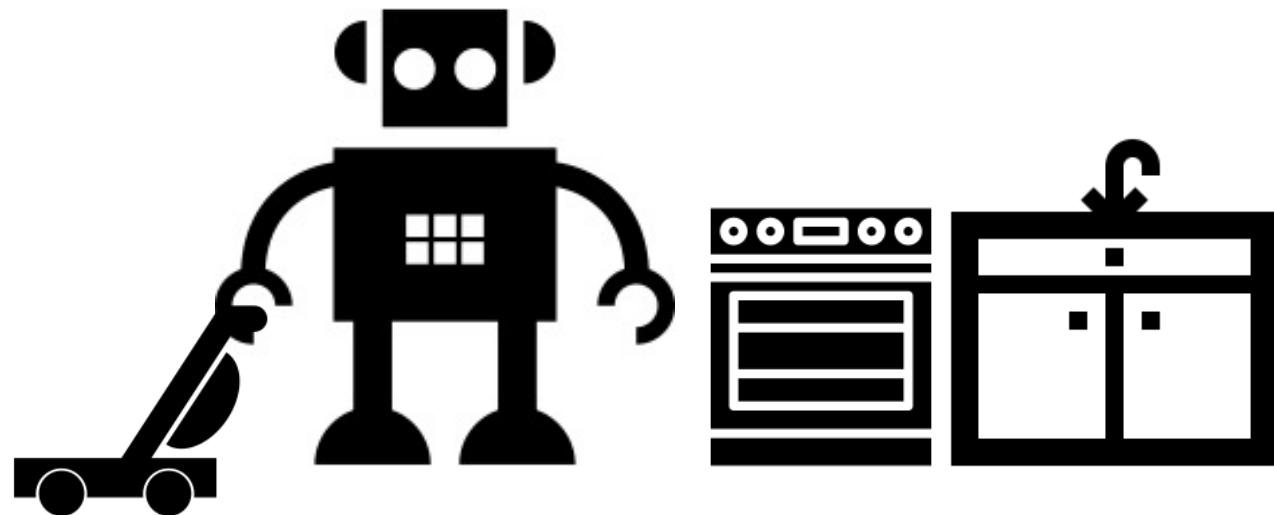


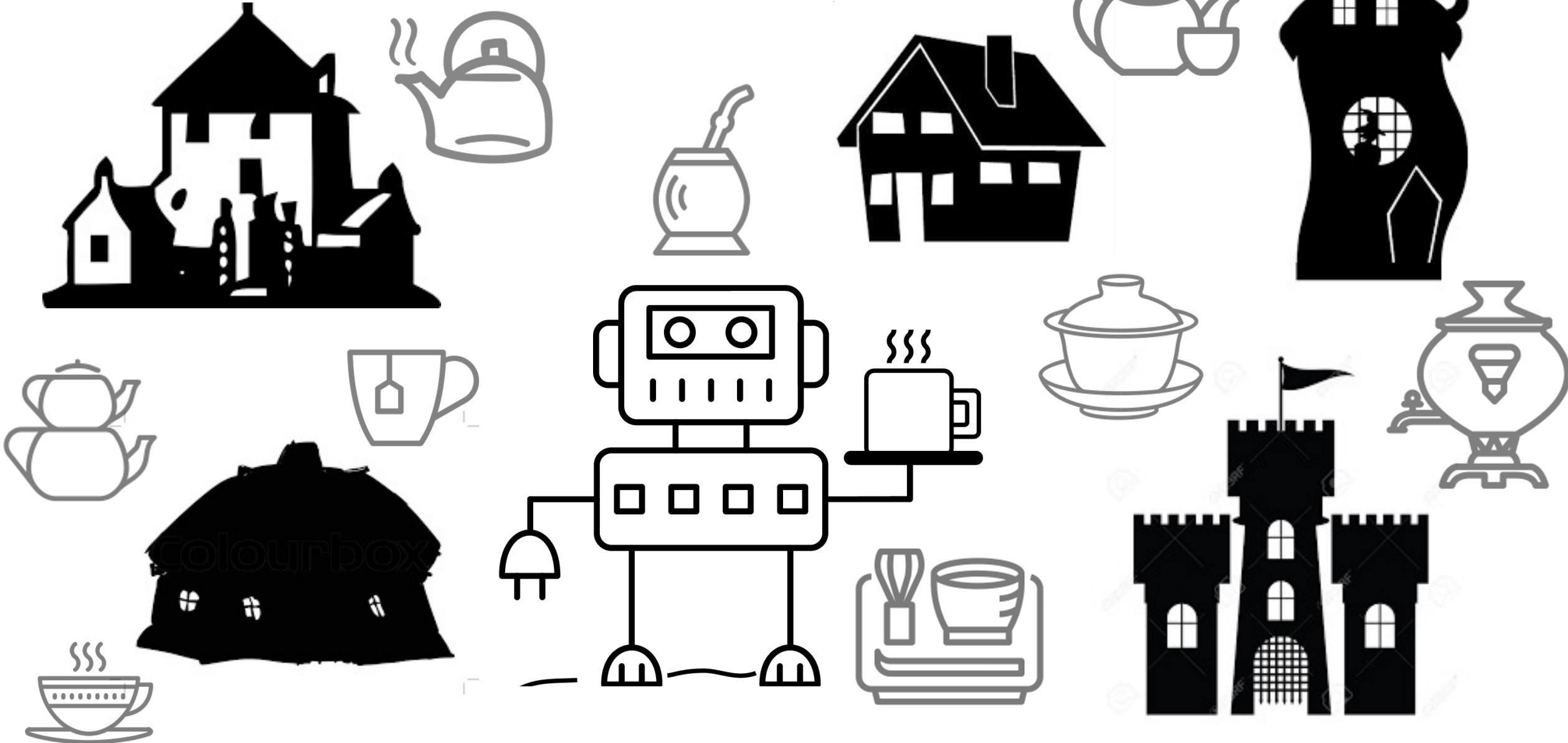
# Leveraging model-learning for extreme generalization

Leslie Pack Kaelbling  
MIT CSAIL

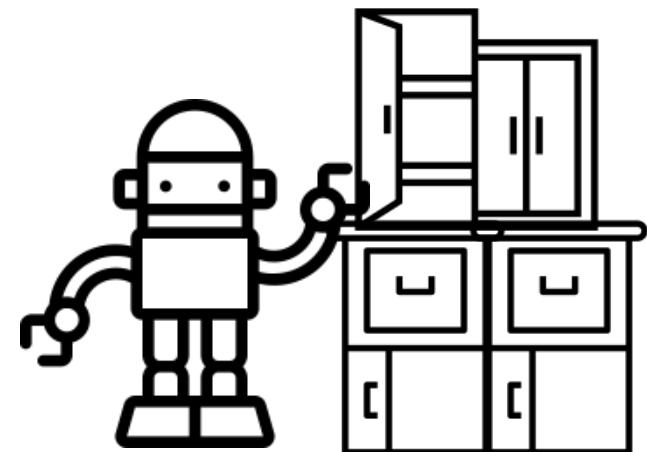
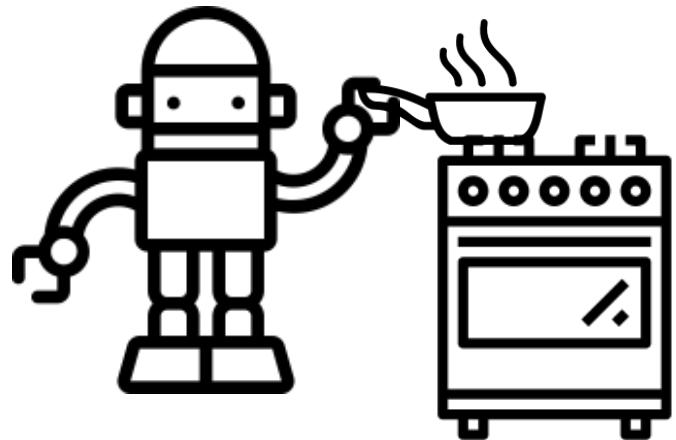
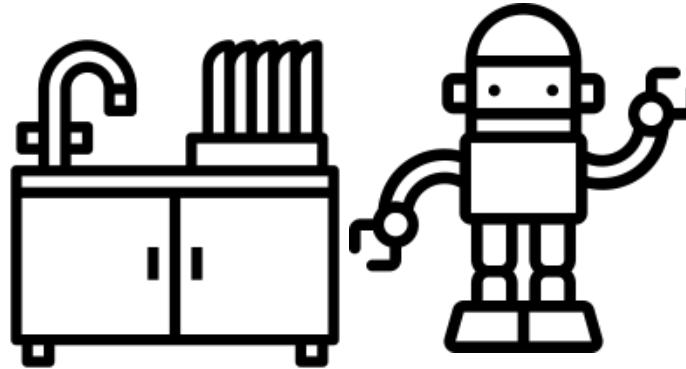
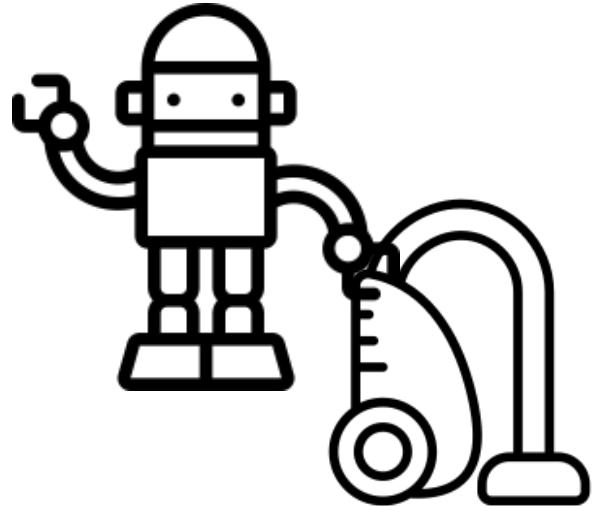
Research goal:  
understand the computational mechanisms  
necessary to make  
a general-purpose intelligent robot



# Domain variability: Make tea in any kitchen



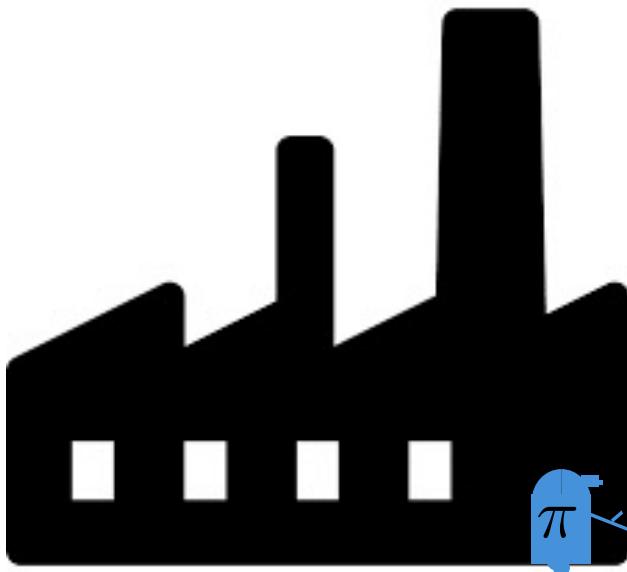
Task variability: Do any household job



# Robot factory generates robots that work in the wild

Find a mapping  $\pi : (o, a)^* \rightarrow a$  that optimizes

$$E_{\text{Dom}} \left[ \sum_{t=0}^{T_{\text{dom}}} R_{\text{dom}}(s_t, a_t) \mid \pi, W_{\text{dom}} \right]$$

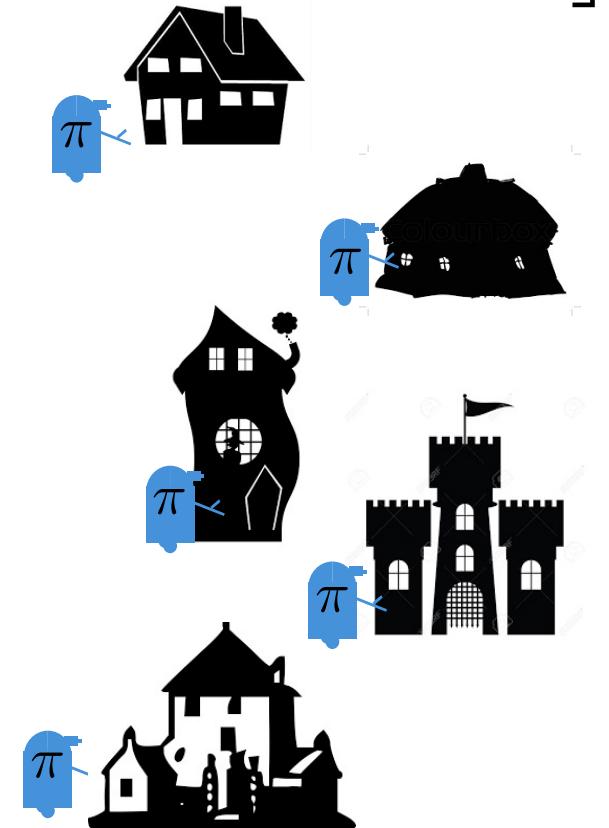


There is an optimal policy :-)

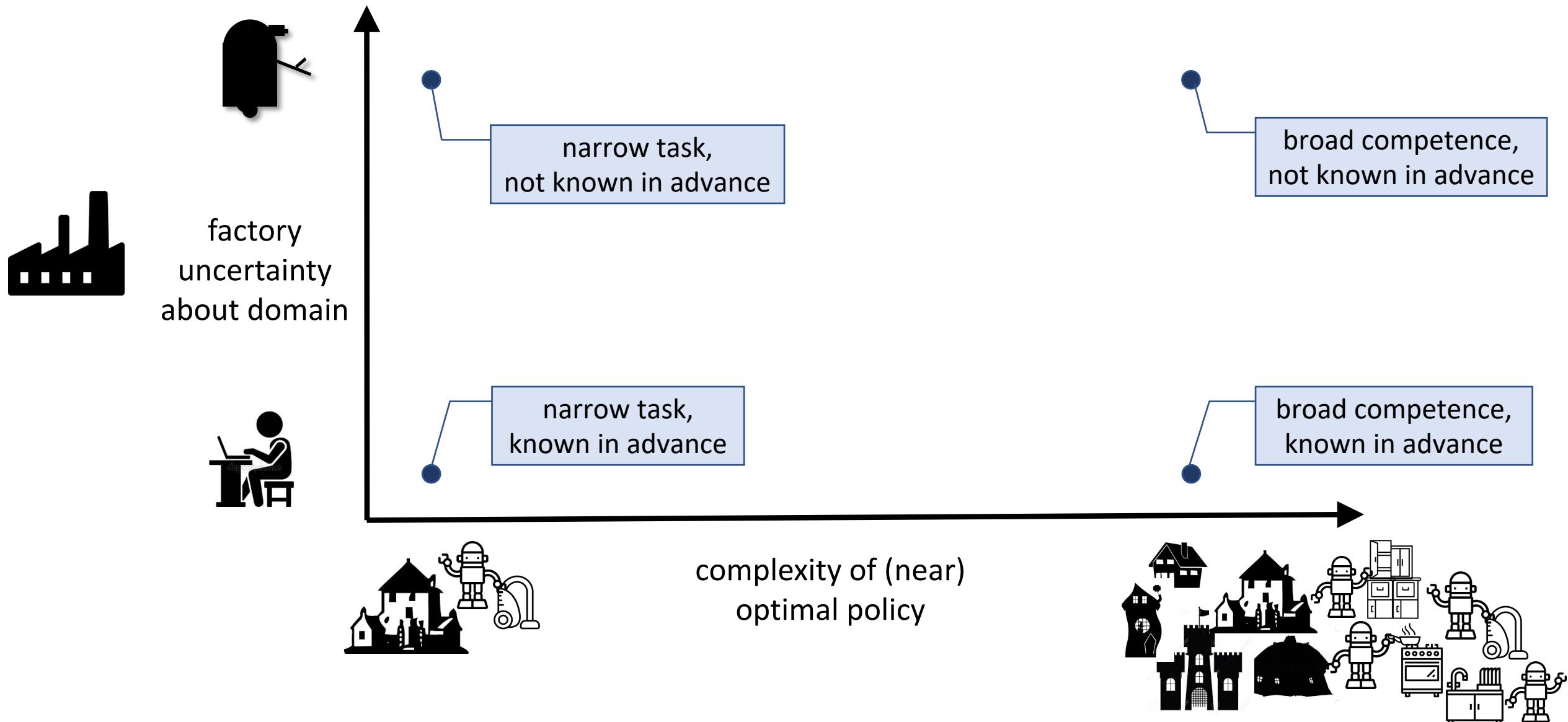
But it is hard to find :-(

Domain specifies

- World (start state dist, transition dynamics)
- Horizon T (could average or discount)
- Reward function (need not be additive, dense, shaped)



# The space of distributions over domains $P(\text{Dom})$



# How to construct a good policy for a given $P(\text{Dom})$ ?

Pick a model class and methodology for acquiring the models that perform well while minimizing total construction cost:

- Human
  - model specification
  - simulator (physical or software) construction
  - reward shaping
  - data labeling, gathering, ...
- Machine
  - optimization
  - learning
  - parameter tuning

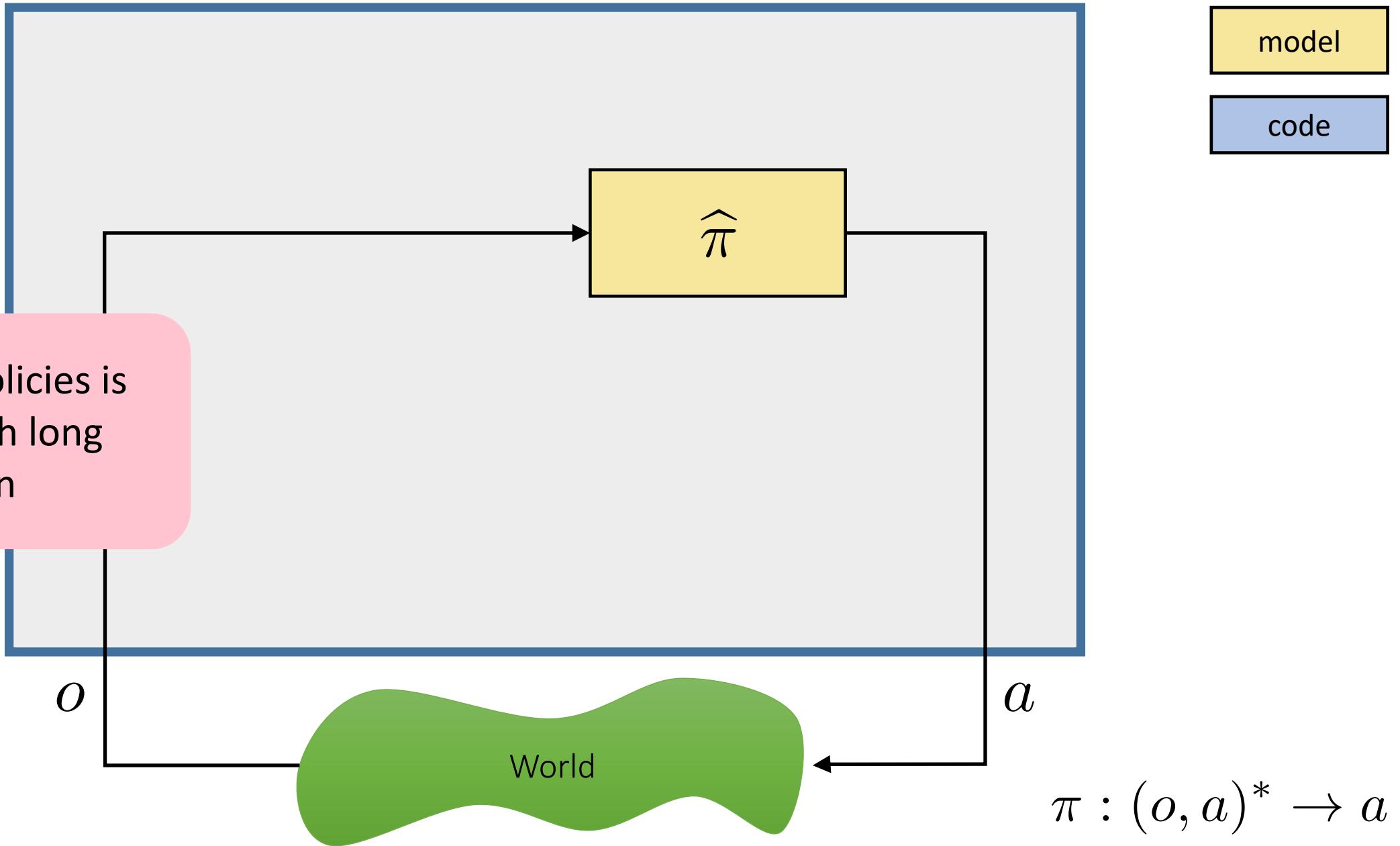
Pick model class where

- humans have most insight
- optimization is easiest
- learning will generalize best

