

# Asymptotic Notations

# Asymptotic Notations

- Asymptotic notations are mathematical tools to represent the time complexities of the algorithms.
- There are three different notations-
  - Big Omega ( $\Omega$ ) – lower bound
  - Big Theta ( $\theta$ ) – tight bound
  - Big Oh ( $O$ ) – upper bound

# Asymptotic Notations

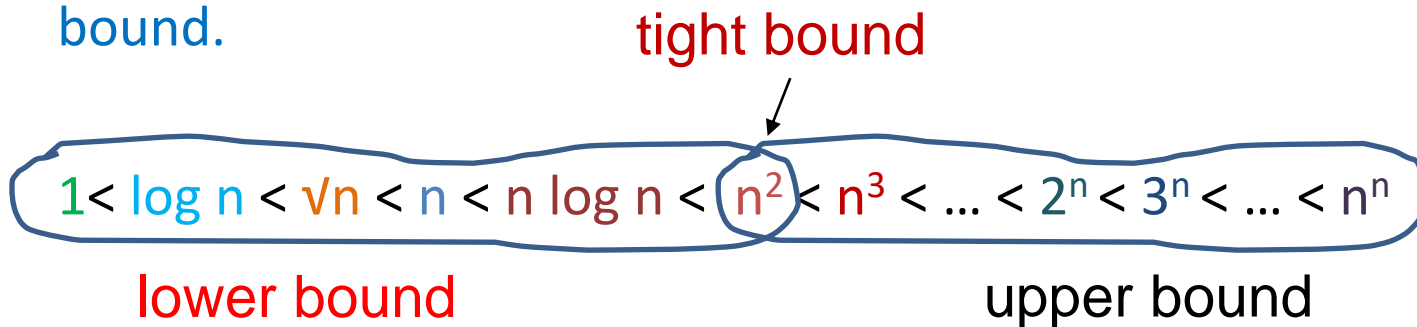
- From the algorithm analysis, we found that the time complexity of the algorithm would be any of the follows-

$$1 < \log n < \sqrt{n} < n < n \log n < n^2 < n^3 < \dots < 2^n < 3^n < \dots < n^n$$

- Suppose we have a function given as

$$f(n) = 2n^2 + 3n + 2$$

The **highest degree** in above function is  $n^2$ , So all the time complexities after  $n^2$  including itself will be the upper bound, all before including  $n^2$  itself will be the lower bound of the function. The  $n^2$  itself will be the tight bound.



