

# Complexity Examples

**Note:** All the examples are from chapter 34 of CLRS 3<sup>rd</sup> edition.

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## Summary of Complexity:

- A **Turing Machine (TM)** solves a problem in **polynomial time** if there's a polynomial  $p$  s.t. on every instance of  $n$ -bit input and  $m$ -bit output the TM halts in at most  $p(n, m)$  steps.

- A <sup>dec</sup>problem is **non-deterministic polynomial (NP)** if we can verify an answer <sup>Yes</sup> in polynomial time.

To prove that a <sup>dec</sup>problem is in NP, we need to show that there is a polynomial-time algo which:

1. Can accept every Yes instance with the right polynomial-size advice.
2. Will not accept any No instance with any advice.

- A **decision problem** is a problem where the output is Yes/No.

- A <sup>dec</sup>problem is **Co-NP** if we can verify a No instance in polynomial time.

**Note:** A <sup>dec</sup>problem  $X$  is in Co-NP iff its complement  $\bar{X}$  is NP.

- Problem  $A$  is **p-reducible** to Problem  $B$ , denoted as  $A \leq_p B$  if an oracle/subroutine for  $B$  can be used to efficiently solve  $A$ .

I.e. You can solve  $A$  by making polynomially many calls to an oracle for  $B$  and doing addition poly-time computations.



- If  $A \leq_p B$  and B can be solved efficiently, then so can A.
- If  $A \leq_p B$  and A can't be solved efficiently, then neither can B.
- A problem is **NP-hard** if we can reduce another NP-hard problem to it.
- A problem is **NP-complete** if it's both NP and NP-hard.

## Question 34.5-2:

Suppose we have a 3-CNF formula  $F$  with  $v$  literals and  $c$  clauses.

Let  $\text{Var } X_i = 1$  if its value is True.

Let  $\text{Var } X_i = 0$  if its value is False.

Let  $\bar{X}_i$ , the negated version of  $X_i$  have value  $(1 - X_i)$ .

Given this, a clause is True iff the sum of its literals is 1 or more.

E.g. Say we have clause  $C_1 = (X_1 \vee X_2 \vee \bar{X}_3)$

If any of the 3 literals is True, then  $C_1$  is True.

This also means that  $X_1 + X_2 + \bar{X}_3 \geq 1$ .

If all 3 literals are False, then  $C_1$  is False but also that  $X_1 + X_2 + \bar{X}_3 = 0 < 1$

$$Ax \geq 1 \iff (-A)x \leq -1$$

I will create matrix  $A$  to be  $c \times v$  with each row corresponding to a clause.

Let  $A_{ij} = \begin{cases} -1, & \text{if Var } j \text{ is in clause } i \text{ without negation} \\ 0, & \text{otherwise} \end{cases} \quad \leftarrow 1, \text{ if Var } \bar{j} \text{ is in clause } i$

Let  $\bar{X}$  be a  $v \times 1$  vector with each row representing a literal.

Let  $\bar{b} = \begin{bmatrix} -1 \\ \vdots \\ -1 \end{bmatrix}$  be a  $c \times 1$  vector.



Now, ~~3-CNF SAT~~ 3-CNF SAT is True iff  $Ax \leq b$ .

Proof:

(3-CNF SAT  $\rightarrow$  0-1 LP)

Suppose that there's an assignment of literals that make  $F$  True.

This means that in each clause, at least one literal is set to True.

Suppose that in clause  $i$ , literal  $\bar{X}_a$  is True and in Clause  $j$ , literal  $X_b$  is True, and all other literals in both clauses  $i$  and  $j$  are False.

With Clause  $i$ , we have  $a_{ia} = 1$  while  $X_a = -1$ .  
 $(a_{ia})(X_a) = -1 \leq -1$

With Clause  $j$ , we have  $a_{jb} = -1$  while  $X_b = 1$ .  
 $(a_{jb})(X_b) = -1 \leq -1$

Since each clause has at least 1 literal whose value is True,  $Ax \leq b$ .

### (0-1 LP $\rightarrow$ 3-CNF SAT)

Suppose that  $Ax \leq b$ .

This means that each clause has at least 1 literal who's value is True.

Hence, 3-CNF SAT is True.

Hence, we've proved that 0-1 LP is NP-hard.

Now, I'll prove that 0-1 LP is in NP.

Let the advice be the vector  $x$ . We can easily verify whether or not  $Ax \leq b$ .

Therefore, 0-1 LP is NP-Complete.

### Question 34. 5-3:

I'll reduce 0-1 LP to Int Linear Programming.

I.e.  $0-1 \text{ LP} \leq_p \text{Int LP}$

Given  $(A, x, b)$ , an instance of 0-1 LP, we want to construct in poly-time  $(A', x', b')$  an instance of Int LP, s.t. 0-1 LP is True iff Int LP is True.

Just let  $A' = A$ ,  $x' = x$  and  $b' = b$ .

**Note:** We also could've done  $3\text{-CNF SAT} \leq_p \text{Int LP}$  and the soln is the same as before.