# Solutions to exercises in Bart Jacobs's book "Introduction to Coalgebra: Towards Mathematics of States and Observation"

# Anita Moyasari Amnieh and Ryan Tay

# some date very far into the future, if ever

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These are my solutions to all the labelled exercises in Jacobs (2017). This document does not stand on its own; it is meant to supplement the book.

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# 1 Motivation

# 1.1 Naturalness of Coalgebraic Representations

#### Exercise 1.1.1

1. Prove that the composition operation; as defined for coalgebras  $S \to \{\bot\} \cup S$  is associative, i.e. satisfies  $s_1$ ;  $(s_2; s_3) = (s_1; s_2)$ ;  $s_3$ , for all statements  $s_1, s_2, s_3 : S \to \{\bot\} \cup S$ .

Define a statement skip:  $S \to \{\bot\} \cup S$  which is a unit for composition; i.e. which satisfies  $(\mathsf{skip}\,;\,s) = s = (s\,;\,\mathsf{skip}),$  for all  $s\colon S \to \{\bot\} \cup S.$ 

2. Do the same for; defined on coalgebras  $S \to \{\bot\} \cup S \cup (S \times E)$ .

(In both cases, statements with an associative composition operation and a unit element form a monoid.)

Solution.

1. Recall that the composition operation; was defined as follows:

$$s; t := \lambda x \in S.$$
 
$$\begin{cases} \bot, & \text{if } s(x) = \bot, \\ t(x') & \text{if } s(x) = x' \in S, \end{cases}$$

for coalgebras  $s, t: S \to \{\bot\} \cup S$ . Fix any three coalgebras  $s_1, s_2, s_3: S \to \{\bot\} \cup S$ . Then

$$s_{1}; (s_{2}; s_{3}) = \lambda x \in S. \begin{cases} \bot, & \text{if } s_{1}(x) = \bot, \\ (s_{2}; s_{3})(x'), & \text{if } s_{1}(x) = x' \in S, \end{cases}$$

$$= \lambda x \in S. \begin{cases} \bot, & \text{if either } s_{1}(x) = \bot, \text{ or both } s_{1}(x) = x' \in S \text{ and } s_{2}(x') = \bot, \\ s_{3}(x''), & \text{if } s_{1}(x) = x' \in S \text{ and } s_{2}(x') = x'' \in S, \end{cases}$$

$$= \lambda x \in S. \begin{cases} \bot, & \text{if } (s_{1}; s_{2})(x) = \bot, \\ s_{3}(x''), & \text{if } (s_{1}; s_{2})(x) = x'' \in S, \end{cases}$$

$$= (s_{1}; s_{2}); s_{3}.$$

So the composition operation; is associative.

The coalgebra  $\mathsf{skip} \colon S \to \{\bot\} \cup S$  defined by  $\mathsf{skip}(x) \coloneqq x$ , for all  $x \in S$ , satisfies  $(\mathsf{skip} \, ; \, s) = s = (s \, ; \, \mathsf{skip})$  for all coalgebras  $s \colon S \to \{\bot\} \cup S$ .

2. Now we consider the composition operation; defined as follows:

$$s; t \coloneqq \lambda x \in S. \begin{cases} \bot, & \text{if } s(x) = \bot, \\ t(x'), & \text{if } s(x) = x' \in S, \\ (x', e), & \text{if } s(x) = (x', e) \in S \times E, \end{cases}$$

for coalgebras  $s, t: S \to \{\bot\} \cup S \cup (S \times E)$ . Fix any three coalgebras  $s_1, s_2, s_3: \{\bot\} \cup S \cup (S \times E)$ . Then

$$s_1; (s_2; s_3) = \lambda x \in S.$$

$$\begin{cases} \bot, & \text{if } s_1(x) = \bot, \\ (s_2; s_3)(x'), & \text{if } s_1(x) = x' \in S, \\ (x', e), & \text{if } s_1(x) = (x', e) \in S \times E, \end{cases}$$

$$= \lambda x \in S. \begin{cases} \bot, & \text{if either } s_1(x) = \bot, \text{ or both } s_1(x) = x' \in S \text{ and } s_2(x') = \bot, \\ s_3(x''), & \text{if } s_1(x) = x' \in S \text{ and } s_2(x') = x'' \in S, \\ (x'', e), & \text{if } s_1(x) = x' \in S \text{ and } s_2(x') = (x'', e) \in S \times E, \\ (x', e), & \text{if } s_1(x) = (x', e) \in S \times E, \end{cases}$$

$$= \lambda x \in S. \begin{cases} \bot, & \text{if } (s_1; s_2)(x) = \bot, \\ s_3(x''), & \text{if } (s_1; s_2)(x) = x'' \in S, \\ (x'', e), & \text{if } (s_1; s_2)(x) = (x'', e) \in S \times E, \end{cases}$$

$$= (s_1; s_2); s_3.$$

So this composition operation; is also associative.

Now define the coalgebra  $\mathsf{skip} \colon S \to \{\bot\} \cup S \cup (S \times E)$  by  $\mathsf{skip}(x) \coloneqq x$ , for all  $x \in S$ . Then we have  $(\mathsf{skip} \colon s) = s = (s \colon \mathsf{skip})$  for all coalgebras  $s \colon S \to \{\bot\} \cup S \cup (S \times E)$ .

# Exercise 1.1.2

Define also a composition monoid (skip, ;) for coalgebras  $S \to \mathcal{P}(S)$ .

Solution. For coalgebras  $s, t: S \to \mathcal{P}(S)$ , define

$$s; t := \lambda x \in S. \left( \bigcup_{y \in s(x)} t(y) \right).$$

Then, for coalgebras  $s_1, s_2, s_3 \colon S \to \mathcal{P}(S)$ , we have

$$s_1; (s_2; s_3) = \lambda x \in S. \left( \bigcup_{y \in s_1(x)} (s_2; s_3)(y) \right)$$

$$= \lambda x \in S. \left( \bigcup_{y \in s_1(x)} \bigcup_{z \in s_2(y)} s_3(z) \right)$$

$$= \lambda x \in S. \left( \bigcup_{z \in (s_1; s_2)(x)} s_3(z) \right)$$

$$= (s_1; s_2); s_3.$$

Furthermore, defining skip:  $S \to \mathcal{P}(S)$  by  $\mathsf{skip}(x) \coloneqq \{x\}$  for all  $x \in S$ , we have

$$\begin{aligned} (\mathsf{skip}\,;\,s) &= \lambda x \in S. \left( \bigcup_{y \in \mathsf{skip}(x)} s(y) \right) \\ &= \lambda x \in S. \left( \bigcup_{y \in \{x\}} s(y) \right) \\ &= \lambda x \in S. s(x) \\ &= s \end{aligned}$$

and

$$(s\,;\,\mathsf{skip}) = \lambda x \in S. \left(\bigcup_{y \in s(x)} \mathsf{skip}(y)\right)$$

$$= \lambda x \in S. \left(\bigcup_{y \in s(x)} \{y\}\right)$$

$$= \lambda x \in S.s(x)$$

$$= s.$$

## 1.2 The Power of Coinduction

## Exercise 1.2.1

Compute the nextdec-behaviour of  $\frac{1}{7} \in [0,1)$  as in Example 1.2.2.

Solution. We first recall all of the following functions.

1. The final coalgebra  $\mathsf{next} \colon \{0,\dots,9\}^\infty \to \{\bot\} \cup \big(\{0,\dots,9\} \times \{0,\dots,9\}^\infty\big)$  is defined by

$$\mathsf{next}(\sigma) \coloneqq \begin{cases} \bot, & \text{if } \sigma \text{ is the empty sequence,} \\ (d,\sigma'), & \text{if } \sigma \text{ has head } d \in \{0,\dots,9\} \text{ and tail } \sigma' \in \{0,\dots,9\}^\infty, \text{ i.e. } \sigma = d \cdot \sigma', \end{cases}$$

for all (finite or infinite) sequences  $\sigma \in \{0, \dots, 9\}^{\infty}$ .

2. The coalgebra nextdec:  $[0,1) \to \{\bot\} \cup (\{0,\ldots,9\} \times [0,1))$  is defined by

$$\mathsf{nextdec}(r) \coloneqq \begin{cases} \bot, & \text{if } r = 0, \\ (d, 10r - d), & \text{if } d \leq 10r < d + 1 \text{ and } d \in \{0, \dots, 9\}, \end{cases}$$

for all  $r \in [0, 1)$ .

3. The function beh\_{\sf nextdec} \colon [0,1) \to \{0,\dots,9\}^\infty is the unique function making

$$\{\bot\} \cup \left(\{0,\ldots,9\} \times [0,1)\right) \xrightarrow{\mathrm{id}_{\{\bot\}} \cup \left(\mathrm{id}_{\{0,\ldots,9\}} \times \mathrm{beh}_{\mathsf{nextdec}}\right)} \\ = \bigcap_{\mathsf{next}} \mathsf{nextdec}$$

$$[0,1) \xrightarrow{\exists \mathrm{lbeh}_{\mathsf{nextdec}}} \{\bot\} \cup \left(\{0,\ldots,9\} \times \{0,\ldots,9\}^{\infty}\right)$$

commute.

We wish to compute beh<sub>nextdec</sub>  $\left(\frac{1}{7}\right)$ . We see that

$$\begin{split} \operatorname{beh_{nextdec}} \left( \frac{1}{7} \right) &= \operatorname{next}^{-1} \left( \left( \operatorname{id}_{\{\bot\}} \ \cup \ \left( \operatorname{id}_{\{0,\ldots,9\}} \times \operatorname{beh_{nextdec}} \right) \right) \left( \operatorname{nextdec} \left( \frac{1}{7} \right) \right) \right) \\ &= \operatorname{next}^{-1} \left( \left( \operatorname{id}_{\{\bot\}} \ \cup \ \left( \operatorname{id}_{\{0,\ldots,9\}} \times \operatorname{beh_{nextdec}} \right) \right) \left( \left( 1, \, \frac{3}{7} \right) \right) \right) \end{split}$$

$$\begin{split} &= \mathsf{next}^{-1} \bigg( \left( 1, \, \mathrm{beh}_{\mathsf{nextdec}} \bigg( \frac{3}{7} \bigg) \right) \bigg) \\ &= 1 \cdot \mathrm{beh}_{\mathsf{nextdec}} \bigg( \frac{3}{7} \bigg). \end{split}$$

Continuing in this fashion,

$$\begin{split} \operatorname{beh_{nextdec}}\left(\frac{1}{7}\right) &= 1 \cdot \operatorname{beh_{nextdec}}\left(\frac{3}{7}\right) \\ &= 1 \cdot \left(4 \cdot \operatorname{beh_{nextdec}}\left(\frac{2}{7}\right)\right) \\ &= 1 \cdot \left(4 \cdot \left(2 \cdot \operatorname{beh_{nextdec}}\left(\frac{6}{7}\right)\right)\right) \\ &= 1 \cdot \left(4 \cdot \left(2 \cdot \left(8 \cdot \operatorname{beh_{nextdec}}\left(\frac{4}{7}\right)\right)\right)\right) \\ &= 1 \cdot \left(4 \cdot \left(2 \cdot \left(8 \cdot \left(5 \cdot \operatorname{beh_{nextdec}}\left(\frac{5}{7}\right)\right)\right)\right)\right) \\ &= 1 \cdot \left(4 \cdot \left(2 \cdot \left(8 \cdot \left(5 \cdot \left(7 \cdot \operatorname{beh_{nextdec}}\left(\frac{1}{7}\right)\right)\right)\right)\right)\right). \end{split}$$

Therefore beh<sub>nextdec</sub> $\left(\frac{1}{7}\right) = \langle 1, 4, 2, 8, 5, 7, 1, 4, 2, 8, 5, 7, 1, 4, 2, 8, 5, 7, \dots \rangle$ .

## Exercise 1.2.2

Formulate appropriate rules for the function odds:  $A^{\infty} \to A^{\infty}$  in analogy with the rules (1.7) for evens.

Solution. We recall that, for a sequence  $\sigma := \langle a_0, a_1, a_2, a_3, \dots \rangle \in A^{\infty}$ , the function odds satisfies odds $(\sigma) = \langle a_1, a_3, a_5, \dots \rangle$ , and analogously if  $\sigma$  is a finite sequence. The rules we want odds to satisfy are:

$$\frac{\sigma \not\rightarrow}{\mathsf{odds}(\sigma) \not\rightarrow}$$

i.e. odds should send the empty sequence to the empty sequence;

$$\frac{\sigma \xrightarrow{a} \sigma' \qquad \sigma' \not\rightarrow}{\operatorname{odds}(\sigma) \not\rightarrow}$$

i.e. odds should send a singleton sequence  $\langle a \rangle$  to the empty sequence; and

$$\frac{\sigma \xrightarrow{a} \sigma' \qquad \sigma' \xrightarrow{a'} \sigma''}{\operatorname{odds}(\sigma) \xrightarrow{a'} \operatorname{odds}(\sigma')}$$

i.e. if  $\sigma = a \cdot a' \cdot \sigma' \in A^{\infty}$ , where  $a, a' \in A$ , then  $\mathsf{odds}(\sigma) = a' \cdot \mathsf{odds}(\sigma')$ .

## Exercise 1.2.3

Use coinduction to define the empty sequence  $\langle \rangle \in A^{\infty}$  as a map  $\{\bot\} \to A^{\infty}$ .

Fix an element  $a \in A$ , and similarly define the infinite sequence  $\overrightarrow{a} : \{\bot\} \to A^{\infty}$  consisting of only as.

Solution. We recall that the final coalgebra next:  $A^{\infty} \to \{\bot\} \cup (A \times A^{\infty})$  is defined by

$$\mathsf{next}(\sigma) \coloneqq \begin{cases} \bot, & \text{if } \sigma \text{ is the empty sequence,} \\ (a,\sigma'), & \text{if } \sigma \text{ has head } a \in A \text{ and tail } \sigma' \in A^\infty, \text{ i.e. } \sigma = a \cdot \sigma', \end{cases}$$

for all (finite or infinite) sequences  $\sigma \in A^{\infty}$ .

For the coalgebra  $\kappa_1 : \{\bot\} \to \{\bot\} \cup (A \times \{\bot\})$  defined by  $\kappa_1(\bot) := \bot$ , the unique function  $\operatorname{beh}_{\kappa_1} : \{\bot\} \to A^{\infty}$  making

$$\{\bot\} \cup (A \times \{\bot\}) \xrightarrow{\operatorname{id}_{\{\bot\}} \cup (\operatorname{id}_A \times \operatorname{beh}_{\kappa_1})} \{\bot\} \cup (A \times A^{\infty})$$

$$\kappa_1 \qquad \qquad \cong \uparrow \operatorname{next}$$

$$\{\bot\} \xrightarrow{\exists ! \operatorname{beh}_{\kappa_1}} A^{\infty}$$

commute satisfies beh\_ $\kappa_1(\bot) = \langle \rangle$ .

For the coalgebra  $c_a : \{\bot\} \to \{\bot\} \cup (A \times \{\bot\})$  defined by  $c_a(\bot) := (a, \bot)$ , the unique function beh<sub>ca</sub>:  $\{\bot\} \to A^{\infty}$  making

commute satisfies  $beh_{c_a}(\bot) = \overrightarrow{d} = \langle a, a, a, \ldots \rangle$ .

## Exercise 1.2.4

Compute the outcome of merge( $\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle$ ).

Solution. Recall that we defined the coalgebra  $m: A^{\infty} \times A^{\infty} \to \{\bot\} \cup (A \times (A^{\infty} \times A^{\infty}))$  by

$$m(\sigma,\tau) := \begin{cases} \bot, & \text{if } \sigma \not\to \text{ and } \tau \not\to, \\ (a,(\sigma,\tau')), & \text{if } \sigma \not\to \text{ and } \tau \xrightarrow{a} \tau', \\ (a,(\tau,\sigma')), & \text{if } \sigma \xrightarrow{a} \sigma', \end{cases}$$

for all  $\sigma, \tau \in A^{\infty}$ , and that merge:  $A^{\infty} \times A^{\infty} \to A^{\infty}$  is the unique function making

$$\{\bot\} \cup (A \times (A^{\infty} \times A^{\infty})) \xrightarrow{\operatorname{id}_{\{\bot\}}} \cup (\operatorname{id}_{A} \times \operatorname{merge}) \\ \downarrow \\ \downarrow \\ M \qquad \qquad \cong \\ \uparrow \operatorname{next} \\ A^{\infty} \times A^{\infty} \xrightarrow{\exists ! \operatorname{merge}} A^{\infty}$$

commute. Then

$$\mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) = \mathsf{next}^{-1} \Big( \big( \mathrm{id}_{\{\bot\}} \ \cup \ (\mathrm{id}_A \times \mathsf{merge}) \big) \big( m(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \big) \Big) \Big) + \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) + \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) + \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle a_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle a_0, b_1, b_2, b_3 \rangle) \Big) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle a_0, a_1, a_2 \rangle, \langle a_0, a_1, a_2 \rangle, \langle a_0, a_1, a_2 \rangle \Big) \Big) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle a_0, a_1, a_2 \rangle, \langle a_0, a_1, a_2 \rangle \Big) \Big) \Big) \Big) \Big( \mathrm{id}_A \times \mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle$$

$$\begin{split} &= \mathsf{next}^{-1} \Big( \big( \mathrm{id}_{\{\bot\}} \ \cup \ (\mathrm{id}_A \times \mathsf{merge}) \big) \big( (a_0, (\langle b_0, b_1, b_2, b_3 \rangle, \langle a_1, a_2 \rangle)) \big) \Big) \\ &= \mathsf{next}^{-1} \Big( \big( a_0, \mathsf{merge}(\langle b_0, b_1, b_2, b_3 \rangle, \langle a_1, a_2 \rangle) \big) \Big) \\ &= a_0 \cdot \mathsf{merge}(\langle b_0, b_1, b_2, b_3 \rangle, \langle a_1, a_2 \rangle), \end{split}$$

and so on. Eventually, we obtain  $\mathsf{merge}(\langle a_0, a_1, a_2 \rangle, \langle b_0, b_1, b_2, b_3 \rangle) = \langle a_0, b_0, a_1, b_1, a_2, b_2, b_3 \rangle.$ 

## Exercise 1.2.5

Is the merge operation associative, i.e. is  $merge(\sigma, merge(\tau, \rho))$  the same as  $merge(merge(\sigma, \tau), \rho)$ ? Give a proof or a counterexample. Is there a neutral element for merge?

Solution. The merge operation is not associative:

$$\begin{split} \mathsf{merge}(\langle a \rangle, \mathsf{merge}(\langle b \rangle, \langle c \rangle) &= \mathsf{merge}(\langle a \rangle, \langle b, c \rangle) \\ &= \langle a, b, c \rangle, \end{split}$$

whereas

$$\begin{aligned} \mathsf{merge}(\mathsf{merge}(\langle a \rangle, \langle b \rangle), \langle c \rangle) &= \mathsf{merge}(\langle a, b \rangle, \langle c \rangle) \\ &= \langle a, c, b \rangle, \end{aligned}$$

for all  $a, b, c \in A$ .

The neutral element for merge is the empty sequence: for any  $\sigma \in A^{\infty}$ , we have  $\mathsf{merge}(\sigma, \langle \rangle) = \mathsf{merge}(\langle \rangle, \sigma) = \sigma$ .

## Exercise 1.2.6

Show how to define an alternative merge function which alternatingly takes two elements from its argument sequences.

Solution. We will define a coalgebra  $m_2: A^{\infty} \times A^{\infty} \to \{\bot\} \cup (A \times (A^{\infty} \times A^{\infty}))$  so that the desired merge function is the unique function  $\operatorname{merge}_2: A^{\infty} \times A^{\infty} \to A^{\infty}$  making

commute. As a motivating example, the desired merge of two infinite streams  $\langle a_0, a_1, \dots \rangle$  and  $\langle b_0, b_1, \dots \rangle$  should be

$$\mathsf{merge}_2(\langle a_0, a_1, a_2, a_3, \dots \rangle, \langle b_0, b_1, b_2, b_3, \dots \rangle) = \langle a_0, a_1, b_0, b_1, a_2, a_3, b_2, b_3, \dots \rangle.$$

As the diagram above commutes, we would require

$$\mathsf{merge}_2\big(m_2(\langle a_0, a_1, a_2, a_3, \dots \rangle, \langle b_0, b_1, b_2, b_3, \dots \rangle)\big) = \big(a_0, \langle a_1, b_0, b_1, a_2, a_3, b_2, b_3, \dots \rangle\big)$$

and so  $m_2$  should be defined to satisfy

$$m_2(\langle a_0, a_1, a_2, a_3, \dots \rangle, \langle b_0, b_1, b_2, b_3, \dots \rangle) = (a_0, (\langle a_1, b_0, a_3, b_2, \dots \rangle, \langle b_1, a_2, b_3, a_4, \dots))$$

Dealing with edge cases separately leads us to the following definition: we define the coalgebra  $m_2: A^{\infty} \times A^{\infty} \to \{\bot\} \cup (A \times (A^{\infty} \times A^{\infty}))$  as follows.

1. The function  $m_2$  sends the pair  $(\langle \rangle, \langle \rangle)$  to  $\perp$ , i.e.

$$m_2(\langle \rangle, \langle \rangle) \coloneqq \bot.$$

2. If  $\tau \in A^{\infty}$  is a non-empty sequence, say  $\tau \xrightarrow{a} \tau'$  for some  $\tau' \in A^{\infty}$  and  $a \in A$ , then

$$m_2(\langle \rangle, \tau) := (a, (\langle \rangle, \tau')).$$

3. If  $\sigma = \langle a \rangle$  for some  $a \in A$ , then

$$m_2(\langle a \rangle, \tau) \coloneqq (a, (\langle \rangle, \tau))$$

for all  $\tau \in A^{\infty}$ .

4. If  $\sigma \in A^{\infty}$  has at least length 2, say  $\sigma \xrightarrow{a} \sigma' \xrightarrow{a'} \sigma''$  for some  $\sigma', \sigma'' \in A^{\infty}$  and  $a, a' \in A$ , then

$$m_2(\sigma,\tau) \coloneqq \Big(a, \big(\mathsf{merge}(\mathsf{odds}(\sigma), \mathsf{evens}(\tau)), \mathsf{merge}(\mathsf{odds}(\tau), \mathsf{evens}(\sigma''))\big)\Big)$$

for all  $\tau \in A^{\infty}$ .

Now let  $\mathsf{merge}_2 \colon A^\infty \times A^\infty \to A^\infty$  be the unique function which makes

commute. Fix any  $\sigma, \tau \in A^{\infty}$ . We argue by cases on  $(\sigma, \tau)$  that this function  $\mathsf{merge}_2$  is the desired merge function.

