

**Overview** The ability of organisms to adapt to new and changing conditions is vital in today's world of climate and other anthropogenic changes. Understanding and predicting this ability to adapt for species is thus key, and is especially relevant in crop species that are needed to sustain food and fuel sources for the world's growing populations. This research project aims to examine the genetic architecture of important traits for adaptation in maize before and after its domestication bottleneck. Two major questions will be addressed: 1) what is the distribution of effect sizes for mutations underlying important phenotypic traits in maize and teosinte, and 2) how do different histories of demography and selection change this genetic architecture over time and impact the species' ability to adapt? Vast resources of genomic and phenotypic data in both teosinte and maize create an ideal system in which to investigate these questions. I propose three objectives to answer these questions using both real and simulated data to create and test theoretical predictions in the system. The first objective is to estimate the distribution of fitness effects of new mutations in teosinte, maize's progenitor. From this result, simulations in objective two will be parameterized and run to simulate the demographic and selective history of maize during its domestication. This creates an expectation for how the genetic architecture of traits in maize, hypothesized to be either under selection during the domestication event or not, should change given its past and can be compared to real data to see if predictions match reality. Objective three then performs further simulations of additional demographic and selective events that varying landraces of maize have experienced to assess if further changes to genetic architecture are detected. This research will be conducted at the University of California, Davis, under the supervision of Dr. Jeffrey Ross-Ibarra and cosupervised by Dr. Kevin Thornton at the University of California, Irvine.

**Intellectual Merit** The proposed research will greatly expand our understanding of the adaptability of quantitative traits and the relationship this has with the architecture underlying such quantitative traits. Little is known about the genetic architecture of quantitative traits, yet most important traits are quantitative. With the availability of genomic and phenotypic data, this is an area now ripe for further research. A standing question in evolutionary biology is whether adaptation generally happens from few loci of large effect or many loci of small effect. This is a difficult question to answer, as the detectability of small effect loci is limited. Traditionally, QTL and GWAS approaches can be used to find important genes underlying traits of interest, but suffer from the aforementioned problem of small effect size loci. This research proposes to resolve this issue and provide deeper insights into the genetic architecture of adaptation. Additionally, conducting this research in the maize/teosinte system informs future research on this important crop and breeding of the species for adapting to future climate change as well as advances our understanding of plant biology and plant genomics. The results could inform crop studies in terms of maintaining genetic diversity for the future in ways that may have much larger long term impacts on the maintenance of diversity as well as on adaptation to changing environments.

**Broader Impacts** The impacts of this research will span across both the scientific community and the broader public. The research components and results will be made publicly available through online repositories of simulation and analysis code as well as public archiving of the data used. Additionally, I will make an effort to publish results from this work either in open-access journals or on public pre-print servers for other journals. I have a strong record of conference attendance that I will maintain in order to present results to the scientific community, and will also use my presence on Twitter and as a contributor to The Molecular Ecologist online blog to more frequently reach both the scientific and public audiences. Furthermore, I will mentor undergraduate students in the lab and also be able to participate in the educational partnership that has been created between UC Davis and Pioneer High School in Woodland, California. This will allow me to visit high school classrooms to teach about the cutting edge of genomics and evolutionary biology research to young scientists as well as to potentially mentor high school students in the lab or on small-scale projects.

found this here:  
<http://www.dailydemocrat.com/general-news/20140307/uc-davis-partnership-with-pioneer-high-benefits-budding-scientists>

uc-davis-partnership-with-pioneer-high-benefits-budding-scientists

Jeff, do you have any collaborators in Mexico or S. America where I could go and teach some sort of workshop on genomics or computational skills for year 3 or something? if so, could be a good thing to propose here, or does that not make sense if they're more doing the field work with maize?

# Project Description

## A. Introduction

A critical goal of evolutionary biology today is to understand organisms' abilities to adapt to new or changing conditions. This is especially relevant in the face of global climate change and other increasingly common anthropogenic changes to the environment (Easterling, 2000). Many important phenotypic traits are quantitative (need a ref?), and in order to understand adaptation of such traits, we must understand the genetic architecture underlying them and how this architecture may be changed as a result of selection and demography. Such knowledge advances our understanding of the process of adaptation and can further benefit crucial goals such as improving crop yields under changing climates.

Genetic architecture can be affected by many factors, including population size, the number of genes, demographic history, and the strength of positive selection and its consistency through time. Demographic history alone plays a major role in the strength of selection on traits and organisms. For example, human demographic history of changing population sizes, migration, and expansion out of Africa have left lasting signatures in the genome (Fu et al., 2014; Gravel et al., 2011; Henn et al., 2015b). Domestication bottlenecks have also been shown to impact the genome (e.g. in sunflowers (Renaut and Rieseberg, 2015), torsten - rice?). Such bottlenecks greatly decrease the effective population size ( $N_e$ ) which can increase the effect of random genetic drift. The effect size, or strength of selection ( $s$ ), for a given locus, can also impact the efficiency of selection across the genome (selection effective when  $N_e s > 1$ ). Thus, as demography changes, so does  $N_e s$  and therefore so does the occurrence of different beneficial, deleterious, or neutral loci across the genome.

Many traits ecologically important for local adaptation have a complex, quantitative genetic basis, and much heterogeneity has been found among these traits both within and among species (Orr, 2001; Slate, 2005). The architectures considered in the proposed research concern the number of underlying loci and their various effect sizes and dominance relationships. Whether this genetic architecture consists of many loci of small effect or few of large effect can play a role in the impact of demography and selection, as well as mutation, on the genome. How the genome may be restructured as a result of various demographic histories and those effects on selection is not well studied, nor how new genes may evolve to underly traits of importance (Long et al., 2003). The genetic architecture underlying traits can affect how easily or quickly local adaptation may occur, or how long and difficult that process may be (Yeaman, 2015; Yeaman and Whitlock, 2011). Such knowledge can therefore greatly contribute to improve breeding and conservation efforts as well as for predicting responses to environmental changes.

