project-03

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CSCI-347

Project 03: Dimensinality Reduction and Clustering

This project may be completed individually or with group of up to size three. Turn in the code and written responses in both Brightspace and Gradescope.

Choose a data set that you are interested in from the UCI Machine Learning Repository that has at least five numerical attributes, and that you believe may contain clusters. Only use the numerical attributes for this project. Note: if you are planning to complete the extra credit portion of this project, you will need to use a data set that has class labels (ground truth cluster labels), i.e., a classification data set, in order to compute the accuracy of the clustering. If you would like to use a data set from a different source, please discuss this with me.

```
[]: import numpy as np
import matplotlib.pyplot as plt
from sklearn.decomposition import PCA
import random
import urllib.request
import io

# plt.rcParams["figure.figsize"] = (20,20)
```

Part 1: Think about the data

This data is interesting because it takes a unique approach to language analysis. An understanding of writing could help us recognise and decipher currently unreadable text. There are six numerical and zero categorical attributes. The repository says that there are no missing attributes in the dataset so no extra techniques will be used unless missing values are found. we expect that there will be a few clusters due to a few different reasons. There is a chance for clusters for each number (i.e. all 4's will form a cluster) and a chance for clusters of every number from people that write similarly. If clusters exist then we can use the range of values that fit within the cluster to help identify similar letters in the future. We expect for there to be four to ten clusters as there a few numbers that may have similar shapes or may have consistent shapes that are mathmatically

similar. We expect there to be some clusters that are differing sizes as there are some shapes that more numbers fall into and that should result in a larger cluster (i.e. 6, 8, 9 vs 1, 7).

Part 2: Write Python code for clustering

Write the following functions in Python. You may use scikit-learn or other packages to check the correctness of your implementation, but you may not use any existing clustering algorithm implementation in your code.

1. (10 points) *k*-means Clustering Algorithm

A function that implements the k-means clustering algorithm. The function should take a data matrix, a number of clusters k, and a convergence parameter ϵ , as input, and return the representatives (means) as well as the clusters found using k-means. If the distance is the same between a point and more than one representative (mean), then assign the point to the mean corresponding to the cluster with the lowest index.

```
[]: def kMeanClustering(m, k_cluster: int, convergence = 0.0):
         # var: points_dict
         # disctionary of points (x, y) from the data
         points_dict = dict()
         # populate points_dict
         for index, point_data in enumerate(m):
             points_dict[index] = (point_data[0], point_data[1])
         # sort the points_dict
         points_dict = {k: v for k, v in sorted(points_dict.items(), key=lambda item:
      \rightarrowitem[1][1])}
         # var: clusters
         # dictionary of clusters data
         clusters = dict()
         # init_points_indices
         # -----
         # list to help ensure that each cluster has a unique randomly selected point
         init_points_indices = list()
         # initialize k_cluaster
         for i in range(k_cluster):
             # select random point for cluser
             init_point_index = random.randint(0, D.shape[0]-1)
             # ensure that the random point for the cluster is unique
             # and has not yet been used
             while init_point_index in init_points_indices:
```

```
# this point has been used, generate another
          init_point_index = random.randint(0, D.shape[0]-1)
       # keep track of used points
      init_points_indices.append(init_point_index)
       # get the point from the points_dict based on its randomly choosen
       # index
      init_point = points_dict[init_point_index]
       # make the cluster data and initialize it in the clusters dictionary
      clusters[i] = {
           'mean': (init_point[0], init_point[1]),
           'points_indices': list()
      }
  # Now the k_clusters have been initialize, its time to iterate through each
  # of the points in the data and add it to the cluster where to distance from
  # the point to the mean of the cluster is the smallest amoung all clusters
  cluster_iters = list() # list of clusters data for each iteration
  count_iter = 0 # counter for the number of iterations computed
  while True:
      count_iter += 1 # increase counter
      # this is only a safety precaution, the stopping of the iterations