- 1. If  $\sigma = \tau = \langle \rangle$ , then  $\mathsf{merge}_2(\langle \rangle, \langle \rangle) = \langle \rangle$ .
- 2. If  $\sigma = \langle \rangle$  and  $\tau$  is a non-empty sequence, say  $\tau = a \cdot \tau'$  for some  $a \in A$  and  $\tau' \in A^{\infty}$ , then

$$\operatorname{merge}_2(\langle \rangle, \tau) = a \cdot \operatorname{merge}_2(\langle \rangle, \tau').$$

Thus  $merge_2(\langle \rangle, \tau) = \tau$ .

3. If  $\sigma = \langle a \rangle$  for some  $a \in A$ , then

$$\begin{aligned} \operatorname{merge}_2(\langle a \rangle, \tau) &= a \cdot \operatorname{merge}_2(\langle \rangle, \tau) \\ &= a \cdot \tau. \end{aligned}$$

4. If  $\sigma = a \cdot a' \cdot \sigma''$  for some  $a, a' \in A$  and  $\sigma'' \in A^{\infty}$ , then

$$\begin{split} \mathsf{merge}\big(\mathsf{odds}(\mathsf{merge}(\mathsf{odds}(\tau),\mathsf{evens}(\sigma''))),\\ \mathsf{odds}(\mathsf{merge}(\mathsf{evens}(\tau),\mathsf{odds}(\sigma'')))\big) \Big) \\ &= a \cdot a' \cdot \mathsf{merge}_2\Big(\mathsf{merge}\big(\mathsf{evens}(\tau),\mathsf{odds}(\tau)\big),\mathsf{merge}\big(\mathsf{evens}(\sigma''),\mathsf{odds}(\sigma'')\big)\Big) \\ &= a \cdot a' \cdot \mathsf{merge}_2(\tau,\sigma''), \end{split}$$

as desired.

#### Exercise 1.2.7

- 1. Define three functions  $ex_i: A^{\infty} \to A^{\infty}$ , for i = 0, 1, 2, which extract the elements at positions 3n + i.
- 2. Define merge3:  $A^{\infty} \times A^{\infty} \times A^{\infty} \to A^{\infty}$  satisfying the equation merge3(ex<sub>0</sub>( $\sigma$ ), ex<sub>1</sub>( $\sigma$ ), ex<sub>2</sub>( $\sigma$ )) =  $\sigma$ , for all  $\sigma \in A^{\infty}$ .

Solution.

1. Define  $c_0, c_1, c_2 \colon A^{\infty} \to \{\bot\} \cup (A \times A^{\infty})$  as follows:

$$c_{0}(\sigma) := \begin{cases} \bot, & \text{if } \sigma = \langle \rangle, \\ (a, \langle \rangle) & \text{if } \sigma = \langle a \rangle \text{ or } \sigma = \langle a, a' \rangle \text{ for some } a, a' \in A, \\ (a, \sigma'''), & \text{if } \sigma \xrightarrow{a} \sigma' \xrightarrow{a'} \sigma'' \xrightarrow{a''} \sigma''', \end{cases}$$

$$c_{1}(\sigma) := \begin{cases} \bot, & \text{if } \sigma = \langle \rangle \text{ or } \sigma = \langle a \rangle \text{ for some } a \in A, \\ (a', \langle \rangle) & \text{if } \sigma = \langle a, a' \rangle \text{ for some } a, a' \in A, \\ (a', \sigma'''), & \text{if } \sigma \xrightarrow{a} \sigma' \xrightarrow{a'} \sigma'' \xrightarrow{a''} \sigma''', \end{cases}$$

$$c_{2}(\sigma) := \begin{cases} \bot, & \text{if } \sigma = \langle \rangle, \text{ or } \sigma = \langle a \rangle, \text{ or } \sigma = \langle a, a' \rangle \text{ for some } a, a' \in A, \\ (a'', \sigma'''), & \text{if } \sigma \xrightarrow{a} \sigma' \xrightarrow{a'} \sigma'' \xrightarrow{a''} \sigma'''. \end{cases}$$

Then, for  $i \in \{0, 1, 2\}$ , the function  $ex_i : A^{\infty} \to A^{\infty}$  is the unique function making

commute.

2. Define the coalgebra  $m_3: A^{\infty} \times A^{\infty} \times A^{\infty} \to \{\bot\} \cup (A \times (A^{\infty} \times A^{\infty} \times A^{\infty}))$  by

$$m_{3}(\sigma, \tau, \rho) := \begin{cases} \bot, & \text{if } \sigma = \tau = \rho = \langle \rangle, \\ \left(a, (\langle \rangle, \langle \rangle, \rho')\right), & \text{if } \sigma = \tau = \langle \rangle \text{ and } \rho \xrightarrow{a} \rho' \text{ for some } a \in A \text{ and } \rho' \in A^{\infty}, \\ \left(a, (\langle \rangle, \rho, \tau')\right), & \text{if } \sigma = \langle \rangle \text{ and } \tau \xrightarrow{a} \tau' \text{ for some } a \in A \text{ and } \tau' \in A^{\infty}, \\ \left(a, (\tau, \rho, \sigma')\right), & \text{if } \sigma \xrightarrow{a} \sigma' \text{ for some } a \in A \text{ and } \sigma' \in A^{\infty}. \end{cases}$$

Then we let merge3:  $A^{\infty} \times A^{\infty} \times A^{\infty} \to A^{\infty}$  be the unique function making

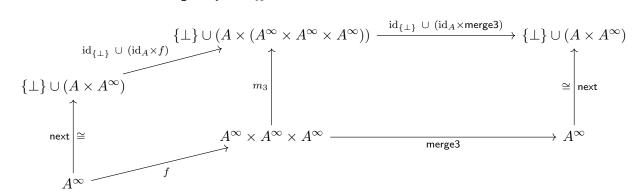
$$\{\bot\} \cup (A \times (A^{\infty} \times A^{\infty} \times A^{\infty})) \xrightarrow{\operatorname{id}_{\{\bot\}} \cup (\operatorname{id}_{A} \times \operatorname{merge3})} \{\bot\} \cup (A \times A^{\infty})$$

$$= \bigcap_{n \in X} \operatorname{next}$$

$$A^{\infty} \times A^{\infty} \times A^{\infty} \xrightarrow{\exists ! \operatorname{merge3}}$$

commute.

Let us prove that  $\operatorname{merge3}(\operatorname{ex}_0(\sigma), \operatorname{ex}_1(\sigma), \operatorname{ex}_2(\sigma)) = \sigma$  for all  $\sigma \in A^{\infty}$ , by coinduction. Consider the function  $f \colon A^{\infty} \to A^{\infty} \times A^{\infty} \times A^{\infty}$  defined by  $f(\sigma) \coloneqq (\operatorname{ex}_0(\sigma), \operatorname{ex}_1(\sigma), \operatorname{ex}_2(\sigma))$  for all  $\sigma \in A^{\infty}$ . We wish to show that  $\operatorname{merge3} \circ f = \operatorname{id}_{A^{\infty}}$ .



Let us first show that the left square commutes. It certainly commutes when we chase the empty sequence:  $(m_3 \circ f)(\langle \rangle) = \bot = ((\mathrm{id}_{\{\bot\}} \cup (\mathrm{id}_A \times f)) \circ \mathsf{next})(\langle \rangle)$ . If  $\sigma \in A^{\infty}$  is a non-empty sequence, say  $\sigma \xrightarrow{a} \sigma'$  for some  $a \in A$  and  $\sigma' \in A^{\infty}$ , then we have

$$(m_3 \circ f)(\sigma) = m_3(\mathsf{ex}_0(\sigma), \mathsf{ex}_1(\sigma), \mathsf{ex}_2(\sigma))$$

$$= (a, (\mathsf{ex}_1(\sigma), \mathsf{ex}_2(\sigma), \mathsf{ex}_0(\sigma')))$$

$$= (a, (\mathsf{ex}_0(\sigma'), \mathsf{ex}_1(\sigma'), \mathsf{ex}_2(\sigma')))$$

$$= ((\mathrm{id}_{\{\bot\}} \cup (\mathrm{id}_A \times f)) \circ \mathsf{next})(\sigma)$$

where the second-to-last equality can also be proven by coinduction. Therefore the outer square commutes, and so

$$\mathsf{next} \circ (\mathsf{merge3} \circ f) = \left( \left( \mathrm{id}_{\{\bot\}} \cup \left( \mathrm{id}_A \times (\mathsf{merge3} \circ f) \right) \right) \circ \mathsf{next}.$$

The finality of the coalgebra next:  $A^{\infty} \to \{\bot\} \cup (A \times A^{\infty})$  now yields merge $3 \circ f = \mathrm{id}_{A^{\infty}}$ .

## Exercise 1.2.8

Consider the sequential composition function comp:  $A^{\infty} \times A^{\infty} \to A^{\infty}$  for sequences, described by the three rules:

$$\begin{array}{c|c} \sigma \not \rightarrow & \tau \not \rightarrow \\ \hline \mathsf{comp}(\sigma,\tau) \not \rightarrow & & & & & & & & & & & & \\ \hline & \sigma \not \rightarrow & \tau \xrightarrow{a} \tau' \\ \hline & & & & & & & \\ \hline & \sigma \xrightarrow{a} \sigma' \\ \hline & \mathsf{comp}(\sigma,\tau) \xrightarrow{a} \mathsf{comp}(\sigma',\tau) \end{array} .$$

- 1. Show by coinduction that the empty sequence  $\langle \rangle = \mathsf{next}^{-1}(\bot) \in A^{\infty}$  is a unit element for comp, i.e. that  $\mathsf{comp}(\langle \rangle, \sigma) = \sigma = \mathsf{comp}(\sigma, \langle \rangle)$ .
- 2. Prove also by coinduction that comp is associative, and thus that sequences carry a monoid structure.

Solution.

1. Let  $f: A^{\infty} \to A^{\infty}$  be defined by  $f(\sigma) := \mathsf{comp}(\langle \rangle, \sigma)$ . We will show that the diagram

$$\{\bot\} \cup (A \times A^{\infty}) \xrightarrow{\operatorname{id}_{\{\bot\}} \ \cup \ (\operatorname{id}_A \times f)} } \{\bot\} \cup (A \times A^{\infty})$$

$$\stackrel{\operatorname{next}}{\cong} \qquad \qquad \stackrel{\cong}{\cong} \operatorname{next}$$

$$A^{\infty} \xrightarrow{\qquad \qquad \qquad \qquad \qquad \qquad \qquad } A^{\infty}$$

commutes, which would yield  $f = id_{A^{\infty}}$  by the finality of the coalgebra next.

First, we chase the empty sequence from the bottom left. We see that

$$\begin{aligned} (\mathsf{next} \circ f)(\langle \rangle) &= \mathsf{next}(\mathsf{comp}(\langle \rangle, \langle \rangle)) \\ &= \mathsf{next}(\langle \rangle) \\ &= \bot, \end{aligned}$$

the first rule for comp, and

$$((\mathrm{id}_{\{\bot\}} \cup (\mathrm{id}_A \times f)) \circ \mathsf{next}) (\langle \rangle) = (\mathrm{id}_{\{\bot\}} \cup (\mathrm{id}_A \times f)) (\bot)$$

$$= \bot$$

Now if  $\sigma \in A^{\infty}$  is a non-empty sequence, say  $\sigma \xrightarrow{a} \sigma'$  for some  $a \in A$  and  $\sigma' \in A^{\infty}$ , we see that

$$\begin{split} (\mathsf{next} \circ f)(\sigma) &= \mathsf{next}(\mathsf{comp}(\langle \rangle, a \cdot \sigma')) \\ &= (a, \mathsf{comp}(\langle \rangle, \sigma')) \\ &= (a, f(\sigma')), \end{split}$$

by the second rule for comp and the definition of f, and

$$\begin{split} \big( \big( \mathrm{id}_{\{\bot\}} \cup (\mathrm{id}_A \times f) \big) \circ \mathsf{next} \big) (\sigma) &= \big( \big( \mathrm{id}_{\{\bot\}} \cup (\mathrm{id}_A \times f) \big) \big( (a, \sigma') \big) \\ &= (a, f(\sigma')). \end{split}$$

Thus  $\operatorname{next} \circ f = (\operatorname{id}_{\{\bot\}} \cup (\operatorname{id}_A \times f)) \circ \operatorname{next}$ . This proves that  $\operatorname{comp}(\langle \rangle, \sigma) = \sigma$  for all  $\sigma \in A^{\infty}$ .

We now show the other equality, that  $\mathsf{comp}(\sigma, \langle \rangle) = \sigma$  for all  $\sigma \in A^{\infty}$ , we will show that the function  $g \colon A^{\infty} \to A^{\infty}$  defined by  $g(\sigma) \coloneqq \mathsf{comp}(\sigma, \langle \rangle)$  for all  $\sigma \in A^{\infty}$  also satisfies

$$\mathsf{next} \circ g = \left( \mathrm{id}_{\{\bot\}} \cup (\mathrm{id}_A \times g) \right) \circ \mathsf{next}.$$

That  $(\mathsf{next} \circ g)(\bot) = ((\mathrm{id}_{\{\bot\}} \cup (\mathrm{id}_A \times g)) \circ \mathsf{next})(\bot)$  is the same as with f. Now if  $\sigma \in A^{\infty}$  is such that  $\sigma \xrightarrow{a} \sigma'$  for some  $a \in A$  and  $\sigma' \in A^{\infty}$ , we see that

$$(\mathsf{next} \circ g)(\sigma) = \mathsf{next}(\mathsf{comp}(a \cdot \sigma', \langle \rangle))$$

$$= (a, comp(\sigma', \langle \rangle))$$
$$= (a, g(\sigma')),$$

by the third rule for comp and the definition of g, and

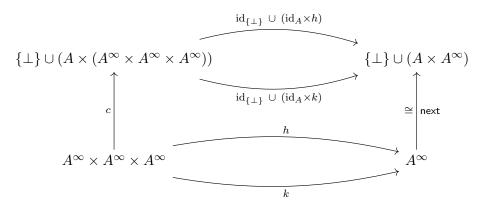
$$((\mathrm{id}_{\{\bot\}} \cup (\mathrm{id}_A \times g)) \circ \mathsf{next})(\sigma) = ((\mathrm{id}_{\{\bot\}} \cup (\mathrm{id}_A \times g)))((a, \sigma'))$$
$$= (a, g(\sigma')).$$

Therefore  $g = \mathrm{id}_{A^{\infty}}$ , i.e.  $\mathsf{comp}(\sigma, \langle \rangle) = \sigma$  for all  $\sigma \in A^{\infty}$ .

2. We will define a coalgebra  $c: A^{\infty} \times A^{\infty} \times A^{\infty} \to \{\bot\} \cup (A \times (A^{\infty} \times A^{\infty} \times A^{\infty}))$  such that the functions  $h, k: A^{\infty} \times A^{\infty} \times A^{\infty} \to A^{\infty}$  given by

$$h(\sigma, \tau, \rho) := \mathsf{comp}(\sigma, \mathsf{comp}(\tau, \rho))$$
 and  $k(\sigma, \tau, \rho) := \mathsf{comp}(\mathsf{comp}(\sigma, \tau), \rho),$ 

for all  $\sigma, \tau, \rho \in A^{\infty}$ , are both coalgebra homomorphisms from c to next.



The finality of next would then yield h = k.

Define 
$$c: A^{\infty} \times A^{\infty} \times A^{\infty} \to \{\bot\} \cup (A \times (A^{\infty} \times A^{\infty} \times A^{\infty}))$$
 by

$$c(\sigma,\tau,\rho) \coloneqq \begin{cases} \bot, & \text{if } \sigma = \tau = \rho = \langle \rangle, \\ \left(a,(\langle \rangle,\langle \rangle,\rho'), & \text{if } \sigma = \tau = \langle \rangle \text{ and } \rho = a \cdot \rho' \text{ for some } a \in A \text{ and } \rho' \in A^{\infty}, \\ \left(a,(\langle \rangle,\tau',\rho)\right), & \text{if } \sigma = \langle \rangle \text{ and } \tau = a \cdot \tau' \text{ for some } a \in A \text{ and } \tau' \in A^{\infty}, \\ \left(a,(\sigma',\tau,\rho)\right), & \text{if } \sigma = a \cdot \sigma' \text{ for some } a \in A \text{ and } \sigma' \in A^{\infty}. \end{cases}$$

Using the rules for comp, it is now elementary to check that h and k make their respective diagrams commute.

## Exercise 1.2.9

Consider two sets A, B with a function  $f: A \to B$  between them. Use finality to define a function  $f^{\infty}: A^{\infty} \to B^{\infty}$  that applies f element-wise. Use uniqueness to show that this mapping  $f \mapsto f^{\infty}$  is 'functorial' in the sense that  $(\mathrm{id}_A)^{\infty} = \mathrm{id}_{A^{\infty}}$  and  $(g \circ f)^{\infty} = g^{\infty} \circ f^{\infty}$ .

Solution. For a (non-empty) set B, let  $\mathsf{next}_B \colon B^\infty \to \{\bot\} \cup (B \times B^\infty)$  denote the final coalgebra defined by

$$\mathsf{next}(\sigma) \coloneqq \begin{cases} \bot, & \text{if } \sigma \text{ is the empty sequence,} \\ (b, \sigma'), & \text{if } \sigma \text{ has head } b \in B \text{ and tail } \sigma' \in B^\infty, \text{ i.e. } \sigma = b \cdot \sigma', \end{cases}$$

for all  $\sigma \in B^{\infty}$ . For a function  $f: A \to B$ , define a coalgebra  $c_f: A^{\infty} \to \{\bot\} \cup (B \times A^{\infty})$  by

$$c_f(\sigma) \coloneqq \begin{cases} \bot, & \text{if } \sigma = \langle \rangle, \\ (f(a), \sigma'), & \text{if } \sigma = a \cdot \sigma' \text{ for some } a \in A \text{ and } \sigma' \in A^{\infty}, \end{cases}$$

for all  $\sigma \in A^{\infty}$ . Let  $f^{\infty} : A^{\infty} \to B^{\infty}$  be the unique function making

$$\{\bot\} \cup (B \times A^{\infty}) \xrightarrow{\operatorname{id}_{\{\bot\}} \ \cup \ (\operatorname{id}_B \times f^{\infty})} \qquad \{\bot\} \cup (B \times B^{\infty})$$

$$\downarrow c_f \qquad \qquad \cong \qquad \qquad = \downarrow \operatorname{next}_B$$

$$\downarrow A^{\infty} \qquad \qquad \exists ! f^{\infty} \qquad \qquad B^{\infty}$$

commute. Then  $f(\langle a_0, a_1, a_2, a_3, \dots \rangle) = \langle f(a_0), f(a_1), f(a_2), f(a_3), \dots \rangle$  for all  $a_0, a_1, a_2, a_3, \dots \in A$ , and analogously for finite sequences.

We see that  $c_{\mathrm{id}_A} = \mathsf{next}_A$ . So  $(\mathrm{id}_A)^{\infty} = \mathrm{id}_{A^{\infty}}$  by finality of  $\mathsf{next}_A$ . Furthermore, for functions  $f \colon A \to B$  and  $g \colon B \to C$ , we see that

$$\{\bot\} \cup (C \times A^{\infty}) \xrightarrow{\operatorname{id}_{\{\bot\}} \cup (\operatorname{id}_{C} \times f^{\infty})} \{\bot\} \cup (C \times B^{\infty})$$

$$\downarrow c_{g \circ f} \qquad \qquad \downarrow c_{g} \qquad$$

commutes. Consequently, the outer square in the diagram

$$\{\bot\} \cup (C \times A^{\infty}) \xrightarrow{\operatorname{id}_{\{\bot\}} \ \cup \ (\operatorname{id}_{C} \times f^{\infty})} \to \{\bot\} \cup (C \times B^{\infty}) \xrightarrow{\operatorname{id}_{\{\bot\}} \ \cup \ (\operatorname{id}_{C} \times g^{\infty})} \to \{\bot\} \cup (C \times C^{\infty})$$

$$\downarrow c_{g \circ f} \qquad \qquad \downarrow c_{g} \qquad \qquad \downarrow$$

commutes, i.e.

$$\mathsf{next}_C \circ (g^\infty \circ f^\infty) = \left( \mathrm{id}_{\{\bot\}} \cup \left( \mathrm{id}_C \times (g^\infty \circ f^\infty) \right) \right) \circ c_{g \circ f}.$$

The finality of  $\operatorname{next}_C$  then yields  $(g \circ f)^{\infty} = g^{\infty} \circ f^{\infty}$ .

## Exercise 1.2.10

Use finality to define a map st:  $A^{\infty} \times B \to (A \times B)^{\infty}$  that maps a sequence  $\sigma \in A^{\infty}$  and an element  $b \in B$  to a new sequence in  $(A \times B)^{\infty}$  by adding this b at every position in  $\sigma$ . (This is an example of a 'strength' map; see Exercise 2.5.4.

Solution. Define a coalgebra  $c: A^{\infty} \times B \to \{\bot\} \cup ((A \times B) \times (A^{\infty} \times B))$  as follows:

$$c(\sigma,b) \coloneqq \begin{cases} \bot, & \text{if } \sigma = \langle \rangle, \\ \big((a,b),(\sigma',b)\big), & \text{if } \sigma = a \cdot \sigma' \text{ for some } a \in A \text{ and } \sigma' \in A^{\infty}, \end{cases}$$

for all  $\sigma \in A^{\infty}$  and  $b \in B$ . The unique function st:  $A^{\infty} \times B \to (A \times B)^{\infty}$  making

commute will satisfy  $\operatorname{st}(\langle a_0, a_1, a_2, \dots \rangle, b) = \langle (a_0, b), (a_1, b), (a_2, b), \dots \rangle$  for all  $a_0, a_1, a_2, a_3, \dots \in A$  and  $b \in B$ , and analogously for finite sequences in  $A^{\infty}$ .

## 1.3 Generality of Temporal Logic of Coalgebras

## Exercise 1.3.1

The nexttime operator  $\circ$  introduced in (1.9) is the so-called **weak** nexttime. There is an associated **strong** nexttime, given by  $\neg \circ \neg$ . Note the difference between weak and strong nexttime for sequences.

Solution. Recall that, for a sequence coalgebra  $c: S \to \{\bot\} \cup (A \times S)$  and a predicate  $P \subseteq S$ , we have

$$(\bigcirc P)(x)$$
 if and only if  $c(x) = \bot$  or  $c(x) \in A \times P$ ,

for all  $x \in S$ . So,

$$(\bigcirc \neg P)(x)$$
 if and only if  $c(x) = \bot$  or  $c(x) \in A \times (S \setminus P)$ ,

and thus

$$(\neg \bigcirc \neg P)(x)$$
 if and only if  $c(x) \neq \bot$  and  $c(x) \notin A \times (S \setminus P)$ .