# Ways to represent a policy: a policy!

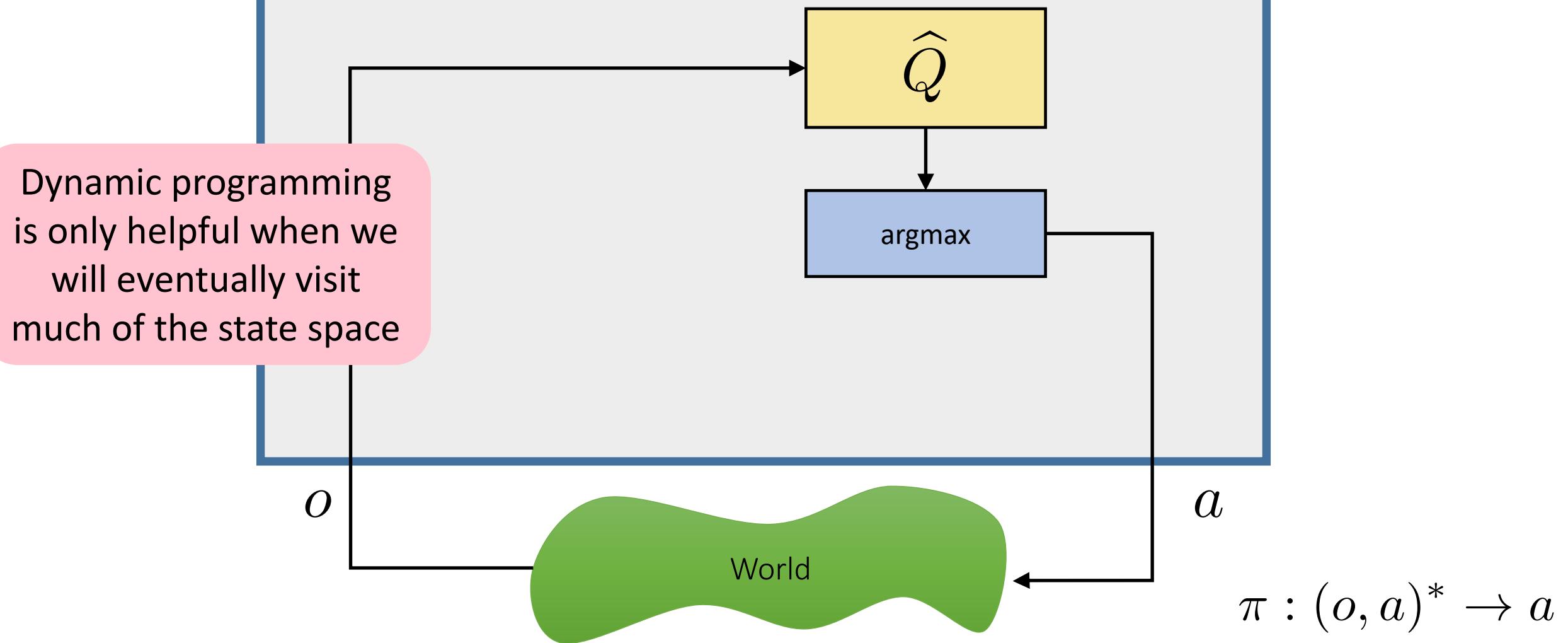
model  
code

Search for policies is difficult with long horizon

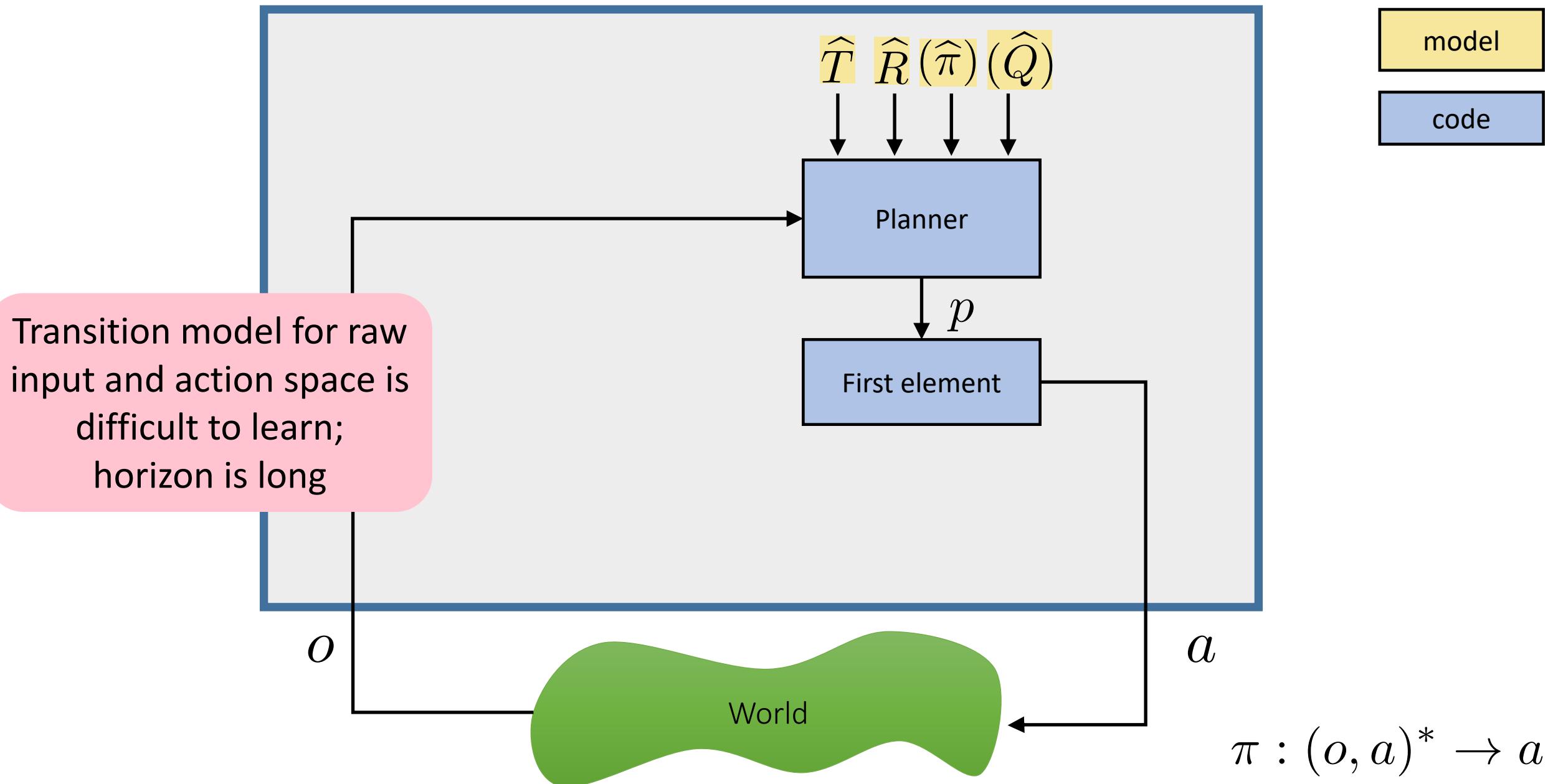


# Ways to represent a policy: Q values

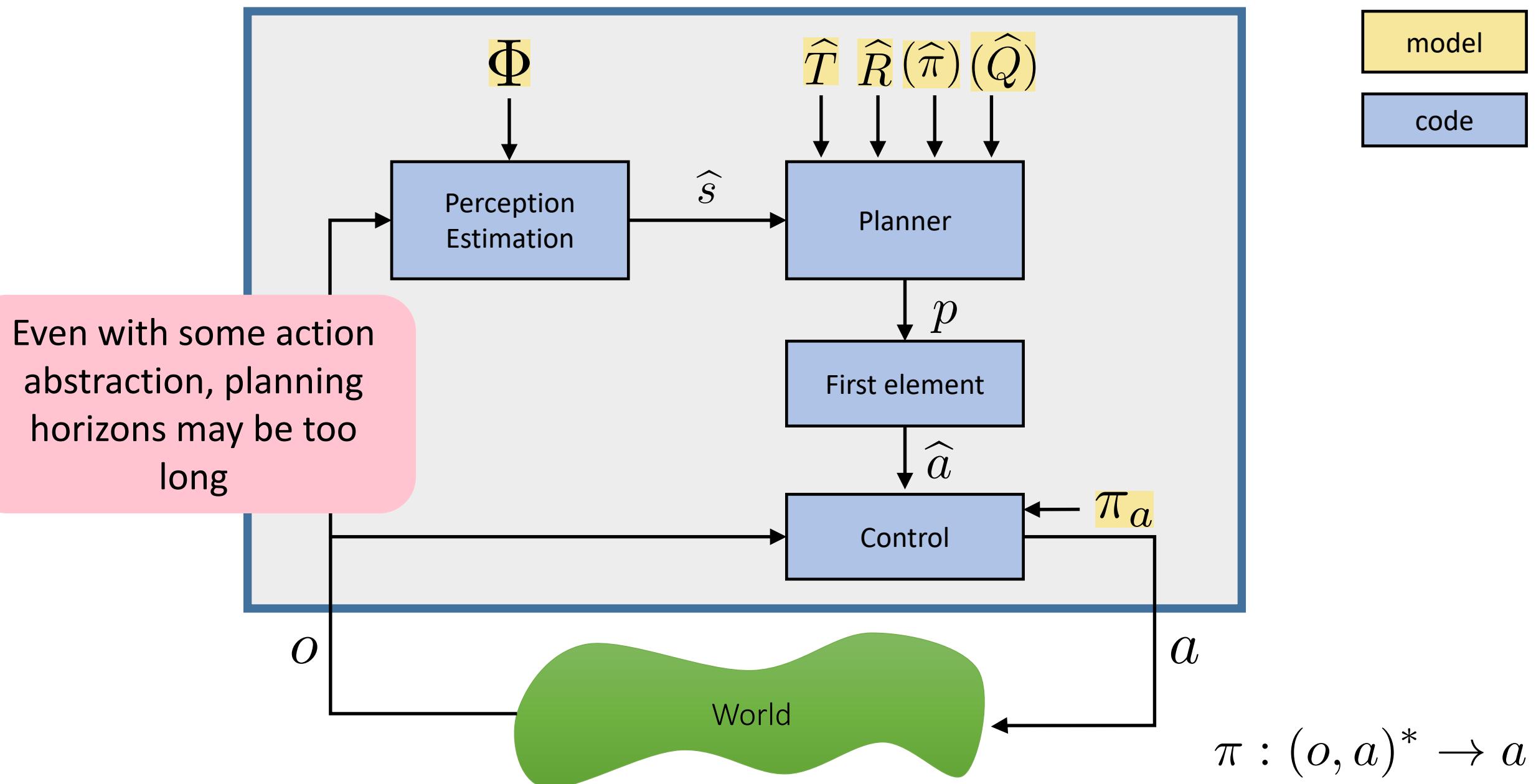
model  
code



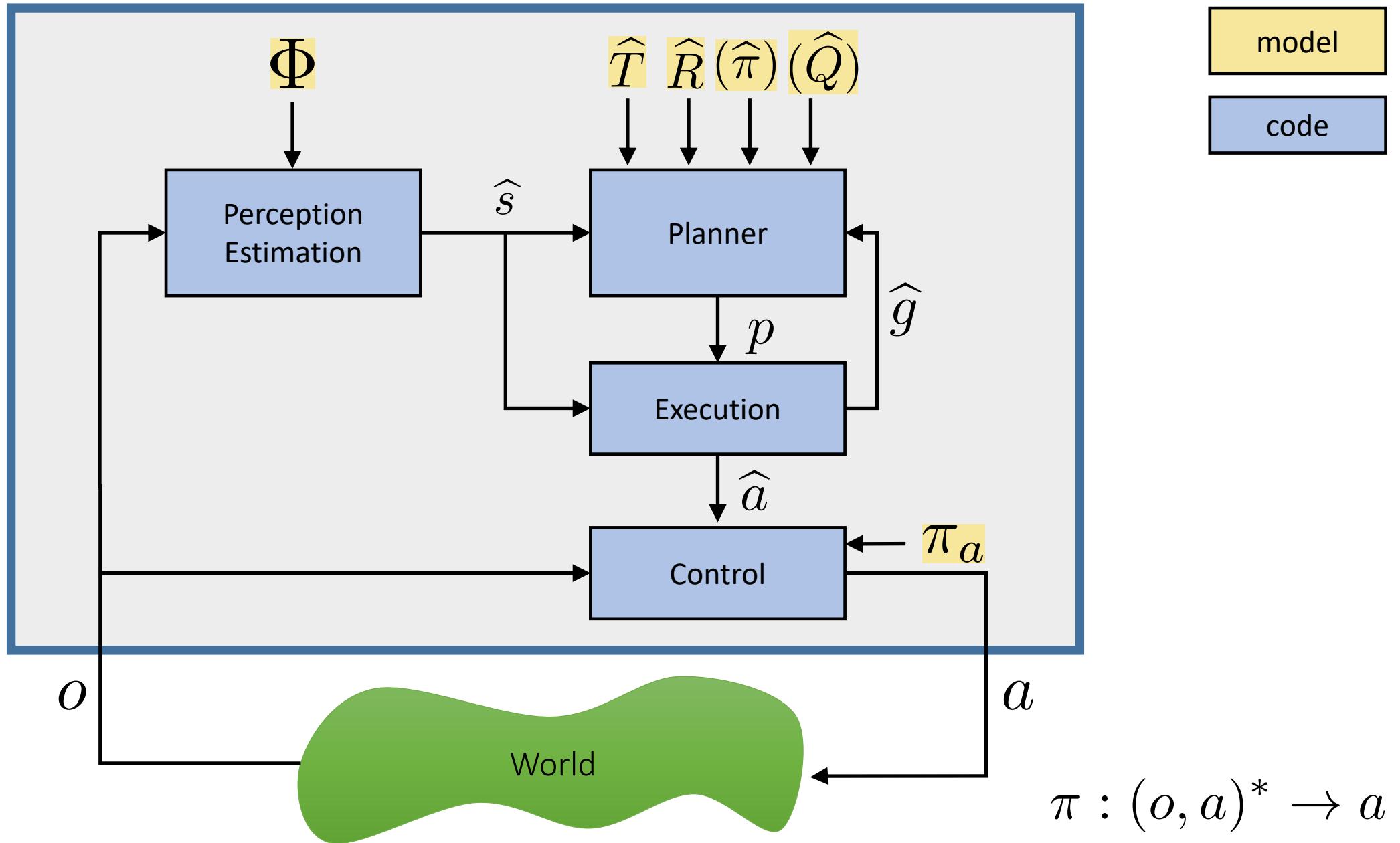
# Ways to represent a policy: plan online, with search control



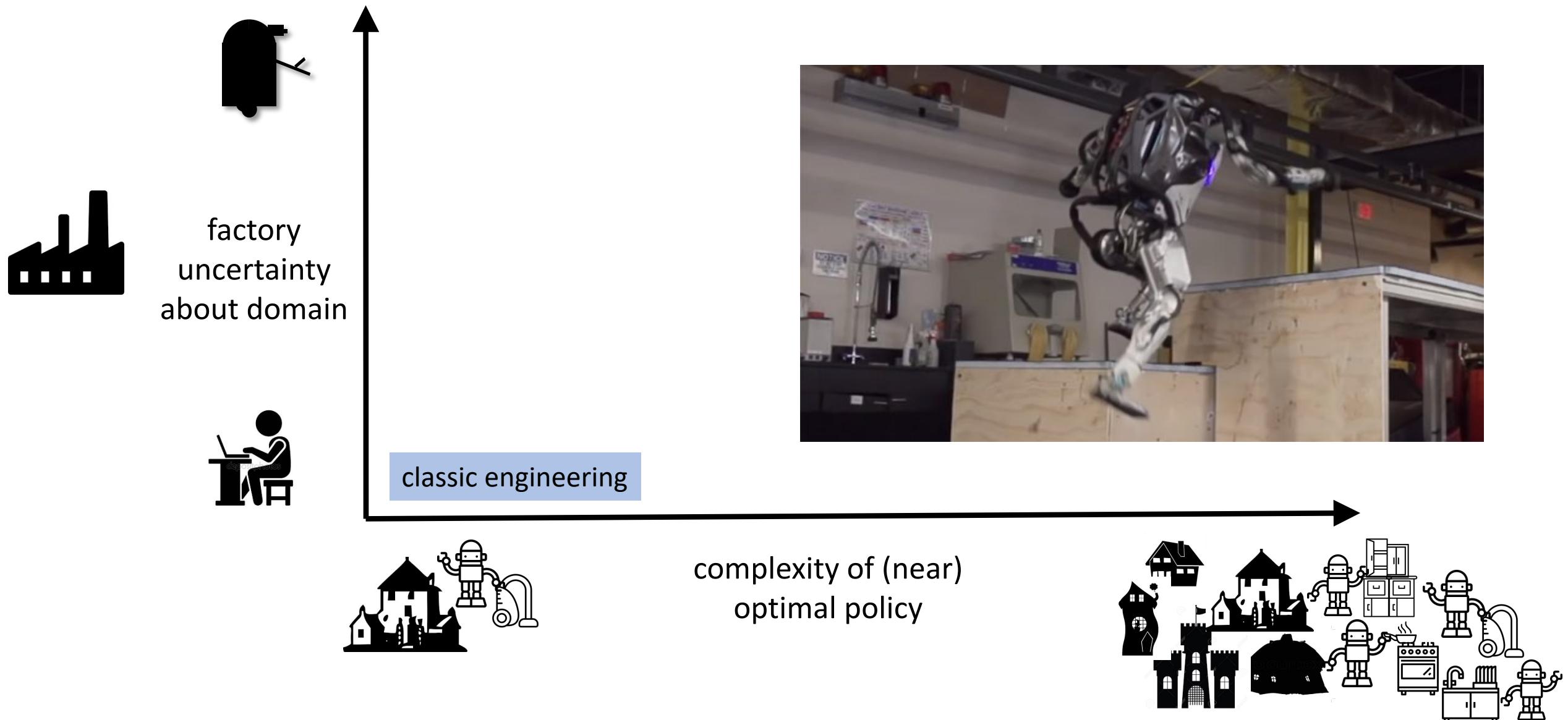
# Ways to represent a policy: plan at higher level of abstraction



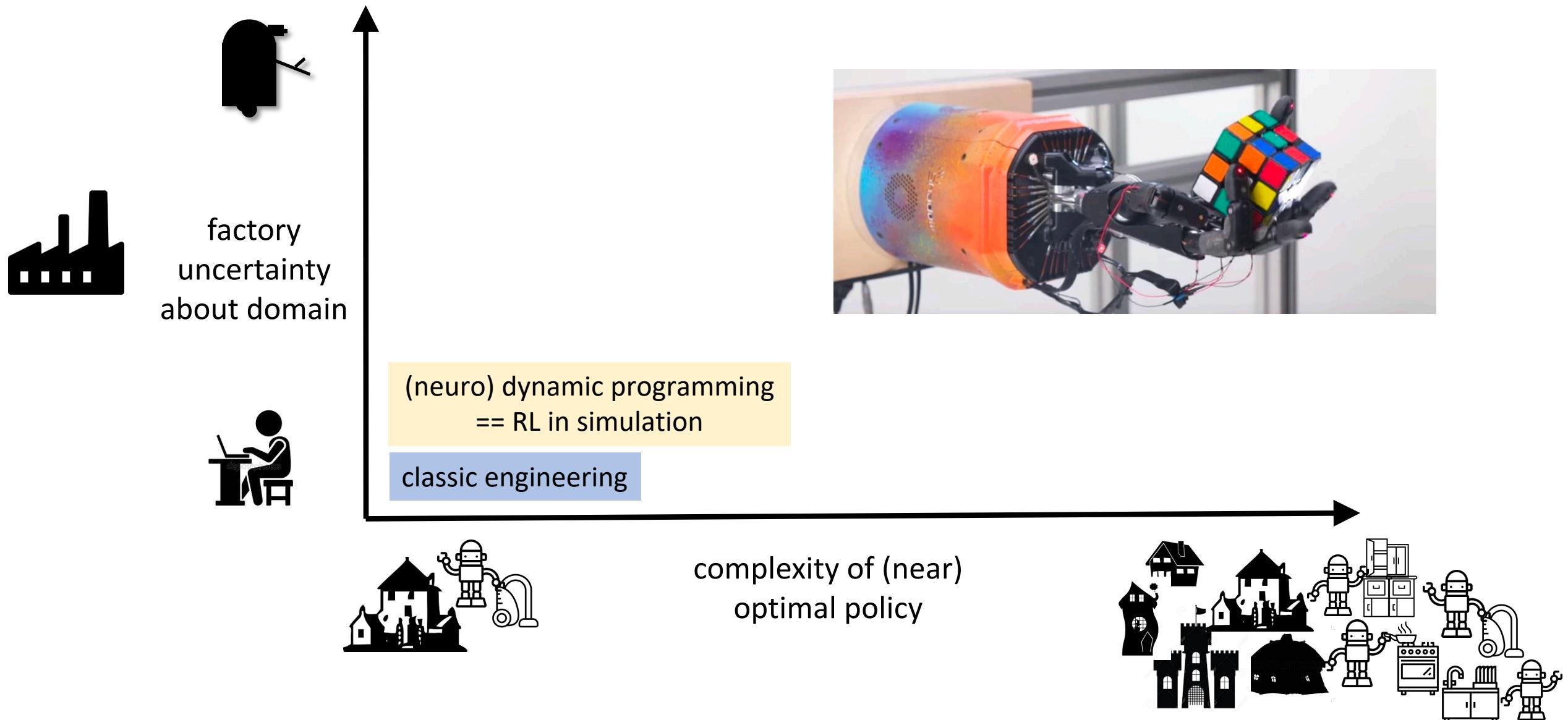
# Ways to represent a policy: add hierarchical plan / execution



