

Regarding Generative AI

Responses to Frequently Asked Questions Using Chat GPT

These responses to frequently asked questions regarding Generative Artificial Intelligence (Generative AI) come from Chat GPT. I am very familiar with this material and did not find it necessary to edit the responses. I simplified some of the questions slightly.

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What is an AI model?

In AI, a model refers to a mathematical or computational representation of a system, process, or concept that can be used to make predictions or decisions based on input data.

AI models are typically trained on large amounts of data using machine learning techniques, which involves feeding the model with input data and adjusting its parameters to optimize its performance. Once a model has been trained, it can be used to make predictions or classifications on new data that it has not seen before.

There are many different types of AI models, including neural networks, decision trees, support vector machines, and Bayesian networks, each of which is suited to different types of problems and data. AI models are used in a wide range of applications, from image recognition and natural language processing to fraud detection and autonomous vehicles.

What is a language model?

A language model in AI is a type of model that is designed to predict the likelihood of a sequence of words or tokens. Language models are typically trained on large datasets of text and use statistical techniques to learn the relationships between words and how they are used in context.

The goal of a language model is to accurately predict the probability of a word given its context, which can be used for a variety of natural language processing tasks such as speech recognition, machine translation, text summarization, and question answering.

One of the most popular types of language models in AI is the transformer-based language model, which is used in many state-of-the-art NLP models such as GPT-3. These models use attention mechanisms to capture long-range dependencies between words and can generate coherent and contextually appropriate text.

Overall, language models are an essential component of many AI applications and have revolutionized the field of natural language processing.

What is a large language model?

A large language model is a type of language model that is significantly larger and more complex than a standard language model. Large language models are typically trained on massive datasets, with billions or even trillions of words, and use powerful neural network architectures with many layers and parameters.

Compared to standard language models, large language models are capable of more sophisticated and nuanced language processing tasks. They are able to capture more complex linguistic patterns and relationships, and are often better at generating natural-sounding language. Large language models have been used to achieve state-of-the-art performance on a range of natural language processing tasks, such as language translation, question answering, and text summarization.

One of the most famous examples of a large language model is GPT-3, which has over 175 billion parameters and is capable of generating highly coherent and human-like text. These models have the potential to revolutionize the field of natural language processing and enable a wide range of new applications and services. However, large language models also require significant computational resources and data to train, which can limit their accessibility to smaller organizations and researchers.

What does the word Chat in Chat GPT signify?

The word "Chat" in ChatGPT signifies the ability of the language model to engage in human-like conversations or chats with users. The "Chat" part of the name emphasizes that the model is specifically designed for use in conversational AI applications, such as chatbots, virtual assistants, and other dialogue systems.

ChatGPT is an advanced AI language model that is capable of generating coherent and contextually appropriate responses to a wide range of user inputs, including natural language text and speech. By incorporating the ability to chat into its name, ChatGPT emphasizes its strength in this area and

distinguishes itself from other language models that may be better suited for other types of natural language processing tasks.

Overall, ChatGPT represents a significant advancement in the development of conversational AI technologies and has the potential to transform the way we interact with machines and digital systems.

What is Generative AI?

Generative AI is a type of artificial intelligence that is designed to create new and original data or content rather than simply processing or analyzing existing data. This can include generating images, videos, music, or other forms of creative output.

Generative AI typically involves the use of deep learning techniques, such as generative adversarial networks (GANs) or variational autoencoders (VAEs), which are trained on large datasets of existing content. These models learn to generate new content by sampling from the distribution of the input data, and then modifying and recombining these samples to create something new.

One of the key benefits of generative AI is that it can be used to create new and original content on demand, without the need for human intervention or creative input. This has significant implications for a wide range of industries, from entertainment and media to fashion, design, and advertising.

However, generative AI also raises a number of ethical and social questions, particularly around issues of intellectual property, ownership, and control over creative output. As these technologies continue to evolve and become more widespread, it will be important to carefully consider these issues and ensure that the benefits of generative AI are distributed fairly and equitably.