Since the codomain of c is  $\{\bot\} \cup (A \times S)$ , and since  $P \subseteq S$ , we can equivalently write this as

$$(\neg \bigcirc \neg P)(x)$$
 if and only if  $c(x) \in A \times P$ .

## Exercise 1.3.2

Prove that the 'truth' predicate that always holds is a (sequence) invariant. And if  $P_1$  and  $P_2$  are invariants, then so is the intersection  $P_1 \cap P_2$ . Finally, if P is an invariant, then so is  $\circ P$ .

Solution. Fix a sequence coalgebra  $c: S \to \{\bot\} \cup (A \times S)$ . The truth predicate is the set S itself. Then, for all  $x \in S$ ,

$$(\bigcirc S)(x)$$
 if and only if  $c(x) = \bot$  or  $c(x) \in A \times S$ .

Since the codomain of c is  $\{\bot\} \cup (A \times S)$ , this means that  $\bigcirc S = S$ , and so S is an invariant. Now suppose that  $P_1$  and  $P_2$  and invariant, i.e.  $P_1 \subseteq \bigcirc P_1$  and  $P_2 \subseteq \bigcirc P_2$ . Then, for all  $x \in S$ ,

$$(\bigcirc(P_1 \cap P_2))(x)$$
 if and only if  $c(x) = \bot$  or  $c(x) \in A \times (P_1 \cap P_2)$   
if and only if  $c(x) = \bot$  or  $c(x) \in (A \times P_1) \cap (A \times P_2)$   
if and only if  $(c(x) = \bot$  or  $c(x) \in A \times P_1)$  and  $(c(x) = \bot$  or  $c(x) \in A \times P_2)$   
if and only if  $(\bigcirc P_1)(x)$  and  $(\bigcirc P_2)(x)$ .

Hence  $P_1 \cap P_2 \subseteq (\bigcirc P_1) \cap (\bigcirc P_2) = \bigcirc (P_1 \cap P_2)$ , and so  $P_1 \cap P_2$  is also invariant.

Finally, suppose that P is invariant, i.e.  $P \subseteq \bigcirc P$ . We aim to show that  $\bigcirc P \subseteq \bigcirc \bigcirc P$ . Suppose  $x \in S$  is such that  $(\bigcirc P)(x)$  holds. Then either  $c(x) = \bot$  or  $c(x) \in A \times P \subseteq A \times \bigcirc P$ . Therefore  $(\bigcirc \bigcirc P)(x)$  holds.

## Exercise 1.3.3

- 1. Show that  $\square$  is an interior operator, i.e. satisfies:  $\square P \subseteq P$ ,  $\square P \subseteq \square \square P$ , and  $P \subseteq Q \Longrightarrow \square P \subseteq \square Q$ .
- 2. Prove that a predicate P is invariant if and only if  $P = \Box P$ .

Solution. Fix a sequence coalgebra  $c: S \to \{\bot\} \cup (A \times S)$ . Recall that the henceforth operator  $\square$  is defined on predicates  $P \subseteq S$  as follows: for all  $x \in S$ ,

$$(\Box P)(x)$$
 if and only if there exists an invariant  $Q \subseteq S$  with  $x \in Q \subseteq P$ .

In other words,  $\Box P$  is the union of all invariants contained in P.

1. If  $x \in \Box P$ , then there is an invariant  $Q \subseteq S$  with  $x \in Q \subseteq P$ . So  $x \in P$  too. Also, Q is an invariant with  $x \in Q \subseteq \Box P$ . So  $x \in \Box \Box P$  as well. Thus  $\Box P \subseteq P$  and  $\Box P \subseteq \Box \Box P$ .

Now suppose  $P \subseteq Q \subseteq S$ . Then, for any  $x \in \Box P$ , there is an invariant  $R \subseteq S$  with  $x \in R \subseteq P \subseteq Q$ . So  $x \in \Box Q$  as well. Therefore  $\Box P \subseteq \Box Q$ .

2. For the forward direction, suppose that P is invariant. By definition,  $\Box P$  is the union of all invariants contained within P. As P is assumed to be an invariant, we must have  $\Box P = P$ .

For the converse direction, suppose that  $\Box P = P$ . We need to show that P is an invariant, i.e.  $P \subseteq \bigcirc P$ . For any  $x \in P = \Box P$ , there exists an invariant  $Q \subseteq S$  with  $x \in Q \subseteq P$ . As Q is an invariant, either  $c(x) = \bot$  or  $c(x) \in A \times Q \subseteq A \times P$ . Hence we also have  $x \in \bigcirc P$ . Therefore  $P \subseteq \bigcirc P$ , meaning P is an invariant.

## Exercise 1.3.4

Recall the finite behaviour predicate  $\Diamond((-) \not\to)$  from Example 1.3.4.1 and show that it is an invariant:  $\Diamond((-) \not\to) \subseteq \Diamond((-) \not\to)$ . Hint: For an invariant Q, consider the predicate  $Q' = (\neg(-) \not\to) \cap (\bigcirc Q)$ .

Solution. Fix a sequence coalgebra  $c: S \to \{\bot\} \cup (A \times S)$ . Recall that, for a predicate  $P \subseteq S$  and  $x \in S$ ,

$$(\lozenge P)(x)$$
 if and only if for all invariants  $Q \subseteq S$ , we have  $\neg Q(x)$  or  $Q \not\subseteq \neg P$ .

That is,  $\Diamond P = \neg \Box \neg P$ .

Suppose  $x \in S$  is such that  $\Diamond(x \not\to)$  holds. We need to show that  $\Diamond(x \not\to)$  holds, i.e. if  $x \xrightarrow{a} x'$  for some  $(a, x') \in A \times S$ , then  $\Diamond(x' \not\to)$  also holds. Fix any invariant  $Q \subseteq S$  with  $Q \subseteq \neg((-) \not\to)$ . We need to show that  $\neg Q(x')$ .

Following the hint, we consider the predicate

$$Q' \coloneqq \neg \big( (-) \not\to \big) \cap (\bigcirc Q).$$

Observe that Q' is an invariant: if  $y \in S$  satisfies Q'(y), then there is some  $(b, y') \in A \times S$  such that  $y \xrightarrow{b} y'$  and Q(y') hold. Then, since  $Q \subseteq \neg((-) \not\rightarrow)$  and Q is an invariant, we conclude that Q'(y') also holds. So  $Q' \subseteq \bigcirc Q'$ .

Hence if Q(x') holds, then Q'(x) holds too, contradicting the assumption that  $\Diamond(x \not\to)$ .

## Exercise 1.3.5

Let  $(A, \leq)$  be a complete lattice, i.e. a poset in which each subset  $U \subseteq A$  has a join  $\bigvee U \in A$ . It is well known that each subset  $U \subseteq A$  then also has a meet  $\bigwedge U \in A$ , given by  $\bigwedge U = \bigvee \{ a \in A \mid \forall b \in U.a \leq b \}$ .

Let  $f: A \to A$  be a monotone function:  $a \leq b$  implies  $f(a) \leq f(b)$ . Recall, e.g. from Davey and Priestley (1990, Chapter 4) that such a monotone f has both a least fixed point  $\mu f \in A$  and a greatest fixed point  $\nu f \in A$  given by the formulas:

$$\mu f = \bigwedge \{ a \in A \mid f(a) \le a \}, \qquad \nu f = \bigvee \{ a \in A \mid a \le f(a) \}.$$

Now let  $c: S \to \{\bot\} \cup (A \times A)$  be an arbitrary sequence coalgebra, with associated nexttime operator  $\bigcirc$ .

- 1. Prove that  $\bigcirc$  is a monotone function  $\mathcal{P}(S) \to \mathcal{P}(S)$ , i.e. that  $P \subseteq Q$  implies  $\bigcirc P \subseteq \bigcirc Q$ , for all  $P,Q \subseteq S$ .
- 2. Check that  $\Box P \in \mathcal{P}(S)$  is the greatest fixed point of the function  $\mathcal{P}(S) \to \mathcal{P}(S)$  given by  $U \mapsto P \cap \bigcirc U$ .
- 3. Define for  $P,Q \subseteq S$  a new predicate  $P \ \mathcal{U} \ Q \subseteq S$ , for 'P until Q' as the least fixed point of  $U \mapsto Q \cup (P \cap \neg \bigcirc \neg U)$ . Check that 'until' is indeed a good name for  $P \ \mathcal{U} \ Q$ , since it can be described explicitly as

$$P \ \mathcal{U} \ Q = \{ x \in S \mid \exists n \in \mathbb{N}. \exists x_0, x_1, \dots, x_n \in S.$$
$$x_0 = x \land (\forall i < n. \exists a. x_i \xrightarrow{a} x_{i+1}) \land Q(x_n)$$
$$\land \forall i < n. P(x_i) \}.$$

Hint: Don't use the fixed point definition  $\mu$ , but first show that this subset is a fixed point, and then that it is contained in an arbitrary fixed point.

(The fixed point definitions that we described above are standard in temporal logic; see e.g. Emerson (1990, 3.24–3.25). The above operation  $\mathcal{U}$  is what is called the 'strong' until. The 'weak one' does not have the negations  $\neg$  in its fixed-point description in point 3.)

Solution.

1. For subsets  $P, Q \in \mathcal{P}(S)$  with  $P \subseteq Q$ , and for  $x \in S$  such that  $(\bigcirc P)(x)$  holds, we have

$$c(x) = \bot \text{ or } c(x) \in A \times P.$$

From the assumption that  $P \subseteq Q$ , it follows that

$$c(x) = \bot \text{ or } c(x) \in A \times Q,$$

or equivalently,  $(\bigcirc Q)(x)$ .

2. Fix  $P \in \mathcal{P}(S)$  and define  $f_P \colon \mathcal{P}(S) \to \mathcal{P}(S)$  by  $f_P(U) := P \cap OU$  for all  $U \in \mathcal{P}(S)$ . Then the greatest fixed point of  $f_P$  is

$$\nu(f_P) := \bigcup_{\substack{U \in \mathcal{P}(S), \\ U \subset f_P(U)}} U = \bigcup_{\substack{U \in \mathcal{P}(S), \\ U \subseteq P \cap OU}} U = \square P.$$

3. Fix  $P, Q \in \mathcal{P}(S)$ , and define  $f_{P,Q} \colon \mathcal{P}(S) \to \mathcal{P}(S)$  by

$$f_{PO}(U) := Q \cup (P \cap \neg \bigcirc \neg U)$$

for all  $U \in \mathcal{P}(S)$ . Recall, from Exercise 1.3.1, that

$$\neg \bigcirc \neg U = \{ x \in S : c(x) \in A \times U \}.$$

We wish to show that the set

$$U_{P,Q} := Q \cup \left\{ x \in S : \text{ there exist } n \in \mathbb{Z}_{>0}, \ x_0, \dots, x_n \in S \text{ and } a_0, \dots, a_{n-1} \in A \right\}$$
  
such that  $x = x_0 \xrightarrow{a_0} \cdots \xrightarrow{a_{n-1}} x_n$  and  $P(x_0), \dots, P(x_{n-1}), \text{ and } Q(x_n) \text{ all hold } \right\}$ 

is the least fixed point of  $f_{P,Q}$ .

First, observe that

$$f_{P,Q}(U_{P,Q}) = Q \cup (P \cap \neg \bigcirc \neg U_{P,Q})$$

$$= Q \cup (P \cap \{x \in S : c(x) \in A \times U_{P,Q} \})$$

$$= Q \cup \{x \in S : P(x) \text{ and } c(x) \in A \times U_{P,Q} \}$$

$$= U_{P,Q},$$

so that  $U_{P,Q}$  is indeed a fixed point of  $f_{P,Q}$ .

Now we show that  $U_{P,Q}$  is the least fixed point of  $f_{P,Q}$ . Fix some  $B \subseteq S$  with  $f_{P,Q}(B) = B$ , i.e.

$$Q \cup \{x \in S : P(x) \text{ and } c(x) \in A \times B\} = B.$$

Then we get  $U_{P,Q} \subseteq B$  by induction on the length of finite sequences  $x_0, \ldots x_n \in S$  and  $a_0, \ldots, a_{n-1} \in A$  satisfying  $x_0 \xrightarrow{a_0} \cdots \xrightarrow{a_{n-1}} x_n$ , and  $P(x_0) \wedge \cdots \wedge P(x_{n-1}) \wedge Q(x_n)$ .

## 1.4 Abstractness of Coalgebraic Notions

## Exercise 1.4.1

Let (M, +, 0) be a monoid, considered as a category. Check that a functor  $F: M \to \mathbf{Sets}$  can be identified with a **monoid action**: a set X together with a function  $\mu: X \times M \to X$  with  $\mu(x, 0) = x$  and  $\mu(x, m_1 + m_2) = \mu(\mu(x, m_2), m_1)$ .

Solution. Suppose we are given functor  $F: M \to X$ . This F sends the unique object  $\star \in \mathsf{Obj}(M)$  to a set  $F(\star) \in \mathsf{Obj}(\mathbf{Sets})$ , and sends each  $m \in \mathsf{Arr}(M)$  to a function  $Fm: F(\star) \to F(\star)$ . The functoriality of F requires that  $F(0) = \mathrm{id}_{F(\star)}$  and  $F(m_1 + m_2) = F(m_1) \circ F(m_2)$  for all  $m_1, m_2 \in \mathsf{Arr}(M)$ . We then define a function  $\theta_F: F(\star) \times \mathsf{Arr}(M) \to F(\star)$  by  $\theta_F(x, m) \coloneqq F(m)(x)$  for all  $(x, m) \in F(\star) \times M$ .

The equality  $\theta_F(x,0) = x$  for all  $x \in F(\star)$  follows the equality  $F(0) = \mathrm{id}_{F(\star)}$ , while the equality  $\theta_F(x,m_1+m_2) = \theta_F(\mu_F(x,m_2),m_1)$  for all  $x \in X$  and  $m_1,m_2 \in \mathsf{Arr}(M)$  follows from the equality  $F(m_1+m_2) = F(m_1) \circ F(m_2)$ .

Now suppose we are given also given a set X and a function  $\mu: X \times \mathsf{Arr}(M) \to X$  with  $\mu(x,0) = x$  and  $\mu(x, m_1 + m_2) = \mu(\mu(x, m_2), m_1)$  for all  $x \in X$  and  $m, m_1, m_2 \in \mathsf{Arr}(M)$ . We then define a functor  $G_{\mu} \colon M \to \mathbf{Sets}$  by  $G_{\mu}(\star) \coloneqq X$ , for the unique object  $\star \in \mathsf{Obj}(M)$ , and  $G_{\mu}(m) \coloneqq \mu(-, m)$  for each  $m \in \mathsf{Arr}(M)$ . That  $G_{\mu}$  is actually a functor follows from the assumptions on  $\mu$ .

We then have 
$$G_{\theta_F} = F$$
 and  $\theta_{G_{\mu}} = \mu$ .

## Exercise 1.4.2

Check in detail that the opposite  $\mathbb{C}^{op}$  and the product  $\mathbb{C} \times \mathbb{D}$  are indeed categories.

Solution. Let  $\mathbb C$  and  $\mathbb D$  be categories.

We defined  $\operatorname{Obj}(\mathbb{C}^{\operatorname{op}}) := \operatorname{Obj}(\mathbb{C})$ . For  $X, Y \in \operatorname{Obj}(\mathbb{C})$ , write  $\operatorname{hom}_{\mathbb{C}}(X, Y)$  for the set of all morphisms with domain X and codomain Y. We then defined  $\operatorname{hom}_{\mathbb{C}^{\operatorname{op}}}(X, Y) := \operatorname{hom}_{\mathbb{C}}(Y, X)$ , and we defined a composition  $X \stackrel{f}{\leftarrow} Y \stackrel{g}{\leftarrow} Z$  in  $\mathbb{C}^{\operatorname{op}}$  to be the composition  $X \stackrel{f}{\rightarrow} Y \stackrel{g}{\rightarrow} Z$  in  $\mathbb{C}$ . The associativity and identity laws for composition in  $\mathbb{C}^{\operatorname{op}}$  follow from those for  $\mathbb{C}$ .

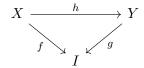
We defined  $\operatorname{Obj}(\mathbb{C} \times \mathbb{D}) := \operatorname{Obj}(\mathbb{C}) \times \operatorname{Obj}(\mathbb{D})$ . For  $X, X' \in \operatorname{Obj}(\mathbb{C})$  and  $Y, Y' \in \operatorname{Obj}(\mathbb{D})$ , we let  $\operatorname{hom}_{\mathbb{C} \times \mathbb{D}}((X,Y),(X',Y')) := \operatorname{hom}_{\mathbb{C}}(X,X') \times \operatorname{hom}_{\mathbb{D}}(Y,Y')$ . A composition  $(X,Y) \xrightarrow{(f,g)} (X',Y') \xrightarrow{(f',g')} (X'',Y'')$  in  $\mathbb{C} \times \mathbb{D}$  is defined to be the composition  $(X,Y) \xrightarrow{(f'f,g'g)} (X'',Y'')$ . For an object (X,Y) in  $\mathbb{C} \times \mathbb{D}$ , the identity morphism  $\operatorname{id}_{(X,Y)}$  is the pair  $(\operatorname{id}_X,\operatorname{id}_Y)$ . The associativity and identity laws for composition in  $\mathbb{C} \times \mathbb{D}$  follow from those for  $\mathbb{C}$  and  $\mathbb{D}$ .

## Exercise 1.4.3

Assume an arbitrary category  $\mathbb{C}$  with an object  $I \in \mathbb{C}$ . We form a new category  $\mathbb{C}/I$ , the so-called **slice** category over I, with

**objects**  $maps \ f \colon X \to I \ with \ codomain \ I \ in \ \mathbb{C}$ 

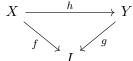
**morphisms** from  $X \xrightarrow{f} I$  to  $Y \xrightarrow{g} I$  are morphisms  $h: X \to Y$  in  $\mathbb{C}$  for which the following diagram commutes:



- 1. Describe identities and composition in  $\mathbb{C}/I$ , and verify that  $\mathbb{C}/I$  is a category.
- 2. Check that taking domains yields a functor dom:  $\mathbb{C}/I \to \mathbb{C}$ .
- 3. Verify that for  $\mathbb{C} = \mathbf{Sets}$ , a map  $f: X \to I$  may be identified with an I-indexed family of sets  $(X_i)_{i \in I}$ , namely where  $X_i = f^{-1}(i)$ . What do morphisms in  $\mathbb{C}/I$  correspond to, in terms of such indexed families?

Solution.

- 1. The identities and composition in  $\mathbb{C}/I$  are simply the identities and composition in  $\mathbb{C}$ . So the fact that  $\mathbb{C}/I$  is a category follows from  $\mathbb{C}$  being a category.
- 2. We define dom:  $\mathbb{C}/I \to \mathbb{C}$  as follows: for a morphism h from  $X \xrightarrow{f} I$  to  $Y \xrightarrow{g} I$  in  $\mathbb{C}/I$ , we simply define dom(h) := h. This immediately makes dom a functor from  $\mathbb{C}/I$  to  $\mathbb{C}$ .
- 3. The claimed identification is obvious. Now fix a morphism h from  $X \xrightarrow{f} I$  to  $Y \xrightarrow{g} I$  in  $\mathbf{Sets}/I$ , so that the diagram



in **Sets** commutes. This requires that g(h(x)) = f(x) for all  $x \in X$ . Identifying  $X_i := f^{-1}(i)$  and  $Y_i := g^{-1}(i)$  for all  $i \in I$ , we can identify h with a family of functions  $(h_i)_{i \in I}$  such that  $h_i(x) \in Y_i$  for all  $x \in X_i$ , for all  $i \in I$ .

#### Exercise 1.4.4

Recall that for an arbitrary set A we write  $A^*$  for the set of finite sequencees  $\langle a_0, \ldots, a_n \rangle$  of elements  $a_i \in A$ .

- 1. Check that  $A^*$  carries a monoid structure given by concatenation of sequences, with the empty sequence  $\langle \rangle$  as a neutral element.
- 2. Check that the assignment  $A \mapsto A^*$  yields a functor  $\mathbf{Sets} \to \mathbf{Mon}$  by mapping a function  $f : A \to B$  between sets to the function  $f^* : A^* \to B^*$  given by  $\langle a_0, \ldots, a_n \rangle \mapsto \langle f(a_0), \ldots, f(a_n) \rangle$ . (Be aware of what needs to be checked:  $f^*$  must be a monoid homomorphism, and  $(-)^*$  must preserve composition of functions and identity functions.)
- 3. Prove that  $A^*$  is the **free monoid on** A: there is the singleton-sequence insertion map  $\eta \colon A \to A^*$  which is universal among all mappings of A into a monoid. The latter means that for each monoid (M,0,+) and function  $f \colon A \to M$  there is a unique monoid homomorphism  $g \colon A^* \to M$  with  $g \circ \eta = f$ .

Solution.

- 1. Concatenation is associative because all the sequences under consideration are finite.
- 2. That  $(-)^*$  preserves composition and identity functions is obvious, so we just check that for a function  $f: A \to B$ , the map  $f^*: A^* \to B_*$  is a monoid homomorphism. Fix finite sequences  $\langle a_0, \ldots, a_n \rangle, \langle a'_0, \ldots, a'_k \rangle \in A^*$ . Then

$$f(\langle a_0, \dots, a_n \rangle \cdot \langle a'_0, \dots, a'_k \rangle) = f(\langle a_0, \dots, a_n, a'_0, \dots, a'_k \rangle)$$

$$= \langle f(a_0), \dots, f(a_n), f(a'_0), \dots, f(a'_k) \rangle$$

$$= \langle f(a_0), \dots, f(a_n) \rangle \cdot \langle f(a'_0), \dots, f(a'_k) \rangle$$

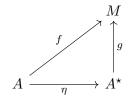
$$= f(\langle a_0, \dots, a_n \rangle) \cdot \langle a'_0, \dots a'_k \rangle)$$

and  $f(\langle \rangle) = \langle \rangle$ . So  $f^*$  is a monoid homomorphism.

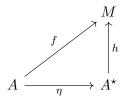
3. Define  $\eta: A \to A^*$  by  $\eta(a) := \langle a \rangle$  for all  $a \in A$ . Fix a monoid (M, 0, +) and a function  $f: A \to M$ . Define  $g: A^* \to M$  by

$$g(\langle \rangle) := 0$$
  
$$g(\langle a_0, \dots, a_n \rangle) := f(a_0) + \dots + f(a_n)$$

for all  $\langle a_0, \ldots, a_n \rangle \in A^*$ . This g is clearly a mononid homomorphism, using the associativity of + in M. Observe that the diagram



in **Sets** commutes: we have  $f(a) = g(\eta(a))$  for all  $a \in A$ . Now suppose that there is another monoid homomorphism  $h: A^* \to M$  such that the diagram



in **Sets** commutes. As  $h: A^* \to M$  is a monoid homomorphism and  $f = h\eta$ , we require that  $h(\langle \rangle) = 0$  and

$$h(\langle a_0, \dots, a_n \rangle) = h(\langle a_0 \rangle \cdot \dots \cdot \langle a_n \rangle)$$

$$= h(\langle a_0 \rangle) + \dots + h(\langle a_n \rangle)$$

$$= h(\eta(a_0)) + \dots + h(\eta(a_n))$$

$$= f(a_0) + \dots + f(a_n)$$

$$= g(\langle a_0, \dots, a_n \rangle),$$

for all  $\langle a_0, \ldots, a_n \rangle \in A^*$ . Therefore h = g.