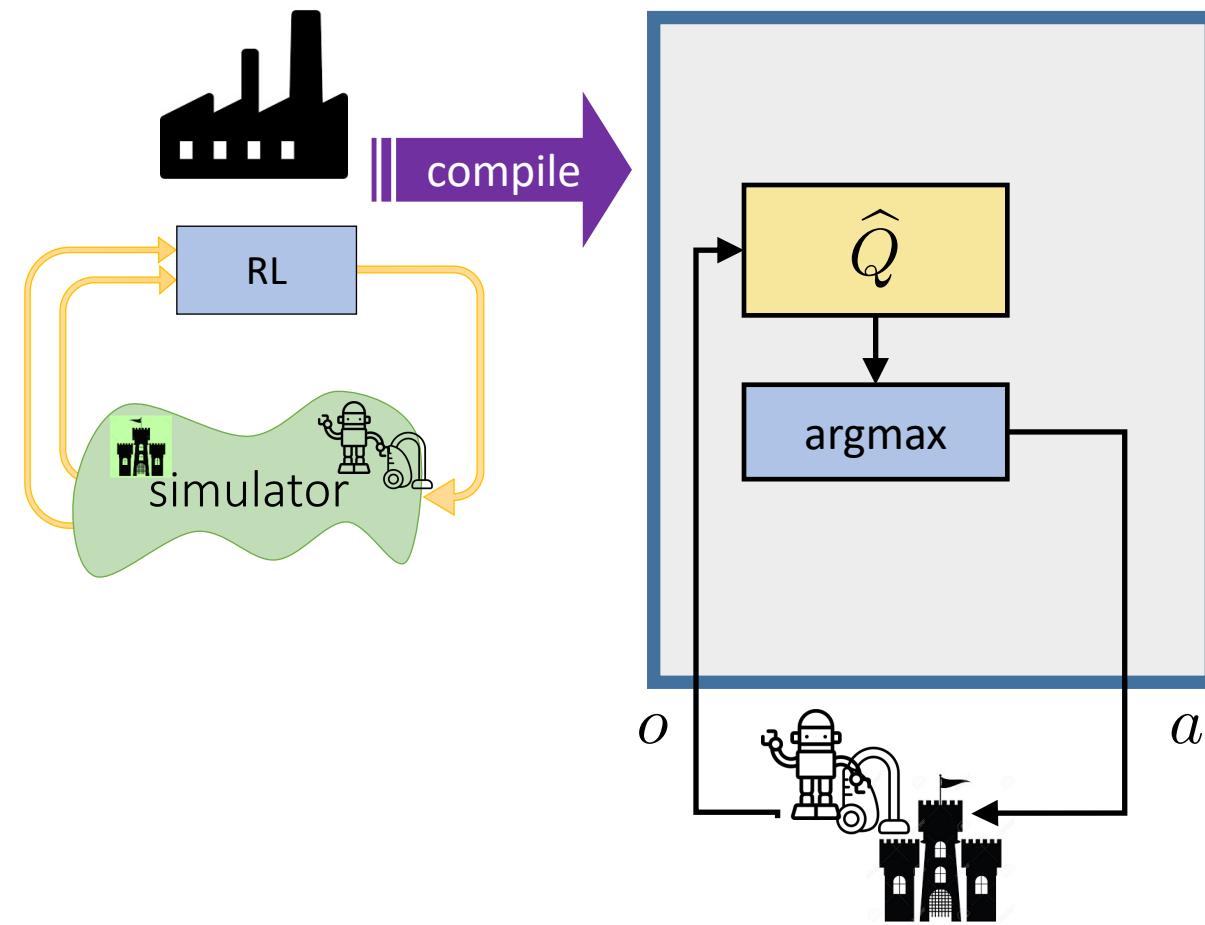
# Different ways to obtain a policy



# Different ways to obtain a policy

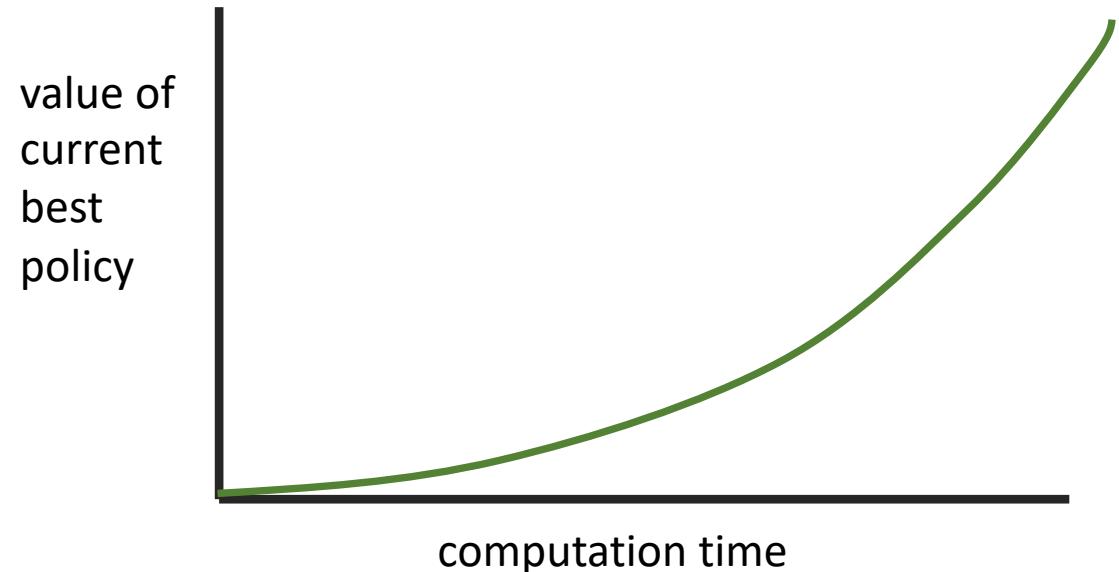


# RL in the factory

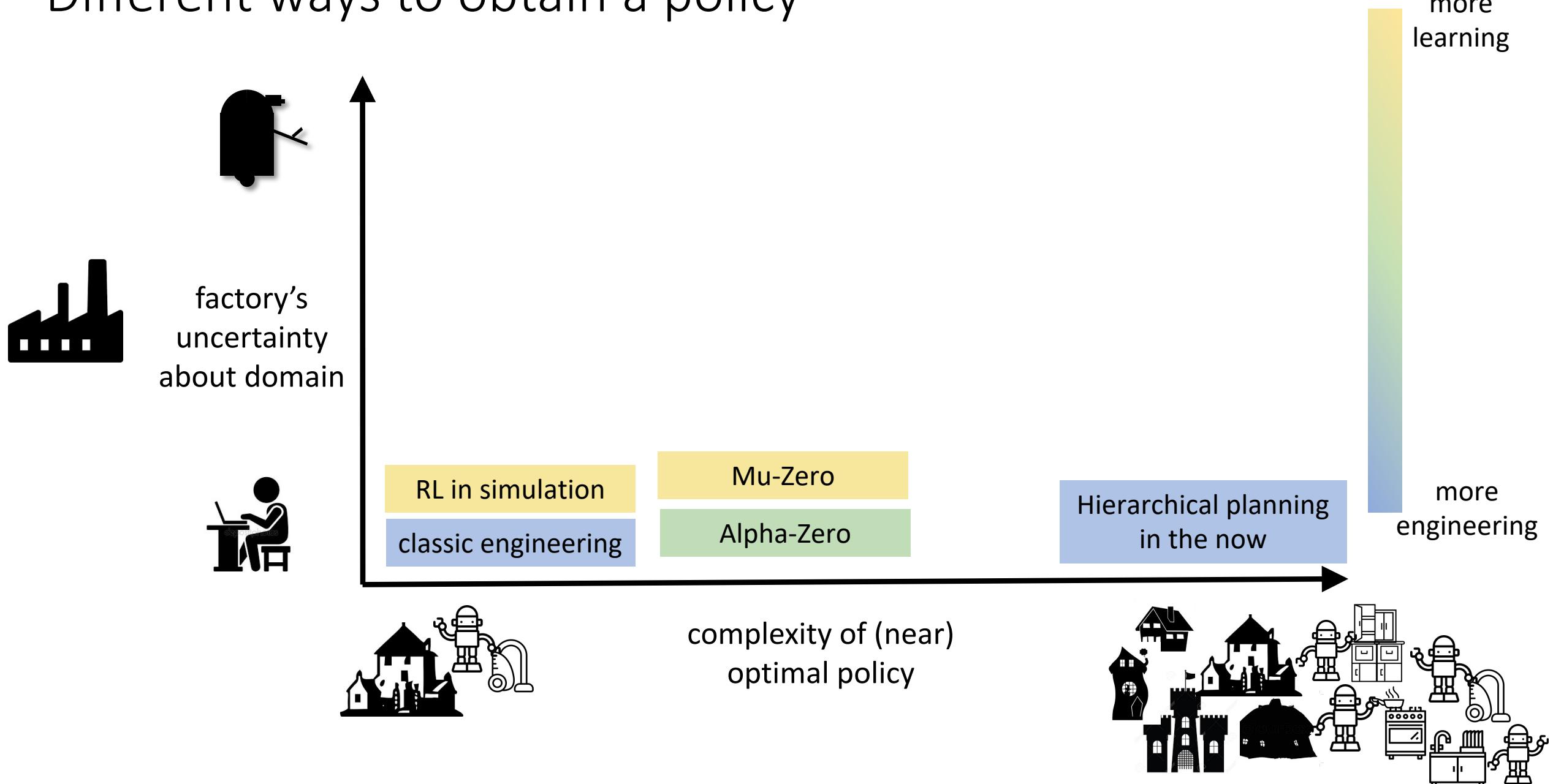


## Evaluation

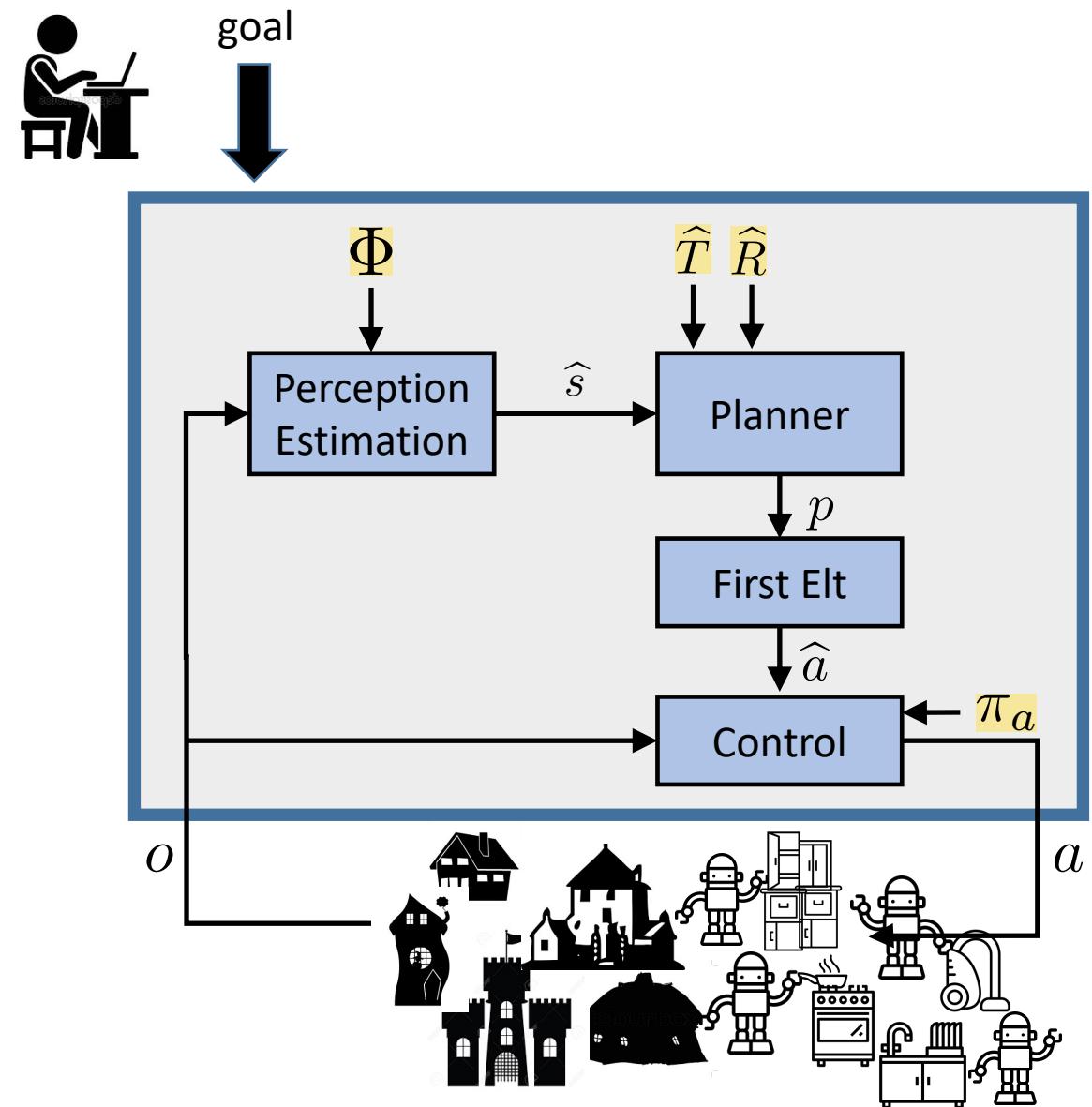
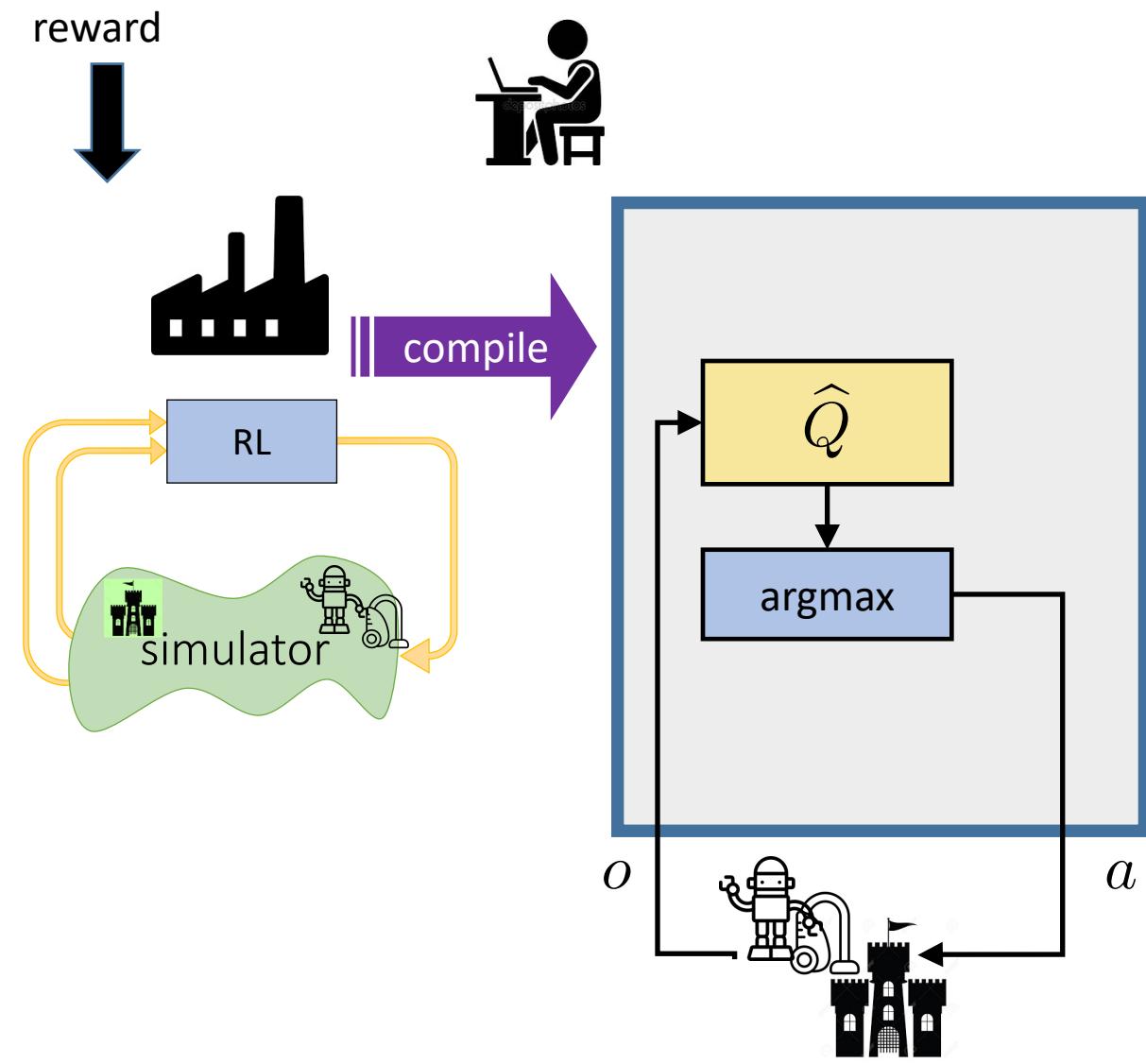
- Reward during learning doesn't matter
- Number of interactions with simulator doesn't matter
- Measure quality of best policy found versus computation time



# Different ways to obtain a policy

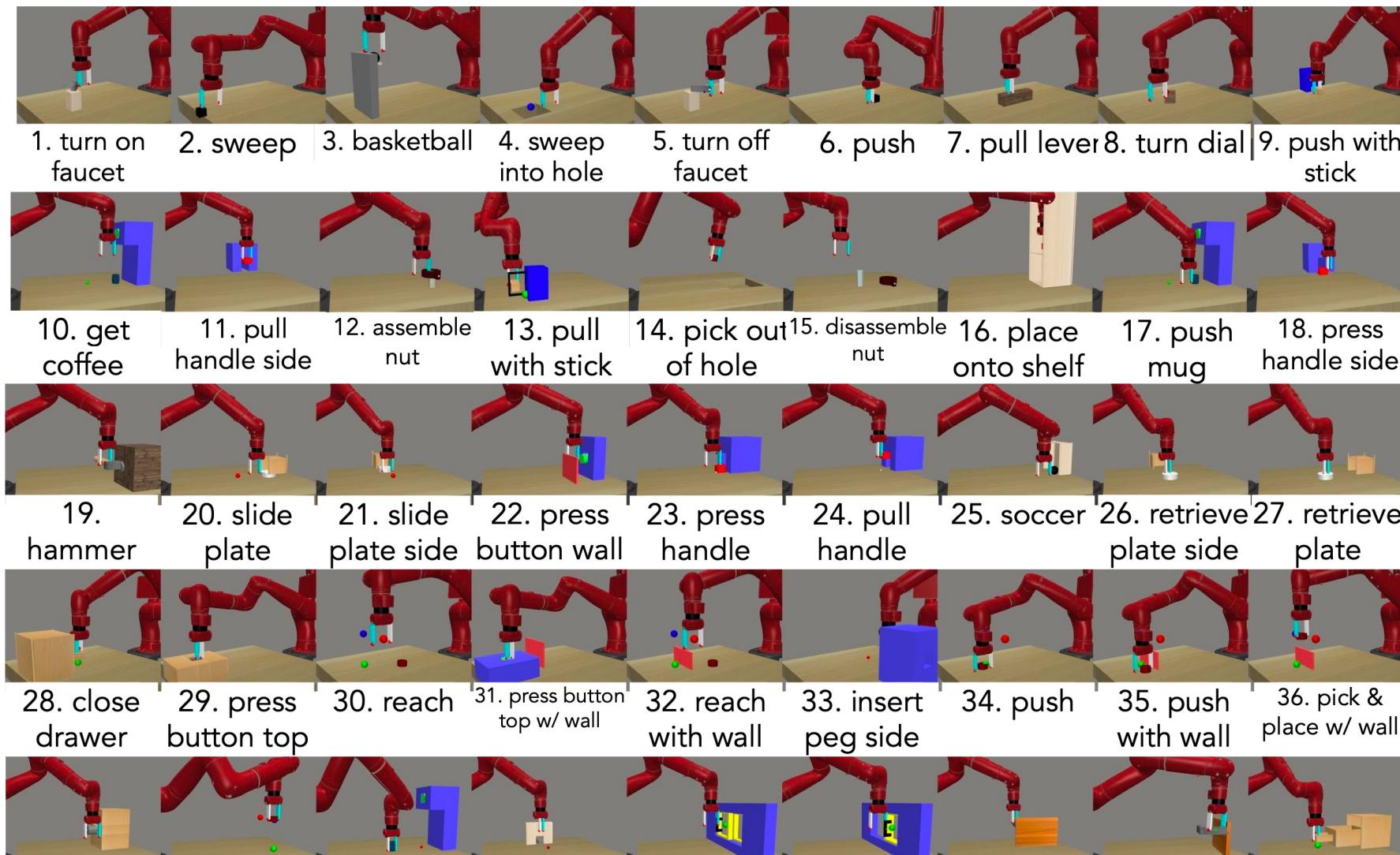


# RL in the factory vs planning in the wild: engineering effort



# MetaWorld: suite of RL problems designed for meta-learning

Train tasks



Test tasks

# Reward function for pick and place task

```
def compute_reward(self, actions, obs, mode = 'general'):
    if isinstance(obs, dict):
        obs = obs['state_observation']
    objPos = obs[3:6]

    rightFinger, leftFinger =
    self.get_site_pos('rightEndEffector'),
    self.get_site_pos('leftEndEffector')
    fingerCOM = (rightFinger + leftFinger)/2

    heightTarget = self.heightTarget
    placingGoal = self._state_goal

    reachDist = np.linalg.norm(objPos - fingerCOM)
    placingDist = np.linalg.norm(objPos[:2] -
    placingGoal[:-1])

    def reachReward():
        reachRew = -reachDist# + min(actions[-1], -1)/50
        reachDistxy = np.linalg.norm(objPos[:-1] -
        fingerCOM[:-1])
        zRew = np.linalg.norm(fingerCOM[-1] -
        self.init_fingerCOM[-1])
        if reachDistxy < 0.06: #0.02
            reachRew = -reachDist
        else:
            reachRew = -reachDistxy - zRew
        #incentive to close fingers when reachDist is
        small
        if reachDist < 0.05:
            reachRew = -reachDist + max(actions[-1], 0)/50
        return reachRew , reachDist

    def pickCompletionCriteria():
        tolerance = 0.01
        if objPos[2] >= (heightTarget- tolerance):
            return True
        else:
            return False

    if pickCompletionCriteria():
        self.pickCompleted = True
```

```
    def objDropped():
        return (objPos[2] <
        (self.objHeight + 0.005)) and (placingDist
        >0.02) and (reachDist > 0.02)
        # Object on the ground, far away
        from the goal, and from the gripper
        #Can tweak the margin limits

    def objGrasped(thresh = 0):
        sensorData = self.data.sensordata
        return (sensorData[0]>thresh) and
        (sensorData[1]> thresh)

    def placeCompletionCriteria():
        if abs(objPos[0] - placingGoal[0]) < 0.05 and \
        abs(objPos[1] - placingGoal[1]) < 0.05 and \
        objPos[2] < self.objHeight +
        0.05:
            return True
        else:
            return False
        if placeCompletionCriteria():
            self.placeCompleted = True

    def orig_pickReward():
        # hScale = 50
        hScale = 100
        if self.placeCompleted or
        (self.pickCompleted and not(objDropped())):
            return hScale*heightTarget
            # elif (reachDist < 0.1) and
            # (objPos[2]> (self.objHeight + 0.005)) :
            # elif (reachDist < 0.1) and
            # (objPos[2]> (self.objHeight + 0.005)) :
            #     return hScale*
            min(heightTarget, objPos[2])
            else:
                return 0
```

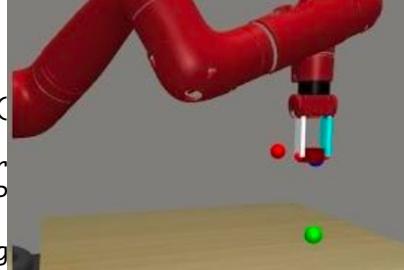
Dist]

```
def general_pickReward():
    hScale = 50
    if self.placeCompleted or C
objGrasped():
    return hScale*heightTar
    elif objGrasped() and (objP
0.005)):
    return hScale* min(heig
else:
    return 0

def placeReward():
    # c1 = 1000 ; c2 = 0.01 ; c3 = 0.001
    c1 = 1000 ; c2 = 0.01 ; c3 = 0.001
    placeRew = 1000*(self.maxPlacingDist - placingDist)
+ c1*(np.exp(- (placingDist**2)/c2) + np.exp(-
(placingDist**2)/c3))
    placeRew = max(placeRew,0)
    if mode == 'general':
        cond = self.pickCompleted and objGrasped()
    else:
        cond = self.pickCompleted and (reachDist < 0.1)
and not(objDropped())
        if self.placeCompleted:
            return [-200*actions[-1] + placeRew,
        placingDist]
    elif cond:
        if abs(objPos[0] - placingGoal[0]) < 0.05 and \
        abs(objPos[1] - placingGoal[1]) < 0.05:
            return [-200*actions[-1] + placeRew,
        placingDist]
    else:
        return [placeRew, placingDist]
    else:
        return [0 , placingDist

reachRew, reachDist = reachReward()
if mode == 'general':
    pickRew = general_pickReward()
else:
    pickRew = orig_pickReward()
placeRew , placingDist = placeReward()
# assert ((placeRew >=0) and (pickRew>=0))
if self.placeCompleted:
    reachRew = 0
    reachDist = 0
reward = reachRew + pickRew + placeRew
return [reward, reachRew, reachDist, pickRew, placeRew,
```

placing



# Reward function for pick and place task

hand close to obj	obj grasped	pick ever completed	hand close to goal	place ever completed	reward
0	0	*	*	0	$-\text{distXY}(\text{hand}, \text{obj}) - \text{distZ}(\text{hand}, \text{obj})$
1	0	0	*	0	$-\text{dist}(\text{hand}, \text{obj}) + c1 * \text{closeCmd}$
1	1	0	*	0	$-\text{dist}(\text{hand}, \text{obj}) + c1 * \text{closeCmd} + c2 * \min(\text{obj.z}, \text{targetHt})$
1	1	1	*	0	$-\text{dist}(\text{hand}, \text{obj}) + c1 * \text{closeCmd} + c2 * \text{targetHt} + \text{placeRew}$
1	1	1	0	0	$-\text{dist}(\text{hand}, \text{obj}) + c1 * \text{closeCmd} + c2 * \text{targetHt} + \text{placeRew} + c3 * \text{openCmd}$
*	*	*	*	1	$c2 * \text{targetHt} + \text{placeRew} + c3 * \text{openCmd}$

place ever completed:  $|\text{objx} - \text{goalx}| < c4$  and  $|\text{objy} - \text{goaly}| < c5$  and  $|\text{objz} - \text{goalz}| < c6$

pick ever completed:  $\text{objz} > \text{targetHt} + c7$

obj grasp: test on current finger sensors

hand close to obj, hand close to goal: constant x,y,z thresholds

placeDist =  $|\text{objxy} - \text{goalxy}|$

placeRew =  $\max(0, c8 * (c9 - \text{placeDist}) + c10 * (\exp(-(\text{placeDist}^2 / c11)) + \exp(-(\text{placeDist}^2 / c12))))$

