

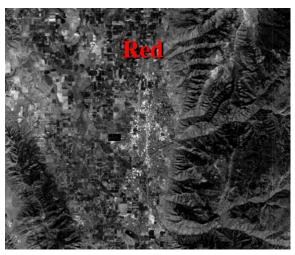
Lab 03 – Spectral Indices

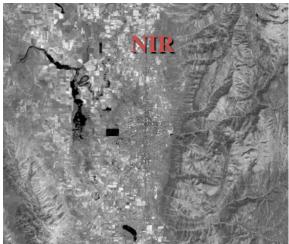
Background

The objective of this lab will be to understand how to generate a spectral index from imagery using GEE.

Spectral indices are based on a cover types differential reflectivity of electromagnetic energy of different wavelengths. Spectral indices are designed to exploit these differences between wavelengths to estimate the amount of that cover type on the earth's surface.

Take vegetation, for instance. Vegetation reflects light differently depending on wavelength. It absorbs most of the visible light for the photosynthetic process, but reflects a large amount of near infrared (NIR) light. The two images below are two spectral bands taken from the same Sentinel 2 image collected on July, 9, 2020. You can easily tell that the red band is heavily absorbed by vegetation while that same vegetation reflects NIR light. It's this contrast between spectral bands that forms the basis of all spectral indices.

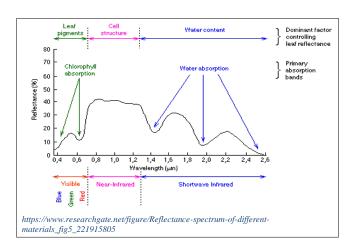




In fact, vegetation has multiple points along the EM spectrum that we can use to generate a spectral index. By taking advantage of the chlorophyl absorption of red light and the leaf tissue reflection of NIR light, we can map the relative abundance of vegetation across the landscape.

Also, by using the reflectivity of NIR light contrasted with the absorption of shortwave infrared by water, we can estimate the leaf water content and get an idea of plant water stress.

Nearly all (with some notable exceptions) spectral indices use a basic normalized difference formula which has the form:



Where X and Y represent the two spectral bands that contrast with one another for a given land cover type.

The normalized difference formula has a potential output range of -1 to 1. Therefore, if X = Y, then the output is 0. If Y = 0 and X > 0, then the output is 1, and alternatively, if X = 0 and Y > 0, then the output is -1.

Let's take the vegetation example and use the image I've pasted below:



I sampled the green agricultural field in the center of the image using the "Inspector" tab in GEE and found that it had the following reflectance values for the Red, and NIR bands:

RED: 416

NIR: 4422

Arguably, the most common normalized difference index in remote sensing is the Normalized Difference Vegetation Index (NDVI). You've used this before and It has the following format:

If we substitute the value for our image into the formula, we get:

(NIR+Red)

(NIR-Red)

$$\frac{(4422-416)}{(4422+416)} = \frac{4006}{4838} = 0.828$$

If I sample the field directly east where there is no apparent vegetation (x), I get these values for the Red and NIR:

RED: 1078

Substituting those values in our formula, the NDVI = **0.400**. NDVI values above 0 indicate vegetation. Therefore, even though this field looks bare, there is something growing on it. We just can't detect it visually. If I change the band combination from "true"

color" to a "NIR false color composite", we can see that the fallow field is a little "pink" indicating some vegetation.

If I sample the water in the image, the Red and NIR values are 616 and 583 respectively. Plugging those values in our NDVI formula, we get -0.027. Water has a negative NDVI consistent with the spectral properties of water (more Red reflectance than NIR).



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Normalized Difference in GEE

There are a number of ways in GEE to calculate a normalized difference index.

The easiest way is to use the built-in function called "normalizedDifference(bandNames)". The syntax is pretty simple:

var output = myImage.normalizedDifference(['X','Y']);

Where:

output = the name of your output image

myImage = your input image (*must be an image, not an image collection*)

X = the spectral band name that needs to be to the left of the equation

Y = the spectral band name that needs to be to the right of the equation.

