#### Regulatory Sequence Analysis

# Classifying genes on the basis of regulatory signals

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#### Questions

- Let us assume that we dispose of some information about regulatory signals in a set of genes:
  - experimentally measured signals (e.g. databases like TRANSFAC, RegulonDB, SCPD, ....)
  - putative signals predicted by pattern matching
- On this basis, is it possible to predict the regulation of a gene on the basis of its upstream sequence?
  - Given the low information content of TF binding sites, a consensus motif is expected to be found by chance in many locations.
  - The presence of a single signal is thus generally not sufficient to predict gene regulation.
- However, we can take the multiple motifs into account
  - Multiple occurrences of binding sites for the same TF
  - Binding sites for distinct factors.

### Supervised versus non-supervised classification

#### Approach

- detect occurrences of one or (preferably) several patterns
- regroup the matching scores in a multivariate data table
- apply classification algorithms
- Model system: classification of genes regulated by
  - nitrogen (NIT)
  - methionine (MET)
  - phosphate (PHO)
  - + a set of control sequences, generated randomly

#### Two situations

- We have no a priori idea about functional classes of genes
  - → unsupervised classification (clustering)
- We want to classify genes according to some pre-defined classes, for which we have some training examples
  - → supervised classification (discrimination)

### Data - pattern counts

#### 94 sequences

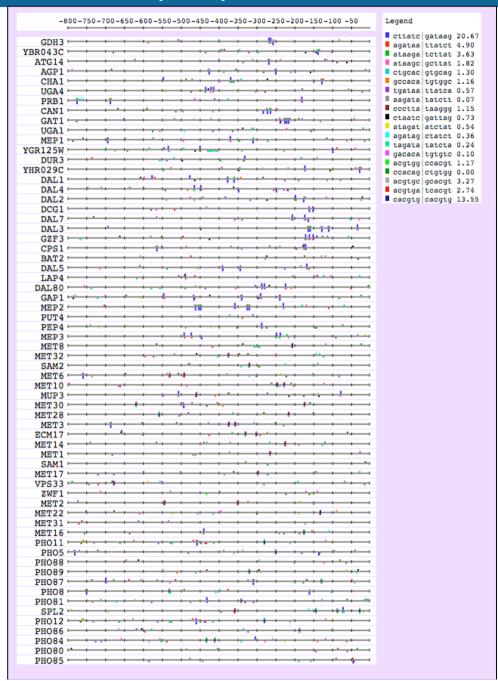
NIT (31 upstream sequences); PHO (13 upstream sequences); MET (20 upstream sequences);
 RAND (30 random sequences Markov 5)

#### 44 patterns

- Hexanucleotides and dyads involved in the regulation of the MET, PHO and NIT genes.
- Some of these patterns are very well conserved in the core of the binding site (e.g. CACGTG, CACGTT, ...) whereas some other represent partial conservation of the flanking nucleotides (e.g. ACGTGg, ACGTTt, ...).
- □ The data is presented in a multi-variate table, with one row per gene, and one column per pattern.

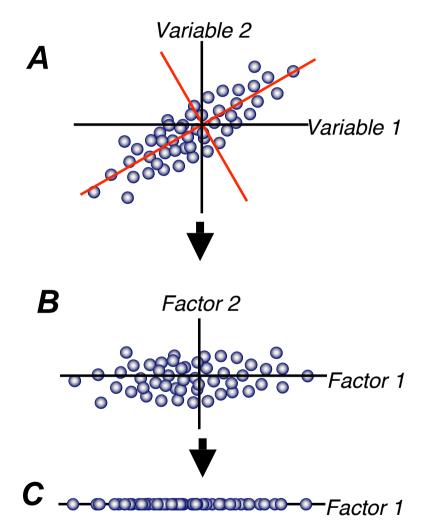
| Gene    | aaacgt acgttt | aacgtg cacgtt | aacn{1}gtg cacn{1}gtt | aactgt acagtt | acan{14}tgc gcan{14}tgt | acan{15}gca tgcn{15}tgt | acan{6}gca tgcn{6}tgt | acatct agatgt | acgn{1}gcg cgcn{1}cgt | acgn{6}agc gctn{6}cgt | acgtga tcacgt | acgtgc gcacgt | acgtgg ccacgt | actgtg cacagt | agataa ttatct | ataaga tcttat | atcacg cgtgat | cacgcc ggcgtg | cacgtg cacgtg | cacn{15}ggc gccn{15}gtg | cacn{1}tga tcan{1}gtg | cacn{2}gac gtcn{2}gtg | cagn{2}cgg ccgn{2}ctg | cagn{7}atc gatn{7}ctg | ccacag ctgtgg | ccacg cgtggg | cccatc gatggg | 660606060600 |
|---------|---------------|---------------|-----------------------|---------------|-------------------------|-------------------------|-----------------------|---------------|-----------------------|-----------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|-------------------------|-----------------------|-----------------------|-----------------------|-----------------------|---------------|--------------|---------------|--------------|
| GDH3    | 0             | 0             | 0                     | 4             | 0                       | 0                       | 0                     | 0             | 0                     | 0                     | 0             | 0             | 0             | 0             | 2             | 2             | 0             | 0             | 0             | 0                       | 2                     | 0                     | 0                     | 0                     | 0             | 0            | 0             | 0            |
| YBR043C | 2             | 0             | 0                     | 0             | 0                       | 0                       | 0                     | 2             | 0                     | 2                     | 0             | 0             | 0             | 2             | 4             | 2             | 0             | 2             | 0             | 0                       | 0                     | 0                     | 0                     | 4                     | 0             | 0            | 2             | 0            |
| APG14   | 0             | 0             | 0                     | 4             | 0                       | 0                       | 0                     | 0             | 0                     | 0                     | 0             | 0             | 0             | 0             | 4             | 2             | 0             | 0             | 0             | 0                       | 0                     | 2                     | 0                     | 0                     | 0             | 0            | 0             | 0            |
| AGP1    | 0             | 0             | 2                     | 2             | 0                       | 0                       | 0                     | 0             | 0                     | 0                     | 0             | 0             | 2             | 2             | 4             | 2             | 2             | 0             | 0             | 0                       | 0                     | 0                     | 0                     | 2                     | 2             | 4            | 0             | 0            |
| CHA1    | 0             | 0             | 2                     | 2             | 0                       | 0                       | 4                     | 0             | 0                     | 2                     | 0             | 0             | 0             | 0             | 6             | 6             | 2             | 0             | 0             | 0                       | 0                     | 0                     | 0                     | 2                     | 2             | 0            | 0             | 2            |
| UGA4    | 4             | 0             | 0                     | 0             | 0                       | 0                       | 0                     | 2             | 0                     | 0                     | 0             | 2             | 0             | 2             | 0             | 6             | 0             | 0             | 0             | 0                       | 0                     | 0                     | 0                     | 0                     | 0             | 0            | 0             | 0            |
| PRB1    | 0             | 0             | 2                     | 0             | 0                       | 0                       | 0                     | 0             | 0                     | 0                     | 0             | 0             | 0             | 2             | 4             | 4             | 2             | 2             | 0             | 0                       | 0                     | 4                     | 0                     | 0                     | 0             | 0            | 0             | 0            |
| CAN1    | 0             | 2             | 0                     | 0             | 0                       | 0                       | 2                     | 0             | 0                     | 4                     | 0             | 0             | 0             | 0             | 6             | 2             | 0             | 0             | 0             | 0                       | 0                     | 0                     | 0                     | 0                     | 2             | 0            | 0             | 0            |
| GAT1    | 0             | 0             | 0                     | 0             | 2                       | 0                       | 0                     | 0             | 0                     | 2                     | 2             | 0             | 2             | 0             | 8             | 6             | 0             | 0             | 0             | 0                       | 0                     | 2                     | 2                     | 0                     | 2             | 0            | 0             | 0            |
| UGA1    | 0             | 0             | 0                     | 0             | 0                       | 0                       | 0                     | 0             | 0                     | 0                     | 0             | 0             | 0             | 2             | 2             | 2             | 0             | 0             | 0             | 2                       | 0                     | 0                     | 0                     | 2                     | 4             | 0            | 0             | 0            |
| MEP1    | 2             | 0             | 0                     | 0             | 0                       | 2                       | 0                     | 6             | 0                     | 0                     | 0             | 0             | 0             | 0             | 6             | 14            | 0             | 0             | 0             | 0                       | 2                     | 0                     | 4                     | 4                     | 2             | 0            | 0             | 0            |

