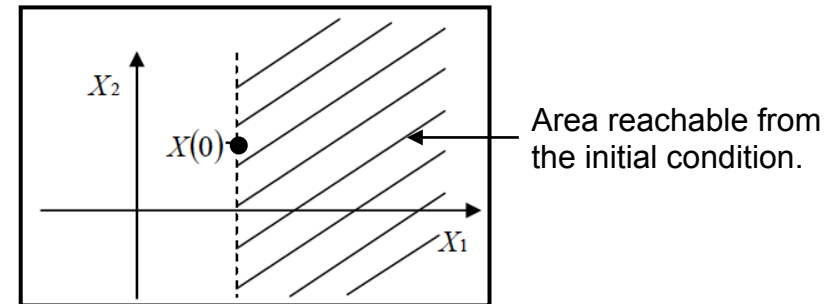


Controllability - Accessibility

Some examples.

EX1. Consider the nonlinear system

$$\begin{aligned}\dot{x}_1 &= x_2^2 \\ \dot{x}_2 &= u\end{aligned}$$


➡ Problem of controllability (it is not possible to reach a point located in the neighborhood of the initial point).

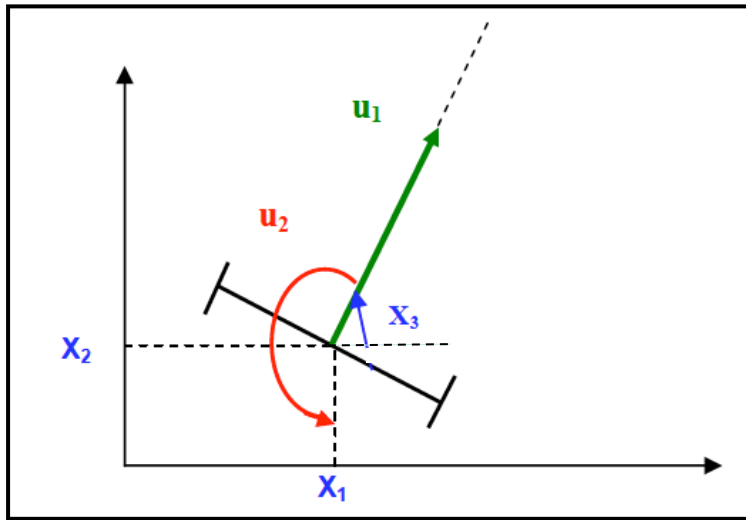
EX2. Consider the nonlinear system

$$\begin{aligned}\dot{x}_1 &= \cos(x_2) \\ \dot{x}_2 &= u\end{aligned}$$

➡ For all value of u , dynamics of x_1 can *only* be between -1 and 1 : x_1 is *constrained*.

➡ Problem of controllability

EX3. Mobile robot.



$$\dot{x}_1 = \cos x_3 \cdot u_1$$

$$\dot{x}_2 = \sin x_3 \cdot u_1$$

$$\dot{x}_3 = u_2$$

Singularities for
 $x_3 = 0$
 $x_3 = \pi/2$

The system is **generically controllable** : controllable except at the singularities.

What is ...

Controllability : possibility of steering a system from a point to an other point

- **Linear systems** : controllability = structural property [any system can be divided into a controllable part and an autonomous one.]
- **Nonlinear systems** : structural property : **accessibility**.

Definition. For a nonlinear system, a state x_I is **reachable** from an initial state x_0 if there exists a finite time T and control input $u(t)$ such that $x(T) = x_I$.

Definition. A system is said to be **controllable** at x_0 if there exists a neighborhood V of x_0 such that any state x_I in V is reachable from x_0 .

Definition. A system is said to be **accessible** at x_0 if the set of reachable points from x_0 contains an open subset of \mathbb{R}^n .

Some recalls (of linear theory).

$$\dot{\mathbf{x}} = \mathbf{A}\mathbf{x} + \mathbf{B}\mathbf{u}$$

Definition. The *relative degree* of the output $y=C.x$ is equal to the degree of denominator of the transfer function minus the degree of its numerator.

