

## 8 Relativistic Landau

Starting from Fokker-Planck-Landau equation has the following structure (we keep the notation consistent with Strain and Taskovic [ST19])

$$\partial_t f = \nabla_p \cdot \left( \int_{\mathbb{R}^3} \Phi(p, q) \cdot (f(q) \nabla_p f(p) - f(p) \nabla_q f(q)) dq \right). \quad (1)$$

where  $\Phi$  is a collision kernel. Specifically, if we let the single particle kinetic energy as  $\mathcal{E}(p)$ , this collision kernel can be written as the product of a scalar field  $\Lambda$  and a tensor field  $\mathbb{S}$ :

$$\Phi(p, q) = \Lambda(p, q) \mathbb{S}(\nabla_p \mathcal{E}(p), \nabla_q \mathcal{E}(q)).$$

The tensor field  $\mathbb{S}(\mathbf{v}, \mathbf{w})$  depends on velocities  $\mathbf{v}, \mathbf{w} \in \mathbb{R}^3$ . Denoting the relative velocity as  $\mathbf{u} := \mathbf{v} - \mathbf{w}$ , and the mean velocity as  $\mathbf{z} := \frac{\mathbf{v} + \mathbf{w}}{2}$ , then we consider tensors  $\mathbb{S}$  of the form,

$$\mathbb{S}(\mathbf{v}, \mathbf{w}) := \begin{cases} (\mathbf{u} \cdot \mathbf{u})I - \mathbf{u} \otimes \mathbf{u}, & \text{non-relativistic,} \\ (\mathbf{u} \cdot \mathbf{u})I - \mathbf{u} \otimes \mathbf{u} - (\mathbf{z} \times \mathbf{u}) \otimes (\mathbf{z} \times \mathbf{u}), & \text{relativistic.} \end{cases} \quad (2)$$

where  $I$  is the  $3 \times 3$  identity matrix. Moreover, the scalar field is given by

$$\Lambda(p, q) := \frac{(\rho + 1)^2}{\mathcal{E}(p)\mathcal{E}(q)} (\tau \rho)^{-3/2} \quad (3)$$

Where

$$\begin{aligned} \rho(p, q) &:= \mathcal{E}(p)\mathcal{E}(q) - p \cdot q - 1 \\ \tau(p, q) &:= \mathcal{E}(p)\mathcal{E}(q) - p \cdot q + 1 \end{aligned}$$

so that  $\tau = \rho + 2$ . Finally, the energy in the **relativistic case** is given by

$$\mathcal{E}(x) = \sqrt{1 + |x|^2}$$

where  $x \in \mathbb{R}^3$ . I will abbreviate with  $\mathcal{E}_x = \mathcal{E}(x)$ . The velocity is then given by

$$\nabla \mathcal{E}(x) = \frac{x}{\sqrt{1 + |x|^2}} = \frac{x}{\mathcal{E}_x}$$

### 8.1 Goal

As seen in the proof of theorem 5, the key to rotational equivariance (invariance, covariance) is to prove that the collision kernel has the property

$$\Phi(\mathbf{R}p, q) = \mathbf{R} \Phi(p, \mathbf{R}^T q) \mathbf{R}^T$$

where  $\mathbf{R}$  is a  $3 \times 3$  rotation matrix. (It should satisfy  $\mathbf{R}^{-1} = \mathbf{R}^T$ ,  $\det(\mathbf{R}) = 1$ .) There are two parts for this. First, the scalar field must satisfy

$$\Lambda(\mathbf{R}p, q) = \Lambda(p, \mathbf{R}^T q) \quad (4)$$

and the tensor field must satisfy

$$= \mathbf{R} \mathbb{S}(p, \mathbf{R}^T q) \mathbf{R}^T \quad (5)$$

## 8.2 Key Property

Here I highlight what it seems to me to be the key property. In both the relativistic and non-relativistic case we have that

$$\mathcal{E}(x) = F(r(x))$$

That is, given a vector  $x \in \mathbb{R}^3$ , the energy only depends on the radial part of the vector,  $r(x) = \sqrt{x \cdot x}$ . Then we have that this energy function is invariant with respect of rotations  $\mathbf{R}$ ,

$$\mathcal{E}(\mathbf{R}x) = F(r(\mathbf{R}x)) = F(\sqrt{\mathbf{R}x \cdot \mathbf{R}x}) = F(\sqrt{x \cdot x}) = F(r(x)) = \mathcal{E}(x)$$