### Feature map of pattern occurrences



The feature map gives the impression that some features are more or less concentrated in some sequence groups, but the separation is not trivial.

## Principal component analysis

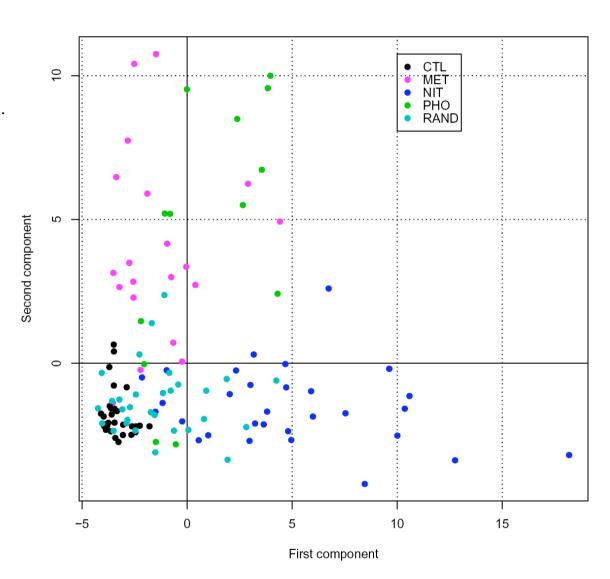


- Multidimensional data
  - n objects, p variables (in this case p=2)
- Principal components
  - n objects, p factors
  - Each component (factor) is a linear combination of variables
- Reduction in dimensions
  - Selection of a subset of principal components
  - q factors, with q

Gilbert, D., Schroeder, M. & van Helden, J. (2000). Trends in Biotechnology 18) 487-495.

# Principal component plot

- Projection of the 44 dimensions onto a 2D space (Principal Component Analysis)
- Each dot represents one sequence.
- The dimensions represent the first and second components, respectively.
- Note that PCA is suboptimal: the axes with highest variance are not always the most discriminant.



#### Regulatory Sequence Analysis

# Unsupervised classification (clustering)

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# Unsupervised classification

- In a first stage, we will apply an unsupervised classification (clustering), i.e. we
  have no a priori idea about the functional classes.
- For this, we need to choose
  - a clustering algorithm
  - a similarity/dissimilarity metric

## Clustering algorithm

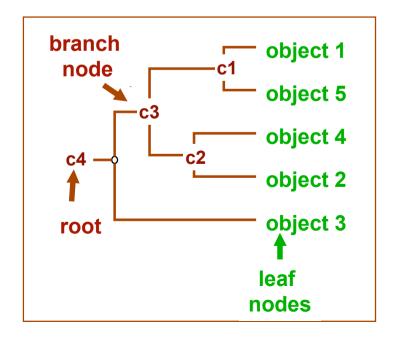
- There is a large variety of clustering algorithms
  - hierarchical
  - k-means
  - self-organizing maps
  - k-nearest neighbours
  - genetic algorithms
- In this study, we only applied hierarchical clustering.
  - Besides the choice of the similarity metrics, hierarchical clustering requires to choose an agglomeration rule.

## Hierarchical clustering

| Distance m |
|------------|
|------------|

|          | tarro    | <u> </u> | 161 174  |          |          |
|----------|----------|----------|----------|----------|----------|
|          | object 1 | object 2 | object 3 | object 4 | object 5 |
| object 1 | 0.00     | 4.00     | 6.00     | 3.50     | 1.00     |
| object 2 | 4.00     | 0.00     | 6.00     | 2.00     | 4.50     |
| object 3 | 6.00     | 6.00     | 0.00     | 5.50     | 6.50     |
| object 4 | 3.50     | 2.00     | 5.50     | 0.00     | 4.00     |
| object 5 | 1.00     | 4.50     | 6.50     | 4.00     | 0.00     |

#### Tree representation



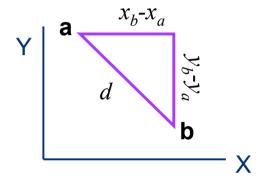
- Hierarchical clustering is an aggregative clustering method One needs to define a (dis)similarity metric between two groups. There are several possibilities
  - Average linkage: the average distance between objects from groups A and B
  - Single linkage: the distance between the closest objects from groups A and B
  - Complete linkage: the distance between the most distant objects from groups A and B
  - Ward clustering: the dissimilarity between two groups is estimated by the moment of inertia of their elements from the gravity center.
- Algorithm
  - (1) Assign each object to a separate cluster.
  - (2) Find the pair of clusters with the shortest distance, and regroup them in a single cluster
  - (3) Repeat (2) until there is a single cluster
- The result is a tree, whose intermediate nodes represent clusters
  - N objects → N-1 intermediate nodes
- Branch lengths represent distances between clusters

## Classical similarity/dissimilarity metrics

- There are many similarity or dissimilarity metrics, and the choice among them influences drastically the result of the classification.
- Some classical metrics
  - Manhattan distance (=city block distance)
  - Euclidian distance
  - Minkowski metrics
  - correlation coefficient
  - Mahalanobis distance
  - Canberra distance
  - Binary distance
  - chi-square

#### Euclidian distance

You are probably familiar with the calculation of Euclidian distance in a 2-dimensional space



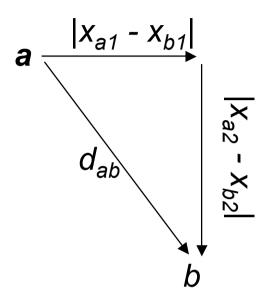
$$d_E = \sqrt{(x_a - x_b)^2 + (y_a - y_b)^2}$$

