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#### RICE UNIVERSITY

Low Energy Scattering of  ${}^3\mathrm{He}$  and  ${}^4\mathrm{He}$  from a Polarized  ${}^3\mathrm{He}$  Gas Target

by

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#### I. INTRODUCTION

# A. Summary of Recent Experiments Involving ${}^{3}\text{He}{}^{-4}\text{He}$ and ${}^{3}\text{He}{}^{-3}\text{He}$ Scattering

A summary of experiments in recent years which utilized polarized beams and targets has been given in an earlier work. 1) The present discussion is intended to reference the majority of the 3He-4He and 3He-3He scattering experiments over approximately the past two decades and will be presented in more or less chronological order. In many cases an experiment covered several reactions and in such instances only the pertinent reactions will be cited.

Early  $^3$ He- $^4$ He experiments were directed toward determination of differential cross sections and investigation of states in the compound nucleus  $^7$ Be. Frequently phase shift analyses were reported for the  $^3$ He- $^4$ He scattering. Work commenced on inelastic  $^3$ He- $^4$ He reactions in later years and has since turned toward use of  $^3$ He- $^4$ He reactions as polarization analyzers.

Such an example of the early work was that of Miller and Phillips<sup>2)</sup> who scattered <sup>3</sup>He from <sup>4</sup>He at <sup>3</sup>He bombarding energies of 3.0 to 5.5 MeV. They report phase shifts similar to those of later authors but their p-wave splitting is  $p_{1/2} > p_{3/2}$ , the opposite of that in subsequent articles. <sup>15,16)</sup> Phillips and Miller<sup>3)</sup> give the first polarization map for <sup>3</sup>He particles scattered from the reaction <sup>4</sup>He(<sup>3</sup>He, <sup>3</sup>He) <sup>4</sup>He in the 3.0-5.5 MeV bombarding energy range. It should also be noted that the sign of the polarization reported by Phillips

and Miller is opposite to the Basel Convention.<sup>4)</sup> Another reference, Chiba et al.,<sup>5)</sup> reports scattering <sup>4</sup>He from <sup>3</sup>He at bombarding energies of 27.5 to 32.0 MeV.

Phillips et al.  $^{6)}$  have reported the first use of a polarized  $^{3}$ He gas target in a nuclear scattering experiment,  $^{3}$ He( $^{4}$ He,  $^{4}$ He) $^{3}$ He. Their target was polarized by the method of optical pumping, which has been reported in the literature.  $^{7-9)}$  Tombrello and Parker $^{10)}$  have scattered  $^{3}$ He from  $^{4}$ He over the  $^{3}$ He bombarding energy range of 4 to 12 MeV. They report a very small p-wave splitting and it, like that of Miller and Phillips,  $^{2)}$  is  $p_{1/2} > p_{3/2}$ . They also give the results of a single level parameterization of the  $^{2}$ F $_{5/2}$  state in  $^{7}$ Be and a polarization map calculated from the derived phase shifts.

Holmgren and Johnson<sup>11)</sup> have measured the total cross section for the  ${}^3\text{He}({}^4\text{He},\gamma){}^7\text{Be}$  reaction over the 480-1320 keV bombarding energy range and have derived expressions for the cross section in terms of the bombarding energy. Parker and Kavanagh<sup>12)</sup> also used the reaction  ${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$  with monoenergetic  $\alpha$ -particles between 0.42 and 5.80 MeV to determine the total capture cross section and the branching ratio between the cascade and the crossover transitions as functions of energy. Tombrello and Parker<sup>13)</sup> have used the  ${}^3\text{He}{}^4\text{He}$  elastic scattering phase shifts to compute a theoretical cross section for the reaction  ${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$ . They report good agreement with experiment. Nagatani et al. 14) have measured the absolute cross section for the  ${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$  reaction at

center-of-mass energies between 164 and 225 keV and report agreement with the results of Parker and Kavanagh. 12)

Barnard et al. 15) report the scattering of  $^3$ He from  $^4$ He in the  $^3$ He bombarding energy range of 2.5 to 5.7 MeV. They found two sets of phase shifts which differed only in the p-wave splitting, though both sets fit their data about equally well. Differential cross sections and a polarization map are also given, along with parameters for the ground and first two excited states in  $^7$ Be. Spiger and Tombrello  $^{16}$ ) have also scattered  $^3$ He from  $^4$ He for bombarding energies between 5 and 18 MeV. They report differential cross section data, a phase shift analysis, and a polarization map. Parameters for several states in  $^7$ Be and  $^7$ Li are also given. Their phase shifts agree with those of the present work ( $p_{3/2}$  >  $p_{1/2}$ ). Early phase shift analyses of  $^3$ He- $^4$ He elastic scattering gave the opposite p-wave splitting.  $^2$ ,10)

Ivanovich et al.  $^{17)}$  report differential cross section data for the reaction  $^3{\rm He}\,(\alpha\,,\alpha)^3{\rm He}$  for  $\alpha$ -bombarding energies of 5.9 to 7.9 MeV. They have also deduced resonance parameters for the  $^2{\rm F}_{7/2}$  levels in  $^7{\rm Be}$  and  $^7{\rm Li}$ .

Schwandt et al.  $^{18}$ ) have elastically scattered  $^{3}$ He from  $^{4}$ He for  $^{3}$ He bombarding energies between 27.2 and 42.8 MeV. They have compared the results of their phase shift analysis with the predictions of the resonating group method and report that the resonating group method only qualitatively describes  $^{3}$ He- $^{4}$ He elastic scattering near 40 MeV. Bacher et al.  $^{19}$ ) and Jacobs and Brown  $^{20}$ ) have elastically scattered

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 $^3$ He from  $^4$ He over a large angular region at  $^3$ He bombarding energies of 18-70 MeV and 17.8-30 MeV, respectively. Brown and co-worders  $^{21}$ ) have measured the energy dependence of the  $^3$ He+ $^4$ He differential cross section at  $\theta_{\rm cm}$  = 173.2° and have observed a resonance structure similar to that predicted by resonating group calculations. Vincent et al.  $^{22}$ ) have elastically scattered 42 MeV  $\alpha$ -particles from  $^3$ He to measure the differential cross section over the angular range of  $\theta_{\rm cm}$  = 20° to 170°. Cahill and Martens  $^{23}$ ) report a similar experiment for  $\alpha$ -particle energies between 43.4 and 58.2 MeV.

Armstrong et al.  $^{24}$ ) used a double scattering experiment to measure the polarization of  $^3$ He elastically scattered from  $^4$ He for  $^3$ He bombarding energies between 7.8 and 13.0 MeV. They report several regions of large  $^3$ He polarization.

Hardy et al. 25) have scattered 4He from a 3He gas target which was polarized by the method of optical pumping. They report good agreement with the results of reference 24). A polarization map based on their derived phase shifts is also given. The equivalent 3He laboratory energy range was 5.7 to 13.5 MeV. "Equivalent 3He laboratory energy" is defined as the 4He bombarding energy (after corrections for energy loss in the target entrance foil) multiplied by the ratio of the 3He mass to that of 4He and is written "E3He lab"

There are two other references to  ${}^3\text{He}$  and  ${}^4\text{He}$  scattering from polarized  ${}^3\text{He}$  targets, Hardy <u>et al.</u>  ${}^{26}$ ) and Boykin, Baker, and Hardy.  ${}^{27}$ ) These two experiments were performed

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in the equivalent <sup>3</sup>He laboratory energy range of 3.3 to 13.5 MeV.

McEver et al.  $^{28)}$  report a double scattering experiment at  $E_{4}$  = 17.3 MeV similar to that of reference 24) in which  $^{4}$ He particles are scattered from a  $^{3}$ He gas target. The recoiling  $^{3}$ He particles then undergo a second scattering from a  $^{4}$ He gas target. Both references 24) and 28) suggest the use of this double scattering technique as a polarization analyzer in nuclear scattering experiments.

In the several <sup>3</sup>He-<sup>4</sup>He experiments reported here it should be kept in mind that only two, references 6) and 25-26) used a polarized <sup>3</sup>He target. Phillips<sup>29)</sup> has given a summary of experiments reported through 1965 which used polarized <sup>3</sup>He targets. Some of these experiments utilized neutrons and protons as projectiles.

Early work in <sup>3</sup>He-<sup>3</sup>He scattering was directed toward determining decay modes in <sup>3</sup>He-<sup>3</sup>He capture and total capture cross section. Some measurements of differential elastic cross sections have been made. Later work has concentrated quite heavily on inelastic reactions in search of information about the existence of the diproton. Good et al. <sup>30</sup> appear to have reported the first experiment indicating the decay modes resulting from <sup>3</sup>He-<sup>3</sup>He capture. Their experiment was carried out at a <sup>3</sup>He bombarding energy of 300 keV and suggested the following:

$$^{3}$$
He +  $^{3}$ He +  $^{4}$ He + 2p + 12.8 MeV

$$^{3}$$
He +  $^{3}$ He +  $^{5}$ Li + p + 11 MeV  
 $^{4}$ He + p + 1.8 MeV

Almgvist and co-workers  $^{31}$ ) report observing the two  $^{3}$ He particles break up into a  $^{5}$ Li particle and a proton for a  $^{3}$ He bombarding energy of 240 keV. Good et al.  $^{32}$ ) have extended the results of their previous study of  $^{3}$ He- $^{3}$ He capture to 800 keV and confirm the two modes of disintegration for the compound nucleus.

Bredin et al. 33) have elastically scattered He from <sup>3</sup>He at the center-of-mass energies of 12.7 and 14.35 MeV and have also done some work on the inelastic reaction <sup>3</sup>He(<sup>3</sup>He, p) 5Li (ground state). Bransden and Hamilton 34) have performed <sup>3</sup>He-<sup>3</sup>He elastic scattering at laboratory energies of 20-29 MeV. Gammel et al. 35) and Leland et al. 36) have measured the differential cross sections for <sup>3</sup>He+<sup>3</sup>He elastic scattering at 20 and 25 MeV bombarding energy and 12-25 MeV, respectively. Tombrello and Bacher<sup>37)</sup> have measured differential cross sections for <sup>3</sup>He-<sup>3</sup>He elastic scattering between 3 and 12 MeV bombarding energy. Ivanovich et al. 17) also give differential cross section for <sup>3</sup>He-<sup>3</sup>He elastic scattering at 9 and 11 MeV bombarding energy. Similar measurements were made by Van Nen-Min et al. 38) in the 500-1700 keV bombarding energy range. Bacher et al. 39) give the differential cross sections for <sup>3</sup>He-<sup>3</sup>He elastic scattering between 18 and 80 MeV for a number of angles. Bacher and co-workers 40) have compared their experimental 3He-3He differential cross sections with the results of the

resonating group method and report good agreement between 11.9 and 18.9 MeV. Jenkin <u>et al.</u>  $^{41)}$  have also measured the differential cross section for  $^3\text{He-}^3\text{He}$  elastic scattering between 17.9 and 32.0 MeV at several angles.

In recent years quite a few articles have appeared based on the reaction <sup>3</sup>He(<sup>3</sup>He,2p) <sup>4</sup>He. From data taken at 15 MeV bombarding energy, Zurmuhle<sup>42)</sup> reports that this reaction proceeds predominantly through the ground state and first excited state of  $^{5}$ Li. He formed no evidence for a strong p-p final state interaction. Aldridge et al. 43) also report evidence that this reaction decays through the 5Li ground state. Their data were taken at 4.90 MeV bombarding energy. Bacher and Tombrello<sup>44)</sup> have also observed the  $^{3}$ He( $^{3}$ He,2p) $^{4}$ He reaction at 3-18 MeV  $^{3}$ He bombarding energy. Blackmore and Warren $^{45}$ ) have also performed this experiment at 1.15 and 5 MeV  $^3$ He incident energy in an attempt to see the p-p final state interaction. In a subsequent article 46) these authors report observing the p-p final state interaction corresponding to the formation of the singlet state of the diproton. The  ${}^{3}\text{He}$  laboratory energy in this latter experiment was 1.5 MeV.

In contrast to the  ${}^3\text{He}({}^3\text{He},2\text{p}){}^4\text{He}$  experiments, Harrison  $\frac{\text{et al.}^{47}}{}$  observed the reaction  ${}^3\text{He}+{}^3\text{He} \rightarrow {}^6\text{Be*}+_{\gamma}$  at 90° for  ${}^3\text{He}$  bombarding energies of 0.86 to 11.8 MeV. They report a total reaction cross section for transitions to the first excited state of  ${}^6\text{Be}$  which varies smoothly from 0.4 to 9.3  $_{\mu}\text{b}$  over this energy range. Because of this low cross section

the authors conclude that this reaction is of negligible astrophysical importance compared to the  $^3{\rm He}\,(^3{\rm He}\,,2p)^4{\rm He}$  reaction.

Artjomov and co-workers  $^{48)}$  have observed the  $^3\text{He}(^3\text{He},\alpha)2p$  reaction at a bombarding energy of 26 MeV. They report difficulty in understanding which process takes place in the reaction—the two particle quasi-stable system or the three particle final state interaction.

Slobodrian et al.  $^{49)}$  report obtaining good fits to spectra from the  $^3$ He( $^3$ He, $_{\alpha}$ )2p reaction at 43.7 and 53.0 MeV using p-p scattering parameters. In another article these same authors  $^{50)}$  find that the  $^3$ He+ $^3$ He reaction does not excite sharp states in  $^3$ He with a differential cross section larger than 120  $\mu$ b/sr below 30 MeV excitation.

Tombrello and Slobodrian<sup>51)</sup> state that the triton spectra obtained from <sup>3</sup>He(<sup>3</sup>He,t)3p at 44 MeV bombarding energy are featureless for laboratory angles between 6° and 25°. The spectra show no indication of either a 3p final state interaction or a strong sequential decay mode.

#### B. Preview of the Experiment

As reported in the foregoing summary, quite a lot of work has been done on both the <sup>3</sup>He-<sup>4</sup>He and the <sup>3</sup>He-<sup>3</sup>He reactions. Energy levels, though not discussed here, are fairly well known for both systems. <sup>52)</sup> Data on decay modes for compound nuclei formed by these reactions have been

compiled. Cross section data are available for a wide range of angles and energies.

With the two exceptions previously noted<sup>6</sup>,25,26) all of the experiments involving <sup>3</sup>He-<sup>4</sup>He scattering used unpolarized targets. These two polarized target experiments covered only two laboratory angles (45° and 33°) and a limited equivalent <sup>3</sup>He laboratory energy range (4.9, 7.33 and 5.7-13.5 MeV). A phase shift analysis was performed in only the second experiment <sup>25,26</sup> and other phase shift analyses of <sup>3</sup>He-<sup>4</sup>He elastic scattering did not have the benefit of polarization data. To date there have been no reports of the scattering of <sup>3</sup>He from a polarized <sup>3</sup>He target.

In review of the small amount of data available for the scattering of  ${}^3\text{He}$  and  ${}^4\text{He}$  from a polarized  ${}^3\text{He}$  target, the present experiment offered an opportunity to supplement and improve the existing data on these two reactions. The two primary objectives of the experiment were to determine the  ${}^3\text{He}-{}^4\text{He}$  elastic scattering phase shifts and to look for any polarization effects in  ${}^3\text{He}-{}^3\text{He}$  elastic scattering using, in both cases, a  ${}^3\text{He}$  gas target polarized by the method of optical pumping.

The reactions observed were  ${}^{3}\text{He}({}^{4}\text{He}, {}^{4}\text{He}){}^{3}\text{He}$ ,  ${}^{3}\text{He}({}^{4}\text{He}, {}^{3}\text{He}){}^{4}\text{He}$  at equivalent  ${}^{3}\text{He}$  laboratory energies of 3.30 to 6.86 MeV and  ${}^{3}\text{He}({}^{3}\text{He}, {}^{3}\text{He}){}^{3}\text{He}$ ,  ${}^{3}\text{He}({}^{3}\text{He}, p){}^{5}\text{Li}$  (ground state) at  ${}^{3}\text{He}$  bombarding energies of 4.33 to 9.83 MeV. The energy values quoted have been corrected for entrance foil

losses. All data were taken at the laboratory angle of  $30.0^{\circ}\pm1.1^{\circ}$  rms deviation (computed in Section II.B.1.).

The results of the experiment were satisfying because there is reason to believe that the <sup>3</sup>He-<sup>4</sup>He elastic scattering phase shifts have been more precisely determined than in previous analyses. Reasonable errors have been assigned to the phase shifts. A single level parameterization of the derived p-wave phase shifts using R-matrix theory has given reasonable values of the nuclear reaction radius and ratio of the reduced width to the Wigner limit, 53) along with errors in these quantities, for the ground state and first excited state The experimental asymmetry (Appendix B.)  $^{3}$ He- $^{4}$ He elastic scattering at  $\theta_{cm}$  = 71.6° changes sign near the resonance at 5.2 MeV; at  $\theta_{cm}$  = 120.0° the asymmetry is small and generally slightly positive. The experimental asymmetry for the  $^3\text{He-}^3\text{He}$  elastic scattering at  $\theta_{\text{cm}}$  = 60.0° is small and approximately consistent with zero over the energy The experimental asymmetry for the breakup region studied. protons produced by the inelastic reaction  ${}^{3}\text{He}({}^{3}\text{He},p){}^{5}\text{Li}$ (ground state) is not clearly defined and more data would be required in order to draw a definite conclusion.

The remainder of this thesis is divided into two main parts. The first (Chapters II-IV) is concerned with a description of the experiment and discussion of the data analysis and results, while the second part (Appendixes A-F) gives derivations of mathematical relations used in the analysis and details of computational procedures.

#### II. EXPERIMENTAL APPARATUS

#### A. Optical Pumping

#### 1. Brief Theory of Optical Pumping

The  $^3$ He gas target was polarized by the method of optical pumping which has been reported at length in the literature,  $^{7-9}$ ,  $^{54-64}$ ) and hence the following discussion is intended only to briefly summarize the theory.

A weak rf discharge maintains a supply of metastable  $2^{3}S_{1}$  atoms in the  $^{3}\text{He}$  target cell. A  $^{4}\text{He}$  lamp, excited by 10-15 W of 100 MHz rf energy, provides 1.08 wavelength resonance radiation. This light is circularly polarized by passing it through a linear polarizer followed by a quarter wave plate. The circularly polarized light is of the proper wavelength to induce transitions between the  $2^3S_1$  and the  $2^{3}P_{0}$  atomic energy levels. Figure 1 shows the pertinent  $2^{3}S_{1}$  and  $2^{3}P_{0}$  levels and their splitting into sublevels. Application of the selection rule  $\Delta m_F = +1$  for transitions induced by right hand circularly polarized light (abbreviated RHCP) results in selective excitation of 23S, metastable atoms in low magnetic sublevels ( $m_F = -1/2$  and -3/2) to the  $2^{3}P_{0}$  level. Decay back to all the  $2^{3}S_{1}$  sublevels is by the selection rule  $\Delta m_F = 0$ ,  $\pm 1$ . The net result is that transitions into and out of the  $m_F = -1/2$  and -3/2 sublevels of  $2^{3}S_{1}$  occur but only transitions into the  $m_{F} = +1/2$  and +3/2 sublevels of  $2^3S_1$  occur. Thus the population of the

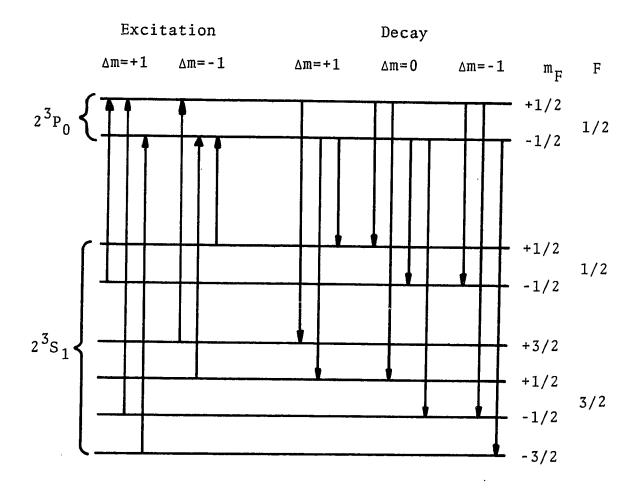


Figure 1. Partial Energy Level Diagram of <sup>3</sup>He Atom in External Magnetic Field Showing Transitions Involved in the Optical Pumping Process. (Not to scale.)

II.A.1.

higher sublevels increases at the expense of the lower sublevels. A parallel argument applies when LHCP incident light is used. In this case the excitation selection rule is  $\Delta m_F = -1$  and the population of the lower sublevels increases at the expense of the higher sublevels.

Figure 1 illustrates the mechanism just described. Transitions corresponding to the two selection rules applicable to the  $2^3S_1$  -  $2^3P_0$  excitation are shown,  $\Delta m_F = +1$  for RHCP pumping light and  $\Delta m_F = -1$  for LHCP pumping light. The selection rule applicable to the  $2^3P_0$  -  $2^3S_1$  decay is the same for both cases,  $\Delta m_F = 0$ ,  $\pm 1$ , and is also shown.

The polarization of the metastable  $^3$ He atoms is transferred to the ground state atoms through metastability exchange collisions. This can occur because  $^3$ He has spin  $^{12}$ , and due to conservation of spin angular momentum, the magnetic quantum number of a ground state atom can change by  $\pm 1$  with a corresponding change of  $\mp 1$  for the metastable atom. The metastable atom can then be repolarized by optical pumping and the process repeated.

This brief description of optical pumping has not touched on such questions as the effect of magnetic field gradients, relaxation times, relaxation mechanisms, pumping times, and metastability exchange cross section. These factors, as well as a more thorough description of the optical pumping process in general, are discussed in references 7-9, 54-64).

#### 2. Optical Pumping Apparatus

The optical pumping apparatus is very similar to that described by Baker et al. 65) The 100 kHz rf oscillator used to create metastable <sup>3</sup>He atoms is described in refer-The 100 MHz rf oscillator for the <sup>4</sup>He lamp is described by Findley<sup>67)</sup> and is similar to that of Salomaa.<sup>68)</sup> The circular polarizer 69) consisted of a linear polarizer followed by a quarter wave plate. The two were mounted with their optical axes inclined at 45° relative to one another so that a 90° rotation of the quarter wave plate resulted in changing the sense of the emerging circularly polarized light. A concave mirror was used to focus the pumping light onto the cell. The <sup>3</sup>He polarization can be related to the pumping light absorbed by the <sup>3</sup>He metastable atoms (Appendix A). To monitor the light absorption (actually changes in transmitted light are measured) a lead sulfide  $detector^{70}$  was used. The output from this detector(a d.c. signal proportional to the transmitted light intensity) was fed through a bridge circuit to a chart recorder. An idealized example of the light absorption signal is shown in Figure 14, Appendix A.

An external magnetic field of the order of a few gauss was supplied by a pair of helmholtz coils 66 cm in diameter. It was noticed that the magnetic field direction could have a noticeable effect on the light level of the <sup>4</sup>He lamp. By moving the lamp and mirror relative to the cell these effects could be minimized. Air cooling was necessary for

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the <sup>4</sup>He lamp, the polarizer, and the electron tubes in the <sup>4</sup>He lamp oscillator. Further discussion of the effects of component location in the optical pumping apparatus and the effects of <sup>4</sup>He lamp pressure on the pumping light is found in reference 71).

#### B. Target Cell

#### 1. Target Cell Description

The target cell was a two-inch diameter pyrex glass spherical bulb with 4 in. I.D. Sentinel brand 72) glass pipe as beam entry, exit, and scattering arms. (Appendix E gives the results of tests on other cell designs.) The two scattering arms were located at ±30.0° with respect to the beam exit. The design is the same as that of Baker et al. 65) except their target cell used four scattering arms instead of two. The beam entry and exit arms were 1.0 in. long and the scattering arms were 1.98 in. long. In order to make certain that the scattering arms were located at the desired angle, a special jig was fabricated for use during manufacture of the ce11. This jig consisted of removable support rods located at the proper positions for the four arms. The rod O.D. and the arm I.D. were matched as closely as possible to minimize error in positioning the arms. This device allowed the scattering arms to be located at 30.0°±0.2°. This tolerance was calculated from a knowledge of the scattering arm length and the amount of play between the scattering arm and the support rod at each end of the scattering arm.

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Scattered particle collimation was effected by a rectangular crimp in the scattering arm at the point of attachment to the spherical bulb and by a tantalum disc with a rectangular aperture at the outer end of the scattering arm. The glass collimator was 0.09 in.  $\times$  0.25 in., the metal one was 0.06 in.  $\times$  0.24 in., and their separation was 2.33 in. This geometry allowed particles to be accepted at a maximum laboratory angular deviation of  $1.9^{\circ}$ . If one assumes a triangular distribution of the scattered particles about the central angle the laboratory rms deviation is  $0.8^{\circ}$ .

The beam collimator consisted of two circular apertures 3/32 in. in diameter at a separation of 11.3 in. This permitted a maximum angular spread of 0.5° in the laboratory. By assuming a triangular distribution of the incident beam about zero degrees, the laboratory rms deviation is 0.2°.