What is a transformer architecture?

A transformer architecture is a type of neural network architecture used primarily in natural language processing (NLP) and other sequential data processing tasks. It was introduced in a 2017 paper by

Vaswani et al. and has since become the foundation for many state-of-the-art NLP models, including BERT, GPT-2, and GPT-3.

Transformers are based on a self-attention mechanism that allows them to capture long-range dependencies between elements in a sequence. Unlike traditional recurrent neural networks (RNNs) or convolutional neural networks (CNNs), which process input sequentially or locally, transformers can process an entire sequence of elements in parallel, making them more efficient and scalable.

The core components of a transformer architecture include:

- The input embedding layer, which converts each element in the sequence into a dense vector representation.
- The multi-head self-attention layer, which calculates the importance of each element in the sequence with respect to all the other elements, enabling the model to capture long-range dependencies.
- The feedforward neural network layer, which processes the outputs of the self-attention layer and applies non-linear transformations to the data.
- The output layer, which generates the final predictions or outputs of the model.

One of the key benefits of transformer architectures is their ability to process and generate natural language text with high accuracy and fluency, making them well-suited for a wide range of NLP tasks such as language translation, text summarization, and question answering.

Overall, transformer architectures have revolutionized the field of natural language processing and are likely to continue to play a significant role in the development of advanced AI applications in the future.

Do all large language models rely on transformers?

No, not all large language models rely on transformers, but transformers have become a popular and effective architecture for many large language models.

Before the development of transformers, recurrent neural networks (RNNs) and convolutional neural networks (CNNs) were commonly used for NLP tasks. However, these models have limitations when it comes to processing long sequences of text, such as those found in natural language.

Transformers, on the other hand, are designed to process entire sequences of text at once, making them better suited for tasks such as language modeling and text generation. Many of the most successful large language models, such as GPT-3 and T5, are based on transformers.

That being said, there are other architectures that can be used for large language models, such as memory-augmented neural networks and recursive neural networks. However, transformers have become the dominant architecture due to their effectiveness and flexibility.

What is the significance of pre-training in a large language model?

Pre-training is a crucial step in developing a large language model. It involves training the model on a large corpus of text data in an unsupervised manner, without any specific task in mind. The goal of pre-training is to enable the model to learn general linguistic patterns and relationships that can be applied to a wide range of downstream NLP tasks.

The process of pre-training involves using a large corpus of text to train a language model to predict missing words in sentences. The idea is to teach the model to understand the structure of language and the relationships between words, so that it can generate coherent and meaningful sentences. Pre-training can also involve other tasks, such as predicting the next sentence in a text or filling in masked words.

Once the pre-training is complete, the model can be fine-tuned on a specific downstream NLP task, such as text classification, named entity recognition, or language translation. Fine-tuning involves re-training the model on a smaller, task-specific dataset, using supervised learning to adjust the model's parameters for the specific task.

The benefit of pre-training is that it allows the model to learn a general understanding of language, rather than being narrowly focused on a single task. This can result in improved performance on downstream tasks and also makes it possible to develop large language models that can perform multiple tasks with a single set of parameters. Pre-training also enables transfer learning, which allows the knowledge learned by the model during pre-training to be transferred to new tasks with relatively little additional training.

Overall, pre-training is a critical step in developing a large language model that can perform well on a range of NLP tasks. It enables the model to learn a general understanding of language, which can then be fine-tuned for specific tasks with relatively little additional training.

Give me examples of tasks where Generative AI is especially well suited compared to other kinds of natural language process techniques?

Generative AI is especially well-suited for natural language processing (NLP) tasks where generating novel and contextually appropriate text is required. Some examples of such tasks include:

1. Text generation: Generative AI models can be used to generate novel text in a wide range of styles and formats, including creative writing, poetry, and news articles.
2. Chatbots and conversational agents: Generative AI models can be used to develop chatbots and conversational agents that can carry out natural, human-like conversations with users, providing customer service or answering questions.
3. Summarization: Generative AI models can be used to automatically summarize long pieces of text into shorter, more concise versions, such as news articles or research papers.
4. Machine translation: Generative AI models can be used to translate text from one language to another, generating contextually appropriate translations that capture the nuances and subtleties of each language.
5. Storytelling and narrative generation: Generative AI models can be used to create dynamic and interactive stories and narratives, allowing users to interact with and shape the story as it unfolds.