Hence,  $f(n) = O(n^2)$ ,  $f(n) = O(n^3)$ ,  $f(n) = O(2^n)$  // upper bound

Similarly,

$$f(n) = \Omega(n^2), f(n) = \Omega(n), f(n) = \Omega(\log n) = \Omega(1)$$

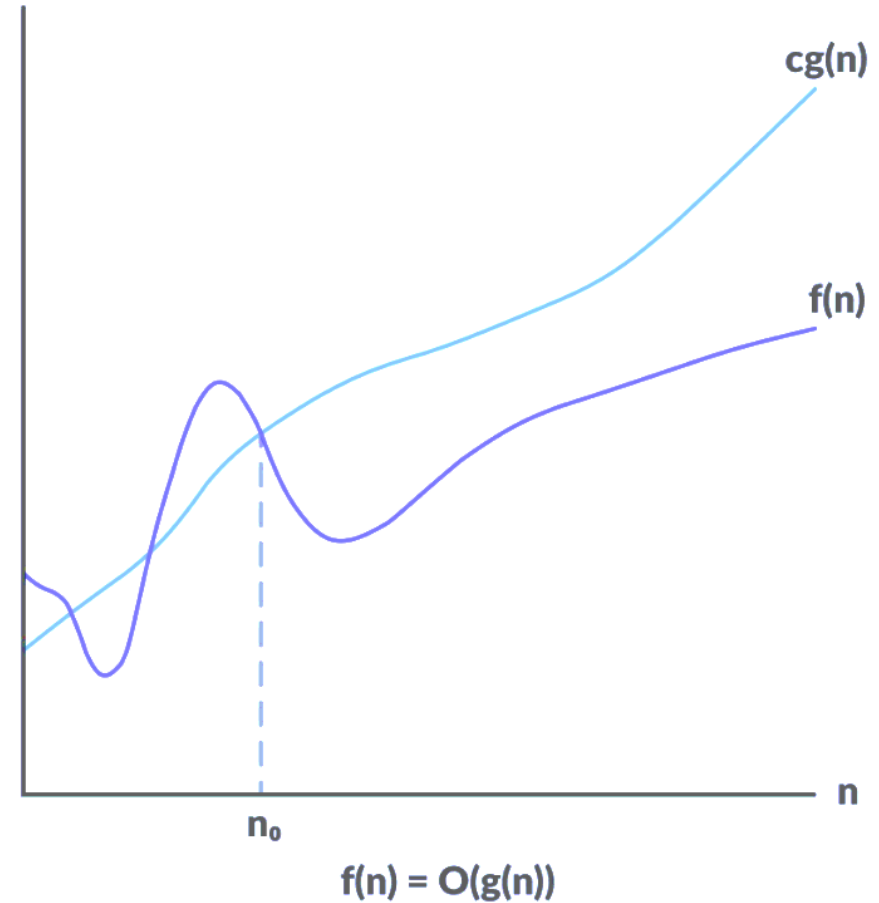
For any function if  $f(n) = \Omega(n^2)$  and  $f(n) = O(n^2)$  then  $f(n) = \theta(n^2)$ . [known as tight bound]

# Asymptotic Notations: Big O notation

## Formal Definition:

$f(n) = O(g(n))$  if there exist positive constant  $c$  and  $n_0$  such that-

$f(n) \leq c * g(n)$  for all values of  $n \geq n_0$



Big-O gives the upper bound of a function

# Asymptotic Notations: Big O notation

## Formal Definition:

$f(n) = O(g(n))$  if there exist positive constant  $c$  and  $n_0$  such that-  
 $f(n) \leq c * g(n)$  for all values of  $n \geq n_0$

If  $f(n) = 2n^2 + 3n + 1$

If we make all statements  $n^2$  then statement will be  $2n^2 + 3n^2 + n^2 = 6n^2$

and  $2n^2 + 3n + 1 \leq 6n^2$  for all values of  $n$  i.e.,  $n \geq 1$

$f(n) \leq c * g(n)$  and  $g(n) = 6n^2$ , then it can be said that

$f(n) \leq 6n^2$

So, we can say,  $f(n) \leq O(g(n))$  for  $c=6$  and  $n_0=1$

$f(n) = O(n^2)$

# Asymptotic Notations: Big O notation

$f(n) = O(g(n))$  if there exist positive constant  $c$  and  $n_0$  such that-  
 $f(n) \leq c * g(n)$  for all values of  $n \geq n_0$

If  $f(n) = 2n^2 + 3n + 1$

If we make all statements  $2^n$  then statement will be  $2 * 2^n + 3 * 2^n + 2^n = 6 * 2^n$