For the NDVI using Landsat 8 imagery, the command looks like this:

```
var output = myImage.normalizedDifference(['B5','B4']);
```

For the NDVI using Sentinel 2 imagery, the command looks like this:

```
var output = myImage.normalizedDifference(['B8','B4']);
```

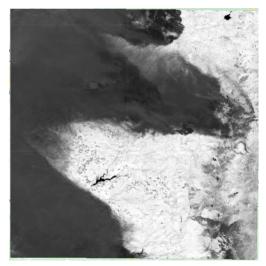
I'll use Landsat 8 for this example. Feel free to use any of the other Landsat platforms, Sentinel 2, or any other image collection where there is a NIR and Red band.

- 1) Search for the image collection you want to use and MPORT it.
- 2) Go to any location on the Earth and use the \mathbb{Q} to locate a point of interest to filter the collection with.
- 3) Filter your image collection by date. Pick a date range in your part of the world when vegetation is actively growing, so if you're in the southern hemisphere, then maybe November February, for instance.
- 4) Filter the image collection by location using your point geometry, sort by cloud cover, and then select the first image.
- 5) Display the image on the screen.

I decided to go to the Portland area using the Sentinel 2 Level-1C image collection. I restricted the dates to focus on the images collected in Sept. of 2020 and found one that captured a bunch of fires (id: COPERNICUS/S2/20200909T185929_20200909T191047_T10TEQ). Technically it's cloud free even though the medata showed 20% cloud cover.

- 6) Generate the NDVI for your image and display it
 - a. var ndvi =
 myImage.normalizedDifference(['B8','B4']);
 - b. Map.addLayer(ndvi,{min: -1, max: 1},'NDVI');
 - c. Sentinel NIR = B8 and Red = B4. Yours might be different.





Here's the NDVI output. Brighter areas are greener than darker areas.

Click on the Layers button at the top right of the map display, then click on the NDVI image wheel next to your NDVI image to get the display parameters.

Change the stretch from 'Custom' to 'Stretch: 98%' and 'Apply' to improve the image visual quality.



Normalized Difference Burn Ratio (NBR):

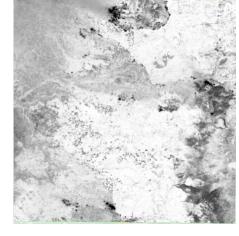
The NBR is another common normalized difference index and takes advantage of the increased reflectivity of surface carbon in the shortwave IR (SWIR) in contrast with the lack of reflectivity

in the visible and/or NIR bands. The general structure of this index is:

$$NBR = \frac{NIR - SWIR}{NIR + SWIR}$$

Exactly the same as the NDVI, except we are using different spectral bands. The link tied to the section heading above will send you to a web site that describes the index in more detail.

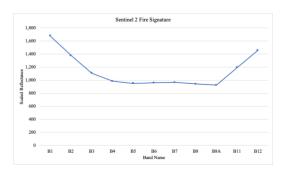
Using your image, generate the NBR using the same function as with the NDVI (assuming you found one with fire scars), if not, then feel free to use the one that I used:



var image = ee.Image('COPERNICUS/S2/20200909T185929_20200909T191047_T10TEQ');

Remember to use the correct bands if you're using Landsat 8, then SWIR = 'B7' and NIR = 'B5'. For Sentinel, it's 'B12' and 'B8A'.

var ndvi = myImage.normalizedDifference(['B8A','B12']);



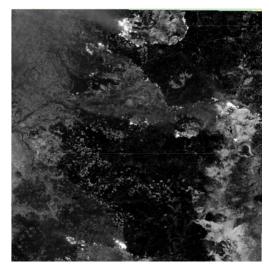
In this case, the fires and burned areas look darker than the unburned areas. The figure to the left shows the spectral signature for one of the fire pixels. Notice the difference between the SWIR (B12) and NIR (B8A) for Sentinel 2. The high B1-B4 (visible) is due to smoke reflectivity.

One thing that you need to be aware of is that the order of the bands in the formula matters, but mostly due to convention.

Here's the same fire index but I've swapped the band order in the formula from ['B8A','B12'] to ['B12','B8A']. The image comes out in reverse with burned areas in a lighter color than non-burned.

Normalized difference indices are generated for specific purposes such as burned area detection, vegetation/soil moisture, snow, etc. A number of them use the same spectral bands, but applied to different surfaces.