Other definition. The relative degree is the minimal differentiation order k of the output such that

$$\frac{\partial y^{(k)}}{\partial u} \neq 0$$

Controllability matrix. The controllability matrix reads as

$$\begin{bmatrix} \mathbf{B} & \mathbf{A}\mathbf{B} & \dots & \mathbf{A}^{n-1}\mathbf{B} \end{bmatrix}$$

Theorem. Controllability criteria

$$\text{Rang}\left(\begin{bmatrix} B & AB & \dots & A^{n-1}B \end{bmatrix}\right) = n$$

\Leftrightarrow No output with a relative degree $\geq n+1$ $\left(\frac{\partial y^{(n)}}{\partial u} \neq 0 \right)$

\Leftrightarrow No output with an infinite relative degree

\Leftrightarrow There exists no output as a solution of an equation independent of u .

To summarize, if the system is not controllable, then there exists at least $w \neq 0$ such that an output $y=wx$ has a relative degree greater or equal to $n+1$.

- The relative degree of y is said infinite
- The output y is solution of a linear and autonomous (not depending on u) differential equation

Consider the linear system $\dot{x} = \begin{bmatrix} 1 \\ 2 \end{bmatrix} u \rightarrow$ Rank criteria not fulfilled

The output $y = -2x_1 + x_2$ admits an infinite relative degree. $\dot{y} = 0$
($\dot{y} = 0, \dots, y^{(k)} = 0$)

Nonlinear systems.

Definition. The *relative degree* of the function $\varphi(x) \in K$ is defined as

$$r = \min \left\{ k \in \mathbb{N} \left| \frac{\partial \varphi^{(k)}}{\partial u} \neq 0 \right. \right\}$$

Example. Consider a mechanical system whose state = (position, velocity) and
input = (force ou torque)

\rightarrow Relative degree = 2.

Definition. Relative degree of a 1-form $\omega \in \mathcal{E}$.

Consider $\omega := \sum_{i=1}^n \alpha_i dx_i$ with $\forall i, \alpha_i \in \mathbb{K}$

The relative degree equals $r = \min \left\{ k \in \mathbb{N} \mid \omega^{(k)} \notin \text{span} \{ dx \} \right\}$ with the time derivative of ω ,

$$\dot{\omega} = \sum_{i=1}^n \dot{\alpha}_i dx_i + \alpha_i d\dot{x}_i$$

Proposition. $\varphi(x) \in \mathbb{K}$ is an autonomous element

$$\Leftrightarrow \text{The relative degree of } \varphi \text{ is infinite : } \forall k \in \mathbb{N}, \frac{\partial \varphi^{(k)}}{\partial u} \equiv 0$$

Definition. Controllability of a nonlinear system.

Given the nonlinear system $\dot{x} = f(x) + g(x)u$. This system is said « controllable » if $\forall x_0$ (the initial state) and $\forall x_1$ (for all state), $\exists u(t)$ and $T < \infty$ such that

$$x(x_0, u(t), T) = x_1$$

No way to characterize the controllability of nonlinear systems

Definition. Accessibility of a nonlinear system.

The nonlinear system $\dot{x} = f(x) + g(x)u$ is said « accessible » **if there exists no autonomous element.**

Property. For the linear systems *only*.

Controllable \Leftrightarrow Accessible

Example. Consider the nonlinear system

$$\begin{aligned}\dot{x}_1 &= x_2^2 \\ \dot{x}_2 &= u\end{aligned}$$

This system is not controllable (x_1 cannot decrease), but is accessible $\forall \varphi(x_1, x_2), dr(\varphi) < \infty$

Property. For nonlinear systems, a nonlinear system is accessible

$$\Leftrightarrow \forall \varphi(x) \neq \text{cte}, dr(\varphi) < \infty$$

Algorithm allowing to evaluate the accessibility.

- Characterization of the 1-forms $\omega \in \mathcal{E}$ such that the relative degree of ω is ≥ 2 .