The multiple scattering in the aluminum entrance foil can be estimated from the following equation  $^{73}$ 

$$\langle \theta^2 \rangle^{\frac{1}{2}} = k \log \beta$$

where

$$k = 1.818 \times 10^{-3} z^2 t E^{-2}$$

$$log \beta = 9.259 + log t.$$

Here z is the charge number of the incident particle, t is the foil thickness in mils, E is the incident energy in MeV, and  $\theta$  is the center-of-mass angle for the incident particle scattering from aluminum. Due to the relative masses

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of  ${}^3\text{He}$  and  ${}^4\text{He}$  and  ${}^{27}\text{Al}$ , the center-of-mass and laboratory angles will be approximately equal and  ${}^{4}\text{C}^{2}\text{C}^{\frac{1}{2}}$  will be taken as the laboratory rms deviation due to entrance foil scattering. For the maximum and minimum values of the bombarding energy  ${}^{4}\text{C}^{2}\text{C}^{\frac{1}{2}}=0.3^{\circ}$  and  $0.6^{\circ}$ , respectively, in both the  ${}^{3}\text{He}$  and  ${}^{4}\text{He}$  scattering.

The various laboratory rms angular deviations have been added in quadrature to give a combined value of  $1.0^{\circ}$  and  $1.1^{\circ}$  for the maximum and minimum values of bombarding energy in the experiment. In the center-of-mass system these correspond to  $2.0^{\circ}$  and  $2.2^{\circ}$ , respectively, for  ${}^{3}\text{He-}{}^{3}\text{He}$  scattering and to  $2.5^{\circ}$  and  $2.8^{\circ}$ , respectively, for  ${}^{3}\text{He-}{}^{4}\text{He}$  scattering.

An rf shield was provided for each of the particle detectors. It was quite similar to that shown in reference 65) except that the solid state detector was mounted on an adapter which fitted directly onto a vacuum feed through (Amphenol UG-657/U) threaded into the closed end of the shield. The shield was evacuated by a port fastened to its side and was thus held in place by atmospheric pressure. A rubber 0-ring provided a seal between the rf shield and the aluminum flange supporting the foil assembly.

Aluminum foils 0.00035 in. thick were used as windows on all four arms of the cell. An improved  $design^{74}$  was used to mount the foil windows which allowed leak testing prior to installation on the cell arms. This represents considerable improvement over the previous method of sealing the foil window directly to the glass arm. 65,71) The new design

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consisted of mounting the foil between two ¼ in. thick aluminum plates (which had ¼ in. diameter holes through their centers to admit particles), leak testing the assembly and then attaching it to the end of the cell arm as shown in reference 65). Indium<sup>75)</sup> wire gaskets were used between the foil and the inner aluminum disc and between the inner aluminum disc and the glass arm. Indium has the advantage that it does not outgas nearly as much as rubber but it also has the disadvantage of a relalow melting point of 156.4° C. This will be discussed further in the cell cleanup procedure, Section III.B.3.

The foil windows were cut using a  $0.517\pm0.002$  in. diameter die. Each foil was then weighed several times on a balance calibrated to read in micrograms. The foil weight w was taken as the average of the several weighings and the standard deviation  $\sigma$  of the readings was used as the error in w. The total error in the foil weight is given by

$$dW = W[(\sigma/w)^{2} + (dA/A)^{2}]^{\frac{1}{2}}$$

where W and dW are in mg/cm<sup>2</sup>, w and  $\sigma$  are in mg, and A and dA are in cm<sup>2</sup>. The foil weights ranged from 2.262 to 2.335 mg/cm<sup>2</sup> with total errors ranging from 0.018 to 0.029 mg/cm<sup>2</sup>.

## 2. Entrance Foil and Target Energy Loss Corrections

Energy loss in the entrance foil was computed by numerical integration using the trapezoidal rule. The energy loss derivatives dE/dX for aluminum were taken from reference 76). Fifty integration steps of equal size were found to give

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values of energy lost in the foil which compared well with those obtained from range-energy relations. 77)

The energy lost by the projectiles inside the target was calculated from dE/dX values for helium  $^{76}$  and found to be less than 5 keV for the lowest energy  $\alpha$ -particles. Since this loss is small compared to the entrance foil loss and is also smaller than the uncertainty in the bombarding energy (20 keV) it was neglected.

The proton resonance at 4.681 MeV in the  $^{40}$ Ca(p,p) $^{40}$ Ca reaction was used as the energy calibration for the experiment. The calibration and the uncertainty in the bombarding energy are discussed in Appendix F.

# 3. Target Cell Cleaning and Filling

Prior to assembly of the cell the glass parts were washed thoroughly with a low residue cleanser (Alconox) and distilled water. This was followed by a rinse in high purity acetone or isopropyl alcohol. The glass stopcock on the cell filling tube was assembled with a light application of Apiezon T high vacuum stopcock grease. The stopcock was located approximately 1½-2 in. from the spherical bulb and the presence of stopcock grease at this point did not appear to have any serious contaminating effect on the <sup>3</sup>He gas.

The aluminum parts--foils, foil holder discs, and indium gaskets--were all washed thoroughly in high purity acetone followed by a wash in high purity isopropyl alcohol. The parts were allowed to air dry and the foils were assembled

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between the two discs using 0.025 in. diameter indium wire gaskets. Six equally spaced screws held the two discs and the foil together. Each foil assembly was then leak tested and accepted if there was no indication of a leak on the most sensitive scale of the helium leak detector.

Cleaning the cell prior to filling it with <sup>3</sup>He is a lengthy process requiring typically five to seven days for a new cell. The procedure is to evacuate the cell using a vacuum system capable of attaining pressures lower than  $5 \times 10^{-7}$  torr (1 torr = 1 mm Hg). The cell can be cleaned by heating while under a vacuum<sup>6)</sup> but care must be exercised so as not to melt the indium gaskets. Running a 4He discharge inside the cell appears to be about as efficient as any cleaning process thus far attempted. The cell is filled with 1/4-3/4 torr of  $^4$ He and a discharge is ignited by a spark from a Tesla coil 78) and maintained by about 10 W of rf energy. 79) The discharge spectrum as viewed through a spectroscope is a continuum and quickly extinguishes due to impurities. cell is evacuated and the process repeated. After numerous discharges over a period of several hours with little change in the spectrum, a significant and almost sudden improvement in the cell cleanliness takes place--some of the background spectrum disappears. Several such cycles or plateau regions of cell cleanliness are seen before only the characteristic helium spectrum remains. During the cleaning process it is necessary to ionize the gas in the cell arms by using a Tesla coil wired across the arms on opposite sides of the cell. If

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this is not done the spherical part of the cell will clean up but the arms will still contain excessive impurities and polarization cannot be achieved. It is necessary to monitor the temperature at the cell arms because sufficient energy is supplied by the rf source to raise the arm temperature above the indium melting point. Before filling the cell one must be certain that it is clean. A good rule of thumb is that the cell must sustain the  $^4$ He discharge for  $^1$ 2 hour with only the helium lines and no background visible at the end of this period. Then the cell is evacuated to less than  $1.5 \times 10^{-7}$  torr and filled to 4-5 torr of  $^3$ He $^{80}$ ) through a liquid helium cold trap. Under these conditions the cell will usually work.

#### C. Detectors and Electronics

Ortec silicon surface barrier detectors were used in the experiment. For the elastic scattering of <sup>3</sup>He and <sup>4</sup>He 50 mm<sup>2</sup> sensitive area × 100 micron depletion depth detectors were used and for the inelastic <sup>3</sup>He scattering 50 mm<sup>2</sup> × 2000 micron depletion depth detectors were used. These had threads to match Microdot cable connectors, which was a convenient feature. Bias was set on each detector as recommended by the manufacturer and these were operated in a vacuum as previously described in Section II.B.1. The detector output was fed directly into Tennelec model 214 preamplifiers. Power for the preamplifiers and bias for the detectors was provided by a Tennelec model 901 RM power supply. Output from the preamplifiers was sent directly to a TMC 400 channel analyzer. The

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beam passing through the cell was stopped in air by a 0.050 in. tantalum plate at the end of the beam exit arm. The beam current was integrated by a Brookhaven Electronics Corp. model 1000 current integrator and recorded by an Ortec model 431 timer-scaler. An attempt was made to keep all data runs about the same length to obtain approximately equal statistics in each run. Experience in the latter part of the experiment showed that shorter data runs were preferable in order to minimize the effects of beam wander and the subsequent necessity for cell realignment.

The collected spectra were printed by the on-line computer and recorded on magnetic tape for analysis at a later time.

#### III. EXPERIMENTAL RESULTS

### A. Optically Measured Target Polarization

The optically measured target polarization p and error  $\Delta p$  were computed from optical pumping light absorption signals  $<\delta I/I>$  and error  $\Delta<\delta I/I>$  using the method explained in Appendix A. The error  $\Delta p$  does not include any systematic contribution due to uncertainty in the proper value for the parameter f used in computing the target polarization. Values of f between 0.5 and 1.0 have been reported.  $^{8,62-64}$ ) In the present work a median value of f = 0.7 was used. Baker et al.  $^{65}$ ) have shown that this can result in a systematic error of ±15% in p compared to using f = 0.5 and 1.0, respectively. Thus the values of p and  $\Delta p$  could be multiplied by a constant whose value is between 0.85 and 1.15. Appendix A also describes the technique used in measuring  $<\delta I/I>$  and explains the equations used in determining p from  $<\delta I/I>$ . The required constants are also listed.

In the data tabulation which follows <\delta I/I>, \( \lambda \lambda I/I \rangle \), \( \lambda \lambda I/I \rangle \), and p, \( \lambda p \) are listed in chronological order for each data run. The time given is in elapsed hours from the start of each run. In some cases the time was reinitialized during a run; this was done whenever there was an extended interruption due to technical difficulties. Each time point represents data taken at one energy and is a composite of four separate data collection periods as explained in Appendix B. Since the time required to collect all the data at a single energy

Tables 1-3. Optically Measured Target Polarization Data for a <sup>3</sup>He Gas Target Polarized by the Method of Optical Pumping. Optical pumping light absorption signals <8I/I>, \( \lambda \lambda \lambda I/I \rangle \) and target polarization p, \( \lambda \) are listed in chronological order for each run. Time is in elapsed hours from the beginning of the run. The sequence numbers are assigned to allow correlation with data in Tables 4a, 4b, 7, and 8. The target polarization error \( \lambda \rangle \) does not include any systematic error discussed in the text. Each table is identified by run numbers and the nuclear experiment being carried out during the run. The value of f is also given. Points at which the target cell was recleaned and refilled due to low polarization are identified and the <sup>3</sup>He pressure in mm Hg is given.

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$^{3}$ He, f = 0.7. These data	ata given in Tables 4a and 4b	Remarks		, <del>`</del>	rrom kun 4			Cell refilled				Cell refilled, 5.9 mm	•													
<sup>3</sup> не ( <sup>4</sup> не, <sup>4</sup> не) <sup>3</sup> не,	ing power d	$\overline{d}_{\nabla}$		0.0028	. 003	0.0016	,004	.002	.002	.002		.006	.006	.001	.002	.002	.002	.002	.002	.003	.009	.003	.002	0.0021	.003	.002
7	ion analyz	ല	Run 5	0,1002	. 112	0.1090	,085	,122	,139	.119	Run 2	, 144	.147	.135	.130	.127	.125	.124	.129	.126	.133	.117	.122	0.1265	.122	.119
on Data, Runs	ry and react	$\sqrt{\langle I/I \rangle}$		0.0182	022	$0.0119 \\ 0.0166$	, 026	, 014	019	، 014		,050	.050	,012	.018	,017	.017	.015	, 017	024	,071	.023	,016	0.0169	,021	.019
larizati	the asymmetr	< \$1/1>		0.5137	594	$0.5697 \\ 0.5382$	, 425	, 659	, 784	.640		, 81	.848	, 754	,715	. 695	684	.677	717.	.693	.739	.629	.663	0.6909	.662	.643
rget	spond to t	Time		44,65	7,6	53,37	5,3	٠		0			ر. ا	% 2	3.6	ω. ω.	2.4	v v	χ. Υ.	1.0	2.4	9.2	3.3	45.50	8,7	1.6
able 1	corre	Seg		Н	71	ა 4	ις.	9	۲ .	∞		Н.	7	<b>1</b> 2	4	، د٦	O t	~ 0	∞ α					13		

e 2. rresp	ranget less spond to the	Polarization the asymmetry	Data, Runs 1 and reaction	and 3, <sup>3</sup> He analyzing	<sup>3</sup> He( <sup>3</sup> He, <sup>3</sup> He) <sup>3</sup> He ng power data i	, f = 0.7. These dat n Table 7.	ಡ
	Time	<1/19>	Δ< δ I / I >	ਕ	d⊽	Remarks	
				Run 1			
		9	0 °	.125	,002	New cell, 5.5 mm	
	7 : 1	,747	,014	, 134	.001	•	
	0,5	694	.020	.127	,002		
	5.3	, 714	.017	, 129	.002		
	1,1	,694	,018	.127	.002		
	3.9	.643	,020	,119	.002		
	$\infty$ $\infty$	,620	,016	,116	.002		
	2.1	.579	.016	.110	.002		
	4.0	. 586	,019	,111	,002		
	8.5	.620	,016	,116	.002		
	6.7	,895	,015	.154	.002	Cell refilled, 6.0 mm	
	I, 5	.781	.013	,138	.001	•	
	5.5	.710	,015	,129	.002		
	0,2	,681	,013	,125	.001		
	4 ، 8	669.	, 014	.128	.002		
	0.3	,613	,051	.115	.007		
	4.2	, 596	,018	.112	.002		
	8.7	.632	.014	.118	.002		
	3.9	,642	,014	.119	.002		
	98.6	.657	.022	,121	.003		
	01.6	.595	.024	,112	.003		
	09.5	.731	.021	.132	.003		
	16.6	.583	.022	.110	.003		
	20.4	.586	.021	.111	.003		
	23.3	.683	.046	.125	.006		
	30.6	.673	.016	,124	.002	Cell refilled, 6.1 mm	
			017	0.1219	0.0025	•	
	37.2	.667	.023	.123	.003		

				filled, 6.0 mm		•			filled. 6.4 mm								
	Remarks			Cell refi					Cell refi								
	व⊽	0.0025 0.0028 0.0066		.006	.002	0.0027	.004	.005	.003	.003	.004	.004	.006	.004	.004	.004	.004
	더	0.1199 0.1274 0.1220	Run 3	.165	.138	0.1262	.007	.116	.124	.130	.112	.124	.111	.099	.111	.114	.118
	V < 6 I / I >	0.0179 0.0200 0.0453		.039	.018	0.0208	.027	.035	.021	.026	.027	.033	.047	.030	.029	.033	.028
1, Continued.	< \$1/1>	0.6453 0.6965 0.6585		.961	.781	0.6888	.624	.623	.673	.718	.596	679	.589	.510	.589	.605	.634
Run 1, C	Time	140.03 142.97 147.33		0.	0.	3.97	6.	T.	7.9	0.3	2.3	5.0	7.2	9.5	0.9	.3	2.0
rable 2.	Seq.	29 30 31		Н	7	3	4	2	9	7	∞	6				13	

se

tion Data, Run 4, $^{3}$ He( $^{3}$ He,p) $^{3}$ Li (ground state), f = 0.7. These	r data in Table 8.	Remarks	l refilled, 4.7	Cell refilled, 4.7 mm	•			11 refilled, 5.2	Cell refilled, 5.3 mm					Cell and time carried	over to Table 1, Run 5
p) <sup>5</sup> Li (grou	analyzing power	d√	•	•	•	0.0022	•	•	•	•	•	•	•	•	
4, <sup>5</sup> He( <sup>5</sup> He	reaction and	ଘ	0.1050	•	•	0.1067	•	•	•	•	•	•	0.0971	0.0998	
n Data, Run	asymmetry and	< I / I > V	•	•	•	0.0140	•	•	•	0.0260	•	•	•	0.0204	
ದ	to the asyn	< \$1/1>	. 54	. 56	. 58	0.5546	. 58	.49	, 64	.53	. 53	.50	.49	. 51	
. Target Polariz	correspond	Time	0.			3.63	. 7	.0	0.	•	•	6.40	8.63	11.00	
rable 3	data (	Seq.	7	7	3	4	S	9	7	∞	6		11		

could be several hours, the time shown is that at the midpoint of the four data collection periods. The sequence numbers allow correlation of p with the experimental asymmetry A and reaction analyzing power  $P_3$  in subsequent tables. From the listing of time the decline of target polarization as a function of cell age can be seen.

- B. Elastic Scattering of <sup>4</sup>He from a Polarized <sup>3</sup>He Gas Target
- 1. Experimental Asymmetry and Reaction Analyzing Power

The experimental Asymmetry A and reaction analyzing power  $P_3$  for the elastic scattering of  $^4$ He from a polarized  $^3$ He gas target have been measured at the center-of-mass angles 71.6° and 120.0° for 13 bombarding energies between 5.75 and 10.01 MeV, corresponding to the equivalent  $^3$ He laboratory energy range of 3.30 to 6.86 MeV, accurate to  $^{\pm}20$  keV. To clarify the nomenclature, A is sometimes referred to as laboratory or experimental asymmetry or left-right scattering asymmetry and  $P_3$  as polarization or polarization data. To avoid confusion the optically measured target polarization p in Section III.A. will be referred to as "target polarization."

The equations required in computing A,  $P_3$ , their errors, and the weighted average for duplicate measurements at a given energy are found in Appendix B. A general discussion of the asymmetries is also given there. Tables 4a and 4b give the values of A and  $P_3$  along with their errors. The error  $\Delta A$ 

Tables 4a and 4b. Experimental Asymmetry and Reaction Analyzing Power  $P_3$  for  $^4$ He Elastically Scattered from a Polarized  $^3$ He Gas Target. The sequence numbers allow correlation of A and  $P_3$  with the target polarization p in Table 1. The equivalent  $^3$ He Laboratory energy in MeV is shown, accurate to  $\pm 20$  keV. Where several data exist at a single energy point a weighted average (denoted by <>) is computed for A, the statistical error  $\Delta A$ ,  $P_3$  and  $\Delta P_3$ .  $\Delta P_3$  does not include any systematic error discussed in Section III.A. Each table is identified by its run number, the center-of-mass angle, and the nuclear reaction being carried out during the run.

Experimental Asymmetry and Reaction Analyzing Power, Runs 2 and 5, <sup>3</sup> He( <sup>4</sup> He, <sup>4</sup> He) <sup>3</sup> He,	The corresponding target polarization data are given in Table 1.
Table 4a, Experi	$\theta_{\rm cm} = 71.6^{\circ}$ . T

, <sup>4</sup> не) <sup>3</sup> не,		$<\Delta P_3>$		0.0167			0.0221	0.0306	0.0239
5, <sup>5</sup> He( <sup>4</sup> He,	e 1.	AP3	0.0572 0.0399 0.0307 0.0384 0.0419	.031 .024		0.0239 0.0334 0.0343 0.0340 0.0388 0.0388	.039 .040	. 036 . 047 . 043	•
2 and	en in Table	<p3></p3>		0.0001			-0.5847	0.3801	0.2190
Power,	ta are given	P <sub>3</sub>	-0.0447 -0.0246 0.0026 0.0072 0.0845	0.080		-0.0884 -0.0645 -0.2528 -0.4790 -0.6041 -0.5971	0.345 0.332 0.441	.034 .454 .388	) )
Reaction Analyzing	ization data	.< <u>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</u>		0.0017	Run 2		0.0026	0.0036	0.0028
and Reaction	rget polari	ΔA	0.0068 0.0043 0.0032 0.0033 0.0051	.003		0.0031 0.0042 0.0042 0.0044 0.0044	.003	.004	) ) ,
>	ponding tar	<\\		0.0001			-0.0829	0.0462	0.0263
ntal	The correspondin	<b>∢</b> I	-0.0053 -0.0026 0.0002 0.0006 0.0103	000.		-0.0114 -0.0082 -0.0279 -0.0520 -0.0766 -0.0809 -0.0852	.046 .040	.004	
	71.6°.	Energy	3.30	3.88		4.37 4.146 4.79 5.09 5.09	6.04 6.46	98.9	
able 4a	e cm	Seq.	884597	1 2		450789128	10 14 15		

<sup>3</sup> Не( <sup>4</sup> Не, <sup>3</sup> Не) <sup>4</sup> Не,		< AP3>						0.0455		0.0453							•			0.0106			0.1032		0.0595
5, He ("He	ble 1.	$\Delta P_3$		137	. 096 . 084	105	0.1166	) ; 	$0.0716 \\ 0.0587$	) )		.051	.068	.061	.051	.059	.050	. U.S	0.0185	) 	.122	0.1430	. I 4 y	0.0906	.110
Runs 2 and	given in Tabl	<p<sub>3&gt;</p<sub>						0.1113		0.1173										0.0191			-0.0214		0.0081
	data are g	P <sub>3</sub>		146	072 072	175	0.0822	) [ ] (	$0.1535 \\ 0.0930$	) 		.032	.040	.093	78	. U.4	.081	0.622	-0.0837		.342	0	. 100	-0.0958 0.0143	/cT.
Reaction Analyzing Power,	polarization	< <u>\\Darkar\_\_\_\_\</u>	Run 5					0.0048		0.0048	Run 2									0.0014			0.0125		0.0072
and Reactio	target pol	<u>AA</u>		.016	008	.009	$0.0142 \\ 0.0162$		0.0071	)  -  -		.006	.008	.007	.006	.003	.005	200.	0.0026		.015	0.0175	/ ТО •	0.0106	. UL 3
Asymmetry a	corresponding	⟨ <b>Ą</b> ⟩						0.0116		0.0127										0.0032			-0.0028		0.0600
Experimental A	The	<b>4</b> I		.017	.007	.015	$0.0100 \\ 0.0311$	1 1	0.0153 0.0104	•    -		.004	.005	.011	.009	. UUL	.010	0.050	-0.0120		.045	0.0130	• T O •	-0.0113	. ULS
4b. Expe	120.0°.	Energy		3.30	0			•	3.88			.3	4.	9	4.79		٠,	7.			6.04	4.		6.86	
able 4	e cm	Seq.		∞ 1	ი 4	Ŋ	9 /		- 7			4	ر د	91	<b>~</b> 0	0 0	- עכ	٦ ،	1 K)			7 - 7 -		112	

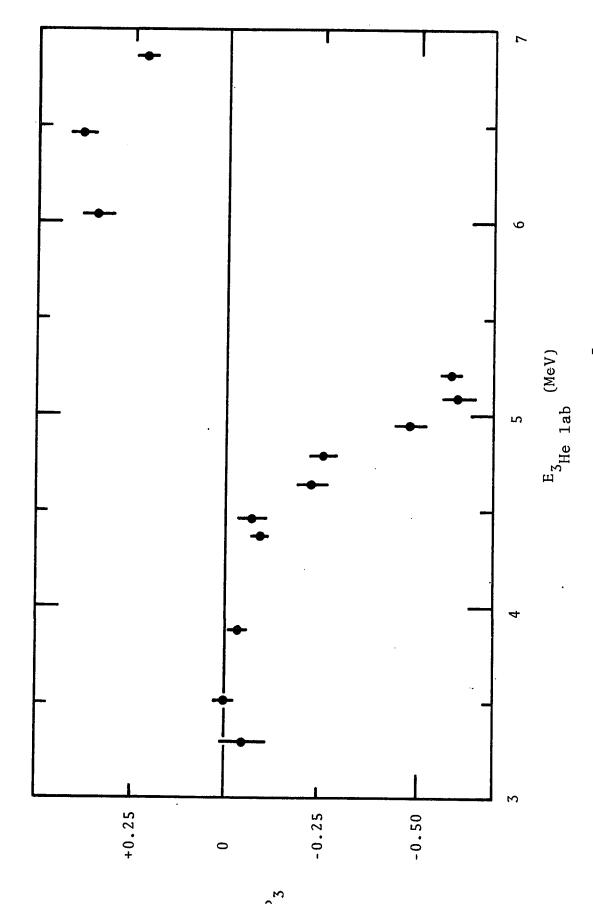
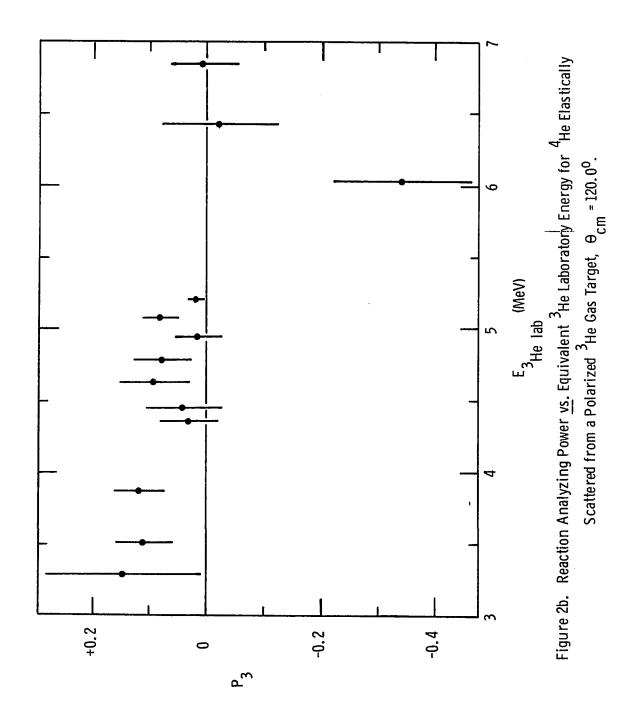


Figure 2a. Reaction Analyzing Power vs. Equivalent  $^3$ He Laboratory Energy for  $^4$ He Elastically Scattered from a Polarized  $^3$ He Gas Target,  $^6$ cm = 71.6°.



is the statistical error in A and the error  $\Delta P_3$  is the result of adding in quadrature the statistical error  $\Delta A$  and the error in the optically measured target polarization,  $\Delta p$ .  $P_3$  with error bars  $\pm \Delta P_3$  is plotted as a function of equivalent <sup>3</sup>He laboratory energy in Figures 2a and 2b. The error  $\Delta P_3$  does not include any systematic contribution due to uncertainty about the proper value of the parameter f used in computing the target polarization p. As discussed in Section III.A. this systematic error can be as much as  $\pm 15\%$  in p, resulting in a  $\mp 15\%$  error in  $P_3$ . The effects of systematic errors will be discussed further in Section III.B.2.e.