My proposed research aims to investigate these important factors (demographic history, selection, and genetic architecture) and their effects and interactions in terms of shaping the architecture of quantitative traits in maize. Maize (*Zea mays*, ssp. *mays*) was domesticated from its wild teosinte ancestor, *Zea mays*, ssp. *parviglumis*, approximately 9,000 years ago in southwestern Mexico (cite Matsuoka et al 2002). Demographic history of the species is supported by historic records of its use and domestication in the Americas (cite). Extensive genomic data is also available, allowing the known demographic history of the species to be examined in terms of genomic signatures of domestication, as well as specifically parameterized to match this demographic and selective past in terms of important values such as effective population sizes, or migration rates. This provides a unique opportunity to compare these closely related subspecies which have undergone various different demographic processes contributing to their present-day genetic make-up.

I propose three objectives which make use of the extensive knowledge and data available in the maize/teosinte system in order to answer the question of **how the genetic architecture of traits changes as a result of demography and selection**. These approaches overcome issues of

in addition we want to know whether standing variation is maintained by selection (possibly adaptive) or at mutation/selection balance (load)

in what sense, per trait?

better refs?

refs?

similar past studies using GWAS and QTL approaches which suffer from limitations due to inability to detect small effect alleles. Objective one characterizes the genetic architecture of phenotypically important traits in teosinte using existing genomic data to estimate the distribution of effect sizes. Objective two then simulates the demographic history of teosinte's domestication into modern-day maize, parameterized from results of objective one, and compares the expectations created from simulation to the genetic architecture of modern maize. Objective three further investigates the role of demography and selection on changing genetic architecture by simulating this histories of multiple landraces of maize that spread across the Americas post-domestication. This research will further our understanding of demography and selection's impacts on the genome and its structure, as well as our ability to detect and predict changes in genetic architecture after certain demographic events.

## B. Research Objectives, Methods & Significance

### Objective I: Investigate the genetic architecture of important traits in teosinte

This first objective aims to estimate the distribution of fitness effects (DFE) in teosinte (*Zea mays* ssp. *parviglumis*), the wild progenitor of domestic maize, and translate this into a distribution of effect sizes. The DFE describes the fitness effects of various mutations that are possible within the genome. Mutations can broadly be classified as beneficial, deleterious, or neutral, but in actuality, mutation effect sizes span a continuum of strongly deleterious to strongly beneficial, with any value in between. The software DoFE (Keightley and Eyre-Walker, 2007) uses the number of nonsynonymous and synonymous substitutions within genes to calculate the DFE. I will apply this method to sequence data from regions of the genome known to underly important phenotypic traits. Some such quantitative phenotypes include yield, plant height, and flowering time, which are of critical importance to agriculture (cite). From this distribution of fitness effects and fitness measures from these traits, we can then translate these values into effect sizes per allele. I will use genotypic and phenotypic data on teosinte which is available for 5,000 individuals at 16 phenotypic traits (height, kernel traits...) (cite data source). These individuals are the progeny of 70 teosinte individuals sequenced to 25X coverage, providing an ideal resource for this analysis.

I will validate these parameter estimates by using them to parameterize simulations of a population of teosinte to an equilibrium state, and by comparing a genome-wide association study (GWAS) on these simulation results to real GWAS results on teosinte, can establish the accuracy of the parameter estimates. If this comparison returns vastly different estimates of effect sizes, I will adjust parameter values and resimulate until a sufficient match is made between real teosinte data and simulated data. The simulation program fwdpy (a Python implementation of fwdpp, Thornton 2014) allows explicit modeling of the genomic architecture desired: distribution of effect sizes across the desired number of loci, including deleterious mutations and their effects on the phenotype. Values of  $V_G$ ,  $V_A$ , and  $V_D$  can be run to equilibrium, and then the populations sampled in order to test for successful replication of a wild teosinte population.

Characterizing the DFE of teosinte and translating this into a distribution of effect sizes uncovers whether the genetic architecture of important quantitative phenotypic traits in teosinte are underlain by many loci of small effect, few loci of large effect, or any combination therein. Estimating the DFE in teosinte will further inform a larger body of work aimed at understanding how common different genetic architectures are for traits important in adaptation. The DFE is difficult to estimate and not broadly understood in evolutionary biology for any given organism or in terms of how much it may vary across organisms. This difficulty arises particularly for cases where there are many loci of small effects: such small effect sizes are difficult to detect on an individual basis. This has implications for studies attempting to identify loci important in adaptation which struggle when each locus out of many may only contribute a small amount to the trait (cite ? Mackay et al Nature

also: Fay, Wycoff and Wu (2001), Smith and Eyre-Walker (2002), Bierne and Eyre-Walker (2004) and Eyre-Walker and Keightley (2009), Stoletzki and Eyre-Walker (2011)

eeek, not sure I'm describing this well

do you think I should list them all here?

Reviews Genetics 10, 565-577 ?, Rockman 2012 Evolution 61:117). Thus, studies that aim to identify loci important in adaptation will benefit from knowledge on the range of mutation effect sizes they may expect to see when performing GWAS or genotype-environment associations.