The concept naturally extends to spaces with higher dimension (p-dimensional space)

$$d_E = \sqrt{\sum_{i=1}^{p} (x_{ai} - x_{bi})^2}$$

- Two typical applications
  - The distance between genes is calculated in the space of conditions (chips)
  - The distance between tissue types is calculated in the space of genes (spot)

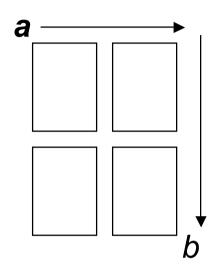
#### Generalized Euclidian distance



$$D_{ab} = \sqrt{\sum_{i=1}^{p} w_i^2 (x_{ai} - x_{bi})^2}$$

- The generalized Euclidian distance between two points is calculated as the Euclidian distance, with a specific weight  $w_i$  associated to each dimension i
  - a,b two points in the multi-variate space
  - p number of dimensions
  - $w_i$  weight if the  $i^{th}$  dimension

#### Manhattan distance



$$D_{ab} = \sum_{i=1}^{p} w_i |x_{ai} - x_{bi}|$$

- The Manhattan distance between points a and b is the weighted sum of the absolute differences in each dimension.
  - □ *a,b* two points in the multi-variate space
  - p number of dimensions
  - $w_i$  weight if the  $i^{th}$  dimension

### Minkowski metrics

$$D_{ab} = \lambda \sqrt{\sum_{i=1}^{p} w_i^{\lambda} |x_{ai} - x_{bi}|^{\lambda}}$$

- The Minkowski metrics are a family of dissimilarity metrics, which can be tuned by a parameter  $(\lambda)$ .
- This is a generalization, which includes

  - $\lambda$ =2 Euclidian distance

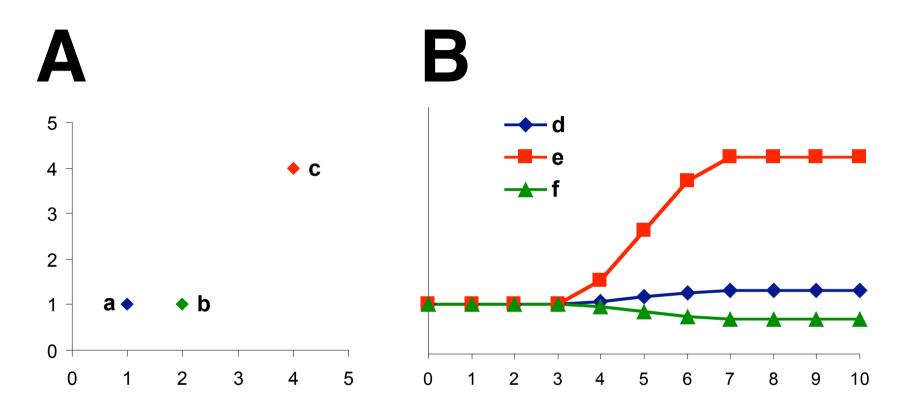
### Correlation coefficient

$$S_{ab} = \frac{\sum_{i=1}^{p} (x_{ai} - \overline{x}_{a.})(x_{bi} - \overline{x}_{b.})}{\sqrt{SSD_{a}SSD_{b}}} = \frac{1}{p} \sum_{i=1}^{p} z_{ai} z_{bi}$$

$$\overline{x}_{a.} = \frac{1}{p} \sum_{i=1}^{p} x_{ai} \qquad \overline{x}_{b.} = \frac{1}{p} \sum_{i=1}^{p} x_{bi}$$

$$SSD_{a} = \sum_{i=1}^{p} (x_{ai} - \overline{x}_{a.})^{2} \qquad SSD_{b} = \sum_{i=1}^{p} (x_{bi} - \overline{x}_{b.})^{2}$$

## Impact of the distance metrics



Euclidian distances

- a close to b
   Correlation coefficient
- a close to c

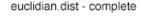
Euclidian distances

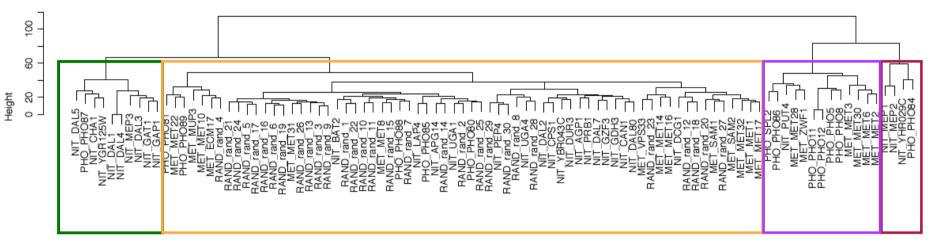
- d close to f
   Correlation coefficient
- d close to e

#### Comparing sequences on the basis of pattern counts

- The metrics should be appropriate to reflect the characteristics of transcriptional regulation
  - Multiple occurrences of a signal increase the response (e.g. GATA-boxes).
  - Some pathways are regulated by distinct factors (e.g. methionine biosynthesis).
  - Some patterns are more informative than others.
- We need a metric which takes into account the following aspects:
  - count-based comparison (the number of copies of each pattern should be reflected);
  - multi-variate comparison (several distinct patterns are considered);
  - pattern-specific prior probabilities (some patterns are expected to occur by chance more frequently than others).

## Clustering - Euclidian distance





hclust (\*, "complete")

- Sequence clustering on the basis of pattern counts
- Distance metric: Euclidian
- Clustering method: UPGMA (complete)
- The four main clusters do not correspond to the prior functional classes
- Genes from different classes are intermingled

#### Known

|           |      | RAND | MET | NIT | PHO | SUM |
|-----------|------|------|-----|-----|-----|-----|
| _         | RAND | 30   | 14  | 18  | 5   | 67  |
| tec       | MET  | 0    | 6   | 1   | 6   | 13  |
| dic       | NIT  | 0    | 0   | 9   | 1   | 10  |
| Predicted | PHO  | 0    | 0   | 3   | 1   | 4   |
|           | SUM  | 30   | 20  | 31  | 13  | 94  |
|           |      |      |     |     |     |     |

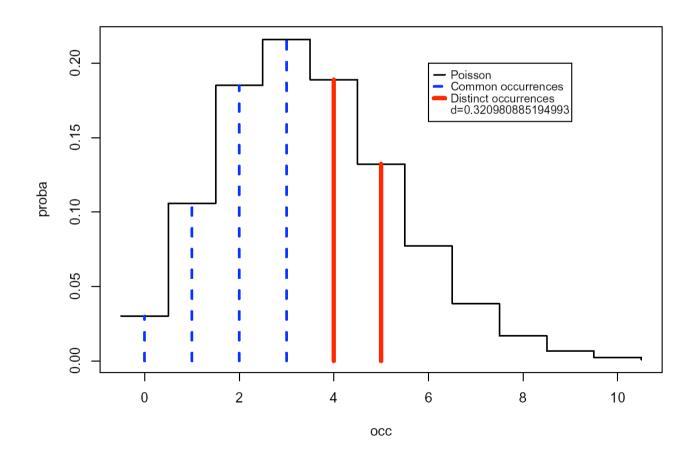
Errors 48 51.1% Correct 46 48.9%

#### Measuring distance between pattern counts

- The classical distance metrics are not appropriate for measuring distances between sequences en the basis of pattern counts. They fail to represent some of the biological features of these motifs.
- Differential weighting of distinct motifs
  - Some of the classical metrics (correlation, chi squared, ...) assign the same weight to each variable.
  - For the other ones (Euclidian, Manhattan, Minkowski, ...), a weight can be defined for each variabe (motif), but how to select it? (by default, all w<sub>i</sub> are set to 1)
- Differential weighting of multiple occurrences
  - For a given pattern, the probability is not a linear function of the number of occurrences.
  - Intuitively, it seems reasonable to consider that the difference between 0 and 2 occurrences of a biological signal has not the same impact as the difference between 2 and 4 occurrences. However, for all the metrics described before, the difference between 0 and 2 is the same as the difference between 2 and 4.