For instance, the Normalized Difference Water Index (NDWI) is identical to the Normalized Difference Soil



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Index, except that the order of the bands are switched. Basically, an index may be developed for a specific purpose, but can also be useful in another. Image context is important.

Other Normalized Difference Indices:

Now that you know how to operate the normalizedDifference function, here are a few other indices. Also, there's no reason why you can't develop your own if you can determine if the feature you are interested in has a consistent "contrast" between spectral bands.

Normalized Difference Water Index (NDWI)

NDWI = (NIR - SWIR1) / (NIR + SWIR1)

Normalized Difference Water Body Index (NDWBI)

NDWBI = (Green - NIR) / (Green + NIR)

Normalized Difference Bare Index (NDBI)

NDBI = (SWIR1 - NIR) / (SWIR1 + NIR)

Normalized Difference Snow Index (NDSI)

NDSI = (green - SWIR) / (green + SWIR)

R. Douglas Ramsey

- **Q1.** Generate normalized indices for the NDVI, NBR, NDWI, NDWBI, NDBI, and NDSI.
 - Display screen grabs of your original image using a band combination of your choosing alongside the normalized difference output.
 - Explore each output image to understand what each index is doing. No question for me to ask here. Many of you will be using indices like these for your project work and it will pay to try to understand them. You can use the inspector to query the pixel values
 - Provide the link to your script that generates all of these indices (can be one script)
- Q2. For each index that you generate, create a color palette in the visualization parameters block that is appropriate for that index.

For instance, if you want to colorize the NDVI output, then:

```
var NDVIvisParam = {
  min: -0.2,
  max: 0.8,
  palette: ['white', 'green']
};
```

The min and max values I estimated through trial and error. The ['white', 'green'] will set -0.2 to white and 0.8 to green with all other values ramped between white and green. You can put as many colors as you want. For instance, ['red','white', 'green'] will set -0.2 to red, then ramp to white at the mid-point between -0.2 and 0.8 to white and then ramp to green at 0.8.

Choose a ramp that you think best fits the index.

Appendix

 $Selection \ of \ 80 \ Spectral \ Indices \ from \ \underline{https://github.com/davemlz/awesome-spectral-indices}$

(See link for explanation of variables)

short_name	long_name	type	formula
BAI	Burned Area Index	burn	1.0 / ((0.1 - R) ** 2.0 + (0.06 - N) ** 2.0)
BAIS2	Burned Area Index for Sentinel 2	burn	(1.0 - ((RE2 * RE3 * RE4) / R) ** 0.5) * (((S2 - RE4)/(S2 + RE4) ** 0.5) + 1.0)
CSIT	Char Soil Index Thermal	burn	N / (S2 * T1 / 10000.0)
NBR	Normalized Burn Ratio	burn	(N - S2) / (N + S2)
NBRT	Normalized Burn Ratio Thermal	burn	(N - (S2 * T1 / 10000.0)) / (N + (S2 * T1 / 10000.0))
NDVIT	Normalized Difference Vegetation Index Thermal	burn	(N - (R * T1 / 10000.0))/(N + (R * T1 / 10000.0))
SAVIT	Soil-Adjusted Vegetation Index Thermal	burn	(1.0 + L) * (N - (R * T1 / 10000.0)) / (N + (R * T1 / 10000.0) + L)
NDDI	Normalized Difference Drought Index	drought	(((N-R)/(N+R)) - ((G-N)/(G+N)))/(((N-R)/(N+R)) + ((G-N)/(G+N)))
NMDI	Normalized Multi-band Drought Index	drought	(N - (S1 - S2))/(N + (S1 - S2))
NDSI	Normalized Difference Snow Index	snow	(G - S1) / (G + S1)
NDSII	Normalized Difference Snow Ice Index	snow	(R - S1) / (R + S1)
S3	S3 Snow Index	snow	(N * (R - S1)) / ((N + R) * (N + S1))
SWI	Snow Water Index	snow	(G * (N - S1)) / ((G + N) * (N + S1))
EBBI	Enhanced Built-Up and Bareness Index	urban	(S1 - N) / (10.0 * ((S1 + T1) ** 0.5))
NDBI	Normalized Difference Built-Up Index	urban	(S1 - N) / (S1 + N)
NDBaI	Normalized Difference Bareness Index	urban	(S1 - T1) / (S1 + T1)
NHFD	Non-Homogeneous Feature Difference	urban	(RE1 - A) / (RE1 + A)
ARVI	Atmospherically Resistant Vegetation Index	vegetation	(N - (R - (R - B))) / (N + (R - (R - B)))
ATSAVI	Adjusted Transformed Soil-Adjusted Vegetation Index	vegetation	sla * (N - sla * R - slb) / (sla * N + R - sla * slb + 0.08 * (1 + sla ** 2.0))
BNDVI	Blue Normalized Difference Vegetation Index	vegetation	(N - B)/(N + B)
CIG	Chlorophyll Index Green	vegetation	(N / G) - 1.0
CIRE	Chlorophyll Index Red Edge	vegetation	(N / RE1) - 1
CVI	Chlorophyll Vegetation Index	vegetation	(N * R) / (G ** 2.0)
DVI	Difference Vegetation Index	vegetation	N - R
EVI	Enhanced Vegetation Index	vegetation	g * (N - R) / (N + C1 * R - C2 * B + L)
	Index		