## Objective II: Simulate the demographic history of maize domestication from teosinte

The distribution of effect sizes established in objective one are used now to simulate the domestication of maize from teosinte. Again using fwdpy, I will simulate the demographic and selective events that occurred during the domestication of maize. A first step to fit the distribution of effect sizes from objective one will use approximate Bayesian computation (ABC) within fwdpy to estimate the most likely distribution of parameters for  $V_G$  and  $V_A$ . Simulations will follow the genetic architecture of the aforementioned quantitative traits and their accurate genetic architectures through a strong bottleneck of 5% of its ancestral  $N_e$ . Regions of the genome in sizes of 100kb can be simulated with assigned fitness values. Population sizes are easily controlled within fwdpy to mirror the ancestral  $N_e$  of maize to its estimated size of  $\sim 120,000$  followed by the domestication bottleneck which was subsequently followed by a large, rapid growth in population size that expanded populations to at least three times as large as the ancestral  $N_e$  (cite). Estimates of the genome-wide mutation rate ( $3.8 \times 10^{-8}$  Clark et al. 2005) and a 0.2cM-scale recombination map (cite Panzea.org) create simulations that should match reality to the best of our ability.

I will simulate the same quantitative traits from objective one for which phenotypes and fitness values are known. Interestingly, not all of these traits are purported to be under strong selection during the domestication process. Traits in maize such as kernel weight and kernel row number are expected to have been selected for improving the species as a crop, while there are no strong reasons to suspect other traits such as total plant height were under selection. Therefore, in addition to simulating the demographic bottleneck of the species, I will simulate positive selection on a number of traits assumed to be under artificial selection.

Having reiterated the origin of modern-day maize in Mexico through simulations, I can now compare the resultant genetic architectures to real genetic architecture in maize data. Genotypic and phenotypic data is currently being generated for another 5,000 progeny individuals of maize, from one landrace of 55 parents (sequenced to 25X) that will be available by the start of this fellowship. Existing data is also available publicly for  $\sim 1500$  maize genomes through the HapMap 3 and 4 projects (cite) if any unforeseen causes slow the availability of this dataset. The first comparison of results is to see how closely the simulated results match reality. Mismatched results may be attributable to parameter values for selection for which we can then reestimate using ABC, and then resimulate the domestication. Such a result would show that additional traits not expected to be under selection during domestication may actually have experienced more selection than initially assumed. Once closely matched, I can make a second comparison of how the genetic architecture changed over time. I can assess how traits of varying heritabilities have changed over the course of domestication. More heritable traits or traits under positive selection may change in different ways than those more neutrally passing through the bottleneck and I can test if allelic effect sizes have shifted in the distribution's mean or overall shape to more or fewer loci of large effect.

Furthermore, a validation of the distributions of fitness effects in maize and teosinte can be performed using data from a synthetic cross of maize and teosinte. This *Zea* synthetic results from a mix of 26 maize lines with 12% teosinte genes (from 11 different founders, fully sequenced), 40% B73 (the reference genome line), and 2% from 25 other inbred maize lines. Using this genomic data to again estimate a distribution of effect sizes for the same traits, but now across regions of the genome known to originate from either maize or teosinte, I can test if the respective distributions for maize and teosinte are recovered in the same genetic background. This will identify if our simulations were truly valid.

did I describe this right?

could add in example of tillering and the tbr1 gene?

The results of this project will show the relative importance of demography and selection in determining maize's genetic architecture, and whether information on both processes is necessary to successfully explain the evolution of the architectures underlying important traits. Demographic bottlenecks are common during the geographic spread of populations (cite) and can have several effects on the genome including purging of deleterious alleles (recessive alleles become homozygous and are more efficiently removed), or alternatively may lead to an increase of some deleterious alleles through increased random genetic drift (allele surfing, Klopstein et al. 2005). The effects of these processes varies depending on the degree of population size reduction and the length of time over which populations are bottlenecked (cite bottleneck lit), but also have the potential to interact with the strength of selection during this demographic process. Changes in the distribution of allele effect sizes are not well understood in any system and are controversial in humans (Lohmueller, 2014; Simons et al., 2014; Hancock et al., 2011). Deleterious alleles likely play a large role in many adaptive phenotypes: crop plants have undergone dramatic demographic shifts, usually involving a domestication bottleneck followed by expansion as cultivation spread, and some authors even argue that selection on domestication traits has inadvertently increased the frequency of alleles deleterious for other phenotypes (Gunther and Schmid, 2010). Consistent with this, it has recently been shown that genes associated with a number of quantitative traits in maize are enriched for deleterious alleles compared to randomly chosen genes (Mezmouk and Ross-Ibarra, 2014). Such information is crucial for understanding variation in phenotype, designing breeding strategies, utilizing diversity from wild relatives, or even engineering new traits using biotechnology.

is this the right gunther paper?

### **Objective III: Investigate the impact of various demographic and selective pressures on changing genetic of different landraces of maize across the Americas**

Since domestication, various landraces of maize have spread across Central and South America and adapted into different lowland and highland habitats. These populations have experienced further demographic and selective pressures in addition to the initial domestication bottleneck. South American populations are inferred to have experienced a second severe bottleneck (cite), populations expanding geographically are likely to have experienced serial founder effects that can change allele frequencies in unexpected ways due to allele surfing (Klopstein et al., 2005), and gene flow between teosinte and maize populations may have continued in some cases. Selection pressures in different lowland and highland habitats may also interact with these demographic events.