Moreover, the gradient of this energy is rotational covariant (it commutes with rotation matrices). First, compute the gradient

$$\begin{aligned} \nabla \mathcal{E}(x) &= \nabla F(r(x)) \\ &= \partial_r F(r) \nabla r(x) \\ &= \partial_r F(r) x / r(x) \\ &= G(r(x)) x \end{aligned}$$

where  $G(r(x)) = \partial_r F(r)/r$  is another scalar. Then it is easy to see

$$\begin{aligned} \nabla \mathcal{E}(\mathbf{R}x) &= G(r(\mathbf{R}x)) \mathbf{R}x \\ &= \mathbf{R}(G(r(x))x) \\ &= \mathbf{R} \nabla \mathcal{E}(x) \end{aligned}$$

We can see that is the case for the relativistic energy (for the non-relativistic it is easier)

$$\mathcal{E}(\mathbf{R}x) = \sqrt{1 + |\mathbf{R}x|^2} = \sqrt{1 + |x|^2} = \mathcal{E}(x)$$

$$\nabla \mathcal{E}(\mathbf{R}x) = \frac{\mathbf{R}x}{\mathcal{E}_{\mathbf{R}x}} = \mathbf{R} \frac{x}{\mathcal{E}_x} = \mathbf{R} \nabla \mathcal{E}(x)$$

## 8.3 The Scalar Field

Note

$$\begin{aligned} \rho(\mathbf{R}p, q) &= \mathcal{E}(\mathbf{R}p) \mathcal{E}(q) - (\mathbf{R}p) \cdot q - 1 \\ &= \mathcal{E}_p \mathcal{E}_{\mathbf{R}^T q} - (\mathbf{R}p) \cdot (\mathbf{R} \mathbf{R}^T q) - 1 \\ &= \mathcal{E}_p \mathcal{E}_{\mathbf{R}^T q} - p \cdot \mathbf{R}^T q - 1 \\ &= \rho(p, \mathbf{R}^T q) \end{aligned}$$

Similarly,  $\tau(\mathbf{R}p, q) = \tau(p, \mathbf{R}^T q)$ . We then check

$$\begin{aligned} \Lambda(\mathbf{R}p, q) &= \frac{(\rho(\mathbf{R}p, q) + 1)^2}{\mathcal{E}(\mathbf{R}p) \mathcal{E}(q)} (\tau(\mathbf{R}p, q) \rho(\mathbf{R}p, q))^{-3/2} \\ &= \frac{(\rho(p, \mathbf{R}^T q) + 1)^2}{\mathcal{E}(p) \mathcal{E}(\mathbf{R}^T q)} (\tau(p, \mathbf{R}^T q) \rho(p, \mathbf{R}^T q))^{-3/2} \\ &= \Lambda(p, \mathbf{R}^T q) \end{aligned}$$

## 8.4 The Tensor Field

The tensor is given by

$$\begin{aligned}\mathbb{S}(p, q) &= (\nabla\mathcal{E}(p) - \nabla\mathcal{E}(q))^2 \\ &\quad - (\nabla\mathcal{E}(p) - \nabla\mathcal{E}(q))^{\otimes} \\ &\quad - ((\nabla\mathcal{E}(p) + \nabla\mathcal{E}(q))/2 \times (\nabla\mathcal{E}(p) - \nabla\mathcal{E}(q)))^{\otimes}\end{aligned}$$

Where for simplicity I use the notation  $x^2 = (x \cdot x)I$  and  $x^{\otimes} = x \otimes x$  (or equivalently  $x^{\otimes} = xx^T$ ),  $x \in \mathbb{R}^3$  and  $I$  is the  $3 \times 3$  identity matrix. The goal is to compute  $\mathbb{S}(\mathbf{R}p, q)$ . We check term by term. For the first one,

$$\begin{aligned}\nabla\mathcal{E}(\mathbf{R}p) - \nabla\mathcal{E}(q) &= \nabla\mathcal{E}(\mathbf{R}p) - \nabla\mathcal{E}(\mathbf{R}\mathbf{R}^T q) \\ &= \mathbf{R}(\nabla\mathcal{E}(p) - \nabla\mathcal{E}(\mathbf{R}^T q)) \\ &\implies \\ (\nabla\mathcal{E}(\mathbf{R}p) - \nabla\mathcal{E}(q))^2 &= (\nabla\mathcal{E}(p) - \nabla\mathcal{E}(\mathbf{R}^T q))^2\end{aligned}$$