      # should be terminated based on the convergence from the previous
      # and last iteration, see code below
      if count iter > 10000: break
      # add points to clusters based on the distance the point is from the
      # mean of the cluster
      for (point_index, point) in points_dict.items(): # iterate over points
           # this variable (cluster_to_point_distances_dict) helps in finding
           # the cluster mean closest to the point being examined
          cluster_to_point_distances_dict = dict()
           # iterate over each cluster
          for (cluster_index, cluster) in clusters.items():
               # compute the distance between the current point the cluster mean
              distance_x = cluster['mean'][0] - point[0]
              distance_y = cluster['mean'][1] - point[1]
              distance = ((distance_x ** 2) + (distance_y ** 2)) ** (1/2)
               # save this distance
              cluster_to_point_distances_dict[cluster_index] = distance
           # sort distances from point to each cluster
          cluster_to_point_distances_dict = {k: v for k, v in_

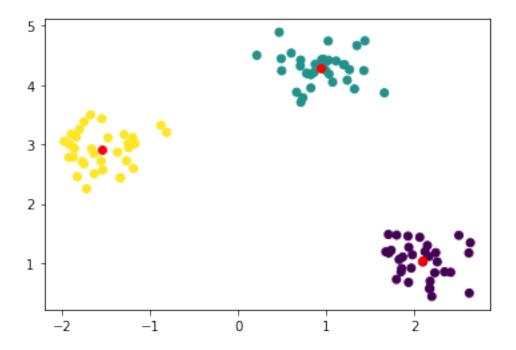
--sorted(cluster_to_point_distances_dict.items(), key=lambda item: item[1])}

           # get the cluster index that has the smallest distance between
           # its mean and the current point
```

```
closest_cluster_index = list(cluster_to_point_distances_dict.
→keys())[0]
           # add point to closest cluster
           clusters[closest_cluster_index]['points_indices'].append(point_index)
           # update cluster mean (i.e. we just added a new point to this
           # cluster so we need to update the mean value for the cluster)
           x_sum = y_sum = 0
           for cluster_point_index in_
→clusters[closest_cluster_index]['points_indices']:
               cluster_point = points_dict[cluster_point_index]
               x_sum += cluster_point[0]
               y_sum += cluster_point[1]
           num_cluster_points =__
→len(clusters[closest_cluster_index]['points_indices'])
           x mean = x sum / num cluster points
           y_mean = y_sum / num_cluster_points
           # set new mean value
           clusters[closest_cluster_index]['mean'] = (x_mean, y_mean)
       # calculate the total distance of points from the mean in each cluster
       total_distance_sum = 0
       for (cluster_index, cluster) in clusters.items():
           distance = 0
           for point_index in cluster['points_indices']:
               point = points_dict[point_index]
               distance +=
→(((point[0]-cluster['mean'][0])**2)+((point[1]-cluster['mean'][1])**2))**(1/2)
           clusters[cluster index]['dist sum'] = distance
           total distance sum += distance
       # save this iteration of clustering
       cluster_iters.append({
           'clusters': clusters,
           'total_distance': total_distance_sum
       })
       # check if clustering iteration has converged from the last iteration
       if len(cluster_iters) > 1:
           last_cluster_dist = cluster_iters[-2]['total_distance']
           dist_diff = abs(total_distance_sum - last_cluster_dist)
           if dist_diff <= convergence:</pre>
               break
       # Since the loop was not terminated, we need to prepare for the next
       # iteration. Therefor, lets clean the clusters (i.e. remove the
       # points assign from this iteration but keep the mean)
```

```
# remove cluster points for next iteration
      new clusters = dict()
      for (i, cluster) in clusters.items():
          new_clusters[i] = {
               'mean': (cluster['mean'][0], cluster['mean'][1]),
               'points_indices': list()
      clusters = new_clusters
       # END OF clustering loop
   # get the last clusters iteration
  clusters = cluster_iters[-1]['clusters']
  pred_labels = [0] * D.shape[0]
  for cluster index in clusters:
      cluster = clusters[cluster index]
      for point in cluster['points_indices']:
          pred_labels[point] = cluster_index
  centers = np.ndarray(shape=(k_cluster, 2))
  for i, cluster in enumerate(clusters.values()):
      centers[i] = cluster['mean']
  plt.scatter(D[:,0], D[:,1], c=pred_labels)
  plt.scatter(centers[:,0], centers[:,1], c='red')
  # get the means
  means = np.ndarray(shape=(k_cluster, 2))
  clusters_data = list()
  for i, cluster in enumerate(clusters.values()):
      means[i] = [cluster['mean'][0], cluster['mean'][1]]
      cluster_points = np.ndarray(shape=(len(cluster['points_indices']), 2))
      for cluster_point_index, point_index in_
→enumerate(cluster['points_indices']):
        point = points_dict[point_index]
         cluster_points[cluster_point_index] = [point[0], point[1]]
      clusters_data.append(cluster_points)
  return means, clusters_data
```

```
[]: from sklearn.datasets import make_blobs
D, labels = make_blobs(n_samples=100, centers=3, cluster_std=.3, random_state=0)
means, clusters = kMeanClustering(D, 3, 0.5)
```