The objective of this project is to simulate the impact of multiple demographic events and selective pressures either individually or in combination. This will show how much variation is possible in terms of the effects on genetic architecture underlying traits for adaptation. The core set of simulations in this project will be designed to match the known landraces in maize, but will include more broadly a designed experiment to compare the presence or absence of particular evolutionary forces. Though there is currently not sufficient genomic and phenotypic data for all landrace populations of maize, it is likely that this will exist in the future, leaving an excellent future comparison to be made in terms of how well these simulation results match real populations across the species range. The goal of this project is to inform our understanding of the relative importance or insignificance of the tested demographic cases and how sensitive genetic architecture is to these. There is a debate currently in the field of evolutionary biology and human demographic history about the impact of events such as range expansions on the genome and the frequency of deleterious alleles (Henn et al., 2015a; Sudmant et al., 2015), necessitating further understanding of how such factors might interact under various selective environments. Furthermore, deleterious alleles likely play a large role in many adaptive phenotypes: crop plants have undergone dramatic demographic shifts, usually involving a domestication bottleneck followed by expansion as cultivation spread, and some authors even argue that selection on domestication traits has inadvertently increased the frequency

worth a figure? am short on space already



of alleles deleterious for other phenotypes (Gunther and Schmid, 2010). Consistent with this, it has recently been shown that genes associated with a number of quantitative traits in maize are enriched for deleterious alleles compared to randomly chosen genes (Mezmouk and Ross-Ibarra, 2014). Such information is crucial for understanding variation in phenotype, designing breeding strategies, utilizing diversity from wild relatives, or even engineering new traits using biotechnology.

Similar to objective two, I will simulate regions of the genome within individuals known to underly important phenotypic traits. These individuals will occupy populations that will be subjected to combinations of demographic and selective pressures including some of the following. To recapitulate the various landraces of maize, I will include cases of a second bottleneck after the domestication bottleneck, populations undergoing little or significant additional range expansion (Central American versus South American), stronger or weaker selection on flowering time and phenological traits (warmer lowland versus colder highland adapted populations), and cases with or without gene flow from sympatric populations of teosinte. I will do additional simulations to cover a wider range of parameters covering these cases, i.e. more extremes of a longer or larger range expansion, stronger and weaker bottlenecks, and higher or lower levels of gene flow among populations. This will allow assessment of how important the details of demography are in determining the genetic architecture of local adaptation to different conditions.

is that accurate, there is teosinte around them at least?

feel like I kind of repeated myself at the end here, maybe move the methods in earlier?

## C. Training Objectives

This fellowship will provide me with an ideal opportunity to learn the skills needed to enhance my ability to conduct cutting edge research in the fields of genomics and computational biology, both areas in which I expect to continue my future research and which are greatly expanding in evolutionary biology. I will gain many skills related to genomic data analysis through these projects, learning bioinformatics and analysis skills of large datasets. I have limited experience working with genomic data from my dissertation, thus making this a vital step in my career. Genomic technology and data are growing at an incredibly fast pace, and working directly with such data will teach me the most up to date, accurate, and efficient approaches. I will also improve my computational biology skills through the proposed simulations, learning a new and useful programming language, Python, that I can apply throughout this research and my future research in evolutionary biology.

## D. Career Development & Future Research

My career goal is to develop an innovative research program in evolutionary biology, studying population genetics and the processes that impact genetic diversity. I believe such research is key for the future, not only for the field of evolutionary biology, but also in applied scenarios such as understanding prevalence of genetic diseases in humans, adaptation of species to climate change, or strategies for improving agricultural products for a growing world population. My dissertation research has approached some of these questions in a more theoretical and less applied mindset. The work I will conduct during this fellowship would have a much direct potential for application in the field of maize agriculture. For me, this is necessary and vital experience for my career development as I decide between pursuing a more applied research program, potentially in industry or government research scientist positions, or in pursuing a career as an academic researcher at a university.

The skills I will develop during this fellowship, as described in section C, will benefit my career and put me on the cutting edge for analyses of the newest genomic data and the most recent computational approaches for biological simulations. Interacting with Dr. Ross-Ibarra, as well as other researchers at UC Davis, and with Dr. Kevin Thornton at UC Irvine, will be both intellectually stimulating and rewarding experiences that will help me accomplish my career goals. Drs. Ross-Ibarra

and Thornton are both at the forefront of a popular movement for open science, making all stages of the research process transparent to any interested parties, and providing products such as data and code immediately and publicly. This is a work ethic I strongly agree with and hope to contribute to as an independent researcher. Our work together will better equip me with the tools and experience that make open science easy, efficient, and profitable for all. I believe that this will equip me as a competitive, knowledgeable, and independent researcher able to conduct interesting and useful research throughout my future research program on topics of local adaptation, demographic history, population structure and genetic architecture of important traits. Furthermore, Dr. Ross-Ibarra has an excellent track record of helping his post-doctoral fellows secure promising positions for their future careers, including 3 assistant professorships at universities, and 4 research scientist positions in the seed industry, an NGO, and the government.

can I say USDA here Jeff, is this Tim Beissinger?

## E. Sponsoring Scientists and Host Institution

The University of California Davis (UCD) is the ideal place to conduct the proposed research. UCD has a world-renowned program in evolutionary biology and faculty in population genetics who are at the top of the field. Jeff Ross-Ibarra is an expert on teosinte, maize, its domestication, and the associated population genetics and genomics of the system. Kevin Thornton is an accomplished quantitative geneticist and computational biologist at UC Irvine, who will also contribute greatly to this research. They will both serve as effective and capable mentors for my post-doctoral research. In particular, Jeff has been studying the maize/teosinte system for many years with a great network of collaborators providing vast resources of data. His work has contributed largely to our knowledge of this system, and more generally on domestication and adaptation as evolutionary processes. Kevin is also the developer and maintainer of fwdpy, the python package proposed for completing the simulations in Objective 2. He will thus serve as a great resource in terms of knowing the exact capabilities of the simulation method and any assumptions of its model that must be taken into account. Furthermore, the Department of Ecology and Evolution, the Department of Plant Biology, and the Department of Plant Sciences at UCD have many exceptional faculty doing research relevant to my interests, providing many research groups to interact with on a daily basis for potential collaborations or feedback on this research. For example, I look forward to interacting with scientists interested in population genetics, such as Graham Coop, and in adaptation, such as Johanna Schmitt. UCD has the necessary computing resources for our proposed work, and as described, vast sources of knowledge and experience on the topics I plan to investigate, ensuring the success of this work. I am excited to join and contribute to UCD's active and vibrant scientific community.