# Reward function for pick and place task

hand close to obj	obj grasped	pick ever completed	hand close to goal	place ever completed	reward
0	0	*	*	0	move toward object
1	0	0	*	0	move toward object + close fingers
1	1	0	*	0	move toward object + close fingers + raise
1	1	1	*	0	move toward object + close fingers + move toward goal
1	1	1	0	0	move toward object + close fingers + move toward goal + open fingers
*	*	*	*	1	move toward goal + open fingers

place ever completed:  $|objx - goalx| < c4$  and  $|objy - goaly| < c5$  and  $|objz - goalz| < c6$

pick ever completed:  $objz > targetHt + c7$

obj grasp: test on current finger sensors

hand close to obj, hand close to goal: constant x,y,z thresholds

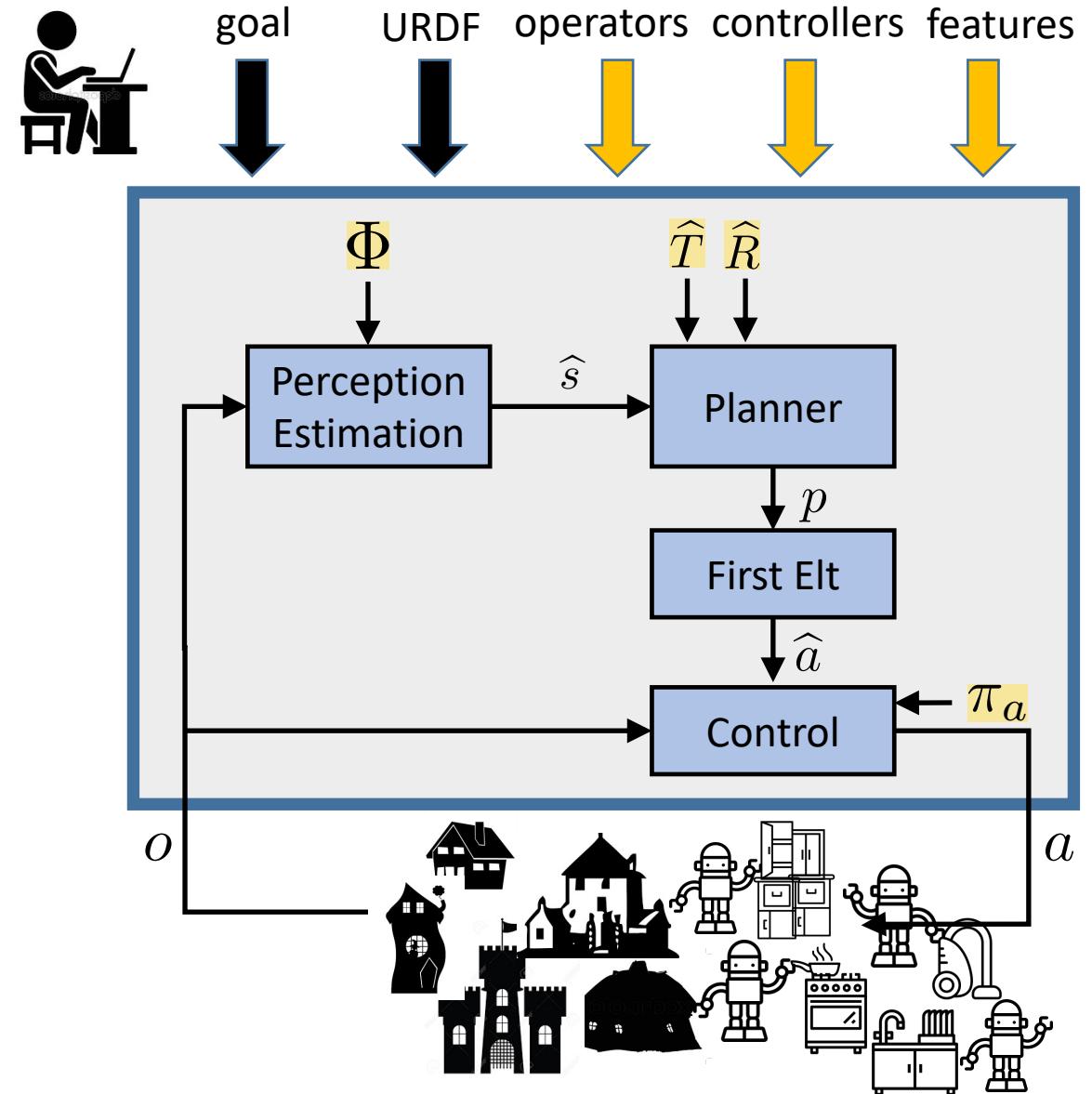
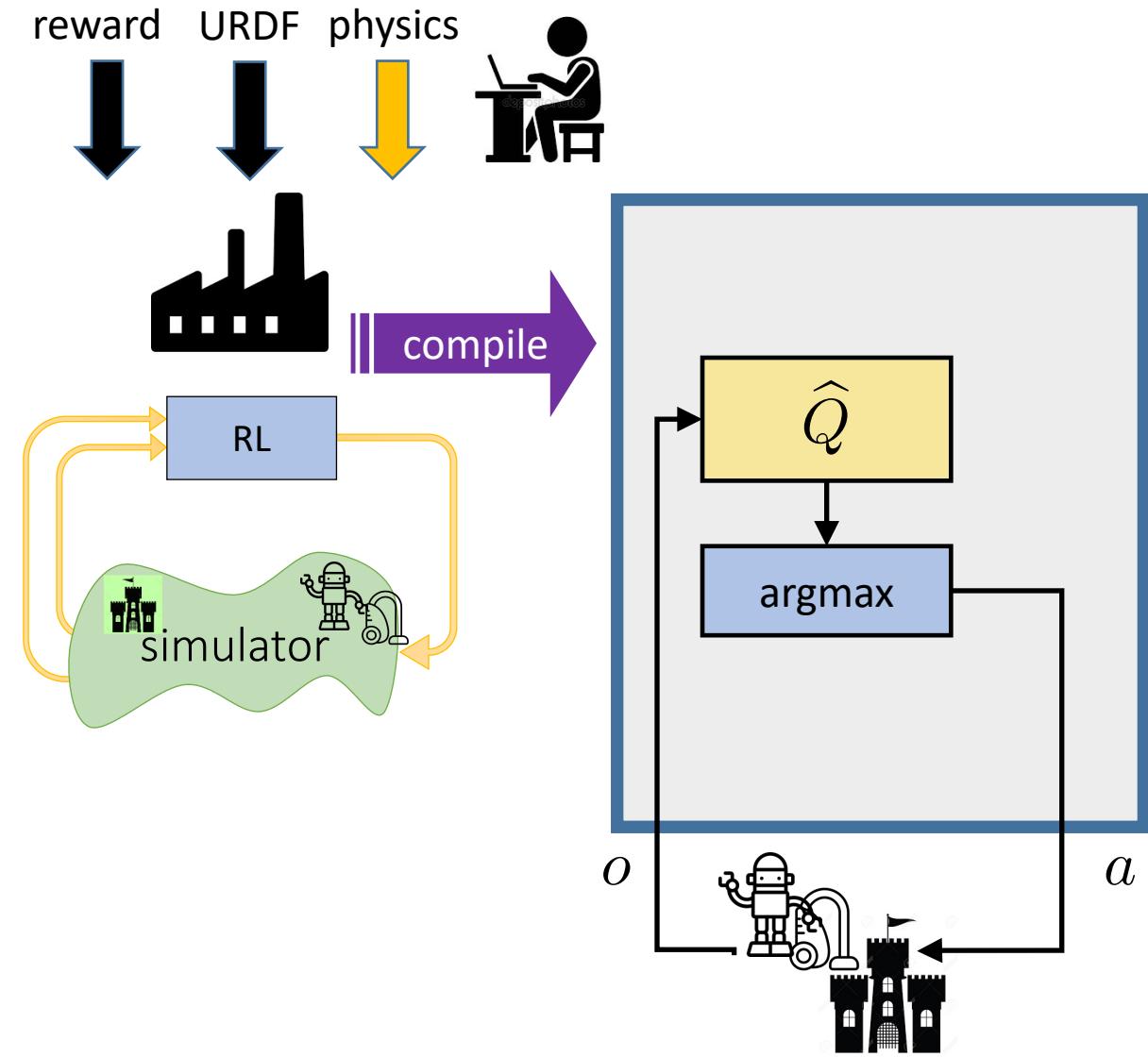
placeDist =  $|objxy - goaly|$

placeRew =  $\max(0, c8 * (c9 - placingDist) + c10 * (\exp(-(placingDist**2)/c11) + \exp(-(placingDist**2)/c12)))$

# Goal for HPN planner

```
goal = Goal([Pose(target_obj, (0.2, -0.1, 0.5, 3.14))])
```

# RL in the factory vs planning in the wild: engineering effort



# Models for robot manipulation planning

## Raw simulator

- Action space: incremental joint torques or displacements
- Horizon: several 1000
- Heuristic: learned goal-conditioned value functions
- Exploration needed to learn heuristics

## Proposed abstract model

- Action space is
  - **lifted**: independent of particular objects
  - **compositional**: can address huge space of states and goals
- Horizon: hierarchical ~8 mode-level, ~20 motion-level, ~2 controller-level
- Heuristic: domain independent (can be improved by learning)
- Exploration: not needed

What makes an abstraction useful?

- Makes computational problem much easier
- Doesn't make solution quality too much worse
- Not too hard to implement / maintain
- Solves most problems you care about

# Multi-modal motion planning: a useful abstraction for many problems!

Assume:

- robot and objects (for now) are kinematic
- state represented in terms of poses (and c

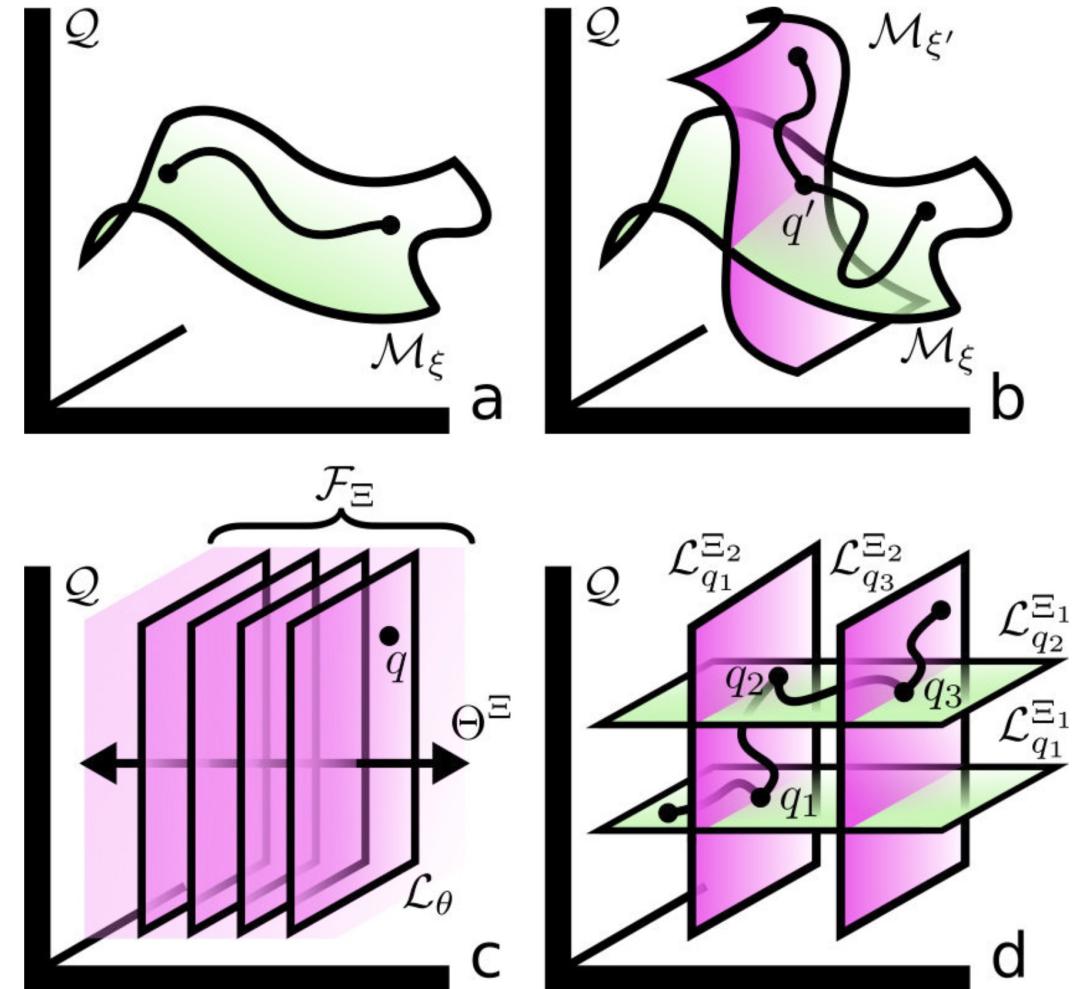
**Mode:** smooth dynamics of a small set of state

- robot moving in free space
- robot moving while holding A in grasp G a
- ...

**Mode family:** set of modes with same changing

Mode family specified by:

- changeable params
- controller for entering, moving through, a
- testable description of set of world config  
and into which it can be exited

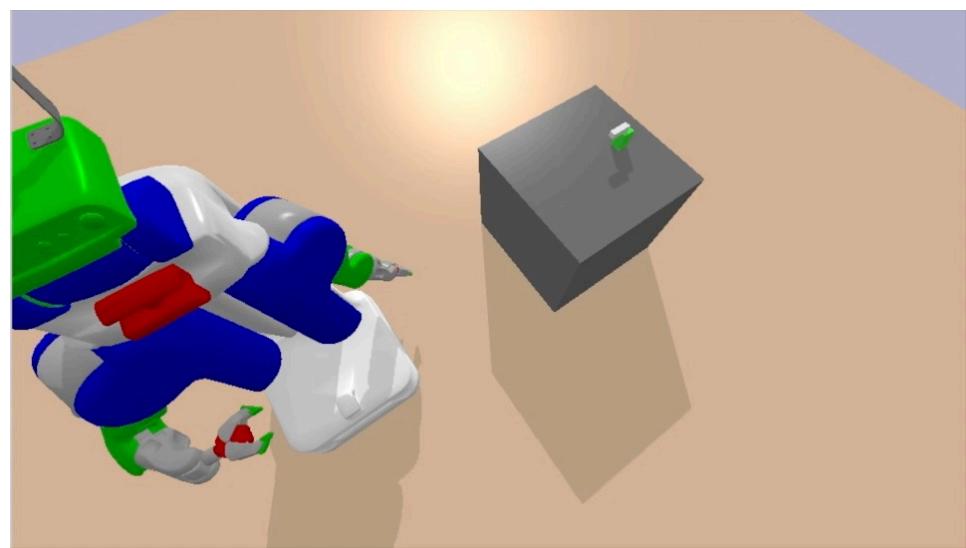
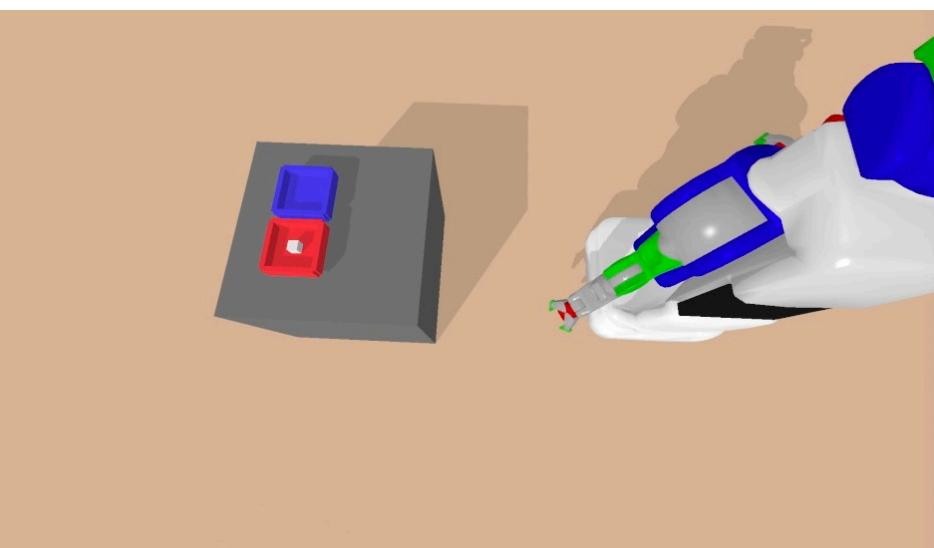
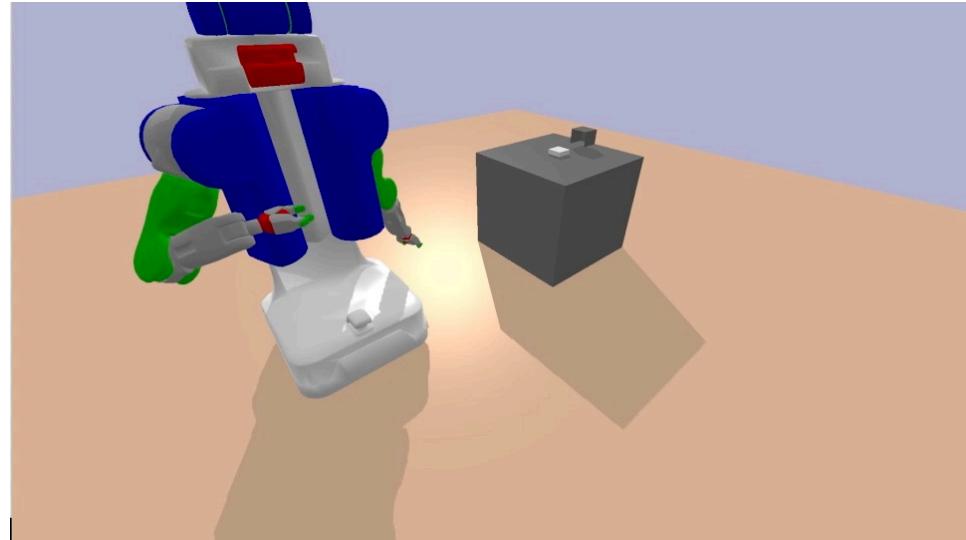


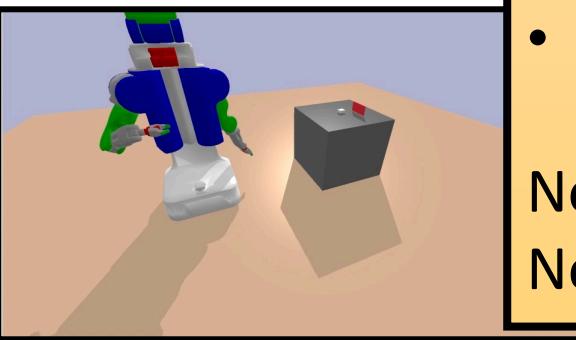
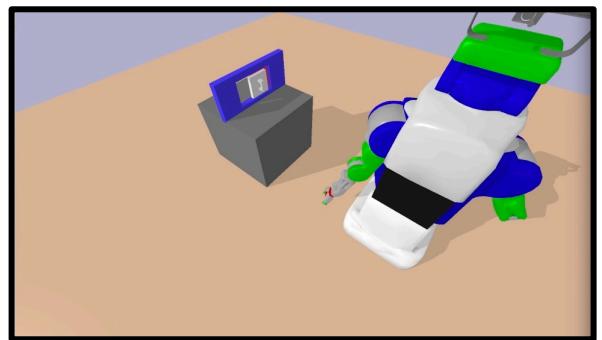
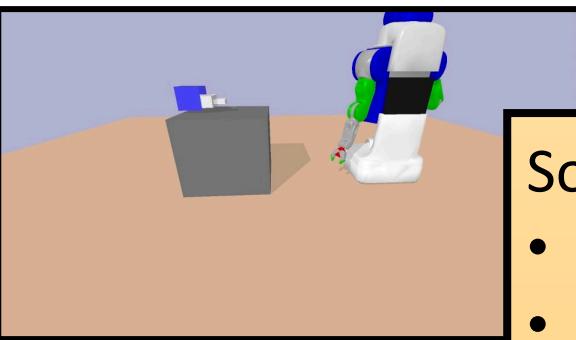
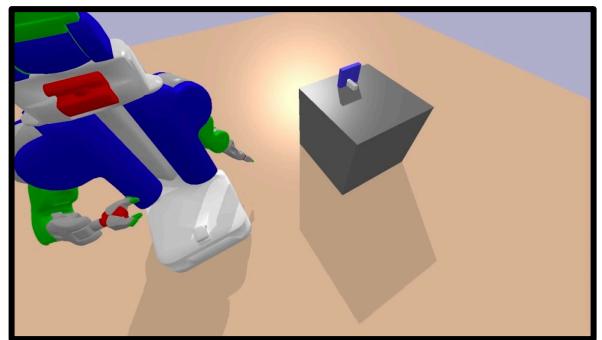
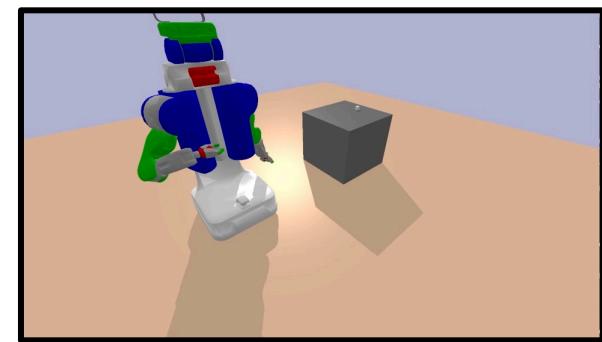
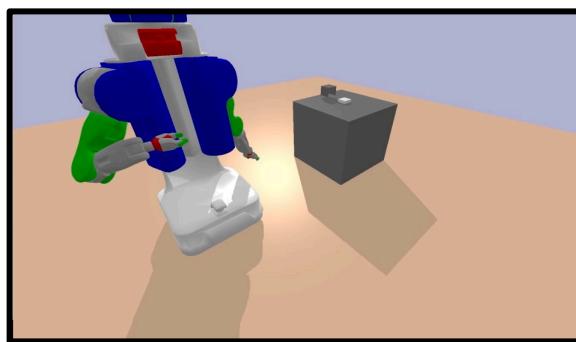
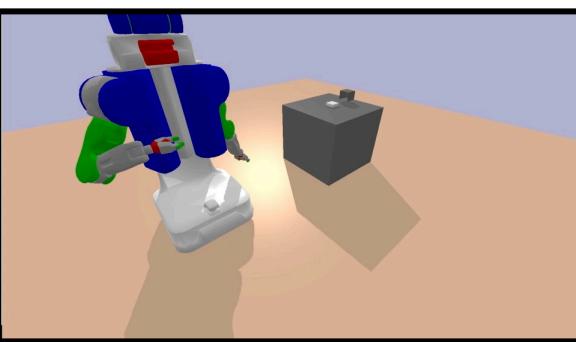
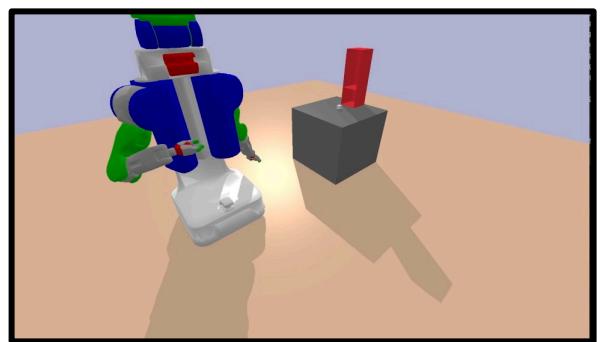
# Multi-modal motion planning: a useful abstraction for many problems!

We model meta-world as a **lifted**  
MMMP with mode families:

- free-space motion
- pick; move-holding; place
- touch; push; disengage
- grasp; operate; ungrasp

Easy to add new ones!