Overall, generative AI is especially well-suited for NLP tasks where generating novel and contextually appropriate text is essential, and where the ability to understand and produce natural language is critical.

Give me examples of tasks where Generative AI is not well suited compared to other kinds of natural language process techniques and tell me about the other techniques that may be better alternatives for those tasks.

While generative AI models are well-suited for many natural language processing (NLP) tasks, there are certain tasks where they may not be the best choice. Here are some examples of tasks where other NLP techniques may be better alternatives:

1. Text classification: In text classification tasks, such as sentiment analysis or spam detection, the goal is to classify a given piece of text into one or more predefined categories. For such tasks, supervised learning algorithms, such as support vector machines (SVMs) or decision trees, may be more appropriate as they can learn to classify text based on labeled training data.
2. Named entity recognition (NER): NER is the task of identifying and classifying named entities, such as people, organizations, and locations, in a given piece of text. Rule-based or conditional random field (CRF) models may be more appropriate for this task, as they can be trained to recognize specific patterns and sequences of words that correspond to named entities.
3. Part-of-speech (POS) tagging: POS tagging is the task of assigning a part of speech, such as noun, verb, or adjective, to each word in a given piece of text. Statistical models, such as hidden Markov models (HMMs) or maximum entropy models (MaxEnt), may be more appropriate for this task, as they can be trained on large annotated datasets to learn the distribution of words and their associated part-of-speech tags.
4. Information extraction: Information extraction involves identifying and extracting specific pieces of information from unstructured text, such as dates, locations, and phone numbers. For this task, rule-based systems or named entity recognition models may be more appropriate, as they can be trained to recognize specific patterns and sequences of words that correspond to the desired information.

Overall, generative AI models may not be the best choice for NLP tasks where the goal is to classify or extract specific pieces of information from text, as these tasks often require a more structured approach that relies on predefined rules or labeled training data.

Why doesn't Chat GPT know about recent events?

Chat GPT is a pre-trained language model that was trained on a large corpus of text data up until a certain point in time, typically several months prior to the model's release. The model's knowledge is therefore limited to the information that was available in the training corpus at that time.

As a result, Chat GPT may not be aware of recent events or developments that have occurred since the end of the training corpus. For example, if the model was trained on text data up until mid-2021 and you ask it a question about a news event that occurred in late 2021, the model may not have been exposed to that information during its training and therefore may not be able to provide an accurate response.

However, it's worth noting that pre-trained language models like Chat GPT can still be fine-tuned on specific tasks and domains using more recent data, which can help improve their performance on current events and other up-to-date information. Additionally, new versions of pre-trained language models are periodically released that incorporate more recent training data, which can improve their ability to handle current events and trends.

What does it mean to fine-tune a model?

In machine learning, fine-tuning a model refers to the process of further training a pre-trained model on a new or specific dataset to adapt it to a particular task or domain. Fine-tuning is used to enhance the performance of the pre-trained model by improving its ability to handle specific tasks that are related to or different from the tasks it was originally trained on.

Fine-tuning involves initializing the pre-trained model with its original weights and then training it on a task-specific dataset. This process allows the model to adjust its weights and learn from the new data, while still retaining some of the knowledge gained from its previous training.

Fine-tuning is often used in transfer learning, where the knowledge gained by a model from one task is transferred to a new, related task. This approach can be more efficient than training a new model

from scratch, as it can reduce the amount of training data required and speed up the training process.

Overall, fine-tuning a model can be a powerful way to improve its performance on specific tasks, and it has been successfully applied in many areas of machine learning, including natural language processing, computer vision, and speech recognition.