No correction for background was made because the background events represented a small fraction of the total events under a given peak. This fraction remained nearly constant during the four data collection periods at each energy and was no more than 1.5% of the total events for the  $^3\text{He-}^4\text{He}$  elastic scattering. A 1.5% background results in a negligible change in A and  $P_3$  compared to the statistical errors in these quantities. This effect would be systematic and would have no noticeable effect on the  $^3\text{He-}^4\text{He}$  elastic scattering phase shifts, as is shown in Section III.B.2.e.

The values of  $P_3$  in Tables 4a and 4b have been compared where data exists with the spin polarization maps given by Barnard et al., <sup>15)</sup> Spiger and Tombrello, <sup>16)</sup> and Hardy et al. <sup>25)</sup> These maps were generated using the derived phase shifts from the  $^3\text{He-}^4\text{He}$  elastic scattering experiments reported by the authors. Barnard's low energy  $P_3$  data agree with the current

work while the data of Spiger and that of Hardy are smaller (more negative) than the higher energy values in Tables 4a and 4b.

From the results of the present experiment a spin polarization map has also been generated, Figure 3. The phase shifts used to generate the polarization map were obtained as follows. For the s-wave the hard sphere phase shifts for a radius of 2.8 fm were used. The single level parameterization discussed in Section III.B.2. provided the p-wave values. Since the single level formula would not provide a satisfactory fit to the f-waves (also discussed in Section III.B.3.), a least squares technique was used to fit the  $f_{5/2}$  phase shifts with a fourth degree polynomial. A satisfactory polynomial fit to the  $f_{7/2}$  phase shifts could not be found over the entire energy range, but a fourth degree polynomial would fit the phase shifts below 5.0 MeV, and the data provided by it were used. From 5.0 to 7.0 MeV interpolated values of the f<sub>7/2</sub> phase shift were used. errors introduced in the interpolation should be no greater than the error bars shown in Figure 8 between 5.0 and 5.21 MeV and between 6.0 and 7.0 MeV. But between 5.21 and 6.0 MeV. these errors are estimated to be from 5° to 15° because of the absence of data in this region. In all cases the phase shifts below the lowest energy of the experiment (3.30 MeV) were provided by the hard sphere, single level, or polynomial fitting procedures. For comparison the locations of the data in Tables 4a and 4b are indicated on the polarization map, Figure 3.

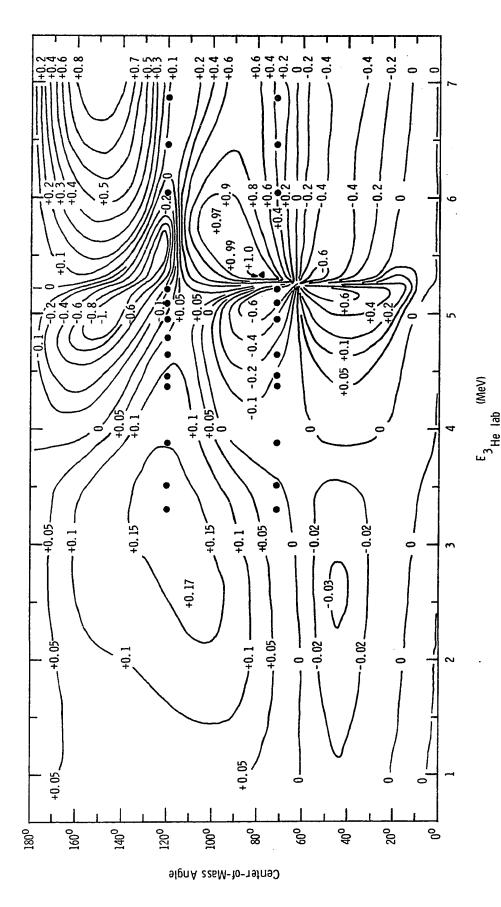


Figure 3. Contour Map of <sup>3</sup>He Spin Polarization (Basel Convention) as a Function of Equivalent <sup>3</sup>He Laboratory Energy and Center-of-Mass Angle. The solid circles The numbers not labeling a contour are the calculated values of the polarization at the locations of their decimal points. represent points at which the experimental asymmetry was measured in the current work. See Tables 4a and 4b.

The instrumental asymmetry  $A_0$  gives an indication of the deviation of the experiment from complete symmetry. Its departure from zero is a measure of the effects of changes in the experimental configuration such as beam wander that have not been explicitly included in the mathematical treatment. The maximum value of  $A_0$  for the  $^4\text{He-}^3\text{He}$  elastic scattering was 1.4%, which is acceptable.

2. Phase Shift Analysis of the <sup>3</sup>He-<sup>4</sup>He
Elastic Scattering Data

#### a. Method

The fact that  ${}^3\text{He} \cdot {}^4\text{He}$  scattering represents the scattering of a spin  $\frac{1}{2}$  particle from a spin 0 particle greatly simplifies the analysis because only one  $\ell$ -value is permitted for each value of the total angular momentum and parity. To perform the phase shift analysis a computer program was employed which used the differential cross section and polarization formulas derived in Appendix C. The program was required to minimize the value of  $\chi^2$ :

$$\chi^{2} = \sum_{i=1}^{N} \left( \frac{D(\theta_{i}) \exp^{-D(\theta_{i})} calc}{\varepsilon(\theta_{i})} \right)^{2}$$

where N is the number of data points,  $D(\theta_i)$  is a differential cross section of polarization datum at the center-ofmass angle  $\theta_i$ , and  $\epsilon(\theta_i)$  is the experimental error associated with the experimental datum  $D(\theta_i)_{\rm exp}$ .

The phase shifts for l≥4 were set to zero because even at higher energies there is no indication that these depart significantly from zero. The d-waves were also fixed at zero because they too are small at higher energies and there are no known d-states in the <sup>7</sup>Be system. (The d-waves will be discussed further in Section III.B.2.c.) Thus the variable parameters were the five real parts of the s-, p-, and f-wave phase shifts since the energy range of the experiment was below the <sup>3</sup>He-<sup>4</sup>He threshold.

The method used in determining the phase shifts was to hold one phase shift constant and allow the program to vary the other four. When the minimum value of  $\chi^2$  had been found for this set of values of the one fixed and four variable phase shifts, the fixed phase shift was incremented by 0.1° and the procedure repeated. This gave another minimum value of  $\chi^2$  corresponding to the second set of values for the five phase shifts in question. In this manner a large set of minimum values of  $\chi^2$  and matching phase shifts were computed. From this set of minimum  $\chi^2$ -values and corresponding phase shifts the acceptable value  $\delta_0$  of the phase shift was found. The acceptable value is defined as that corresponding to the smallest  $\chi^2$  of the set, namely  $\chi_0^2$ . A graphic illustration of this procedure is provided by the solid curve in Figure 4. This particular example is that of  $\chi^2 \underline{vs}$ . the  $p_{3/2}$  phase shift at  $E_{3}$  = 4.64 MeV. The acceptable value of the phase shift corresponds to the lowest point on the curve and in this example  $\delta_0 = 157.5^{\circ}$  at  $\chi_0^2 = 3.62$ .

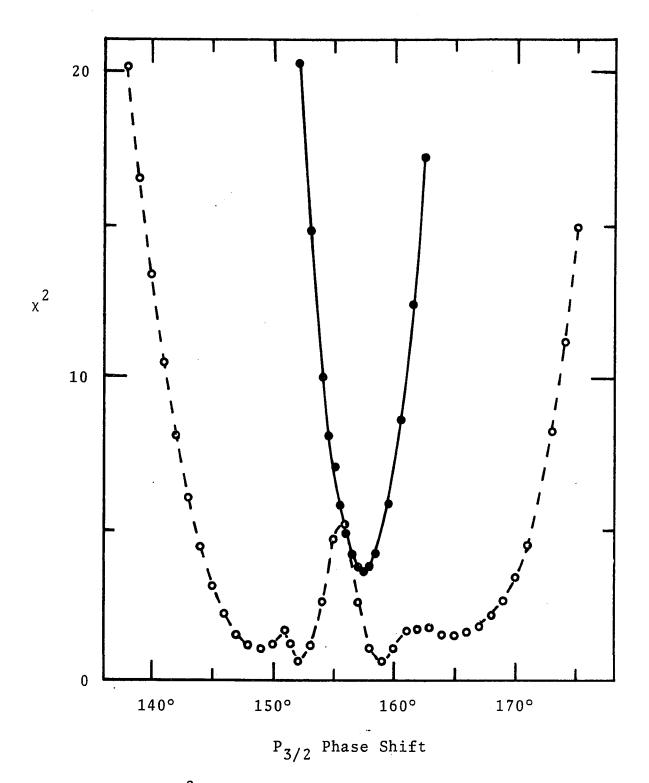


Figure 4.  $\chi^2$  vs.  $P_{3/2}$  Phase Shift at  $E_{3}$  = 4.64 MeV. The solid curve was generated using both cross section and polarization data and the dashed curve was generated using cross section data only. The curves serve only to connect the data points.

The phase shift error was assigned in the following manner. The likelihood of a given fit to the experimental data can be estimated by  $^{81}$ )

$$L \propto \exp(-\frac{1}{2}\chi^2)$$

and for the best fit  $\delta_0$ , L is taken as

$$L_0 = \exp(-\frac{1}{2}\chi_0^2).$$
 (1)

The error in the phase shift is defined as that value which reduces the likelihood function  $L_0$  to a value  $L_1$  corresponding to a value  $\delta_1$  of the phase shift one standard deviation away from the best fit  $\delta_0$ . Thus

$$L_1 = L_0 \exp(-\frac{1}{2}) = \exp[-\frac{1}{2}(\chi_0^2 + 1)] = \exp(-\frac{1}{2}\chi_1^2)$$
 (2)

where

$$\chi_1^2 = \chi_0^2 + 1. ag{3}$$

The phase shift error is then given by

$$\Delta \delta = \frac{1}{2} |\delta_{H} - \delta_{L}|$$

where  $\delta_{\rm H}$  and  $\delta_{\rm L}$  are the two values of the phase shift corresponding to  $\chi_1^2$ . Plots such as Figure 4 were then used to determine  $\Delta\delta$ . In the example shown in Figure 4  $\chi_1^2=4.62$ ,  $\delta_{\rm H}=158.7^\circ$ ,  $\delta_{\rm L}=156.3^\circ$ , and  $\Delta\delta=1.2^\circ$ .

It should be noted that the phase shift error determined by the foregoing method does not include any contribution from systematic errors discussed in Section III.B.2.e.

# b. Initial Conditions for the Phase Shift Searches

The data used in the phase shift analysis consisted of the cross section data of Barnard et al.  $^{15}$  for  $E_{3}$  He 1 5.21 MeV and that of Spiger and Tombrello<sup>16)</sup> for energies above this, the polarization data  $P_3$  at  $\theta_{cm}$  = 71.6° and 120.0° from the present experiment and that of Hardy 74) at  $\theta_{\rm cm}$  = 87.0° and Hardy <u>et al.</u><sup>25)</sup> at  $\theta_{\rm cm}$  = 114.0°. At  $\theta_{\rm cm}$  = 71.6°, 87.0°, and 120.0° data were available at all 13 equivalent <sup>3</sup>He laboratory energies in the 3.30-6.86 MeV range of the experiment but at  $\theta_{cm}$  = 114.0° only the two highest energy data were available, 6.46 and 6.86 MeV. The beginning values of the phase shifts were taken from references 15) and 16) in the same manner as the cross section data. Linear interpolation was performed on the cross section and polarization data taken from references 15, 16, 25, and 74) where necessary to obtain values at the desired energies. Any error introduced by this process should be small compared to the experimental errors because the data were not rapidly changing and the points were closely spaced.

The choice of initial phase shift values for the searches tends to bias somewhat the final results because these phase shifts were known to fit the cross section data. This could have had the effect of beginning the search procedure at or near a minimum in  $\chi^2$ -space. In an attempt to locate other  $\chi^2$ -minima the range of each of the fixed phase shifts was extended to at least its value at  $\delta_0 \pm 15^\circ$ . In

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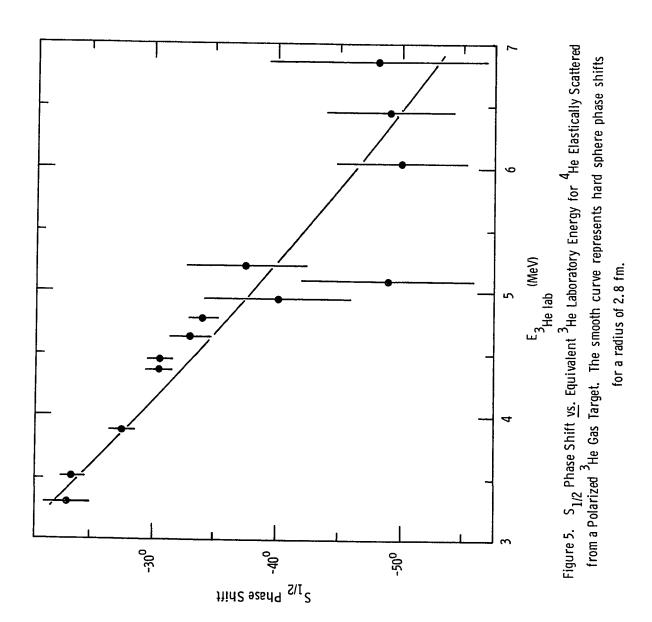
the majority of the cases  $\chi^2$  continued to increase as the phase shift in question was increased or decreased from its value  $\delta_0$  at  $\chi_0^2$ . In the few cases where this was not so there were multiple minima, usually two but not more than three. Of these multiple minima cases the worst one was such that the likelihood function for the second minimum was down by a factor  $\exp(-7/8)$  from  $L_0$ .

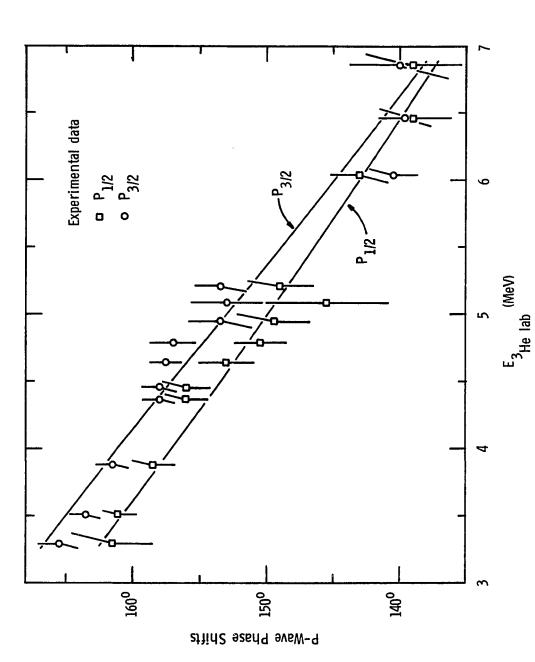
#### c. Discussion of the Phase Shifts

The results of the phase shift searches are given in Table 5 and are plotted in Figures 5-8. The s-wave phase shifts resemble hard sphere scattering for a radius of 2.8 fermis (1 fermi =  $10^{-13}$  cm) and are plotted in Figure 5. The data above 4.79 MeV do not specify the phase shifts as accurately as at lower energies, as evidenced by the larger error bars.

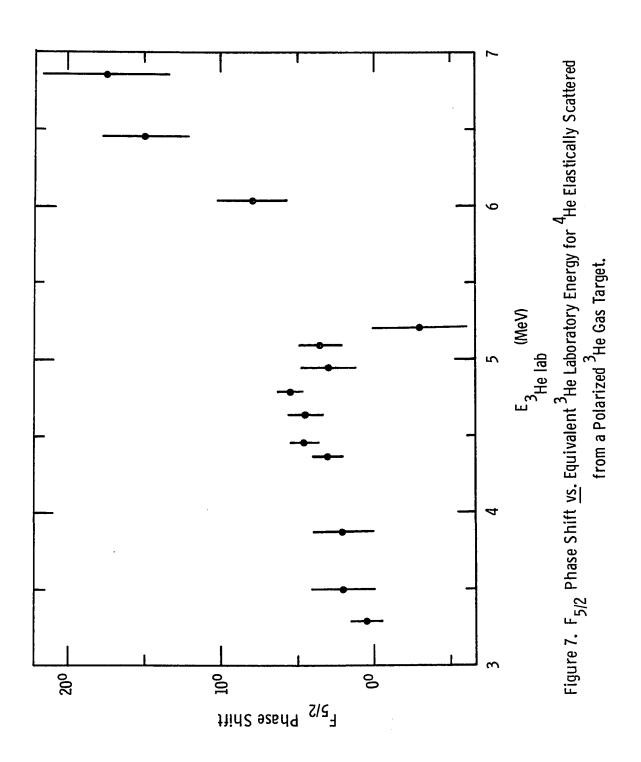
The p-wave phase shifts are shown in Figure 6. The splitting is the same as that reported in earlier studies, Barnard et al.  $^{15}$  and Spiger and Tombrello,  $^{16}$  namely  $p_{3/2} > p_{1/2}$ . The splitting up to 5.21 MeV is clear, but at higher energies the data again do not specify the phase shifts as well as at lower energies. Various starting phase shifts were used for the p-wave searches, including  $p_{1/2} > p_{3/2}$  and  $p_{1/2} < p_{3/2}$ . In all cases the search procedure resulted in the reported splitting. This is an improvement over the results of Barnard et al.  $^{15}$  who reported finding two sets of phase shifts, one with  $p_{1/2} > p_{3/2}$  and

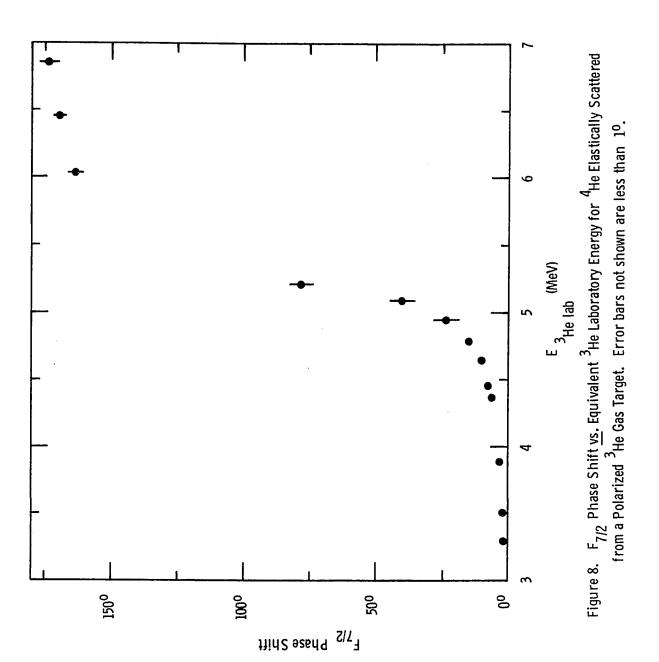
Dolomined Juc Con T	_(		ors ror he Ela	Elastically Scat	Scattered from a
rızea	arg	The equivalent	t 'He laboratory energy	in	MeV is given,
accurate to	to ±20 keV. The	The d-waves were so	set to zero. The	e errors show	shown do not in-
clude any co	any contribution from	m systematic e	ion from systematic errors discussed in Sections III. B.1.	in Sections	
III.B.2.e.	Phase shifts a	and errors are in degrees	in degrees.		
E3He lab	81/2	P <sub>1/2</sub>	P3/2	F5/2	F7/2
3.30	-22.8±1.8	161.7±3.0	165.4±1.4	0.4±0.9	2.0±0.9
3.51	-23.6 0.9	160.9 1.3	163.7 1.0	2.2 0.7	2.1 0.6
3.88	-27.4 0.9	158.5 1.6	161.7 1.2	1.8 0.8	3.0 0.6
4.37	-30.3 1.1	156.1 1.6	157.8 1.2	3.1 0.8	6.7 0.7
4.46	-30.6 1.1	155.8 1.8	157.8 1.3	4.6 0.8	7.3 0.8
4.64	-32.8 1.7	152.8 2.1	157.5 1.2	4.6 1.0	10.1 1.1
4.79	-33.8 1.1	150.7 1.9	156.9 1.6	5.3 0.8	14.8 1.1
4.95	-40.0 6.0	149.7 2.8	153.5 2.4	3.1 1.8	23.4 3.7
5.09	-48.8 7.1	145.5 4.7	153.2 2.7	3.5 1.3	40.2 4.3
5.21	-37.7 4.8	149.1 2.5	153.4 1.9	-2.8 3.1	77.9 4.0
6.04	-50.0 5.3	143.2 2.2	140.6 1.8	8.2 2.4	163.4 2.5
6.46	-44.8 5.2	138.9 2.9	139.6 2.0	15.1 2.8	169.4 1.8
98.9	-48.2 8.8	138.8 3.6	139.9 3.8	17.3 4.1	173.5 3.4





experimental data using the single level formula of Lane and Thomas which is given in Appendix D. Figure 6. P-Wave Phase Shifts  $\overline{\text{vs.}}$  Equivalent  $^3$ He Laboratory Energy for  $^4$ He Elastically Scattered from a Polarized  $^3$ He Gas Target. The smooth curves represent least squares fits to the





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one with  $p_{1/2} < p_{3/2}$ , both of which fit their cross section data about equally well.

When the program was allowed to vary the d-waves typical phase shifts of 0° to +2° were found. It was felt that this reason, along with the discussion in Section III.B.2.a, gave sufficient justification for holding the d-waves constant at zero.

The f-waves are plotted in Figures 7 and 8 and exhibit no unexpected behavior compared to published results. 15,16)

## d. Effect of Polarization Data on the Phase Shift Analysis

Because other authors have employed only cross section data in their phase shift analyses it seemed instructive to determine the effect of the use of polarization data  $P_{\gamma}$  on the phase shift searches. Figure 4 is a plot of the values of  $\chi^2$  for the  $p_{3/2}$  phase shift held fixed at the values = 4.64 MeV. The solid curve is the result of using both cross section and polarization data while the dashed curve is the result of using cross section data alone. The solid curve is smooth and has a single minimum, which was used in Section III.B.2.a to determine the phase shift error. In contrast to this, the dashed curve has several minima, two of which are of approximately the same magnitude. This gives a possible explanation for Barnard et al. 15) finding two sets of phase shifts which fit their cross section data. In a few of the phase shift searches the plot of  $\chi^2$  had a single minimum when only

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cross section data were used. For all such cases  $\chi^2$  varied very slowly as the phase shift increased or decreased from  $\delta_0$  with several very nearly equal values of  $\chi^2$  corresponding to different values of the phase shift. A slowly varying  $\chi^2$  would give an undesirably large value of the phase shift error.

The addition of polarization data to the phase shift analysis thus permits a more accurate determination of the phase shifts as well as assignment of a reasonable error. This was especially true at lower energies.

e. Effect of Systematic Cross Section and Polarization Data Errors on the Phase Shifts

The phase shift errors in Table 5 do not include any effect due to systematic errors in either the polarization data  $P_3$  or the cross section data. Since both types of data could contain systematic errors, the effect of systematic errors on the phase shifts was investigated.

The polarization data  $P_3$  could be in error by as much as ±15% due to uncertainty about the proper value of the parameter f, which are discussed in Section III.A. Multiplying  $P_3$  by 0.85 (corresponding to f = 0.5) and by 1.1 (corresponding to f = 0.9) and repeating the phase shift search procedure for both cases had a neglible effect on the phase shifts, usually a few tenths of a degree. The phase shift errors were not affected.

The cross section data reported by Spiger and Tombrello $^{16}$ ) are about 10% greater than those reported by

III.B.3

Barnard et al. 15) Multiplying the cross section data of Barnard by 1.1 and repeating the phase shift search procedure in the range for which their cross section data were used resulted in an average decrease of 3° in the s- and p-waves and an average increase of 1° in the f-waves. Again the phase shift errors were not affected.

#### 3. Nuclear Level Parameters

A single level parameterization of the s- and p-wave phase shifts was made using the R-matrix formalism of Lane and Thomas <sup>82)</sup> for the phase shifts and the formulas of Jackson and Blatt <sup>83)</sup> and Buck et al. <sup>84)</sup> for the Coulomb functions. The formulation and the computer program used to perform this analysis are described in Appendix D. For the s-wave the results are plotted as the solid curve in Figure 5 and represent hard sphere phase shifts for a radius of 2.8 fermis. The fits to the p-wave phase shifts are shown as the solid curves in Figure 6.

For both the s- and p-waves the fits to the experimental data are reasonably good. However, no reasonable fits to the f-wave phase shifts could be obtained using the single level formalism. It is quite possible that this failure of the single level formula could be remedied by including additional levels in the formalism.