Where we used the fact that  $\mathbf{R}x \cdot \mathbf{R}x = x \cdot x$ . Using the previous, it is easy to see that for the second one

$$\begin{aligned}(\nabla\mathcal{E}(\mathbf{R}p) - \nabla\mathcal{E}(q))^{\otimes} &= (\mathbf{R}(\nabla\mathcal{E}(p) - \nabla\mathcal{E}(\mathbf{R}^T q)))^{\otimes} \\ &= \mathbf{R}\{\nabla\mathcal{E}(p) - \nabla\mathcal{E}(\mathbf{R}^T q)\}^{\otimes} \mathbf{R}^T\end{aligned}$$

Here I used a small calculation:

$$(\mathbf{R}x)^{\otimes} = (\mathbf{R}x)(\mathbf{R}x)^T = \mathbf{R}xx^T\mathbf{R}^T = \mathbf{R}(x^{\otimes})\mathbf{R}^T$$

*Remark.* The point of the previous was to use the fact that if  $u(p, q) = \nabla\mathcal{E}(p) - \nabla\mathcal{E}(q)$ , then

$$u(\mathbf{R}p, q) = \mathbf{R}u(p, \mathbf{R}^T q)$$

And apply to the other compute both  $u^2$  and  $u^{\otimes}$ .

We can prove something similar for  $z(p, q) = (\nabla\mathcal{E}(p) + \nabla\mathcal{E}(q))/2$ . Note

$$\begin{aligned}z(\mathbf{R}p, q) &= (\nabla\mathcal{E}(\mathbf{R}p) + \nabla\mathcal{E}(q))/2 \\ &= (\nabla\mathcal{E}(\mathbf{R}p) + \nabla\mathcal{E}(\mathbf{R}\mathbf{R}^T q))/2 \\ &= \mathbf{R}(\nabla\mathcal{E}(p) + \nabla\mathcal{E}(\mathbf{R}^T q))/2 \\ &= \mathbf{R}z(p, \mathbf{R}^T q)\end{aligned}$$

Using these two we can easily compute the last term. First consider the cross product...

## 8.5 The Tensor Field (again)

Consider the relative and mean velocity

$$\begin{aligned}u(p, q) &= \nabla\mathcal{E}(p) - \nabla\mathcal{E}(q) \\ z(p, q) &= (\nabla\mathcal{E}(p) + \nabla\mathcal{E}(q))/2\end{aligned}$$

Then, consider

$$\begin{aligned}
u(\mathbf{R}p, q) &= \nabla \mathcal{E}(\mathbf{R}p) - \nabla \mathcal{E}(q) \\
&= \mathbf{R}(\nabla \mathcal{E}(p) - \nabla \mathcal{E}(\mathbf{R}^T q)) \\
&= \mathbf{R}u(p, \mathbf{R}^T q) \\
z(\mathbf{R}p, q) &= (\nabla \mathcal{E}(\mathbf{R}p) + \nabla \mathcal{E}(q))/2 \\
&= \mathbf{R}(\nabla \mathcal{E}(p) + \nabla \mathcal{E}(\mathbf{R}^T q))/2 \\
&= \mathbf{R}z(p, \mathbf{R}^T q)
\end{aligned}$$

Then, consider the first term, using the fact that rotations preserve inner products

$$\begin{aligned}
u(\mathbf{R}p, q) \cdot u(\mathbf{R}p, q) &= (\mathbf{R}u(p, \mathbf{R}^T q)) \cdot (\mathbf{R}u(p, \mathbf{R}^T q)) \\
&= u(p, \mathbf{R}^T q) \cdot u(p, \mathbf{R}^T q)
\end{aligned}$$