## Exercise 1.4.5

Recall from (1.3) the statements with exceptions of the form  $S \to \{\bot\} \cup S \cup (S \times E)$ .

- 1. Prove that the assignment  $X \mapsto \{\bot\} \cup X \cup (X \times E)$  is functorial, so that the statements are a coalgebra for this functor.
- 2. Show that all the operations  $\mathsf{at}_1, \ldots, \mathsf{at}_n, \mathsf{meth}_1, \ldots, \mathsf{meth}_m$  of a class as in (1.10) can also be described as a single coalgebra, namely of the functor:

$$X \mapsto D_1 \times \cdots \times D_n \times \underbrace{(\{\bot\} \cup X \cup (X \times E)) \times \cdots \times (\{\bot\} \cup X \cup (X \times E))}_{m \ times}.$$

Solution.

1. Let  $F: \mathbf{Sets} \to \mathbf{Sets}$  denote this assignment  $F(X) := \{\bot\} \cup X \cup (X \times E)$  where all unions are disjoint unions. We define F on morphisms as follows: for functions  $f: X \to Y$ , we define  $F(f): F(X) \to F(Y)$  to be the function

$$F(f)(x) \coloneqq \begin{cases} \bot, & \text{if } x = \bot, \\ f(x), & \text{if } x \in X, \\ (f(x'), e), & \text{if } x = (x', e) \text{ for some } (x', e) \in X \times E. \end{cases}$$

Then  $F(\mathrm{id}_X) = \mathrm{id}_{F(X)}$  and F(gf) = F(g)F(f) for all sets X and functions  $X \xrightarrow{f} Y \xrightarrow{g} Z$ .

2. The functor's definition on morphisms is similar in style with the previous part.

## Exercise 1.4.6

Recall the nexttime operator  $\circ$  for a sequence coalgebra  $c: S \to \mathsf{Seq}(S) = \{\bot\} \cup (A \times S)$  from the previous section. Exercise 1.3.5.1 says that it forms a monotone function  $\mathcal{P}(S) \to \mathcal{P}(S)$  — with respect to the inclusion order — and thus a functor. Check that invariants are precisely  $\circ$ -coalgebras!

Solution. The  $\bigcirc$ -coalgebras are simply a subsets  $U \subseteq S$  such that  $U \subseteq \bigcirc U$ . These are precisely what invariants are.

# 2 Coalgebras of Polynomial Functors

## 2.1 Constructions on Sets

#### Exercise 2.1.1

Verify in detail the bijective correspondences (2.2), (2.6), (2.11) and (2.16).

Solution. Fix sets X, Y, Z. Following the notation of Equations (2.1), we associate a pair of functions  $f: Z \to X$  and  $g: Z \to Y$  to the function  $\langle f, g \rangle \colon Z \to X \times Y$  given by  $\langle f, g \rangle (z) \coloneqq \langle f(z), g(z) \rangle$  for all  $z \in Z$ . Furthermore, we associate to any function  $h: Z \to X \times Y$  a pair the functions  $\pi_1 h: Z \to X$  and  $\pi_2 h: Z \to Y$ , where  $\pi_1$  and  $\pi_2$  are the relevant projections. Then  $\langle \pi_1 h, \pi_2 h \rangle = h$  and  $\langle \pi_1 \langle f, g \rangle, \pi_2 \langle f, g \rangle \rangle = \langle f, g \rangle$ . This establishes the bijective correspondence (2.2).

Continue fixing sets X, Y, Z. Suppose, without loss of generality, that X and Y are disjoint, so that we may use  $X \cup Y$  in place of X + Y. Following the notation of Equations (2.5), we associate a pair of functions  $f: X \to Z$  and  $g: Y \to Z$  to the function  $[f, g]: X + Y \to Z$  given by

$$[f,g](w) := \begin{cases} f(w), & \text{if } w \in X, \\ g(w), & \text{if } w \in Y \end{cases}$$

for all  $w \in X + Y$ . Furthermore, to any function  $h: X + Y \to Z$ , we associate the pair of functions  $h\kappa_1: X \to Z$  and  $g\kappa_2: Y \to Z$ , where  $\kappa_1$  and  $\kappa_2$  are the relevant coprojections. Then  $[h\kappa_1, h\kappa_2] = h$  and  $([f, g]\kappa_1, [f, g]\kappa_2) = (f, g)$ . This establishes the bijective correspondence (2.6).

Continue fixing sets X, Y, Z. Following the notations of Equations (2.10), we associate a function  $f: Z \times X \to Y$  to the function  $\Lambda(f): Z \to Y^X$  given by  $\Lambda(f)(z) := f(z, -)$  for all  $z \in Z$ . Furthermore, to each function  $g: Z \to Y^X$ , we associate the function  $U(g): Z \times X \to Y$  given by U(g)(z, x) := g(z)(x) for all  $(z, x) \in Z \times X$ . Then  $\Lambda(U(g)) = g$  and  $U(\Lambda(f)) = f$ . So we have established the bijective correspondence (2.11).

Finally, fix sets X and Y. To each function  $f: X \to \mathcal{P}(Y)$ , we associate the relation

$$\operatorname{rel}(f) \coloneqq \{ (y, x) \in Y \times X : y \in f(x) \}.$$

Also, to each relation  $R \subseteq Y \times X$ , we associate the function  $\operatorname{char}(R) \colon X \to \mathcal{P}(Y)$  given by

$$\mathsf{char}(R)(x) \coloneqq \{ \ y \in Y \ : \ R(y,x) \ \}$$

for all  $y \in Y$ . Then rel(char(R)) = R and char(rel(f)) = f. We thus obtain the bijective correspondence (2.16).

## Exercise 2.1.2

Consider a poset  $(D, \leq)$  as a category. Check that the product of two elements  $d, e \in D$ , if it exists, is the meet  $d \wedge e$ . And a coproduct of d, e, if it exists, is the join  $d \vee e$ .

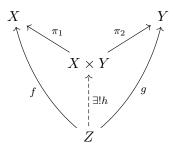
Similarly, show that a final object is a top element  $\top$  (with  $d \leq \top$ , for all  $d \in D$ ) and that an initial object is a bottom element  $\bot$  (with  $\bot \leq d$ , for all  $d \in D$ ).

Solution. These follow immediately, as in a poset  $(D, \leq)$ , we have one (and only one) morphism  $x \to y$  if and only if  $x \leq y$ , for  $x, y \in D$ , and that the only isomorphisms are identity morphisms.

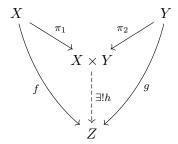
## Exercise 2.1.3

Check that a product in a category  $\mathbb{C}$  is the same as a coproduct in a category  $\mathbb{C}^{op}$ .

Solution. Fix  $X, Y, Z \in \mathsf{Obj}(\mathbb{C})$ , and suppose the product  $X \times Y$  exists in  $\mathbb{C}$ . For a pair of morphisms  $f \colon Z \to X$  and  $g \colon Z \to Y$ , we have the following diagram



in  $\mathbb{C}$  commuting. Thus we have the following diagram



in  $\mathbb{C}^{op}$  commuting. This makes  $X \times Y$  the coproduct of X and Y in  $\mathbb{C}^{op}$ , with coprojections  $\pi_1$  and  $\pi_2$ . Similarly, coproducts in  $\mathbb{C}^{op}$  correspond to products in  $\mathbb{C}$ .

## Exercise 2.1.4

Fix a set A and prove that assignments  $X \mapsto A \times X$ ,  $X \mapsto A + X$  and  $X \mapsto X^A$  are functorial and give rise to functors **Sets**  $\rightarrow$  **Sets**.

Solution. Define  $F, G, H : \mathbf{Sets} \to \mathbf{Sets}$  as follows. For a set X,

$$FX := A \times X$$
,  
 $GX := A + X$ , and  
 $HX := X^A$ .

For a function  $f: X \to Y$ , define the functions  $Ff: A \times X \to A \times Y$ ,  $Gf: A + X \to A + Y$ , and  $Hf: X^A \to Y^A$  as follows:

$$(Ff)(a,x) \coloneqq (a,f(x)), \qquad \text{for all } (a,x) \in A \times X,$$
 
$$(Gf)(w) \coloneqq \begin{cases} w, & \text{if } w \in A, \\ f(w), & \text{if } w \in X, \end{cases} \text{ for all } w \in A + X,$$
 
$$(Hf)(h) \coloneqq fh, \qquad \text{for all functions } h \colon A \to X,$$

where we have assumed, without loss of generality, that A and X are disjoint so that X + A is treated as  $X \cup A$ .

Then, for any set X,

$$(Fid_X)(a, x) = (a, id_X(x))$$
  
=  $(a, x)$ , for all  $(a, x) \in A \times X$ ,

$$(G\mathrm{id}_X)(w) = \begin{cases} w, & \text{if } w \in A, \\ \mathrm{id}_X(w), & \text{if } w \in X, \end{cases}$$

$$= w, & \text{for all } w \in A + X,$$

$$(H\mathrm{id}_X)(h) = \mathrm{id}_X h$$

$$= h, & \text{for all functions } h \colon A \to X,$$

so  $Fid_X = id_{FX}$ ,  $Gid_X = id_{GX}$ , and  $Hid_X = id_{HX}$ . Now, for functions  $X \xrightarrow{f} Y \xrightarrow{g} Z$ ,

$$(F(gf))(a,x) = (a,g(f(x)))$$

$$= (Fg)(a,f(x))$$

$$= (Fg \circ Ff)(a,x), \qquad \text{for all } (a,x) \in A \times X,$$

$$(G(gf))(w) = \begin{cases} w, & \text{if } w \in A, \\ g(f(w)), & \text{if } w \in X, \end{cases}$$

$$= (Gg \circ Gf)(w), \qquad \text{for all } w \in A + X,$$

$$(H(gf))(h) = \lambda a \in A.(g(f(h(a)))),$$

$$= (Hg)(fh)$$

$$= (Hg \circ Hf)(h), \qquad \text{for all functions } h \colon A \to X,$$

so F(gf) = (Fg)(Ff), G(gf) = (Gg)(Gf), and H(gf) = (Hg)(Hf). Thus F, G, and H are functors from **Sets** to **Sets**.

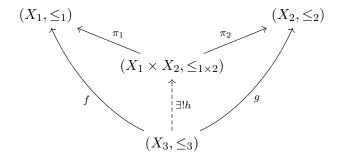
#### Exercise 2.1.5

Prove that the category **PoSets** of partially ordered sets and monotone functions is a BiCCC. The definitions on the underlying sets X of a poset  $(X, \leq)$  are like for ordinary sets but should be equipped with appropriate orders.

Solution. The category **PoSets** has a terminal object, namely the singleton poset. Furthermore, given two posets  $(X_1, \leq_1)$  and  $(X_2, \leq_2)$ , we can define a partial ordering  $\leq_{1\times 2}$  on the product  $X_1 \times X_2$  by

$$(x_1, x_2) \leq_{1 \times 2} (x_1', x_2')$$
 if and only if  $x_1 \leq x_1'$  and  $x_2 \leq x_2'$ 

for all  $(x_1, x_2), (x'_1, x'_2) \in X_1 \times X_2$ . This poset  $(X_1 \times X_2, \leq_{1 \times 2})$  has the universal property of the product: given another poset  $(X_3, \leq_3)$  and a pair of monotone functions  $f: (X_3, \leq_3) \to (X_1, \leq_1)$  and  $g: (X_3, \leq_3) \to (X_2, \leq_2)$ , we have the diagram

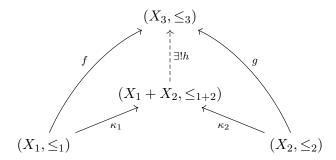


in **PoSets** commuting, where  $\pi_1$  and  $\pi_2$  are the relevant projections (which are indeed monotone). The unique monotone function h is given by  $h(x_3) := (f(x_3), g(x_3))$  for all  $x_3 \in X_3$ . Therefore the category **PoSets** has finite products.

The category **PoSets** also has an initial object: the empty poset. Now, given two posets  $(X_1, \leq_1)$  and  $(X_2, \leq_2)$ , we can define a partial ordering  $\leq_{1+2}$  on the coproduct  $X_1 + X_2$  by

$$w \leq_{1+2} w'$$
 if and only if  $(w, w' \in X_1 \text{ and } w \leq_1 w')$  or  $(w, w' \in X_2 \text{ and } w \leq_2 w')$ 

for all  $w, w' \in X_1 + X_2$ , where we have assumed without loss of generality that  $X_1$  and  $X_2$  are disjoint so that  $X_1 + X_2$  may be identified with  $X_1 \cup X_2$ . Then, given any other poset  $(X_3, \leq_3)$  and a pair of monotone functions  $f: (X_1, \leq_1) \to (X_3, \leq_3)$  and  $g: (X_2, \leq_2) \to (X_3, \leq_3)$ , we have the diagram



in **PoSets** commuting, where  $\kappa_1$  and  $\kappa_2$  are the relevant coprojections (which are also monotone). The unique monotone function h is given by

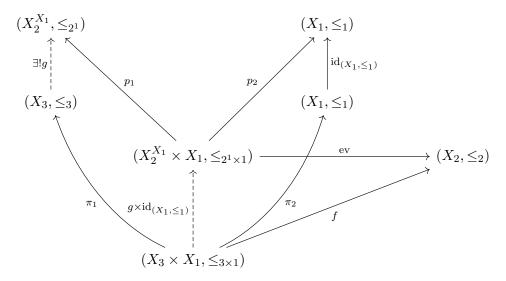
$$h(w) := \begin{cases} f(w), & \text{if } w \in X_1, \\ g(w), & \text{if } w \in X_2, \end{cases}$$

for all  $w \in X_1 + X_2$ . Therefore **PoSets** also has finite coproducts.

Now we show that **PoSets** also has exponents. Fix any two posets  $(X_1, \leq_1)$  and  $(X_2, \leq_2)$ . We define a partial ordering  $\leq_{2^1}$  on the set  $X_2^{X_1}$  as follows:

$$f \leq_{2^1} g$$
 if and only if  $f(x) \leq_2 g(x)$  for all  $x \in X_1$ .

for all functions  $f, g: X_1 \to X_2$ . Then, for any poset  $(X_3, \leq_3)$  and monotone function  $f: (X_3, \leq_3) \to (X_2, \leq_2)$ , we have the diagram



in **PoSets** commuting, where  $\operatorname{ev}(h, x_1) \coloneqq h(x_1)$  for all  $(h, x_1) \in X_2^{X_1} \times X_1$ , and  $\pi_1, \pi_2, p_1$ , and  $p_2$  are the relevant projections. The unique monotone function g is given by  $g(x_3) \coloneqq \lambda x_1 \in X_1. f(x_3, x_1)$ . Therefore **PoSets** also has exponents.

## Exercise 2.1.6

Consider the category Mon of monoids with monoid homomorphisms between them.

- 1. Check that the singleton monoid 1 is both an initial and a final object in **Mon**; this is called a zero object.
- 2. Given two monoids  $(M_1, +_1, 0_1)$  and  $(M_2, +_2, 0_2)$ , one defines a product monoid  $M_1 \times M_2$  with componentwise addition  $(x, y) + (x', y') = (x +_1, x', y +_2 y')$  and unit  $(0_1, 0_2)$ . Prove that  $M_1 \times M_2$  is again a monoid, which forms a product in the category **Mon** with the standard projection maps  $M_1 \stackrel{\pi_1}{\longleftarrow} M_1 \times M_2 \stackrel{\pi_2}{\longrightarrow} M_2$ .
- 3. Note that there are also coprojections  $M_1 \xrightarrow{\kappa_1} M_1 \times M_2 \xleftarrow{\kappa_2} M_2$ , given by  $\kappa_1(x) = (x, 0_2)$  and  $\kappa_2(y) = (0_1, y)$ , which are monoid homomorphisms and which makes  $M_1 \times M_2$  at the same time the coproduct of  $M_1$  and  $M_2$  in **Mon** (and hence a biproduct). Hint: Define the cotuple [f, g] as  $x \mapsto f(x) + g(x)$ .

Solution.

- 1. Any monoid homomorphism  $f:(M_1, +_1, 0_1) \to (M_2, +_2, 0_2)$  must satisfy  $f(0_1) = 0_2$ , so the singleton monoid is initial in **Mon**. It is also the final in **Mon** because the constant map to the unit is a monoid homomorphism.
- 2. Fix  $(m_1, m_2), (m'_1, m'_2), (m''_1, m''_2) \in M_1 \times M_2$ . Then, using the associativity of  $+_1$  and  $+_2$ ,

$$(m_1, m_2) + ((m'_1, m'_2) + (m''_1, m''_2)) = (m_1, m_2) + (m'_1 +_1 m''_1, m'_2 +_2 m''_2)$$

$$= (m_1 +_1 m'_1 +_1 m''_1, m_2 +_2 m'_2 +_2 m''_2)$$

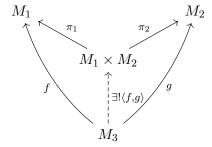
$$= (m_1 +_1 m'_1, m_2 +_2 m'_2) + (m''_1, m''_2).$$

Furthermore,

$$(m_1, m_2) + (0_1, 0_2) = (m_1 +_1 0_1, m_2 +_2, 0_2)$$
  
=  $(m_1, m_2)$ 

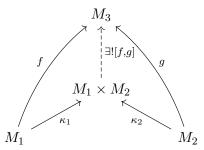
and, similarly,  $(0_1, 0_2) + (m_1, m_2) = (m_1, m_2)$ . So  $(M_1 \times M_2, +, (0_1, 0_2))$  is a monoid.

We now show that  $M_1 \times M_2$  really is the categorical product of  $M_1$  and  $M_2$  in **Mon**. Fix any other monoid  $(M_3, +_3, 0_3)$  and a pair of monoid homomorphisms  $f: M_3 \to M_1$  and  $g: M_3 \to M_2$ . We need the diagram



in **Mon** to commute. Indeed, we must have  $\langle f, g \rangle (m_3) = (f(m_3), g(m_3))$  for all  $m_3 \in M_3$ . The fact that  $\langle f, g \rangle \colon M_3 \to M_1 \times M_2$  is a monoid homomorphism follows from f and g being monoid homomorphisms.

3. Fix any monoid  $(M_3, +_3, 0_3)$  and a pair of monoid homomorphisms  $f: M_1 \to M_3$  and  $g: M_2 \to M_3$ . We need the diagram



in **Mon** to commute. This time we define  $[f,g]: M_1 \times M_2 \to M_3$  by

$$[f,g](m_1,m_2) := f(m_1) +_3 g(m_2)$$

for all  $(m_1, m_2) \in M_1 \times M_2$ . That [f, g] is a monoid homomorphism follows from f and g being monoid homomorphisms. Then

$$([f,g] \circ \kappa_1)(m_1) = [f,g](m_1, 0_1)$$
$$= f(m_1) +_3 g(0_1)$$
$$= f(m_1)$$

for all  $m_1 \in M_1$ . Similarly,  $([f, g] \circ \kappa_2) = g$ .

Now suppose there is another monoid homomorphism  $h: M_1 \times M_2 \to M_3$  satisfying

$$h\kappa_1 = f$$
 and  $h\kappa_2 = g$ .

Then, for any  $(m_1, m_2) \in M_1 \times M_2$ ,

$$h(m_1, m_2) = h(m_1, 0_2) +_3 h(0_1, m_2)$$

$$= h(\kappa_1(m_1)) +_3 h(\kappa_2(m_2))$$

$$= f(m_1) +_3 g(m_2)$$

$$= [f, g](m_1, m_2).$$

Therefore [f,g] is the unique monoid homomorphism making the diagram above commute.

## Exercise 2.1.7

Show that in **Sets** products distribute over coproducts, in the sense that the canonical maps

$$(X \times Y) + (X \times Z) \xrightarrow{[\mathrm{id}_X \times \kappa_1, \mathrm{id}_X \times \kappa_2]} X \times (Y + Z)$$

$$0 \xrightarrow{!} X \times 0$$

are isomorphisms. Categories in which this is the case are called **distributive**; see Cockett (1993) for more information on distributive categories in general and see Gumma, Hughes, and Schröder (2003) for an investigation of such distributivities in categories of coalgebras.

Solution. In **Sets**, the initial object 0 is the empty set. Consequently, for any set X, the unique map  $0 \stackrel{!}{\to} X \times 0$  is an isomorphism (in fact, ! is the identity morphism on 0) since  $X \times 0 = 0$ .

Now fix sets X, Y, and Z, and let  $Y \xrightarrow{\kappa_1} Y + Z$  and  $Z \xrightarrow{\kappa_2} Y + Z$  denote the appropriate coprojections. We may assume, without loss of generality, that Y and Z are disjoint, so that we may write  $Y \cup Z$  in place of Y + Z, and have  $\kappa_1 \colon Y \to Y \cup Z$  and  $\kappa_2 \colon Z \to Y \cup Z$  be the appropriate inclusion functions.

The function  $[id_X \times \kappa_1, id_X \times \kappa_2]: (X \times Y) + (X \times Z) \to X \times (Y + Z)$  is then given by

$$[\mathrm{id}_X \times \kappa_1, \mathrm{id}_X \times \kappa_2](x, w) = (x, w)$$

for all  $(x, w) \in (X \times Y) + (X \times Z)$ . This is clearly a bijection.

#### Exercise 2.1.8

1. Consider a category with finite products  $(\times, 1)$ . Prove that there are isomorphisms:

$$X \times Y \cong Y \times X$$
,  $(X \times Y) \times Z \cong X \times (Y \times Z)$ ,  $1 \times X \cong X$ .

2. Similarly, show that in a category with finite coproducts (+,0) one has

$$X + Y \cong Y + X$$
,  $(X + Y) + Z \cong X + (Y + Z)$ ,  $0 + X \cong X$ .

(This means that both the finite product and coproduct structure in a category yield so-called symmetric monoidal structure. See Mac Lane (1978) or Borceux (1994) for more information.)