# Poisson-based similarity and dissimilarity

- Let us take a simple example:
  - Sequence a contains 3 occurrences of a motif
  - Sequence b contains 5 occurrences of the same motif
- We have thus 3 common and 2 distinct occurrences.



#### Poisson-based similarity metric

■ The probability to observe at least *x* occurrences of pattern *i* in common between sequences *a* and *b* is the joint probability of observing at least *x* occurrences in sequence *a* and at least *x* occurrences in sequence *b*.

$$C_i^{ab} > 0 P(x \ge C_i^{ab}) = \left[1 - F(C_i^{ab} - 1, m_i)\right]^2$$

$$C_i^{ab} = 0 P(x \ge C_i^{ab}) = 1$$

 Lower probabilities correspond to higher similarities. The probability of common occurrences can be converted in a similarity metrics.

$$\left| s_i^{ab} = 1 - P(x \ge C_i^{ab}) \right|$$

### Multi-variate Poisson-based similarity

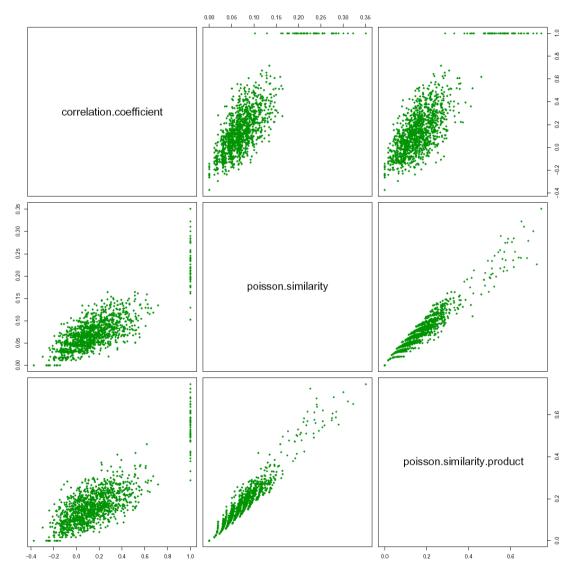
A multi-variate similarity metric can be calculated as the average of single-variate metrics :

$$S_{add}^{ab} = \frac{1}{p} \sum_{i=1}^{p} S_i^{ab}$$

• Alternatively, one can consider the geometric mean, which reflects the joint probability of common occurrences for the different patterns :

$$S_{prod}^{ab} = 1 - \sqrt[p]{\prod_{i=1}^{p} P(x \ge C_i^{ab})}$$

#### Scoring sequences with equal number of occurrences



Metric comparison, with random sequences and random patterns

- If two sequences have exactly the same number of occurrences, classical similarity metrics do not indicate how much they are similar.
  - If N<sup>a</sup> = N<sup>b</sup>, the correlation coefficient = 1, irrespective of the actual number of occurrences found in common
- On the contrary, Poissonbased similarity metrics assign a higher score to two sequences if they both have 6 occurrences of the motif than it they both have 1 occurrence.
- In addition, the score will be higher if the pattern is rare than if it is frequent.
- The Poisson similarity is thus likely to better reflect the biological properties of the regulatory signals.

## Properties of the Poisson-based similarity

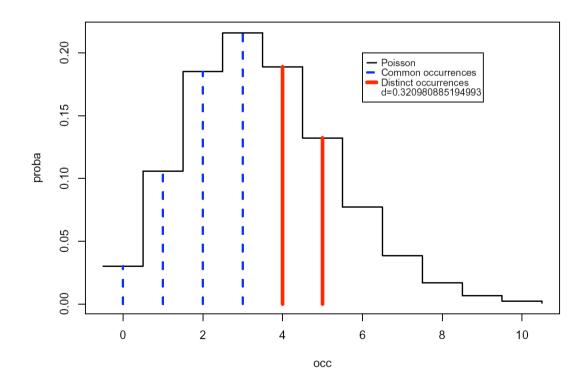
- The Poisson-based similarity metrics fit well with the intuitive concept of similarity, in terms of pattern occurrences :
  - If two sequences do not have a single common site, their similarity is 0.
  - The score increases when multiple copies of a given pattern are found in both sequences.
  - The score increases when several patterns are common to both sequences.
  - Patterns with low prior probabilities contribute more than those with high prior probabilities.
- However, these metrics are based on the counts of common occurrences only, and do not reflect the differences, since occurrences found in gene a but not in gene b do not affect the score.

## Poisson-based dissimilarity

 A Poisson-based dissimilarity metric can be defined, by calculating the sum of probabilities of distinct occurrences, i.e. occurrences found in one sequence but not the other one.

$$\left| d_{distinct_i}^{ab} = \left| F(N_i^b, m_i) - F(N_i^a, m_i) \right|$$

$$D_{distinct}^{ab} = \frac{1}{p} \sum_{i=1}^{p} d_i^{ab}$$

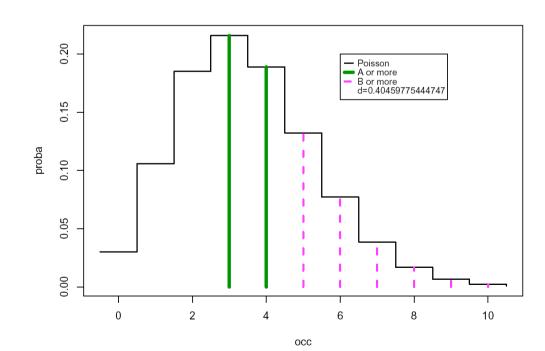


#### Poisson-based dissimilarity based on over-representation

- Rather than occurrence probabilities (right tail of the Poisson distribution), one could consider their P-values (left tail), which represent their degree of overrepresentation.
- The dissimilarity metrics can be calculated as the difference between the left tails of occurrences found in sequences a and b, respectively.