How can someone fine tune Chat GPT?

Fine-tuning Chat GPT involves training the model on a specific task or domain using a smaller, task-specific dataset in addition to its original pre-training dataset. Fine-tuning allows the model to adapt to the specific nuances and patterns of the target domain or task, resulting in improved performance.

Here are the general steps for fine-tuning Chat GPT:

1. Define the task or domain: Determine the specific task or domain for which you want to fine-tune the model. For example, if you want to fine-tune Chat GPT for legal document generation, you would need to provide it with a dataset of legal documents.
2. Prepare the dataset: Gather a dataset that is relevant to the task or domain you have defined. The dataset should be large enough to provide sufficient training data for the model, but small enough to be manageable. You may also need to preprocess the data to remove noise or irrelevant information.
3. Fine-tune the model: Use the prepared dataset to fine-tune Chat GPT. This involves initializing the pre-trained model with its original weights and then training it on the task-specific dataset. You may need to experiment with different hyperparameters (such as learning rate and batch size) to achieve the best performance.
4. Evaluate the model: After fine-tuning, evaluate the performance of the model on a validation set. This will help you determine whether the model is overfitting or underfitting, and whether further fine-tuning or adjustments are needed.

5. Test the model: Finally, test the model on a held-out test set to evaluate its generalization performance. This will give you a sense of how well the model will perform on new, unseen data.

It's worth noting that fine-tuning Chat GPT can be a complex and resource-intensive task, requiring significant computational resources and expertise in machine learning. However, there are pre-built libraries and tools available, such as Hugging Face Transformers, that can simplify the fine-tuning process and make it more accessible to non-experts.

What are the problems with using Generative AI to draft contracts?

Using generative AI to draft contracts may have some potential issues, including:

1. Lack of legal expertise: Contract drafting requires a high degree of legal expertise and knowledge of relevant laws and regulations. Generative AI models may not have access to such knowledge and may generate contracts that contain errors or are not legally enforceable.
2. Ambiguity and lack of clarity: Generative AI models may generate contracts that are ambiguous or lack clarity, making it difficult for the parties involved to understand the terms and conditions of the agreement.
3. Inadequate consideration of context: Contract drafting requires a deep understanding of the context and specific needs of the parties involved. Generative AI models may not be able to fully consider the context and generate contracts that do not meet the specific needs of the parties.
4. Limited ability to negotiate: Contract drafting often involves negotiation between the parties, with multiple rounds of revisions and changes. Generative AI models may not have the ability to negotiate and modify the terms of the contract based on feedback from the parties.
5. Lack of accountability: In the event of a dispute, it may be difficult to determine who is responsible for errors or omissions in a contract generated by a generative AI model, raising questions about accountability and liability.

Overall, while generative AI may be useful in generating initial drafts of contracts, it is unlikely to replace the expertise and judgment of human lawyers in the contract drafting process. Legal professionals will likely need to review and modify contracts generated by AI models to ensure accuracy, clarity, and compliance with relevant laws and regulations.

Can Generative AI help refine specific sections of a contract or legal brief?

Yes, generative AI can be useful in refining specific sections of a contract or legal brief. One application of generative AI in this context is known as "AI-assisted drafting," where AI models are used to analyze and suggest changes to specific sections of a legal document.

For example, generative AI can be used to identify potential areas of ambiguity or inconsistency in a contract or legal brief, and suggest alternative language that may be clearer or more effective. It can also be used to identify potential legal issues or risks associated with specific sections of a legal document and suggest modifications to mitigate those risks.

However, it is important to note that the effectiveness of AI-assisted drafting will depend on the quality and quantity of the training data used to train the AI model. In addition, human legal professionals will likely need to review and evaluate the suggested changes to ensure that they are accurate, relevant, and comply with relevant laws and regulations.

Overall, while generative AI can be a useful tool in refining specific sections of a legal document, it is unlikely to replace the expertise and judgment of human lawyers in the legal drafting process.

How can I fine tune a large language model to improve its usefulness for drafting legal documents?