and  $2n^2 + 3n + 1 \leq 6 * 2^n$  for all values of  $n_0$

$f(n) \leq c * g(n)$  and  $g(n) = 6 * 2^n$  then it can be said that

$$f(n) \leq 6 * 2^n$$

So, we can say,  $f(n) \leq O(g(n))$  for  $c=6$  and  $n_0 \geq 0$

$$f(n) = O(2^n)$$

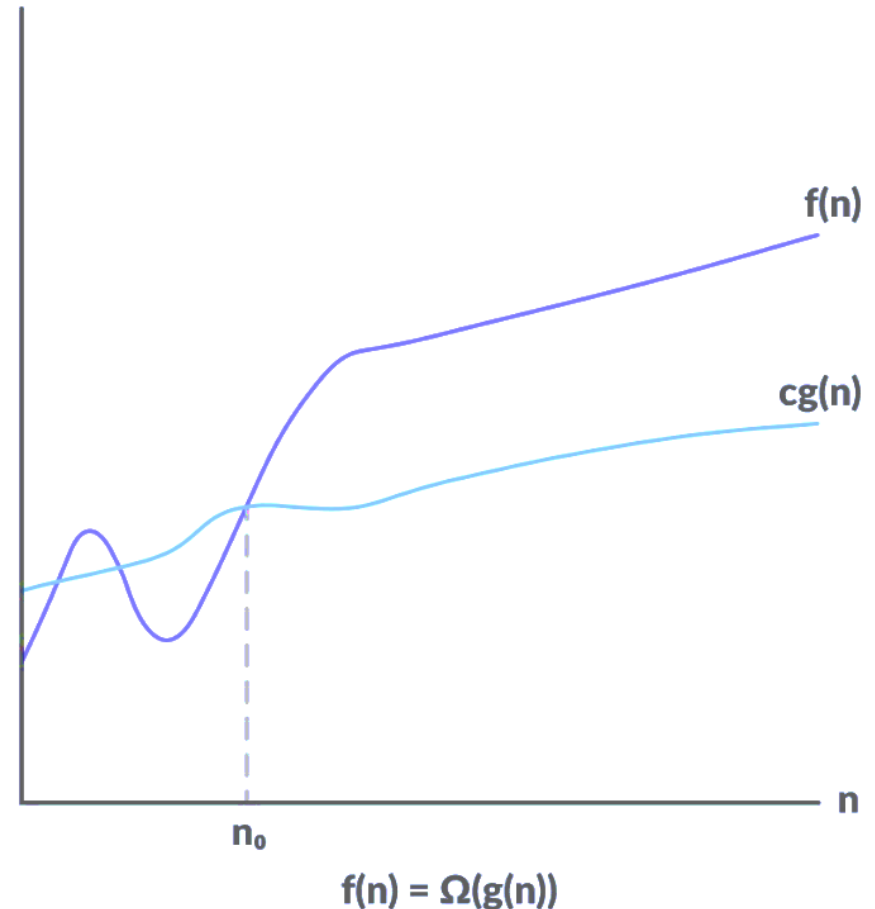
Always write the closest function. For the above function, the closest function is  $g(n) = 6n^2$

# Asymptotic Notations: $\Omega$ notation

## Formal Definition:

The lower bound of  $f(n)$  will be  $\Omega(g(n))$ , i.e.,  $f(n) = \Omega(g(n))$ , if there exist positive constant  $c$  and  $n_0$  such that-

$f(n) \geq c \cdot g(n)$  for all values of  $n \geq n_0$



Omega gives the lower bound of a function



# Asymptotic Notations: $\Omega$ notation

## Formal Definition:

$f(n) = \Omega(g(n))$  if there exist positive constant  $c$  and  $n_0$  such that-  
 $f(n) \geq c * g(n)$  for all values of  $n \geq n_0$

If  $f(n) = 2n^2 + 3n + 1$

If we discard everything except  $n^2$  then  $g(n) = n^2$  and  $f(n) = 2n^2 + 3n + 1$ . So,