$$\left| d_{over_i}^{ab} = \left| P(x \ge N_i^a) - P(x \ge N_i^b) \right| = \left| F(N_i^b - 1, m_i) - F(N_i^a - 1, m_i) \right|$$

$$D_{over}^{ab} = \frac{1}{p} \sum_{i=1}^{p} d_i^{ab}$$



# Comparison of the metrics with random sequences

|                                |             |               | -                        |             |                 |              |            | 1            |             |          |               |                  |   |
|--------------------------------|-------------|---------------|--------------------------|-------------|-----------------|--------------|------------|--------------|-------------|----------|---------------|------------------|---|
|                                |             |               |                          |             |                 |              |            | Poisson.mix  | Poisson.mix | to, *0n, | Poisson.disc. | ,<br><u>;</u>    |   |
|                                |             | <i>‡</i> u    |                          |             |                 |              |            | Poisson.mix  | rct.p.      | brog     | V.dis         | Manhattan.di.    |   |
|                                | Correlation | fficie        | <sub>တ</sub> ွ           | Poisson.mix | 0,40            |              | Z          | ity.<br>Q.V. | disti,      | 0/0/     | 'ilarit       | manhattan.dis    | <sup>e</sup> uclidian. distanc <sub>e</sub> |
|                                | Č           |               |                          |             | ,               |              | (a)        | (b)          | , i         |          |               |                  | st.<br>11.sta.                              |
|                                | atior       | ira.c         | sip.                     | on.m        | imil            | 00.5         | )<br>18:40 | 0.m.         | on.m        | on.a     | on.d          | attan            | <sup>fi</sup> an.                           |
|                                | orre/       | canberra dis. | binary.dis <sub>fa</sub> | oiss        | Park. Similari. | Poisson.sim. | oiss       | oiss         | oiss,       | oiss,    | oiss.         | <sub>ranh,</sub> | uc/ia                                       |
| correlation.coefficient        | <u> </u>    | -0.76         | -0.81                    | -0.80       | 0.79            | 0.72         | -0.71      | 0.60         | 0.28        | -0.72    | -0.67         | -0.56            | -0.56                                       |
| canberra.distance              | -0.76       |               | 0.98                     | 0.91        | -0.89           | -0.85        | 0.82       | -0.71        | -0.35       | 0.76     | 0.74          | 0.62             | 0.60  |
| binary.distance                | -0.81       | 0.98          |                          | 0.91        | -0.89           | -0.88        | 0.84       | -0.73        | -0.38       | 0.75     | 0.72          | 0.57             | 0.54  |
| poisson.mixed.over             | -0.80       | 0.91          | 0.91                     |             | -0.98           | -0.76        | 0.74       | -0.58        | -0.12       | 0.89     | 0.92          | 0.78             | 0.68  |
| park.similarity                | 0.79        | -0.89         | -0.89                    | -0.98       |                 | 0.76         | -0.74      | 0.60         | 0.15        | -0.85    | -0.89         | -0.79            | -0.72                                       |
| poisson.similarity             | 0.72        | -0.85         | -0.88                    | -0.76       | 0.76            |              | -0.96      | 0.92         | 0.71        | -0.50    | -0.45         | -0.29            | -0.29                                       |
| poisson.similarity.product     | -0.71       | 0.82          | 0.84                     | 0.74        | -0.74           | -0.96        |            | -0.98        | -0.76       | 0.46     | 0.43          | 0.28             | 0.30  |
| poisson.mixed.distinct.product | 0.60        | -0.71         | -0.73                    | -0.58       | 0.60            | 0.92         | -0.98      |              | 0.87        | -0.25    | -0.24         | -0.08            | -0.13                                       |
| poisson.mixed.over.product     | 0.28        | -0.35         | -0.38                    | -0.12       | 0.15            | 0.71         | -0.76      | 0.87         |             | 0.18     | 0.26          | 0.36             | 0.24  |
| poisson.dissimilarity.distinct | -0.72       | 0.76          | 0.75                     | 0.89        | -0.85           | -0.50        | 0.46       | -0.25        | 0.18        |          | 0.93          | 0.89             | 0.78  |
| poisson.dissimilarity.over     | -0.67       | 0.74          | 0.72                     | 0.92        | -0.89           | -0.45        | 0.43       | -0.24        | 0.26        | 0.93     |               | 0.91             | 0.76  |
| manhattan.distance             | -0.56       | 0.62          | 0.57                     | 0.78        | -0.79           | -0.29        | 0.28       | -0.08        | 0.36        | 0.89     | 0.91          |                  | 0.94  |
| euclidian.distance             | -0.56       | 0.60          | 0.54                     | 0.68        | -0.72           | -0.29        | 0.30       | -0.13        | 0.24        | 0.78     | 0.76          | 0.94             |   |

#### Evaluation of the biological relevance

- After having defined the different variants of Poisson-based metrics, we can apply them to our biological example (NIT, PHO, MET and RAND genes), in order to evaluate their respective capability to classify genes according to their regulation.
- For each metric and for each agglomeration rule (single, average, complete, Ward)
  - Apply hierarchical clustering.
  - Prune the tree to select the 4 topmost branches.
  - Compare the 4 topmost branches with the prior class (NIT, MET, PHO or RAND), and calculate the hit rate (% of genes assigned to the correct class).
- Sort the results according to the hit rate.

