

# Decoupling Direction and Norm for Efficient Gradient-Based $L_2$ Adversarial Attacks and Defenses

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# Overview

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- 2 Problem Statement
- 3 Motivation
- 4 Key assumptions made
- 5 Approach to solve the problem
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- 7 Conclusions

- To formalize the problem of adversarial examples, the threat model ,and review the main attack and defense method proposed in the literature.
- Objective :
  - low  $L_2$  Norm
  - Miss-classification <sup>1</sup> of the images.

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<sup>1</sup>B. Biggio and F. Roli. Wild patterns: Ten years after the rise of adversarial machine learning. Pattern Recognition, 84:317–331, Dec. 2018

# Problem Statement

- Find the smallest perturbation causing miss-classification

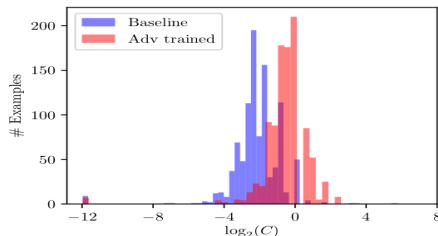
$$\min_{\delta} \|\delta\| \quad \text{subject to} \quad \operatorname{argmax} \mathbf{P}(y_j | x + \delta, \theta) \neq y_{true}$$

and

$$0 \leq x + \delta \leq M$$

# Problem Statement

- Problem of C & W<sup>2</sup>  $L_2$  Attack
- $\min_{\delta} \|\delta\| + Cf(x + \delta)$



- Optimal C value is impossible to get for every example
- Changes for adversarially trained models

<sup>2</sup>N. Carlini and D. Wagner. Towards evaluating the robustness of neural networks. In IEEE Symposium on Security and Privacy (SP), pages 39–57, 2017.

# Motivation

- Small changes to an image can include miss classification <sup>3</sup>.
- Security concern for computer vision applications.

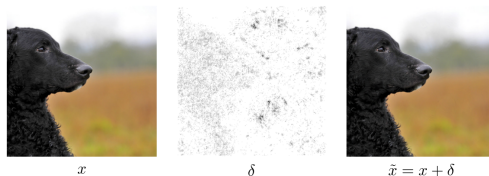


Figure: ImageNet dataset

- The sample  $x$  is recognized as a Curly-coated retriever. Adding a perturbation we obtain an adversarial image that is classified as a microwave (with  $\|\delta\|_2 = 0.7$ ).

<sup>3</sup>C. Szegedy, W. Zaremba, I. Sutskever, J. Bruna, D. Erhan, I. Goodfellow, and R. Fergus. Intriguing properties of neural networks. In International Conference on Learning Representations, 2014.

# Key assumptions made

- It assumes that there is minimal number of iteration is made (Approx 100 iteration).
- If overfits, overfitting can be reduced easily by L2 Norms.

# Approach to solve the problem

- Gradient Based Attack (Decoupled Direction Norm (DDN))
- Instead of imposing a penalty <sup>4</sup>, constrain the Norm with a projection.
- In each step, changing the Norm is a binary decision, based on whether the current example is adversarial.

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<sup>4</sup>P. A. Jensen and J. F. a. Bard. Operations Research Models and Methods. Wiley, 2003.



# Approach to solve the problem

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**Algorithm 1** Decoupled Direction and Norm Attack

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**Input:**  $x$ : original image to be attacked

**Input:**  $y$ : true label (untargeted) or target label (targeted)

**Input:**  $K$ : number of iterations

**Input:**  $\alpha$ : step size

**Input:**  $\gamma$ : factor to modify the norm in each iteration

**Output:**  $\tilde{x}$ : adversarial image

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1: Initialize  $\delta_0 \leftarrow \mathbf{0}$ ,  $\tilde{x}_0 \leftarrow x$ ,  $\epsilon_0 \leftarrow 1$ 
2: If targeted attack:  $m \leftarrow -1$  else  $m \leftarrow +1$ 
3: for  $k \leftarrow 1$  to  $K$  do
4:    $g \leftarrow m \nabla_{\tilde{x}_{k-1}} J(\tilde{x}_{k-1}, y, \theta)$ 
5:    $g \leftarrow \alpha \frac{g}{\|g\|_2}$  ▷ Step of size  $\alpha$  in the direction of  $g$ 
6:    $\delta_k \leftarrow \delta_{k-1} + g$ 
7:   if  $\tilde{x}_{k-1}$  is adversarial then
8:      $\epsilon_k \leftarrow (1 - \gamma)\epsilon_{k-1}$  ▷ Decrease norm
9:   else
10:     $\epsilon_k \leftarrow (1 + \gamma)\epsilon_{k-1}$  ▷ Increase norm
11:  end if
12:   $\tilde{x}_k \leftarrow x + \epsilon_k \frac{\delta_k}{\|\delta_k\|_2}$  ▷ Project  $\delta_k$  onto an  $\epsilon_k$ -sphere around  $x$ 
13:   $\tilde{x}_k \leftarrow \text{clip}(\tilde{x}_k, 0, 1)$  ▷ Ensure  $\tilde{x}_k \in \mathcal{X}$ 
14: end for
15: Return  $\tilde{x}_k$  that has lowest norm  $\|\tilde{x}_k - x\|_2$  and is adversarial
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# Approach to solve the problem