Values of the nuclear level parameters and their errors have been determined for the  $^2\mathrm{P}_{1/2}$  and  $^2\mathrm{P}_{3/2}$  states in the  $^7\mathrm{Be}$  system. The method employed was similar to that of the phase shift analysis. The value of the reasonance

III.B.3.

energy  $E_R^{52}$  is presumed to be accurately known and was held constant. The remaining two parameters, the nuclear reaction radius r and the reduced width  $\gamma^2$  were uniformly varied over a large range. The technique was to vary  $\gamma^2$ by constant increments over a large range for a given r. Next r was incremented by a constant and the same  $\gamma^2$ -range repeated. The program was not required to fit the data as in the preceding paragraph, but rather to compute only values of  $\chi^2$  and  $\gamma^2/\gamma_0^2$  for each value of r and  $\gamma^2$ , where  $\gamma_0^2$  is the Wigner limit  $^{51}$  (=3 $h^2/2m_rr^2$ ) for r, and  $m_r$  is the reduced mass. For each value of r a minimum  $\chi^2$  was found during the  $\chi^2$  stepping process. From this set of minimum  $\chi^2$ -values and corresponding parameters r and  $\gamma^2/\gamma_0^{-2}$  , the acceptable values  $r_0$  and  $(\gamma^2/\gamma_0^2)_0$  were found. As in the case of the phase shifts, the acceptable values are defined as those corresponding to the smallest  $\chi^2$  of the set, namely  $\chi_0^2$ .

To assign errors to r and  $\gamma^2/\gamma_0^2$  a procedure very similar to that used for the phase shift errors was followed, Section III.B.2.a. The likelihood of the best fit  $r_0$  and  $(\gamma^2/\gamma_0^2)_0$  was taken as  $L_0$ , equation (1). The errors in r and  $\gamma^2/\gamma_0^2$  were defined as those values which reduced the likelihood function  $L_0$  to  $L_1$ , equation (2), corresponding to one standard deviation away from the best fit. With  $\chi_1^2 = \chi_0^2 + 1$  as in equation (3), a closed curve is thus defined in  $r - \gamma^2/\gamma_0^2$  space for constant  $\chi_1^2$ . Such a closed curve or contour is plotted in Figure 9 for the  $^2P_{1/2}$  state in  $^7Be$ . From this contour the two extreme values of r,  $r_H$  and  $r_L$ ,

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corresponding to the two ends of the constant  $\chi_1^{\ 2}$  contour were used to compute the error in r:

$$\mathbf{r}_{+} = |\mathbf{r}_{H} - \mathbf{r}_{0}|$$

$$r_{-} = |r_{0} - r_{L}|$$

Similar relations were used to compute  $(\gamma^2/\gamma_0^2)_{\pm}$  from  $(\gamma^2/\gamma_0^2)_{H}$  and  $(\gamma^2/\gamma_0^2)_{L}$ .

In Figure 9 the contour of constant  $\chi_2^2 = \chi_0^2 + 2$  is shown as the outer contour. This corresponds to the values of the level parameters two standard deviations away from the best fit. The dot inside the inner contour denotes the coordinates  $r_0$  and  $(\gamma^2/\gamma_0^2)_0$  at  $\chi_0^2$ .

In Figure 10 the  $\chi_1^2$  and  $\chi_2^2$  contours are plotted for the  $^2\mathrm{P}_{3/2}$  state in  $^7\mathrm{Be}$  and the dot denotes the coordinates at the best fit.

The errors in r and  $\gamma^2/\gamma_0^2$  assigned in the foregoing manner do not include any contribution from systematic errors discussed in Section III.B.2.e.

It should be pointed out that only the eight phase shifts up through 4.95 MeV were used to produce the contours shown in Figures 9 and 10. If all 13 phase shifts were used the value of  $\chi_0^2$  was no less than three times that for the eight lower energy phase shifts. This gave values of  $r \approx 4.5$  fm and  $\gamma^2/\gamma_0^2 \approx 0.1$ , the latter of which appears to be too small. As a point of information  $\chi_0^2(^2P_{1/2})$  was 8.90 and  $\chi_0^2(^2P_{3/2})$  was 4.11.

III.B.3.

Table 6. Nuclear Level Parameters for the  $^2P_{1/2}$  and  $^2P_{3/2}$  States in  $^7Be$ . The values of the resonance energy  $E_R$  and  $J^\pi$  are from reference 52). The errors shown for the radius r and ratio of reduced width to Wigner limit  $\gamma^2/\gamma_0^2$  do not include any contribution from the systematic errors discussed in Section III.B.2.e.

State	$\underline{\mathbf{J}^{\pi}}$	$\frac{E_{R}(MeV)}{}$	r(fm)	$\frac{\gamma^2/\gamma_0^2}{}$
<sup>2</sup> P <sub>1/2</sub>	1/2	-1.155	3.51 + 0.28 - 0.22	0.283 + 0.049 - 0.079
<sup>2</sup> P <sub>3/2</sub>	3/2	-1.587	3.22 + 0.23 - 0.27	0.295 + 0.048

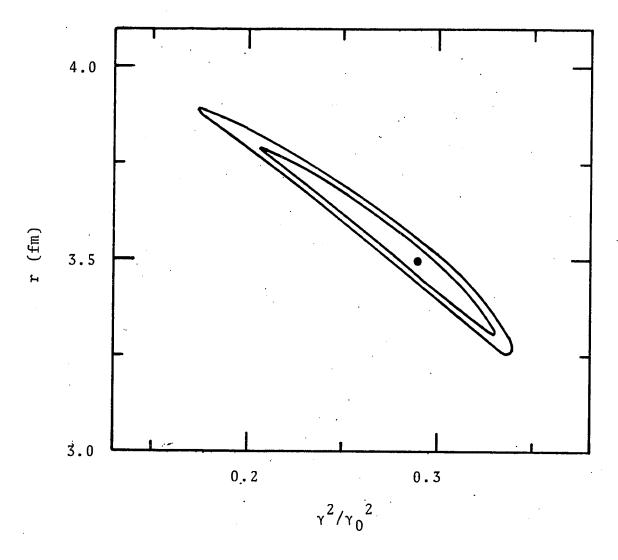


Figure 9. Contours of Constant  $\chi^2$  in Parameter Space Used to Determine Nuclear Level Parameters  $r_0$ ,  $(\gamma^2/\gamma_0^2)_0$ , and Errors for  $^2P_{1/2}$  State in  $^7Be$ . The inner and outer contours represent constant  $\chi^2$  corresponding to values of the level parameters one and two standard deviations, respectively, from the best fit at  $\chi_0^2$ . The dot denotes the coordinates  $r_0$  and  $(\gamma^2/\gamma_0^2)_0$  at the best fit.

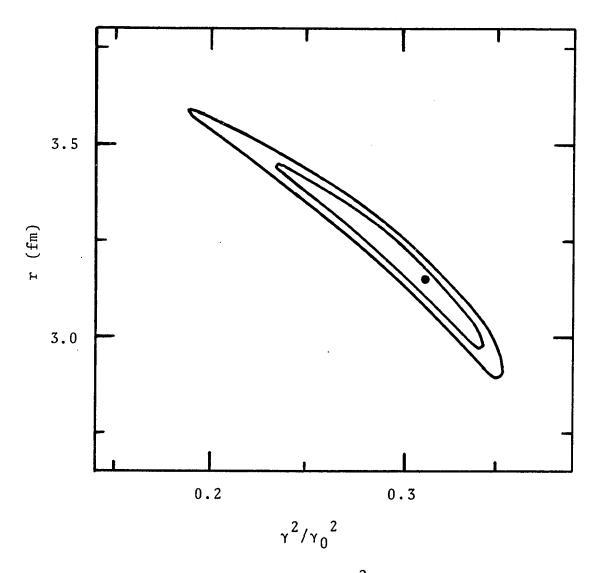


Figure 10. Contours of Constant  $\chi^2$  in Parameter Space Used to Determine Nuclear Level Parameters  $r_0$ ,  $(\gamma^2/\gamma_0^2)_0$ , and Errors for  $^2P_{3/2}$  State in  $^7Be$ . The inner and outer contours represent constant  $\chi^2$  corresponding to values of the level parameters one and two standard deviations, respectively, from the best fit at  $\chi_0^2$ . The dot denotes the coordinates  $r_0$  and  $(\gamma^2/\gamma_0^2)_0$  at the best fit.

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Table 6 gives the values of  $r_0$  and  $(\gamma^2/\gamma_0^2)_0$  and their errors. The values of  $E_R$  are the excitation energies of the two lowest lying states in  $^7\text{Be}$  (3/2 and 1/2) and are referenced to the  $^3\text{He-}^4\text{He}$  threshold as zero.  $^{52}$ )

- C. Scattering of <sup>3</sup>He from a Polarized <sup>3</sup>He Gas Target
  - 1. <sup>3</sup>He-<sup>3</sup>He Elastic Scattering

The experimental asymmetry A and reaction analyzing power  $P_3$  have been measured for  $^3\text{He}$  elastically scattered from a polarized  $^3\text{He}$  gas target at  $\theta_{\text{cm}}$  = 60.0° for 12 bombarding energies between 4.33 and 9.83 MeV. Table 7 gives A and  $P_3$  and in Figure 11 the values of  $P_3$  and  $\Delta P_3$  are plotted as a function of  $^3\text{He}$  bombarding energy. The error  $\Delta P_3$  does not include any effect due to systematic error in the target polarization discussed in Section III.A.

 $P_3$  is small, of the order of -2% to +2%, except for two points at 8.77 and 9.83 MeV where it is about -8%. Sufficient data were taken at each energy to produce at least two values of  $P_3$  and from these a weighted average was computed as explained in Appendix B.

There is reason to doubt the values of  $P_3$  at 9.79 and 9.83 MeV (Table 7 and Figure 11) because they are of the opposite sign. This in turn leads one to question the value of  $P_3$  at 8.77 MeV because the values on each side of this are much smaller, though of the same sign.

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The instrumental asymmetry  $A_0$  was calculated at each energy and was found to be no larger than 0.4% which is considered good. As can be seen from Table 7 the data on the two runs were taken at alternating energy values and tend to agree well except at the two points previously noted.

No background correction was made because the scattered particle peaks were well defined and background events were no more than 1.5% of the total events under a given peak. The correction for 1.5% background causes a negligible change in A and  $P_3$  compared to the statistical errors.

### 2. <sup>3</sup>He-<sup>3</sup>He Inelastic Scattering

The experimental asymmetry A and reaction analyzing power  $P_3$  have been measured for the breakup protons produced by the inelastic reaction  ${}^3\bar{H}e({}^3He,p){}^5Li$  (ground state) at  ${}^61ab$  = 30.0°. These results are given in Table 8 and  $P_3$  with error bars  $\Delta P_3$  is plotted as a function of  ${}^3He$  bombarding energy in Figure 12. The error  $\Delta P_3$  does not include any effect due to systematic error in the target polarization discussed in Section III.A.

The Q-value for this reaction is 10.89 MeV and as a result the maximum proton energy for the experiment was about 17.8 MeV. In order to register the ejected protons on the pulse height analyzer it was necessary to degrade their energy by placing a 0.013 in. aluminum plate in front of each detector. In addition to moving the peak to a lower energy this had the effect of causing a large spread in energy for the detected protons.

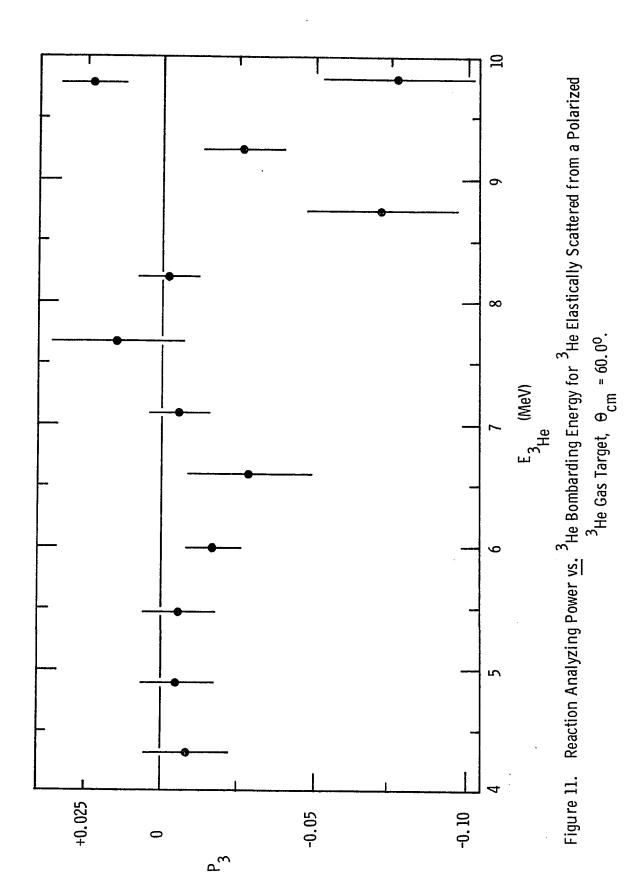
III.C.2.

Tables 7 and 8. Experimental Asymmetry A and Reaction Analyzing Power  $P_3$  for  $^3$ He Scattered from a Polarized  $^3$ He Gas Target. The sequence numbers allow correlation of A and  $P_3$  with the target polarization p in Tables 2 and 3. The  $^3$ He bombarding energy in MeV is shown, accurate to  $\pm 20$  keV. Where several data exist at a single energy point a weighted average (denoted by < >) is computed for A, the statistical error  $\Delta A$ ,  $P_3$  and  $\Delta P_3$  as explained in Appendix B.  $\Delta P_3$  does not include any systematic error due to uncertainty in f (Section III.A.). Each table is identified by its run number, the center-of-mass or laboratory angle, and the nuclear reaction being carried out during the run.

<sup>3</sup> Н́е( <sup>3</sup> Не, <sup>3</sup> Не) <sup>3</sup> Не, 2.	<4AP3>	31 16 60 88	52 00 44 54	0.0092 03 94 97 59	997	220	578 597 541 555	0.0140
nd 3, Table	^ I	0.05 0.02 0.02 0.01	0.02 0.03 0.03 0.01	65 0.03 0.01 0.01	4 0.0 0.0	000	0.03	63
Runs 1 given i	< P 3	10 36 55 58	15 05 21 00	-0.016 88 80 08 24			330 336 18 76	-0.0263
ng Power data ar	P <sub>3</sub>	0.13 -0.01 0.01 -0.02	-0.00 -0.03 0.00	0.00	2 -0.06 0.04 0.01	-0.01 0.02 -0.01 2	-0.10 -0.05 -0.06 -0.06 -0.04	91
tion Analyzing polarization da	Run 1	9 4 6 2 []	1283	0.0013 9 5 4			4 % 4 F1 0 5	
and Reaction g target polar	ΔA	0.006 0.002 0.002 0.002	0.003 0.003 0.002 0.002	0.003 0.002 0.002	000	0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.003	
al Asymmetry corresponding	< <del>A</del> >	6	•	-0.0024	-0.000	-0.0001	•	-0.003
riment The	ΚI	0.0164 -0.0015 0.0017 -0.0030	-0.0002 -0.0038 0.0003 -0.0055	0.0011 0.0010 0.0001	0.007 0.004 0.001	.001	-0.0120 -0.0063 -0.0069 0.0053 -0.0047	
7. = 60	L Energy	1 4.89 6 7 8	2 6.01 3 1 2	7.11 3 4	5 8.21 6 0		7 9.25 9 0.1 11 8	,
ble ecm	Seq	ਜਿੰਜਜੰ	HH	HHH	1 <del>-</del>	H 72 73	0.00	

	< \DP 3 >		0.0113	,	1 4	0.0123	•	20.0	.024
	$\frac{\Delta P_3}{2}$	0.0303 0.0382 0.0337 0.0301 0.0275 0.0264		0.0257 0.0249 0.0258	0.0176 0.0234 0.0259	0.0277	0.0296	0.0377	0.0356 0.0345
	< P <sub>3</sub> >		0.0240			-0.0055		.07	0.077
	P <sub>3</sub>	0.0583 0.0754 0.1193 -0.0359 0.0195 -0.0102		0.0069 0.0296 -0.0651	0.0275 -0.0265 -0.0514	-0.0058	0.0468	-0.0785	-0.2034 0.0416
	$\frac{\langle \Delta A \rangle}{\ln 1 \text{ (Cont.)}}$		0.0013 Run 3		TOO	0.0018		0.0026	0.0028
	AA Run	0.0040 0.0042 0.0037 0.0033 0.0033		0.0030 0.0029 0.0032	0.0029 0.0032 0.0032	0.0036	0.0036	0.0037	0.0039
	<a></a>		0.0031	6	0 0 •	-0.0013	0.0015	.007	9600.0-
ned).	ΑI	0.0077 0.0083 0.0132 -0.0045 0.0023 -0.0013		0.0008 0.0034 -0.0081	0.0045 -0.0036 -0.0065	-0.0007	0.0058	-0.0078	-0.0232 0.0049
(Continued)	Energy	9.79		4.33	5.48	6.59	7.67	8.77	9.83
Table 7	Seq	22 23 24 25 29 30 31		4 5 9	H 77 K	8 7	10	11 12	13 14

He,p) <sup>S</sup> Li (ground en in Table 3.	< 4P <sub>3</sub> >		0.0891			•	0.0329				0 1908	
	$\Delta P_3$	0.1596	0.0787	0.0864 0.0764	0.0806	0.0817	_	0.2396				
ın 4, <sup>3</sup> He(	a are given	< P <sub>3</sub> >		0.1876				0.0199				-0.2321
symmetry and Reaction Analyzing Power, Run 4, ${}^{3}$ He( ${}^{3}$ He,p) ${}^{3}$ Li (ground The corresponding target polarization data are given in Table 3.	$\frac{P_3}{2}$	0.4576	-0.1133	-0.2247 0.0560	-0.0508	0.315/	0.0106	-0.0376	-0.4405	-0.0666		
	< <u>AA&gt;</u>	t c c	0.0087				0.0034				0.0207	
	<u>AA</u>	0.0162	0.0095	0.0089	0.0079	0.0081	0 0 0 0	0.0264	0.0305	0.0282		
symmetry an	The corresp	< <del>A</del> >		0.01/8				0.0029				-0.0256
Experimental Asymmetry	30.00.	ΑI	$0.0481 \\ 0.0055$	-0.0136	0.0058	-0.0050	0.0117	0.000	-0.0041	-0.0470	-0.0074	
	), <sup>6</sup> lab =	Energy	90.9	7.16				7, 28	7.79	8.23	•	
able 8	state),	Seq.	1	۰ /	o Oi ;		12	6	1 10	4	ഹ	



III.C.2.

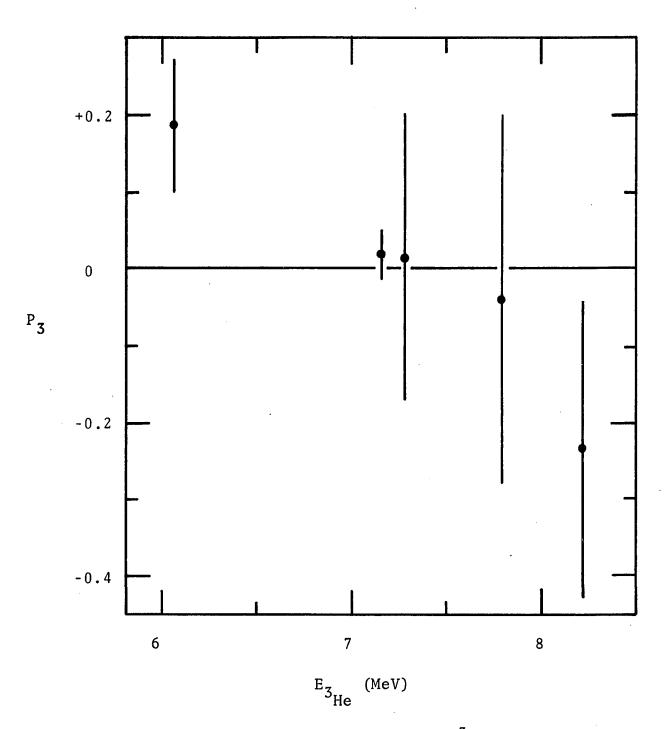


Figure 12. Reaction Analyzing Power vs.  ${}^{3}$ He Bombarding Energy for Breakup Protons Produced by  ${}^{3}$ He  ${}^{3}$ He,p) ${}^{5}$ Li (ground state) at  ${}^{6}$ lab = 30.0°.

III.C.2.

The results of this experiment are inconclusive for a number of reasons. There are only five values of  $P_3$  and with one exception these all have large errors, making it difficult to discern a definite trend. The background was large, with a maximum value of 25-30% of the total events under some peaks. By adjusting the number of counts under each peak to reduce the effect of background it was possible to get almost any desired value for  $P_3$  from the data. Also the instrumental asymmetry  $A_0$  was as high as 3.5%. The use of the aluminum plate to reduce the proton energy moved the peak down the energy scale to a point where background was high. This difficulty could be eliminated by using a pulse height analyzer capable of registering the higher energy particles.

### IV. CONCLUSIONS AND SUGGESTIONS FOR FUTURE WORK

The inclusion of polarization data in the phase shift analysis of  ${}^{3}\text{He}-{}^{4}\text{He}$  elastic scattering data permits a more accurate determination of the elastic scattering phase shifts than that resulting from the use of cross section data alone. It also allows reasonable errors to be assigned to the phase shifts. This was especially true at lower bombarding energies where the phase shift errors were of the order of 1°. At higher energies (E $_{3}$  > 4.95 MeV) the data did not specify the phase shifts as accurately as at lower energies, resulting in larger errors in the phase shifts.

The s-wave phase shifts can be described by hard sphere scattering for a radius of 2.8 fm. A single level parameterization of the p-wave phase shifts gives acceptable fits to the experimental data. It also produces reasonable values of the nuclear reaction radius and ratio of reduced width to Wigner limit for the  $^2P_{1/2}$  and  $^2P_{3/2}$  states in  $^7Be$ . The d-wave phase shifts were set to zero because of the absence of known d-states in the  $^7Be$  system and the fact that even at higher energies these are zero. The f-wave phase shifts exhibit no unexpected behavior compared to published results.

The results of this experiment suggest further experimental and theoretical work. Due to the small amount of data which exists from <sup>3</sup>He and <sup>4</sup>He scattering using a polarized <sup>3</sup>He target, future experimental work could provide both elastic and inelastic scattering data at a number of angles and bombarding energies. More data would perhaps

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better define the  $^3$ He- $^4$ He elastic scattering phase shifts for E $_3$  > 4.95 MeV. More data could also provide experimental vertification of polarization contour maps such as Figure 3. These data could be easily obtained if a target cell with large angle windows such as is discussed in Appendix E could be perfected. Because the present data do not clearly define  $P_3$  for the protons produced by  $^3$ He $^3$ He,p) $^5$ Li (ground state) this part of the experiment should be repeated and extended using a better pulse height analyzer to eliminate the problem of high background.

In the data analysis the failure of the single level formalism to fit the f-wave phase shifts needs further work. The next step is to try a two level formula. If a reasonable fit could be accomplished then level parameters for the  ${}^2F_{5/2}$  and  ${}^2F_{7/2}$  states in  ${}^7Be$  could be determined with confidence.

No attempt was made to apply the theory of the resonating group calculations reported in the literature for  ${}^{3}\text{He-}{}^{3}\text{He}$  and  ${}^{3}\text{He-}{}^{4}\text{He}$  elastic scattering.  ${}^{85-87}$ ) The published results which utilized the resonating group method attempted to fit only cross section data using the elastic exit channel and it is not clear whether this method has any provision for fitting polarization data also. Further investigation of this method to determine its applicability, if any, to the current work is warranted.

# APPENDIX A. OPTICAL MEASUREMENT OF TARGET POLARIZATION

#### 1. Method

Colegrove, Schearer, and Walters  $^{8)}$  have developed a method for optically measuring target polarization. Their method consists of an optical measurement of the metastable polarization, and because of the tight coupling between the metastable and ground state systems, this is equivalent to a measurement of the ground state polarization. The  $2^{3}S_{1}$  -  $2^{3}P_{0}$  puming light absorbed by the metastables for  $\Delta m = +1$  and ground state polarization p is  $^{65)}$ 

$$I(p) = n_1 af(1-\rho) + n_2 bf(1-\rho) + n_3 bf\rho + n_4 af\rho$$
$$+ n_5 c(1-f)(1-\rho) + n_6 c\rho(1-f).$$

In this equation the various factors are:

steady state solutions for n; magnetic sublevel populations. See Figure 1 and reference 8).  $n_1 = n_{-3/2} = n(1-p)^3/(6+2p^2)$  $n_2 = n_5 = n_{-1/2} = n(1+p)(1-p)^2/(6+2p^2)$  $n_3 = n_6 = n_{+1/2} = n(1+p)^2(1-p)/(6+2p^2)$  $n_4 = n_{+3/2} = n(1+p)^3/(6+2p^2)$ metastable density n target polarization p relative electric dipole transition a,b,c probabilities between  $2^{3}S_{1}$  -  $2^{3}P_{0}$ magnetic sublevels

A.1. A2

f ratio of pumping light intensity producing  $m_F = 3/2$  to  $m_F = 1/2$  transitions to total pumping light intensity

ρ fraction of circularly polarized pumping light in wrong sense.

Using the values of the magnetic sublevel populations, I(p) can easily be put in the form

$$I(p) = \frac{n}{6+2p^2} \left\{ af[(1-p)^3 + 2p\rho(3+p)^2] + (1-p^2)[bf + c(1-f)][p(2\rho-1) + 1] \right\}. \tag{A1}$$

In the work of Colegrove, Schearer, and Walters<sup>8)</sup> and that of Greenhow<sup>63)</sup> the formulas used to compute I(p) are idealized cases in which complete knowledge of the transition probabilities and of the pumping light spectrum was assumed. The pumping light was also taken to be completely circularly polarized and parallel to the polarization axis. Equation (A1) is a modified form of that reported in references 8 and 63) to allow for uncertainties in transition probabilities, pumping light spectrum, and pumping light polarization.<sup>65)</sup> It is still assumed that the pumping light is parallel to the polarization axis.