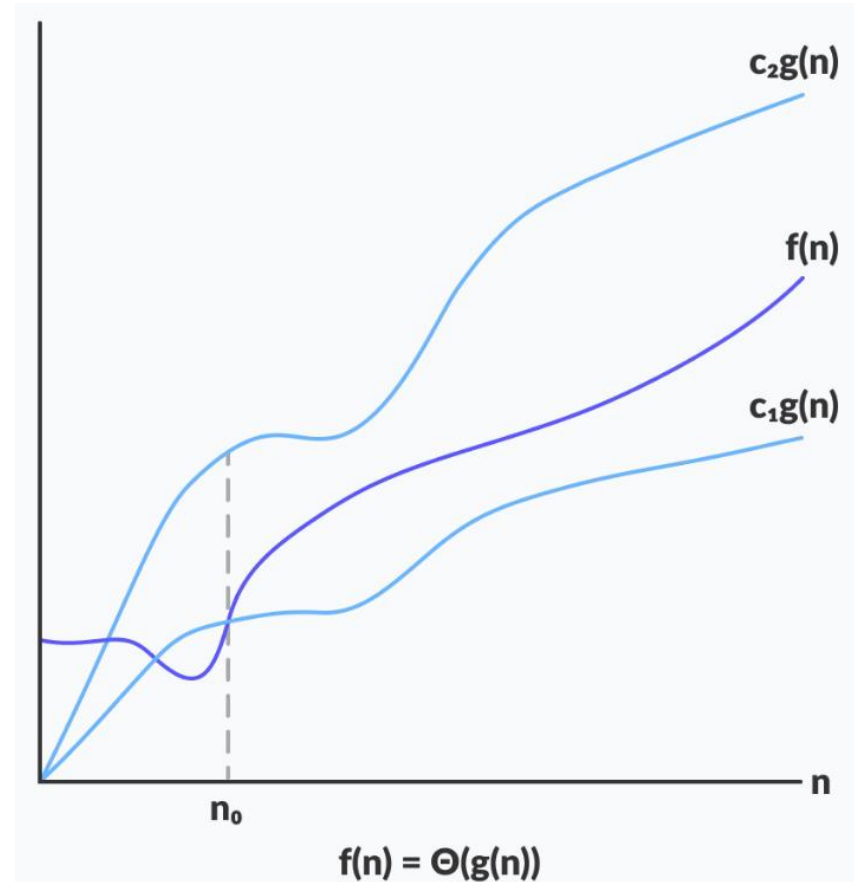
$$\begin{aligned} 2n^2 + 3n + 1 &\geq 1 * n^2 \text{ for all values of } n \geq n_0 \\ f(n) &\geq c * g(n) \end{aligned}$$

So, we can say,  $f(n) \geq \Omega(g(n))$  for  $c=1$  and  $n_0 \geq 1$   
 $= \Omega(n^2)$

# Asymptotic Notations: $\theta$ notation

## Formal Definition:

$f(n) = \theta(g(n))$  if there exist positive constant  $c_1$ ,  $c_2$ , and  $n_0$  such that-  
 $c_1 * g(n) \leq f(n) \leq c_2 * g(n)$  for all values of  $n \geq n_0$



Theta gives the tight bound of a function

# Asymptotic Notations: $\theta$ notation

## Formal Definition:

$f(n) = \theta(g(n))$  if there exist positive constant  $c_1, c_2$ , and  $n_0$  such that-  
 $c_1 * g(n) \leq f(n) \leq c_2 * g(n)$  for all values of  $n \geq n_0$

If  $f(n) = 2n^2 + 3n + 1$ , then

$$\underbrace{1}_{c_1} * \underbrace{n^2}_{g(n)} \leq 2n^2 + 3n + 1 \leq \underbrace{6}_{c_2} * \underbrace{n^2}_{g(n)}$$

Therefore, we can say  $f(n) = \theta(g(n)) = \theta(n^2)$

This is the **tight bound** and **only one statement** will be satisfied for the tight bound. For the above we cannot say  $f(n) = \theta(n)$  but for other asymptotic notation there may be other condition which may also satisfy.