Fine-tuning a large language model can be a useful way to improve its usefulness for drafting legal documents. Here are some steps you can take to fine-tune a language model for this purpose:

1. Identify the specific legal document type or task you want to optimize the model for: The language and structure of legal documents vary widely depending on the document type, such as contracts, briefs, or legal opinions. Identifying the specific document type or task you want to optimize the model for will help you choose the appropriate training data and evaluation metrics.
2. Collect and preprocess relevant training data: To fine-tune the language model, you will need a large dataset of relevant legal documents that are similar to the target document type or task. You can collect these documents from publicly available legal databases or use

proprietary documents from your own organization. Once you have collected the dataset, you will need to preprocess it to ensure that the data is in a suitable format for training the language model.

3. Define the fine-tuning task and evaluation metrics: You will need to define the fine-tuning task and evaluation metrics for the language model. For example, the fine-tuning task might be to generate a contract clause based on a specific set of inputs, and the evaluation metrics might include accuracy, fluency, and coherence.
4. Fine-tune the language model: Once you have defined the fine-tuning task and evaluation metrics, you can fine-tune the language model using the collected training data. This involves updating the weights and parameters of the model based on the specific task and data.
5. Evaluate the fine-tuned model: After fine-tuning the model, you will need to evaluate its performance using the defined evaluation metrics. You can do this by testing the model on a held-out dataset of legal documents or by using a validation set.
6. Iterate and refine: Based on the results of the evaluation, you can iterate and refine the fine-tuning process to further improve the performance of the model.

Overall, fine-tuning a large language model for drafting legal documents requires careful attention to the specific task, data, and evaluation metrics. By following these steps and refining the process over time, you can improve the effectiveness of the model for this important application.

Can Generative AI help with eDiscovery? Is it better to rely on traditional technology assisted review (TAR) approaches?

Generative AI can be helpful in eDiscovery, particularly in the review and analysis of large volumes of electronically stored information (ESI) that may be relevant to a legal matter. However, whether generative AI is better than traditional technology assisted review (TAR) approaches will depend on the specific circumstances of the case and the available resources.

Generative AI can be used to analyze and classify large volumes of ESI based on their relevance to the legal matter at hand. For example, generative AI can be trained on a set of relevant documents to learn patterns and features that are indicative of relevance, and then used to classify a larger set of documents. This can help to reduce the time and cost associated with manual review of documents, while also improving the accuracy and consistency of the review process.

However, generative AI may not always be the best approach for eDiscovery. In some cases, traditional TAR approaches, such as clustering or predictive coding, may be more effective at identifying relevant documents. TAR approaches can be useful when there is a large volume of documents and a smaller proportion of relevant documents, as they can help to prioritize the review of the most relevant documents.

Overall, whether to use generative AI or traditional TAR approaches for eDiscovery will depend on the specific context of the legal matter, the volume and complexity of the ESI, and the resources available for review and analysis. In many cases, a combination of both generative AI and TAR may be the most effective approach.

What transformer-based approaches for eDiscovery may be better than Generative AI?

While generative AI can be a useful tool for eDiscovery, there are other transformer-based approaches that may be better suited for certain aspects of the eDiscovery process. Here are some examples:

1. **Pre-trained transformer models for classification:** Pre-trained transformer models such as BERT, RoBERTa, and XLNet have been used for document classification in eDiscovery. These models are trained on large amounts of data and can be fine-tuned for a specific classification task, such as identifying relevant documents or classifying documents by topic.
2. **Topic modeling:** Topic modeling is a technique that uses unsupervised machine learning to identify topics or themes in a set of documents. Transformer-based approaches such as Latent Dirichlet Allocation (LDA) and Non-Negative Matrix Factorization (NMF) have been used for topic modeling in eDiscovery.
3. **Named entity recognition:** Named entity recognition (NER) is the process of identifying and categorizing named entities, such as people, organizations, and locations, in a document. Transformer-based approaches such as BERT and RoBERTa have been used for NER in eDiscovery.
4. **Data augmentation:** Data augmentation is a technique that involves generating additional training data from existing data by applying various transformations to the data, such as changing the order of sentences or replacing words with synonyms. Transformer-based approaches such as back-translation and masked language modeling have been used for data augmentation in eDiscovery.