In order to determine p optically the quantity

$$\frac{\delta I(p)}{I(p)} = \frac{I(p) - I(-p)}{I(p)} \tag{A2}$$

A.1. A3

was measured, thus eliminating the unknown metastable density n. (See Figure 13.) This is the same method as that of Colegrove, et al.,  $^{8}$ ) except that the target polarization is reversed instead of destroyed in the measurement process. I(p) was measured by turning the weak electric field (which creates metastable  $^{3}$ He atoms) off and noting the change in transmitted light, since there is no absorption when there are no metastable  $^{3}$ He atoms. The metastable relaxation time ( $^{2}\times10^{-4}$  sec) is short compared to the time required to measure I(p) ( $^{1}$ 0 sec).  $^{3}$ I(p) was measured by monitoring the change in transmitted light as the nuclear polarization was adiabatically reversed, following reversal of the magnetic field direction.

Physically these measurements were accomplished in the following manner. The weak electric field was switched off and on twice, providing four readings. This was necessary to minimize statistical errors, since individual signals fluctuated. This gave  $I(p) = I_{b_j}$ , j = 1,2,3,4, in Figure 14. Next the magnetic field was twice reversed and restored to its original direction, giving  $\delta I(p) = \delta I_j$ . Finally the  $I_{a_j}$  were determined in the same manner as the  $I_{b_j}$ .

It was noted that polarization decreased during the measurement of  $\delta I(p)/I(p)$  in a manner somewhat proportional to the number of times  $\delta I(p)$  was measured. McSherry <sup>88</sup> discusses this and gives three possible causes of polarization loss, namely depolarization when the <sup>3</sup>He spins are oriented in one direction and the <sup>4</sup>He light is pumping in the

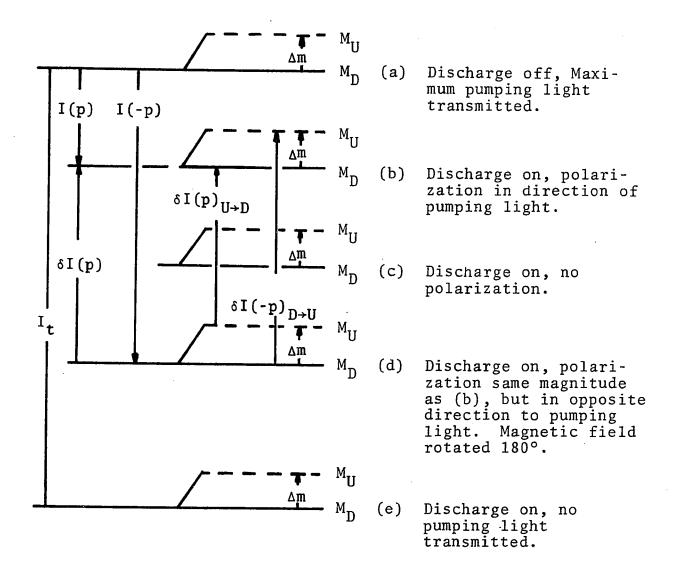


Figure 13. Optical Pumping Light Intensity Level Diagram and Interpretation of Optical Signals Observed During Measurement of Target Polarization.  $I_{t}$  is the maximum absorbed pumping light;  $M_{U}$  and  $M_{D}$  refer to magnetic field directions up or down. The other symbols are defined in the text. (Adapted from reference 88.)

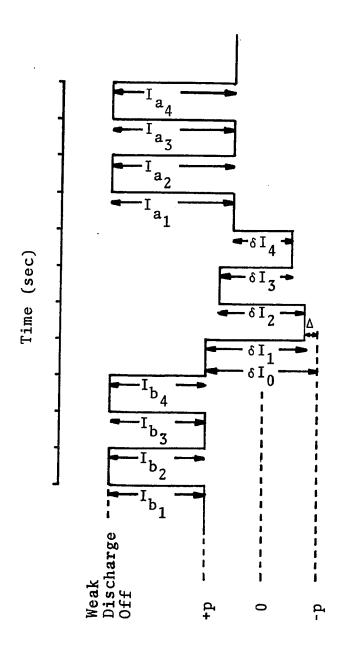


Figure 14. Idealized Optical Pumping Signals With No Repumping Used to Compute Target Polarization p. The polarization loss  $\Delta$  during the measurement process is shown. See Figure 13. (Adapted from reference 88.)

A.1. A6

opposite direction, significant increase in magnetic field gradient relaxation during rotation of the magnetic field, and nonadiabatic rotation of the magnetic field. Of these gradient relaxation is thought to be the most serious, and the only one for which corrections are made. The method is as follows.

If a loss of polarization  $\Delta$  occurs during each of the four measurements of  $\delta I(p)$  the average  $<\delta I>$  will be

$$\langle \delta I \rangle = \delta I_0 - 4\Delta$$
 (A3)

where  $\delta I_0$  is the true absorption signal during the measuring process. The loss in polarization can also be written

$$4\Delta = \langle I_a \rangle - \langle I_b \rangle$$

which can be combined with equation (A3) to yield

$$\frac{\delta I_0}{\langle I_b \rangle} = \frac{\langle \delta I \rangle + \langle I_a \rangle - \langle I_b \rangle}{\langle I_b \rangle} = \langle \frac{\delta I}{I} \rangle. \tag{A4}$$

$$\langle I_b \rangle_i = \frac{1}{4} \sum_{j=1}^{4} I_{b_j}$$

$$\langle I_a \rangle_i = \frac{1}{4} \sum_{j=1}^{4} I_{a_j}$$

$$\langle \delta I \rangle_i = \frac{1}{4} \sum_{j=1}^{4} \delta I_j.$$

The average ratio, equation (A4), for each combination is

$$\langle \frac{\delta \mathbf{I}}{\mathbf{I}} \rangle_{\mathbf{i}} = \frac{\langle \delta \mathbf{I} \rangle_{\mathbf{i}} + \langle \mathbf{I}_{\mathbf{a}} \rangle_{\mathbf{i}} - \langle \mathbf{I}_{\mathbf{b}} \rangle_{\mathbf{i}}}{\langle \mathbf{I}_{\mathbf{b}} \rangle_{\mathbf{i}}} \equiv \mathbf{T}_{\mathbf{i}}$$
 (A4a)

and the average for all four combinations is

$$\langle \frac{\delta I}{I} \rangle = \frac{1}{4} \sum_{i=1}^{4} \langle \frac{\delta I}{I} \rangle_{i}$$
 (A5)

As a check on the accuracy of the data  $I_b$  and  $I_a$ , the best estimates of the standard deviations for these quantities were calculated,  $\sigma_b$  and  $\sigma_a$ , respectively. These were found to be typically 1-2% except near the end of the useful life of the target cell at which time they could be as high as 15%. This was due to the fact that the polarization was small and readings from such data as Figure 14 were noisy and small in magnitude.

The error in  $\langle \delta I/I \rangle_i$  is given by

$$(\Delta < \frac{\delta I}{I})^{2} = (\Delta T_{i})^{2} = (\frac{\partial T_{i}}{\partial < \delta I >_{i}} \Delta < \delta I >_{i})^{2}$$

$$+ (\frac{\partial T_{i}}{\partial < I_{a} >_{i}} \Delta < I_{a} >_{i})^{2} + (\frac{\partial T_{i}}{\partial < I_{b} >_{i}} \Delta < I_{b} >_{i})^{2}$$

$$\Delta < \frac{\delta I}{I} >_{i} = \left( \frac{\varepsilon^{2} + \sigma_{a_{i}}^{2}}{\langle I_{h} \rangle_{i}^{2}} + \frac{(\langle \delta I \rangle_{i}^{2} + \langle I_{a} \rangle_{i}^{2}) \sigma_{b_{i}}^{2}}{\langle I_{h} \rangle^{4}} \right)^{\frac{1}{2}}$$
(A6)

where  $\Delta < \delta I >_i$  has been taken as a constant  $\epsilon$ , and  $\Delta < I_b >_i$  and  $\Delta < I_a >_i$  have been replaced by their respective standard deviations,  $\sigma_b$  and  $\sigma_a$ . The constant error  $\epsilon$  in reading the  $\delta I_j$  from the chart recorder was taken as 0.2 mm; the smallest division on the chart recorder paper was 1.0 mm. The error in the ratio  $< \delta I / I >_i$ , equation (A5) is found using equation (A6).

$$\Delta < \frac{\delta I}{I} > = \frac{1}{4} \sum_{i=1}^{4} \Delta < \frac{\delta I}{I} >_{i}$$
(A7)

The best estimate of the standard deviation of the  $<\delta I/I>_i$  was also calculated and found to be of the order of 10% except near the end of the cell life where it was as high as 25% for the reasons previously given. A standard deviation of 10% is not unexpected since the magnetic field had a significant effect on the  $^4$ He lamp intensity.

Figure 14 is an idealized trace of the optical signals measured showing the polarization loss  $\Delta$  and no repumping. In practice it was found that the I were larger than the I similar to Figure 14, though there was fluctuation in individual readings. Figure 13 can be interpreted as a schematic interpretation of the light absorption signals shown in Figure 14. The various parameters used in relating pumping light absorbed to the polarization are shown.

A.2. A9

The shifts in light intensity level due to the external magnetic field effect are labeled  $\mathbf{M}_{U}$  and  $\mathbf{M}_{D}$ 

## 2. Calculation of p from $<\delta I/I>$

It would be difficult to invert equation (A1) to find p as a function of I(p). A simple and more direct approach was developed by noting that on a plot of p vs.  $\delta I/I$  (which can be easily generated by equations (A1) and (A2)) the curve has a slight convex curvature  $^{71}$ ) and can be approximated by a straight line in several regions. By restricting consideration to the region of  $8\% \le p \le 15\%$ , equation (A8) was found to hold

$$p = \frac{\langle \frac{\delta I}{I} \rangle - W}{S} \tag{A8}$$

where S is the slope of the p vs.  $\delta I/I$  curve and W is the  $<\delta I/I>$  intercept for the region under consideration. To find the polarization corresponding to a given  $<\delta I/I>$  measurement, p was computed from equation (A8) and reduced by a constant  $(5\times 10^{-4})$ . This value of p was then used in equation (A2) to give a value of  $\delta I(p)/I(p)$ . By using a reduced p, the calculated value of  $\delta I(p)/I(p)$  was initially less than the measured  $<\delta I/I>$ . Then p was incremented by  $5\times 10^{-4}$  and the procedure repeated until the calculated and measured values of  $\delta I/I$  agreed to within  $5\times 10^{-4}$ . The value of p which produced this agreement was then taken as the correct value of the optically measured target polarization p.

A.3. A10

In order to determine an error in p, two additional computations are required. From equations (A5) and (A7) two values of the ratio  $<\delta I/I>$  were calculated

$$\langle \frac{\delta I}{I} \rangle_{\pm} = \langle \frac{\delta I}{I} \rangle_{\pm} \Delta \langle \frac{\delta I}{I} \rangle$$

and from these the two values of polarization  $p_+$  and  $p_-$  were computed as described in the preceding paragraph. The error  $\Delta p$  is then

$$\Delta p = \frac{1}{2}(p_{+} - p_{-}).$$

3. Discussion of Optical Pumping Parameters

Colegrove et al.  $^{8)}$  have calculated the electric dipole transition probabilities a, b, c, assuming a 10% mixture of the  $2^{3}P_{1}$  wave function in the  $2^{3}P_{0}$  wave function. These values were used in calculating p from the optical signals. Reference 57) gives a more complete listing of these transition probabilities.

The factor f was assigned the value 0.7 in the current work. This value of f could result in a ±15% error in p as discussed in Section III.A. The effect of such systematic errors in the data was covered in Section III.B.2.e.

Calculations have been performed to determine the value of  $\rho$ , <sup>88)</sup> based on data supplied by the manufacturer of the linear polariod <sup>69)</sup> used in construction of the circular polarizer. McSherry <sup>88)</sup> reported using  $\rho$  = 0.02±0.02 and that this uncertainty in  $\rho$  gave errors in target polarization

A11

no larger than those due to other sources, i.e., less than 2%. In this experiment  $\rho$  = 0.0075 was used.

Tables 9 and 10 summarize the constants used in calculating the target polarization p.

Table 9. Relative Electric Dipole Transition Probabilities Between Magnetic Sublevels  $2^3S_1 - 2^3P_0$  in  $^3He$ . The only Transition Probabilities shown are those for which  $\Delta m = \pm 1$ , representing transitions to the  $2^3P_0$  sublevel. (From reference 8.)

Symbol	<u>F</u>	Magnetic S Initial	Sublevel Final	<u>Δm</u>	Transition Probability
а	3/2	±3/2	±1/2	<b>∓1</b>	0.28
Ъ	3/2	±1/2	∓1/2	<b>∓1</b>	0.10
С	1/2	±1/2	<b>∓</b> 1/2	<b>∓1</b>	0.30

Table 10. Constants Used in Computing Target Polarization from Light Absorption Signals  $<\delta I/I>$ .

Constant	Value
a	0.28
Ъ	0.10
С	0.30
f	0.70
S	6.37
W	-0.09
ρ	0.0075

#### APPENDIX B. EXPERIMENTAL ASYMMETRIES

#### 1. General Information\*

A nucleus with nuclear spin I can assume any one of (2I+1) possible orientations with respect to some quantization axis, such as that provided by an externally supplied magnetic field. For  ${}^3\text{He}$  nuclei (I= ${}^1\!\!_2$ ) there are only two directions, parallel or antiparallel to the quantization axis. The polarization P along this axis is defined by

$$P = \frac{N_L - N_R}{N_L + N_R}$$
 (B1)

where  $N_L$  is the number of particles with spin parallel to the quantization axis and  $N_R$  is the number with spins antiparallel to the axis. The positive direction of the quantization axis, as defined by the Basel Convention<sup>4)</sup> is

$$\hat{P} = \hat{k}_i \times \hat{k}_0 \tag{B2}$$

where  $\hat{k}_i$  and  $\hat{k}_o$  are unit vectors in the directions of the incident and scattered beams, respectively. Figure 15 shows relation (B2). For an unoriented beam and target experiment,

<sup>\*</sup>Note. Left (L) and right (R) instead of the usual notation (up and down) is employed to be consistent with equations used later in this Appendix. The only requirement is that the quantization axis, and hence the target polarization direction, be perpendicular to the scattering plane. In this experiment the quantization axis was horizontal and perpendicular to the beam direction and the scattering plane was vertical. The two are equivalent, allowing use of the familiar left-right scattering terminology.

double scattering would be necessary in order to determine  $\hat{P}$ ; the first scattering polarizes the outgoing particles and the second scattering measures the polarization.

The experimental disadvantages of double scattering can be avoided by the use of a polarized beam or target to measure the cross section asymmetries, which define the reaction analyzing power A:

$$A = \frac{\sigma_L - \sigma_R}{\sigma_L + \sigma_R}.$$
 (B3)

Here  $\sigma_L$  is the cross section for scattering of particles with polarization parallel to a given quantization axis and  $\sigma_R$  is the cross section for scattering of particles with polarization antiparallel to this axis.

Wolfenstein<sup>89)</sup> has shown that the polarizing power is equal to the analyzing power for elastic scattering if time reversal invariance holds. Comparison of equations (B1) and (B3) shows that the polarization produced in an unpolarized beam-unpolarized target experiment is equal to the cross section asymmetry or analyzing power of a polarized target-unpolarized beam experiment or a polarized beam-unpolarized target experiment.

The quantization axis of the polarized  $^3{\rm He}$  target is defined a weak external magnetic field. The up-down directions of the magnetic field are shown in Figure 15 and are consistent with the Basel Convention for  $\hat{P}$ .

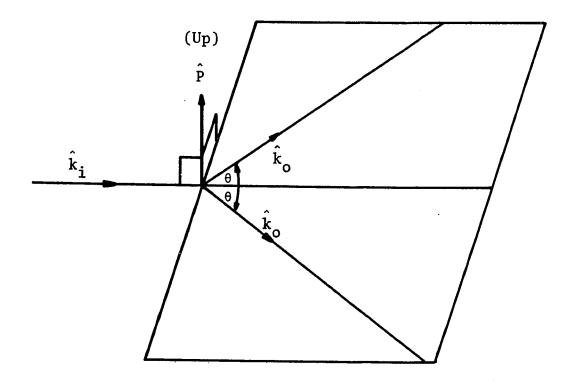


Figure 15. Scattering Geometry for Polarized Target Experiments.

# 2. Calculation of Experimental Asymmetry A

The direction of the magnetic field had a noticeable influence on the level of the optical pumping light. In order to accurately determine the reaction analyzing power, measurements were needed for all four combinations of magnetic field direction and target polarization direction.

Use of all four combinations also allows one to assume that the scattering is dependent on only four different parameters which are defined in the next paragraph. Since two detectors were used, located at ±0 on opposite sides of the beam axis, there are eight numbers or sets of counts for each energy.

The number of counts N registered by each detector is assumed to depend on four parameters, the beam integration I, the effective solid angle  $\Omega$ , any unspecified magnetic field effects M (such as a shift in particle trajectories in the magnetic field), and the cross section  $\sigma(\theta)$ :

$$N = I \Omega M_{\sigma}(\theta). \tag{B4}$$

Left and right scattering will occur because of the use of a polarized target. It is assumed that the left and right differential scattering cross section  $\sigma_L(\theta)$  and  $\sigma_R(\theta)$ , respectively, for a polarized target-unpolarized beam experiment can be related to the differential cross section  $\sigma(\theta)$  for an unpolarized beam-unpolarized target experiment by equation (B5).

$$\sigma(\theta) = \frac{1}{2} [\sigma_{I}(\theta) + \sigma_{R}(\theta)]$$
 (B5)

From equations (B3) and (B5),  $\sigma_L(\theta)$  and  $\sigma_R(\theta)$  can be easily determined

$$\sigma_{L}(\theta) = \sigma(\theta)(1 \pm A) = \sigma(\theta)(1 \pm P)$$
(B6)

where use was made of the fact that polarizing power is equal to analyzing power in this experiment. P can be expressed in terms of the target polarization p and the reaction analyzing power  $P_3$  for 100% target polarization,

$$P = pP_3$$
.

Thus

$$\sigma_{L}(\theta) = \sigma(\theta)(1 + pP_{3})$$
 (B6a)

$$\sigma_{R}(\theta) = \sigma(\theta)(1 - pP_{3})$$
 (B6b)

and the numbers of left- and right-scattered particles are, respectively,

$$N_{L} = I\Omega_{L}M_{L}\sigma_{L}(\theta) = I\Omega_{L}M_{L}\sigma(\theta)(1 + pP_{3})$$
 (B4a)

$$N_{R} = I\Omega_{R}M_{R}\sigma_{R}(\theta) = I\Omega_{R}M_{R}\sigma(\theta)(1 - pP_{3})$$
 (B4b)

for a given combination of magnetic field direction and target polarization direction. Table 11 gives the form for all eight N's.

In order to perform calculations using the data,  $p^{(i)}$  will be expressed in terms of an average target polarization p:

Table 11. Numbers of Scattered Particles as a Function of Magnetic Field Direction  $\hat{M}$ , Target Polarization Direction  $\hat{P}_3$ , and Scattering Angle Left or Right. The subscripts on I and the superscripts on p refer to the particular combination of  $\hat{M}$  and  $\hat{P}_3$ . The subscripts L or R on  $\Omega$  and M and the superscript L or R on  $P_3$  refer to physical directions which remained constant throughout the experiment. The remaining subscript on M, U or D, denotes magnetic field direction. Note that  $N_1$ ,  $N_4$ ,  $N_5$ , and  $N_8$  correspond to left scattering (Basel Convention), but that only  $N_1$  and  $N_5$  represent left scattering into the detector located on the physical left of the apparatus. See Figure 15.

	Scattering Angle	$\hat{\underline{M}}$	$\frac{\hat{P}_3}{}$
$N_1 = I_1 \Omega_L M_{LU} \sigma(\theta) (1 + p^{(1)} P_3^L)$	Left	I I m	I I m
$N_2 = I_1 \Omega_R M_{RU} \sigma(\theta) (1 - p^{(1)} P_3^R)$	Right	Uр	Up
$N_3 = I_2 \Omega_L M_{LU} \sigma(\theta) (1 - p^{(2)} P_3^L)$	Left	Uр	Down
$N_4 = I_2 \Omega_R M_{RU} \sigma(\theta) (1 + p^{(2)} P_3^R)$	Right	Оp	DOWII
$N_5 = I_3 \Omega_L M_{LD} \sigma(\theta) (1 + p^{(3)} P_3^L)$	Left	Down	Uр
$N_6 = I_3 \Omega_R M_{RD} \sigma(\theta) (1 - p^{(3)} P_3^R)$	Right	DOWII	оp
$N_7 = I_4 \Omega_L M_{LD} \sigma(\theta) (1 - p^{(4)} P_3^L)$	Left	Down	Down
$N_8 = I_4 \Omega_R M_{RD} \sigma(\theta) (1 + p^{(4)} P_3^R)$	Right	D 0 W 11	DOWII

$$p^{(i)} = p(1 + \alpha_i), \qquad \sum_{i=1}^{4} \alpha_i = 0$$
 (B7)

where i runs over the four counting periods previously described. The constants  $\alpha_i$  arise from the fact that the optical pumping light level is dependent on the magnetic field direction. The left and right analyzing powers,  $P_3^L$  and  $P_3^R$ , respectively, can be written in terms of the analyzing power  $P_3$  for 100% target polarization.

$$P_3^L = P_3(1 + \beta)$$
 (B8a)

$$P_3^R = P_3(1 - \beta)$$
 (B8b)

The constant  $\beta$  allows for such geometrical effects as differences in mean scattering angle for the two detectors. In equations (B7) and (B8)  $\alpha_i$  and  $\beta$  are usually small.

The ratio R of left- to right-scattered events can now be formed using equations (B7) and (B8) and Table 11,

$$R = \frac{N_1 N_4 N_5 N_8}{N_2 N_3 N_6 N_7} = \left(\frac{1 + pP_3}{1 - pP_3}\right)^4 [1 + f(\alpha_1, \beta, pP_3)]$$
 (B9)

where  $f(\alpha_i, \beta, pP_3)$  contains second and higher order terms in  $\alpha_i, \beta, pP_3$ . Neglecting such terms, equation (B9) yields the reaction analyzing power.

$$P_3 = \frac{A}{p} = \frac{1}{p} \left( \frac{R^{\frac{1}{4}} - 1}{R^{\frac{1}{4}} + 1} \right)$$
 (B10)

It should be noted that any effects due to beam integration I,

effective solid angle  $\Omega$ , and magnetic field M have been cancelled out.

The statistical error  $\Delta A$  in the experimental asymmetry  $A = pP_3$  was computed from equation (B11) assuming no background correction.

$$\Delta A = \Delta (pP_3) = \frac{\partial A}{\partial R} \Delta R = \frac{R^{\frac{1}{4}} \Delta R}{2R(R^{\frac{1}{4}} + 1)^2} = \frac{R^{\frac{1}{4}}}{2(R^{\frac{1}{4}} + 1)^2} \left[ \sum_{i=1}^{8} \frac{1}{N_i} \right]^{\frac{1}{2}}$$
(B11)

Here  $\Delta R$  is defined by equation (B13). The error in  $P_3$ , excluding any systematic error discussed in Section III.A., is

$$\Delta P_3 = \frac{1}{p} [(\Delta A)^2 + P_3^2(\Delta p)^2]^{\frac{1}{2}}.$$

# 3. Instrumental Asymmetry $A_0$

A measure of the departure of the experimental aparatus from complete symmetry is found by forming the ratio  $\mathbf{R}_0$  such that all terms--beam integration, solid angle, magnetic field effects, and polarization--cancel.

$$R_0 = \frac{N_1 N_4 N_6 N_7}{N_2 N_3 N_5 N_8}$$

This should give  $R_0$  the value unity in an ideal case if the correct dependence on the scattering parameters has been assumed and the data are consistent. Thus the variation of the instrumental asymmetry from zero should give an estimate of any systematic errors in the data and hence its quality.

$$A_0 = \frac{R_0^{\frac{1}{4}} - 1}{R_0^{\frac{1}{4}} + 1}$$

Two other types of asymmetry can be computed for experiments such as this. These are the magnetic field asymmetry  $\mathbf{A}_{\mathbf{M}}$  and the modified solid angle asymmetry  $\mathbf{A}_{\mathbf{\Omega}\mathbf{M}}$ . Their definition is included here for completeness only. In practice the experimental asymmetry A and the instrumental asymmetry  $\mathbf{A}_{\mathbf{0}}$  are the ones usually given.