3. Next, assume that our category also has exponents. Prove that

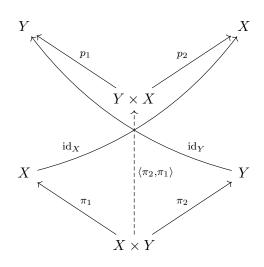
$$X^0 \cong 1, \qquad X^1 \cong X, \qquad 1^X \cong 1.$$

And also that

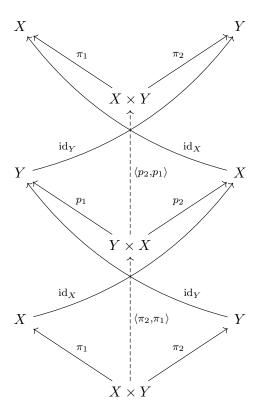
$$Z^{X+Y} \cong Z^X \times Z^Y, \qquad Z^{X\times Y} \cong (Z^Y)^X, \qquad (X \times Y)^Z \cong X^Z \times Y^Z.$$

Solution.

1. Let  $\mathbb{C}$  be a category with finite products. Fix  $X, Y \in \mathsf{Obj}(\mathbb{C})$ . Let  $X \stackrel{\pi_1}{\longleftarrow} X \times Y \xrightarrow{\pi_2} Y$  and  $Y \stackrel{p_1}{\longleftarrow} Y \times X \xrightarrow{p_2} X$  be the relevant projections. We have the diagram



in  $\mathbb C$  commuting. We claim that the unique induced morphism  $X\times Y\xrightarrow{\langle\pi_2,\pi_1\rangle} X\times Y$  is an isomorphism. Of course, its inverse would be the similarly obtained morphism  $Y\times X\xrightarrow{\langle p_2,p_1\rangle} Y\times X$ . Indeed, looking at the diagram

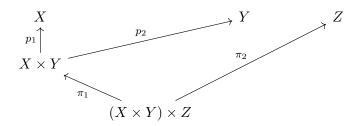


in  $\mathbb{C}$ , we see that

$$\pi_1 \circ \langle p_2, p_1 \rangle \circ \langle \pi_2, \pi_1 \rangle = p_2 \circ \langle \pi_2, \pi_1 \rangle$$
$$= \pi_1$$

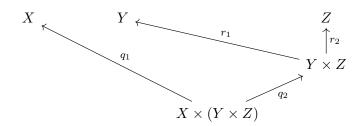
and, similarly,  $\pi_2 \circ \langle p_2, p_1 \rangle \circ \langle \pi_2, \pi_1 \rangle = \pi_2$ . Consequently,  $\langle p_2, p_1 \rangle \circ \langle \pi_2, \pi_1 \rangle = \mathrm{id}_{X \times Y}$ . Similarly, we obtain  $\langle \pi_2, \pi_1 \rangle \circ \langle p_2, p_1 \rangle = \mathrm{id}_{Y \times X}$ . Therefore we have an isomorphism  $X \times Y \xrightarrow{\langle \pi_2, \pi_1 \rangle} Y \times X$ .

Now fix  $X, Y, Z \in \mathsf{Obj}(\mathbb{C})$ . Consider the products  $X \times Y$  and  $(X \times Y) \times Z$  as in the diagram

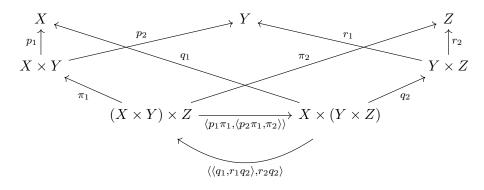


in  $\mathbb{C}$ . These come with associated projections  $X \stackrel{p_1}{\longleftarrow} X \times Y \stackrel{p_2}{\longrightarrow} Y$  and  $X \times Y \stackrel{\pi_1}{\longleftarrow} (X \times Y) \times Z \stackrel{\pi_2}{\longrightarrow} Z$ . We also have projections  $X \stackrel{q_1}{\longleftarrow} X \times (Y \times Z) \stackrel{q_2}{\longrightarrow} Y \times Z$  and  $Y \stackrel{r_1}{\longleftarrow} Y \times Z \stackrel{r_2}{\longrightarrow} Z$ , as depicted in

the diagram



in  $\mathbb{C}$ . From these, we obtain the induced morphisms  $(X \times Y) \times Z \xrightarrow{\langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle} X \times (Y \times Z)$  and  $X \times (Y \times Z) \xrightarrow{\langle \langle q_1, r_1 q_2 \rangle, r_2 q_2 \rangle} (X \times Y) \times Z$ .

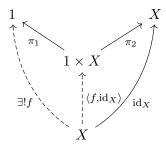


Then

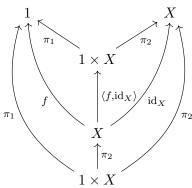
$$\begin{aligned} p_1 \circ \pi_1 \circ \Big( \langle \langle q_1, r_1 q_2 \rangle, r_2 q_2 \rangle \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \Big) &= p_1 \circ \Big( \pi_1 \circ \langle \langle q_1, r_1 q_2 \rangle, r_2 q_2 \rangle \Big) \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \\ &= p_1 \circ \langle q_1, r_1 q_2 \rangle \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \\ &= q_1 \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \\ &= p_1 \pi_1, \\ p_2 \circ \pi_1 \circ \Big( \langle \langle q_1, r_1 q_2 \rangle, r_2 q_2 \rangle \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \Big) &= p_2 \circ \Big( \pi_1 \circ \langle \langle q_1, r_1 q_2 \rangle, r_2 q_2 \rangle \Big) \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \\ &= p_2 \circ \langle q_1, r_1 q_2 \rangle \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \\ &= r_1 \circ q_2 \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \\ &= r_1 \circ \langle p_2 \pi_1, \pi_2 \rangle \\ &= p_2 \pi_1, \text{ and} \\ \pi_2 \circ \Big( \langle \langle q_1, r_1 q_2 \rangle, r_2 q_2 \rangle \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \Big) &= \Big( \pi_2 \circ \langle \langle q_1, r_1 q_2 \rangle, r_2 q_2 \rangle \Big) \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \\ &= r_2 \circ q_2 \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \\ &= r_2 \circ \langle p_2 \pi_1, \pi_2 \rangle \\ &= r_2 \circ \langle p_2 \pi_1, \pi_2 \rangle \\ &= r_2 \circ \langle p_2 \pi_1, \pi_2 \rangle \\ &= r_2 \circ \langle p_2 \pi_1, \pi_2 \rangle \\ &= r_2 \circ \langle p_2 \pi_1, \pi_2 \rangle \end{aligned}$$

Thus  $\langle \langle q_1, r_1 q_2 \rangle, r_2 q_2 \rangle \circ \langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle = \mathrm{id}_{(X \times Y) \times Z}$ . Via a similar calculation, we also obtain  $\langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle \circ \langle \langle q_1, r_1 q_2 \rangle, r_2 q_2 \rangle = \mathrm{id}_{X \times (Y \times Z)}$ . Therefore, we have an isomorphism  $(X \times Y) \times Z \xrightarrow{\langle p_1 \pi_1, \langle p_2 \pi_1, \pi_2 \rangle \rangle} X \times (Y \times Z)$ .

Now fix  $X \in \mathsf{Obj}(\mathbb{C})$  and let 1 denote the terminal object in  $\mathbb{C}$ . We have the diagram



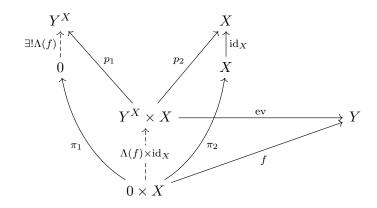
in  $\mathbb{C}$ , where  $1 \stackrel{\pi_1}{\longleftarrow} 1 \times X \stackrel{\pi_2}{\longrightarrow} X$  are the relevant projections, and  $X \stackrel{f}{\longrightarrow} 1$  is the unique morphism from X to 1. As the diagram commutes, we have  $\pi_2 \circ \langle f, \mathrm{id}_X \rangle = \mathrm{id}_X$ . Furthermore,  $\pi_1 \circ (\langle f, \mathrm{id}_X \rangle \circ \pi_2) = \pi_1$  because 1 is the terminal object and we already have the morphism  $1 \times X \stackrel{\pi_1}{\longrightarrow} 1$ . Moreover,  $\pi_2 \circ (\langle f, \mathrm{id}_X \rangle \circ \pi_2) = \pi_2$ .



Thus  $\langle f, \mathrm{id}_X \rangle \circ \pi_2 = \mathrm{id}_{1 \times X}$ . Therefore we have an isomorphism  $1 \times X \xrightarrow{\underline{\pi_2}} X$ .

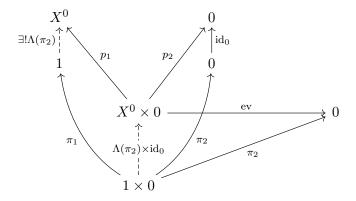
- 2. This is dual to Exercise 2.1.8.1: coproducts in  $\mathbb{C}$  coincide with products in  $\mathbb{C}^{op}$ ; the initial object in  $\mathbb{C}$  is the terminal object in  $\mathbb{C}^{op}$ ; and isomorphisms in  $\mathbb{C}$  are precisely isomorphisms in  $\mathbb{C}^{op}$ .
- 3. Now suppose that the category  $\mathbb{C}$  has all finite products, has all finite coproducts, and has exponents, i.e.  $\mathbb{C}$  is a bicartesian closed category. Denote the initial and terminal objects of  $\mathbb{C}$  by 0 and 1 respectively.

Let us first show that  $0 \times X \cong 0$  for all  $X \in \mathsf{Obj}(\mathbb{C})$ . Fix any  $Y \in \mathsf{Obj}(\mathbb{C})$ . For any morphism  $0 \times X \xrightarrow{f} Y$ , we have the following commuting diagram

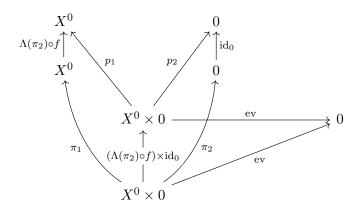


in  $\mathbb{C}$ , where  $0 \stackrel{\pi_1}{\longleftarrow} 0 \times X \stackrel{\pi_2}{\longrightarrow} X$  and  $Y^X \stackrel{p_1}{\longleftarrow} Y^X \times X \stackrel{p_2}{\longrightarrow} X$  are the relevant projections and  $Y^X \times X \stackrel{\text{ev}}{\longrightarrow} Y$  is the appropriate evaluation morphism. Due to the initiality of 0, there is only one morphism  $0 \to Y^X$  in  $\mathbb{C}$ . So there can be only one morphism  $0 \times X \to Y$ . Hence  $0 \times X$  is also initial.

Now fix  $X \in \mathsf{Obj}(\mathbb{C})$ . Let us show that  $X^0 \cong 1$ . The diagram



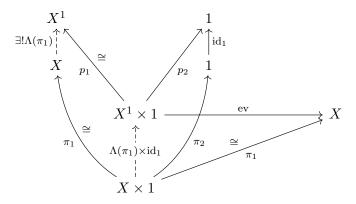
in  $\mathbb{C}$  commutes, where  $1 \stackrel{\pi_1}{\longleftarrow} 1 \times 0 \stackrel{\pi_2}{\longrightarrow} 0$  and  $X^0 \stackrel{p_1}{\longleftarrow} X^0 \times 0 \stackrel{p_2}{\longrightarrow} 0$  are the relevant projections and  $X^0 \times 0 \stackrel{\text{ev}}{\longrightarrow} 0$  is the relevant evaluation morphism. As 1 is the terminal object in  $\mathbb{C}$ , the composite morphism  $1 \stackrel{\Lambda(\pi_2)}{\longrightarrow} X^0 \stackrel{f}{\longrightarrow} 1$  is equal to id<sub>1</sub>, where  $X^0 \stackrel{f}{\longrightarrow} 1$  is the unique morphism from  $X^0$  to 1. Also, the diagram



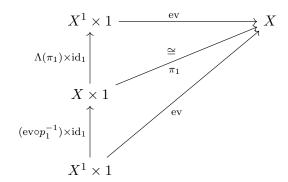
in  $\mathbb{C}$  commutes because  $X^0 \times 0 \cong 0$ , as observed previously. Since we also have  $\operatorname{ev} \circ (\operatorname{id}_{X^0} \times \operatorname{id}_0) = \operatorname{ev}$ , the uniqueness clause in the universal property for exponential objects yields  $\Lambda(\pi_2) \circ f = \operatorname{id}_{X^0}$ . Therefore we have an isomorphism  $1 \xrightarrow[]{\Lambda(\pi_2)} X^0$ .

Now fix  $X \in \text{Obj}(\mathbb{C})$ . Let us show that  $X^1 \cong X$ . Let  $X^1 \times 1 \xrightarrow{\text{ev}} X$  be the evaluation morphism obtained from the universal property of exponentials, and let  $X \xleftarrow{\pi_1} X \times 1 \xrightarrow{\pi_2} 1$  and  $X^1 \xleftarrow{p_1} X^1 \times 1 \xrightarrow{p_2} 1$  be the relevant projections, noting that  $\pi_1$  and  $p_1$  are both isomorphisms by our solution to Exercise 2.1.8.1. Then there exists a unique morphism  $X \xrightarrow{\Lambda(\pi_1)} X^1$  such that

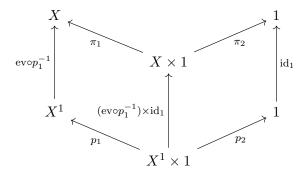
ev  $\circ (\Lambda(\pi_1) \times id_1) = \pi_1$ . That is, we have the diagram



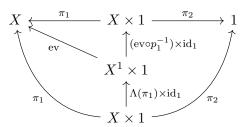
in  $\mathbb{C}$  commuting. Now, we claim that the diagram



in  $\mathbb{C}$  commutes. Indeed, the upper triangle commutes by definition of the morphisms  $X^1 \times 1 \xrightarrow{\text{ev}} X$  and  $X \xrightarrow{\Lambda(\pi_1)} X^1$ , and the lower triangle commutes because (the left square of) the diagram



in  $\mathbb C$  commutes by definition of the morphism  $X^1 \times 1 \xrightarrow{(\operatorname{evo} p_1^{-1}) \times \operatorname{id}_1} X \times 1$ . Hence  $\Lambda(\pi_1) \circ \operatorname{ev} \circ p_1^{-1} = \operatorname{id}_{X^1}$ , by the uniqueness clause in the universal property of exponentials, and thus the composite morphism  $X^1 \times 1 \xrightarrow{(\operatorname{evo} p_1^{-1} \times \operatorname{id}_1)} X \times 1 \xrightarrow{\Lambda(\pi_1) \times \operatorname{id}_1} X^1 \times 1$  equals  $\operatorname{id}_{X^1 \times 1}$ . Also, the diagram

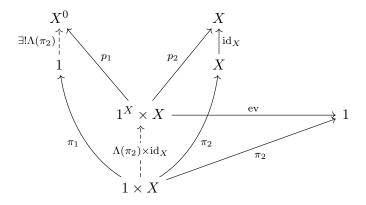


in  $\mathbb{C}$  commutes: the upper and lower left triangles commute as observed before; the right triangle commutes because 1 is the terminal object. So the composite morphism  $X \times 1 \xrightarrow{\Lambda(\pi_1) \times \mathrm{id}_1} X^1 \times 1 \xrightarrow{(\mathrm{evo}p_1^{-1}) \times \mathrm{id}_1} X \times 1 = \mathrm{id}_{X \times 1}$ . Consequently we have isomorphisms

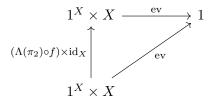
$$X \xleftarrow{\pi_1} X \times 1 \xrightarrow{\Lambda(\pi_1) \times \mathrm{id}_1} X^1 \times 1 \xrightarrow{p_1} X^1,$$

yielding  $X \cong X^1$ .

Continue fixing  $X \in \mathsf{Obj}(\mathbb{C})$ . Let us now show that  $1^X \cong 1$ . Let  $1^X \times X \xrightarrow{\mathrm{ev}} X$  be the relevant evaluation morphism, and let  $1 \xleftarrow{\pi_1} 1 \times X \xrightarrow{\pi_2} X$  and  $1^X \xleftarrow{p_1} 1^X \times X \xrightarrow{p_2} X$  be the relevant projections. Then we have the commuting diagram

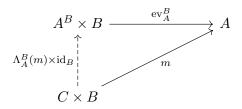


in  $\mathbb{C}$ . Now, letting  $1^X \xrightarrow{f} 1$  be the unique morphism from  $1^X$  to 1, we have that  $f \circ \Lambda(\pi_2) = \mathrm{id}_1$  due to 1 being the terminal object. Furthermore, the diagram

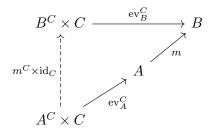


in  $\mathbb{C}$  also commutes because 1 is the terminal object. Thus we must have that  $\Lambda(\pi_2) \circ f = \mathrm{id}_{1^X}$ . Therefore we have the isomorphism  $1^X \xrightarrow[\simeq]{\Lambda(\pi_2)} 1$ .

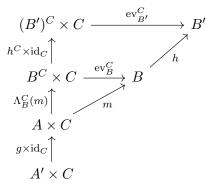
From now onwards, we need to agree on some notation. For  $A,B,C\in \mathsf{Obj}(\mathbb{C})$ , we write  $A^B\times B\xrightarrow{\mathrm{ev}_A^B}A$  for the evaluation morphism associated with the exponential object  $A^B$ . For a morphism  $C\times B\xrightarrow{m}A$ , we write  $C\xrightarrow{\Lambda_A^B(m)}A^B$  for the unique morphism from C to  $A^B$  such that  $\mathrm{ev}_A^B\circ (\Lambda_A^B(m)\times\mathrm{id}_B)=m$ .



Furthermore, given a morphism  $A \xrightarrow{m} B$  in  $\mathbb{C}$ , we define the morphism  $A^C \xrightarrow{m^C} B^C$  to be the unique morphism from  $A^C$  to  $B^C$  satisfying  $\operatorname{ev}_B^C \circ (m^C \times \operatorname{id}_C) = m \circ \operatorname{ev}_A^C$ .



That is,  $m^C \coloneqq \Lambda_B^C(m \circ \operatorname{ev}_A^C)$ . Note that this makes the assignment  $(-)^C \colon \mathbb{C} \to \mathbb{C}$  into a functor. Also, given morphisms  $A \overset{g}{\leftarrow} A'$ ,  $B \overset{h}{\to} B'$ , and  $A \times C \overset{m}{\to} B$  in  $\mathbb{C}$ , where  $A, A', B, B', C \in \operatorname{Obj}(\mathbb{C})$ , it is not difficult to see that  $\Lambda_{B'}^C(h \circ m \circ (g \times \operatorname{id}_C)) = h^C \circ \Lambda_B^C(m) \circ g$  by looking at the commuting diagram



in  $\mathbb{C}$ .

Let us take a detour and prove that the bicartesian closedness of  $\mathbb C$  implies that products distribute over coproducts in  $\mathbb C$  (from which Exercise 2.1.7 would also follow, since **Sets** is bicartesian closed). Fix  $X,Y,Z\in \mathsf{Obj}(\mathbb C)$ . We already established that the unique map  $0\to 0\times X$  is an isomorphism. We will now show that the canonical map  $(Y\times X)+(Z\times X)\xrightarrow{[\kappa_1\times\mathrm{id}_X,\ \kappa_2\times\mathrm{id}_X]} (Y+Z)\times X$  is an isomorphism, where  $Y\xrightarrow{\kappa_1}Y+Z\xleftarrow{\kappa_2}Z$  are the relevant coprojections. Further letting  $Y\times X\xrightarrow{\iota_1}(Y\times X)+(Z\times X)\xleftarrow{\iota_2}Z\times X$  denote the relevant coprojections, we have the commuting diagrams

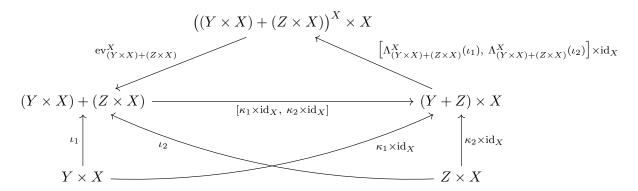
$$(Y \times X) + (Z \times X) \xrightarrow{\exists ! [\kappa_1 \times \mathrm{id}_X, \ \kappa_2 \times \mathrm{id}_X]} (Y + Z) \times X$$

$$\downarrow_{\iota_1} \qquad \qquad \downarrow_{\iota_2} \qquad \qquad \downarrow_{\iota_2} \qquad \qquad \downarrow_{\kappa_1 \times \mathrm{id}_X} \qquad \uparrow_{\kappa_2 \times \mathrm{id}_X}$$

$$Y \times X \qquad \qquad Z \times X$$

and

in  $\mathbb{C}$ , by the universal property of coproducts. Then, in the diagram



living in  $\mathbb{C}$ , we have the equalities

$$[\kappa_1 \times \mathrm{id}_X, \ \kappa_2 \times \mathrm{id}_X] \circ \iota_1 = \kappa_1 \times \mathrm{id}_X,$$
$$[\kappa_1 \times \mathrm{id}_X, \ \kappa_2 \times \mathrm{id}_X] \circ \iota_2 = \kappa_2 \times \mathrm{id}_X,$$

and

$$\operatorname{ev}_{(Y\times X)+(Z\times X)}^{X} \circ \left( \left[ \Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{1}), \ \Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{2}) \right] \times \operatorname{id}_{X} \right) \circ \left( \kappa_{1} \times \operatorname{id}_{X} \right) = \iota_{1}, \\
\operatorname{ev}_{(Y\times X)+(Z\times X)}^{X} \circ \left( \left[ \Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{1}), \ \Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{2}) \right] \times \operatorname{id}_{X} \right) \circ \left( \kappa_{2} \times \operatorname{id}_{X} \right) = \iota_{2}.$$

Consequently, by the universal property of coproducts,

$$\operatorname{ev}_{(Y\times X)+(Z\times X)}^{X} \circ \left( \left[ \Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{1}), \ \Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{2}) \right] \times \operatorname{id}_{X} \right) \circ \left[ \kappa_{1} \times \operatorname{id}_{X}, \ \kappa_{2} \times \operatorname{id}_{X} \right] \\
= \operatorname{id}_{(Y\times X)+(Z\times X)}.$$

Now, let 
$$f := \operatorname{ev}_{(Y \times X) + (Z \times X)}^X \circ ([\Lambda_{(Y \times X) + (Z \times X)}^X(\iota_1), \ \Lambda_{(Y \times X) + (Z \times X)}^X(\iota_2)] \times \operatorname{id}_X),$$