In general, the choice of transformer-based approach for eDiscovery will depend on the specific requirements of the case, such as the volume and complexity of the data, the classification or analysis tasks required, and the resources available for training and analysis. It may be beneficial to consult with an experienced eDiscovery practitioner or data scientist to determine the most appropriate approach for a particular case.

What kinds of NLP architectures likely work best to support M&A due diligence review?

Mergers and acquisitions (M&A) due diligence review involves reviewing large volumes of legal documents, financial statements, and other relevant information to identify potential legal, financial, and operational risks associated with the transaction. Here are some NLP architectures that are likely to work best to support M&A due diligence review:

1. **Named Entity Recognition (NER):** NER is the process of identifying and classifying entities such as people, organizations, and locations in text data. In M&A due diligence review, NER can be used to identify key parties involved in the transaction, such as the acquiring company, the target company, and their respective legal representatives.
2. **Topic modeling:** Topic modeling is a technique that identifies topics or themes in a set of documents. In M&A due diligence review, topic modeling can be used to identify relevant topics such as potential legal risks, financial risks, and operational risks associated with the transaction.
3. **Sentiment analysis:** Sentiment analysis is the process of identifying the emotional tone of text data. In M&A due diligence review, sentiment analysis can be used to identify positive or negative sentiment in documents, such as news articles or social media posts, that may impact the transaction.
4. **Relation extraction:** Relation extraction is the process of identifying and extracting relationships between entities in text data. In M&A due diligence review, relation extraction can be used to identify potential relationships between key parties involved in the transaction and identify potential legal or financial risks associated with these relationships.
5. **Document classification:** Document classification involves classifying documents into different categories based on their content. In M&A due diligence review, document classification can be used to categorize documents based on their relevance to the transaction, such as legal documents, financial statements, or operational reports.

Overall, the choice of NLP architecture will depend on the specific requirements of the M&A due diligence review, such as the volume and complexity of the data, the classification or analysis tasks

required, and the resources available for training and analysis. It may be beneficial to consult with an experienced NLP practitioner or data scientist to determine the most appropriate approach for a particular case.

What ML approaches may be better than Generative AI for entity extraction in legal document review?

While generative AI approaches can be useful for entity extraction in legal document review, there are other machine learning (ML) approaches that may be better suited for this task. Here are a few examples:

1. Rule-based approaches: Rule-based systems use a set of predefined rules to extract entities from text. These rules can be based on pattern matching, regular expressions, or other linguistic features. Rule-based systems are often used in combination with other ML approaches to improve entity extraction accuracy.
2. Supervised learning approaches: In supervised learning, an algorithm is trained on a labeled dataset to predict entity labels for new, unseen data. This approach requires a large annotated dataset of legal documents and entities, which can be time-consuming and expensive to create, but can lead to more accurate results.
3. Semi-supervised learning approaches: Semi-supervised learning combines labeled and unlabeled data to train a model. This approach can be useful when there is limited labeled data available, as it can leverage the vast amounts of unlabeled data that exist in legal document collections.
4. Active learning approaches: Active learning is a type of supervised learning that involves selecting the most informative data points for labeling. This approach can be useful when the labeled dataset is limited or when the cost of annotating data is high.
5. Ensemble methods: Ensemble methods combine the predictions of multiple ML models to improve entity extraction accuracy. For example, an ensemble of rule-based, supervised, and unsupervised models could be used to extract entities from legal documents, with each model contributing its strengths to the final result.

Overall, the choice of ML approach will depend on the specific requirements of the entity extraction task, such as the volume and complexity of the data, the quality and quantity of labeled data available, and the resources available for training and analysis. It may be beneficial to consult with an experienced ML practitioner or data scientist to determine the most appropriate approach for a particular case.