# Results with MET-PHO-NIT genes + random sequences

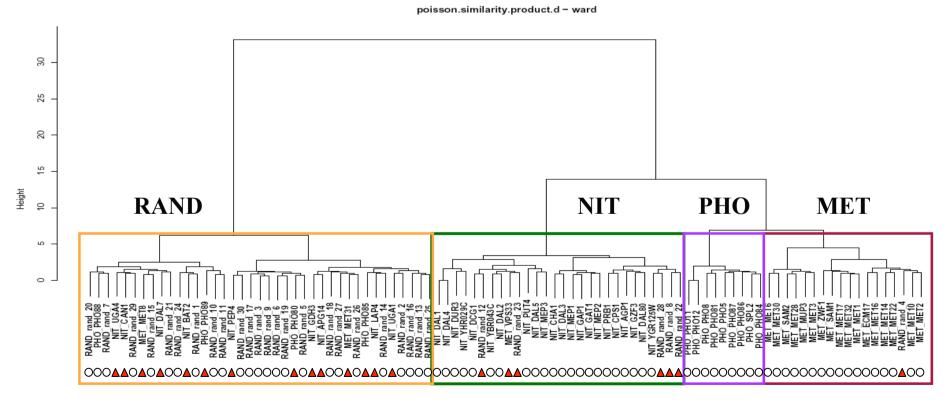
|                                  |          | clustering | TIN< | LIN~ I | IO >NIT | RAND >NIT | ET >MET | r >MET | IO >MET | RAND >MET | T >PHO | г >РНО | IO >PHO | RAND >PHO | MET>RAND | r > RAND | IO > RAND | RAND > RAND | EXTERNAL   | TRUE | FALSE | rate  |
|----------------------------------|----------|------------|------|--------|---------|-----------|---------|--------|---------|-----------|--------|--------|---------|-----------|----------|----------|-----------|-------------|------------|------|-------|-------|
| metric                           | model    | method     | MET  | Ę      | PHO     | &         | MET     | Ę      | PHO     | 2         | MET    | Ę      | PHO     | &         | Σ        | Ę        | PHO       | &           | \ <u>\</u> | 꿈    | ₹     | Ĭ     |
| poisson.similarity.product.d     | product  | ward       | 1    | 22     | 0       | 5         | 17      | 0      | 0       | 1         | 0      | 0      | 9       | 0         | 2        | 9        | 4         | 24          |            | 72   | 22    | 76.6% |
| poisson.mixed.distinct.d         | additive | complete   | 0    | 25     | 0       | 5         | 10      | 0      | 0       | 0         | 0      | 0      | 8       | 0         | 10       | 6        | 5         | 25          |            | 68   | 26    | 72.3% |
| poisson.mixed.over.d             | additive | ward       | 3    | 21     | 1       | 7         | 15      | 1      | 1       | 1         | 0      | 0      | 8       | 0         | 2        | 9        | 3         | 22          |            | 66   | 28    | 70.2% |
| poisson.mixed.distinct.d         | additive | ward       | 0    | 19     | 0       | 5         | 16      | 1      | 2       | 3         | 0      | 0      | 8       | 0         | 4        | 11       | 3         | 22          |            | 65   | 29    | 69.1% |
| poisson.mixed.distinct.product.d | product  | complete   | 0    | 15     | 0       | 0         | 12      | 5      | 1       | 1         | 5      | 0      | 8       | 0         | 3        | 11       | 4         | 29          |            | 64   | 30    | 68.1% |
| poisson.similarity.d             | additive | ward       | 4    | 25     | 2       | 14        | 15      | 1      | 1       | 0         | 0      | 0      | 8       | 0         | 1        | 5        | 2         | 16          |            | 64   | 30    | 68.1% |
| poisson.dissimilarity.distinct   | additive | ward       | 4    | 22     | 2       | 12        | 15      | 0      | 1       | 0         | 0      | 0      | 8       | 0         | 1        | 9        | 2         | 18          |            | 63   | 31    | 67.0% |
| poisson.mixed.over.product.d     | product  | ward       | 3    | 23     | 1       | 9         | 11      | 0      | 0       | 1         | 3      | 0      | 9       | 2         | 3        | 8        | 3         | 18          |            | 61   | 33    | 64.9% |
| correlation.coefficient.d        | additive | ward       | 1    | 26     | 2       | 8         | 10      | 1      | 1       | 2         | 1      | 1      | 8       | 6         | 8        | 3        | 2         | 14          |            | 58   | 36    | 61.7% |
| poisson.dissimilarity.over       | additive | ward       | 9    | 16     | 1       | 4         | 8       | 0      | 1       | 0         | 0      | 0      | 8       | 0         | 3        | 15       | 3         | 26          |            | 58   | 36    | 61.7% |
| poisson.similarity.d             | additive | complete   | 13   | 25     | 2       | 13        | 6       | 0      | 0       | 0         | 0      | 0      | 8       | 0         | 1        | 6        | 3         | 17          |            | 56   | 38    | 59.6% |
| correlation.coefficient.d        | additive | complete   | 0    | 26     | 3       | 11        | 9       | 1      | 0       | 2         | 1      | 1      | 8       | 5         | 10       | 3        | 2         | 12          |            | 55   | 39    | 58.5% |
| poisson.similarity.product.d     | product  | complete   | 0    | 12     | 0       | 0         | 12      | 10     | 1       | 9         | 6      | 0      | 9       | 0         | 2        | 9        | 3         | 21          |            | 54   | 40    | 57.4% |
| manhattan.dist                   | additive | ward       | 0    | 10     | 0       | 0         | 10      | 13     | 3       | 4         | 8      | 0      | 7       | 0         | 2        | 8        | 3         | 26          |            | 53   | 41    | 56.4% |
| park.similarity.d (pruning 5)    | additive | ward       | 4    | 14     | 2       | 9         | 11      | 1      | 0       | 2         | 0      | 0      | 8       | 0         | 1        | 15       | 2         | 19          | 6          | 52   | 42    | 55.3% |
| poisson.dissimilarity.over       | additive | complete   | 5    | 31     | 4       | 29        | 13      | 0      | 1       | 1         | 0      | 0      | 8       | 0         | 2        | 0        | 0         | 0           |            | 52   | 42    | 55.3% |
| poisson.mixed.distinct.product.d | product  | ward       | 0    | 20     | 0       | 1         | 14      | 0      | 9       | 0         | 5      | 7      | 2       | 14        | 1        | 4        | 2         | 15          |            | 51   | 43    | 54.3% |
| poisson.dissimilarity.distinct   | additive | complete   | 5    | 31     | 4       | 30        | 11      | 0      | 1       | 0         | 0      | 0      | 8       | 0         | 4        | 0        | 0         | 0           |            | 50   | 44    | 53.2% |
| poisson.mixed.over.d             | additive | complete   | 3    | 28     | 3       | 26        | 13      | 1      | 1       | 1         | 2      | 2      | 9       | 3         | 2        | 0        | 0         | 0           |            | 50   | 44    | 53.2% |
| park.similarity.d                | additive | ward       | 5    | 29     | 4       | 28        | 11      | 1      | 0       | 2         | 0      | 0      | 8       | 0         | 4        | 1        | 1         | 0           |            | 48   | 46    | 51.1% |
| euclidian.dist                   | additive | ward       | 0    | 6      | 0       | 0         | 12      | 16     | 3       | 8         | 6      | 4      | 7       | 0         | 2        | 5        | 3         | 22          |            | 47   | 47    | 50.0% |
| mahalanobis.dist                 | additive | complete   | 6    | 16     | 5       | 12        | 9       | 7      | 0       | 1         | 5      | 7      | 7       | 2         | 0        | 1        | 1         | 15          |            | 47   | 47    | 50.0% |
| euclidian.dist                   | additive | complete   | 0    | 9      | 1       | 0         | 6       | 1      | 6       | 0         | 0      | 3      | 1       | 0         | 14       | 18       | 5         | 30          |            | 46   | 48    | 48.9% |
| mahalanobis.dist                 | additive | ward       | 6    | 15     | 5       | 9         | 11      | 11     | 2       | 5         | 3      | 4      | 5       | 1         | 0        | 1        | 1         | 15          |            | 46   | 48    | 48.9% |
| park.similarity.d                | additive | complete   | 15   | 30     | 4       | 30        | 5       | 1      | 1       | 0         | 0      | 0      | 8       | 0         | 0        | 0        | 1         | 0           |            | 43   | 52    | 45.3% |
| poisson.mixed.over.product.d     | product  | complete   | 5    | 27     | 9       | 24        | 2       | 4      | 1       | 6         | 0      | 0      | 2       | 0         | 0        | 0        | 1         | 0           |            | 31   | 50    | 38.3% |
| manhattan.dist                   | additive | complete   | 12   | 22     | 6       | 30        | 6       | 9      | 1       | 0         | 0      | 0      | 6       | 0         | 2        | 0        | 0         | 0           |            | 34   | 60    | 36.2% |

