

## K-Anonymity

REU Summer 2007

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## How do you publicly release a database without compromising individual privacy?

The Wrong Approach:

- Just leave out any *unique* identifiers like name and SSN and hope that this works.
- The triple (DOB, gender, zip code) suffices to uniquely identify at least 87% of US citizens in publicly available databases (Sweeney).
- Moral: Any real privacy guarantee must be proved and established mathematically.

## Definitions

- *Database* – a table with  $n$  rows (records) and  $m$  columns (attributes)
- *Alphabet of a Database* ( $\Sigma$ ) – the range of values that individual cells in the database can take.
- Note that the alphabet of the  $k$ -anonymized database is  $\Sigma \cup \{*\}$

## How do you publicly release a database without compromising individual privacy?

- Models: K-Anonymity (Sweeney), Output Perturbation
- K-Anonymity: attributes are suppressed or generalized until each row is identical with at least  $k-1$  other rows. At this point the database is said to be  $k$ -anonymous.
- K-Anonymity thus prevents definite database linkages. At worst, the data released narrows down an individual entry to a group of  $k$  individuals.
- Unlike Output Perturbation models, K-Anonymity guarantees that the data released is accurate.

## Methods for Achieving K-Anonymity

- Suppression – can replace individual attributes with a \*
- Generalization – replace individual attributes with a broader category  
Example: (Age: 26 => Age: [20-30])
- We will be looking at K-Anonymity with suppression

## Examples

The following database:

first	last	age	race
Harry	Stone	34	Afr-Am
John	Reyser	36	Cauc
Beatrice	Stone	34	Afr-Am
John	Delgado	22	Hisp

Can be 2-Anonymized with suppression as follows:

first	last	age	race
*	Stone	34	Afr-Am
John	*	*	*
*	Stone	34	Afr-Am
John	*	*	*

Note: Rows 1 and 3 are identical and Rows 2 and 4 are identical

## Minimum Cost K-Anonymity

- Obviously, we can guarantee k-anonymity by replacing every cell with a \*, but this renders the database useless.
- The cost of K-Anonymous solution to a database is the number of \*'s introduced.
- A minimum cost k-anonymity solution suppresses the fewest number of cells necessary to guarantee k-anonymity.

## Results

- Minimum Cost 3-Anonymity is NP-Hard for  $|\Sigma| = O(n)$  (Meyerson, Williams 2004)
- Minimum Cost 3-Anonymity is NP-Hard for  $|\Sigma| = 3$  (Aggarwal et al. 2005)
- Minimum Cost 3-Anonymity is NP-Hard for  $|\Sigma| = 2$  (Dondi et al. July 2007)
- We independently proved the same thing this summer.

# Theorem: Minimum Cost 3-Anonymity is NP-Hard even with $|\Sigma| = 2$

- Lemma 1: There is a polynomial time reduction from the Edge Partition into Triangles and 4-stars problem to binary 3-Anonymity
- Lemma 2: Edge Partition into Triangles and 4-stars is NP-Complete

## Triangles and 4-Stars

- A *4-Star* is a simple graph with three edges, all three of which are incident to a common vertex  $v$ .  $v$  is called the center of the *4-Star*. The other vertices are called the leaves of the *4-Star*.



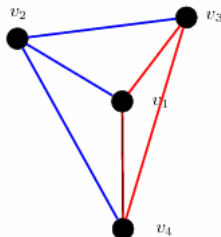
- A *triangle* is the complete graph with three vertices.



## Edge Partition into Triangles And 4-Stars

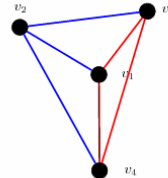
Given a graph  $G=(E,V)$  partition the set  $E$  into triples  $(e_i, e_j, e_k)$  such that for each triple  $(e_i, e_j, e_k)$  is either a triangle or a 4-Star.

Example:



## Lemma 1: Edge Partition into Triangles and 4-Stars $\leq_p$ Minimum Cost binary 3-Anonymity

Example 1:



	$v_1$	$v_2$	$v_3$	$v_4$		$v_1$	$v_2$	$v_3$	$v_4$
$\{v_1, v_2\}$	1	1	0	0	$\{v_1, v_2\}$	*	1	*	*
$\{v_2, v_3\}$	0	1	1	0	$\{v_2, v_3\}$	*	1	*	*
$\{v_2, v_1\}$	0	1	0	1	$\{v_2, v_1\}$	*	1	*	*
$\{v_1, v_3\}$	1	0	1	0	$\{v_1, v_3\}$	*	0	*	*
$\{v_1, v_4\}$	1	0	0	1	$\{v_1, v_4\}$	*	0	*	*
$\{v_3, v_4\}$	0	0	1	1	$\{v_3, v_4\}$	*	0	*	*

Claim: Database can be 3-Anonymized using exactly 3 '\*'s per column  $\Leftrightarrow G$  can be edge partitioned into triangles and 4-Stars.

## Lemma 1: Edge Partition into Triangles and 4-Stars $\leq_p$ Minimum Cost binary 3-Anonymity

Example 2:



	$v_1$	$v_2$	$v_3$	$v_4$
$\{v_1, v_2\}$	1	1	0	0
$\{v_2, v_3\}$	0	1	1	0
$\{v_3, v_4\}$	0	0	1	1

## Lemma 2: Exactly One In Three SAT $\leq_p$ Edge Partition into Triangles And 4-Stars

- Exactly One In Three Sat: Given a formula  $\phi$  whose clauses each contain 3 variables, is there an assignment such that each clause contains exactly one true variable?
- Exactly One In Three SAT is known to be NP-Complete.
- Given a formula  $\phi$  we construct a triangle free graph  $G_\phi$  such that  $E(G_\phi)$  can be partitioned into 4-Stars  $\Leftrightarrow \phi$  is satisfiable.
- $G_\phi$  is constructed from clause gadgets and variable gadgets.