# Asymptotic Notations: Example

$$f(n) = n^2 \log n + n$$

Replace  $n$  by  $n^2 \log n$  for upper bound, discard everything after first statement for lower bound.

$$\underbrace{n^2 \log n}_{\text{Lower bound}} \leq n^2 \log n + n \leq 2 * \underbrace{n^2 \log n}_{\text{Upper bound}}$$

Tight bound

Same term for both lower and upper, so, we can get the tight bound.

$$\text{So, } f(n) = O(n^2 \log n), f(n) = \Omega(n^2 \log n), f(n) = \Theta(n^2 \log n)$$

# Asymptotic Notations

Find asymptotic upper bound for the  $f(n) = n!$ .

# Asymptotic Notations

Find asymptotic upper bound for the  $f(n) = n!$ .

As,  $n! = n * (n-1) * (n-2) * (n-3) * \dots * 2 * 1$

To find the upper bound replace all statement by  $n$

$$g(n) = n * n * n * n * \dots * n * n = n^n$$

As per the asymptotic upper bound  $f(n) \leq c * g(n)$ , so

$$n! \leq 1 * n^n \quad \text{for } n \geq 1$$

Thus, we can say,  $f(n) = O(n^n)$

To find the lower bound replace all statement either by 1 or  $\log n$

$$g(n) = 1 * 1 * 1 * 1 * \dots * 1 = 1^n \quad \text{or} \quad g(n) = \log n * \log n * \dots * \log n = n \log n$$

As per the asymptotic lower bound  $f(n) \geq c * g(n)$ , so

$$f(n) = n! \geq 1 * 1^n \quad \text{for } n \geq n_0 \quad \text{Thus, we can say, } f(n) = \Omega(1)$$

$$f(n) = n! \geq n \log n \quad \text{for } n \geq n_0 \quad \text{Thus, we can say, } f(n) = \Omega(n \log n)$$

# Asymptotic Notations: Example

For average or tight bound, we can write,

$$1^n \leq n! \leq n^n$$

It can be observed that there is no common asymptotic values for lower and upper bounds. Therefore, no tight bound will exist for  $n!$ .

For many functions, tight bound do not exist. In such case upper and lower bound can be used to represent the asymptotic bounds.

$$f(n) = O(n^n)$$

$$f(n) = \Omega(1)$$

# Asymptotic Notations: Example

$$f(n) = \log n!$$

We can write as-

$$\log(1*1*1*...*1) \leq \log(1*2*3*...*n) \leq \log(n*n*n*...*n*n)$$

$$1 \leq \log n! \leq \log n^n$$

$$1 \leq \log n! \leq n \log n$$

This also has no common terms for lower and upper bounds, so for this also no tight bounds exists. In such cases upper bounds are used for asymptotic bounds.

Please note, tight bound does not exist for the factorial functions.

Theta notations always tells the best value/ complexity while others may not give closest value/ complexity. This means Big O and Big Omega is used when we cannot find the exact figure/ complexity.



# Properties of Asymptotic Notations

1. If  $f(n)$  is  $O(g(n))$  then  $a * f(n)$  is also  $O(g(n))$ .

for e.g.,  $f(n) = 2n^2 + 3 = O(n^2)$  then

$$5 * f(n) = 5 * (2n^2 + 3) = 10n^2 + 15 \Rightarrow O(n^2)$$

The same property also satisfy for  $\Omega(n)$  and  $\theta(n)$ .

2. If  $f(n)$  is given then  $f(n) = O(f(n))$ .

for e.g.,  $f(n) = 2n^2 + 3 = O(f(n)) = O(n^2)$

The same property also satisfy for  $\Omega(n)$  and  $\theta(n)$ .

3. If  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$ , then  $f(n) = O(h(n))$

for e.g.,  $f(n) = n$ ,  $g(n) = n^2$  and  $h(n) = n^3$

$n = O(n^2)$  and  $n^2 = O(n^3)$ , then

$n = O(n^3)$

The same property also satisfy for  $\Omega(n)$  and  $\theta(n)$ .

# Properties of Asymptotic Notations

## 4. Symmetric property

If  $f(n)$  is  $\theta(g(n))$  then  $g(n)$  is  $\theta(f(n))$ .

for e.g.,  $f(n) = 2n^2 + 3 = \theta(n^2)$

$g(n) = n^2 + 2 \Rightarrow \theta(n^2)$

As both functions are same, they will be symmetric.