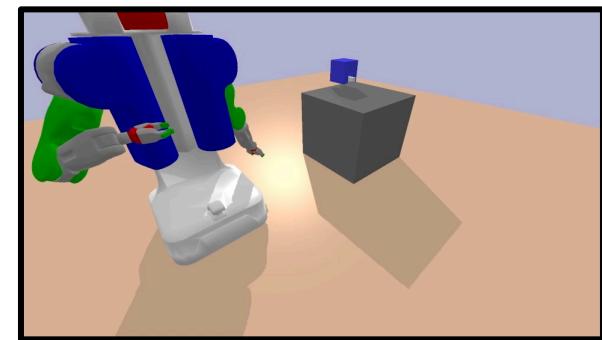
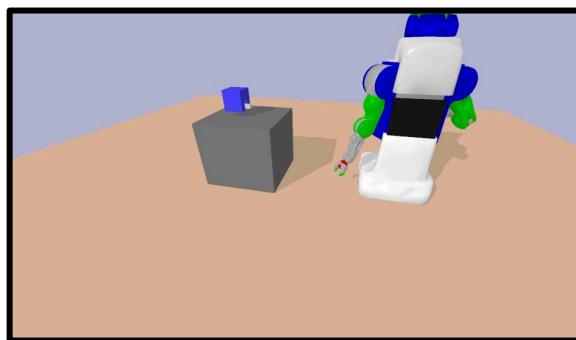
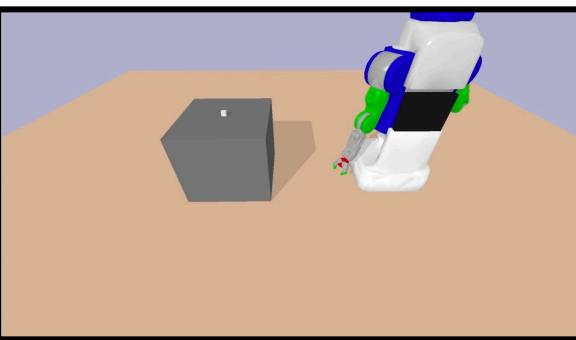
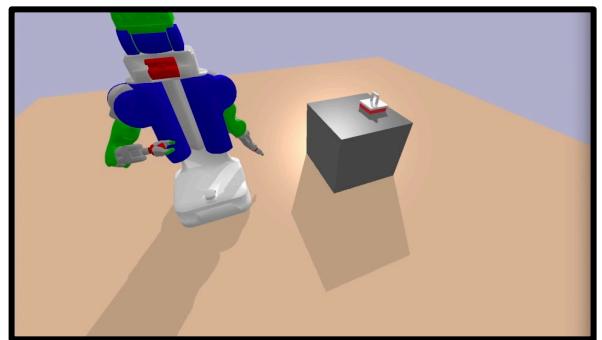




Solved 43/50 so far. Need

- mode for frictional interactions
- concept for pushing into a hole
- controller for tight insertions/extractions

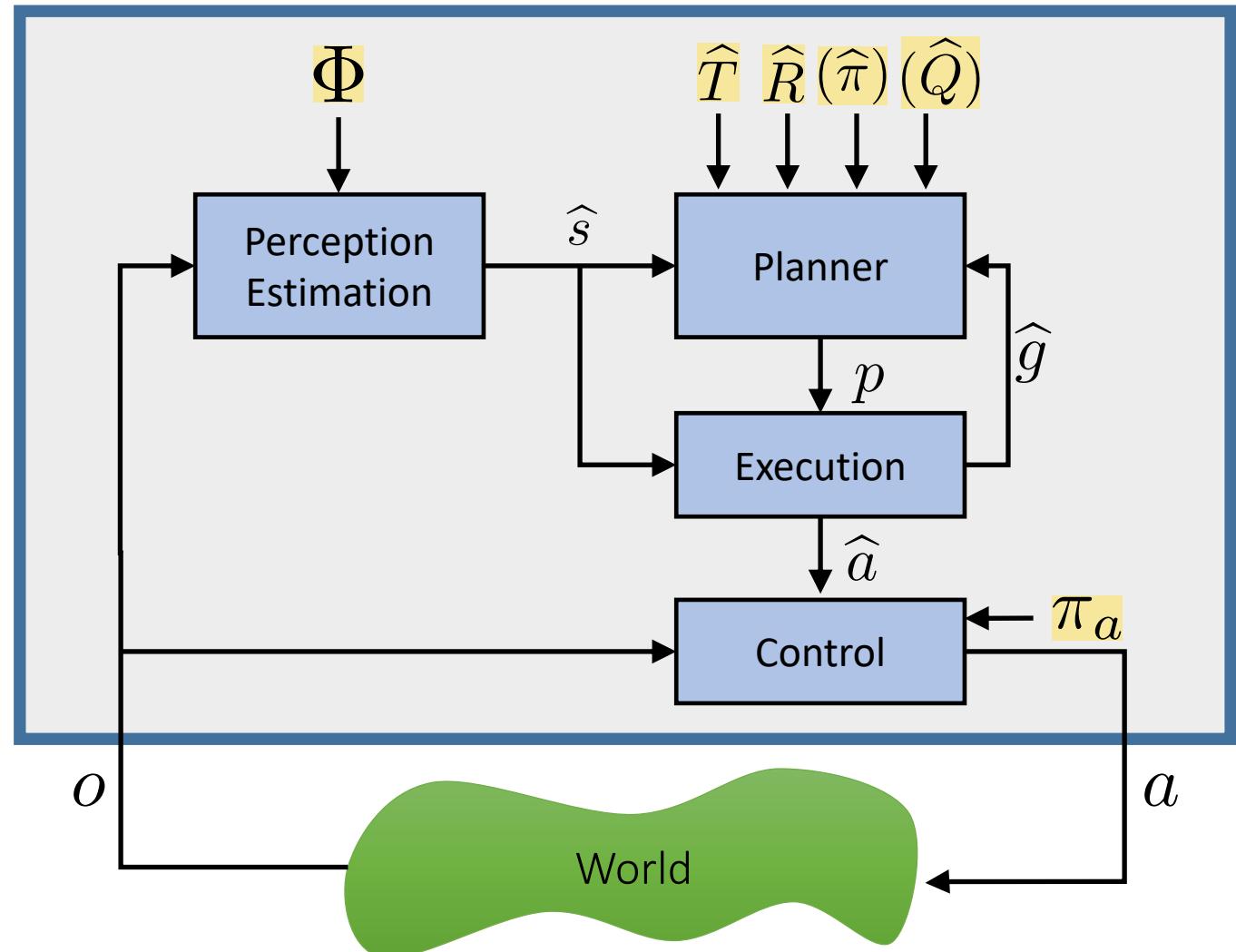
No learning! Fairly general code.  
Needs to know object models / shapes



# Built-in mechanisms: planner, hierarchical execution

Modules and models:

- control
  - basic pick and place controllers
- perception
  - object segmenters and
  - simple shape matching
- planning
  - operator models for basic controllers

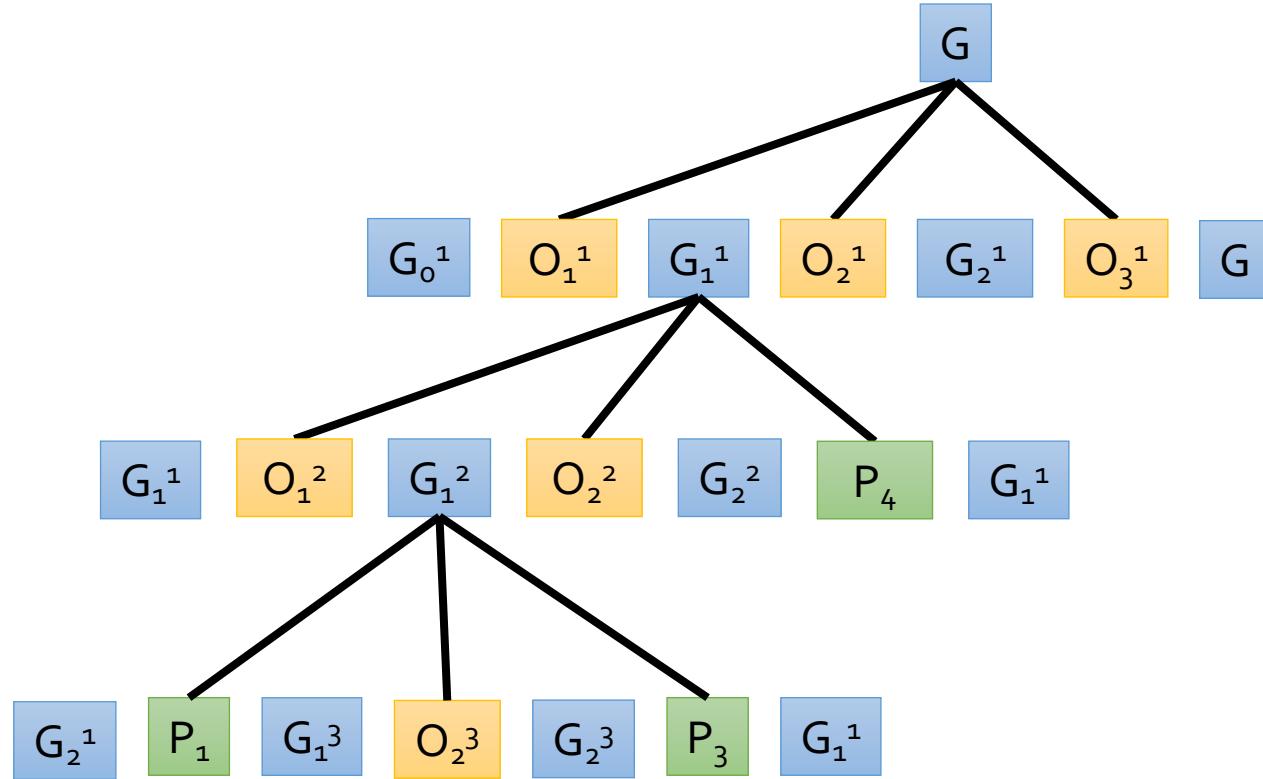


# Hierarchical planning in the now

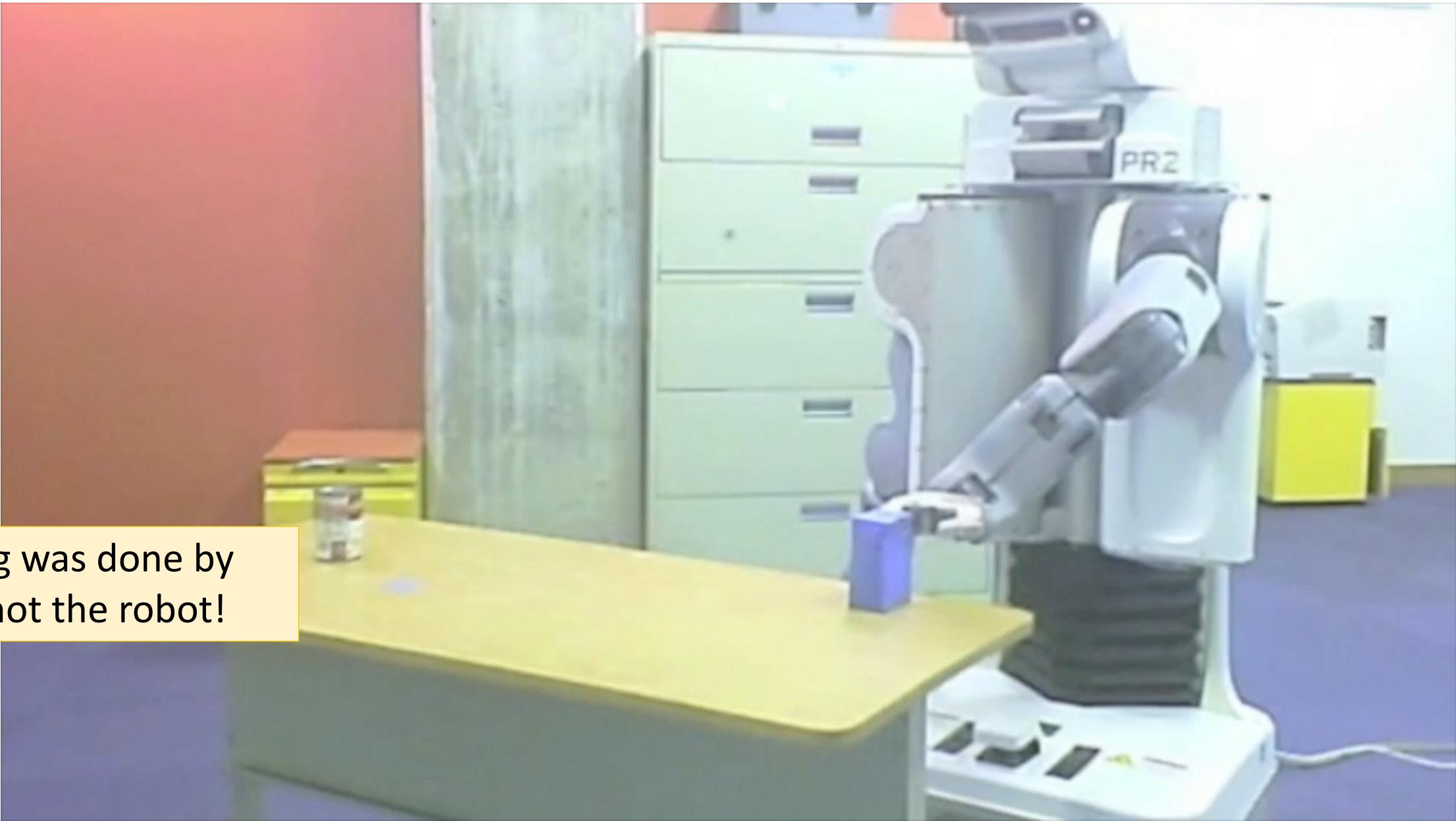
many short plans

optimistic execution

flexible reconsideration and  
replanning

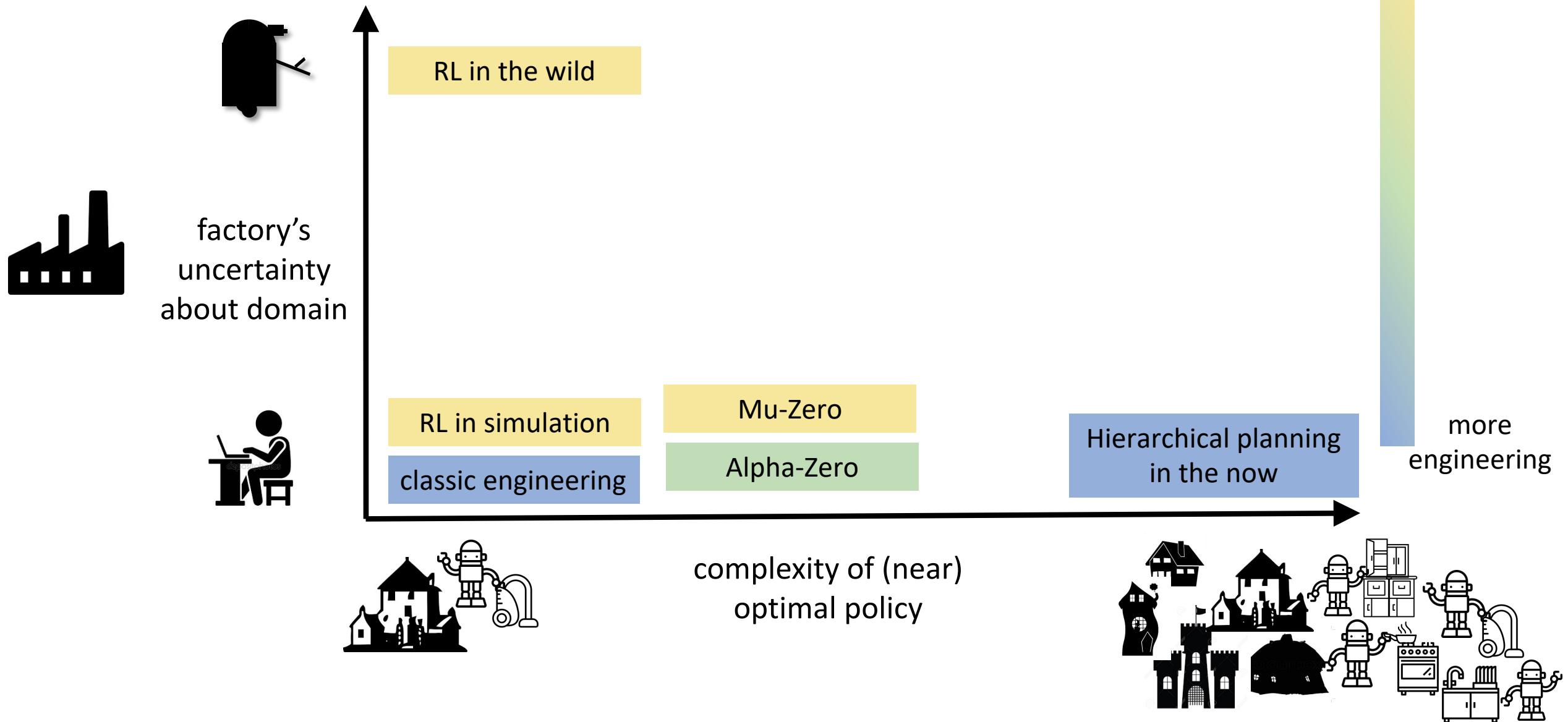


# Hierarchical planning in the now



Only learning was done by  
lpk and tlp, not the robot!

# Domain uncertainty requires learning in the wild

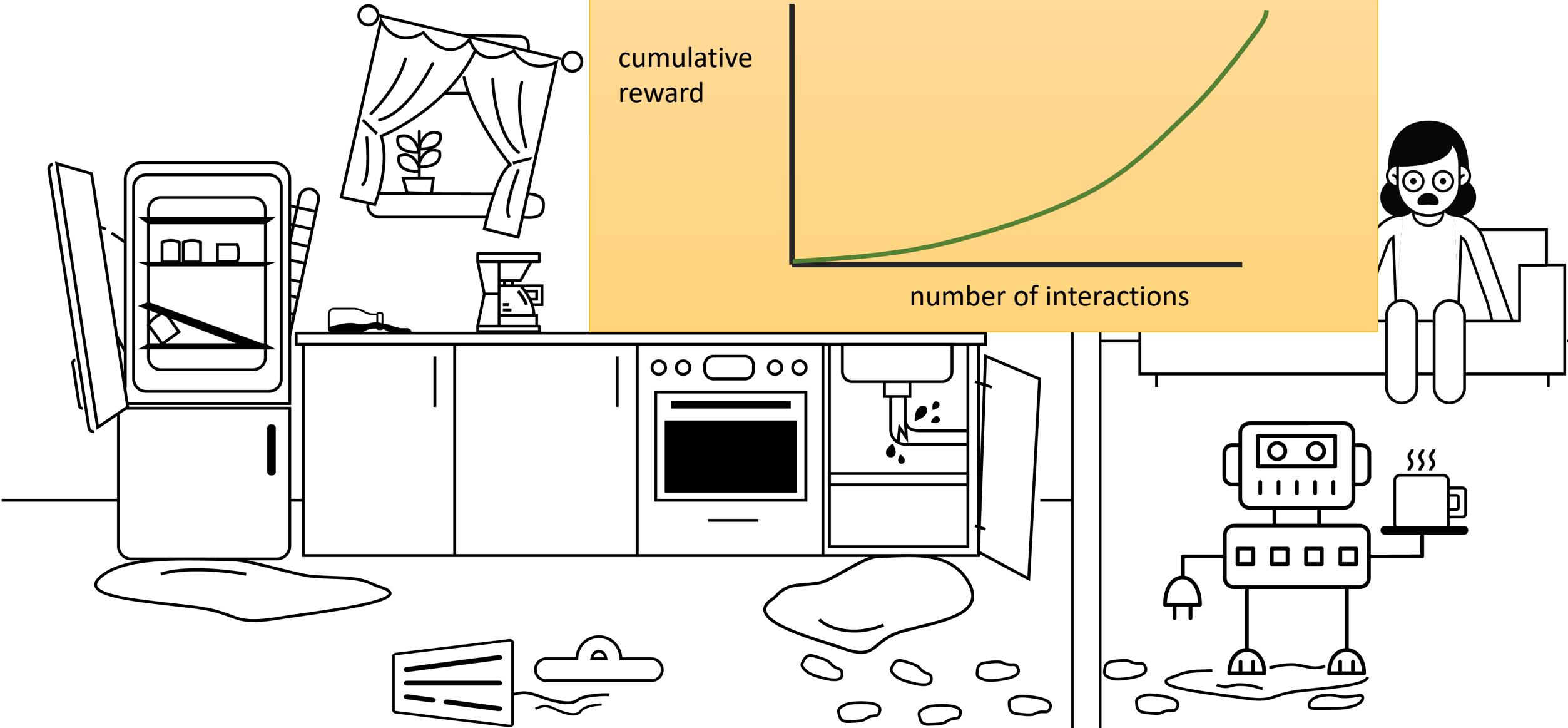


# Learning in the wild

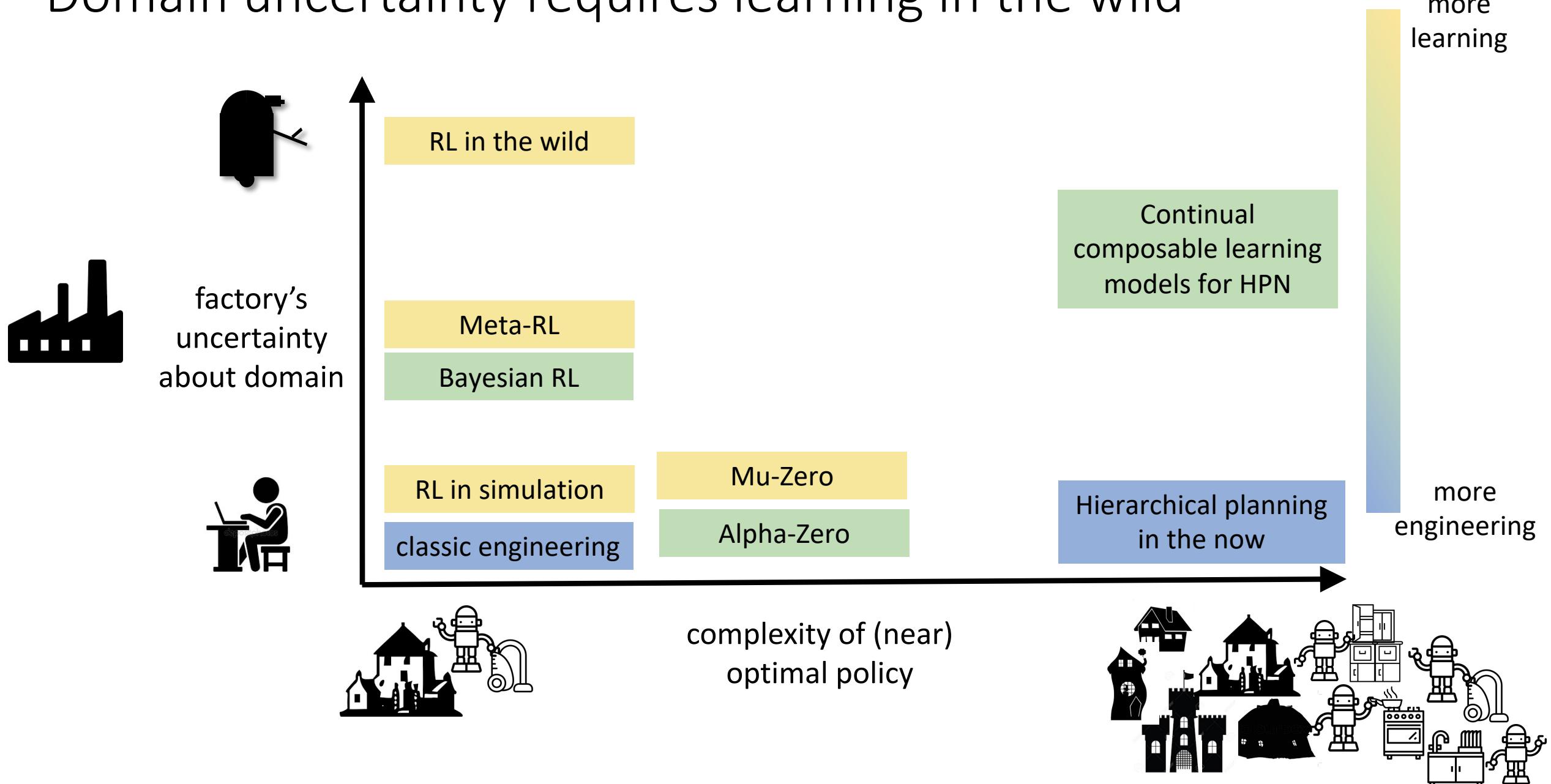
All reward matters!

cumulative  
reward

number of interactions



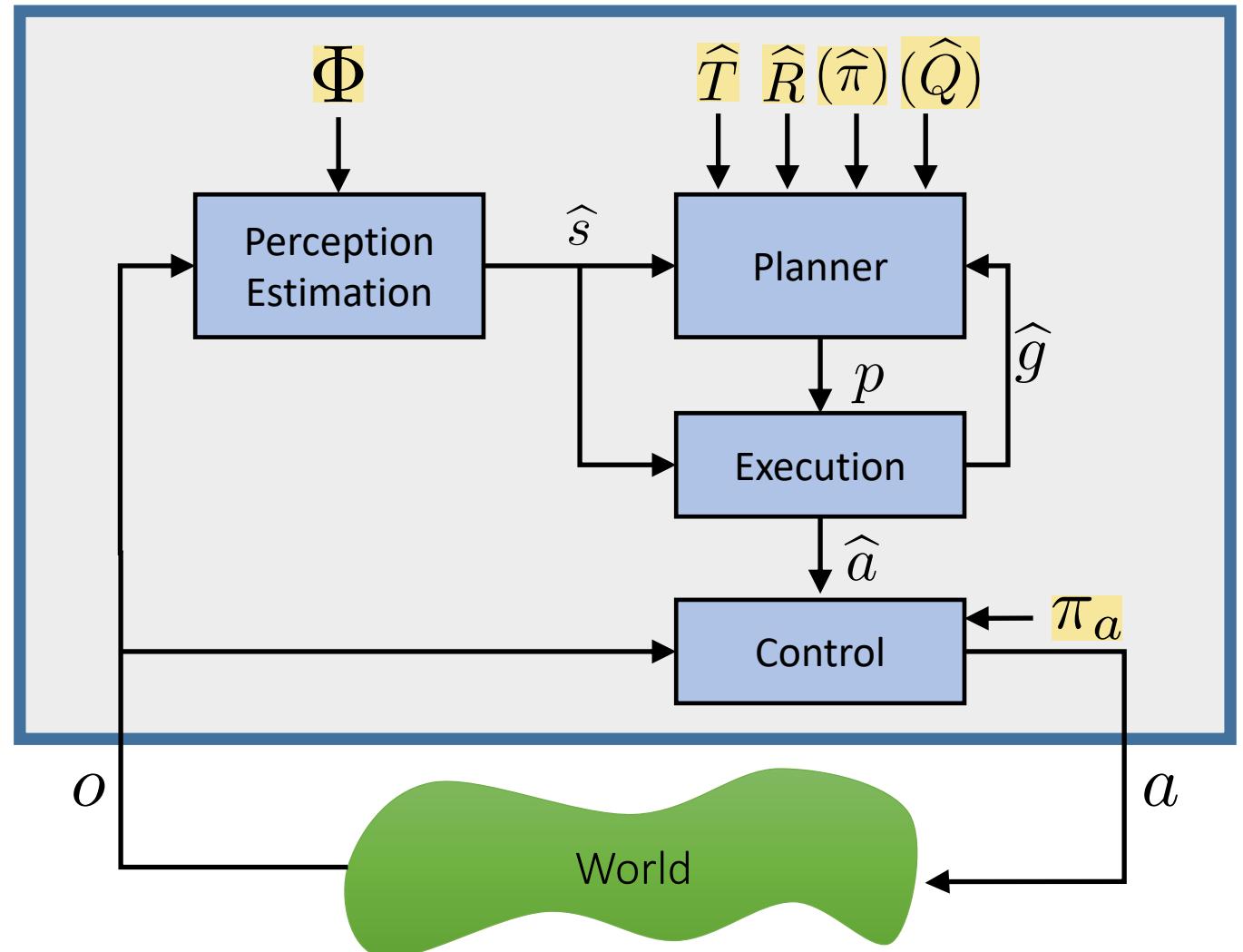
# Domain uncertainty requires learning in the wild