Jeff, feel free to make that sound better

Likewise Kevin, feel free to modify

should probably add something about Farm and Kevin's cluster

## F. Milestones & Timeline

Year 1	Estimate DFE in teosinte, get simulations working and compare to teosinte
Year 2	finish comparison to teosinte, simulate maize
Year 3	expand to other datasets with demography + local adaptation + expansion?

definitely we go for year 3!

## G. Broader Impacts

The proposed research will have wide-ranging impacts for both the public and the scientific community. I will ensure that my results are available to the public at all stages of these projects by maintaining code and scripts online at my GitHub account, which will allow other researchers to access analysis methods or data cleaning tools as well as simulation details and parameters which

want to do the DFE of maize and teosinte at the same time? no reason we can't right, and then do the simulations. Though if it takes time, teosinte should be prioritized so the sims can be parameterized and started while the maize DFE is estimated

yup, should be easy to do both at same time.



can provide a building block from which further research can be conducted. I will present new findings at international conferences and submit publications to open-access pre-print servers. I will also be able to broadcast my work more widely to the public through a strong online presence I maintain on Twitter, blog posts I can contribute to The Molecular Ecologist, a blog I have contributed to in the past. The wide-ranging impacts of this research on corn as a crop species useful for both food and fuel resources is also of an innate broad impact, as understanding the genetics underlying adaptation will ensure a viable future for such crop species in the future. Lastly, this research will contribute greatly to my own career development, improving my knowledge on genomics and working in an economically important crop species. I will be able to learn these vital tools as well as to teach them to undergraduate students in the lab and into the future as the field of genomics continues to grow.

genetic architecture underlying traits affects how easy/hard and quick/slow local adaptation can occur. important for breeding, conservation, predicting response to climate change, etc. useful info for crops/domesticated species (and also things like disease in humans?)

yeah, we might think about what advantages we have over humans and how human research can benefit from plants!

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# Biographical Sketch — Kimberly Julie Gilbert

## A. Professional Preparation

Institution, Location	Major	Degree	Year
University of Virginia, USA	Biology	B.Sc.	2010
University of British Columbia, Canada	Zoology	Ph.D.	2016 (expected)

## B. Publications

### Five Publications Most Closely Related to the Proposed Project

1. **Gilbert KJ**, MC Whitlock (2015) Evaluating methods for estimating local effective population size with and without migration. *Evolution*, 68(8), 2154-2166.
2. **Gilbert KJ**, MC Whitlock (2015)  $Q_{ST}$ - $F_{ST}$  comparisons with unbalanced half-sib designs. *Molecular Ecology Resources*, 15(2), 262-267.
3. Caplins SA, **KJ Gilbert**, C Ciotir, J Roland, SF Matter, N Keyghobadi (2014) Landscape structure and the genetic effects of a population collapse. *Proceedings of the Royal Society B*. 281: 20141798; doi: 10.1098/rspb.2014.1798
4. Keller SR, **KJ Gilbert**, PD Fields, DR Taylor (2012) Bayesian inference of a complex invasion history revealed by nuclear and chloroplast genetic diversity in the colonizing plant, *Silene latifolia*. *Molecular Ecology*, 21(19), 4721-4734.
5. Whitlock MC, **KJ Gilbert** (2012)  $Q_{ST}$  in a hierarchically structured population. *Molecular Ecology Resources*, 12(3), 481-483.

### Four Other Significant Publications

1. Santiso X, L Lopez, **KJ Gilbert**, R Barreiro, MC Whitlock, R Retuerto (2015) Patterns of genetic variation within and among populations in *Arbutus unedo* and its relation with selection and evolvability. *Perspectives in Plant Ecology, Evolution and Systematics*, 17(3), 185-192.
2. Vines TH, RL Andrew, DG Bock, MT Franklin, **KJ Gilbert**, NC Kane, EJ Kleynhans, J-S Moore, BT Moyers, S Renaut, DJ Rennison, T Veen, S Yeaman (2013) Mandated archiving greatly improves access to research data. *FASEB Journal*, 27(4), 1304-1308.
3. **Gilbert KJ**, RL Andrew, DG Bock, MT Franklin, NC Kane, J-S Moore, BT Moyers, S Renaut, DJ Rennison, T Veen, TH Vines (2012) Recommendations for utilizing and reporting population genetic analyses: The reproducibility of genetic clustering using the program STRUCTURE. *Molecular Ecology*, 21(20), 4925-4930.
4. Vines TH, AYK Albert, RL Andrew, F Débarre, DG Bock, MT Franklin, **KJ Gilbert**, J-S Moore, S Renaut, DJ Rennison (2014) The availability of research data declines rapidly with age. *Current Biology*, 24, 94-97.

## C. Select Conference Presentations (chosen from 11 presentations)

- 2015 Validating SNP loci underlying local adaptation in lodgepole pine; KJ Gilbert, S Yeaman, KE Lotterhos, KA Hodgins, H Suren, JA Holliday, S Nadeau, SN Aitken, MC Whitlock *Poster, 15<sup>th</sup> ESEB Congress, Lausanne, Switzerland*
- 2014 Evaluating methods for estimating effective population size in the presence of migration; KJ Gilbert & MC Whitlock *Oral presentation, Evolution, Raleigh, USA*
- 2012 Range expansion and adaptation across heterogeneous environments; KJ Gilbert & MC Whitlock *Poster, Evo-WIBO Conference (Evolutionary Biology in the Pacific Northwest), Port Townsend, USA*
- 2011 Inferred invasion history of *Silene latifolia* into North America utilizing population genetic data and approximate Bayesian computation; KJ Gilbert, SR Keller, PD Fields, DR Taylor *Poster, 13<sup>th</sup> Congress of the European Society for Evolutionary Biology, Tuebingen, Germany*

## D. Grants and Awards

Cordula and Gunter Paetzold Fellowship UBC \$18,000CAD 2015 – 2016

maybe still want to change around which pubs go in 5 most important?