- $H_0 := \text{span}\{dx, du\} \Rightarrow \omega \in H_0 \Leftrightarrow \text{d.r.} \geq 0$
- $H_1 := \text{span}\{dx\} \subset H_0 \Rightarrow \omega \in H_1 \Leftrightarrow \text{d.r.} \geq 1$
- H_2 is the space of the 1-forms $\omega (\in H_1)$ such that $\text{d.r.}(\omega) \geq 2. \Rightarrow H_2 \subset H_1$

- Computation of H_2 . Consider the 1-form $\omega \in H_1$

$$\omega = \sum_{i=1}^n \alpha_i dx_i \Rightarrow \dot{\omega} = \sum_{i=1}^n (\dot{\alpha}_i dx_i + \alpha_i d\dot{x}_i)$$

\Rightarrow Compute α_i s.t $\dot{\omega} \in H_1$

$$\begin{aligned} \Rightarrow \dot{\omega} &= \sum_{i=1}^n \alpha_i d\dot{x}_i + \sum_{i=1}^n \dot{\alpha}_i dx_i \\ &= [\alpha_1, \dots, \alpha_n].d(f(x) + g(x)u) + (" \in H_1 ") \end{aligned}$$

$$\begin{aligned}\text{Then } \dot{\omega} \in H_1 &\Leftrightarrow [\alpha_1, \dots, \alpha_n] \cdot [g(x)] du = 0 \\ &\Leftrightarrow [\alpha_1, \dots, \alpha_n] \cdot [g(x)] = 0\end{aligned}$$

Result. $H_2 = g(x)^\perp = \text{span} \{ \omega \in H_1 \mid \omega \cdot g \equiv 0 \}$

Definition. Chain of subspaces of \mathcal{E} .

$$H_0 \supset H_1 \supset H_2 \supset \dots \supset H_k \supset H_{k+1} \supset \dots H_\infty$$

$$H_0 = \text{span} \{ dx, du \}$$

$$H_1 = \text{span} \{ dx \}$$

$$H_2 = g^\perp$$

...

$$H_k = \text{Subspaces of all the linear 1-forms with a d.r.} \geq k.$$

$$H_{k+1} = \text{span} \{ \omega \in H_k \mid \dot{\omega} \in H_k \}$$

$$\exists k^* \text{ limit s.t. } H_{k^*-2} = H_{k^*-1} := H_\infty$$

Theorem. Accessibility of a nonlinear system.

A nonlinear system satisfies the accessibility condition if and only if $H_\infty = 0$

i.e. there is no autonomous element.

Example. Consider the nonlinear system $\dot{x} = \begin{bmatrix} -x_2^3 \\ u \end{bmatrix}$. Is it accessible ?

Example. Consider the nonlinear system $\dot{x} = \begin{bmatrix} x_2(1-u) \\ x_3 \\ x_2 u \end{bmatrix}$. Is it accessible ?

Linear. Given the linear system $\dot{x} = Ax + Bu$

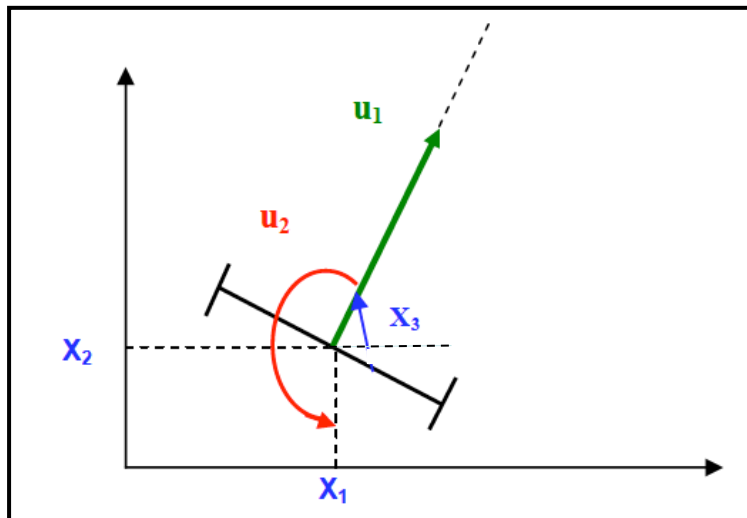
$$H_2 = B^\perp$$

$$\Rightarrow H_3 = [B \ AB]^\perp$$

$$\vdots$$

$$H_k = [B \ \cdots \ A^{k-2}B]^\perp$$

Example. Mobile robot.



$$\dot{x} = \begin{bmatrix} \cos(x_3) & 0 \\ \sin x_3 & 0 \\ 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}, \quad f(x) \equiv 0$$