EVI2	Two-Band Enhanced Vegetation Index	vegetation	g * (N - R) / (N + 2.4 * R + L)
ExG	Excess Green Index	vegetation	2 * G - R - B
GARI	Green Atmospherically Resistant Vegetation Index	vegetation	(N - (G - (B - R))) / (N - (G + (B - R)))
GBNDVI	Green-Blue Normalized Difference Vegetation Index	vegetation	(N - (G + B))/(N + (G + B))
GDVI	Generalized Difference Vegetation Index	vegetation	((N ** nexp) - (R ** nexp)) / ((N ** nexp) + (R ** nexp))
GEMI	Global Environment Monitoring Index	vegetation	((2.0*((N ** 2.0)-(R ** 2.0)) + 1.5*N + 0.5*R)/(N + R + 0.5))*(1.0 - 0.25*((2.0 * ((N ** 2.0) - (R ** 2)) + 1.5 * N + 0.5 * R)/(N + R + 0.5)))-((R - 0.125)/(1 - R))
GLI	Green Leaf Index	vegetation	(2.0 * G - R - B) / (2.0 * G + R + B)
GNDVI	Green Normalized Difference Vegetation Index	vegetation	(N - G)/(N + G)
GRNDVI	Green-Red Normalized Difference Vegetation Index	vegetation	(N - (G + R))/(N + (G + R))
GVMI	Global Vegetation Moisture Index	vegetation	((N+0.1) - (S2+0.02)) / ((N+0.1) + (S2+0.02))
IRECI	Inverted Red-Edge Chlorophyll Index	vegetation	(RE3 - R) / (RE1 / RE2)
MCARI	Modified Chlorophyll Absorption in Reflectance Index	vegetation	((RE1 - R) - 0.2 * (RE1 - G)) * (RE1 / R)
MCARI1	Modified Chlorophyll Absorption in Reflectance Index 1	vegetation	1.2 * (2.5 * (N - R) - 1.3 * (N - G))
MCARI2	Modified Chlorophyll Absorption in Reflectance Index 2	vegetation	(1.5 * (2.5 * (N - R) - 1.3 * (N - G))) / ((((2.0 * N + 1) ** 2) - (6.0 * N - 5 * (R * 0.5)) - 0.5) ** 0.5)
MGRVI	Modified Green Red Vegetation Index	vegetation	(G ** 2.0 - R ** 2.0) / (G ** 2.0 + R ** 2.0)
MNDVI	Modified Normalized Difference Vegetation Index	vegetation	(N - S2)/(N + S2)
MNLI	Modified Non-Linear Vegetation Index	vegetation	(1 + L)*((N ** 2) - R)/((N ** 2) + R + L)
MSAVI	Modified Soil-Adjusted Vegetation Index	vegetation	0.5 * (2.0 * N + 1 - (((2 * N + 1) ** 2) - 8 * (N - R)) ** 0.5)
MSR	Modified Simple Ratio	vegetation	(N/R-1)/((N/R+1)**0.5)
MTCI	MERIS Terrestrial Chlorophyll Index	vegetation	(RE2 - RE1) / (RE1 - R)
MTVI1	Modified Triangular Vegetation Index 1	vegetation	1.2 * (1.2 * (N - G) - 2.5 * (R - G))
MTVI2	Modified Triangular Vegetation Index 2	vegetation	(1.5 * (1.2 * (N - G) - 2.5 * (R - G))) / ((((2.0 * N + 1) ** 2) - (6.0 * N - 5 * (R ** 0.5)) - 0.5) ** 0.5)
NDREI	Normalized Difference Red Edge Index	vegetation	(N - RE1) / (N + RE1)
NDVI	Normalized Difference Vegetation Index	vegetation	(N-R)/(N+R)
NDYI	Normalized Difference Yellowness Index	vegetation	(G - B) / (G + B)
NGRDI	Normalized Green Red Difference Index	vegetation	(G-R)/(G+R)
NLI	Non-Linear Vegetation Index	vegetation	((N ** 2) - R)/((N ** 2) + R)
NRFIg	Normalized Rapeseed Flowering Index Green	vegetation	(G - S2) / (G + S2)
NRFIr	Normalized Rapeseed Flowering Index Red	vegetation	(R - S2) / (R + S2)