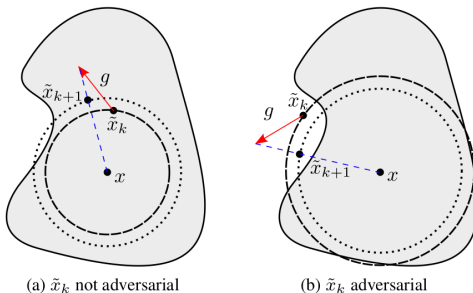


Figure: Illustration of an untargeted attack

- The shaded area denotes the region of the input space classified as  $y$  true .

# Experimental results for Attack

	Attack	Budget	Success	Mean $L_2$	Median $L_2$	#Grads	Run-time (s)
MNIST	C&W	$4 \times 25$	100.0	1.7382	1.7400	100	1.7
		$1 \times 100$	99.4	1.5917	1.6405	100	1.7
		$9 \times 10\,000$	100.0	<b>1.3961</b>	1.4121	54\,007	856.8
	DeepFool	100	75.4	1.9685	2.2909	98	-
	DDN	100	100.0	1.4563	1.4506	100	1.5
		300	100.0	1.4357	1.4386	300	4.5
		1\,000	100.0	1.4240	1.4342	1\,000	14.9
CIFAR-10	C&W	$4 \times 25$	100.0	0.1924	0.1541	60	3.0
		$1 \times 100$	99.8	0.1728	0.1620	91	4.6
		$9 \times 10\,000$	100.0	0.1543	0.1453	36\,009	1\,793.2
	DeepFool	100	99.7	0.1796	0.1497	25	-
	DDN	100	100.0	0.1503	0.1333	100	4.7
		300	100.0	0.1487	0.1322	300	14.2
		1\,000	100.0	<b>0.1480</b>	0.1317	1\,000	47.6
ImageNet	C&W	$4 \times 25$	100.0	1.5812	1.3382	63	379.3
		$1 \times 100$	100.0	0.9858	0.9587	48	287.1
		$9 \times 10\,000$	100.0	0.4692	0.3980	21\,309	127\,755.6
	DeepFool	100	98.5	0.3800	0.2655	41	-
	DDN	100	99.6	0.3831	0.3227	100	593.6
		300	100.0	0.3749	0.3210	300	1\,779.4
		1\,000	100.0	<b>0.3617</b>	0.3188	1\,000	5\,933.6

- Performance of our DDN attack compared to C & W and DeepFool<sup>5</sup> attacks on MNIST, CIFAR-10 and ImageNet in the untargeted scenario.

<sup>5</sup>S.-M. Moosavi-Dezfooli, A. Fawzi, and P. Frossard. Deepfool: a simple and accurate method to fool deep neural networks. In Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition, pages 2574–2582, 2016.

# Experimental results for Defense

Defense evaluation

Dataset	Defense	Mean $L_2$	Accuracy at $\ \delta\  \leq \epsilon$
MNIST $\epsilon = 1.5$	Baseline	1.3778	40.8
	Madry	1.6917	67.3
	Ours	<b>2.4497</b>	<b>87.2</b>
CIFAR-10 $\epsilon = 0.5$	Baseline	0.1282	0.1
	Madry	0.6601	56.1
	Ours	<b>0.8597</b>	<b>67.6</b>

Higher Mean  $L_2$  is better

- DDN obtains comparable results with the state-of-the-art for  $L_2$  norm adversarial perturbations, but in much fewer iterations.
- Attack allows for faster evaluation of the robustness of differentiable models, and enables a novel adversarial training.
- Our experiments with MNIST and CIFAR-10 show state-of-the-art robustness against  $L_2$  -based attacks in a white-box scenario.

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