2. (10 points) DBSCAN Clustering Algorithm

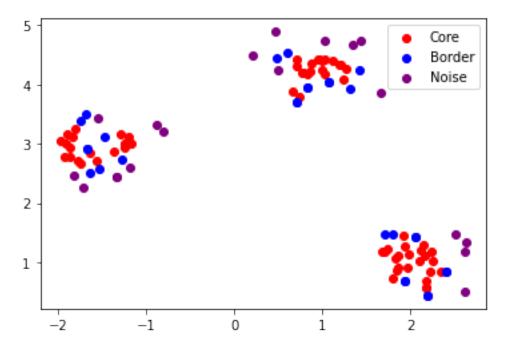
A function that implements the DBSCAN clustering algorithm. The function should take a data matrix and the parameters *minpts* and ϵ , as input, and return the clusters found using DBSCAN, and for each data point a label of core, border, or noise point.

```
[]: def distanceBetweenPoints(a, b):
         return (((a[0]-b[0])**2)+((a[1]-b[1])**2))**(1/2)
     def dbScanClustering(m, minpts, eps):
         # dictionary of points (x, y) from the data
         points_dict = dict()
         # populate points_dict
         for index, point_data in enumerate(m):
             points_dict[index] = (point_data[0], point_data[1])
         # Find the core points
         core_points_indicies = list()
         for point_a_index, point_a in points_dict.items():
             eps_close_points = list()
             for point_b_index, point_b in points_dict.items():
                 if point_a_index == point_b_index: continue
                 dist = distanceBetweenPoints(point_a, point_b)
                 if dist <= eps:</pre>
                     eps_close_points.append(point_b_index)
                     if len(eps_close_points) >= minpts:
```

```
break
    if len(eps_close_points) >= minpts:
        core_points_indicies.append(point_a_index)
# add core points to clusters
clusters = list()
current_cluster = list()
used_core_points = list()
active_core_points = core_points_indicies[:]
current_cluster.append(active_core_points.pop())
added_new_points = True
while True:
    if added_new_points is False:
        if len(active_core_points) < 1:</pre>
            if len(current_cluster) > 0:
                clusters.append(current_cluster[:])
            break
        clusters.append(current_cluster[:])
        current_cluster = list()
        current_cluster.append(active_core_points.pop())
    added_new_points = False
    for cluster_core_p_index in current_cluster:
        cluster_point = points_dict[cluster_core_p_index]
        active_core_points_temp = active_core_points[:]
        for core_point_index in active_core_points_temp:
            if core_point_index in current_cluster: continue
            core_point = points_dict[core_point_index]
            dist = distanceBetweenPoints(cluster_point, core_point)
            if dist > eps: continue
            added_new_points = True
            current_cluster.append(core_point_index)
            used_core_points.append(core_point_index)
            active_core_points_temp.remove(core_point_index)
        active_core_points = active_core_points_temp[:]
        # active_core_points = active_core_points_temp[:]
        if added_new_points: break
```

```
remaining_points = list()
for p in points_dict:
    if p not in core_points_indicies:
        remaining_points.append(p)
border_points = list()
for point_index in remaining_points:
    point = points_dict[point_index]
    for cluster_index, cluster in enumerate(clusters):
        temp_cluster = cluster[:]
        for cluster_p_index in cluster:
            cluster_point = points_dict[cluster_p_index]
            dist = distanceBetweenPoints(cluster_point, point)
            if dist > eps: continue
            temp_cluster.append(point_index)
            border_points.append(point_index)
            # remaining_points.remove(point_index)
        clusters[cluster_index] = temp_cluster
    # if added_new_points is True: break
# print(current_cluster)
# print(active_core_points_temp)
pred_labels = [0] * m.shape[0]
for p in core_points_indicies:
    pred_labels[p] = 2
for p in border_points:
    pred_labels[p] = 1
core_points_data = np.ndarray(shape=(len(core_points_indicies), 2))
for i, p in enumerate(core_points_indicies):
    point = points_dict[p]
    core_points_data[i] = [point[0], point[1]]
border_points_data = np.ndarray(shape=(len(border_points), 2))
for i, p in enumerate(border_points):
    point = points_dict[p]
    border_points_data[i] = [point[0], point[1]]
noise_points = list()
for p in points_dict:
    if p in core_points_indicies: continue
```

```
if p in border_points: continue
        noise_points.append(p)
    noise_points_data = np.ndarray(shape=(len(noise_points), 2))
    for i, p in enumerate(noise_points):
        point = points_dict[p]
        noise_points_data[i] = [point[0], point[1]]
    plt.scatter(core_points_data[:,0], core_points_data[:,1], c='red')
    plt.scatter(border_points_data[:,0], border_points_data[:,1], c='blue')
    plt.scatter(noise_points_data[:,0], noise_points_data[:,1], c='purple')
    # plt.scatter(m[:,0], m[:,1], c=pred_labels)
    plt.legend(('Core', 'Border', 'Noise'))
    plt.show()
    # pred_labels = [0] * m.shape[0]
    # for i, c in enumerate(clusters):
         for p in c:
              pred_labels[p] = i+1
            # print(i, c)
    # plt.scatter(m[:,0], m[:,1], c=pred_labels)
    # plt.show()
    # print(core_points_indicies)
dbScanClustering(D, 3, 0.2)
```



Part 3: Analyze your data

```
[]: from google.colab import drive drive.mount('/content/drive')
```

Mounted at /content/drive

```
[]: def readFileData(filename):
         file = f"/content/drive/My Drive/347-Data-Mining/Project-03/mfeat/{filename}"
         lines = list()
         with open(file, 'r') as f:
             lines = f.readlines()
         data = list()
         for line in lines:
             line = line.strip()
             if len(line) < 1: continue
             line_data = line.split(' ')
             clean_data = list()
             for i, val in enumerate(line_data):
                 val = val.strip()
                 if len(val) < 1: continue
                 val = float(val)
                 clean_data.append(val)
             data.append(clean_data)
         return data
     DATA_FILES_NAMES = [
         'mfeat-fou',
         'mfeat-fac',
         'mfeat-kar',
         'mfeat-pix',
         'mfeat-zer',
         'mfeat-mor',
     DATA_FILES_DATA = {}
     for filename in DATA_FILES_NAMES:
         DATA_FILES_DATA[filename] = readFileData(filename)
     DATA = []
     for file_data in DATA_FILES_DATA.values():
         for line_num, line_data_list in enumerate(file_data):
             if line_num > 1999: continue
             if len(DATA) - 1 <= line_num: DATA.append([])</pre>
```

```
for line_data_item in line_data_list:
                 DATA[line_num].append(float(line_data_item))
     for i, lines_rows in enumerate(DATA):
         if len(lines_rows) < 1:</pre>
             DATA.pop(i)
     D = np.ndarray(shape=(2000, 649))
     for i, lines_rows in enumerate(DATA):
         D[i] = np.array(lines_rows)
     D
[]: array([[6.58817200e-02, 1.97311690e-01, 1.03825630e-01, ...,
             1.33150861e+02, 1.31169276e+00, 1.62022178e+03],
            [4.91421500e-02, 1.75970680e-01, 1.05514640e-01, ...,
             1.26724861e+02, 1.30274497e+00, 1.60933482e+03],
            [3.41719200e-02, 2.27648880e-01, 1.08766360e-01, ...,
             1.31173861e+02, 1.31903101e+00, 1.56897843e+03],
            [3.35605960e-01, 3.18426000e-01, 2.57948220e-01, ...,
             1.34672861e+02, 1.54198735e+00, 3.76676322e+03],
```

```
[]: drive.flush_and_unmount() print('All changes made in this colab session should now be visible in Drive.')
```

All changes made in this colab session should now be visible in Drive.