Tow, let 
$$f := \operatorname{ev}_{(Y \times X) + (Z \times X)}^{X} \circ \left( \left[ \Lambda_{(Y \times X) + (Z \times X)}^{X}(\iota_{1}), \ \Lambda_{(Y \times X) + (Z \times X)}^{X}(\iota_{2}) \right] \times \operatorname{id}_{X} \right),$$

$$\left( (Y \times X) + (Z \times X) \right)^{X} \times X \xrightarrow{\operatorname{ev}_{(Y \times X) + (Z \times X)}^{X} \to (Y \times X) + (Z \times X)} (Y \times X) + (Z \times X) \right)$$

$$\left[ \Lambda_{(Y \times X) + (Z \times X)}^{X}(\iota_{1}), \ \Lambda_{(Y \times X) + (Z \times X)}^{X}(\iota_{2}) \right] \times \operatorname{id}_{X}$$

$$(Y + Z) \times X$$

so that  $(Y+Z)\times X\xrightarrow{f} (Y\times X)+(Z\times X)$  is the unique morphism satisfying

$$\Lambda_{(Y\times X)+(Z\times X)}^X(f) = \left[\Lambda_{(Y\times X)+(Z\times X)}^X(\iota_1), \ \Lambda_{(Y\times X)+(Z\times X)}^X(\iota_2)\right].$$

We have already shown that  $f \circ [\kappa_1 \times \mathrm{id}_X, \ \kappa_2 \times \mathrm{id}_X] = \mathrm{id}_{(Y \times X) + (Z \times X)}$ . We will now show that  $[\kappa_1 \times \mathrm{id}_X, \ \kappa_2 \times \mathrm{id}_X] \circ f = \mathrm{id}_{(Y+Z)\times X}$ . This is equivalent to showing that

$$\operatorname{ev}_{(Y+Z)\times X}^X \circ \left(\Lambda_{(Y+Z)\times X}^X \left( \left[\kappa_1 \times \operatorname{id}_X, \ \kappa_2 \times \operatorname{id}_X \right] \circ f \right) \times \operatorname{id}_X \right) = \operatorname{id}_{(Y+Z)\times X}.$$

$$((Y+Z)\times X)^X\times X \xrightarrow{\operatorname{ev}_{(Y+Z)\times X}^X} (Y+Z)\times X$$

$$\Lambda_{(Y+Z)\times X}^X \Big([\kappa_1\times\operatorname{id}_X,\ \kappa_2\times\operatorname{id}_X]\circ f\Big)\times\operatorname{id}_X \Big) (Y+Z)\times X$$

$$(Y+Z)\times X$$

So let us proceed with showing the above equality.

$$\begin{split} &\operatorname{ev}_{(Y+Z)\times X}^{X}\circ\left(\Lambda_{(Y+Z)\times X}^{X}\left([\kappa_{1}\times\operatorname{id}_{X},\ \kappa_{2}\times\operatorname{id}_{X}]\circ f\right)\times\operatorname{id}_{X}\right)\\ &=\operatorname{ev}_{(Y+Z)\times X}^{X}\circ\left(\left([\kappa_{1}\times\operatorname{id}_{X},\ \kappa_{2}\times\operatorname{id}_{X}]^{X}\circ\Lambda_{(Y\times X)+(Z\times X)}^{X}(f)\right)\times\operatorname{id}_{X}\right)\\ &=\operatorname{ev}_{(Y+Z)\times X}^{X}\circ\left([\kappa_{1}\times\operatorname{id}_{X},\ \kappa_{2}\times\operatorname{id}_{X}]^{X}\times\operatorname{id}_{X}\right)\circ\left(\Lambda_{(Y\times X)+(Z\times X)}^{X}(f)\times\operatorname{id}_{X}\right)\\ &=\operatorname{ev}_{(Y+Z)\times X}^{X}\\ &\circ\left([\kappa_{1}\times\operatorname{id}_{X},\ \kappa_{2}\times\operatorname{id}_{X}]^{X}\times\operatorname{id}_{X}\right)\circ\left(\left[\Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{1}),\ \Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{2})\right]\times\operatorname{id}_{X}\right)\\ &=\operatorname{ev}_{(Y+Z)\times X}^{X}\\ &\circ\left(\left[\kappa_{1}\times\operatorname{id}_{X},\ \kappa_{2}\times\operatorname{id}_{X}\right]^{X}\circ\left[\Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{1}),\ \Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{2})\right]\right)\times\operatorname{id}_{X}\right)\\ &=\operatorname{ev}_{(Y+Z)\times X}^{X}\circ\left(\left[\kappa_{1}\times\operatorname{id}_{X},\ \kappa_{2}\times\operatorname{id}_{X}\right]^{X}\circ\Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{1}),\ \left[\kappa_{1}\times\operatorname{id}_{X},\ \kappa_{2}\times\operatorname{id}_{X}\right]^{X}\circ\Lambda_{(Y\times X)+(Z\times X)}^{X}(\iota_{2})\right]\right)\times\operatorname{id}_{X}\right)\\ &=\operatorname{ev}_{(Y+Z)\times X}^{X}\circ\left(\left[\Lambda_{(Y+Z)\times X}^{X}\left(\left[\kappa_{1}\times\operatorname{id}_{X},\ \kappa_{2}\times\operatorname{id}_{X}\right]\circ\iota_{1}\right),\ \Lambda_{(Y+Z)\times X}^{X}\left(\left[\kappa_{1}\times\operatorname{id}_{X},\ \kappa_{2}\times\operatorname{id}_{X}\right]\circ\iota_{1}\right)\right]\times\operatorname{id}_{X}\right)\\ &=\operatorname{ev}_{(Y+Z)\times X}^{X}\circ\left(\left[\Lambda_{(Y+Z)\times X}^{X}\left(\left[\kappa_{1}\times\operatorname{id}_{X},\ \kappa_{2}\times\operatorname{id}_{X}\right]\circ\iota_{1}\right)\right]\times\operatorname{id}_{X}\right)\\ &=\operatorname{ev}_{(Y+Z)\times X}^{X}\circ\left(\left[\Lambda_{(Y+Z)\times X}^{X}(\kappa_{1}\times\operatorname{id}_{X}),\ \Lambda_{(Y+Z)\times X}^{X}(\kappa_{2}\times\operatorname{id}_{X}\right)\right)\times\operatorname{id}_{X}\right)\\ &=\operatorname{id}_{(Y+Z)\times X},\end{aligned}$$

where the last equality is due to the (easily verifiable) fact that

$$\left[\Lambda_{(Y+Z)\times X}^X(\kappa_1\times \mathrm{id}_X),\ \Lambda_{(Y+Z)\times X}^X(\kappa_2\times \mathrm{id}_X)\right]=\Lambda_{(Y+Z)\times X}^X(\mathrm{id}_{(Y+Z)\times X}).$$

Fix  $X, Y, Z \in \mathsf{Obj}(\mathbb{C})$ . Armed with the above observation that products distribute over coproducts, we are ready to show that  $Z^{X+Y} \cong Z^X \times Z^Y$ . Letting  $X \xrightarrow{\kappa_1} X + Y \xleftarrow{\kappa_2} Y$  be the relevant coprojections, there exist unique morphisms  $Z^X \xleftarrow{p_1} Z^{X+Y} \xrightarrow{p_1} Z^X$  making the diagrams

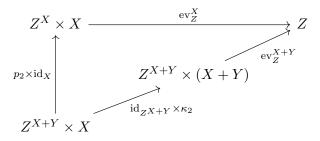
$$Z^{X} \times X \xrightarrow{\operatorname{ev}_{Z}^{X}} Z$$

$$\downarrow p_{1} \times \operatorname{id}_{X} \qquad Z^{X+Y} \times (X+Y)$$

$$\downarrow \operatorname{id}_{Z^{X+Y} \times \kappa_{1}} Z$$

$$Z^{X+Y} \times X$$

and



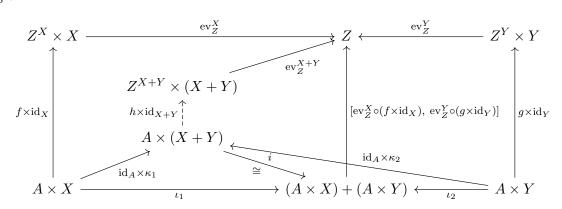
in  $\mathbb{C}$  commute, namely  $p_1 \coloneqq \Lambda_Z^X \left( \operatorname{ev}_Z^{X+Y} \circ (\operatorname{id}_{Z^{X+Y}} \times \kappa_1) \right)$  and  $p_2 \coloneqq \Lambda_Z^X \left( \operatorname{ev}_Z^{X+Y} \circ (\operatorname{id}_{Z^{X+Y}} \times \kappa_2) \right)$ . We will show that the object  $Z^{X+Y}$  along with the morphisms  $Z^X \xleftarrow{p_1} Z^{X+Y} \xrightarrow{p_2} Z^Y$  serve as a categorical product of  $Z^X$  and  $Z^Y$ , which would yield  $Z^{X+Y} \cong Z^X \times Z^Y$ . Suppose we are given a pair of morphisms  $Z^X \xleftarrow{f} A \xrightarrow{g} Z^Y$ . We already know that there is an isomorphism  $A \times (X+Y) \xrightarrow{i} (A \times X) + (A \times Y)$  making the diagram

$$A \times (X + Y)$$

$$id_A \times \kappa_1 \longrightarrow \downarrow i$$

$$A \times X \xrightarrow{\iota_1} (A \times X) + (A \times Y) \xleftarrow{\iota_2} A \times Y$$

in  $\mathbb C$  commute, where  $A \times X \xrightarrow{\iota_1} (A \times X) + (A \times Y) \xleftarrow{\iota_2}$  are the relevant coprojections. By the universal property of exponentials, there exists a unique morphism  $A \xrightarrow{h} Z^{X+Y}$  such that the diagram



in  $\mathbb{C}$  commutes, namely  $h \coloneqq \Lambda_Z^{X+Y} \left( [\operatorname{ev}_Z^X \circ (f \times \operatorname{id}_X), \operatorname{ev}_Z^Y \circ (g \times \operatorname{id}_Y)] \circ i \right)$ . Hence

$$\begin{aligned} \operatorname{ev}_Z^X \circ (f \times \operatorname{id}_X) &= \operatorname{ev}_Z^{X+Y} \circ (h \times \operatorname{id}_{X+Y}) \circ (\operatorname{id}_A \times \kappa_1) \\ &= \operatorname{ev}_Z^{X+Y} \circ (h \times \kappa_1) \\ &= \operatorname{ev}_Z^{X+Y} \circ (\operatorname{id}_{Z^{X+Y}} \times \kappa_1) \circ (h \times \operatorname{id}_X) \\ &= \operatorname{ev}_Z^X \circ (p_1 \times \operatorname{id}_X) \circ (h \times \operatorname{id}_X) \\ &= \operatorname{ev}_Z^X \circ (p_1 h \times \operatorname{id}_X), \end{aligned}$$

and so  $f = p_1 h$  by the universal property of exponents. Similarly,  $g = p_2 h$ . Now, for any morphism  $A \stackrel{k}{\to} Z^{X+Y}$  in  $\mathbb C$  satisfying  $f = p_1 k$  and  $g = p_2 k$ , then we get the equalities

$$\operatorname{ev}_Z^X \circ (f \times \operatorname{id}_X) = \operatorname{ev}_Z^{X+Y} \circ (k \times \operatorname{id}_{X+Y}) \circ (\operatorname{id}_A \times \kappa_1)$$

and

$$\operatorname{ev}_Z^Y \circ (g \times \operatorname{id}_X) = \operatorname{ev}_Z^{X+Y} \circ (k \times \operatorname{id}_{X+Y}) \circ (\operatorname{id}_A \times \kappa_2).$$

From these, it follows that

$$\operatorname{ev}_Z^{X+Y} \circ (h \times \operatorname{id}_{X+Y}) \circ i^{-1} \circ \iota_1 = \operatorname{ev}_Z^{X+Y} \circ (k \times \operatorname{id}_{X+Y}) \circ i^{-1} \circ \iota_1$$

and

$$\operatorname{ev}_Z^{X+Y} \circ (h \times \operatorname{id}_{X+Y}) \circ i^{-1} \circ \iota_2 = \operatorname{ev}_Z^{X+Y} \circ (k \times \operatorname{id}_{X+Y}) \circ i^{-1} \circ \iota_2.$$

From the universal property of coproducts and the fact that  $i^{-1}$  is an isomorphism, the above two equalities let us obtain  $\operatorname{ev}_Z^{X+Y} \circ (h \times \operatorname{id}_{X+Y}) = \operatorname{ev}_Z^{X+Y} \circ (k \times \operatorname{id}_{X+Y})$ . The universal property of exponents then implies that h = k. Therefore  $Z^X \stackrel{p_1}{\longleftarrow} Z^{X+Y} \stackrel{p_2}{\longrightarrow} Z^Y$  serves as a categorical product of  $Z^X$  and  $Z^Y$ , giving  $Z^{X+Y} \cong Z^X \times Z^Y$ .

Let us move on to showing that  $Z^{X\times Y}\cong (Z^Y)^X$ . From our solution to Exercise 2.1.8.1, we know that there are isomorphisms  $(A\times X)\times Y\stackrel{i}{\cong} A\times (X\times Y)$  and  $((Z^Y)^X\times X)\times Y\stackrel{j}{\cong} (Z^Y)^X\times (X\times Y)$  such that for any morphism  $A\stackrel{k}{\to} (Z^Y)^X$  the diagram

$$(A \times X) \times Y \xrightarrow{(k \times \mathrm{id}_X) \times \mathrm{id}_Y} ((Z^Y)^X \times X) \times Y$$

$$\downarrow^{i^{-1}} \cong \qquad \qquad \cong \downarrow^{j^{-1}}$$

$$A \times (X \times Y) \xrightarrow{k \times \mathrm{id}_{X \times Y}} (Z^Y)^X \times (X \times Y)$$

in  $\mathbb{C}$  commutes, i.e.  $j^{-1} \circ ((k \times \mathrm{id}_X) \times \mathrm{id}_Y) \circ i^{-1} = k \times \mathrm{id}_{X \times Y}$ . Now suppose we are given a morphism  $A \times (X \times Y) \xrightarrow{f} Z$ . Then the diagram

$$(Z^{Y})^{X} \times (X \times Y) \xrightarrow{j} ((Z^{Y})^{X} \times X) \times Y \xrightarrow{\operatorname{ev}_{ZY}^{X} \times \operatorname{id}_{Y}} Z^{Y} \times Y \xrightarrow{\operatorname{ev}_{Z}^{Y}} Z$$

$$\left(\Lambda_{ZY}^{X} \left(\Lambda_{Z}^{Y}(fi)\right) \times \operatorname{id}_{X}\right) \times \operatorname{id}_{Y} \xrightarrow{\cong} A \times (X \times Y)$$

$$(A \times X) \times Y \xrightarrow{\cong} A \times (X \times Y)$$

in  $\mathbb{C}$  commutes. This yields a unique morphism  $A \xrightarrow{h} (Z^Y)^X$  satisfying

$$\left(\operatorname{ev}_{Z}^{Y} \circ \left(\operatorname{ev}_{Z^{Y}}^{X} \times \operatorname{id}_{Y}\right) \circ j\right) \circ \left(h \times \operatorname{id}_{X \times Y}\right) = f,$$

namely  $h = \Lambda_{Z^Y}^X (\Lambda_Z^Y(fi))$ . Therefore the object  $(Z^X)^Y$  with the morphism  $(Z^Y)^X \times (X \times Y) \xrightarrow{\operatorname{ev}_Z^Y \circ (\operatorname{ev}_{Z^Y}^X \times \operatorname{id}_Y) \circ j} Z$  serve as the exponential object  $Z^{X \times Y}$  and its evaluation morphism. Hence  $(Z^Y)^X \cong Z^{X \times Y}$ .

Finally, let us show that  $(X \times Y)^Z \cong X^Z \times Y^Z$ . Let  $X \xleftarrow{\pi_1} X \times Y \xrightarrow{\pi_2} Y$  be the relevant projections. Suppose we are given a morphism  $A \times Z \xrightarrow{f} X \times Y$ . Then we obtain morphisms  $X \xleftarrow{\pi_1 f} A \times Z \xrightarrow{\pi_2 f} Y$ , from which we obtain the two unique morphisms  $X^Z \xleftarrow{\Lambda_X^Z(\pi_1 f)} A \xrightarrow{\Lambda_Y^Z(\pi_2 f)} Y^Z$  satisfying

$$\operatorname{ev}_X^Z \circ \Lambda_X^Z(\pi_1 f) = \pi_1 f$$
 and  $\operatorname{ev}_Y^Z \circ \Lambda_Y^Z(\pi_2 f) = \pi_2 f$ .

Letting  $X^Z \stackrel{p_1}{\longleftarrow} X^Z \times Y^Z \xrightarrow{p_2} Y^Z$  be the relevant projections, an elementary calculation shows that the diagram

$$(X^Z \times Y^Z) \times Z \xrightarrow{\left\langle \operatorname{ev}_X^Z \circ (p_1 \times \operatorname{id}_Z), \operatorname{ev}_Y^Z \circ (p_2 \times \operatorname{id}_Z) \right\rangle} X \times Y$$

$$\left\langle \Lambda_X^Z(\pi_1 f), \Lambda_Y^Z(\pi_2 f) \right\rangle \times \operatorname{id}_Z \qquad f$$

$$A \times Z$$

in  $\mathbb{C}$  commutes. An arbitrary morphism  $A \xrightarrow{h} X^Z \times Y^Z$  satisfying

$$\langle \operatorname{ev}_X^Z \circ (p_1 \times \operatorname{id}_Z), \operatorname{ev}_Y^Z \circ (p_2 \times \operatorname{id}_Z) \rangle \circ (h \times \operatorname{id}_Z) = f = \langle \pi_1 f, \pi_2 f \rangle$$

must then satisfy  $p_1h = \Lambda_X^Z(\pi_1 f)$  and  $p_2h = \Lambda_X^Z(\pi_2 f)$ . This yields  $h = \langle \Lambda_X^Z(\pi_1 f), \Lambda_Y^Z(\pi_2 f) \rangle$ . So the object  $X^Z \times Y^Z$  together with the morphism  $(X^Z \times Y^Z) \times Z \xrightarrow{\langle \operatorname{ev}_X^Z \circ (p_1 \times \operatorname{id}_Z), \operatorname{ev}_Y^Z \circ (p_2 \times \operatorname{id}_Z) \rangle} X \times Y$  serve as the exponential object  $(X \times Y)^Z$  and its evaluation morphism. Therefore  $(X \times Y)^Z \cong X^Z \times Y^Z$ .  $\square$ 

#### Exercise 2.1.9

Show that the finite powerset also forms a functor  $\mathcal{P}_{fin} \colon \mathbf{Sets} \to \mathbf{Sets}$ .

Solution. The proof that  $\mathcal{P}_{\text{fin}}$ : **Sets**  $\to$  **Sets** is a functor is identical to the proof that the usual power set operation  $\mathcal{P}$ : **Sets**  $\to$  **Sets** is a functor. Given a function  $f: X \to Y$ , the function  $\mathcal{P}_{\text{fin}} f: \mathcal{P}_{\text{fin}} X \to \mathcal{P}_{\text{fin}} Y$  sends finite subsets  $A \subseteq X$  to their image under f. That is, for finite subsets  $A \subseteq X$ , we define

$$(\mathcal{P}_{fin}f)(A) := \{ f(x) : x \in A \},\$$

which is indeed a finite set.

It is clear that  $\mathcal{P}_{fin}id_X = id_{\mathcal{P}_{fin}X}$  for all sets X. Now given functions  $f: X \to Y$  and  $g: Y \to Z$ ,

$$(\mathcal{P}_{fin}(gf))(A) = \{ g(f(x)) : x \in A \}$$

$$= \{ g(y) : y \in (\mathcal{P}_{fin}f)(A) \}$$

$$= (\mathcal{P}_{fin}g)((\mathcal{P}_{fin}f)(A))$$

$$= (\mathcal{P}_{fin}g \circ \mathcal{P}_{fin}f)(A)$$

for all finite subsets  $A \subseteq X$ . Thus  $\mathcal{P}_{fin}(gf) = (\mathcal{P}_{fin}g)(\mathcal{P}_{fin}f)$ .

#### Exercise 2.1.10

Check that

$$\mathcal{P}(0) \cong 1, \qquad \mathcal{P}(X+Y) \cong \mathcal{P}(X) \times \mathcal{P}(Y).$$

And similarly for the finite powerset  $\mathcal{P}_{fin}$  instead of  $\mathcal{P}$ . This property says that  $\mathcal{P}$  and  $\mathcal{P}_{fin}$  are 'additive'; see Coumans and Jacobs (2013).

Solution. Let 0 and 1 respectively denote the initial and terminal objects in **Sets**. Then  $\mathcal{P}(0) = \mathcal{P}_{\text{fin}}(0) = \mathcal{P}(\varnothing) = \{\varnothing\} \cong 1$ .

Now fix sets X and Y and suppose, without loss of generality, that X and Y are disjoint so that we can write  $X + Y = X \cup Y$ . Then, we have a bijection  $f : \mathcal{P}(X + Y) \to \mathcal{P}X \times \mathcal{P}Y$  defined by

$$f(A) := (\{ z \in A : z \in X \}, \{ z \in A : z \in Y \})$$

for all  $A \subseteq X + Y$ . This is indeed a bijection as it has inverse  $f^{-1} : \mathcal{P}X \times \mathcal{P}Y \to \mathcal{P}(X + Y)$  defined by

$$f^{-1}(A,B) := A \cup B.$$

The proof that  $\mathcal{P}_{fin}(X+Y) \cong \mathcal{P}_{fin}X \times \mathcal{P}_{fin}Y$  is similar.

#### Exercise 2.1.11

Notice that a power set  $\mathcal{P}(X)$  can also be understood as exponent  $2^X$ , where  $2 = \{0, 1\}$ . Check that the exponent functoriality gives rise to the contravariant powerset  $\mathbf{Sets}^{\mathrm{op}} \to \mathbf{Sets}$ .

Solution. The identification of  $\mathcal{P}(X)$  with  $2^X$  is via the isomorphism  $\alpha_X \colon \mathcal{P}(X) \to 2^X$  defined by

$$\alpha_X(A) := \lambda x \in X. \begin{cases} 1, & \text{if } x \in A, \\ 0, & \text{if } x \notin A, \end{cases}$$

for all  $A \subseteq X$ .