This is a scalar, but we care about the tensor:

$$\begin{aligned}
u(\mathbf{R}p, q) \cdot u(\mathbf{R}p, q)I &= u(p, \mathbf{R}^T q) \cdot u(p, \mathbf{R}^T q)I \\
&= u(p, \mathbf{R}^T q) \cdot u(p, \mathbf{R}^T q)\mathbf{R}\mathbf{R}^T \\
&= \mathbf{R}(u(p, \mathbf{R}^T q) \cdot u(p, \mathbf{R}^T q))\mathbf{R}^T
\end{aligned}$$

As for the second term

$$\begin{aligned}
u(\mathbf{R}p, q) \otimes u(\mathbf{R}p, q) &= (\mathbf{R}u(p, \mathbf{R}^T q)) \otimes (\mathbf{R}u(p, \mathbf{R}^T q)) \\
&= (\mathbf{R}u(p, \mathbf{R}^T q))(\mathbf{R}u(p, \mathbf{R}^T q))^T \\
&= \mathbf{R}(u(p, \mathbf{R}^T q)u(p, \mathbf{R}^T q)^T)\mathbf{R}^T \\
&= \mathbf{R}(u(p, \mathbf{R}^T q) \otimes u(p, \mathbf{R}^T q))\mathbf{R}^T
\end{aligned}$$

As for the third term, we first consider the cross product, and use the fact that  $(\mathbf{R}a \times \mathbf{R}b) = \mathbf{R}(a \times b)$  for rotation matrices.

$$\begin{aligned}
z(\mathbf{R}p, q) \times u(\mathbf{R}p, q) &= (\mathbf{R}z(p, \mathbf{R}^T q)) \times (\mathbf{R}u(p, \mathbf{R}^T q)) \\
&= \mathbf{R}(z(p, \mathbf{R}^T q) \times u(p, \mathbf{R}^T q))
\end{aligned}$$

Therefore, the last term is

$$\begin{aligned}
&(z(\mathbf{R}p, q) \times u(\mathbf{R}p, q)) \otimes (z(\mathbf{R}p, q) \times u(\mathbf{R}p, q)) \\
&= (\mathbf{R}(z(p, \mathbf{R}^T q) \times u(p, \mathbf{R}^T q))) \otimes (\mathbf{R}(z(p, \mathbf{R}^T q) \times u(p, \mathbf{R}^T q))) \\
&= \mathbf{R}((z(p, \mathbf{R}^T q) \times u(p, \mathbf{R}^T q)) \otimes (z(p, \mathbf{R}^T q) \times u(p, \mathbf{R}^T q)))\mathbf{R}^T
\end{aligned}$$

Now we can put everything together. Again, the tensor part of the relativistic kernel is given by

$$\begin{aligned}
\mathbb{S}(p, q) &= (u(p, q) \cdot u(p, q))I \\
&\quad - (u(p, q) \otimes u(p, q)) \\
&\quad - (z(p, q) \times u(p, q)) \otimes (z(p, q) \times u(p, q))
\end{aligned}$$

Then we consider

$$\begin{aligned}
\mathbb{S}(\mathbf{R}p, q) &= (u(\mathbf{R}p, q) \cdot u(\mathbf{R}p, q))I \\
&\quad - (u(\mathbf{R}p, q) \otimes u(\mathbf{R}p, q)) \\
&\quad - (z(\mathbf{R}p, q) \times u(\mathbf{R}p, q)) \otimes (z(\mathbf{R}p, q) \times u(\mathbf{R}p, q)) \\
&= \mathbf{R}(u(p, \mathbf{R}^T q) \cdot u(p, \mathbf{R}^T q))\mathbf{R}^T \\
&\quad - \mathbf{R}(u(p, \mathbf{R}^T q) \otimes u(p, \mathbf{R}^T q))\mathbf{R}^T \\
&\quad - \mathbf{R}((z(p, \mathbf{R}^T q) \times u(p, \mathbf{R}^T q)) \otimes (z(p, \mathbf{R}^T q) \times u(p, \mathbf{R}^T q))\mathbf{R}^T \\
&= \mathbf{R}\mathbb{S}(p, \mathbf{R}^T q)\mathbf{R}^T
\end{aligned}$$