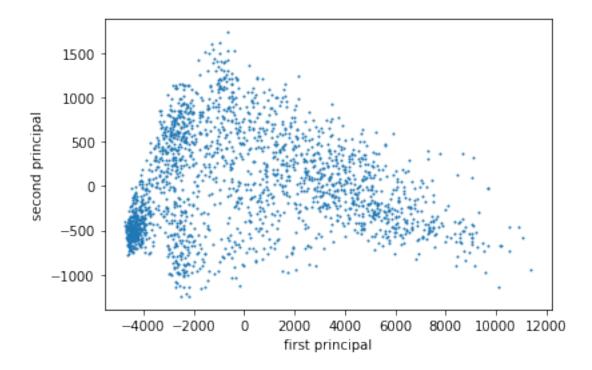
[2.53909620e-01, 1.71202410e-01, 3.02621990e-01, ..., 1.42926861e+02, 1.42638097e+00, 4.11832732e+03], [2.71574850e-01, 1.49036810e-01, 2.32752530e-01, ..., 1.33920861e+02, 1.56462053e+00, 3.80802132e+03]])

1. (4 points)

Use sklearn's PCA implementation to linearly transform the data to two dimensions. Create a scatter plot of the data, with the *x*-axis corresponding to coordinates of the data along the first principal component, and the *y*-axis corresponding to coordinates of the data along the second principal component. Does it look like there are clusters in these two dimensions? If so, how many would you say there are?

```
[]: pca_2_comps = PCA(n_components=2)
    pca_D_2_comps = pca_2_comps.fit_transform(D)

plt.scatter(pca_D_2_comps[:,0], pca_D_2_comps[:,1], s=1)
    plt.xlabel('first principal')
    plt.ylabel('second principal')
    plt.show()
```



We identified potentially 4 clusters that exist in the dataset. The first is a very tightly grouped area in the left side of the graph. The second cluster is above the primary cluster and has a much looser grouping. We think this may be a cluster as it has a higher desity than the rest of the graph but seems to be centered on a different point than the primary cluster. The third probable cluster is located below the second and to the right of the first. This cluster is less dense than the previous two but has a few separate groups that form in the same area. The last possible cluster is located in the right of the graph and is the least densely populated cluster. We recognise this as a possible cluster since it has an apparent oval shape and is in an area that has little other structure to it. This section is almost entirely separate from the other clusters

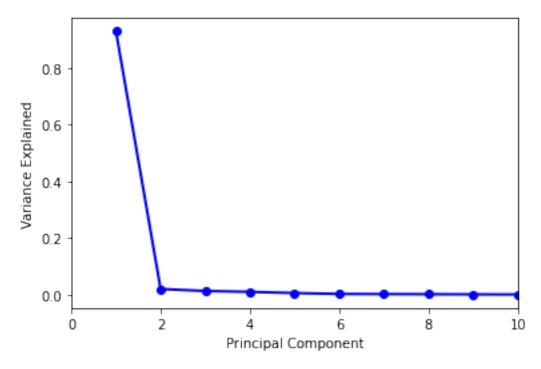
2. (3 points)

Use sklearn's PCA implementation to linearly transform the data, without specifying the number of components to use. Create a plot with r, the number of components (i.e., dimensionality), on the x-axis, and f(r), the fraction of total variance captured in the first r principal components, on the y-axis. Based on this plot, choose a number of principal components to reduce the dimensionality of the data. Report how many principal components will be used as well as the faction of total variance captured using this many components.

```
[]: pca = PCA()
pca_D = pca.fit_transform(D)

def covariance(v1, v2 = None):
    if v2 is None: v2 = v1
    # vector 1 mean
```

```
v1_{mean} = v1.mean()
    # vector 2 mean
    v2_{mean} = v2.mean()
    # co_var (the covariance between v1 and v2)
    co var = 0
    # loop through v1 and v2 values
    for i in range(v1.shape[0]):
        co_{var} += (v1[i] - v1_{mean}) * (v2[i] - v2_{mean})
    # calculate and return the co-variance between v1 and v2
    return (co_var / (v1.shape[0] - 1))
def get_variance_explained(evals):
  csum = np.cumsum(pca_D)
  variance_explained = csum / np.sum(pca_D)
 return variance_explained
PC_values = np.arange(pca.n_components_) + 1
plt.plot(PC_values, pca.explained_variance_ratio_, 'o-', linewidth=2,__
plt.xlabel('Principal Component')
plt.ylabel('Variance Explained')
plt.xlim(0, 10)
plt.show()
pca.explained_variance_ratio_[0] + pca.explained_variance_ratio_[1]
```



[]: 0.9498650293248446

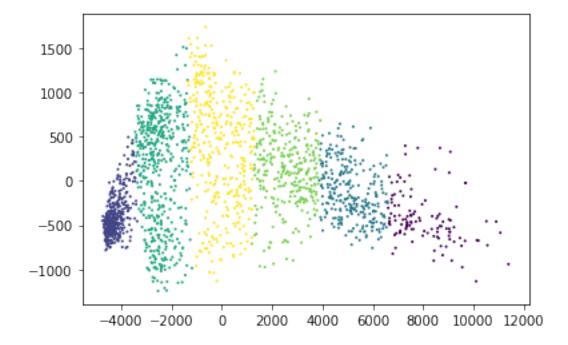
We will be using 2 priciple components and this will cover 94.98% of all of the variance

3. (5 points)

For both the original and the reduced-dimensionality data obtained using PCA in question 1, do the following: Experiment with a range of values for the number of clusters, k, that you pass as input to the k-means function, to find clusters in the chosen data set. Use at least 5 different values of k. For each value of k, report the value of the objective function for that choice of k.