OCVI	Optimized Chlorophyll Vegetation Index	vegetation	(N / G) * (R / G) ** cexp
OSAVI	Optimized Soil-Adjusted Vegetation Index	vegetation	(N-R)/(N+R+0.16)
RDVI	Renormalized Difference Vegetation Index	vegetation	(N - R) / ((N + R) ** 0.5)
RVI	Ratio Vegetation Index	vegetation	N/R
S2REP	Sentinel-2 Red-Edge Position	vegetation	705.0 + 35.0 * ((((RE3 + R) / 2.0) - RE1) / (RE2 - RE1))
SARVI	Soil Adjusted and Atmospherically Resistant Vegetation Index	vegetation	(1+L)*(N - (R - (R - B))) / (N + (R - (R - B)) + L)
SAVI	Soil-Adjusted Vegetation Index	vegetation	(1.0 + L) * (N - R) / (N + R + L)
SAVI2	Soil-Adjusted Vegetation Index 2	vegetation	N/(R + (slb/sla))
SIPI	Structure Insensitive Pigment Index	vegetation	(N - A) / (N - R)
SeLI	Sentinel-2 LAI Green Index	vegetation	(RE4 - RE1) / (RE4 + RE1)
TCARI	Transformed Chlorophyll Absorption in Reflectance Index	vegetation	3 * ((RE1 - R) - 0.2 * (RE1 - G) * (RE1 / R))
TCI	Triangular Chlorophyll Index	vegetation	1.2 * (RE1 - G) - 1.5 * (R - G) * (RE1 / R) ** 0.5
TGI	Triangular Greenness Index	vegetation	- 0.5 * (190 * (R - G) - 120 * (R - B))
TRRVI	Transformed Red Range Vegetation Index	vegetation	((RE2 - R) / (RE2 + R)) / (((N - R) / (N + R)) + 1.0)
TSAVI	Transformed Soil- Adjusted Vegetation Index	vegetation	sla * (N - sla * R - slb) / (sla * N + R - sla * slb)
TTVI	Transformed Triangular Vegetation Index	vegetation	0.5 * ((865.0 - 740.0) * (RE3 - RE2) - (RE4 - RE2) * (783.0 - 740))
TVI	Triangular Vegetation Index	vegetation	0.5 * (120 * (N - G) - 200 * (R - G))
VARI	Visible Atmospherically Resistant Index	vegetation	(G - R) / (G + R - B)
WDRVI	Wide Dynamic Range Vegetation Index	vegetation	(alpha * N - R) / (alpha * N + R)
WDVI	Weighted Difference Vegetation Index	vegetation	N - sla * R
AWEInsh	Automated Water Extraction Index	water	4.0 * (G - S1) - 0.25 * N + 2.75 * S2
AWEIsh	Automated Water Extraction Index with Shadows Elimination	water	B + 2.5 * G - 1.5 * (N + S1) - 0.25 * S2
MNDWI	Modified Normalized Difference Water Index	water	(G - S1) / (G + S1)
NDWI	Normalized Difference Water Index	water	(G-N)/(G+N)
WI1	Water Index 1	water	(G - S2) / (G + S2)
WI2	Water Index 2	water	(B - S2) / (B + S2)
			<u> </u>