## 8.6 Rotational Covariance of Relativistic Landau

Now, we put the previous together

**Theorem 4.** *For a rotation matrix  $\mathbf{R}$  and the relativistic kernel  $\Phi(p, q)$ , we have*

$$\Phi(\mathbf{R}p, q) = \mathbf{R}\Phi(p, \mathbf{R}^T q)\mathbf{R}^T$$

*Proof.*

$$\Phi(\mathbf{R}p, q) = \Lambda(\mathbf{R}p, q)\mathbb{S}(\mathbf{R}p, q) = \Lambda(p, \mathbf{R}^T q)\mathbf{R}\mathbb{S}(p, \mathbf{R}^T q)\mathbf{R}^T = \mathbf{R}\Phi(p, \mathbf{R}^T q)\mathbf{R}^T$$

Where we use the two relations derived for the scalar field  $\Lambda$  and the tensor  $\mathbb{S}$  before.  $\square$

Now that this is established, we may repeat the previous argument to show that the Landau Equation is rotational covariant.

**Theorem 5** (Landau's rotational covariant). *The Landau collision operator is rotational covariant.*

*Proof.* Let  $x = \mathbf{R}^T q$  so that  $\mathbf{R}x = q$  and  $dx = dq$ . Then, by the previous, we have

$$\Phi(\mathbf{R}p, q) = \mathbf{R}\Phi(p, x)\mathbf{R}^T$$

Then, we check,

$$\begin{aligned}
Q(f, f)(\mathbf{R}p) &= \nabla_{\mathbf{R}p} \cdot \left( \int_q \Phi(\mathbf{R}p, q) \left( g(q) \nabla_{\mathbf{R}p} f(\mathbf{R}p) - f(\mathbf{R}p) \nabla_q g(q) \right) dq \right) \\
&= \nabla_{\mathbf{R}p} \cdot \left( \int_x \mathbf{R}\Phi(p, x)\mathbf{R}^T \left( g(q) \nabla_{\mathbf{R}p} f(\mathbf{R}p) - f(\mathbf{R}p) \nabla_q g(q) \right) dx \right) \\
&= \nabla_{\mathbf{R}p} \cdot \left( \int_x \mathbf{R}\Phi(p, x)\mathbf{R}^T \left( g(\mathbf{R}x) \nabla_{\mathbf{R}p} f(\mathbf{R}p) - f(\mathbf{R}p) \nabla_{\mathbf{R}x} g(\mathbf{R}x) \right) dx \right) \\
&= \mathbf{R} \nabla_p \cdot \mathbf{R} \left( \int_x \Phi(p, x) \mathbf{R}^T \left( g(\mathbf{R}x) \mathbf{R} \nabla_p f(\mathbf{R}p) - f(\mathbf{R}p) \mathbf{R} \nabla_x g(\mathbf{R}x) \right) dx \right) \\
&= \mathbf{R} \nabla_p \cdot \mathbf{R} \left( \int_x \Phi(p, x) \mathbf{R}^T \mathbf{R} \left( g(\mathbf{R}x) \nabla_p f(\mathbf{R}p) - f(\mathbf{R}p) \nabla_x g(\mathbf{R}x) \right) dx \right) \\
&= \nabla_p \cdot \left( \int_x \Phi(p, x) \left( g(\mathbf{R}x) \nabla_p f(\mathbf{R}p) - f(\mathbf{R}p) \nabla_x g(\mathbf{R}x) \right) dx \right) \\
&= Q(Rf, Rg)(p)
\end{aligned}$$

Where we use that  $\nabla_{\mathbf{R}p} = \mathbf{R} \nabla_p$  and similarly for  $x$ .  $\square$

## 8.7 A Conservative Numerical Scheme

The previous shows that the relativistic Landau equation is rotational covariant (equivariant). As we have learned from [HT23], this implies that the discrete collision tensor will be sparse if we use the same basis functions described before. As far as I know, this will lead the most efficient solver for the **relativistic** Landau equation, or at least a very efficient one, worth of studying.