# Now, what can we learn?

Modules and models:

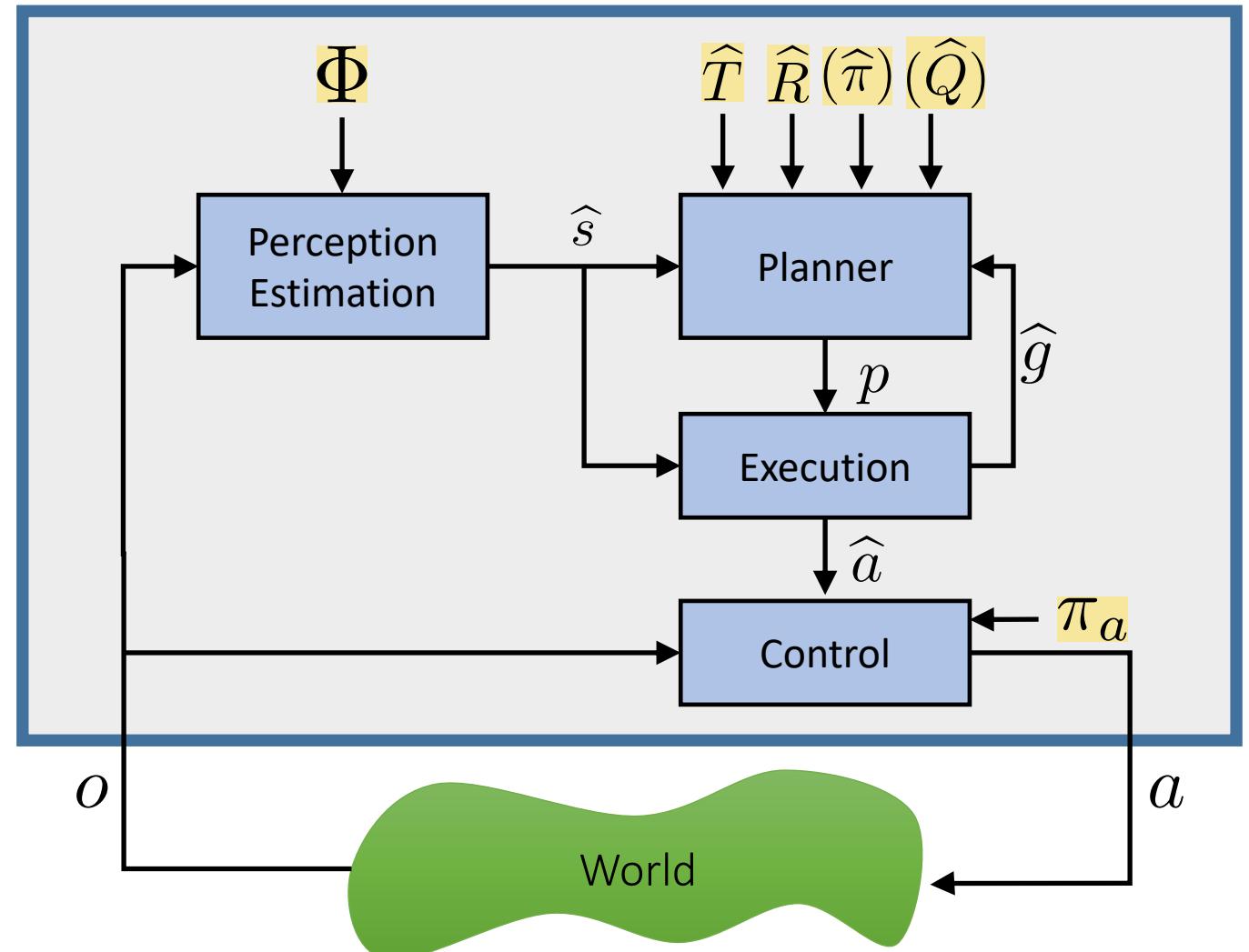
- control
  - sensorimotor controllers
- perception
  - object segmenters and
  - feature detectors
- execution
  - abstract **partial** policies
- planner
  - operator models for controllers and policies



# Now, what can we learn?

Modules and models:

- control
  - sensorimotor controllers
- perception
  - object segmenters and
  - feature detectors
- execution
  - abstract **partial** policies
- planner
  - operator models for new controllers and policies



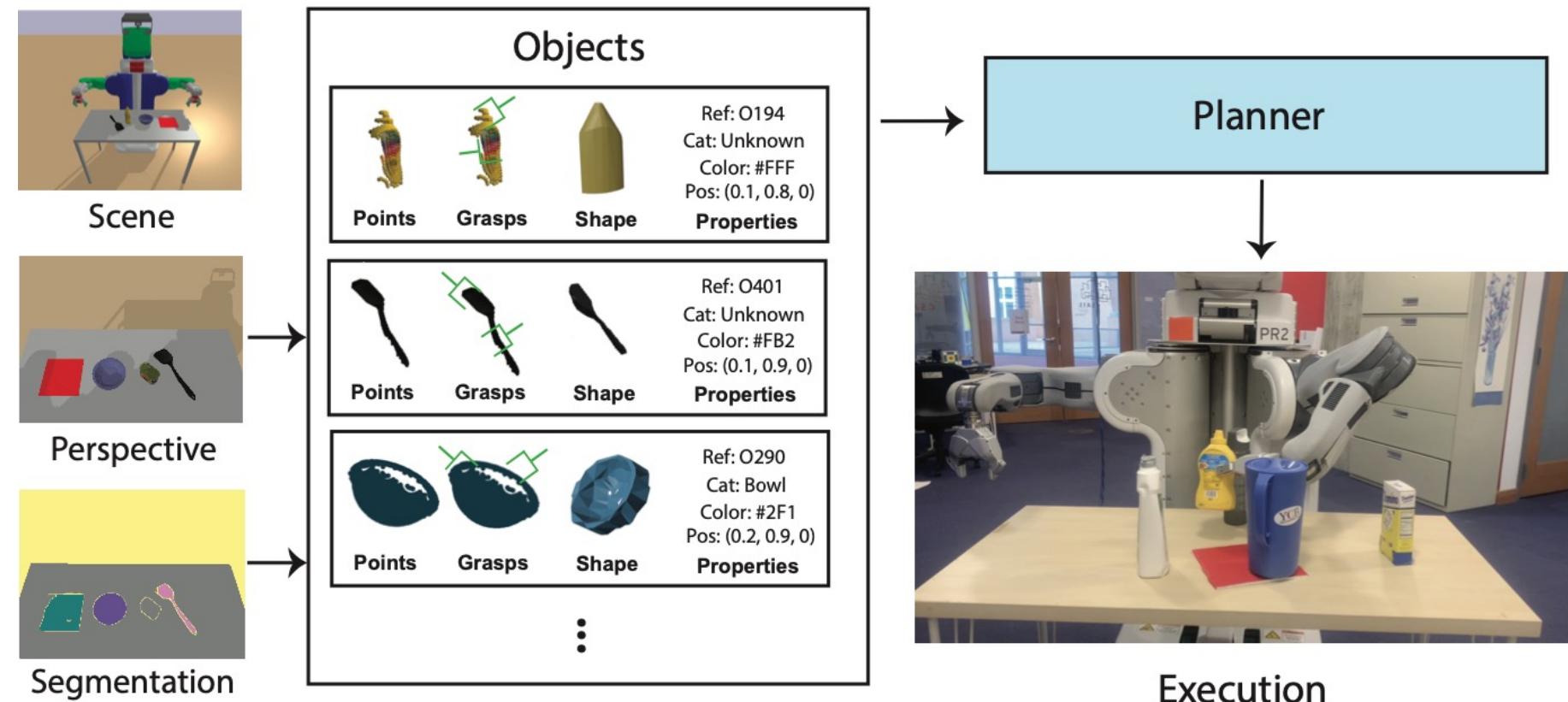
# MOM: Manipulation with 0 Models

We

- believe in objects (for now restricted to be rigid)
- know the robot kinematics, and an abstract multi-modal model for pick/place/push

Use pre-trained perception modules

- UOIS-Net for  
class agnostic (!)  
segmentation  
[Xie et al, 2020]
- GPD to  
predict grasps  
[Gualtieri et al, 2016]
- MSN for point-cloud  
shape completion  
[Liu et al, 2020]
- MaskRCNN for some  
category detections  
[He et al, 2017]



Look MOM, no additional learning!

# **Long-horizon Manipulation Systems that Generalize Over Object Shapes, Arrangements, and Goals**

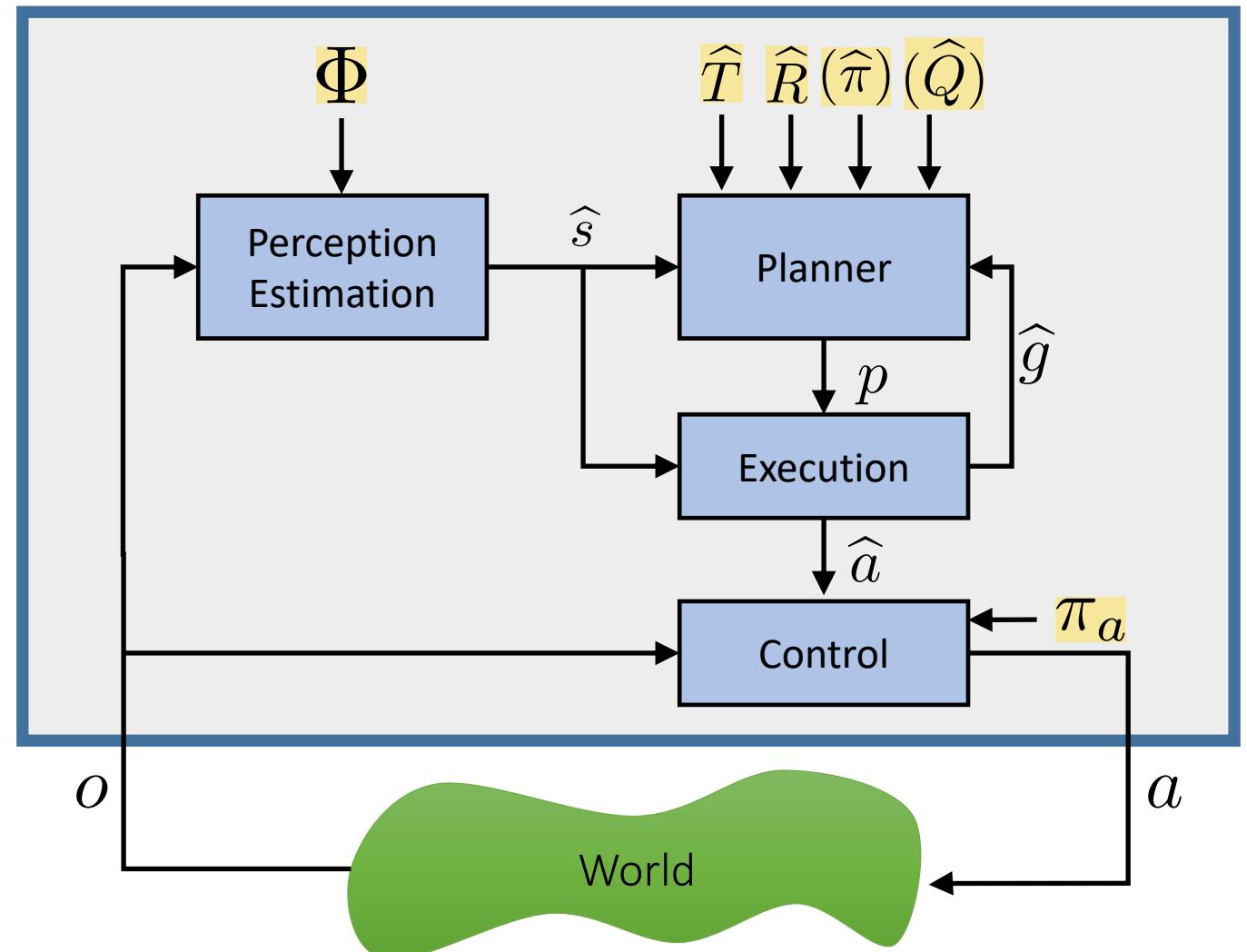
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Leslie Pack Kaelbling    Tomas Lozano Perez

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# Now, what can we learn?

Modules and models:

- control
  - sensorimotor controllers
- perception
  - object segmenters and
  - feature detectors
- execution
  - abstract **partial** policies
- planner
  - **operator models for new controllers and policies**



# How can a competent robot acquire a new ability?

- Learn new primitive skill
  - Examples: Cutting, pushing, stirring, pouring, throwing
  - Closed-loop low-level policy intended to achieve some objective, possibly parameterized
- Add that skill to existing skill set to accomplish new goals!
  - For flexibility, use a general-purpose planner
  - Learn description of skill's preconditions and effects
  - Representation should generalize over objects, locations, etc.

Most robot learning:  
assume given

Our focus

# Operator description: when will skill achieve result?

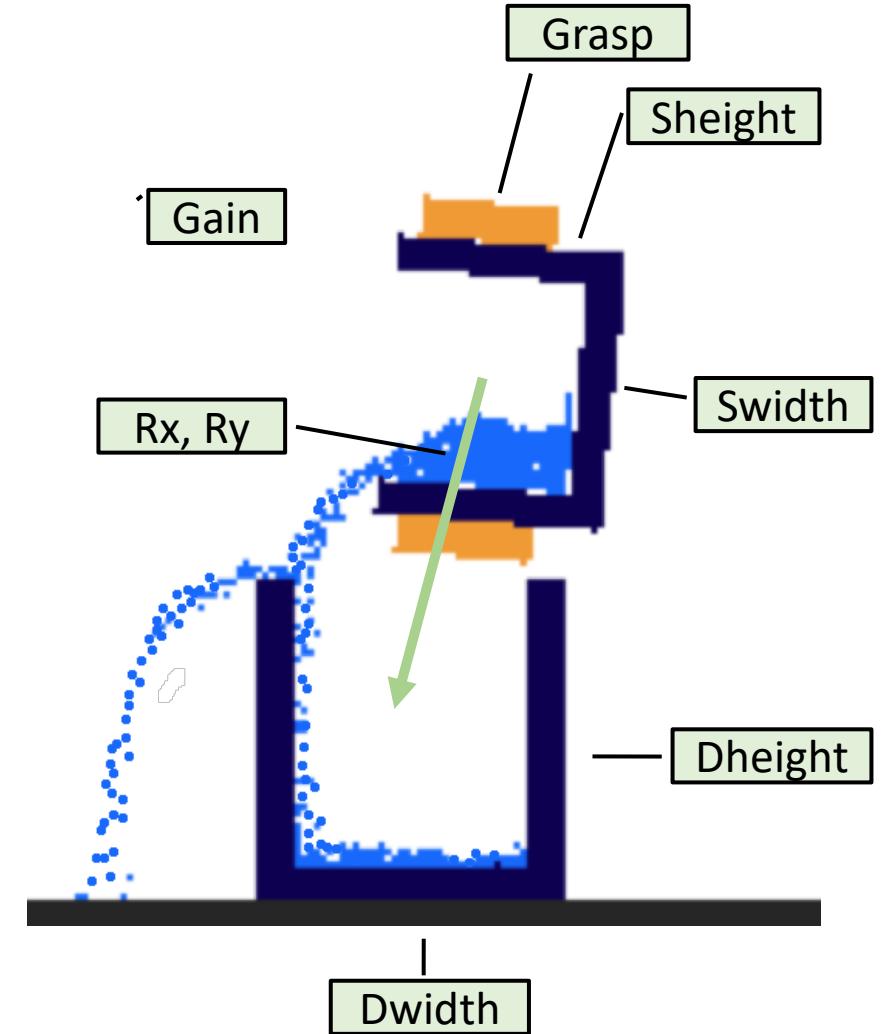
## Generalized idea of mode switch

Result: Contains(Dest, Liquid)

Skill: Pour(Gain)

Preconditions:

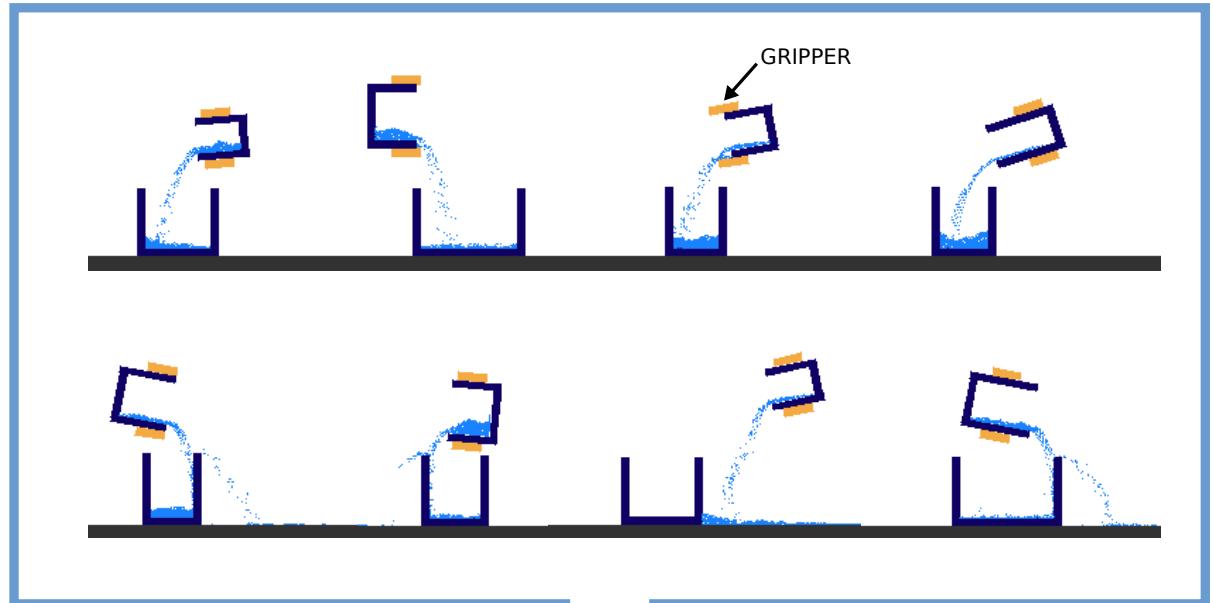
- Contains(Source, Liquid)
- Holding(Source, Grasp)
- Shape(Source) = (Swidth, Sheight)
- Shape(Dest) = (Dwidth, Dheight)
- RelPose(Source, Dest) = (Rx, Ry)
- Constraint(Sw, Sh, Dw, Dh, Rx, Ry, Grasp, Gain)



# Learning the operator constraint: supervised training

$$\text{constraint}(\theta) \equiv g(\theta) > 0$$

labeled training data



$S_w, S_h, D_w, D_h, R_x, R_y, \text{Grasp, Gain}$



Gaussian Process  
Regression

score

\theta

$$g(\theta)$$

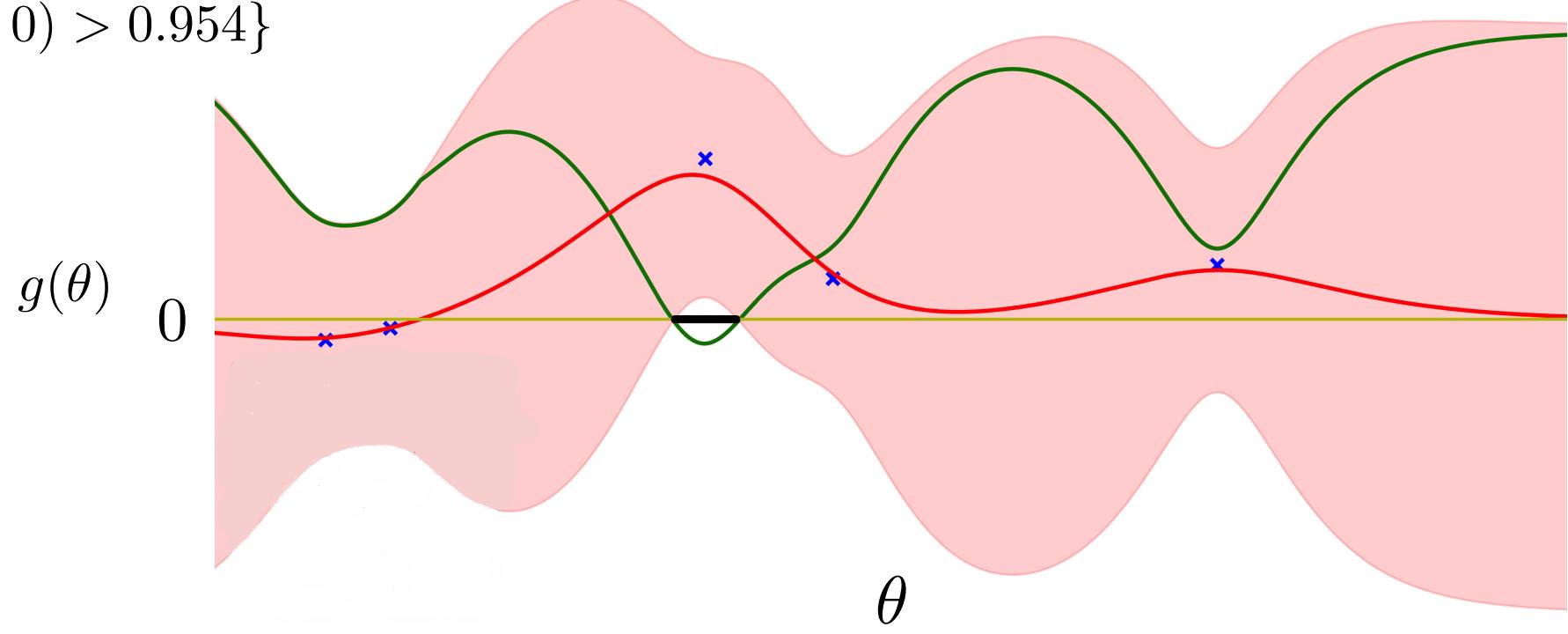
# Gaussian process regression

Represent distribution over functions!