Fix a function  $f: X \to Y$ . The function  $2^f: 2^Y \to 2^X$  is given by

$$(2^f)(k) := \lambda x \in X.k(f(x)),$$

for all functions  $k \colon Y \to 2$ . We then see that  $\alpha_X^{-1} \circ 2^f \circ \alpha_Y \colon \mathcal{P}(Y) \to \mathcal{P}(X)$  satisfies

$$(\alpha_X^{-1} \circ 2^f \circ \alpha_Y)(B) = (\alpha_X^{-1} \circ 2^f) \left( \lambda y \in Y . \begin{cases} 1, & \text{if } y \in B, \\ 0, & \text{if } y \notin B \end{cases} \right)$$

$$= \alpha_X^{-1} \left( \lambda x \in X . \begin{cases} 1, & \text{if } f(x) \in B, \\ 0, & \text{if } f(x) \notin B \end{cases} \right)$$

$$= \{ x \in X : f(x) \in B \}$$

for all  $B \subseteq Y$ . This is precisely how the contravariant power set functor is defined on morphisms.  $\square$ 

#### Exercise 2.1.12

Consider a function  $f: X \to Y$ . Prove that

- 1. The direct image  $\mathcal{P}(f) = \bigsqcup_f \colon \mathcal{P}(X) \to \mathcal{P}(Y)$  preserves all joins and that the inverse image  $f^{-1}(-)\colon \mathcal{P}(Y) \to \mathcal{P}(X)$  preserves not only joins but also meets and negation (i.e. all the Boolean structure).
- 2. There is a Galois connection  $\bigsqcup_f(U) \subseteq V \iff U \subseteq f^{-1}(V)$ , as claimed in (2.15).
- 3. There is a product function  $\prod_f : \mathcal{P}(X) \to \mathcal{P}(Y)$  given by  $\prod_f (U) = \{ y \in Y \mid \forall x \in X. f(x) = y \Rightarrow x \in U \}$ , with a Galois connection  $f^{-1}(V) \subseteq U \iff V \subseteq \prod_f (U)$ .

Solution.

1. For a collection  $\{A_i\}_{i\in I}$  of subsets of X, we see that

$$(\mathcal{P}f)\left(\bigcup_{i\in I}A_i\right) = \left\{f(x) : x\in\bigcup_{i\in I}A_i\right\}$$
$$=\bigcup_{i\in I}\left\{f(x) : x\in A_i\right\}$$
$$=\bigcup_{i\in I}(\mathcal{P}f)(A_i).$$

So  $\mathcal{P}f$  preserves all joins. Furthermore, for a collection  $\{B_i\}_{i\in J}$  of subsets of X,

$$f^{-1}\left(\bigcup_{j\in J}B_j\right) = \left\{x\in X : f(x)\in \bigcup_{j\in J}B_j\right\}$$

$$= \bigcup_{j \in J} \{ x \in X : f(x) \in B_j \}$$
$$= \bigcup_{j \in J} f^{-1}(B_j).$$

So  $f^{-1}(-)$  also preserves all joins. Moreover,

$$f^{-1}\left(\bigcap_{j\in J} B_j\right) = \left\{x \in X : f(x) \in \bigcap_{j\in J} B_j\right\}$$
$$= \bigcap_{j\in J} \{x \in X : f(x) \in B_j\}$$
$$= \bigcap_{j\in J} f^{-1}(B_j).$$

So  $f^{-1}(-)$  preserves all meets. Also, for any subset  $B \subseteq Y$ ,

$$f^{-1}(Y \setminus B) = \{ x \in X : f(x) \in Y \setminus B \}$$
$$= X \setminus \{ x \in X : f(x) \in B \}$$
$$= X \setminus f^{-1}(B).$$

So  $f^{-1}(-)$  preserves all negations.

2. Fix a pair of subsets  $U \subseteq X$  and  $V \subseteq Y$ . Then

$$(\mathcal{P}f)(U)\subseteq V$$
 if and only if  $\{f(x):x\in U\}\subseteq V$  if and only if for all  $x\in U$  we have  $f(x)\in V$  if and only if  $U\subseteq \{x\in X:f(x)\in V\}$  if and only if  $U\subseteq f^{-1}(V)$ ,

as claimed.

3. Fix a pair of subsets  $U \subseteq X$  and  $V \subseteq Y$ . Then

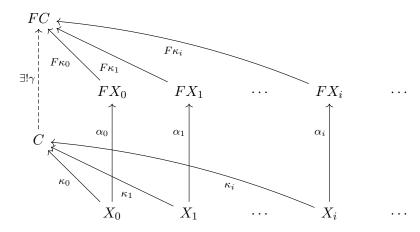
$$f^{-1}(V) \subseteq U$$
 if and only if  $\{x \in X : f(x) \in V\} \subseteq U$  if and only if for all  $x \in X$  with  $f(x) \in V$  we have  $x \in U$  if and only if  $V \subseteq \{y \in Y : \text{for all } x \in X \text{ with } f(x) = y \text{ we have } x \in U\}$  if and only if  $V \subseteq \prod_f (U)$ ,

as desired.

### Exercise 2.1.13

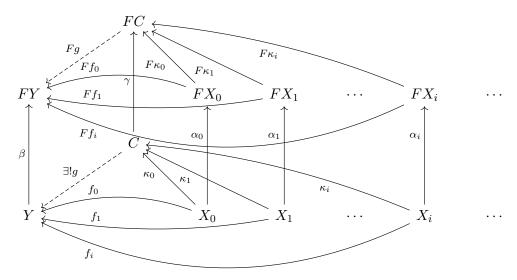
Assume a category  $\mathbb{C}$  has arbitrary, set-indexed coproducts  $\bigsqcup_{i\in I} X_i$ . Demonstrate, as in the proof of Proposition 2.1.5, that the category  $\mathbf{CoAlg}(F)$  of coalgebras of a functor  $F: \mathbb{C} \to \mathbb{C}$  then also has such coproducts.

Solution. Let I be a non-empty set and fix an I-indexed tuple  $(X_i \xrightarrow{\alpha_i} FX_i)_{i \in I}$  of F-coalgebras. Let  $C := \bigsqcup_{i \in I} X_i$  be the coproduct of  $(X_i)_{i \in I}$  in  $\mathbb C$  and, for  $i \in I$ , let  $X_i \xrightarrow{\kappa_i} C$  denote the appropriate coprojection. We have the collection of morphisms  $(X_i \xrightarrow{(F\kappa_i)\alpha_i} FC)_{i \in I}$ . So there exists a unique morphism  $\gamma \colon C \to FC$  such that  $\gamma \kappa_i = (F\kappa_i)\alpha_i$  for all  $i \in I$ . That is, the diagram



in  $\mathbb{C}$  commutes. Consequently, we have a collection of homomorphisms of F-coalgebras  $((X_i, \alpha_i) \xrightarrow{\kappa_i} (C, \gamma))_{i \in I}$ .

Now, suppose we are given another F-coalgebra  $Y \xrightarrow{\beta} FY$  and a collection of homomorphisms of F-coalgebras  $((X_i, \alpha_i) \xrightarrow{f_i} (Y, \beta))_{i \in I}$ . Then, as C is the coproduct of  $(X_i)_{i \in I}$  in  $\mathbb{C}$ , there is a unique morphism  $C \xrightarrow{g} Y$  in  $\mathbb{C}$  such that  $g\kappa_i = f_i$  for all  $i \in I$ .



We now need to verify that g is actually a homomorphism of F-coalgebras from  $(C, \gamma)$  to  $(Y, \beta)$ . We will use the universal property of C as the coproduct in  $\mathbb{C}$ : for all  $i \in I$ , we have

$$\beta g \kappa_i = \beta f_i,$$
 since  $g \kappa_i = f_i,$   
 $= (F f_i) \alpha_i,$  since  $f_i$  is a homomorphism from  $(X_i, \alpha_i)$  to  $(Y, \beta),$   
 $= (F g)(F \kappa_i) \alpha_i,$  from  $g \kappa_i = f_i$  and the functoriality of  $F$ ,  
 $= (F g) \gamma \kappa_i,$  since  $\kappa_i$  is a homomorphism from  $(X_i, \alpha_i)$  to  $(C, \gamma)$ .

Therefore  $\beta g = (Fg)\gamma$ , i.e. g is a homomorphism from  $(C, \gamma)$  to  $(Y, \beta)$ .

#### Exercise 2.1.14

For two parallel maps  $f, g: X \to Y$  between objects X, Y in an arbitrary category  $\mathbb{C}$  a **coequaliser**  $g: Y \to Q$  is a map in a diagram

$$X \xrightarrow{g} Y \xrightarrow{q} Q$$

with  $q \circ f = q \circ g$  in a 'universal way': for an arbitrary map  $h: Y \to Z$  with  $h \circ f = h \circ g$  tehre is a unique map  $k: Q \to Z$  with  $k \circ q = h$ .

- 1. An equalier in a category  $\mathbb{C}$  is a coequaliser in  $\mathbb{C}^{op}$ . Formulate explicitly what an equaliser of two parallel maps is.
- 2. Check that in the category **Sets** the set Q can be defined as the quotient Y/R, where  $R \subseteq Y \times Y$  is the least equivalence relation containing all pairs (f(x), g(x)) for  $x \in X$ .
- 3. Returning to the general case, assume a category  $\mathbb{C}$  has coequalisers. Prove that for an arbitrary functor  $F \colon \mathbb{C} \to \mathbb{C}$  the associated category of coalgebras  $\mathbf{CoAlg}(F)$  also has coequalisers, as in  $\mathbb{C}$ : for two homomorphisms  $f, g \colon X \to Y$  between coalgebras  $c \colon X \to F(X)$  and  $d \colon Y \to F(Y)$  there is by universality an induced coalgebra structure  $Q \to F(Q)$  on the coequaliser Q of the underlying maps f, g, yielding a diagram of coalgebras

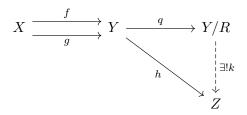
$$\begin{pmatrix} F(X) \\ \uparrow c \\ X \end{pmatrix} \xrightarrow{g} \begin{pmatrix} F(Y) \\ \uparrow d \\ Y \end{pmatrix} \xrightarrow{q} \begin{pmatrix} F(Q) \\ \uparrow \\ Q \end{pmatrix}$$

with the appropriate universal property in  $\mathbf{CoAlg}(F)$ : for each coalgebra  $e\colon Z\to F(Z)$  with homomorphism  $h\colon Y\to Z$  satisfying  $h\circ f=h\circ g$  there is a unique homomorphism of coalgebras  $k\colon Q\to Z$  with  $k\circ q=h$ .

Solution.

- 1. An equaliser of a parallel pair  $X \xrightarrow{f}_{g} Y$  is a morphism  $E \xrightarrow{e} X$  such that both of the following hold:
  - (a) we have fe = ge; and
  - (b) for any morphism  $Z \xrightarrow{h} X$  satisfying fh = gh there exists a unique morphism  $Z \xrightarrow{k} E$  in  $\mathbb{C}$  such that ek = h.
- 2. Fix functions  $f, g: X \to Y$ . Let  $R \subseteq Y \times Y$  be the smallest equivalence relation on Y such that  $\{(f(x), g(x)) : x \in X\} \subseteq R$ , and define  $q: Y \to Y/R$  by  $q(y) \coloneqq [y]$  for all  $y \in Y$ , where [y] denotes the R-equivalence class of  $y \in Y$ .

Fix another function  $h: Y \to Z$  such that hf = hg. We need to show that we have the diagram

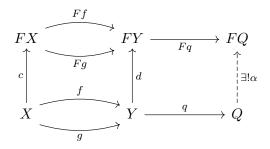


in **Sets** commuting. We define  $k \colon Y/R \to Z$  by  $k([y]) \coloneqq h(y)$  for each R-equivalence class  $[y] \in Y/R$ . Note that this k is well-defined: if  $y, y' \in Y$  are such that yRy' then we can prove by induction on the construction of R (as the reflexive symmetric transitive closure of  $\{(f(x), g(x)) : x \in X\}$ ) that h(y) = h(y'). Then, by construction,  $k \colon Y/R \to Z$  is the unique function satisfying kq = h.

3. Now suppose that  $\mathbb{C}$  has coequalisers. Fix a parallel pair of morphisms  $(X,c) \xrightarrow{f}_{g} (Y,d)$  in  $\mathbf{CoAlg}(F)$ . Let  $Y \xrightarrow{q} Q$  be the coequaliser in  $\mathbb{C}$  of the parallel pair  $X \xrightarrow{f}_{g} Y$ . Observe then that

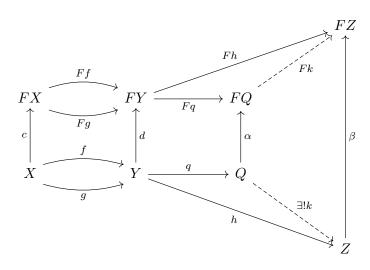
$$(Fq)df = (Fq)(Ff)c$$
, since  $f$  is a homomorphism from  $(X,c)$  to  $(Y,d)$ ,  
 $= F(qf)c$ , by functoriality of  $F$ ,  
 $= F(qg)c$ , since  $qf = qg$ , because  $q$  is the coequaliser of  $f$  and  $g$ ,  
 $= F(q)F(g)c$ , by the functoriality of  $F$ ,  
 $= (Fq)dg$ , since  $g$  is also a homomorphism from  $(X,c)$  to  $(Y,d)$ .

So there must be a unique morphism  $Q \xrightarrow{\alpha} FQ$  in  $\mathbb{C}$  such that  $\alpha q = (Fq)d$ .



So we have an F-coalgebra structure on Q, namely  $Q \xrightarrow{\alpha} FQ$ , and the requirement  $\alpha q = (Fq)d$  says that q is a homomorphism of F-coalgebras from (Y,d) to  $(Q,\alpha)$ .

Now suppose that there is another F-coalgebra  $Z \xrightarrow{\beta} FZ$  and a homomorphism  $(Y, d) \xrightarrow{h} (Z, \beta)$  such that hf = hg. Then there is a unique morphism  $Q \xrightarrow{k} Z$  in  $\mathbb{C}$  such that kq = h.



We now just need to verify that k is a homomorphism from  $(Q, \alpha)$  to  $(Z, \beta)$ , i.e.  $\beta k = (Fk)\alpha$ . We will use the universal property of  $Y \xrightarrow{q} Q$  as the coequaliser of  $X \xrightarrow{f} Y$ : we have

$$\beta hf = \beta kqf$$
, since  $kq = h$ ,  
=  $\beta kqg$ , since  $qf = qg$ , as  $q$  coequalises  $f$  and  $g$ ,  
=  $\beta hg$ , since  $kq = h$ ,

and

$$\begin{split} \beta kq &= \beta h, & \text{since } kq = h, \\ &= (Fh)d, & \text{since } h \text{ is a homomorphism from } (Y,d) \text{ to } (Z,\beta), \\ &= (Fk)(Fq)d, & \text{since } kq = h \text{ and } F \text{ is a functor,} \\ &= (Fk)\alpha q, & \text{since } q \text{ is a homomorphism from } (Y,d) \text{ to } (Q,\alpha). \end{split}$$

The equalities to take away from the second calculation above are

$$\beta kq = \beta h = (Fk)\alpha q.$$

By the uniqueness clause in the universal property of coequalisers, we must have  $\beta k = (Fk)\alpha$ .  $\square$ 

### 2.2 Polynomial Functors and Their Coalgebras

#### Exercise 2.2.1

Check that a polynomial functor which does not contain the identity functor is constant.

Solution. This follows by induction on the complexity of polynomial functors.

#### Exercise 2.2.2

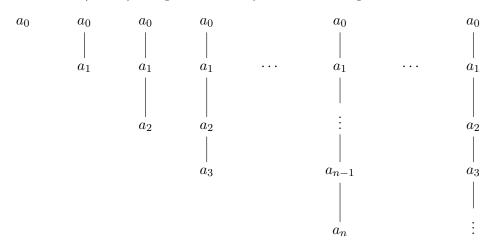
Describe the kind of trees that can arise as behaviours of coalgebras:

1. 
$$S \to A + (A \times S)$$
.

2. 
$$S \to A + (A \times S) + (A \times S \times S)$$
.

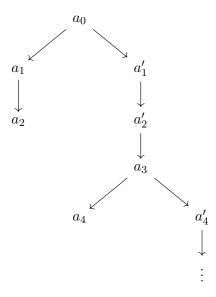
Solution.

1. A coalgebra  $S \to A + (A \times S)$  can give rise to any of the following kinds of trees:



That is, trees where every node has at most one successor.

2. A coalgebra  $S \to A + (A \times S) + (A \times S \times S)$  gives rise to a tree where every node has at most two successors. An example of such a tree is



#### Exercise 2.2.3

Check, using Exercise 2.1.10, that non-deterministic automata  $X \to \mathcal{P}(X)^A \times 2$  can equivalently be described as transition systems  $X \to \mathcal{P}(1 + (A \times X))$ . Work out the correspondence in detail.

Solution. Write  $1 = \{*\}$  and  $2 = \{0, 1\}$ . For a function  $f: X \to \mathcal{P}(X)^A \times 2$ , define  $\varphi_f: X \to \mathcal{P}(1 + (A \times X))$  by

$$\varphi_f(x) \coloneqq \begin{cases} \{*\} \cup \{ (a, z) \in A \times X : z \in h(a) \}, & \text{if } f(x) = (h, 1) \text{ for some function } h \colon A \to \mathcal{P}(X), \\ \{ (a, z) \in A \times X : z \in h(a) \}, & \text{if } f(x) = (h, 0) \text{ for some function } h \colon A \to \mathcal{P}(X), \end{cases}$$

for all  $x \in X$ . For a function  $g: X \to \mathcal{P}(1 + (A \times X))$ , define  $\psi_g: X \to \mathcal{P}(X)^A \times 2$  by

$$\psi_g(x) := \begin{cases} (\lambda a \in A. \{ z \in X : (a, z) \in g(x) \}, 1), & \text{if } * \in g(x), \\ (\lambda a \in A. \{ z \in X : (a, z) \in g(x) \}, 0), & \text{if } * \notin g(x), \end{cases}$$

for all  $x \in X$ . Then  $\psi_{\varphi_f} = f$  and  $\varphi_{\psi_g} = g$  for all functions  $f: X \to \mathcal{P}(X)^A \times 2$  and functions  $g: X \to \mathcal{P}(1 + (A \times X))$ .

#### Exercise 2.2.4

Describe the arity # for the functors

- 1.  $X \mapsto B + (X \times A \times X)$ .
- 2.  $X \mapsto A_0 \times X^{A_1} \times (X \times X)^{A_2}$ , for finite sets  $A_1, A_2$ .

Solution.

1. Define an arity  $\#: A + B \to \mathbb{N}$  by #a := 2, for all  $a \in A$ , and #b := 0, for all  $b \in B$ . Then the associated arity functor  $F_{\#}: \mathbf{Sets} \to \mathbf{Sets}$  satisfies

$$F_{\#}X = \bigsqcup_{i \in A+B} X^{\#i}$$

$$= \bigsqcup_{b \in B} X^{\#b} + \bigsqcup_{a \in A} X^{\#a}$$

$$= \bigsqcup_{b \in B} X^0 + \bigsqcup_{a \in A} X^2$$

$$\cong B + \bigsqcup_{a \in A} (X \times X)$$

$$\cong B + (X \times A \times X),$$

for all  $X \in \mathsf{Obj}(\mathbf{Sets})$ .

2. Define an arity  $\#: A_0 \to \mathbb{N}$  by  $\#i := |A_1| + |A_2| + |A_2|$  for all  $i \in A_0$ . Then the associated arity functor  $F_\#: \mathbf{Sets} \to \mathbf{Sets}$  satisfies

$$F_{\#}X = \bigsqcup_{i \in A_0} X^{\#i}$$

$$= \bigsqcup_{i \in A_0} X^{|A_1| + |A_2| + |A_2|}$$

$$\cong \bigsqcup_{i \in A_0} (X^{A_1} \times (X^{A_2} \times X^{A_2}))$$

$$\cong \bigsqcup_{i \in A_0} (X^{A_1} \times (X \times X)^{A_2})$$

$$\cong A_0 \times X^{A_1} \times (X \times X)^{A_2},$$

for all  $X \in \mathsf{Obj}(\mathbf{Sets})$ .

#### Exercise 2.2.5

Check that finite arity functors correspond to simple polynomial functors in the construction of which all constant functors  $X \mapsto A$  and exponents  $X^A$  have finite sets A.

Solution. Let finSPF be this class of simple polynomial functors. Clearly finite arity functors are in finSPF. The proof that all functors in finSPF are of finite arity proceeds by induction on the structure of functors in finSPF, much along the lines of the proof of Proposition 2.2.3.

#### Exercise 2.2.6

Consider an indexed collection of sets  $(A_i)_{i\in I}$  and define the associated 'dependent' polynomial functor  $\mathbf{Sets} \to \mathbf{Sets}$  by

$$X \mapsto \bigsqcup_{i \in I} X^{A_i} = \{ (i, f) \mid i \in I \land f \colon A_i \to X \}.$$

- 1. Prove that we get a functor in this way; obviously by Proposition 2.2.3, each polynomial functor is of this form, for a finite set  $A_i$ .
- 2. Check that all simple polynomial functors are dependent by finding suitable collections  $(A_i)_{i\in I}$  for each of them.

(These functors are studied as 'containers' in the context of so-called W-types in dependent type theory for well-founded trees; see for instance Abbott, Altenkirch, and Ghani (2003), Abbott, Altenkirch, and Ghani (2005), and Moerdijk and Palmqreen (2000).

Solution.

1. The functor  $X \mapsto \bigsqcup_{i \in I} X^{A_i}$  maps a function  $g \colon X \to Y$  to the function

$$\bigsqcup_{i \in I} X^{A_i} \ni (i, f) \mapsto (i, gf) \in \bigsqcup_{i \in I} Y^{A_i}.$$

Functoriality follows from the associativity of function composition.

2. We induct on the complexity of simple polynomial functors.

The identity functor  $id_{\mathbf{Sets}}$  is the dependent polynomial functor associated with the collection  $(A_i)_{i \in I} = (1)_{i \in I}$ .

The constant functor at  $A \in \mathsf{Obj}(\mathbf{Sets})$  is the dependent polynomial functor associated with the collection  $(A_i)_{i \in I} = (0)_{i \in A}$ .

If F and G are both simple polynomial functors and we inductively have  $FX = \bigsqcup_{i \in I} X^{A_i}$  and  $GX = \bigsqcup_{j \in J} X^{B_j}$  for all  $X \in \mathsf{Obj}(\mathbf{Sets})$ , then  $(F \times G)(X) = \bigsqcup_{(i,j) \in I \times J} X^{A_i + B_j}$  for all  $X \in \mathsf{Obj}(\mathbf{Sets})$ .

If  $(F_i)_{i\in I}$  is an I-indexed collection of simple polynomial functors, say with  $F_iX = \bigsqcup_{j\in J_i} X^{A_{i,j}}$  for all  $X \in \mathsf{Obj}(\mathbf{Sets})$ , then  $(\bigsqcup_{i\in I} F_i)(X) = \bigsqcup_{i\in I} \bigsqcup_{j\in J_i} X^{A_{i,j}} = \bigsqcup_{(i,j)\in \bigsqcup_{i\in I} J_i} X^{A_{i,j}}$ .