This property only satisfy for  $\theta(n)$ .

## 5. Transpose Symmetric

If  $f(n)$  is  $O(g(n))$  then  $g(n)$  is  $\Omega(f(n))$ .

for e.g.,  $f(n) = n + 3 = O(n)$ ,

$g(n) = 2n^2 + 1 = O(n^2)$

Then,  $n = O(n^2)$  and  $n^2 = \Omega(n)$

This property satisfy for big O and big omega.

# Properties of Asymptotic Notations

6. If  $f(n) = O(g(n))$  then  $f(n) = \Omega(g(n))$ , i.e.,

$g(n) \leq f(n) \leq g(n)$ , then

$$f(n) = \theta(g(n))$$

**Find the asymptotic bound for the following**

**i. If  $f(n) = O(g(n))$ , and  $d(n) = O(e(n))$ , then  $f(n) + d(n) = ?$**

Assume  $f(n) = n$  and  $d(n) = n^2$  then

$$f(n) + d(n) = n + n^2 = O(n^2)$$

If we take  $f(n) = n^2$  and  $d(n) = n$  then

$$f(n) + d(n) = n^2 + n = O(n^2) = O(\max(O(g(n)), e(n)))$$

This means the asymptotic bound will be equal to the function having greater bound.

**ii. If  $f(n) = O(g(n))$ , and  $d(n) = O(e(n))$ , then  $f(n) * d(n) = ?$**

# Comparison of two Functions

Which of the following is greater?

$n^2$  or  $n^3$

## Method 2

Take log for  $n^2$  and  $n^3$

$$\text{Log } n^2 = 2\text{Log } n \quad \text{Log } n^3 = 3\text{Log } n$$

It can be observed from the above that  $2\text{Log } n < 3\text{Log } n$ . So,  $n^3 > n^2$ .

## Method 1

n	$n^2$	$n^3$
1	1	1
2	4	8
3	9	27
4	16	64

It can be observed from the above that  $n^3 > n^2$ .

## Important log formulas

$$a^{\log_c b} = b^{\log_c a} \quad a^b = n \text{ then } b = \log_a n$$

$$\log a/b = \log a - \log b \quad \log ab = \log a + \log b$$

$$\log_a b = \log a$$

# Comparison of two Functions

Which of the following is greater?

$$f(n) = n^2 \log n \text{ or } g(n) = n(\log n)^{10}$$

Apply log both sides

$$\log(n^2 \log n) \quad \log[n(\log n)^{10}]$$

$$\log n^2 + \log \log n \quad \log n + \log(\log n)^{10}$$

$$2\log n + \log \log n \quad \log n + 10 \log \log n$$

Bigger  
Term

Smaller  
Term

Here,  $2\log n > \log n$  so,  $n^2 \log n > n(\log n)^{10}$

Which of the following is greater?

$$f(n) = 3n^{\sqrt{n}} \text{ or } g(n) = 2^{\sqrt{n} \log_2 n}$$

## Important log formulas

$$1. a^{\log_c b} = b^{\log_c a}$$

$$2. a^b = n \text{ then } b = \log_a n$$

$$3. \log a/b = \log a - \log b$$

$$4. \log ab = \log a + \log b$$

$$5. \log_a b = b \log_a$$

# Comparison of two Functions

**Which of the following is greater?**

$$f(n) = n^{\log n} \text{ or } g(n) = 2^{\sqrt{n}}$$

**Which of the following is greater?**

$$f(n) = 2^n \text{ or } g(n) = 2^{2n}$$

**Which of the following is greater?**

$$f(n) = 2n \text{ or } g(n) = 3n$$

# Comparison of two Functions

Which of the following is greater?