# 4. Magnetic Field Asymmetry $A_{M}$

The magnetic field asymmetry  $A_{\rm M}$  is an indication of the scattering asymmetry due to any magnetic field effect. It is necessary to assume that such an effect is reversed when the direction of the magnetic field is reversed, i.e., it depends on the magnetic field direction:

$$\frac{M_{LU}}{M_{LD}} = \frac{M_{RD}}{M_{RU}}$$
 (B12)

The ratio  $R_{\dot{M}}$  is formed such that all effects except those due to the magnetic field cancel.

$$R_{M} = \frac{N_{1}N_{3}N_{6}N_{8}}{N_{2}N_{4}N_{5}N_{7}} = \left(\frac{M_{LU}}{M_{LD}} \frac{M_{RD}}{M_{RU}}\right)^{2} = \left(\frac{M_{LU}}{M_{LD}}\right)^{4} = \left(\frac{M_{RD}}{M_{RU}}\right)^{4}$$

The magnetic field asymmetry is then

$$A_{M} = \frac{M_{LU} - M_{LD}}{M_{LU} + M_{LD}} = \frac{M_{RD} - M_{RU}}{M_{RD} + M_{RU}} = \frac{R_{M}^{\frac{1}{4}} - 1}{R_{M}^{\frac{1}{4}} + 1}.$$

# 5. Modified Solid Angle Asymmetry ${\sf A}_{\Omega{\sf M}}$

The solid angle asymmetry arises from differences in solid angle seen by the two detectors or from differences in detector efficiency. In this experiment it is not possible to compute a solid angle asymmetry from the information available. It is, however, possible to compute an asymmetry which indicates the combined effect of solid angle and magnetic field on the relative scattering, which will be denoted by  $A_{\Omega M}$ .

The ratio  $\boldsymbol{R}_{\Omega M}$  of the left-to-right solid angles is formed.

$$R_{\Omega M} = \frac{N_1 N_3 N_5 N_7}{N_2 N_4 N_6 N_8} = \left(\frac{\Omega_L}{\Omega_R}\right)^4 \left(\frac{M_{LU}}{M_{RU}}\right)^2 \left(\frac{M_{LD}}{M_{RD}}\right)^2$$

By using equation (B12),  $\boldsymbol{R}_{\Omega M}$  can be put in the form

$$R_{\Omega M} = \left(\frac{\Omega_{L}}{\Omega_{R}} \frac{M_{LD}}{M_{RU}}\right)^{4} = \left(\frac{\Omega_{L}}{\Omega_{R}} \frac{M_{LU}}{M_{RD}}\right)^{4}$$

which has the same value for either magnetic field direction U or D. For simplicity a slight change in notation is made by deleting the reference to the magnetic field direction. Thus

$$R_{\Omega M} = \left(\frac{\Omega_L^M L}{\Omega_R^M R}\right)^4$$

and from this the modified angle asymmetry is computed.

$$A_{\Omega M} = \frac{\Omega_{L}^{M}_{L} - \Omega_{R}^{M}_{R}}{\Omega_{L}^{M}_{L} + \Omega_{R}^{M}_{R}} = \frac{R_{\Omega M}^{\frac{1}{4}} - 1}{R_{\Omega M}^{\frac{1}{4}} + 1}$$

6. Weighted Average and Weighted Average Error
For Duplicate Measurements

When several measurements of an asymmetry a were made at a given energy a weighted average of these values is computed and reported as the asymmetry at that energy. This average weighs most heavily those measurements with the smallest errors and vice versa. The weighted average <a> for n measurements of a is

$$\langle a \rangle = \sum_{i=1}^{n} w_{i} a_{i} = \frac{\sum_{i=1}^{n} \frac{a_{i}}{(\Delta a_{i})^{2}}}{\sum_{j=1}^{n} (\Delta a_{j})^{-2}}$$

where the weighting factor  $w_i$  is

$$w_{i} = \frac{(\Delta a_{i})^{-2}}{\sum_{j=1}^{n} (\Delta a_{j})^{-2}}.$$

From the error  $\Delta a_i$  the average error  $<\Delta a>$  is given by

$$<\Delta a> = \left[\sum_{i=1}^{n} (\Delta a_i)^{-2}\right]^{-\frac{1}{2}}.$$

## 7. Background Correction

When the background is level in a spectrum, the accuracy of the background level is determined by the number of counts on either side of the peak under consideration. In Figure 16 the measured quantities  $F_B$ ,  $F_A$ , and N +  $F_N$  are shown. The accuracy of  $F_B$  +  $F_A$  determines the accuracy of the level of  $F_N$ , and to the uncertainty in  $(F_B$  +  $F_A)$  must be added the statistical uncertainty in  $F_N$ , namely  $F_N^{\frac{1}{2}}$ . Denote the total uncertainty in  $F_N$  by  $\Delta F_N$ . Then

$$\left(\frac{{}^{\Delta}F_{N}}{F_{N}}\right)^{2} = \left(\frac{\left(F_{B} + F_{A}\right)^{\frac{1}{2}}}{F_{B} + F_{A}}\right)^{2} + \left(\frac{F_{N}}{F_{N}}\right)^{2} = \frac{1 + \gamma}{\gamma F_{N}}$$

where

$$\gamma = \frac{F_B + F_A}{F_N} = \frac{background\ counts\ determining\ background\ level}{background\ under\ peak}$$

In the same manner, the total uncertainty in N is given by

$$\left(\frac{\Delta N}{N}\right)^2 = \frac{1}{N^2} \left[ (F_N + N)^{\frac{1}{2}} \right]^2 + (\Delta F_N)^2 = \frac{1}{N} \left[ 1 + \rho \left( \frac{1+2\gamma}{\gamma} \right) \right]$$

where

$$\rho = \frac{F_N}{N} = \frac{background\ under\ peak}{true\ counts\ under\ peak}$$

B.7.

Summing over all peaks in a data set gives the statistical uncertainty in the ratio R, equation (B9).

$$\left(\frac{\Delta R}{R}\right) = \left(\sum_{i=1}^{8} \frac{1}{N_i} \left[1 + \frac{\rho_i}{\gamma_i} \left(1 + 2\gamma_i\right)\right]\right)^{\frac{1}{2}}$$

When there is no background,  $\rho_{\,\dot{1}}$  = 0 and  $\gamma_{\,\dot{1}}$  =  $\infty$ , giving the result

$$\left(\frac{\Delta R}{R}\right) = \left(\sum_{i=1}^{8} \frac{1}{N_i}\right)^{\frac{1}{2}}$$
 (B13)

which was used in equation (B11).

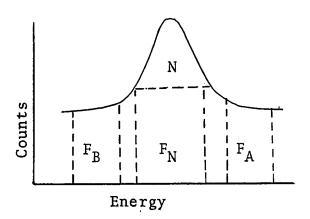


Figure 16. Spectrum With Level Background

 $F_R$  = background counts below peak

 $F_{N}$  = background counts under peak

 $F_{A}$  = background counts above peak

N = true counts under peak

APPENDIX C. PHASE SHIFT ANALYSIS: ELASTIC SCATTERING OF CHARGED SPIN ½ PARTICLES FROM SPIN 0 PARTICLES

Differential Cross Section Equations\*

The total wave function  $\Psi$  for the elastic scattering of uncharged spinless particles is well known

$$\Psi = \Psi_{in} + \Psi_{out} = \frac{i}{\sqrt{v}} [e^{ikz} + \frac{e^{ikr}}{r} A(\theta)]$$

where the first term in the brackets represents an incoming plane wave and the second term an outgoing spherical wave with angular dependence  $A(\theta)$ . The differential cross section for this case is  $\left|A(\theta)\right|^2$ .

For the case of charged particles with spin, the formula will be quite similar except that the incident and scattered waves will be distorted by the Coulomb field and the wave function will contain the internal spin coordinates of the separate particles.

Consider now the case of two particles in a spherically symmetric potential. The Schroedinger equation is separable and the wave function of the relative motion of the two particles is

<sup>\*</sup>This derivation of expressions for the differential cross section follows closely those given by Lane and Thomas  $^{82}$  and Rich.  $^{90}$  Rich's development is based in part on that of Lane and Thomas.

$$\Psi \sim r_{\alpha}^{-1}U_{\alpha s \ell}(r_{\alpha})i^{\ell}Y_{\ell}^{m}(\Omega_{\alpha}).$$

In this equation  $\boldsymbol{\alpha}$  denotes the pair of particles 1 and 2 and

r<sub>α</sub> = radial coordinate from particle 1 to particle 2

 $U_{\alpha sl}(r_{\alpha}) = solution to the radial equation of relative motion of the pair <math>\alpha$ 

e relative angular momentum of the pair
(with z component m)

s = channel spin of the pair (with z component v)

 $Y_{\ell}^{m}(\Omega_{\alpha})$  = normalized spherical harmonics

 $\Omega_{\alpha}$  = direction from particle 1 to particle 2.

The radial equation is

$$\left[\frac{d^{2}}{dr_{\alpha}^{2}} - \frac{\ell(\ell+1)}{r_{\alpha}^{2}} - \frac{2M_{\alpha}}{\hbar^{2}}(V_{\alpha s \ell} - E_{\alpha})\right]U_{\alpha s \ell}(r_{\alpha}) = 0$$

which can easily be put in the dimensionless form

$$\frac{d^2}{d\rho_{\alpha}^2} - \frac{\ell(\ell+1)}{\rho_{\alpha}^2} + \frac{2\eta_{\alpha}}{\rho_{\alpha}} - 1] U_{\alpha s \ell}(\rho_{\alpha}) = 0$$
 (C1)

by using the following:

 $\rho_{\alpha} = k_{\alpha} r_{\alpha} = \text{dimensionless independent variable}$ 

$$M_{\alpha} = \frac{M_1 M_2}{M_1 + M_2} = \text{reduced mass}$$

$$v_{\alpha} = \frac{\hbar k_{\alpha}}{M_{\alpha}}$$
 = relative velocity of the two particles

$$E_{\alpha} = {}^{1}2M_{\alpha}v_{\alpha}^{2} = \text{energy of relative motion, } E_{\alpha} > 0$$
is assumed in this analysis

$$k_{\alpha} = \left(\frac{2M_{\alpha}E_{\alpha}}{\hbar^2}\right)^{\frac{1}{2}} = \text{wave number}$$

$$\eta_{\alpha} = \frac{z_1 z_2 e^2}{\hbar v_{\alpha}} = \text{Coulomb field parameter.}$$

In the asymptotic region of large  $\rho_{\alpha}$  there are two linearily independent solutions to equation (C1) representing incoming (I) and outgoing (0) waves:

$$\begin{split} & I_{\alpha \ell} \sim \exp[-i(\rho_{\alpha} - \eta_{\alpha} \log 2\rho_{\alpha} - \frac{1}{2}\ell\pi + \sigma_{\alpha 0})] \\ & O_{\alpha \ell} \sim \exp[i(\rho_{\alpha} - \eta_{\alpha} \log 2\rho_{\alpha} - \frac{1}{2}\ell\pi + \sigma_{\alpha 0})]. \end{split} \tag{C2}$$

For applications a more convenient form of equation (C2) is useful, those regular  $(F_{\alpha \, \ell})$  and irregular  $(G_{\alpha \, \ell})$  at the origin.

$$F_{\alpha l} \sim \sin(\rho_{\alpha} - \eta_{\alpha} \log 2\rho_{\alpha} - \frac{1}{2} l \pi + \sigma_{\alpha l})$$

$$G_{\alpha l} \sim \cos(\rho_{\alpha} - \eta_{\alpha} \log 2\rho_{\alpha} - \frac{1}{2} l \pi + \sigma_{\alpha l})$$
(C3)

The relation between equations (C2) and (C3) is

$$I_{\alpha \ell} = (G_{\alpha \ell} - iF_{\alpha \ell}) \exp(i\omega_{\alpha \ell})$$

$$O_{\alpha \ell} = (G_{\alpha \ell} + iF_{\alpha \ell}) \exp(-i\omega_{\alpha \ell})$$
(C4)

where

$$\omega_{\alpha l} = \sigma_{\alpha l} - \sigma_{\alpha 0} = \sum_{n=1}^{l} \tan^{-1} \left(\frac{\eta_{\alpha}}{n}\right)$$

 $\sigma_{\alpha \ell}$  = Coulomb phase shift corresponding to relative angular momentum  $\ell$  with  $\omega_{\alpha 0}$  = 0.

The complete channel wave functions for  $E_{\alpha} > 0$  for the incoming and outgoing waves of unit flux crossing any sphere centered at the origin in the (aslvm) channel scheme are  $^{82}$ )

$$I_{\alpha s \ell \nu m} = i^{\ell} Y_{\ell}^{m} \frac{I_{\alpha \ell}}{r_{\alpha} \sqrt{v_{\alpha}}} \psi_{\alpha s \nu}$$

$$O_{\alpha s \ell \nu m} = i^{\ell} Y_{\ell}^{m} \frac{O_{\alpha \ell}}{r_{\alpha} \sqrt{v_{\alpha}}} \psi_{\alpha s \nu}$$
(C5)

where

$$\psi_{\alpha s \nu} = \phi_{\alpha s} \chi_s^{\nu} = \sum_{\nu = i_1 + i_2} (I_1 I_2 i_1 i_2 | s \nu) \psi_{\alpha_1} I_1 i_1^{\psi_{\alpha_2}} I_2 i_2$$

= channel wave function composed of products of wave functions of internal states of particles 1 and 2

 $\phi_{\alpha s}$  = product of wave function of separate particles  $\chi_s^{\nu}$  = product of spin functions of separate particles.

The general solution  $\Psi$  in the asymptotic region can be expressed as a linear combination of the linearily independent  $I_{\alpha \text{Slvm}}$  and  $O_{\alpha \text{Slvm}}$  waves.

$$\Psi = \sum_{c} B_{c} [I_{c} - \sum_{c} U_{cc}, O_{c},]$$
 (C6)

Here c stands for  $\alpha$ slvm, c' for  $\alpha$ 's'l'v'm', and  $U_{CC}$ , the collision or scattering function, is the amplitude of the unit flux outgoing wave  $O_C$ , which is associated with the unit flux incident wave  $I_C$ . The  $B_C$  are at this point unspecified coefficients of the incoming waves. Now add to and subtract from (C6) the function  $\psi$ ' which is proportional to the regular function  $F_{\alpha}$ l

$$\psi' = \sum_{cc'} B_c [I_c - O_c \exp(2i\omega_c)] \delta_{cc'}$$
 (C7)

which gives the general solution Y:

$$\Psi = \psi' + \sum_{CC} B_C [\delta_{CC}, \exp(2i\omega_C) - U_{CC}, ]O_C,$$
 (C8)

This eliminates any explicit reference to the incoming waves. By choosing the coefficients  $B_{c}$  properly  $\psi'$  will represent an incident plane wave of particles of only type  $\alpha$  with channel spin s and z-component  $\nu$  and disturbed by only the Coulomb field. The correct form is 82)

$$B_{c} = B_{\alpha s \ell v 0} = \frac{i \sqrt{\pi}}{k_{\alpha}} (2\ell + 1)^{\frac{1}{2}}$$
 (C9)

with all others being zero.

Consider now the first term in equation (C8), namely  $\psi$ ', equation (C7). By using equations (C4), (C5), (C9),

and the fact that  $Y_{\ell}^{0} = (\frac{2\ell+1}{4\pi})^{\frac{1}{2}} P_{\ell}(\cos\theta)$ , a single term  $\psi_{\alpha S \nu}^{\prime}$  (corresponding to a pair  $\alpha$ ) in equation (C7) can be written

$$\psi_{\alpha \text{SV}}^{\prime} = \frac{1}{k_{\alpha} \sqrt{v_{\alpha}}} \sum_{\ell} i^{\ell} (2\ell+1) \exp(i\omega_{\alpha \ell}) \left(\frac{F_{\alpha \ell}}{r_{\alpha}}\right) P_{\ell}(\cos\theta_{\alpha}) \psi_{\alpha \text{SV}}. \quad (C7a)$$

Schiff $^{91}$  gives a form of equation (C7a) which will be more useful for our purposes:

$$\psi_{\alpha S V}^{\dagger} \sim \frac{1}{\sqrt{V_{\alpha}}} \{ [1 - \frac{\eta_{\alpha}^{2}}{ik_{\alpha}(r_{\alpha} - z_{\alpha})}] \exp[i(k_{\alpha}z_{\alpha} - \eta_{\alpha}\log k_{\alpha}(r_{\alpha} - z_{\alpha}) - \sigma_{\alpha 0})] + \frac{i\sqrt{\pi}}{r_{\alpha}k_{\alpha}} f(\theta_{\alpha}) \exp[i(\rho_{\alpha} - \eta_{\alpha}\log 2\rho_{\alpha} + \sigma_{\alpha 0})] \} \psi_{\alpha S V}$$
 (C7b)

where

$$f(\theta_{\alpha}) = \frac{i\eta_{\alpha}}{2\sqrt{\pi}} \csc^{2}(\frac{1}{2}\theta_{\alpha}) \exp[i\eta_{\alpha} \log \csc^{2}(\frac{1}{2}\theta_{\alpha})]. \tag{C7c}$$

Note that the second term in equation (C7b) is of the form  $\rho^{-1}\exp(i\rho)$  and is thus an outgoing spherical wave. Later use will be made of this fact.

We return now to the second term in equation (C8), a single term of which will be denoted by  $\psi''_{\alpha S \nu}$ . By employing equations (C2), (C5), (C8), (C9), and noting that  $i^{\ell} \exp(-i\ell \frac{1}{2}\pi) = 1$  we may write

$$\psi_{\alpha s \nu}^{"} = \frac{i \sqrt{\pi}}{k_{\alpha}} \sum_{\substack{\ell \ell \mid \alpha, \\ s \mid \nu \mid m'}} \frac{(2\ell+1)^{\frac{1}{2}}}{r_{\alpha}! \sqrt{\nu_{\alpha}!}} \exp[i(\rho_{\alpha}! - \eta_{\alpha}! \log 2\rho_{\alpha}! + \sigma_{\alpha}!_{0})]$$

$$\times [\exp(2i\omega_{\alpha}!_{\ell}!) \delta_{\alpha s \ell \nu 0} - U_{\alpha s \ell \nu 0} |Y_{\ell}^{m'}! \psi_{\alpha}!_{s'\nu}!_{\nu}!_{\nu}!_{m'}$$

$$\alpha \mid s \mid \ell \mid \nu \mid m'$$

$$\alpha \mid s \mid \ell \mid \nu \mid m'$$

$$\alpha \mid s \mid \ell \mid \nu \mid m'$$

$$\alpha \mid s \mid \ell \mid \nu \mid m'$$

$$\alpha \mid s \mid \ell \mid \nu \mid m'$$

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$$\alpha \mid s \mid \ell \mid \nu \mid m'$$

$$\alpha \mid s \mid \ell \mid \nu \mid m'$$

$$\alpha \mid s \mid \ell \mid \nu \mid m'$$

$$\alpha \mid s \mid \ell \mid \nu \mid m'$$

$$\alpha \mid s \mid \ell \mid \nu \mid m'$$

C7

Equation (C8a) can be changed from the ( $\alpha$ slvm) scheme to the ( $\alpha$ slJM) scheme by substituting <sup>82</sup>

$$\begin{array}{lll} U_{\underset{\alpha \text{ }}{\text{slv}}} & = & \sum\limits_{JM} (\text{slvo} \, \big| \, \text{JM}) \, U_{\underset{\alpha \text{ }}{\text{sl}}} & (\text{s'l'v'm'} \, \big| \, \text{JM}) \, . \\ & & \text{ } \\ \end{array}$$

Thus

$$\psi_{\alpha S \nu}^{"} = \frac{i\sqrt{\pi}}{k_{\alpha}} \sum_{\substack{\alpha', \ell, \ell' \\ s', JMm'}} \frac{(2\ell+1)^{\frac{1}{2}}}{r_{\alpha'}\sqrt{v_{\alpha'}}} (s\ell\nu_0 | JM) (s'\ell'\nu'm' | JM) Y_{\ell}^{m'}$$

$$\times \exp[i(\rho_{\alpha'} - \eta_{\alpha'} \log 2\rho_{\alpha'} + \sigma_{\alpha'})]$$

$$\times [\exp(2i\omega_{\alpha', \ell'}) \delta_{\alpha S \ell} - U_{\alpha S \ell} ] \psi_{\alpha', S'\nu'}. \quad (C8b)$$

$$\alpha', s'\ell' - \alpha', s'\ell'$$

Note that equation (C8b) is outgoing. Now we consider only the outgoing part of equation (C8), i.e., equation (C8b) and the second term in equation (C7b), the part containing  $f(\theta_{\alpha})$ .

$$\begin{split} & \Psi_{\alpha \, \text{SV}} = \, \psi_{\alpha \, \text{SV}}^{\, \prime} + \, \psi_{\alpha \, \text{SV}}^{\, \prime\prime} \\ & \text{out} \qquad \text{out} \\ & = \frac{i \sqrt{\pi}}{k_{\alpha} \sqrt{\nu_{\alpha}}} \, \frac{f(\theta_{\alpha})}{r_{\alpha}} \, \exp[i(\rho_{\alpha} - \eta_{\alpha} \log 2\rho_{\alpha} + \sigma_{\alpha0})] \psi_{\alpha \, \text{SV}} \\ & + \, \frac{i \sqrt{\pi}}{k_{\alpha}} \, \sum_{\alpha', k, k'} \, \frac{\left(2k+1\right)^{\frac{1}{2}}}{r_{\alpha', \nu_{\alpha'}}} (\text{skvo} \, | \, \text{JM}) \, (\text{s'k'v'm'} \, | \, \text{JM}) \, Y_{k', \psi_{\alpha', \text{S'k'}}}^{m'} \\ & \times \, \exp[i(\rho_{\alpha', -\eta_{\alpha'}}, \log 2\rho_{\alpha', +\sigma_{\alpha', 0}})] \\ & \times \, \left[\exp(2i\omega_{\alpha', k'}) \, \delta_{\alpha \, \text{Sk}} \, - \, U_{\alpha \, \text{Sk}}^{\, \prime} \, \right] \} \\ & \alpha' \, \text{s'k'} \, & \alpha' \, \text{s'k'} \end{split}$$

$$\begin{split} \Psi_{\alpha \, \text{SV}} &= \frac{\text{i} \sqrt{\pi}}{k_{\alpha}} \sum_{\substack{\alpha' \, \text{ll'} \\ \text{s'JMm'}}} \frac{1}{r_{\alpha'} \sqrt{v_{\alpha'}}} \exp[\text{i}(\rho_{\alpha'} - \eta_{\alpha'}, \log 2\rho_{\alpha'} + \sigma_{\alpha'})] \\ &\times \{f(\theta_{\alpha'}) \delta_{\alpha \, \text{SV}} + (2l+1)^{\frac{1}{2}} (\text{slvo} | \text{JM}) (\text{s'l'v'm'} | \text{JM}) Y_{l'}^{m'} \\ &\times [\exp(2\text{i}\omega_{\alpha', l'}) \delta_{\alpha \, \text{Sl}} - U_{\alpha \, \text{Sl}}^{-1}] \} \chi_{v', \alpha', s'}^{s'} \phi_{\alpha', s'} \end{split}$$

Now 1et

$$\Psi_{\alpha S \nu} = \sum_{\alpha' S'} R(r_{\alpha'}) A_{\alpha S \nu} (\Omega_{\alpha'}) \phi_{\alpha' S'}$$
out

where

$$R(r_{\alpha'}) = \frac{1}{r_{\alpha'} \sqrt{v_{\alpha'}}} \exp[i(\rho_{\alpha'} - \eta_{\alpha'} \log 2\rho_{\alpha'} + \sigma_{\alpha'})].$$

By using the orthonormality of the  $\phi_{\alpha,s}$ ,

$$\int \phi_{\alpha''s''} \phi_{\alpha'a} dq_{\alpha''} = \delta_{\alpha''s'',\alpha's'}$$

 $A_{\alpha \, S \, V}$  may be written  $\alpha' \, S' \, V'$ 

$$\begin{split} A_{\alpha \, S \, V \\ \alpha' \, S' \, V'} &= \frac{i \sqrt{\pi}}{k_{\alpha}} \sum_{\substack{\ell \, \ell \\ JMm'}} \{f(\theta_{\alpha'}) \delta_{\alpha \, S \, V} \\ &= \chi' \, S' \, V' \\ &+ (2\ell+1)^{\frac{1}{2}} (s\ell V \, 0 \, | \, JM) \, (s'\ell' \, V'm' \, | \, JM) \, Y_{\ell}^{m'}, \\ &\times \left[ \exp(2i\omega_{\alpha', \ell'}) \delta_{\alpha \, S \, \ell} - U_{\alpha \, S \, \ell}^{J} \right] \} \chi_{V'}^{S'}. \end{split}$$

Next  $f(\theta_{\alpha})$  is replaced by its value, equation (C7c), and removed from inside the brackets since it is not summed over.

$$\begin{split} A_{\alpha s \nu} & (\Omega_{\alpha}) = -\frac{\eta}{2k_{\alpha}} \csc^{2}(\frac{1}{2}\theta_{\alpha}) \exp\left[i\eta_{\alpha} \log \csc^{2}(\frac{1}{2}\theta_{\alpha})\right] \chi_{\nu}^{s} \\ & + \frac{i\sqrt{\pi}}{k_{\alpha}} \sum_{\substack{\ell \ell' \\ JMm'}} (2\ell+1)^{\frac{1}{2}} (s\ell\nu 0 | JM) (s'\ell'\nu'm' | JM) Y_{\ell'}^{m'} \\ & \times \left[\exp\left(2i\omega_{\alpha'\ell'}\right) \delta_{\alpha s \ell} - U_{\alpha s \ell}^{J}\right] \chi_{\nu'}^{s'} \end{split}$$

The differential cross section is then given by

$$\sigma_{\alpha s \nu} = |A_{\alpha s \nu} (\Omega_{\alpha})|^{2}.$$

The differential cross section for the  $\alpha s \rightarrow \alpha' s'$  collision in which the beam is unpolarized and the final spin directions are not separately observed is obtained by averaging over the incident spin directions  $\nu$  and summing over the final spin directions  $\nu'$ .

$$\sigma_{\alpha s, \alpha' s'} = \left(\frac{1}{2s+1}\right) \sum_{\nu \nu} \sigma_{\alpha s \nu} \sigma_{\alpha' s' \nu'}$$

The differential cross section for the  $\alpha \rightarrow \alpha'$  collision, without regard to channel spin s or s', is found by averaging over the possible values of s and summing over the possible values of s'

$$\sigma_{\alpha,\alpha'} = \sum_{s,s'} \frac{2s+1}{(2I_1+1)(2I_2+1)} \sigma_{\alpha s,\alpha' s'}$$
 (C10)

where  $I_1$  and  $I_2$  are the spins of the two interacting particles and the numerical factor in equation (C10) is the statistical weight of the channel spin s. Thus

C.1.

$$\sigma_{\alpha,\alpha'} = \frac{1}{(2I_1+1)(2I_2+1)} \sum_{\substack{ss, \sigma_{\alpha s v} \\ vv' \alpha's'v'}} \sigma_{\alpha s v' v'}$$

$$= \frac{1}{(2I_1+1)(2I_2+1)} \sum_{\substack{sv, s' v' \alpha's'v'}} A_{\alpha s v} (\Omega_{\alpha's})|^2 \qquad (C11)$$

where the sum over s'v' was taken inside the absolute value brackets because these subscripts are on the amplitude factor  $A(\Omega_{\alpha})$ .