There is one issue that needs to be discussed: conservation laws. The collisional invariants for the relativistic equation are given by the set

$$\left\{1, p_x, p_y, p_z, \mathcal{E}(p) = \sqrt{1 + |p|^2}\right\}$$

The first 4 are in our test space, and therefore the scheme will preserve these moments without need for an extra strategy. However, the energy (which went from being a polynomial  $\mathcal{E}(p) = |p|^2$ , to not being one) is no longer in the test space. How do we solve this issue? Here we use the strategy from the work with **Kun Huang**. First, let us review the weak form of the Landau equation.

$$\begin{aligned} \int_p Q(f, f)(p) \phi(p) dp &= - \int_p \int_q \Phi(p, q) (f(q) \nabla_p f(p) - f(p) \nabla_q f(q)) \cdot \nabla_p \phi(p) dq dp \\ &= - \int_{p, q} \Phi f(q) \nabla_p f(p) \cdot \nabla_p \phi(p) + \int_{p, q} \Phi f(p) \nabla_q f(q) \cdot \nabla_p \phi(p) \\ &= - \int_{p, q} \Phi f(p) \nabla_q f(q) \cdot \nabla_q \phi(q) + \int_{p, q} \Phi f(p) \nabla_q f(q) \cdot \nabla_p \phi(p) \\ \int_p \partial_t f \phi(p) dp &= - \int_{p, q} f(p) \nabla_q f(q) \cdot \Phi(p, q) (\nabla_q \phi(q) - \nabla_p \phi(p)) dp dq \end{aligned}$$

Thus, we see that the conservation of mass and momentum are independent of the kernel  $\Phi$ , but the conservation of energy comes from the fact that

$$\Phi(p, q) (\nabla \mathcal{E}(p) - \nabla \mathcal{E}(q)) = 0$$

Or more specifically,

$$\mathbb{S}(\nabla \mathcal{E}(p), \nabla \mathcal{E}(q)) \cdot (\nabla \mathcal{E}(p) - \nabla \mathcal{E}(q)) = 0$$

(I am using  $\cdot$  and no dot as equivalent, I used it in the second line because it is easier to read, but you know what I mean.) The discrete conservation will come from

$$\mathbb{S}(\nabla \mathcal{E}_h(p), \nabla \mathcal{E}_h(q)) \cdot (\nabla \mathcal{E}_h(p) - \nabla \mathcal{E}_h(q)) = 0$$

That is, we replace the energy  $\mathcal{E}$  for a discrete energy  $\mathcal{E}_h$ . This energy will be simply a projection of the energy into a subspace of our test functions - the subspace conformed by radially symmetric functions. Specifically,

$$\mathcal{E}_h = \Pi_h^r[\mathcal{E}]$$

Where the projection operator maps into the subspace of radially symmetric functions. Recall that our test space  $V_h$  was defined as containing linear combinations of functions of the form

$$\phi_i(x) = \phi_i(r, e) = L_k^{l+1/2}(r^2) r^l Y_{l,m}(e)$$

where  $r = ||x||$  and  $e = x/r$ ,  $L_k^\alpha$  is an associated Laguerre polynomial, and  $Y_{l,m}$  is a real spherical harmonic. The index  $i$  is a shorthand for the triplet  $(k, l, m)$ . Then, set  $l, m = 0$  so that the spherical harmonic is a constant  $\kappa$  and obtain radial test functions.

$$\phi_i(x) = \phi_i(r) = \kappa L_k^{l+1/2}(\rho^2) \rho^l$$

Again, the span of these test functions conform a well-defined *subspace*  $V_h^r$  of our discrete space (closed under addition and scaling, contain the 0, etc.) **AND** they are a complete space in the sense

that we can approximate any function arbitrary well by taking the space big enough. In other words, the projector  $\Pi_h^r$  that maps into  $V_h^r$  will satisfy

$$\|\Pi_h^r[\mathcal{E}] - \mathcal{E}\| \rightarrow 0$$

in some appropriate norm, as  $h \rightarrow 0$  (which means that we take the test space large enough.). The main difference with Kun's work is that this projector does not arbitrarily map into the whole space  $V_h$ , but rather only onto the radially symmetric functions  $V_h^r$ . This is reasonable, because the energy  $\mathcal{E}$  is radially symmetric to begin with, and this subspace is well-defined within our discrete space.

The reason for this restriction is to preserve rotational covariance (equivariance) of the discrete collision operator:  $\mathcal{E}_h$  will be radially symmetric by definition. Therefore, as seen in subsection 8.2,  $\mathcal{E}_h$  shares the key property (rotational invariance), and therefore the whole argument follows. This is why I insisted in proving rotational covariance using an abstract  $\mathcal{E}$  as opposed to  $\sqrt{1 + |p|^2}$ .

All in all, this suggests that it should be possible to design a fast and conservative scheme for the relativistic Landau equation.

## References

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