<i>Declined</i> ; Zoology Graduate Fellowship UBC \$11,000CAD	2015 – 2016
Ann and William Messenger Graduate Fellowship UBC \$700CAD	2015
Zoology Graduate Fellowship UBC \$11,000CAD	2014 – 2015
Frieda Granot Graduate Scholarship in Interdisciplinary Research UBC \$200CAD	2013 – 2014
Theodore E Arnold Fellowship UBC \$7,750CAD	2013 – 2014
Patrick David Campbell Graduate Fellowship UBC \$8,050CAD	2013 – 2014
<i>Declined</i> ; Zoology Graduate Fellowship UBC \$10,000CAD	2013 – 2014
BRITE Fellowship UBC \$10,500CAD <i>per annum</i>	2011 – 2013

## E. Synergistic Activities

1. **Working Groups:** Participated in the NESCent Reproducible Science Hackathon (2014), a 21-member working group aimed at developing a curriculum and workflow for teaching reproducible science to researchers of any background. Participated in the SimBank NESCent Catalysis Meeting (2014) which was a 25-member working group to create a collection of openly available simulation results to facilitate testing of statistical population genetic and phylogeographic methods.
2. **Teaching:** Teaching assistant for Fundamentals of Evolutionary Biology (Fall 2012-Spring 2013) where I taught three sections per term of 45 students each and lead discussion-based tutorials. Teaching assistant for Fundamentals of Biostatistics (Fall 2013, 2014, 2015). Taught two sections of 70 students total in 2013, and in 2014 and 2015 served as the lab coordinator for 254 and 276 students enrolled in the course, respectively, while teaching one section of 36 and 35 students respectively.
3. **Service:** Served as a Graduate Student Council Member for the American Society of Naturalists (2013-2016, chair 2015-2016). Served as the graduate student representative on the 2014 evolutionary biology CRC2 job search for the Department of Zoology, University of British Columbia. Organize the Biodiversity Research Centre's weekly evolution discussion group (2014-2016), for students, post-docs, and faculty from the departments of Zoology, Botany, Forestry, and Fisheries to discuss current papers in evolutionary biology. Reviewer for *Molecular Ecology Resources*, *Ecology and Evolution*, *Tree Genetics & Genomes*.
4. **Outreach:** Volunteer mist-netting and bird banding with local Vancouver non-profit organization Wild Research (2013-2015) where I participated in winter, spring migration, and fall migration bird monitoring at Iona Island Bird Observatory, taught volunteers proper bird handling, aging, data collection, and mist net extraction techniques, and assisted in educating public visitors to the station about the species conservation and monitoring, and the general tasks of running a banding station.

## F. Collaborators (Total: 25)

*U Alberta* Jens Roland; *U Basel* Peter Fields; *U British Columbia* Dan Bock, Diana Rennison; *U Calgary* Sam Yeaman; *UC Davis* Serena Caplins; *U Cincinnati* Stephen Matter; *CIRB Paris* Florence Débarre; *Colorado State* Brook Moyers; *U Colorado* Nolan Kane; *U Coruna* Rodolfo Barreiro, Lúa López; *Kwantlen Polytechnic U* Michelle Franklin; *U Laval* Jean-Sébastien Moore; *Mol. Ecol. Managing Editor* Timothy Vines; *U Montreal* Sébastien Renaut; *U New England (Australia)* Rose Andrew; *UT Austin* Thor Veen; *Trent U* Claudia Ciotir; *U Santiago de Compostela* Rubén Retuerto Franco; *Xabier Santiso*; *U Vermont* Stephen Keller; *U Virginia* Douglas Taylor; *Western U* Nusha Keyghobadi; *Women's Health Research Institute* Arianne Albert

**Graduate Advisor (Total: 1)** *University of British Columbia* Michael C. Whitlock

# Biographical Sketch — Jeffrey Ross-Ibarra

## 1 Professional Preparation

Institution	Area	Degree / Training	Dates
University of California Riverside	Botany	BA, MS	1998, 2000
University of Georgia	Genetics	PhD	2006
University of California Irvine	Genetics	Postdoctoral Research	2008

## 2 Professional Appointments

Position	Institution	Dates
Associate Professor	University of California Davis	2012-present
Assistant Professor	University of California Davis	2009-2012
Profesor de Asignatura	Universidad Nacional Autónoma de México	2001

## 3 Products

### Most Relevant to the Proposed Research

- Mezouk S, **Ross-Ibarra J** (2014) The pattern and distribution of deleterious mutations in maize. (2014) *G3* 4:163-171
- Hufford MB, Xun X, van Heerwaarden J, Pyhäjärvi T, Chia J-M, Cartwright RA, Elshire RJ, Glaubitz JC, Guill KE, Kaeppler S, Lai J, Morrell PL, Shannon LM, Song C, Springer NM, Swanson-Wagner RA, Tiffin P, Wang J, Zhang G, Doebley J, McMullen MD, Ware D, Buckler ES, Yang S, **Ross-Ibarra J** (2012) Comparative population genomics of maize domestication and improvement. *NATURE GENETICS* 44:808-811
- Cook JP, McMullen MD, Holland JB, Tian F, Bradbury P, **Ross-Ibarra J**, Buckler ES, Flint-Garcia SA (2012) Genetic architecture of maize kernel composition in the Nested Association Mapping and Inbred Association panels. *PLANT PHYSIOLOGY* 158: 824-834
- van Heerwaarden J, Doebley J, Briggs WH, Glaubitz JC, Goodman MM, Sánchez González JJ, **Ross-Ibarra J** (2011) Genetic signals of origin, spread and introgression in a large sample of maize landraces. *PNAS* 108: 1088-1092
- **Ross-Ibarra J**, Tenaillon M, Gaut BS (2009) Historical divergence and gene flow in the genus *Zea*. *GENETICS* 181: 1399-1413.