- $\times$  : observations  $(\theta_i, g(\theta_i))$
- $-$  : mean  $\mu(\theta)$
- $\square$  : stdev  $\mu(\theta) \pm 2\sigma(\theta)$
- $-$  : high probability super level set

$$\text{constraint}(\theta) \equiv g(\theta) > 0$$

$$\{\theta \mid P(g(\theta) > 0) > 0.954\}$$



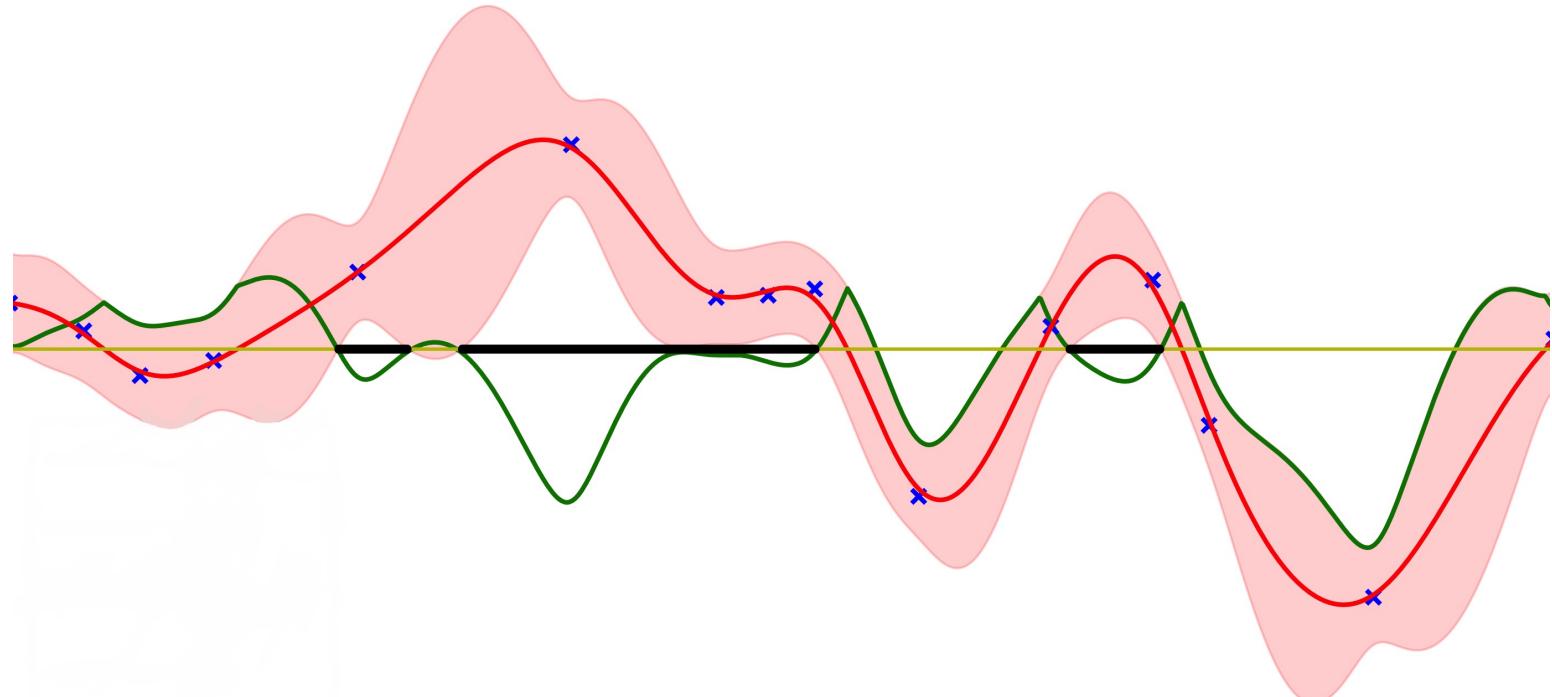
# Active learning: robot experience is expensive!

Try pouring in situations that will give us the **most useful** information

- sample to maximize **acquisition function**

$$\phi(\theta) = 2\sigma(\theta) - |\mu(\theta)|$$

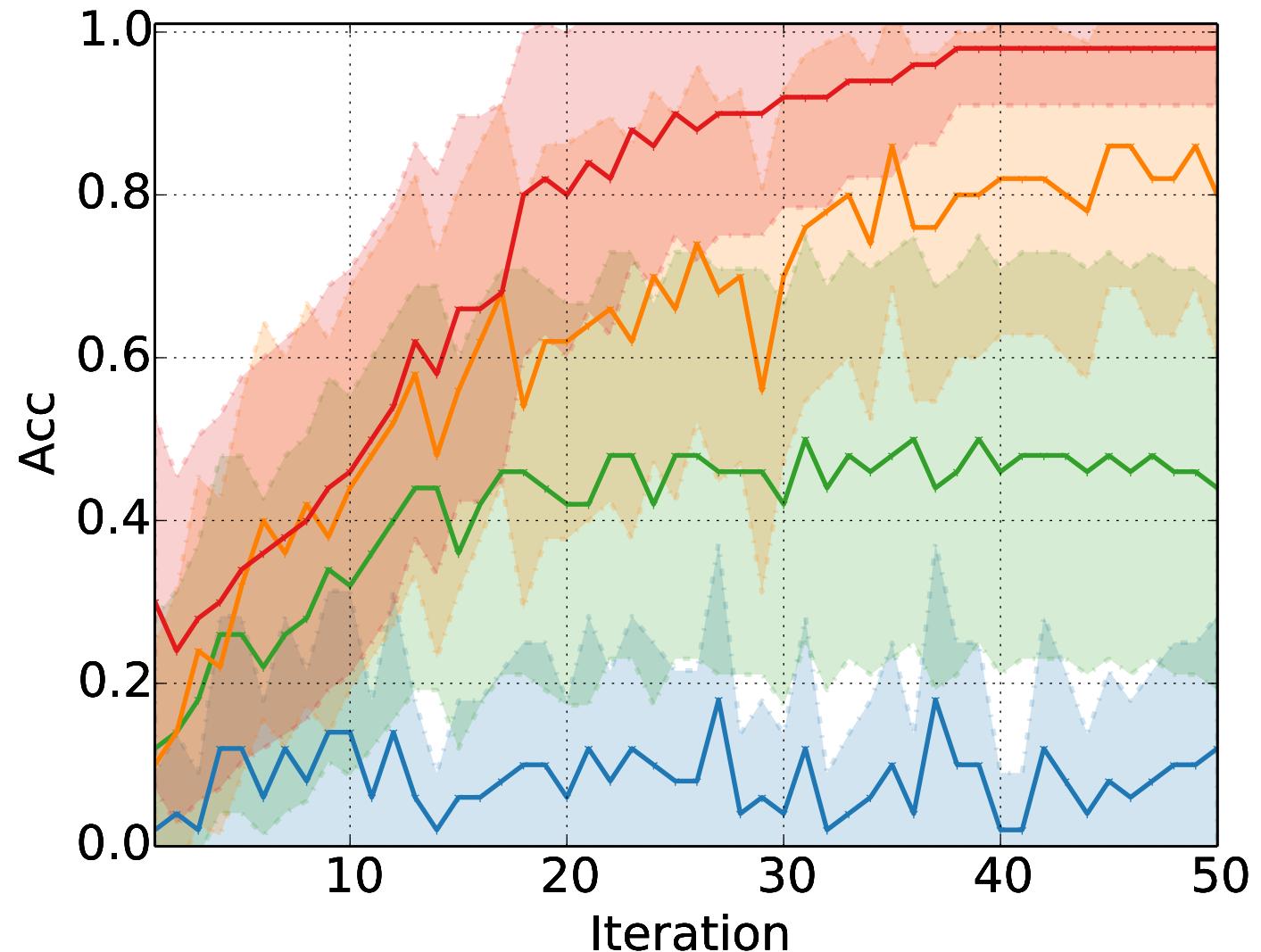
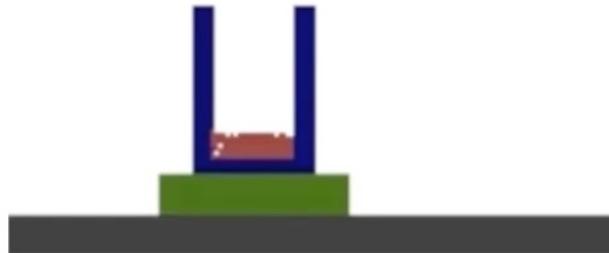
- high values when
  - mean is close to 0  
(near a boundary)
  - stdev is high  
(uncertain about the value)
- —:  $\phi(\theta)$



# GP learning is data efficient and better for sampling!

Percent successful pouring actions as a function of the number of training examples

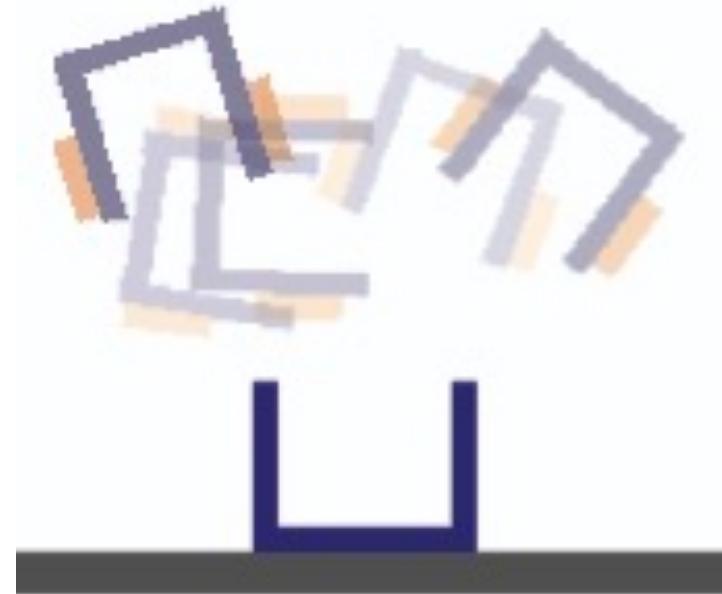
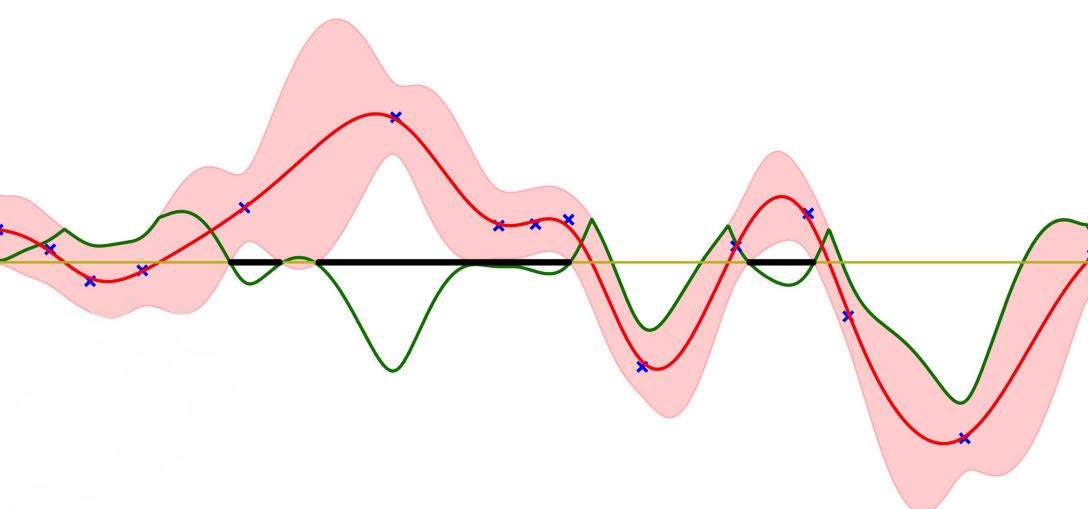
- : randomly chosen
- : neural network classifier
- : neural network regression
- : GP active learning



# Sampling for planning: quality and diversity

Given values for some parameters, sample values of the others so that:

- action is likely to be successful
  - start with most likely to succeed:  $\arg \max_{\theta} \frac{\mu(\theta)}{\sigma(\theta)}$
  - continue with guided rejection sampling in super level set
- action differs from previous attempts  $D(S) = \log \det(\Xi^S + I)$



# Training on real robot is expensive!



Note that we do grasp and motion planning during data acquisition!



# Integrated results on real robot

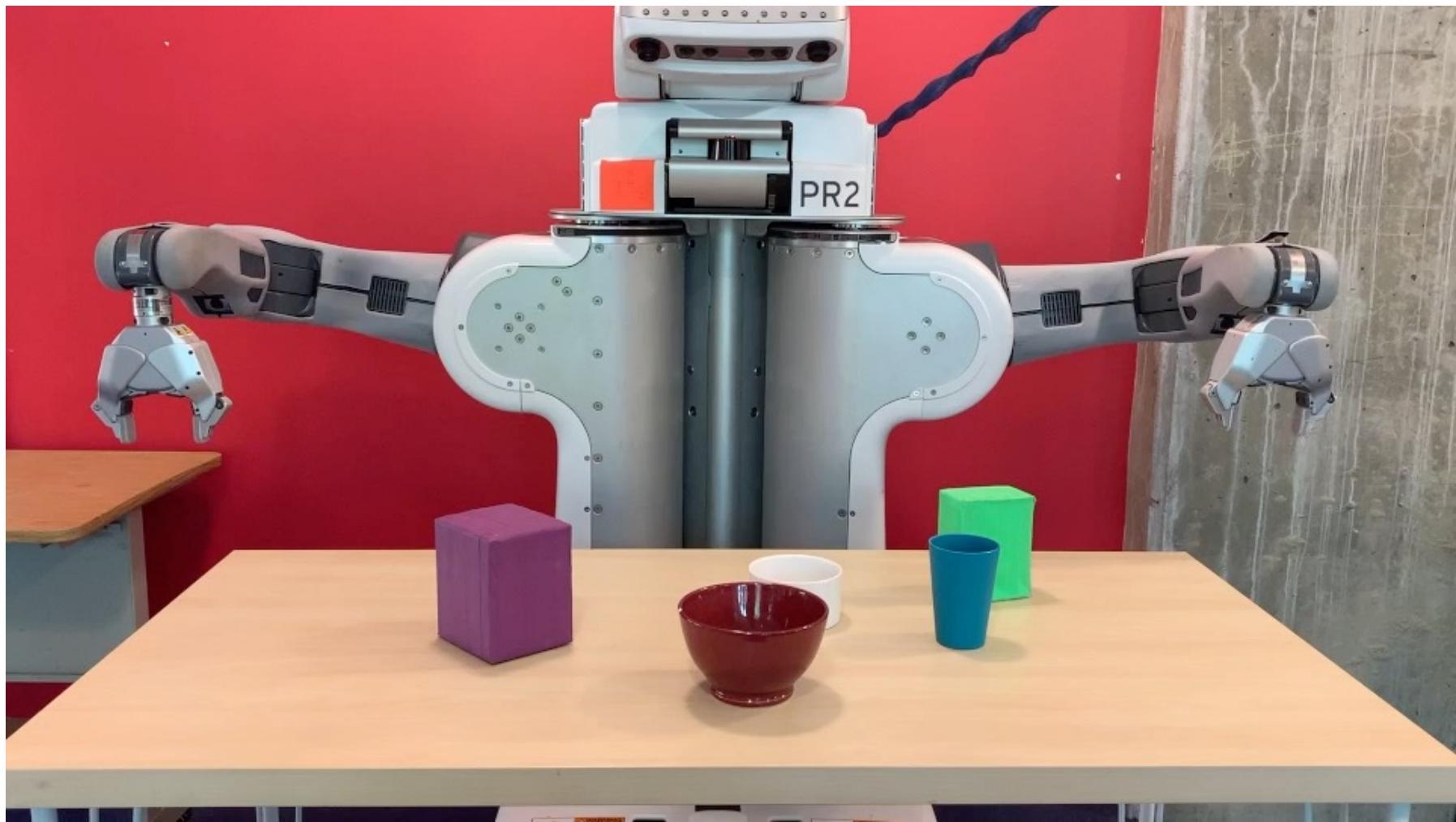
Substantial variability in

- starting arrangement
- goal

Given pick/place operators

Learned pour and push

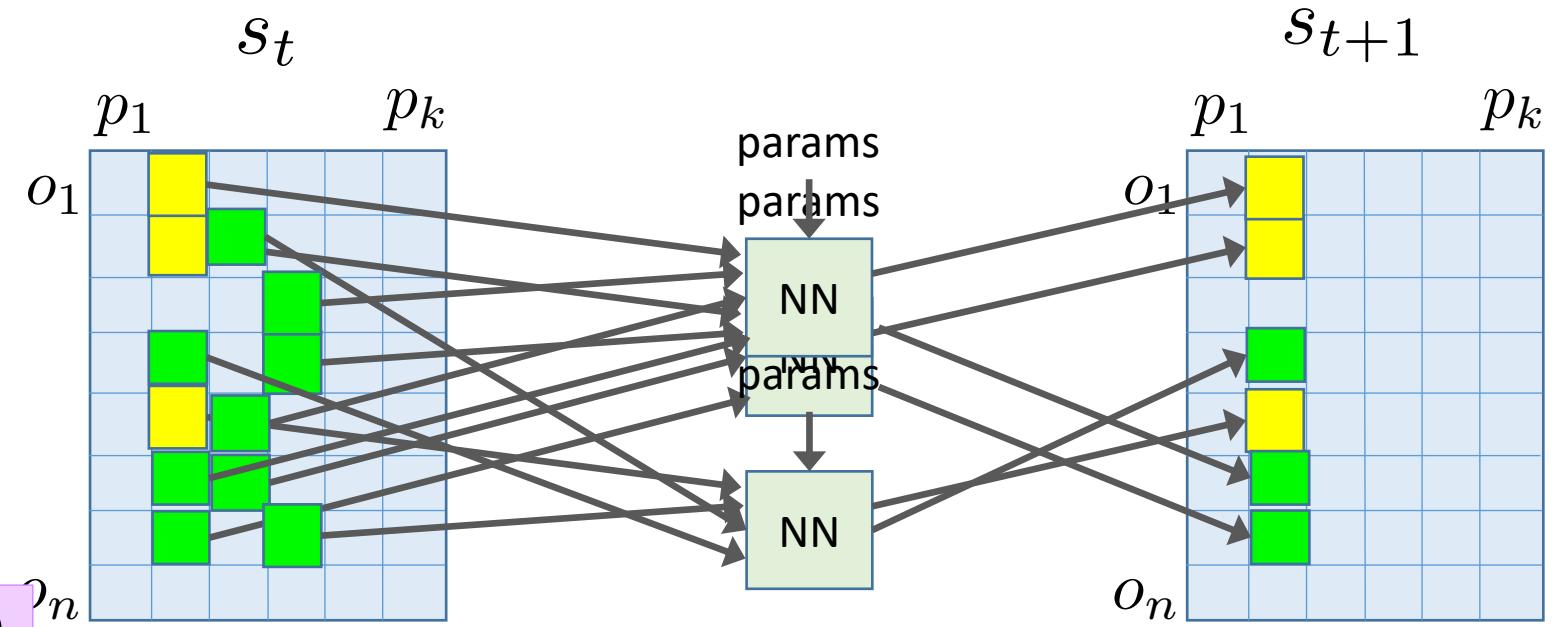
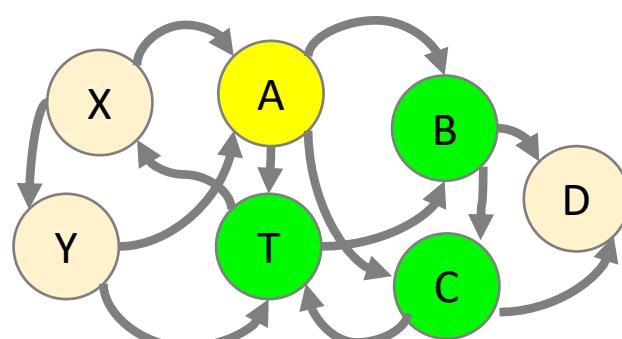
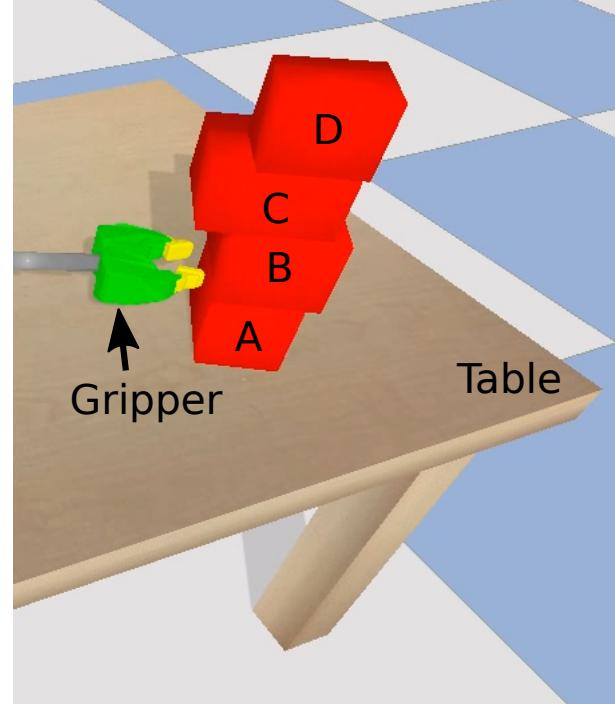
PDDLStream planner



# Deictic rule: “lifted” neural network

Like a graph neural-network, in that

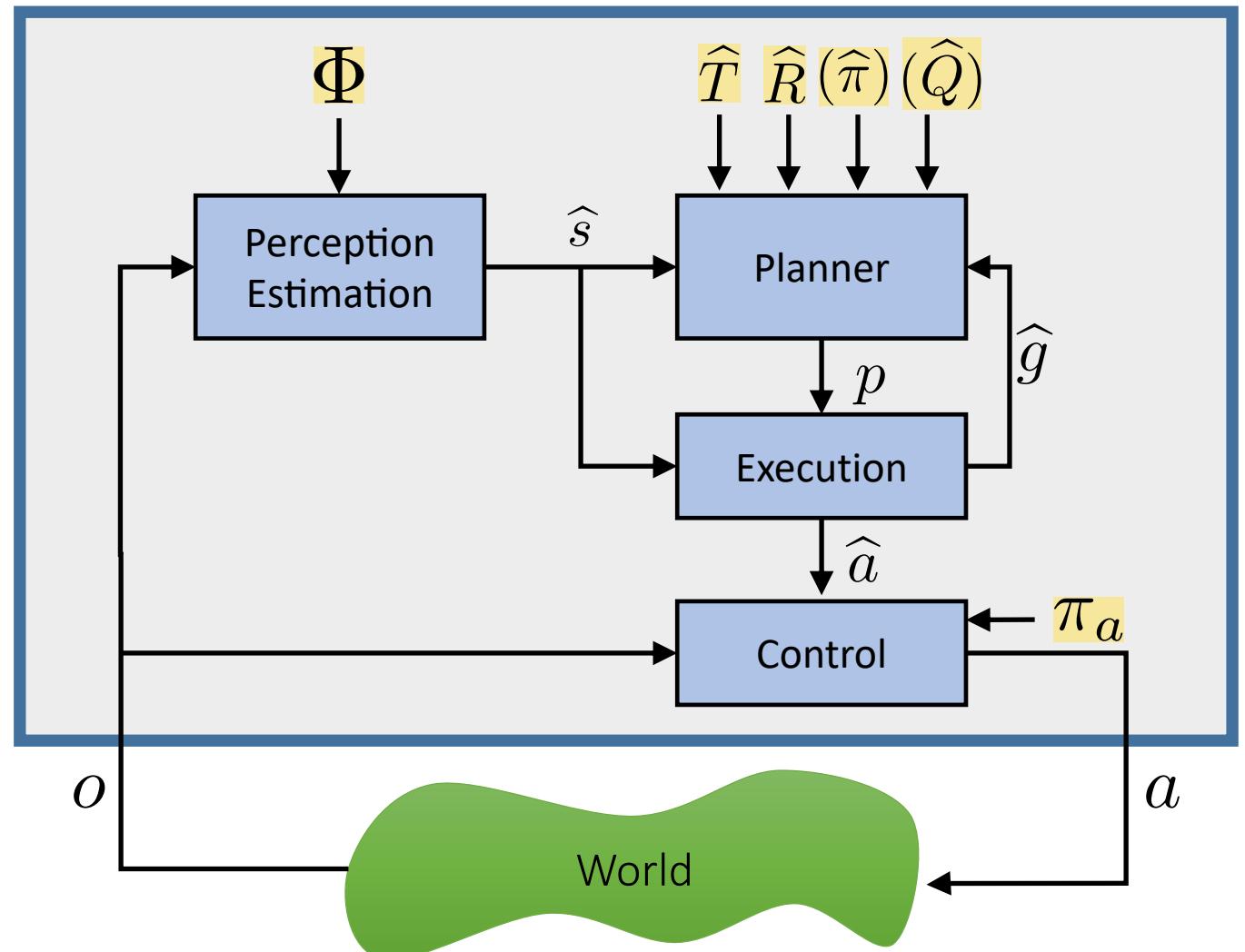
- finite size neural network “kernel”
- can be applied to inputs and outputs of different dimension
- generalizes over object identity