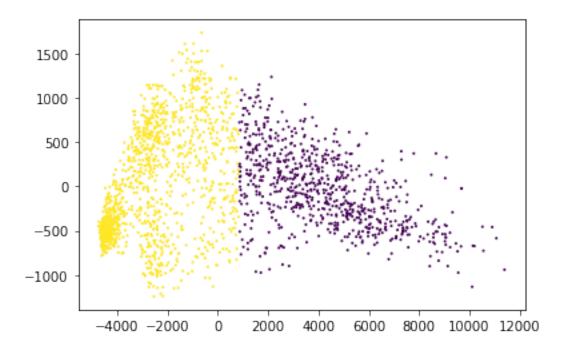
```
[]: from sklearn.cluster import KMeans
# 6 clusters
kmeans = KMeans(n_clusters=6, init='random', max_iter=300, random_state=0)
pred_labels = kmeans.fit_predict(pca_D_2_comps)
plt.scatter(pca_D_2_comps[:,0], pca_D_2_comps[:,1], c=pred_labels, s=1)
```

[]: <matplotlib.collections.PathCollection at 0x7f4f1f9d5dd0>



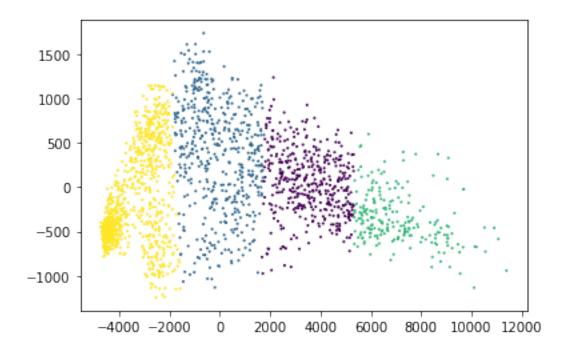
```
[]: # 2 clusters
kmeans = KMeans(n_clusters=2, init='random', max_iter=300, random_state=0)
pred_labels = kmeans.fit_predict(pca_D_2_comps)
plt.scatter(pca_D_2_comps[:,0], pca_D_2_comps[:,1], c=pred_labels, s=1)
```

[]: <matplotlib.collections.PathCollection at 0x7f4f1ee39d50>



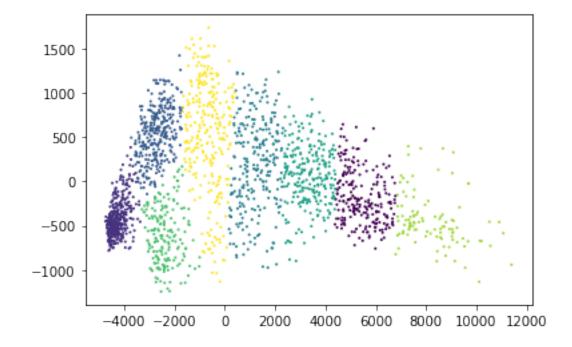
```
[]: # 4 clusters
kmeans = KMeans(n_clusters=4, init='random', max_iter=300, random_state=0)
pred_labels = kmeans.fit_predict(pca_D_2_comps)
plt.scatter(pca_D_2_comps[:,0], pca_D_2_comps[:,1], c=pred_labels, s=1)
```

[]: <matplotlib.collections.PathCollection at 0x7f4f1f9e0250>



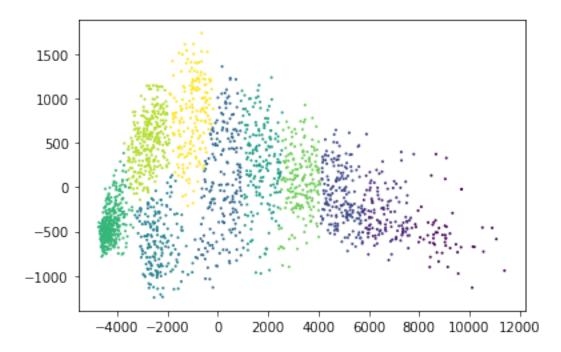
[]: # 8 clusters kmeans = KMeans(n_clusters=8, init='random', max_iter=300, random_state=0) pred_labels = kmeans.fit_predict(pca_D_2_comps) plt.scatter(pca_D_2_comps[:,0], pca_D_2_comps[:,1], c=pred_labels, s=1)

[]: <matplotlib.collections.PathCollection at 0x7f4f2756ea50>



```
[]: # 10 clusters
kmeans = KMeans(n_clusters=10, init='random', max_iter=300, random_state=0)
pred_labels = kmeans.fit_predict(pca_D_2_comps)
plt.scatter(pca_D_2_comps[:,0], pca_D_2_comps[:,1], c=pred_labels, s=1)
```

[]: <matplotlib.collections.PathCollection at 0x7f4f27a8c4d0>



4. (5 points)

For both the original and the reduced-dimensionality data obtained using PCA in question 1, do the following: Experiment with a range of values for the *minpts* and ϵ input parameters to the DBSCAN function to find clusters in the chosen data set. First, keep ϵ fixed and try out a range of different values for *minpts*. Then keep *minpts* fixed, and try a range of values for ϵ . Use at least 5 values of ϵ and at least 5 values of *minpts*. Report the number of clusters found for each (*minpts*, ϵ) pair tested.