### Additional Products

- Gerke JP, Edwards JW, Guill KE, **Ross-Ibarra J**, McMullen MD (2015) The genomic impacts of drift and selection for hybrid performance in maize. *GENETICS In Press*
- Takuno S, Ralph P, Swarts K, Elshire RJ, Glaubitz JC, Buckler ES, Hufford MB, and **Ross-Ibarra J** (2015) Independent molecular basis of convergent highland adaptation in maize. *GENETICS* 200:1297-1312
- Wills DM, Whipple C, Takuno S, Kursel LE, Shannon LM, **Ross-Ibarra J**, Doebley JF (2013) From many, one: genetic control of prolificacy during maize domestication. *PLOS GENETICS* 9(6): e1003604.
- Studer A, Zhao Q, **Ross-Ibarra J**, Doebley J (2011) Identification of a functional transposon insertion in the maize domestication gene *tb1*. *NATURE GENETICS* 43:1160-1163.



- Gore MA, Chia JM, Elshire RJ, Sun Q, Ersoz ES, Hurwitz BL, Peiffer JA, McMullen MD, Grills GS, **Ross-Ibarra J**, Ware DH, Buckler ES (2009) A first-generation haplotype map of maize. *SCIENCE* 326: 1115-1117.

## 4 Synergistic Activities

- Faculty Development Award in recognition of university service, 2015
- Editor, G3, PeerJ, Axios Reviews
- DuPont Young Professor 2012-2014 and faculty advisor DuPont Pioneer graduate student symposium in plant breeding 2012-present
- Functional Genetics of Maize Centromeres US-Mexico exchange program, 2011-present
- Presidential Early Career Award for Scientists and Engineers 2009

## 5 Collaborators and Other Affiliations

### Collaborators and Co-editors (Total: 56)

*Cornell U* Peter Bradbury, Jeffrey Glaubitz, Susan McCouch, Qi Sun, Feng Tian, Sharon Mitchell; *USDA-ARS* Edward Buckler, Sarah Hake, James Holland, Sherry Flint-Garcia, Mike McMullen, Doreen Ware, Jode Edwards; *U Southern California* Peter Ralph; *UC Davis* Alan Bennet, Daniel Runcie, Ed Taylor, Graham Coop, Keith Bradnam, Ian Korf, David Neale, Amélie Gaudin; *UC Irvine* Kevin Thornton; *Carnegie Institute* Davide Sosso; *Stanford* Wolf Frommer; *LANGEBO* Ruairidh Sawers; *U Georgia* Kelly Dawe; *Arizona State* Reed Cartwright; *U Missouri* James Birchler, Katherine Guill, David Wills; *Beijing Genomics Institute* Song Chi, Xun Xu; *U Wisconsin* John Doebley, Jiming Jiang, Shawn Kaeppler; *Syngenta* William Briggs; *Monsanto* Lisa Kanizay; *Dupont Pioneer* Andy Baumgarten, Justin Gerke, Oscar Smith, Tabare Abadie; *U Minnesota* Roman Briskine, Peter Morrell, Chad Myers, Nathan Springer, Peter Tiffin; *MIT* Mary Gehring; *NC State* Major Goodman; *INRA* Clementine Vitte, Maud Tenaillon; *Brigham Young* Clinton Whipple; *Danforth Center* Anthony Studer; *Universidad de Guadalajara* Jesus Sánchez González; *Iowa State* Carolyn Lawrence; *U Hawaii* Gernot Presting; *UC Riverside* Mitchell Provance

### Graduate Advisors and Postdoctoral Sponsors (Total: 3)

*UC Riverside* Norman Ellstrand; *U Georgia* James Hamrick; *UC Irvine* Brandon Gaut

### Thesis Advisor and Postgraduate Sponsor (Total: 14)

**Postdoctoral:** *Iowa State* Matthew Hufford; *Graduate U Advanced Studies* Shohei Takuno; *U Oulu* Tanja Pyhäjärvi, *KWS* Sofiane Mezmouk; *Wageningen* Joost van Heerwaarden; *USDA* Tim Beissinger; *UC Davis* Kate Crosby, Sayuri Tsukahara, Simon Renny-Byfield, Jinliang Yang **Graduate:** Dianne Velasco, Paul Bilinski, Anna O'Brien, Michelle Stitzer

## Biographical Sketch — Kevin Richard Thornton

### 6 Professional Preparation

Institution	Area	Degree / Training	Dates
University of Puget Sound	Botany	BA	1997, 2000
University of Chicago	Genetics	PhD	2003
Cornell University	Genetics	Postdoctoral Research	2007

### 7 Professional Appointments

Position	Institution	Dates
Associate Professor	University of California Irvine	2012-present
Assistant Professor	University of California Irvine	2007-2012

### 8 Products

#### Most Relevant to the Proposed Research

- **Thornton, K. R.** (2014) A C++ template library for efficient forward-time population genetic simulation of large populations. *Genetics* 98:157-166 PMID: 24950894
- **Thornton, K.** (2003) libsequence, a C++ class library for evolutionary genetic analysis. *Bioinformatics* 19(17): 2325-2327 PMID 14630667
- **Thornton, K. R., A. J. Foran, and A. D. Long** (2013) Properties and modeling of GWAS when complex disease risk is due to non-complementing, deleterious mutations in genes of large effect. *PLoS Genetics* 9: e1003258. PMID 23437004