### Clustering - Poisson-based distance metrics

- Metric: Poisson similarity product;
- Clustering: Ward hierarchical.
- Red triangles below the tree indicate errors
- Most errors consist in false negative.

|           |         |           |        | MIIOWII |     |     |
|-----------|---------|-----------|--------|---------|-----|-----|
|           |         | RAND      | MET    | NIT     | PHO | SUM |
| _         | RAND    | 24        | 2      | 9       | 4   | 39  |
| tec       | MET     | 1         | 17     | 0       | 0   | 18  |
| Predicted | NIT     | 5         | 1      | 22      | 0   | 28  |
| re        | PHO     | 0         | 0      | 0       | 9   | 9   |
|           | SUM     | 30        | 20     | 31      | 13  | 94  |
|           | Errors  | 22        | 23.40% |         |     |     |
|           | Correct | <b>72</b> | 76.60% |         |     |     |

Known



#### Summary: clustering on the basis of pattern counts

- The choice of the distance metric and clustering method is crucial
- Classical distance metric give very bad results
- Poisson-based metric bring a sensible improvement
- Weaknesses
  - Dependency between variables are not (yet) taken into account
  - This is an unsupervised approach. We could obtain better results by taking advantage of our prior knowledge of the functional classes in order to train a program, which could then be used to classify new genes.

#### Reference

 van Helden, J. (2004). Metrics for comparing regulatory sequences on the basis of pattern counts. Bioinformatics, 2004 20(3):399-406.

#### Regulatory Sequence Analysis

# Supervised classification (discriminant analysis)

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### Study case

- Evaluation on a challenging case: given the similarity of Met4p (tCACGTGa) and Pho4p (CACGTGgg or CACGTttt) binding sites, can we distinguish their respective target genes?
- Genome-scale classification: having at hand the complete yeast genome, can we classify genes according to their predicted regulatory responses?

#### Discrimination between MET and PHO genes

- On the basis of upstream motifs, can we predict the regulation of a gene?
  - Pho4p binds CACGTGgg and CACGTTtt (CACGTkkk)
  - Met4p binds tCACGTGa
  - Met31p binds AAAACTGTGG

#### Clues

- Combinatorial aspect : several MET genes are regulated by both Met4p and Met31p
- Multiple motifs : many PHO genes have several Pho4p sites

#### Approach

- Build position weight matrices reflecting the specificity of each factor
- For each upstream region, find the 3 top scores obtained with the different matrices
- Define a training set with known PHO, MET and control genes
- Apply discriminant analysis

# Met4p binding sites

| gene  | start | end  | sequence                               |
|-------|-------|------|--|
| MET3  | -367  | -349 | GAAAAG <b>TCACGTG</b> FAATTT           |
| MET3  | -384  | -366 | AAAAGG <b>TCACGTGA</b> CCAGA           |
| MET14 | -235  | -217 | CTAATT <b>TCACGTGA</b> TCAAT           |
| MET16 | -185  | -167 | ATCATT <b>TCACGTG</b> GCTAGT           |
| ECM17 | -311  | -293 | ATTTCA <b>TCACGTG</b> CGTATT           |
| ECM17 | -339  | -321 | .TTTGTC <b>CACGTGA</b> TATTTC          |
| MET10 | -255  | -237 | .CCACAC <b>CACGTGA</b> GCTTAT          |
| MET10 | -237  | -219 | . TAGAAG <b>CACGTGA</b> CCACAA         |
| MET2  | -360  | -342 | GTATTT <b>TCACGTGA</b> TGCGC           |
| MET2  | -554  | -536 | TAATAA <b>TCACGTGA</b> TATTT           |
| MET17 | -306  | -288 | . AAATGG <b>CACGTGA</b> AGCTGT         |
| MET17 | -332  | -314 | TTGAGG <b>TCAC</b> A <b>TGA</b> TCGCA  |
| MET6  | -540  | -522 | GCCACA <b>TCACGTGCA</b> CATT           |
| MET6  | -502  | -484 | AATATT <b>TCACGTGA</b> CTTAC           |
| SAM2  | -329  | -311 | .TCTACC <b>CACGTGA</b> CTATAA          |
| SAM2  | -381  | -363 | .TCTTCA <b>CA</b> T <b>GTGA</b> TTCATC |

| Α | 13 | 11 | 3 | 3 | 2  | 0  | 16 | 0  | 1  | 0  | 0  | 12 |
|---|----|----|---|---|----|----|----|----|----|----|----|----|
| С | 1  | 0  | 0 | 3 | 0  | 16 | 0  | 15 | 0  | 0  | 0  | 0  |
| G | 1  | 1  | 4 | 4 | 4  | 0  | 0  | 0  | 15 | 0  | 16 | 4  |
| T | 1  | 4  | 9 | 6 | 10 | 0  | 0  | 1  | 0  | 16 | 0  | 0  |

# Pho4p binding sites

| gene  | start en | d  | sequence                           |
|-------|----------|----|------------------------------------|
| PHO5  | -260 -2  | 42 | GCACTCA <b>CACGTGGG</b> ACTA       |
| PHO5  | -260 -2  | 45 | GCACTCA <b>CACGTGGG</b> A          |
| PHO5  | -262 -2  | 39 | TGGCACTCA <b>CACGTGGG</b> ACTAGCA  |
| PHO8  | -540 -5  | 22 | TCGGGC <b>CACGTGC</b> AGCGAT       |
| PHO8  | -736 -7  | 18 | ATATTAA@ <b>CGTGCG</b> GGTAA       |
| PHO81 | -350 -3  | 32 | TTATG© <b>CACGTGCG</b> AATAA       |
| PHO84 | -421 -4  | 03 | TTTCCAG <b>CACGTGGG</b> GCGG       |
| PHO84 | -442 -4  | 25 | TAGTTOCACGTGGACGTG                 |
| PHO84 | -879 -8  | 74 | .aaaagtgt <b>CACGTG</b> ataaaaat   |
| PHO84 | -267 -2  | 50 | TTAAAA <b>ACGTGCG</b> TATTA        |
| PHO84 | -592 -5  | 75 | TTAC@CACGTTGGTGCTG                 |
| PHO5  | -368 -3  | 49 | AATTA© <b>CACGTTTT</b> CGCATA      |
| PHO5  | (?) (?)  | )  | AAATTAG <b>CACGTTT</b> CGC         |
| PHO5  | -370 -3  | 47 | .TAAATTAC <b>CACGTTTT</b> CGCATAGA |