$$f(n) = n^{\log n} \text{ or } g(n) = 2^{\sqrt{n}}$$

Apply log

$$\begin{array}{ll} \log n^{\log n} & \log 2^{\sqrt{n}} \\ \log n \times \log n & \sqrt{n} \log_2 2 \\ \log^2 n & \sqrt{n} \end{array}$$

Apply log one more time

$$2 \log \log n \quad \frac{1}{2} \log n$$

$$\text{So, } f(n) < g(n)$$

Which of the following is greater?

$$f(n) = 2^n \text{ or } g(n) = 2^{2n}$$

Apply log

$$\begin{array}{ll} \log 2^n & \log 2^{2n} \\ n \log_2 2 & 2n \log_2 2 \\ n & 2n \end{array}$$

$$\text{So, } f(n) < g(n)$$

Which of the following is greater?

$$f(n) = 2n \text{ or } g(n) = 3n$$

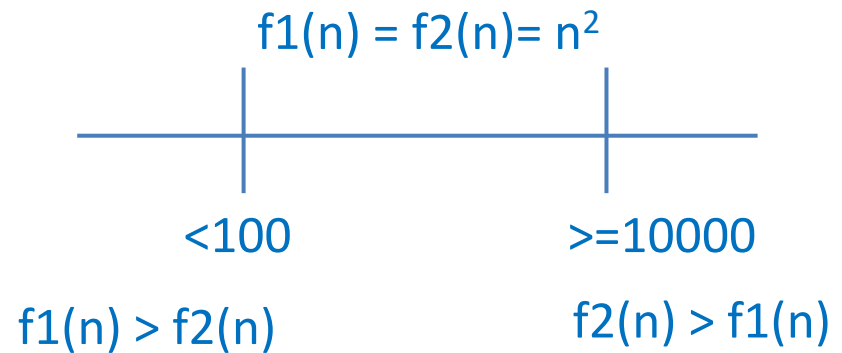
Both are asymptotically equal because we are not changing function by applying log

# Comparison of two Functions

Which of the following is greater?

$$f_1(n) = \begin{cases} n^3 & n < 100 \\ n^2 & n \geq 100 \end{cases}$$

$$f_2(n) = \begin{cases} n^2 & n < 10000 \\ n^3 & n \geq 10000 \end{cases}$$



It can be observed from the analysis that  $f_2(n)$  will always be greater than  $f_1(n)$  for  $n \geq 10000$ .



# Best, Worst, and Average case Analysis

Let's take an example of linear search

A= 

7	10	12	9	21	15	6	8
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If we want to search a key =21, 5 comparison will be needed

For any key such as 75, it is not present in the list so search will be unsuccessful.  
As we are only interested in successful search so, check all possible cases for successful search.

**Case 1:** if the key is the first element (7) of the list [Best case]

*Best case time = 1 (constant)  $\Rightarrow B(n)=1$*

**Case 2:** if the key is the last element (8) of the list [Worst Case]

*Worst case time =  $n \Rightarrow w(n)=n$*

**Case 3:** Average case time= all possible case time/no. of cases

It is difficult to find the average case time for any algorithm and generally, it is equivalent to worst case time.

*$= 1+2+3+\dots + n / n \Rightarrow [n(n+1)/2]/n = (n+1)/2$*

*$A(n) = (n+1)/2$*

# Best, Worst, and Average case Analysis

## Analyzing Asymptotic notations

### Best case time

$$B(n)=1$$

$$B(n)=O(1)$$

$$B(n) = \Omega(1)$$

$$\text{So, } B(n) = \Theta(1)$$

### Worst case time

$$w(n)=n$$

$$B(n)=O(n)$$

$$B(n) = \Omega(n)$$

$$\text{So, } B(n) = \Theta(n)$$

There is no fixed notation to represent best case or worst-case time. This can be represented by any of the asymptotic notations. It is not true that best case can always be represented by  $\Theta$  and worst case by  $O$ .