#### Additional Products

- Cridland, J. M., **K. R. Thornton** and A. D. Long (2015) Gene expression variation in *Drosophila melanogaster* due to rare transposable element insertion alleles of large effect. *Genetics* 199: 85-93.
- Baldwin-Brown, J., A. D. Long, and **K. R. Thornton** (2014) The Power to Detect Quantitative Trait Loci Using Resequenced, Experimentally Evolved Populations of Diploid, Sexual Organisms. *Molecular Biology and Evolution* 31: 1040-1055. PMID 24441104
- Open-source software: <http://molpopgen.github.io/fwdpp/>
- Open-source software: <http://molpopgen.github.io/libsequence/>

### 9 Synergistic Activities

- Open-source software: <http://molpopgen.github.io/fwdpy/> This software is unpublished, and will be a key resource for this proposal.
- Editor, G3

## 10 Collaborators and Other Affiliations

### **Collaborators and Co-editors (Total: 7)**

- *Cornell University* Andrew G. Clark
- *North Carolina State University* Trudy Mackay
- *Princeton University* Peter Andolfatto
- *Rochester University* Daniel Garrigan, Daven C. Presgraves
- *UC Irvine* Anthony (Tony) Long
- *University of Kansas* Stuart MacDonald

### **Graduate Advisors and Postdoctoral Sponsors (Total: 2)**

*University of Chicago* Manyuan Long  
*Cornell University* Andrew G. Clark

### **Thesis Advisor and Postgraduate Sponsor (Total: 3)**

**Postdoctoral:** Rebekah R. Rogers **PhD Thesis Advisor:** Julie M. Cridland, Jaleal S. Sanjak

# **Data Management Plan**

## **Data Types**

This proposal will generate genotype and full-genome sequence data, phenotype data, analytical code, germplasm, and publications.

## **Data Archiving, Plan for Sharing, Public Access Policy**

**Genotype and Sequence Data** EDIT THIS WHOLE DOCUMENT

## Dissertation Summary - Kimberly J. Gilbert

A major obstacle in evolutionary biology is the difficulty of population genetic inference in the face of confounding factors, such as demographic history. My dissertation work has focused on several topics related to this broad area of research:

1. Evaluating the ability of statistical genetic methods to estimate effective population sizes in the face of migration (Gilbert and Whitlock, 2015)
2. Assessing the factors related to local adaptation at range edges during species expansion
3. Validating SNP loci under selection for adaptation to climate in lodgepole pine (*Pinus contorta*)

Effective population size,  $N_e$ , is a fundamental parameter in population genetics, evolutionary biology, and conservation biology, yet its estimation can be fraught with difficulties. Several methods to estimate  $N_e$  from genetic data have been developed that take advantage of various approaches for inferring  $N_e$ . The ability of these methods to accurately estimate  $N_e$ , however, has not been comprehensively examined. This part of my dissertation work employed seven of the most cited methods for estimating  $N_e$  from genetic data (Colony2, CoNe, Estim, MLNe, ONeSAMP, TMVP, and NeEstimator including LDNe) across simulated datasets with populations experiencing migration or no migration. The simulated population demographies were an isolated population with no immigration, an island model metapopulation with a sink population receiving immigrants, and an isolation by distance stepping stone model of populations. We found considerable variance in performance of these methods, both within and across demographic scenarios, with some methods performing very poorly. The most accurate estimates of  $N_e$  can be obtained by using LDNe, MLNe, or TMVP; however each of these approaches is outperformed by another in a differing demographic scenario. Knowledge of the approximate demography of population as well as the availability of temporal data largely improves  $N_e$  estimates.

Species range edges have boundaries that cannot always be explained ecologically or geographically, which leaves the question of what evolutionary forces may prevent populations at range edges from adapting and expanding the species range further. A large body of theoretical work has investigated many evolutionary parameters' effects on local adaptation in edge populations, but one area lacking in research is that of the interaction of the landscape with the ability to locally adapt. This study investigates how more realistic, heterogeneous environmental gradients (compared to the linear gradients that previous studies investigate) may interact with dispersal distance and the effect size of mutations. I have simulated a range of parameter combinations that show a strong relation of mutation effect size on the ability to spread across the landscape. As environmental heterogeneity increases, migration load (reduction in fitness due to dispersal away from an area previously adapted to) increases, and local adaptation becomes more difficult, especially in smaller populations at the range edge, slowing the speed of expansion across the landscape.

A history of range expansion can confound many inferences that population genetics aims to understand. Identifying the loci that underlie traits contributing to local adaptation is one such inference that is a major goal in evolutionary biology today. The lodgepole pine (*Pinus contorta*) is a major timber tree in the Pacific Northwest which has a history of expansion post-glaciation, and either one or putatively a second glacial refugia from which this expansion occurred. Climate change is spurring foresters to plant trees for future harvest that will be best adapted to future climates for optimal yield, hence identifying loci underlying adaptation to climate change is a key goal. I am conducting a validation study of SNP loci identified through GWAS, genotype-environment association, and  $F_{ST}$  outlier tests to assess how often these methods may produce false positives as a result of population structure and spatial autocorrelation of genetic clines due to range expansion with gradients in environmental variables (i.e. temperature and precipitation). I have sampled a provenance trial (common garden study) in British Columbia to compare performance of populations from a range of native temperatures (MAT -3.7°C - 11°C) planted across test sites of varying temperature (MAT -1.4°C - 5°C) from which I will be able to test if predicted alleles do indeed show increased performance in mature, natural-grown trees.