## Clause Gadget

- A 5-Star is a simple graph with 4 edges all incident with a common vertex  $v$  (the center).

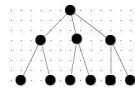


In our usage,  $v$  and  $p$  are considered *private*, while the other vertices are considered *shared*

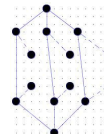
Note: In any 4-Star edge partition of a graph  $G$  which contains the clause gadget,  $v$  must be the center of exactly one 4-Star since  $v$  is the only vertex adjacent to  $p$  and has  $\deg(v) = 4$ . Hence, the 4-Star must use exactly two of the shared edges.

## Variable Gadget

- Let  $d \in \mathbb{N}$  be given, a 3-Binary Tree of depth  $d$  is a complete tree of depth  $d$  where the root has three children and all other nodes have two children.

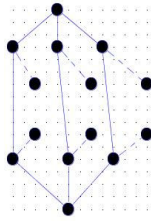


- Let  $d \in \mathbb{N}$  be given,  $G_d$  is the graph formed by taking two 3-Binary trees of depth  $d$ , deleting 3 leaf nodes from each and adding 3 edges between the parents of the deleted leaf nodes so that each parent node still has degree 3.



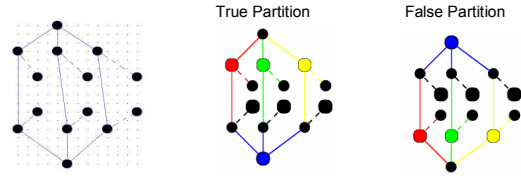
### Lemma 2: Exactly One In Three SAT $\leq_p$ Edge Partition into Triangles And 4-Stars

- $G_d$  is a gadget corresponding to each variable, the leaf vertices are consider *shared*, while all other vertices are considered *private*



### Lemma 2: Exactly One In Three SAT $\leq_p$ Edge Partition into Triangles And 4-Stars

- Motivation: In any 4-Star edge partition P of a graph G which contains  $G_d$ , if any of the *shared* vertices on the top (bottom) 3-Binary Tree are the leafs of a 4-Star in P then all of the *shared* vertices on top are leaves of a 4-Star in P and all of the shared vertices on the bottom (top) are the center of a 4-Star in P. Accordingly, we can say that  $G_d$  is true (false) partitioned.



### Lemma 2: Exactly One In Three Sat $\leq_p$ Edge Partition into Triangles And 4-Stars

Proof Motivation:

Given a formula  $\phi$  with variables  $x_1, \dots, x_n$  and clauses  $c_1, \dots, c_n$ , we can build a graph G using clause and variable gadgets such that any partition of G into 4-Stars corresponds to a satisfying assignment of  $\phi$  and vice versa.

### Is Minimum Cost 2-Anonymity NP-Hard?

- Without loss of generality, a 2-Anonymization partitions the rows into doubles and triples. Larger groups of rows could be split into smaller subgroups.
- Intuition 1: Minimum Weight Matching is easy and triples can only increase the number of stars per row.
- Problem: In some cases it is actually beneficial to use groups of three. Example:

1000000000...
0000000000...
1000000000...
1111111111...
0111111111...
1111111111...
**00000000...
**00000000...
**00000000...
**11111111...
**11111111...
**11111111...

## Theorem: 2-Anonymity is in P

- We can reduce a 2-Anonymity instance to the Simplex Matching Problem
- Anshelevich and Karagiozova just showed that there is a polynomial time algorithm to solve Simplex Matching (STOC, 2007)

## Simplex Matching

Given a hypergraph  $H$  with hyperedges of size 2 and 3, and a cost function  $C(e)$  such that:

1.  $(u,v,w) \in E(H) \rightarrow (u,v),(v,w),(u,w) \in E(H)$
2.  $C(u,v) + C(u,w) + C(v,w) \leq 2 C(u,v,w)$

Find the minimum cost node partition into hyperedges

## 2-Anonymity $\leq_p$ Simplex Matching

- Given a database  $D$ , build a hypergraph  $H$  with a node  $v_i$  for each row  $r_i$ .
- Let  $C_{i,j}$  denote the number of '\*'s needed to anonymize the rows  $r_i, r_j$ . Similarly, define  $C_{i,j,k}$ .
- For every pair of rows  $(r_i, r_j)$  add a hyperedge  $e_{i,j}$  with cost  $C(e_{i,j}) = C_{i,j}$
- For every triple  $(r_i, r_j, r_k)$  add a hyperedge  $e_{i,j,k}$  with  $C(e_{i,j,k}) = C_{i,j,k}$

## Do the Simplex Conditions Apply?

- $(u,v,w) \in E(H) \rightarrow (u,v),(v,w),(u,w) \in E(H)$   
Because  $E(H)$  contains every pair.
- Note that adding an extra row to a double can only increase the number of '\*'s per row.

$$\frac{1}{3}C_{i,j,k} \geq \frac{1}{2}C_{i,j}, \frac{1}{2}C_{j,k}, \frac{1}{2}C_{i,k}$$

Therefore,

$$2C_{i,j,k} \geq C_{i,j} + C_{j,k} + C_{i,k}$$

## 2-Anonymity $\leq_p$ Simplex Matching

- Recall that the optimal 2-Anonymity solution partitions the rows into groups of size 2 and 3. Larger groups can be split into smaller groups of size 2 and 3.
- Therefore, the optimal 2-Anonymity solution corresponds to the minimum cost partition of  $V(H)$  into hyperedges.
- Because the Simplex Conditions apply we can find the minimum cost partition of  $V(H)$  into hyperedges in polynomial time.