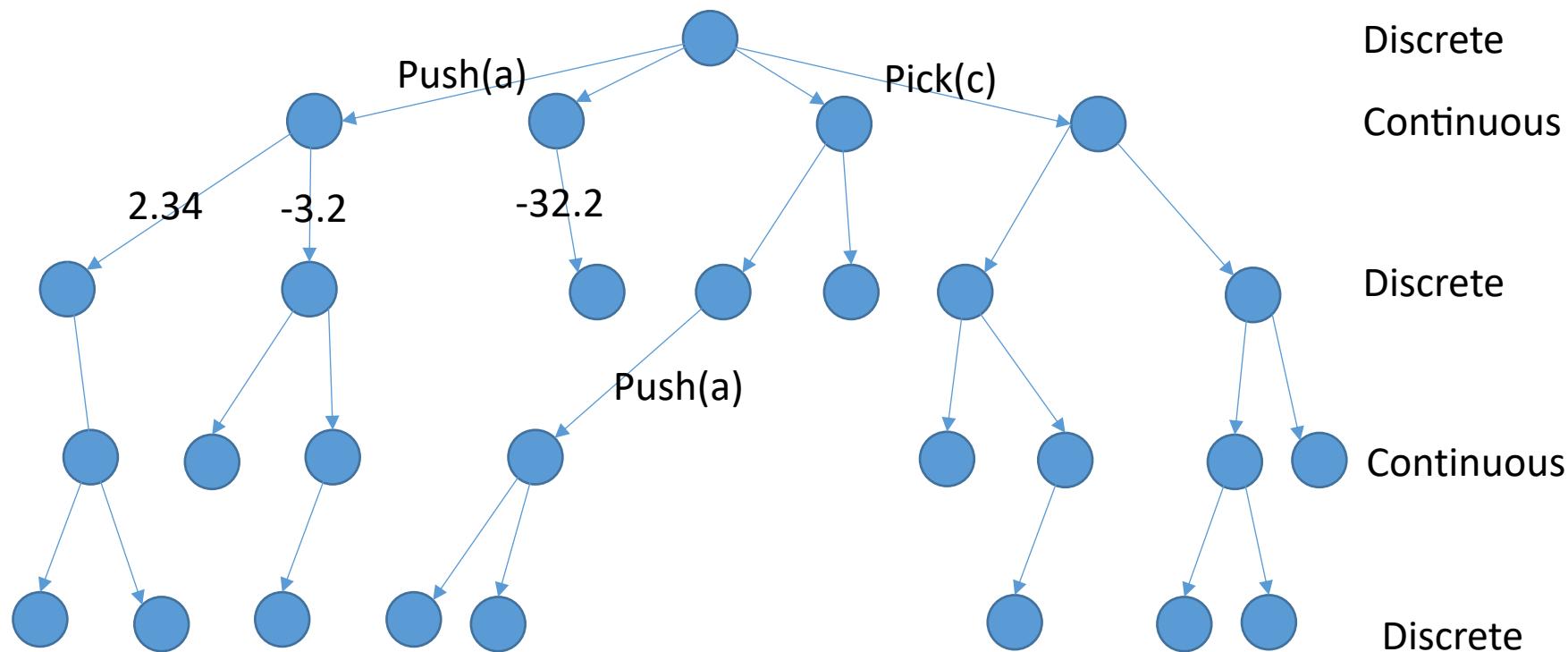
# Now, what can we learn?

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- planner
  - operator models for controllers and policies
  - **search control Q**



# One class of task and motion planning methods: sample-based forward search



Branching factor: huge!

Discrete layer

- operation, objects

Continuous layer

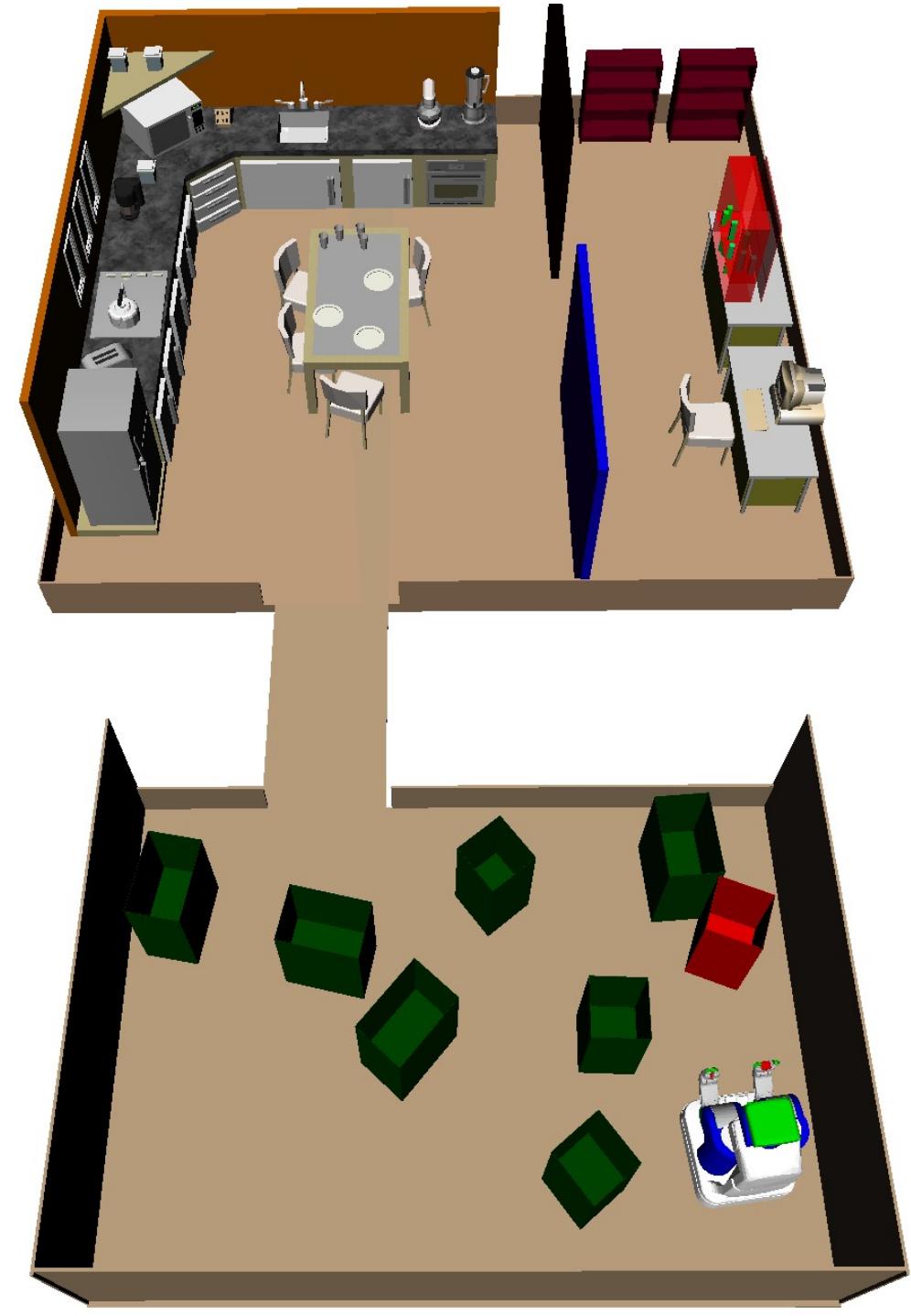
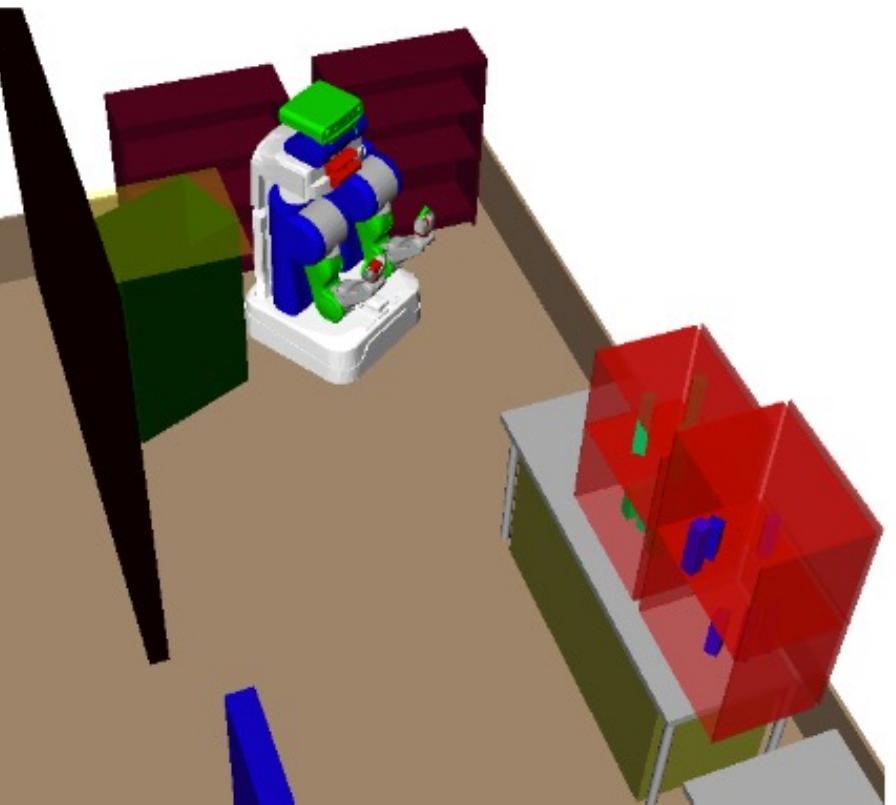
- values in  $\mathbb{R}^d$

Node expansion: expensive!

- inverse kinematics
- robot motion planning

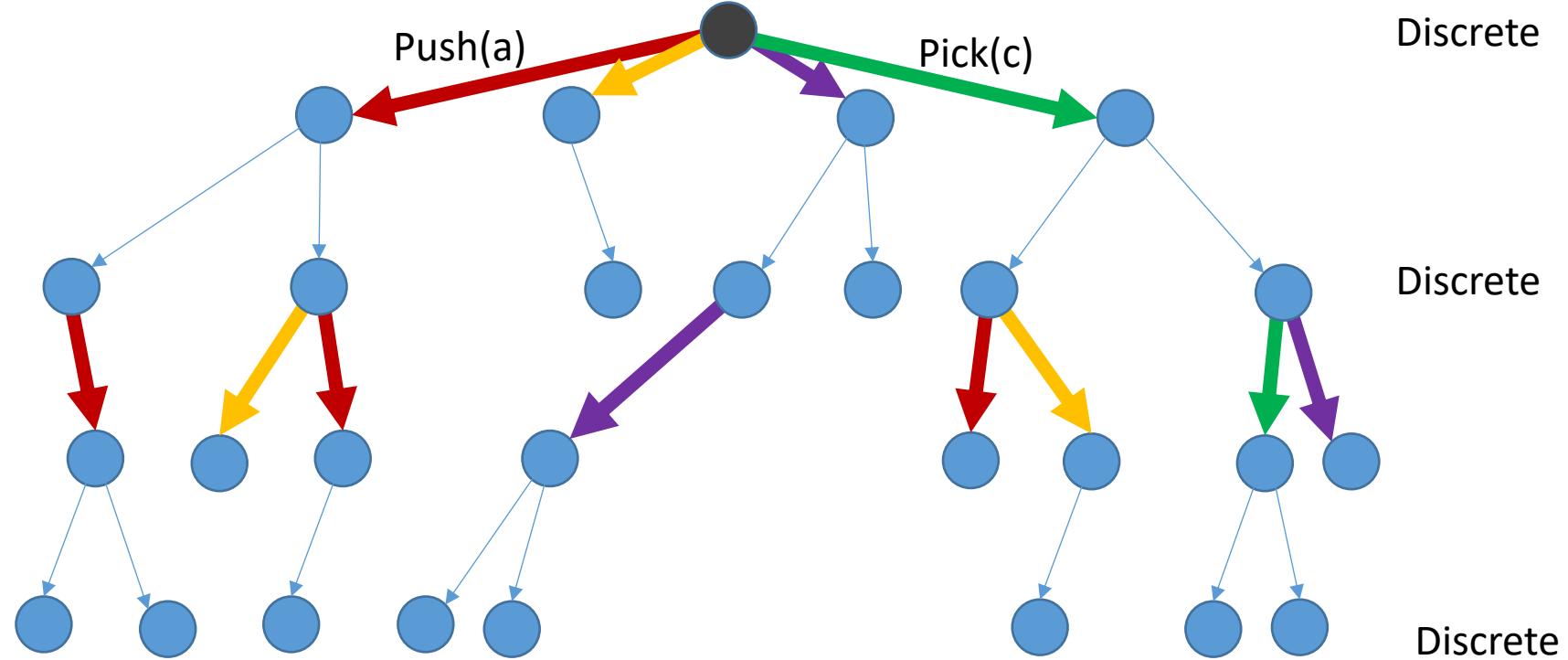
# Learning search control

- Learning value function and policy worked great for AlphaZero!
- In our problem:
  - how to represent a state is much less clear
  - we need very **aggressive generalization**



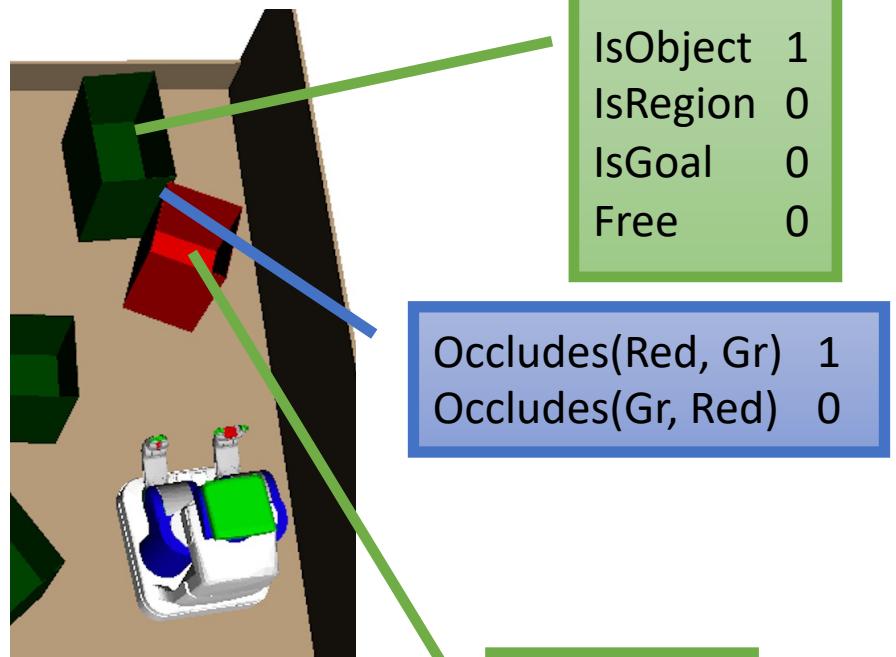
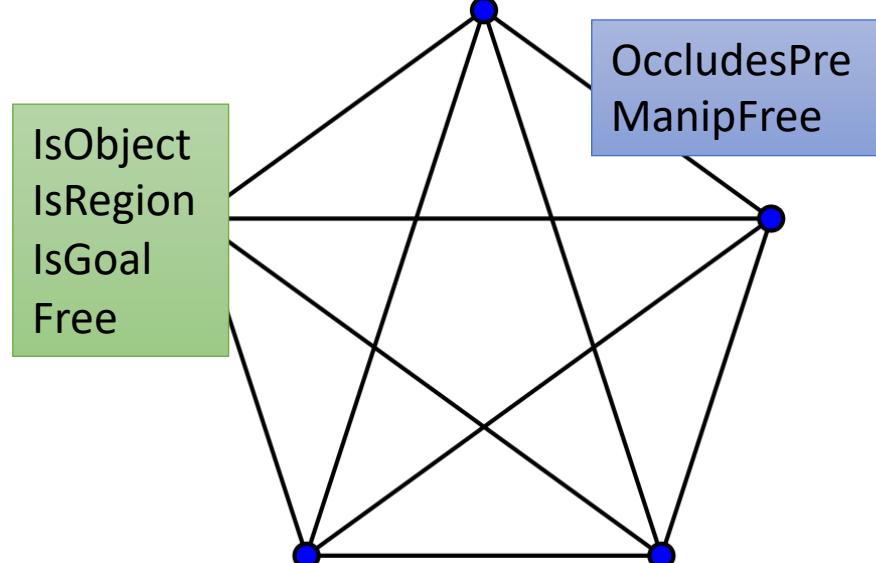
# Learn to predict value of abstract action choices

$$Q(s, a_{\text{discrete}}) = \sup_{a_{\text{continuous}}} Q(s, (a_{\text{discrete}}, a_{\text{continuous}}))$$



Reduce effective branching  
Agenda contains  $(s, a)$  pairs  
Abstract action values generalize well

# Graph NN representation generalizes over scenes and goals



Graph neural network with fixed-size parameterization

- W1: map node and arc input to initial node state
- W2: map neighboring node states and arc inputs to message
- W3: map aggregate node states to predicted output

Use several rounds of message-passing to infer relational Q value

# Training based on solving simple planning problems

Training data tuples:  $(s, g, \text{op}, q)$

- squared loss for  $(s, g, \text{op})$  in training data
- enforce margin  $Q(s, g, \text{op}') < Q(s, g, \text{op}) - 1$  for  $\text{op}'$  not in training data

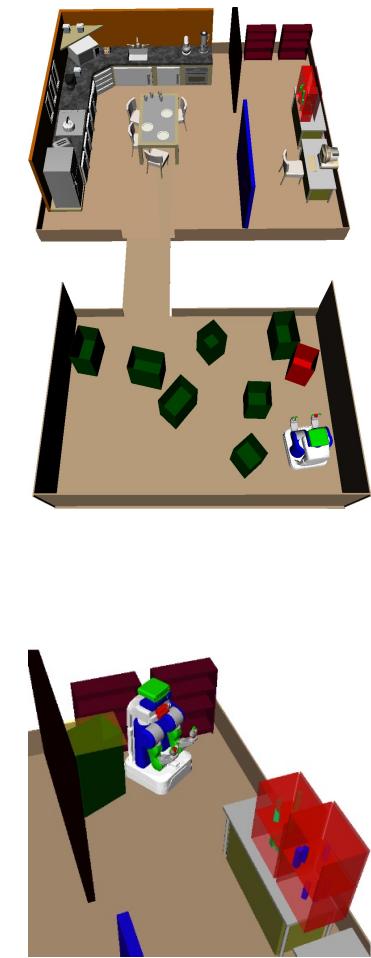
Square error on  
predicted Q value

predicted Q value should be  
 $<$  Q value of action in training set

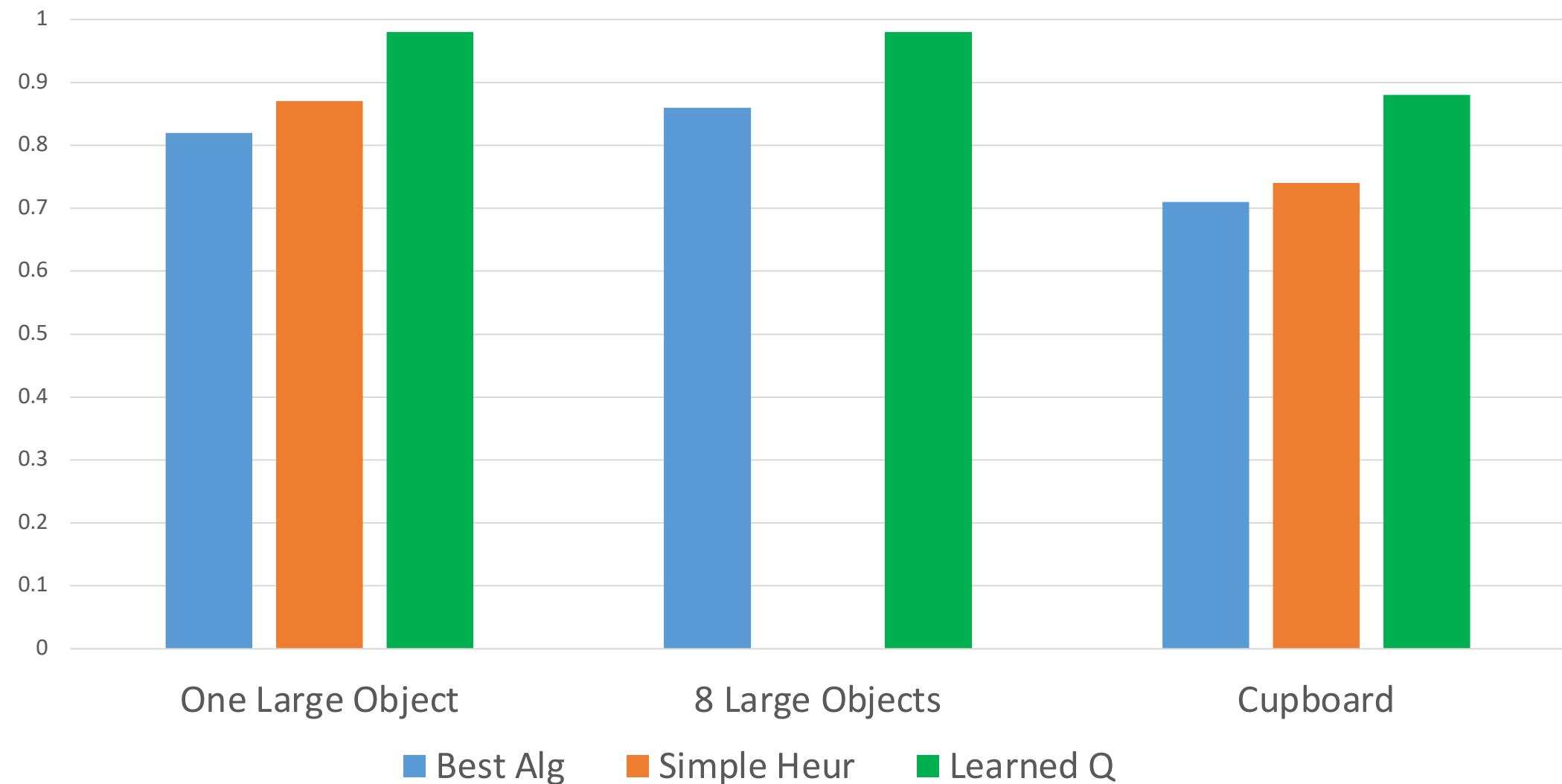
$$\mathcal{L}_{LM}(\theta) = \sum_{(s, \mathcal{G}, \delta, q) \in \mathcal{D}_o} (\hat{Q}_o(\alpha(s, \mathcal{G}), \delta; \theta) - q)^2 + \lambda \max(0, 1 - M_Q(\alpha(s, \mathcal{G}), \delta; \theta))$$

$$M_Q(\alpha(s, \mathcal{G}), \delta; \theta) = \hat{Q}_o(\alpha(s, \mathcal{G}), \delta; \theta) - \max_{\delta' \in \Delta \setminus \{\delta\}} \hat{Q}_o(\alpha(s, \mathcal{G}), \delta'; \theta) .$$

# Early results: trained only moving one large object



Percentage of problems solved in fixed time



# Conclusions

really enormously

- There has been major progress in algorithms for supervised and reinforcement learning
- This does not directly yield solutions for building generally intelligent autonomous agents
- Human insight is needed to complement the strengths of these algorithms

in the form of algorithmic and structural biases

# Thanks to lots of people!

- Tomas Lozano-Perez
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- Aidan Curtis
- Xiaolin Fang
- Beomjoon Kim
- Luke Shimanuki
- Zi Wang

# Out-takes to watch during questions