#### Exercise 2.2.7

Recall from (2.13) and (2.14) that the powerset functor  $\mathcal{P}$  can be described both as a covariant functor  $\mathbf{Sets} \to \mathbf{Sets}$  and as a contravariant one  $2^{(-)} \colon \mathbf{Sets^{op}} \to \mathbf{Sets}$ . In the definition of Kripke polynomial functors we use the powerset  $\mathcal{P}$  covariantly. The functor  $\mathcal{N} = \mathcal{PP} \colon \mathbf{Sets} \to \mathbf{Sets}$  is obtained by using the contravariant powerset functor twice — yielding a covariant, but not Kripke polynomial functor. Coalgebras of this so-called neighbourhood functor are used in Scott (1970) and Montague (1970) as models of a special modal logic (see also Hansen, Kupke, and Pacuit (2009) and Hansen, Kupke, and Leal (2014) for the explicitly coalgebraic view).

- 1. Describe the action  $\mathcal{N}(f) \colon \mathcal{N}(X) \to \mathcal{N}(Y)$  of a function  $f \colon X \to Y$ .
- 2. Try to see a coalgebra  $c: X \to \mathcal{N}(X)$  as the setting of a two-player game, with the first player's move in state  $x \in X$  given by a choice of a subset  $U \in c(x)$  and the second player's reply by a choice of successor state  $x' \in U$ .

Solution.

1. Fix a function  $f: X \to Y$ . The function  $\mathcal{P}f: \mathcal{P}Y \to \mathcal{P}X$ , where  $\mathcal{P}: \mathbf{Sets}^{\mathrm{op}} \to \mathbf{Sets}$  denotes the contravariant powerset functor, is given by

$$(\mathcal{P}f)(B)\coloneqq f^{-1}(B)=\{\,x\in X:f(x)\in B\,\},$$

for all  $B \in \mathcal{P}Y$ . So the function  $\mathcal{N}f : \mathcal{N}X \to \mathcal{N}Y$  is given by

$$(\mathcal{N}f)(\mathscr{A}) = (\mathcal{P}\mathcal{P}f)(\mathscr{A})$$

$$= (\mathcal{P}f)^{-1}(\mathscr{A})$$

$$= \{ B \in \mathcal{P}Y : (\mathcal{P}f)(B) \in \mathscr{A} \}$$

$$= \{ B \in \mathcal{P}Y : f^{-1}(B) \in \mathscr{A} \},$$

for  $\mathscr{A} \in \mathcal{N}X = \mathcal{PP}X$ .

2. Just read the question as described.

#### Exercise 2.2.8

1. Notice that the behaviour function beh:  $S \to B^{A^*}$  from (2.23) for a deterministic automaton satisfies:

$$beh(x)(\langle \rangle) = \epsilon(x)$$

$$= b \qquad where \ x \downarrow b$$

$$beh(x)(a \cdot \sigma) = beh(\delta(x)(a))(\sigma)$$

$$= beh(x')(\sigma) \qquad where \ x \xrightarrow{a} x'.$$

2. Consider a homomorphism  $f: X \to Y$  of coalgebras/deterministic automata from  $X \to X^A \times B$  and  $Y \to Y^A \times B$  and prove that for all  $x \in X$ ,

$$beh_2(f(x)) = beh_1(x)$$

Solution. Recall that  $A^*$  denotes the set of all finite sequences of elements of A. Furthermore, recall that for a coalgebra  $S \xrightarrow{\langle \delta, \epsilon \rangle} S^A \times B$ , the behaviour function beh:  $S \to B^{A^*}$  is defined by

$$beh(x) := \lambda \sigma \in A^* . (\epsilon(\delta^*(x, \sigma))), \text{ for all } x \in S,$$

where  $\delta^* \colon S \times A^* \to S$  is defined by

$$\delta^*(x,\tau) \coloneqq \begin{cases} x, & \text{if } \tau = \langle \rangle, \\ \delta^*(\delta(x)(a),\sigma), & \text{if } \tau = a \cdot \sigma \text{ for some } a \in A \text{ and } \sigma \in A^*, \end{cases}$$

for all  $(x, \tau) \in S \times A^*$ .

1. Fix  $x \in S$ . Suppose that  $x \downarrow b$  and  $x \xrightarrow{a} x'$ , i.e.  $\epsilon(x) = b$  and  $x' = \delta(x)(a)$ . Then

$$beh(x)(\langle \rangle) = \epsilon(\delta^*(x, \langle \rangle))$$
$$= \epsilon(x)$$
$$= b.$$

Moreover, for  $(a, \sigma) \in S \times A^*$ , we have

$$beh(x)(a \cdot \sigma) = \epsilon(\delta^*(x, a \cdot \sigma))$$

$$= \epsilon(\delta^*(\delta(x)(a), \sigma))$$

$$= beh(\delta(x)(a))(\sigma)$$

$$= beh(x')(\sigma).$$

2. Now fix coalgebras  $X \xrightarrow{\langle \delta_1, \varepsilon_1 \rangle} X^A \times B$  and  $Y \xrightarrow{\langle \delta_2, \varepsilon_2 \rangle} Y^A \times B$ , and fix a homomorphism of coalgebras  $f: (X, \langle \delta_1, \varepsilon_1 \rangle) \to (Y, \langle \delta_2, \varepsilon_2 \rangle)$ . We have the commuting diagram

$$X^{A} \times B \xrightarrow{f^{A} \times \mathrm{id}_{B}} Y^{A} \times B$$

$$\langle \delta_{1}, \varepsilon_{1} \rangle \qquad \qquad \uparrow \langle \delta_{2}, \varepsilon_{2} \rangle$$

$$X \xrightarrow{f} Y$$

in **Sets**, where the notation  $f^A$  was introduced in our solution to Exercise 2.1.8.3. This diagram commuting says that  $(\delta_2(f(x)), \varepsilon_2(f(x)) = (f^A(\delta_1(x)), \varepsilon_1(x))$  for all  $x \in X$ . Also, the associated behaviour functions beh<sub>1</sub>:  $X \to B^{A^*}$  and beh<sub>2</sub>:  $Y \to B^{A^*}$  satisfy

$$beh_1(x)(\sigma) = \varepsilon_1(\delta_1^*(x,\sigma))$$
 and  $beh_2(f(x))(\sigma) = \varepsilon_2(\delta_2^*(f(x),\sigma))$ 

for all  $x \in X$  and  $\sigma \in A^*$ . We will prove, by induction on the length of  $\sigma$ , that beh<sub>1</sub> $(x)(\sigma) = \text{beh}_2(f(x))(\sigma)$  for all  $x \in X$  and  $\sigma \in A^*$ . This will yield beh<sub>1</sub> $(x) = \text{beh}_2(f(x))$  for all  $x \in X$ .

For the base case of the induction, we have

$$beh_1(x)(\langle \rangle) = \varepsilon_1(x) = \varepsilon_2(f(x)) = beh_2(f(x)(\langle \rangle),$$

for all  $x \in X$ , using Exercise 2.2.8.1 and the fact that f is a homomorphism of coalgebras.

Now, suppose inductively that a given  $\sigma \in A^*$  satisfies  $beh_1(x)(\sigma) = beh_2(f(x))(\sigma)$  for all  $x \in X$ . Using Exercise 2.2.8.1 again, we have

beh<sub>1</sub>
$$(x)(a \cdot \sigma) = \text{beh}_1(\delta_1(x)(a))(\sigma)$$
  
= beh<sub>2</sub> $(f(\delta_1(x)(a)))(\sigma)$ , by the inductive hypothesis,  
= beh<sub>2</sub> $(f^A(\delta_1(x))(a))(\sigma)$ , by definition of  $f^A \colon X^A \to Y^A$ ,  
= beh<sub>2</sub> $(\delta_2(f(x))(a))(\sigma)$ , since  $f$  is a homomorphism of coalgebras,  
= beh<sub>2</sub> $(f(x))(a \cdot \sigma)$ ,

for all  $a \in A$ .

#### Exercise 2.2.9

Check that the iterated transition function  $\delta^* \colon S \times A^* \to S$  of a deterministic automaton is a monoid action — see Exercise 1.4.1 — for the free monoid structure on  $A^*$  from Exercise 1.4.4.

Solution. The identity element of the monoid  $A^*$  is the empty sequence  $\langle \rangle$ , and we indeed have  $\delta^*(x, \langle \rangle) = x$  for all  $x \in S$ . We now prove that

$$\delta^*(x, \sigma \cdot \tau) = \delta^*(\delta^*(x, \sigma), \tau)$$

for all  $x \in S$  and finite sequences  $\sigma, \tau \in A^*$ . This will be proven by induction on the length of  $\sigma$ . If  $\sigma = \langle \rangle$ , then

$$\delta^*(x,\langle\rangle\cdot\tau) = \delta^*(x,\tau) = \delta^*(\delta^*(x,\langle\rangle),\tau),$$

for all  $x \in S$  and  $\tau \in A^*$ , where we have used that  $x = \delta^*(x, \langle \rangle)$  in the second equality. Now suppose inductively that a given  $\sigma \in A^*$  satisfies  $\delta^*(x, \sigma \cdot \tau) = \delta^*(\delta^*(x, \sigma), \tau)$  for all  $x \in S$  and  $\tau \in A^*$ . Then, for any  $a \in A$ , we have

$$\begin{split} \delta^*(x,(a\cdot\sigma)\cdot\tau) &= \delta^*(x,a\cdot(\sigma\cdot\tau))\\ &= \delta^*(\delta(x)(a),\sigma\cdot\tau), & \text{by definition of } \delta^*,\\ &= \delta^*\big(\delta^*(\delta(x)(a),\sigma),\tau\big), & \text{by the inductive hypothesis,}\\ &= \delta^*(\delta^*(x,a\cdot\sigma),\tau), & \text{by definition of } \delta^*, \end{split}$$

as desired.  $\Box$ 

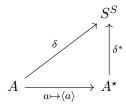
#### Exercise 2.2.10

Note that a function space  $S^S$  carries a monoid structure given by composition. Show that the iterated transition function  $\delta^*$  for a deterministic automaton, considered as a monoid homomorphism  $A^* \to S^S$ , is actually obtained from  $\delta$  by freeness of  $A^*$  — as described in Exercise 1.4.4.

Solution. We know that we can consider the transition function  $\delta$  as a function from A to  $S^S$ . This lets us consider the iterated transition function as a function from  $A^*$  to  $S^S$ , given by

$$\delta^*(\tau) := \begin{cases} \mathrm{id}_S, & \text{if } \tau = \langle \rangle, \\ \delta^*(\sigma) \circ \delta(a), & \text{if } \tau = a \cdot \sigma \text{ for some } a \in A \text{ and } \sigma \in A^*, \end{cases}$$

for all  $\tau \in A^*$ . The associativity of function composition implies that  $\delta^* \colon A^* \to S^S$  is a monoid homomorphism. So, the diagram



in **Sets** commutes. By Exercise 1.4.4.3, the homomorphism  $\delta^* : A^* \to S^S$  must be the unique homomorphism obtained from  $\delta$  by freeness of  $A^*$ .

#### Exercise 2.2.11

Consider a very simple differential equation of the form df/dy = -Cf, where  $C \in \mathbb{R}$  is a fixed positive constant. The solution is usually described as  $f(y) = f(0) \cdot e^{-Cy}$ . Check that it can be described as a monoid action  $\mathbb{R} \times \mathbb{R}_{\geq 0} \to \mathbb{R}$ , namely  $(x,y) \mapsto xe^{-Cy}$ , where  $\mathbb{R}_{\geq 0}$  is the monoid of non-negative real numbers with addition +,0.

Solution. Let  $\mu \colon \mathbb{R} \times \mathbb{R}_{\geq 0} \to \mathbb{R}$  be defined by  $\mu(x,y) := xe^{-Cy}$  for all  $(x,y) \in \mathbb{R} \times \mathbb{R}_{\geq 0}$ . Then, for all  $x \in \mathbb{R}$ , we have

$$\mu(x,0) = xe^{-C \cdot 0} = xe^0 = x.$$

Furthermore, for all  $x \in \mathbb{R}$  and  $y_1, y_2 \in \mathbb{R}_{>0}$ , we have

$$\mu(x, y_1 + y_2) = xe^{-C(y_1 + y_2)} = (xe^{-Cy_2})e^{-Cy_1} = \mu(\mu(x, y_2), y_1).$$

So  $\mu$  describes a monoid action of  $\mathbb{R}_{>0}$  on  $\mathbb{R}$ .

#### Exercise 2.2.12

Let **Vect** be the category with finite-dimensional vector spaces over the real numbers  $\mathbb{R}$  (or some other field) as objects, and with linear transformations between them as morphisms. This exercise describes the basics of linear dynamical systems, in analogy with deterministic automata. It does require some basic knowledge of vector spaces.

- Prove that the product V×W of (the underlying sets of) two vector spaces V and W is at the same time a product and a coproduct in Vect the same phenomenon as in the category of monoids; see Exercise 2.1.6. Show also that the singleton space 1 is both an initial and a final object. And notice that an element x in a vector space V may be identified with a linear map R → V.
- 2. A linear dynamical system (Kálmán, Falb, and Arbib, 1969) consists of three vector spaces: S for the state space, A for the inpur and B for the output, together with three linear transformations: an inpur map G: A → S, a dynamics F: S → S and an output map H: S → B. Note how the first two maps can be combined via cotupling into one transition function S × A → S, as used for deterministic automata. Because of the possibility of decomposing the transition function in this linear case into two maps A → S and S → S, these systems are called decomposable by Arbib

and Manes (1974). (But this transition function  $S \times A \to S$  is not bilinear (i.e. linear in each argument separately), so it does not give rise to a map  $S \to S^A$  to the vector space  $S^A$  of linear transformations from A to S. Hence we do not have a purely coalgebraic description  $S \to S^A \times B$  in this linear setting.)

3. For a vector space A, consider, in the notation of Arbib and Manes (1974), the subset of infinite sequences:

$$A^{\S} = \{ \alpha \in A^{\mathbb{N}} \mid only \text{ finitely many } \alpha(n) \text{ are non-zero} \}.$$

Equip the set  $A^\S$  with a vector space structure, such that the insertion map in:  $A \to A^\S$ , defined as in(a) =  $(a,0,0,0,\ldots)$ , and shift map sh:  $A^\S \to A^\S$ , given as  $\operatorname{sh}(\alpha) = (0,\alpha(0),\alpha(1),\ldots)$ , are linear transformations. (This vector space  $A^\S$  may be understood as the space of polynomials over A in one variable. It can be defined as the infinite coproduct  $\bigsqcup_{n\in\mathbb{N}} A$  of  $\mathbb{N}$ -copies of A — which is also called a copower and written as  $\mathbb{N} \cdot A$ ; see Mac Lane (1978, III, 3). It is the analogue in **Vect** of the set of finite sequences  $B^*$  for  $B \in \mathbf{Sets}$ . This will be made precise in Exercise 2.4.8.)

4. Consider a linear dynamical system  $A \xrightarrow{G} S \xrightarrow{F} S \xrightarrow{H} B$  as above and show that the analogue of the behaviour  $A^* \to B$  for deterministic automata (see also Arbib and Manes (1975, 6.3)) is the linear map  $A^\S \to B$  defined as

$$(a_0, a_1, \dots, a_n, 0, 0, \dots) \mapsto \sum_{i \le n} HF^iGa_i.$$

This is the standard behaviour formula for linear dynamical systems; see e.g. Kálmán, Falb, and Arbib (1969) and Arbib and Manes (1980). (This behaviour map can be understood as starting from the 'default' initial state  $0 \in S$ . If one wishes to start from an arbitrary initial state  $x \in S$ , one gets the formula

$$(a_0, a_1, \dots, a_n, 0, 0 \dots) \mapsto HF^{(n+1)}x + \sum_{i \le n} HF^iGa_i.$$

It is obtained by consecutively modifying the state x with inputs  $a_n, a_{n-1}, \ldots, a_0$ .)

Solution.

1. Our solution to Exercise 2.1.6 can be adapted to obtain a proof that finite products and coproducts in **Vect** coincide.

For  $V \in \mathbf{Vect}$ , any vector  $x \in V$  can be identified with the linear map  $\varphi_x \colon \mathbb{R} \to V$  given by  $\varphi_x(k) := kx$ . As  $\mathbb{R}$  can be seen as a vector space over itself, the set  $\hom_{\mathbf{Vect}}(\mathbb{R}, V)$  can be given a vector space structure. The mapping  $V \ni x \mapsto \varphi_x \in \hom_{\mathbf{Vect}}(\mathbb{R}, V)$  is then a linear isomorphism.

- 2. This is just reading. There is no exercise here.
- 3. For  $\alpha, \beta \in A^{\S}$ , we define  $\alpha + \beta \in A^{\S}$  by  $(\alpha + \beta)(n) := \alpha(n) + \beta(n)$  for all  $n \in \mathbb{N}$ . Also, for  $\alpha \in A^{\S}$  and  $k \in \mathbb{R}$ , we define  $k\alpha \in A^{\S}$  by  $(k\alpha)(n) := k \cdot \alpha(n)$  for all  $n \in \mathbb{N}$ . Together with the vector  $(0,0,0,\ldots)$ , these equip  $A^{\S}$  with a vector space structure such that the insertion and shift maps are linear maps.
- 4. Motivated by Equation (2.22) for deterministic automata, we define  $\delta^* \colon A^\S \to S$  by

$$\delta^*(\alpha) := \begin{cases} 0, & \text{if } \alpha = (0, 0, 0, \dots), \\ G(\alpha(0)) + F(\delta^*((\alpha(1), \alpha(2), \alpha(3), \dots)), & \text{otherwise,} \end{cases}$$

for all $\alpha \in A^\S$ . This is well-defined since each $\alpha \in A^\S$ only has finitely many non-zero entr Then, motivated by Equation (2.23), we define beh: $A^\S \to B$ by beh $(\alpha) := H(\delta^*(\alpha))$ for $\alpha \in A^\S$ . This agrees the formula as claimed.	
2.3 Final Coalgebras	
Exercise 2.3.1 Check that a final coalgebra of a monotone endofunction $f: X \to X$ on a poset $X$ , considered a functor, is nothing but a greatest fixed point. (See also Exercise 1.3.5.)	ıs a
$Solution. \ \#??$	
Exercise 2.3.2 #??	
$Solution. \ \#??$	
Exercise 2.3.3 #??	
$Solution. \ \#??$	
Exercise 2.3.4 #??	
$Solution. \ \#??$	
Exercise 2.3.5 $\#$ ??	
Solution. #??	
Exercise 2.3.6 #??	
Solution. $\#??$	
Exercise 2.3.7 #??	
$Solution. \ \#??$	
Exercise 2.3.8 #??	
Solution. #??	

## Algebras 2.4Exercise 2.4.1 #?? Solution. #??Exercise 2.4.2 #?? Solution. #??Exercise 2.4.3 #?? Solution. #??Exercise 2.4.4 #??Solution. #??Exercise 2.4.5 #?? Solution. #??Exercise 2.4.6 #??Solution. #??Exercise 2.4.7 #?? Solution. #??Exercise 2.4.8 #?? Solution. #??Exercise 2.4.9 #?? Solution. #??Exercise 2.4.10 #?? $Solution. \ \#??$

#### Adjunctions, Cofree Coalgebras, Behaviour-Realisation 2.5

# Exercise 2.5.1 #?? Solution. #??Exercise 2.5.2 #?? Solution. #??Exercise 2.5.3 # ??Solution. #??Exercise 2.5.4 This exercise describes 'strength' for endofunctors on **Sets**. In general, this is a useful notion in the theory of datatypes (Cockett and Spencer, 1992), (Cockett and Spencer, 1995) and of computations (Moggi, 1991); see Section 5.2 for a systemic description. Let $F: \mathbf{Sets} \to \mathbf{Sets}$ be an arbitrary functor. Consider for sets X, Y the strength map $F(X) \times Y \xrightarrow{\operatorname{st}_{X,Y}} F(X \times Y)$ $(u,y) \longmapsto F(\lambda x \in X.(x,y))(u)$ 1. Prove that this yields a natural transformation $F(-)\times(-)\stackrel{\text{st}}{\Rightarrow} F((-)\times(-))$ , where both the domain and codomain are functors $\mathbf{Sets} \times Sets \to \mathbf{Sets}$ . 2. Describe this strength map for the list functor $(-)^*$ and for the powerset functor $\mathcal{P}$ . Solution. #??Exercise 2.5.5 #?? Solution. #??Exercise 2.5.6 #?? Solution. #??Exercise 2.5.7 #?? Solution. #??Exercise 2.5.8 #??

Solution. #??

Exercise 2.5.9 #??
Solution. $\#??$
Exercise 2.5.10 #??
Solution. $\#??$
Exercise 2.5.11 #???
Solution. $\#??$
Exercise 2.5.12 #??
Solution. $\#??$
Exercise 2.5.13 #??
Solution. $\#??$
Exercise 2.5.14 #??
Solution. $\#??$
Exercise 2.5.15 #??
Solution. $\#??$
Exercise 2.5.16 #??
Solution. $\#??$
Exercise 2.5.17 #??
Solution. $\#??$

# 3 Bisimulations

## 3.1 Relation Lifting, Bisimulations and Congruences

	07	O
Exercise #??	3.1.1	
Solution.	#??	
Exercise #??	3.1.2	
Solution.	#??	
Exercise #??	3.1.3	
Solution.	#??	
Exercise #??	3.1.4	
Solution.	#??	
Exercise #??	3.1.5	
Solution.	#??	
Exercise #??	3.1.6	
Solution.	#??	
3.2 Pr	operties of Bisimulations	
Exercise #??		
Solution.	#??	
Exercise #??	3.2.2	
Solution.	#??	
Exercise #??	3.2.3	
Solution.	#??	
Exercise #??	3.2.4	
Solution.	#??	

Exercise 3.2.5 #??	
Solution. #??	
Exercise 3.2.6 #??	
Solution. #??	
Exercise 3.2.7 #??	
Solution. #??	
3.3 Bisimulations as Spans and Cospans	
Exercise 3.3.1 #??	
Solution. #??	
Exercise 3.3.2 #??	
Solution. #??	
Exercise 3.3.3 #??	
Solution. #??	
Exercise 3.3.4 #??	
Solution. #??	
3.4 Bisimulations and the Coinduction Proof Principle	
Exercise 3.4.1 #??	
Solution. #??	
Exercise 3.4.2 #??	
Solution. #??	
Exercise 3.4.3 #??	
Solution. #??	
Exercise 3.4.4 #??	

Solution. #??	
Exercise 3.4.5 #??	
$Solution. \ \#??$	
Exercise 3.4.6 # ? ?	
Solution. #??	
Exercise 3.4.7 # ? ?	
Solution. #??	
3.5 Process Semantics	
Exercise 3.5.1 # ? ?	
Solution. #??	
Exercise 3.5.2 #??	
Solution. #??	
Exercise 3.5.3 #??	
Solution. #??	
Exercise 3.5.4 # ? ?	
Solution. #??	

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