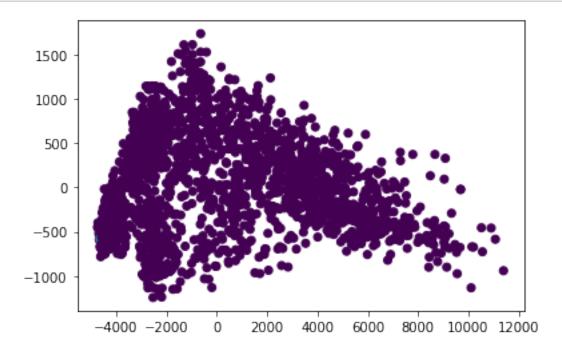
```
[108]: from sklearn.cluster import DBSCAN

def dbScanPlotEPS(data, eps=75, samples=4):
    dbs = DBSCAN(eps=eps, min_samples=4)
    pred_labels = dbs.fit_predict(data)
    plt.scatter(data[:,0], data[:,1], c=pred_labels)
    plt.show()
```

```
print('Number of Clusters:', len(set(pred_labels))-1)
```

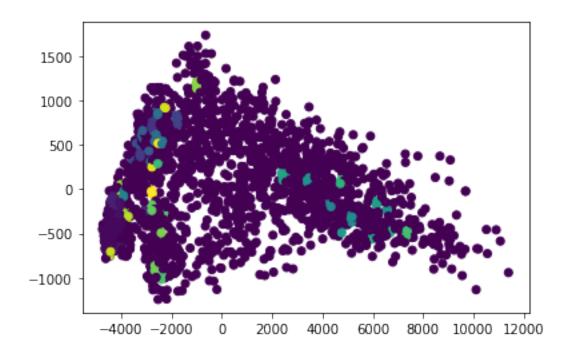
Change in EPS

[109]: dbScanPlotEPS(pca_D_2_comps, eps=10, samples=4)

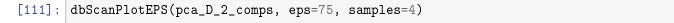


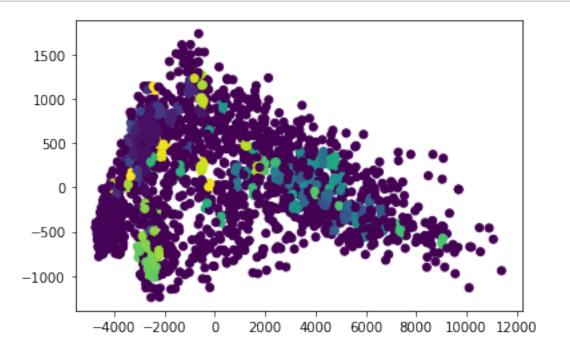
Number of Clusters: 2

[110]: dbScanPlotEPS(pca_D_2_comps, eps=50, samples=4)



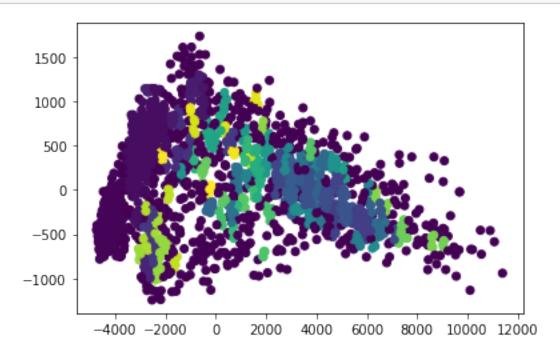
Number of Clusters: 46





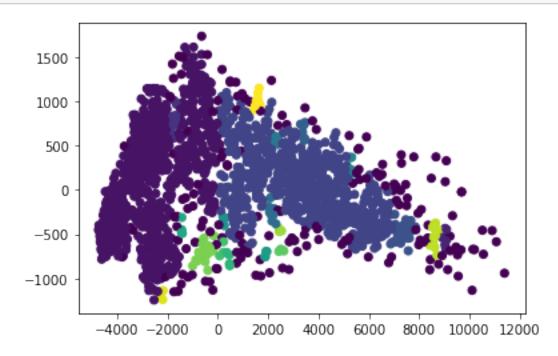
Number of Clusters: 97

[112]: dbScanPlotEPS(pca_D_2_comps, eps=100, samples=4)



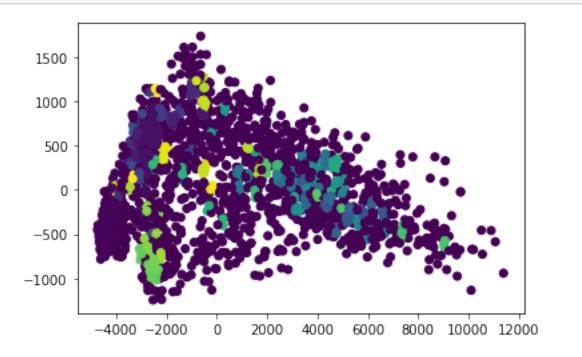
Number of Clusters: 89

[113]: dbScanPlotEPS(pca_D_2_comps, eps=150, samples=4)