Now to specialize these results to the case of elastic scattering ( $\alpha = \alpha'$ ) of a spin ½ particle from a spin 0 particle. Conservation of momentum and parity require that  $\ell = \ell'$  and  $\ell = \ell'$ . Also the sums over s and s' reduce to a single term. Equation (C11) then becomes

$$\sigma_{\alpha,\alpha} = \frac{1}{2} \sum_{\nu} \left| \sum_{\nu} A_{\alpha S \nu} (\Omega_{\alpha}, \nu) \right|^{2}.$$
 (C12)

Let

$$f_{s}^{v} = \sum_{v, \alpha s v} A_{\alpha s v} = -\frac{\eta_{\alpha}}{2k_{\alpha}} \csc^{2}(\frac{1}{2}\theta_{\alpha}) \exp[i\eta_{\alpha}\log\csc^{2}(\frac{1}{2}\theta_{\alpha})]\chi_{s}^{v}$$

$$+ \frac{i\sqrt{\pi}}{k_{\alpha}} \sum_{\substack{\ell m' \\ JMv'}} (2\ell+1)^{\frac{1}{2}}(s\ell v) |JM\rangle (s'\ell v'm'|JM) Y_{\ell}^{m'}$$

$$\times [\exp(2i\omega_{\ell}) - U_{s\ell}^{J}]\chi_{s'}^{v'}. \tag{C13}$$

By noting that m' = v - v', M = v, and  $s = \frac{1}{2}$ , equation (C13) becomes

C.1. C11

$$f_{\frac{1}{2}}^{\nu} = \frac{-\eta}{2k} \csc^{2}(\frac{1}{2}\theta) \exp[i\eta \log \csc^{2}(\frac{1}{2}\theta)] \chi_{\frac{1}{2}}^{\nu} + \frac{i\sqrt{\pi}}{k} \sum_{\substack{k,J \\ \nu'}} (2k+1)^{\frac{1}{2}}(\frac{1}{2}k\nu 0 | J\nu) (\frac{1}{2}k\nu', \nu-\nu' | J\nu) Y_{k}^{\nu-\nu'} \times [\exp(2i\omega_{k}) - U_{\frac{1}{2},k}^{J}] \chi_{\frac{1}{2}}^{\nu'}$$
(C14)

where unnecessary subscripts have been eliminated. In equation (C14) the first term on the right is known as the Rutherford contribution to the scattering amplitude and the second term is the nuclear contribution. We note that  $J = \ell \pm \frac{1}{2}$  so there will be two terms in (C14) due to the sum over J. Also the sum over  $\nu$ ' gives two terms for each value of J. By carrying out explicitly the sums over J and  $\nu$ ', multiplying by 2k and using the vector addition coefficients in Table 12, equation (C14) for  $\nu = \frac{1}{2}$  becomes

$$2kf_{\frac{1}{2}}^{\frac{1}{2}} = \left(-\eta \csc^{2}(\frac{1}{2}\theta) \exp[i\eta \log \csc^{2}\frac{1}{2}(\theta)]\right)$$

$$+ 2i\sqrt{\pi} \sum_{k} (2k+1)^{\frac{1}{2}} Y_{k}^{0} \left\{ \left(\frac{k+1}{2k+1}\right) \left[\exp(2i\omega_{k}) - U_{\frac{1}{2}, k}^{k+\frac{1}{2}}\right] \right\}$$

$$+ \left(\frac{k}{2k+1}\right) \left[\exp(2i\omega_{k}) - U_{\frac{1}{2}, k}^{k-\frac{1}{2}}\right] \right\} \chi_{\frac{1}{2}}^{\frac{1}{2}}$$

$$+ \left[2i\sqrt{\pi} \sum_{0} \left(\frac{k(k+1)}{2k+1}\right)^{\frac{1}{2}} Y_{k}^{1} \left(U_{\frac{1}{2}, k}^{k-\frac{1}{2}} - U_{\frac{1}{2}, k}^{k+\frac{1}{2}}\right)\right] \chi_{\frac{1}{2}}^{-\frac{1}{2}}. \quad (C15)$$

If we let the coefficient of  $\chi_{\frac{1}{2}}^{\frac{1}{2}}$  be  $f_c$  and that of  $\chi_{\frac{1}{2}}^{-\frac{1}{2}}$  be  $f_i$ , equation (C15) is

$$2kf_{\frac{1}{2}}^{\frac{1}{2}} = f_{c}\chi_{\frac{1}{2}}^{\frac{1}{2}} + f_{i}\chi_{\frac{1}{2}}^{-\frac{1}{2}}.$$
 (C15a)

C.1. C12

Table 12. Vector addition coefficients for Spin ½ + Spin 0. (From reference 92).

C.1.

The factor  $f_c$  is the so-called coherent scattering amplitude and represents particles in which no spin change has occurred while  $f_i$  is the incoherent scattering amplitude and represents particles that have had a spin change. In the same manner  $f_{i_3}^{-1_2}$  is

$$2kf_{\frac{1}{2}}^{-\frac{1}{2}} = \left(-\eta \csc^{2}(\frac{1}{2}\theta) \exp[i\eta \log \csc^{2}\frac{1}{2}(\theta)]\right)$$

$$+ 2i\sqrt{\pi} \sum_{k} (2k+1)^{\frac{1}{2}} Y_{k}^{0} \left\{\frac{k+1}{2k+1} \left[\exp(2i\omega_{k}) - U_{\frac{1}{2},k}^{k+\frac{1}{2}}\right]\right]$$

$$+ \left(\frac{k}{2k+1}\right) \left[\exp(2i\omega_{k}) - U_{\frac{1}{2},k}^{k-\frac{1}{2}}\right] Y_{\frac{1}{2}}^{-\frac{1}{2}}$$

$$+ \left[2i\sqrt{\pi} \sum_{k} \left(\frac{k(k+1)}{2k+1}\right)^{\frac{1}{2}} Y_{k}^{-1} \left(U_{\frac{1}{2},k}^{k-\frac{1}{2}} - U_{\frac{1}{2},k}^{k+\frac{1}{2}}\right)\right] \chi_{\frac{1}{2}}^{\frac{1}{2}}. \quad (C16)$$

If we note that  $Y_{\ell}^{-1} = -\exp(-2i\phi)Y_{\ell}^{1}$  the coefficients of  $\chi_{\frac{1}{2}}^{\frac{1}{2}}$  in equation (C16) are similar to those in equation (C15). Thus

$$2kf_{\frac{1}{2}}^{-\frac{1}{2}} = f_{C}\chi_{\frac{1}{2}}^{-\frac{1}{2}} - \exp(-2i\phi)f_{1}\chi_{\frac{1}{2}}^{\frac{1}{2}}.$$
 (C16a)

Since the spin functions  $\chi_S^{\nu}$  are orthonormal and both  $f_{L_2}^{\frac{1}{2}}$  contribute equally to the cross section, equation (C12) becomes

$$\sigma_{\alpha\alpha}(\theta) = \frac{1}{2} \left[ |f_{i_2}^{\nu}|^2 + |f_{i_1}|^2 \right].$$
 (C12a)

For the general case (inelastic and elastic scattering), the collision function  $U^J_{\underline{l_2}$ ,  $\ell}$  can be written

$$U_{\frac{1}{2}, \ell}^{\ell \pm \frac{1}{2}} = \alpha^{\pm} \exp \left[ 2i \left( \omega_{\alpha} + \delta_{\ell}^{\pm} \right) \right]$$

where  $\alpha$  and  $\delta$  are the imaginary and real parts, respectively, of the phase shift. For elastic scattering  $\alpha^{\pm}$  = 1 and thus

$$f_{c} = -\eta \csc^{2}(\frac{1}{2}\theta) \exp[i\eta \log \csc^{2}(\frac{1}{2}\theta)] + 2\sum_{\ell} P_{\ell}(\cos\theta) \exp(2i\omega_{\ell})$$

$$\times [(\ell+1) \exp(i\delta_{\ell}^{+}) \sin\delta_{\ell}^{+} + \ell \exp(i\delta_{\ell}^{-}) \sin\delta_{\ell}^{-}]$$
(C17)

$$f_{i} = 2 \sum_{\ell} P_{\ell}^{i}(\cos\theta) \exp(2i\omega_{\ell}) [\exp(i\delta_{\ell}^{+}) \sin\delta_{\ell}^{+} - \exp(i\delta_{\ell}^{-}) \sin\delta_{\ell}^{-}].$$
 (C18)

In equations (C17) and (C18) use was made of the following:

$$\begin{split} Y_{\ell}^{0} &= \left(\frac{2\ell+1}{4\pi}\right)^{\frac{1}{2}} P_{\ell}(\cos\theta) \\ Y_{\ell}^{1} &= -\left[\frac{2\ell+1}{4\pi\ell(\ell+1)}\right]^{\frac{1}{2}} \sin\theta \ \frac{dP_{\ell}(\cos\theta)}{d(\cos\theta)} = \left[\frac{2\ell+1}{4\pi\ell(\ell+1)}\right]^{\frac{1}{2}} P_{\ell}^{\dagger}(\cos\theta) \\ P_{\ell}^{\dagger}(\cos\theta) &= \frac{dP_{\ell}(\cos\theta)}{d\theta} \\ P_{\ell}(\cos\theta) &= \text{Legendre polynomial of order } \ell. \end{split}$$

## 2. Spin Polarization Equations 93)

In order to obtain a system that is either partially polarized or unpolarized, the spin states must be added incoherently, i.e., the probabilities rather than the amplitudes are additive. For a system of partially polarized spin ½ particles the polarization along a given axis is defined as the difference between the normalized probabilities of finding polarization parallel or anti-parallel to that axis.

C.2.

Normally for a system of spin ½ particles the spin state is taken as  $\begin{pmatrix} a_n \\ b_n \end{pmatrix}$  and is a superposition of n spin states, each of which can be represented by a Pauli spinor. In view of the definition of the coherent  $f_c$  and incoherent  $f_i$  scattering amplitudes given in Appendix C,  $\begin{pmatrix} f_c \\ f_i \end{pmatrix}$  equally well defines the spin state. The probability amplitudes for finding the spin in the ±z direction are, respectively

$$(1 \quad 0) \begin{pmatrix} f_c \\ f_i \end{pmatrix} = f_c$$

$$(0 \quad 1) \begin{pmatrix} f_c \\ f_i \end{pmatrix} = -f_i.$$

Thus the polarization along the z axis is

$$P_{z} = \frac{|f_{c}|^{2} - |f_{i}|^{2}}{|f_{c}|^{2} + |f_{i}|^{2}}.$$

In a similar manner the probability amplitudes for spin along  $\pm x$  are

$$(1 \pm 1) \begin{pmatrix} f_c \\ f_i \end{pmatrix} = (f_c \pm f_i).$$

Then the polarization along the x axis is

$$P_{x} = \frac{|f_{c} + f_{i}|^{2} - |f_{c} - f_{i}|^{2}}{|f_{c} + f_{i}|^{2} + |f_{c} - f_{i}|^{2}} = \frac{2Re(f_{c}^{*}f_{i})}{|f_{c}|^{2} + |f_{i}|^{2}}.$$

C.2.

The probability amplitudes for spin along ty are

$$(1 \mp i) \begin{pmatrix} f_c \\ f_i \end{pmatrix} = (f_c \mp if_i)$$

and the polarization along y is

$$P_{y} = \frac{|f_{c} - if_{i}|^{2} + |f_{c} + if_{i}|^{2}}{|f_{c} - if_{i}|^{2} + |f_{c} + if_{i}|^{2}} = \frac{2Im(f_{c}^{*}f_{i})}{|f_{c}|^{2} + |f_{i}|^{2}}.$$
 (C19)

The Basel Convention<sup>4)</sup> defines the direction of the polarization of the scattered particles as being perpendicular to the scattering plane. (See equation (B2) and Figure 15.) Traditionally the z axis is taken as the beam axis and if the x axis is chosen to lie in the scattering plane the y axis is then parallel to the polarization vector  $\hat{P}$  in Figure 15. This convention was followed in the present work, hence equation (C19) gives the magnitude of the polarization.

# APPENDIX D. SINGLE LEVEL PARAMETERIZATION OF THE PHASE SHIFTS

This appendix is based on a computer program STARS written by Dr. E. V. Hungerford of Rice University and is essentially a description of the computational method employed by that program. Since no usage document or formal program writeup existed for STARS, this Appendix was written to meet that need as well as to explain the method employed in deriving a fit to the experimental  $^3\text{He-}^4\text{He}$  elastic scattering phase shifts.

The formulation of the problem is given in three main sources, Lane and Thomas, 82) Jackson and Blatt, 83) and Buck et al., 84) which will be referenced as necessary to denote the origin of equations and computational techniques. First, the variational method for determining the theoretical phase shifts will be given. Then the method of solving the Schroedinger equation, calculation of Coulomb functions, and equations used to compute the phase shifts will be presented.

# 1. Variational Method for Determining the Theoretical Phase Shifts

The program will vary any selected combination of the three resonance parameters reduced width  $\gamma^2$ , resonance energy  $E_R$ , and nuclear reaction radius r to fit theoretically determined phase shifts to experimental phase shifts. Required input information consists of the experimental phase shifts and their corresponding energy values, a weighting

factor for each phase shift, the  $\ell$ -value, and several control parameters. The termination conditions must be specified and may be either a maximum number of iterations or a  $\chi^2$  per data point which must be met.

First a set of theoretical phase shifts  $\vec{\theta}^{(1)}$  is computed using the initial conditions  $\vec{P}$ .  $\vec{P}$  is an N-dimensional vector containing the initial values of the N parameters to be varied, where  $1 \leq N \leq 3$ .  $\vec{P}$  is of the form

$$\vec{P} = \vec{P}(x)$$

where x represents any combination of the parameters  $\gamma^2$ ,  $E_R$ , and r. To be consistent with the iterative nature of the calculations, the superscript on  $\vec{\theta}^{(1)}$  will be changed to (n) to denote the value of the vector  $\vec{P}$  from which  $\vec{\theta}$  was computed. Thus for the n<sup>th</sup> calculation of the theoretical phase shifts the notation is

$$\theta^{(n)}(\vec{P}^{(n)})$$
. (D1)

Note that  $\dot{\theta}^{(n)}$  is an E-dimensional vector where E is the number of experimental phase shifts.

Next, in order to calculate a derivative, a single component i of  $\vec{P}^{(n)}$  is perturbed by an amount

$$\Delta P_{i}^{(n)} = P_{i}^{(n)} S_{i}$$
 (D2)

where  $S_i$  is the variational step size for parameter i (one of the varied parameters  $\gamma^2$ ,  $E_R$ , or r). A new set of theoretical phase shifts is computed using the vector

$$\overrightarrow{P}(n) = \overrightarrow{P}(n) + \overrightarrow{\Delta P}(n)$$

Note that all other N-1 components of  $\vec{P}^{(n)}$  are unaltered. This procedure is repeated for all N parameters, resulting in N sets of theoretical phase shifts computed from perturbed parameters. These are collected to form a matrix of dimension N  $\times$  E, and denoted by

$$\underline{\theta}$$
'(n)( $\overrightarrow{P}$ '(n)).

The derivative matrix  $\underline{F}$  can now be found. The ij element of  $\underline{F}$  is

$$F_{ij} = \frac{\theta_{ij}^{(n)} - \theta_{j}^{(n)}}{\Delta P_{i}^{(n)}}.$$

Next, the matrix  $\underline{B}$  is computed, an element of which is

$$B_{ij} = \sum_{k=1}^{E} \frac{F_{ik}F_{jk}}{W_k^2}.$$

Note that  $\underline{B}$  is just the matrix product of  $\underline{F}$  with  $\underline{F}$  transpose where each element in  $\underline{F}$  and  $\underline{F}$  transpose has been normalized by the weighting factor  $W_k$  corresponding to each experimental phase shift. ( $W_k = 1$  for all k was used in this analysis.) The dimension of  $\underline{F}$  is N × E and that of  $\underline{B}$  is  $N^2$ .

An N-dimensional vector  $\overrightarrow{A}$  is formed as follows

$$A_{j} = \sum_{k=1}^{E} \frac{\left[\theta_{jk}^{(n)} - \theta_{k}^{(n)}\right]}{\Delta P_{j}^{(n)}} \frac{\left[\theta_{k}^{(n)} - \theta_{k}^{(e)}\right]}{W_{k}^{2}}$$

$$A_{j} = \sum_{k=1}^{E} F_{jk} \frac{\left[\theta_{k}^{(n)} - \theta_{k}^{(e)}\right]}{W_{k}^{2}}$$

where the  $\theta_k^{(e)}$  are the experimental phase shifts. The matrix  $\underline{B}$  is inverted by the Crout method<sup>94)</sup> and from  $\underline{B}^{-1}$  and  $\overline{A}$  the perturbation vector is computed:

$$\Delta P_{j}^{(n+1)} = \sum_{k=1}^{E} B_{jk}^{-1} A_{k}.$$

In matrix notation this is

$$\Delta \bar{P}^{(n+1)} = \underline{B}^{-1} \bar{A}.$$

 $\Delta \vec{P}^{(n+1)}$  will be used as the variation of  $\vec{P}^{(n)}$  from which the phase shifts for the next iteration will be determined if for all parameters varied i,

$$S_i \ge S_i!$$
 (D3)

where

$$S_{i}^{!} = \left| \frac{\Delta P_{i}^{(n+1)}}{P_{i}^{(n)}} \right|. \tag{D4}$$

In this case

$$\vec{p}^{(n+1)} = \vec{p}^{(n)} - \Delta \vec{p}^{(n+1)}. \tag{D5}$$

If equation (D3) does not hold for any i, i.e., if

$$S_i < S_i'$$

then the quantity

$$y = |S_k/S_k^i|$$
,

where  $S_k^*$  is the largest of the N values of  $S_i^*$  given by equation (D4), is used to modify  $\Delta P^{(n+1)}$ . The vector  $\overrightarrow{P}^{(n+1)}$  in this case is

$$\Delta \vec{P}^{(n+1)} = \vec{p}^{(n)} - y \Delta \vec{P}^{(n+1)}. \tag{D5a}$$

This has the effect of reducing the magnitude of the variation since y < 1. Thus the variation on  $\overset{\rightarrow}{P}$  for the next iteration is determined by the larger of the pair  $S_k$ ,  $S_k^*$ . This procedure prevents the step size for any parameter i from exceeding the maximum initial value specified for any of the N parameters being varied.

Using the vector  $\vec{P}^{(n+1)}$ , equation (D5) or equation (D5a), an updated set of phase shifts is computed, analagous to  $\vec{\theta}^{(n)}(\vec{P}^{(n)})$ , equation (D1).

$$\stackrel{\rightarrow}{\theta}^{(n+1)} \stackrel{\rightarrow}{(P}^{(n+1)}) \tag{D1a}$$

Then  $\chi^2$  per data point is computed using the latest phase shifts:

$$\frac{\chi^{2}}{E} = \frac{1}{E} \sum_{j=1}^{E} \left( \frac{\theta_{j}^{(e)} - \theta_{j}^{(n+1)}}{W_{j}} \right)^{2}$$

If  $\chi^2/E \le \varepsilon$ , the termination tolerance, or if the maximum number of iterations has been achieved, the values of the

D.2.a.

phase shifts given by equation (D1a) are accepted and the program expects more data for a subsequent case. If neither termination condition is met, another iteration is performed beginning at equation (D2) with  $\Delta P_i^{(n)}$  replaced by  $\Delta P_i^{(n+1)}$  or  $y\Delta P_i^{(n+1)}$ . This procedure is repeated until a termination criterion is met.

- 2. Calculation of the Phase Shifts from the Parameter Vector  $\vec{P} = \vec{P}(\gamma^2, E_R, r)$  and the Solutions to the Schroedinger Equation
  - a. Solutions to the Schroedinger Equation

In order to calculate the theoretical phase shifts for a given \(\ell\)-value and energy, the solutions to the radial part of the Schroedinger equation must be determined:

$$\left[\frac{d^2}{d\rho^2} - \frac{\ell(\ell+1)}{\rho^2} + \frac{2\eta}{\rho}\right]U(\rho) = 0$$

where  $\rho$ ,  $\eta$ ,  $\ell$ , and  $U(\rho)$  were defined in Appendix C. The asymptotic solutions are of the form

$$F_0(\rho) \sim \sin(\rho - \eta \log 2\rho - \frac{1}{2}\ell \pi + \delta_{\ell})$$

$$G_{\Omega}(\rho) \sim \cos(\rho - \eta \log 2\rho - \frac{1}{2}\ell\pi + \delta_{\rho})$$

where  $F(\rho)$  is regular at the origin and  $G(\rho)$  is irregular and  $\delta_{\ell}$  is the Coulomb phase shift for relative angular momentum  $\ell$ . Three distinctions are made in the manner in which the solutions to the Schroedinger equation are determined.

D7

### (1). Vanishing Coulomb Field

First we consider the case of the vanishing Coulomb field,  $\eta=0$ , for which the irregular solutions with  $\ell=0$  are spherical Bessel and Neumann functions.

$$G_0(\rho) = \cos \rho$$
  
 $G_0(\rho) = -k \sin \rho$ 

Using equations given by Buck et al., <sup>84)</sup> the regular functions  $F_{\ell}(\rho)$  are computed downward in  $\ell$  using the recursion relation (D6) known as Miller's method. For some large  $\ell > \ell_{max}$ ,  $F_{\ell+1}(\rho) = 0$  and  $\alpha F_{\ell}(\rho) = \epsilon$ , where  $\epsilon$  is a very small number and  $\alpha$  is a constant yet to be determined.

$$F_{\ell-1}(\rho) = \frac{\ell}{(\eta^2 + \ell^2)^{\frac{1}{2}}} \{ (2\ell+1) \left[ \frac{\ell}{\ell(\ell+1)} + \frac{1}{\rho} \right] F_{\ell}(\rho) - \frac{\left[ \eta^2 + (\ell+1)^2 \right]^{\frac{1}{2}}}{\ell+1} F_{\ell+1}(\rho) \}$$
(D6)

Here  $\ell_{\text{max}}$  was taken as 26,  $F_{\ell+1}(\rho) = F_{31}(\rho) = 0$ , and  $F_{\ell}(\rho) = F_{30}(\rho) = 10^{-38}$ . With all the  $F_{\ell}(\rho)$  down to  $F_{0}(\rho)$  so determined,  $F_{0}(\rho)$  can be found from

$$F_0'(\rho) = k[(n + \frac{1}{\rho})F_0(\rho) - (n^2 + 1)^{\frac{1}{2}}F_1(\rho)].$$

The constant  $\alpha$  must satisfy the Wronskian

$$\alpha = \frac{k}{F_0^{\prime}(\rho)G_0(\rho) - F_0(\rho)G_0^{\prime}(\rho)}.$$

The  $F_{\ell}(\rho)$  are each multiplied by  $\alpha$  for all  $\ell$  up through  $\ell_{max}$ 

D.2.a.(1)

where  $\ell_{max}$  is that value of  $\ell$  for which  $\alpha F_{\ell_{max}}(\rho) < 10^{-35}$  and  $\alpha F_{\ell_{max}-1}(\rho) \ge 10^{-35}$ .

