAN	1x isolated CAN 2.0 port		
Power			
DC Input	8~35V DC		
Input Connector	3-pin pluggable terminal block for DC input (IGN/ GND/ V+)		
OS Support			
Linux	Ubuntu		
Inputs/Outputs			
Isolated Analog/Digital/Discrete inputs and outputs	4x isolated DI 4x isolated DO		
POE	4x IEEE 802.3at (25.5W) Gigabit PoE+ ports by Intel® I210 - M12 x-coded connector (Nuvo-7200VTC) - RJ45 connector (Nuvo-7204VTC) 8x IEEE 802.3at (25.5W) Gigabit PoE+ ports by Intel® I210 - RJ45 connector (Nuvo-7208VTC)		
Storage			
SATA	2x hot-swappable HDD tray for 2.5" HDD/ SSD installation, supporting RAID 0/1		
mSATA	1x full-size mSATA port (mux with mini-PCle)		
M.2	1x M.2 2280 M key socket (PCIe Gen3 x4) for NVMe SSD or Intel® Optane™ memory installation		
Audio			
Headphone	3.5 mm headphone jack		
Microphone	Analog MIC		
Connectivity	Connectivity		
	-		

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Serial	2x software-programmable RS-232/422/485 ports (COM1/ COM2) 2x RS- 232 ports (COM3/ COM4)	
SD	x1 microSD	
USB	4x USB 3.1 Gen2 (10 Gbps) ports	
	4x USB 3.1 Gen1 (5 Gbps) ports	
Environmental Specifications		
Operating	-25°C ~ 70°C	
Temperature	-40°C ~ 70°C (optional)	
Storage Temperature	-40°C ~85°C	
Humidity	10%~90%, non-condensing	
Vibration	Operating, 5 Grms, 5-500 Hz, 3 Axes (w/ mSATA SSD, according to IEC60068-2-64)	
Shock	Operating, 50 Grms, Half-sine 11 ms duration (w/ mSATA SSD, according to IEC60068-2-27)	
EMC	E-Mark for in-vehicle applications CE/ FCC Class A, according to EN 55032 & EN 55024	

Appendix C. C-V2X Radio Specifications

Danlaw RouteLink – On Board Unit		
Physical Interfaces	1 x CAN 1 x LIN 2 x analog inputs	
RF Connectors	2 x FAKRA (C-Key) V2X 1 x FAKRA (Z-Key) GNSS	
Connectors	20-pin interface connector USB micro-USB Ethernet	
Processors	Main - Dual core @ 800 MHz, Secondary – supervisor and vehicle interface	
Memory	8 GB eMMC, 1 GB RAM	
V2X Radios	Single Channel with diversity	
Temperature	-25°C ~ 58°C	
Danlaw RouteLink – R	oadside Unit	
Interfaces	2x C-V2X Radios 1x WiFi 1x Ethernet 1x Cellular	
Antenna Connectors	2 N-Type Female C-V2X	
Processor	800 MHz, iMX6 Dual Core	
Memory	1 GB DDR RAM	
Temperature	-34°C to +74°C	
Standard Support	IEEE 802.11p, IEEE 1609.2, IEEE1609.3, IEEE 1069.4, SAE J2735, NTCIP, SNMP, USDOT v4.1 RSU specification	
Power	IEEE 802.3at POE	

Appendix D. GNSS devices

UBLOX ZED-F9P Eval US – RSU Unit		
Frequency	1.2276GHz ~ 1.575GHz	
Data Rate (Max)	921.6 kbps	
Modulation	Beidu Galileo GLONASS GNSS GPS	
Protocols	NMEA UBX RTCM	
Voltage Supply	3.3V	
Operating Temperature	-40°C ~ 85°C	
Interfaces	Micro USB port GNSS Data/Power Supply	
Antennas	SMA connector for active multi-band GNSS antenna SMA connector for UHF antenna	
UBLOX ZED-F9R GNSS	Evaluation Board – On Board Unit	
Frequency	1.207GHz, 1.227GHz, 1.246GHz, 1.561GHz, 1.575GHz, 1.602GHz	
Data Rate (Max)	921.6 kbps	
Modulation	Beidu Galileo GLONASS GNSS GPS	
Protocols	NMEA UBX RTCM Automotive Dead Reckoning (Wheel Tick and direction input supported)	
Voltage Supply	3.3V	
Operating Temperature	-40°C ~ 85°C	
Interfaces	Configurable CAN interface Micro USB port GNSS Data/Power Supply	
Antenna	SMA connector for active multi-band GNSS antenna	

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