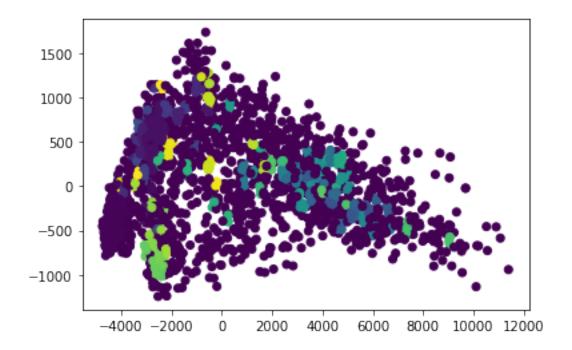
Number of Clusters: 20 Change in min-sample

[114]: dbScanPlotEPS(pca_D_2_comps, samples=2)



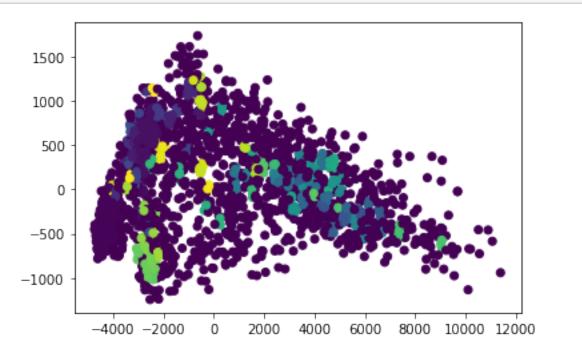
Number of Clusters: 97

[115]: dbScanPlotEPS(pca_D_2_comps, samples=3)



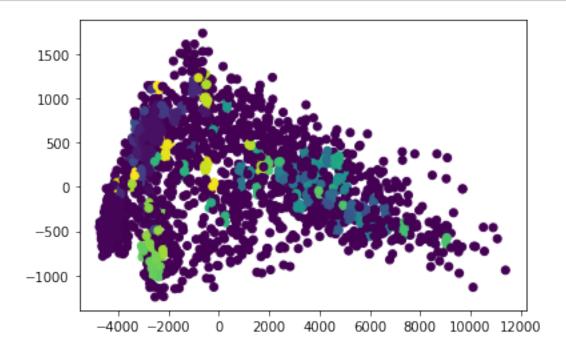
Number of Clusters: 97

[116]: dbScanPlotEPS(pca_D_2_comps, samples=4)



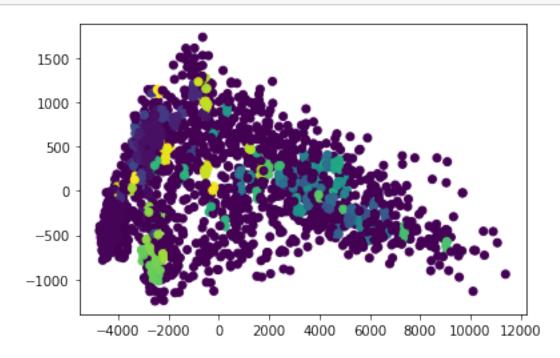
Number of Clusters: 97

[117]: dbScanPlotEPS(pca_D_2_comps, samples=5)



Number of Clusters: 97

[118]: dbScanPlotEPS(pca_D_2_comps, samples=6)

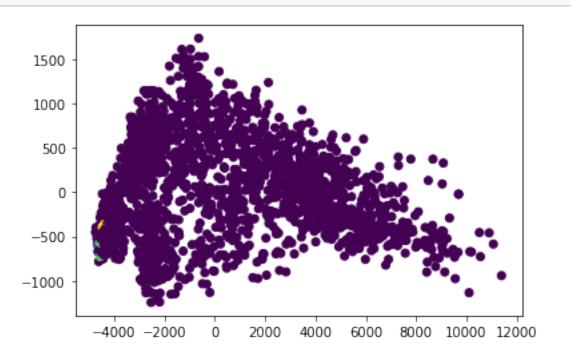


Number of Clusters: 97

Original data

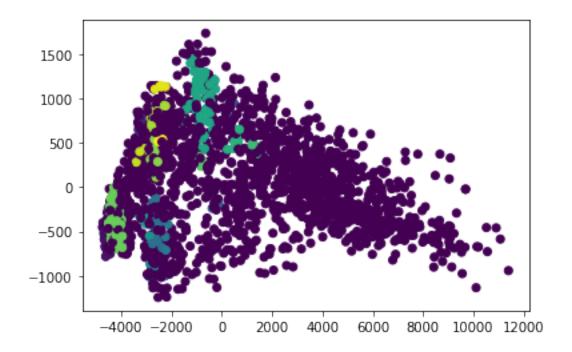
change in eps

[119]: dbScanPlotEPS(pca_D, eps=300)



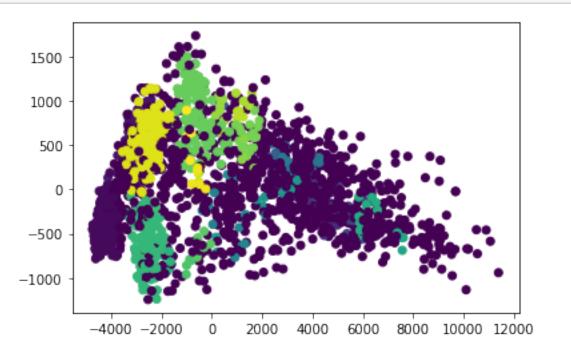
Number of Clusters: 4

[120]: dbScanPlotEPS(pca_D, eps=400)