Sufficient information is now available to determine  $F_{\ell}(\rho)$ ,  $G_{\ell}(\rho)$ , and  $G_{\ell}(\rho)$ , where  $1 \leq \ell \leq \ell_{max}$  and  $\ell_{max}$  was defined at the end of the preceding paragraph. Between equations (D6) and (D7) the term in  $F_{\ell+1}$  can be eliminated

$$\left[\frac{\eta}{\ell+1} + \frac{\ell+1}{\rho}\right] F_{\ell}(\rho) - F_{\ell}(\rho) = \frac{\left[\eta^2 + (\ell+1)^2\right]^{\frac{1}{2}}}{\ell+1} F_{\ell+1}(\rho) \tag{D7}$$

to give  $F_{\ell}(\rho)$  as a function of  $F_{\ell-1}(\rho)$  and  $F_{\ell}(\rho)$ ,

$$F_{\ell}(\rho) = \frac{\left(\eta^{2} + \ell^{2}\right)^{\frac{1}{2}}}{\ell} F_{\ell-1}(\rho) - \left(\frac{\eta}{\ell} + \frac{\ell}{\rho}\right) F_{\ell}(\rho), \qquad (D8)$$

The  $G_{\ell}(\rho)$  and  $G_{\ell}'(\rho)$  obey the same recursion relations as the  $F_{\ell}(\rho)$  and  $F_{\ell}'(\rho)$ , with  $F_{\ell}(\rho)$  replaced by  $G_{\ell}(\rho)$  and  $F_{\ell}'(\rho)$  by  $G_{\ell}'(\rho)$ . Thus equation (D8) gives  $G_{\ell}'(\rho)$  and equation (D7) can be solved to yield  $G_{\ell+1}(\rho)$ . Since  $F_{0}(\rho)$ ,  $F_{0}'(\rho)$ ,  $G_{0}(\rho)$ , and  $G_{0}'(\rho)$  have been previously determined, the required functions can be generated for increasing values of  $\ell$ . For each  $\ell$ -value the following Wronskian must be satisfied to within  $10^{-4}$ :

$$F_{\ell}^{\dagger}(\rho)G_{\ell}(\rho) - F_{\ell}(\rho)G_{\ell}^{\dagger}(\rho) = 1.$$

If it is not satisfied the Coulomb functions are incorrect and an error message occurs. At this point it is up to the user to determine the cause of the difficulty and to correct it. If the Wronskian is satisfied for all  $\ell$  up through  $\ell_{max}$  the theoretical phase shifts are calculated as outlined in Section D.1.

D.2.a.(2).

(2). Non-vanishing Coulomb Field and ho Small

Second we consider the case of  $\eta\neq 0$  and  $\rho\,small,\;\rho\leq 0.1.$  If further

$$T \equiv \frac{\mathbf{r}}{R} > 0.21,$$

where

$$R = \frac{\hbar^2}{2m_r e^2},$$

the functions F(r) and G(r) are calculated in the same manner as for  $\eta \neq 0$  and  $\rho \geq 0.1$  presented in Section D.2.a.(3).

If, on the other hand,  $\rho \leq 0.1$  and

$$T \equiv \frac{r}{R} \leq 0.21$$

the functions F(r) and G(r) are calculated from formulas given by Jackson and Blatt: 83)

$$F_{0}(\mathbf{r}) = C\rho\{L_{1}(\mathbf{r}) - \frac{\rho^{2}}{6}L_{2}(\mathbf{r}) + \frac{\rho^{4}}{120}\left[\frac{10}{9}L_{3}(\mathbf{r}) - \frac{1}{9}\right]...\}$$

$$G_{0}(\mathbf{r}) = \frac{1}{C}[H_{1}(\mathbf{r}) - \frac{\rho^{2}}{2}M(\mathbf{r}) + \frac{\rho^{4}}{24}N(\mathbf{r})]$$

$$+ \frac{1}{C}h(\eta)T\{L_{1}(\mathbf{r}) - \frac{\rho^{2}}{6}L_{2}(\mathbf{r}) + \frac{\rho^{4}}{120}\left[\frac{10}{9}L_{3}(\mathbf{r}) - \frac{1}{9}\right] - ...\}$$

where

$$L_{1}(r) = 1 + \frac{r}{2} + \frac{r^{2}}{12} + \frac{r^{3}}{144} + \dots$$

$$L_{2}(r) = 1 + \frac{r}{3} + \frac{r^{2}}{24} + \frac{r^{3}}{360} + \dots$$

$$L_{3}(r) = 1 + \frac{r}{4} + \frac{r^{2}}{40} + \frac{r^{3}}{720} + \dots$$

D.2.a.(2).

$$\begin{split} H_1(\mathbf{r}) &= 1 + T(\log T + 2\eta - 1) + \frac{T^2}{2}(\log T + 2\eta - \frac{5}{2}) \\ &+ \frac{T^3}{12}(\log T + 2\eta - \frac{10}{3}) + \dots \\ H_2(\mathbf{r}) &= 1 - T - \frac{T^2}{2}(\log T + 2\eta - \frac{3}{2}) - \frac{T^3}{6}(\log T + 2\eta - \frac{17}{6}) \dots \\ H_3(\mathbf{r}) &= 1 - \frac{T}{2} + \frac{T^2}{4} + \frac{T^3}{12}(\log T + 2\eta - \frac{11}{6}) + \dots \\ H_4(\mathbf{r}) &= 1 - \frac{T}{3} + \frac{T^2}{12} - \frac{T^3}{36} + \dots \\ M(\mathbf{r}) &= \frac{2}{3T}[L_1(\mathbf{r}) - H_2(\mathbf{r})] \\ N(\mathbf{r}) &= \frac{4}{3T}\{L_2(\mathbf{r}) + \frac{2}{T}H_3(\mathbf{r}) + \frac{12}{5T^2}[H_4(\mathbf{r}) - L_1(\mathbf{r})]\} \\ C &= \frac{2\pi\eta}{\exp(2\pi\eta) - 1} \\ h(\eta) &= \eta^2 \sum_{n=1}^{N} \frac{1}{n(n^2 + \eta^2)} - \log\eta - \gamma \end{split}$$

In the equation for  $h(\eta)$  N is that value of n for which

$$\begin{vmatrix} 1 - \frac{\sum_{i=1}^{n-1} \frac{1}{i(i^{2} + n^{2})}}{\sum_{j=1}^{n} \frac{1}{j(j^{2} + n^{2})}} \end{vmatrix} \leq 10^{-4}$$

and  $\gamma = 0.57722 = Euler's constant.$ 

In order to compute the derivatives  $F_0^{\bullet}(\mathbf{r})$  and  $G_0^{\bullet}(\mathbf{r})$ ,  $\mathbf{r}$  is incremented by  $\Delta \mathbf{r}$  = 0.25 fm and the procedure above repeated. Then

$$F_0'(r) = \frac{F_0(r + \Delta r) - F_0(r)}{k\Delta r}$$

$$G_0'(r) = \frac{G_0(r + \Delta r) - G_0(r)}{k\Delta r}.$$

The functions and their derivatives must then satisfy the Wronskian to within  $10^{-4}$ 

$$F_0'(r)G_0(r) - F_0(r)G_0'(r) = 1$$

and if they do,  $G_0'(r)$  is multiplied by k. Then the remaining  $F_{\ell}(\rho)$ ,  $F_{\ell}'(\rho)$ ,  $G_{\ell}(\rho)$ ,  $G_{\ell}'(\rho)$  are computed beginning with equation (D6) of the preceding case (n = 0), Section D.2.a.(1). If the Wronskian is not satisfied, the procedure outlined in Section D.2.a.(3). is followed.

### (3). Non-vanishing Coulomb Field and ho Large

Third we consider the case of  $n\neq 0$  and  $\rho$  large,  $\rho\geq 0.1$ . In this instance the Coulomb phase shifts  $\delta_{\varrho}$  for large  $\ell$  are

$$\delta_{\ell}(\eta) = \phi(\ell^{\frac{1}{2}}) + \eta(\log \beta - 1) - \frac{1}{\beta}(\frac{\sin \phi}{12} - \frac{\sin 3\phi}{360\beta^{2}} + \frac{\sin 5\phi}{1260\beta^{4}} - \frac{\sin 7\phi}{1680\beta^{6}} + \frac{\sin 9\phi}{1188\beta^{8}} - \dots)$$
(D9)

where

$$\phi = \tan^{-1}\left(\frac{\eta}{\ell+1}\right) \quad \text{and} \quad \beta = \left[\eta^2 + (\ell+1)^2\right]^{\frac{1}{2}}.$$

For  $\ell$  = 50 equation (D9) is used to compute  $\delta_{50}$  and then the recursion relation

$$\delta_{\ell}(\eta) = \delta_{\ell+1} - \tan^{-1}(\frac{\eta}{\ell+1})$$

allows the remaining phase shifts down to  $\delta_0$  to be determined.

The irregular functions  $G_0(\rho)$  and  $G_0^{\bullet}(\rho)$  are now calculated as follows

$$G_0(\rho) = s \cos\theta - t \sin\theta$$
  
 $G_0^{\bullet}(\rho) = S \cos\theta - T \sin\theta$  (D10)

where

$$\theta = -\eta \log 2\rho + \rho + \delta_0 \tag{D11}$$

and

$$s = \sum_{n=0}^{19} s_n$$
,  $t = \sum_{n=0}^{19} t_n$ ,  $S = \sum_{n=0}^{19} S_n$ ,  $T = \sum_{n=0}^{19} T_n$ . (D12)

The individual terms appearing in the sums of equations (D12) are found from the following recursion relations starting with  $r = r_0 + 0.1$ , where  $r_0$  is the initial radius:

$$s_{n+1} = A_n s_n - B_n t_n$$
,  $t_{n+1} = A_n t_n + B_n s_n$ ,  $s_{n+1} = A_n s_n - B_n s_n - \frac{s_{n+1}}{o}$ ,  $s_{n+1} = A_n s_n - \frac{t_{n+1}}{o}$ 

with

$$A_n = \frac{(2n+1)n}{2\rho(n+1)}, \qquad B_n = \frac{n^2 - n(n+1)}{2\rho(n+1)},$$

and the initial values

$$s_0 = 1$$
,  $s_1 = \frac{\eta}{2\rho}$ ,  $t_0 = 0$ ,  $t_1 = \frac{\eta^2}{2\rho}$ ,  $s_0 = 0$ ,  $s_1 = \frac{\eta^3 - \eta^2}{2\rho^2} - \frac{\eta}{2\rho^2}$ ,  $s_0 = 1 - \frac{\eta}{\rho}$ ,  $s_1 = \frac{\eta^2}{2\rho^2} + \frac{\eta}{2\rho}$ .

The sums were required to satisfy the Wronskian to within  $10^{-4}$ 

$$sT - St = 1$$
. (D13)

If the Wronskian was not satisfied, a value of r given by

$$r = r_0 + 2 + 0.1$$

(in fm) is used and the sums recomputed using the new value of  $\rho$ . This procedure is repeated until the Wronskian is satisfied at some value

$$r = r_0 + 2m + 0.1$$
 (D14)

where m is the integral number of times r was incremented by 2 fm. At this point the value of r is in the asymptotic region.  $G_0(\rho)$  and  $G_0'(\rho)$  are now computed using equations (D10)-(D12).

Next with

$$r = r_0 + 2m \tag{D15}$$

the sums, equations (D12), are recomputed and the Wronskian, equation (D13), rechecked. Presumably r is large enough that the Wronskian will be satisfied on the first attempt. If it is not, the procedure resulting in  $G_0(\rho)$  and  $G_0'(\rho)$  at a value given by equation (D14) is repeated until r is large enough that the Wronskian will be satisfied at a value given by both equations (D14) and (D15). When this occurs, two pairs of values  $G_0(\rho)$  and  $G_0'(\rho)$  are computed. This is necessary because  $G_0(\rho)$  and  $G_0'(\rho)$  are to be numerically integrated backward from  $r = r_0 + 2m$  to  $r = r_0$ .

The integration method employed is described by Fox and Goodwin  $^{95}$ ) and uses their recurrence relation, equation (D16). For  $\ell = 0$ , the Schroedinger equation is

$$\frac{d^2u(\rho)}{d\rho^2} = (\frac{2\eta}{\rho} - 1)u(\rho)$$

or

$$y'' = fy$$

where

$$f = (\frac{2\eta}{\rho} - 1)$$

and the recurrence relation can be applied:

$$(1 - \frac{h^2}{12}f_1)y_1 = (2 + \frac{5}{6}h^2f_0)y_0 - (1 - \frac{1}{12}h^2f_{-1})y_{-1}$$
 (D16)

with  $y_0 = G_0(r=r_0+2m)$  and  $y_{-1} = G_0(r=r_0+2m+0.1)$ . The error term  $\Delta$  (not shown) is neglected over the range of integration considered here. The integration step size h is held constant at -0.1 fm and the subscripts on f denote three successive evaluations of

$$f = \frac{2\eta k}{r} - k^2$$

for contiguous values of r differing only by  $\Delta r$  = 0.1 fm. Thus

$$f_1 = \frac{2\eta k}{r - \Delta r} - k^2$$
,  $f_0 = \frac{2\eta k}{r} - k^2$ ,  $f_{-1} = \frac{2\eta k}{r + \Delta r} - k^2$ .

Equation (D16) gives a new value of the function  $y_1 = y_1(r - \Delta r)$  starting with the values  $y_0 = y_0(r)$  and  $y_{-1} = y_{-1}(r + \Delta r)$ . Decrementing r by  $\Delta r$  gives a new value of  $f_1$  and the process can be repeated beginning with  $y_1$  and  $y_0$ . This procedure ultimately yields  $y(r=r_0) = G_0(r=r_0)$ . To

D.2.b. D15

find  $G_0'(r=r_0)$  the relation below is used.

$$G_0'(r_0) = G_0'(r+2m) - \frac{h}{3} \left[ \sum_{n=1}^{10m} (4y_{2n-1}f_{2n-1} + 2y_{2n}f_{2n}) - G_0(r_0)f_{20m} \right]$$

In this equation

$$f_{j} = \frac{2\eta k}{r_0 + 2m - j\Delta r} - k^2$$

and  $\Delta r = h = 0.1$ , which gives

$$f_{20m} = \frac{2\eta k}{r_0 + 2m - 20m(0.1)} - k^2 = \frac{2\eta k}{r_0} - k^2.$$

The value of y in the relation for  $G_0^{\gamma}(r_0)$  is

$$y_{j} = G_{0}(r=r_{0}+2m-j\Delta r).$$

At this point  $G_0(r=r_0)$  and  $G_0'(r=r_0)$  have been determined, and to obtain the remaining  $G_{\ell}(r=r_0)$ ,  $G_{\ell}'(r=r_0)$ , and all the  $F_{\ell}(r=r_0)$  and  $F_{\ell}'(r=r_0)$ , the procedure described for the case of n=0 is used beginning with equation (D6), Section D.2.a.(1).

#### b. Calculation of Theoretical Phase Shifts

Lane and Thomas discuss extensively the relation between the collision function U and the R function. No attempt will be made here to repeat their derivation, but instead the results of their treatment will be briefly summarized to obtain the expression for the phase shifts.

The collision function U was defined in Appendix C as the amplitude of the unit flux outgoing wave associated with the unit flux incident wave. Essentially the R function takes account of all interactions occurring inside of nuclei, both D.2.b. D16

inside the compound nucleus and inside the nuclei of separated pairs. R is defined by

$$R = \sum_{\lambda} \gamma_{\lambda}^{2} / (E_{\lambda} - E)$$
 (D17)

where  $\gamma_{\lambda}$  is defined as a <u>reduced width amplitude</u>. At certain real energies  $E_{\lambda}$  the radial solutions  $U_{\lambda}$  to the Schroedinger equation will have a vanishing first derivative at the boundary r = a. These energies  $E_{\lambda}$  are the eigenvalues belonging to the eigenfunctions  $U_{\lambda}$ . In equation (D17) E is the excitation energy.

The collision function can be expressed in terms of the R function by requiring that the internal  $(u_{\ell})$  and external  $(I_{\ell}, O_{\ell})$  wave functions and their first derivatives be continuous at the boundary r = a. Lane and Thomas accomplish this by equating the logarithmic derivatives at the boundary:

$$\frac{u_{\ell}}{\rho_{\ell} u_{\ell}^{*}} = R_{\ell} = \frac{I_{\ell} - U_{\ell} O_{\ell}}{\rho_{\ell} (I_{\ell}^{*} - U_{\ell}^{*} O_{\ell}^{*})}$$
(D18)

where  $I_{\ell}$  and  $O_{\ell}$  were defined in Appendix C as  $I_{\alpha\ell}$  and  $O_{\alpha\ell}$ , equations (C4). Solving equation (D18) for  $U_{\ell}$  yields

$$U_{\ell} = \frac{I_{\ell}}{O_{\ell}} \left( \frac{1 - L_{\ell}^* R_{\ell}}{1 - L_{\ell} R_{\ell}} \right)$$
 (D19)

where

$$L_{\ell} = (\rho_{\ell} O_{\ell}^{\dagger} / O_{\ell})_{r=a} = S_{\ell} + iP_{\ell}$$

$$S_{\ell} = [\rho_{\ell} (F_{\ell} F_{\ell}^{\dagger} + G_{\ell} G_{\ell}^{\dagger}) / (F_{\ell}^{2} + G_{\ell}^{2})]_{r=a}$$

$$P_{\ell} = \left[\rho_{\ell}/(F_{\ell}^2 + G_{\ell}^2)\right]_{r=a}$$

The asterisk on L above denotes complex conjugation and the apostrophe on  $I_{\ell}$  and  $O_{\ell}$  denotes the first derivative with respect to r. Also only positive energy channels are considered in this discussion.  $S_{\ell}$  and  $P_{\ell}$  will be more fully defined in a subsequent paragraph.

In equation (D19) the term  $\mathbf{I}_{\underline{\ell}}/\mathbf{O}_{\!\underline{\ell}}$  can be easily put in the form

$$I_{\varrho}/O_{\varrho} = \exp[2i(\omega_{\varrho}-\phi_{\varrho})],$$

where

$$\phi_{\ell} = \tan^{-1}(F_{\ell}/G_{\ell}),$$

by using equations (C4) for  $I_{\ell}$  and  $O_{\ell}$ . In a similar manner the remaining factor in equation (D19) can be written

$$\frac{1 - L_{\ell}^* R_{\ell}}{1 - L_{\ell} R_{\ell}} = \exp(2i\beta_{\ell})$$

where

$$\beta_{\ell} = \tan^{-1} \left( \frac{R_{\ell} P_{\ell}}{1 - R_{\ell} S_{\ell}} \right).$$

The collision function is then written in terms of the phase shift  $\theta_{\varrho}$ .

$$U_{\ell} = \exp(2i\theta_{\ell}) = \exp[2i(\beta_{\ell} - \phi_{\ell} + \omega_{\ell})]$$

In the foregoing results  $\beta_2$  is known as the resonance

D.2.b.

contribution to the phase shift and  $\phi_{\ell}$  is called the <u>hard</u> sphere scattering phase shift.

If the excitation energy E is close to one of the energy level positions  $E_{\lambda}$  the contribution to  $R_{\ell}$  from all terms in equation (D17) except  $\lambda$  can be neglected. Thus

$$R_{\ell} \simeq \gamma_{\lambda \ell}^2/(E_{\lambda}-E)$$

and the one-level approximation for the phase shift  $\theta_{\, \, \underline{\ell}}$  is

$$\theta_{\ell} = \tan^{-1} \left[ \frac{\gamma_{\ell}^{2} \rho_{\ell} / (F_{\ell}^{2} + G_{\ell}^{2})}{E_{R} - \gamma_{\ell}^{2} \rho_{\ell} \frac{(F_{\ell}^{F_{\ell}} + G_{\ell}^{G_{\ell}})}{(F_{\ell}^{2} + G_{\ell}^{2})} - E} \right] - \phi_{\ell}$$
 (D20)

where  $\mathbf{E}_{R}$  was used in place of  $\mathbf{E}_{\ell}$  to be consistent with the notation of Section III.B.3.

In equation (D20) the second term in the denominator is  $-\gamma_{\ell}^2 S_{\ell}$  and represents the amount the resonance energy is shifted from the eigenvalue position  $E_{\lambda}$ , and is known as the <u>shift factor</u>. The numerator in equation (D20) is  $\gamma_{\ell}^2 P_{\ell}$  and is equal to  $\frac{1}{2}\Gamma_{\ell}$ , the <u>level width</u>, which determines how fast the phase changes when E passes through the resonance energy at  $E = E_R - \gamma_{\ell}^2 S_{\ell}$ .  $P_{\ell}$  is called the <u>penetration factor</u> because it represents the probability of a particle reaching the nuclear surface.

APPENDIX E. RESULTS OF SOME OPTICAL PUMPING TESTS ON CELLS
CONTAINING ALUMINUM AND BRASS PARTS

This experiment was originally planned to permit low energy scattering ( $\geq$  3 MeV) scattering of <sup>3</sup>He and <sup>4</sup>He from a polarized <sup>3</sup>He target. The original target cell was designed with large angle windows which would have allowed scattered particles to be detected over the continuous laboratory angular range of 30° to 150° on both sides of the beam. Aluminum foil 0.15 mil thick was to have been used as the window material. Since such thin foils would not support a pressure differential of 1 atm the cell was to have been mounted inside a vacuum chamber which was designed and built for this purpose. Reference 1) gives a complete description of the cell and vacuum chamber. Unfortunately, this design did not work as planned.

The large angle cell had a brass center section and pyrex cylinders for ends. Indium gaskets were used between the pyrex and brass parts and between the foil windows and the brass center section. The cell was approximately 1 in.

I.D. × 3 in. tall and about 40% of its interior surface area was formed by aluminum foil and brass. Even though this cell could be made leak-free, polarization was never attained, apparently because the brass parts continued to outgas. The continuum in the discharge spectrum could never be completely eliminated. At one point during the attempted cleanup the cell showed a characteristic hydrogen discharge after it had been evacuated and left closed overnight. This was very

E. E2

unusual in view of the fact that the assembled cell had never been in contact with hydrogen. The only possible explanation is that the stock from which the brass part was fabricated had at some time been placed in contact with hydrogen. It is known that hydrogen can be adsorbed in copper, a major constituent of brass.

Since the combination brass-glass cell would not polarize, some tests were performed to isolate the cause. A piece of aluminum foil comparable in size to the total used on the large angle cell above was sealed inside a spherical pyrex bulb. In a similar bulb a piece of brass from the same stock as the brass center section of the large angle cell was sealed. The surface area of the brass constituted about 40% of the combined interior surface area of the test cell and the enclosed brass sample. A third control cell was simultaneously tested with the two containing metal samples. The three were attached to the vacuum system and cleaned in a similar manner. All three cells were filled with approximately 4 torr of <sup>3</sup>He. The results were as follows. The polarization in the cell containing aluminum foil was optically measured at 5.4%, the cell containing brass would not polarize, and the polarization in the control cell was 7.6%. One other test was performed with a similar cell containing a brass sample comprising about 8% of the interior surface area of the cell and brass together. brass sample was taken from the same stock as in the previous

E. E3

test. This cell was cleaned and filled to about 4 torr of  $^3\mathrm{He}$  in the usual fashion and it attained 5.9% polarization.

These tests suggest two conclusions: The presence of brass or aluminum in contact with <sup>3</sup>He in an optical pumping environment has a detrimental effect on the maximum attainable polarization and the presence of brass with sufficiently large surface area in contact with <sup>3</sup>He in an optical pumping environment will entirely prevent polarization. These results stand in contrast to those of Phillips et al. 6) whose target cell was fabricated of brass walls with pyrex ends to permit passage of the 4He pumping light. Their reported polarization of 8.5% (optically measured) was lower than the 10-15% obtained with cells made largely of pyrex. No definite explanation for their cell achieving polarization can be made: however, the volume of their cell was considerably larger than either the test cells or the large angle brass and pyrex cell. There is no evidence at this point to indicate that volume has any effect on polarization.

There appears to be little in the literature on the effects of various materials in contact with  $^3$ He in an optical pumping cell. Gamblin and Carver  $^9$ ) reported that mercury caused no noticeable change in the polarization but that in one test rubidium significantly decreased the spin lattice relaxation time and hence would reduce polarization. Colegrove et al.  $^8$ ) report that experiments with aluminum foil, Havar foil,  $^{96}$ ) brass, and aluminum inside optical pumping cells had no serious effect on metastable relaxation

E. E4

time. This latter report disagrees with the tests described in the preceding paragraphs.

The commercially available so-called nonferrous metals such as aluminum, brass, and copper contain from a fraction of a percent to 1% or 2% iron, depending on the alloy. This is a possible explanation of why the test cells containing these metals showed low or no polarization, since magnetic field gradients reduce metastable relaxation times, resulting in diminution of polarization. An interesting study would be to test a wide range of truly nonferrous metal alloys in contact with <sup>3</sup>He in an optical pumping environment. Fitzsimmons et al. <sup>97)</sup> have reported such a study on various types of glass containers and they propose a model to explain their results.

F1

#### APPENDIX F. ENERGY CALIBRATION FOR THE EXPERIMENT

F.

A new 90° energy analyzing magnet was installed on the 5.5 MeV Van de Graaff accelerator prior to performing this experiment. In order to calibrate the new magnet, the  $^{40}\text{Ca}(p,p)^{40}\text{Ca}$  reaction was chosen since the energy of the sharp resonance at  $E_p$  = 4.681 MeV is accurately known. A nuclear magnetic resonance technique was used to measure the strength of the magnetic field. Calibration data were taken at 5 kHz intervals in the vicinity of the resonance, which represents energy intervals of less than 5 keV. The uncertainty in the NMR frequency should be no more than a fraction of a percent, and it is thus estimated that the calibration energy is known to better than  $\pm 5$  keV.

It is known that the optics of the new magnet system do not restrict the beam entering the magnet to a small ray which is truly vertical at all energies. (The exit beam is in the horizontal direction.) It is estimated that the entering beam can be off the vertical by not more than  $2^{\circ}$ . If for a magnetic field B particles of energy E are deflected exactly  $90^{\circ}$ , then for particles to undergo a deflection of  $90^{\circ} \pm 2^{\circ}$  the bombarding energy E would have to change by  $\Delta E = \mp 12$  keV.

It is then concluded that the new magnet system can define the bombarding energy to better than ±20 keV, which will be quoted as the energy uncertainty for this experiment.

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