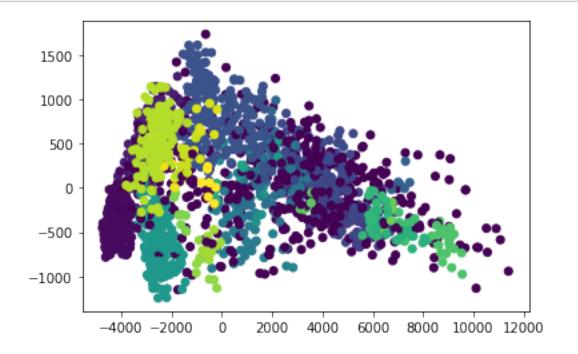
Number of Clusters: 22

[121]: dbScanPlotEPS(pca_D, eps=500)



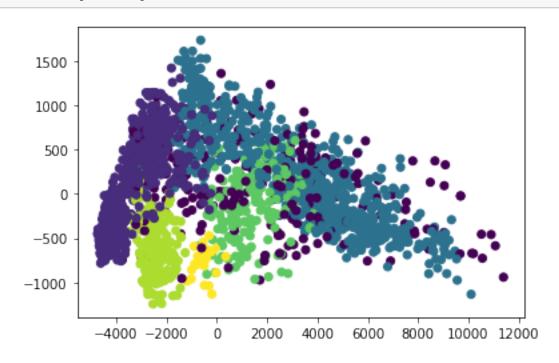
Number of Clusters: 39

[122]: dbScanPlotEPS(pca_D, eps=600)



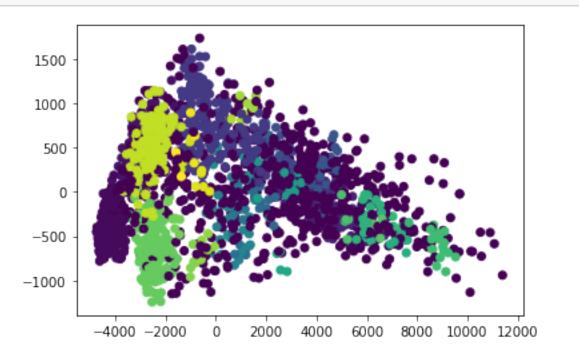
Number of Clusters: 36

[123]: dbScanPlotEPS(pca_D, eps=700)



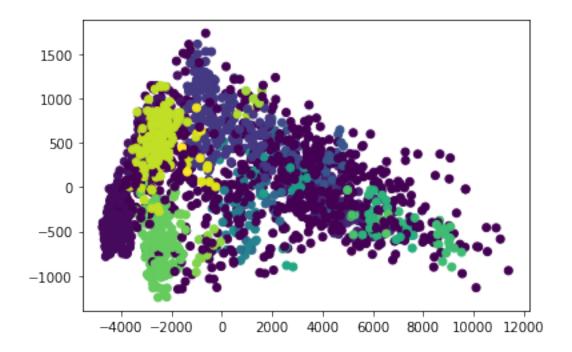
Number of Clusters: 8 Change in min-sample

[124]: dbScanPlotEPS(pca_D, eps=550, samples=2)

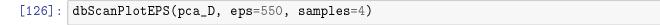


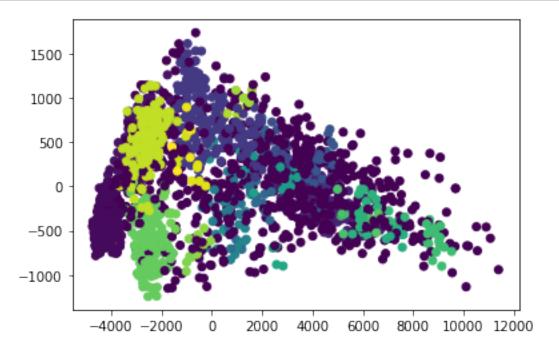
Number of Clusters: 42

[125]: dbScanPlotEPS(pca_D, eps=550, samples=3)



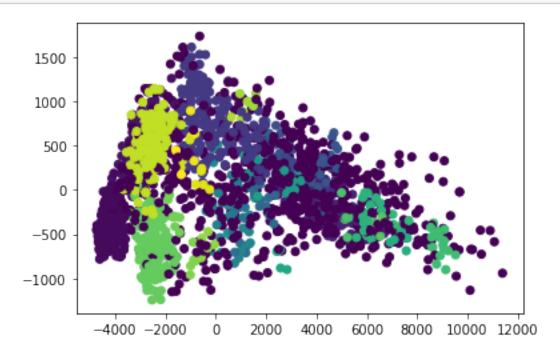
Number of Clusters: 42





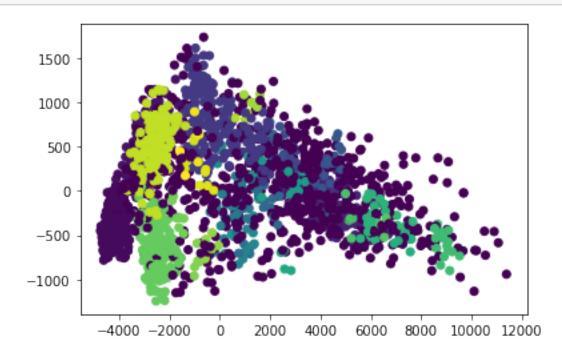
Number of Clusters: 42

[127]: dbScanPlotEPS(pca_D, eps=550, samples=5)



Number of Clusters: 42

[128]: dbScanPlotEPS(pca_D, eps=550, samples=100)



Number of Clusters: 42

Tips and Acknowledgements

Make sure to submit your answer as a PDF on Gradscope and Brightspace. Make sure to show your work. Include any code snippets you used to generate an answer, using comments in the code to clearly indicate which problem corresponds to which code.

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