# Pho4p binding specificity - matrix descriptions

| <u>C</u> | , |    |    |    |    |    | Pho | 54p |    |    |    |    |    |
|----------|---|----|----|----|----|----|-----|-----|----|----|----|----|----|
|          | Α | 14 | 0  | 5  | 7  | 6  | 0   | 26  | 0  | 0  | 0  | 0  | 3  |
|          | С | 2  | 8  | 5  | 16 | 6  | 26  | 0   | 26 | 0  | 1  | 0  | 4  |
|          | G | 4  | 2  | 1  | 1  | 12 | 0   | 0   | 0  | 26 | 0  | 16 | 12 |
|          | T | 6  | 16 | 15 | 2  | 2  | 0   | 0   | 0  | 0  | 25 | 10 | 7  |

| <u>D</u> | Pho4p.cacgtg |    |    |    |    |    |   |    |   |   |    |    |
|----------|--------------|----|----|----|----|----|---|----|---|---|----|----|
| Α        | 2            | 17 | 0  | 0  | 0  | 0  | 2 | 1  | 8 | 5 | 5  | 13 |
| С        | 16           | 0  | 18 | 0  | 0  | 0  | 6 | 3  | 4 | 5 | 0  | 1  |
| G        | 0            | 1  | 0  | 18 | 0  | 18 | 9 | 12 | 2 | 5 | 2  | 1  |
| Т        | 0            | 0  | 0  | 0  | 18 | 0  | 1 | 2  | 4 | 3 | 11 | 3  |

| <u>E</u> |   |   |   |   | P | ho4p | .cac | gtt |   |   |   |   |
|----------|---|---|---|---|---|------|------|-----|---|---|---|---|
| Α        | 7 | 0 | 2 | 5 | 1 | 0    | 8    | 0   | 0 | 0 | 0 | 1 |
| С        | 0 | 1 | 1 | 3 | 3 | 8    | 0    | 8   | 0 | 0 | 0 | 0 |
| G        | 0 | 0 | 0 | 0 | 4 | 0    | 0    | 0   | 8 | 0 | 0 | 2 |
| Т        | 1 | 7 | 5 | 0 | 0 | 0    | 0    | 0   | 0 | 8 | 8 | 5 |

# Met31p binding sites

| gene  | start | end  | sequence                                |
|-------|-------|------|---|
| MET14 | -202  | -182 | CCTC <b>AAAAA</b> A <b>TGTGG</b> CAATGG |
| MET2  | -313  | -293 | TGC <b>AAAAA</b> T <b>TGTGG</b> ATGCAC  |
| MET17 | -227  | -207 | TCATG <b>AAAACTGTG</b> TAACATA          |
| MET6  | -313  | -293 | GTCGC <b>AAAACTGTGG</b> TAGTCA          |
| SAM2  | -306  | -286 | GCTTG <b>AAAACTGTGG</b> CGTTTT          |
| SAM1  | -283  | -263 | ACAGG <b>AAAACTGTGG</b> TGGCGC          |
| MET19 | -173  | -153 | ATAAGC <b>AAACTGTGG</b> TTCAT           |
| MUP3  | -188  | -168 | CGG <b>AAAAACTGTGG</b> CGTCGC           |
| MET8  | -184  | -164 | GG <b>AAAAAA</b> A <b>TGTG</b> AAAATCG  |
| MET1  | -232  | -212 | CATAAT <b>AAACTGTG</b> AACGGAC          |
| МЕТ3  | -259  | -239 | ACAAAG <b>CCACAGTTTT</b> ACAAC          |
| MET28 | -159  | -139 | CTAACA <b>CCACAGTTTT</b> GGGCG          |
| MET8  | -434  | -414 | TCTTGT <b>CCGCAGTTTT</b> ATCTG          |
| MET30 | -168  | -148 | GGGAAG <b>CCACAGTTT</b> GCGCGG          |
| MET6  | -405  | -385 | CTATCGAA <b>CTCGTTT</b> AGTCGC          |

| Α | 5 | 11 | 14 | 14 | 14 | 2  | 0  | 0  | 0  | 0  | 2  | 5 |
|---|---|----|----|----|----|----|----|----|----|----|----|---|
| С | 2 | 2  | 0  | 0  | 0  | 11 | 0  | 0  | 1  | 0  | 0  | 5 |
| G | 5 | 0  | 0  | 0  | 0  | 0  | 0  | 14 | 0  | 14 | 11 | 1 |
| T | 2 | 1  | 0  | 0  | 0  | 1  | 14 | 0  | 13 | 0  | 1  | 3 |

### Matching upstream regions with multiple matrices

- Each one of the 6309 upstream regions is scanned with each one of the 5 matrices
- For each matrix and gene, the 3 top scores are retained
- This results in a 15-variate table, where each gene is characterized by 15 scores

| Matrix    |                         | Pho4p              |       | Pho   | 4p.ca | cgtg  | Pho   | o4p.ca | cgtt  |       | Met4p | )     |       | Met31p | )     |
|-----------|-------------------------|--------------------|-------|-------|-------|-------|-------|--------|-------|-------|-------|-------|-------|--------|-------|
|           | top 1                   | top 2              | top 3 | top 1 | top 2 | top 3 | top 1 | top 2  | top 3 | top 1 | top 2 | top 3 | top 1 | top 2  | top 3 |
| gene 1    | X <sub>1,1</sub>        | X <sub>2,1</sub>   |       |       |       |       |       |        |       |       |       |       |       |        |       |
| gene 2    | X <sub>1,2</sub>        | X <sub>2,2</sub>   |       |       |       |       |       |        |       |       |       |       |       |        |       |
| gene 3    | X <sub>1,3</sub>        | X <sub>2,3</sub>   |       |       |       |       |       |        |       |       |       |       |       |        |       |
| •••       |                         |                    |       |       |       | • • • |       |        |       |       |       |       |       |        |       |
| gene i    | <b>X</b> <sub>1,i</sub> | $x_{2,i}$          |       |       |       | •••   |       |        |       |       |       |       |       |        |       |
| gene i+1  | X <sub>1,i+1</sub>      | X <sub>2,i+1</sub> |       |       |       |       |       |        |       |       |       |       |       |        |       |
| gene i+2  | X <sub>1,i+2</sub>      | X <sub>2,i+2</sub> |       |       |       |       |       |        |       |       |       |       |       |        |       |
| •••       |                         |                    |       |       |       |       |       |        |       |       |       |       |       |        |       |
| gene 6308 | X <sub>1,n-1</sub>      | X <sub>2,n-1</sub> |       |       |       |       |       |        |       |       |       |       |       |        |       |
| gene 6309 | X <sub>1,n</sub>        | X <sub>2,n</sub>   |       |       |       |       |       |        |       |       |       |       |       |        |       |

#### Data - matrix scores

matrix scores: top scores obtained with PSSM for Met4p, Met31p, Pho4p, Pho4p.cacgtg, and Pho4p.cacgtt; 5 matrices, 3 top scores per matrix.

|         |         | _              | Pho4p          |                |                |                |                |                |                | N              | Met4p   |         |         | Met31p   |          |          |
|---------|---------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|---------|---------|---------|----------|----------|----------|
| ;orf    | gene    | Pho4p.cacgtg.1 | Pho4p.cacgtg.2 | Pho4p.cacgtg.3 | Pho4p.cacgtk.1 | Pho4p.cacgtk.2 | Pho4p.cacgtk.3 | Pho4p.cacgtt.1 | Pho4p.cacgtt.2 | Pho4p.cacgtt.3 | Met4p.1 | Met4p.2 | Met4p.3 | Met31p.1 | Met31p.2 | Met31p.3 |
| YAL001C | TFC3    | 3.79           | 3.42           | 2.28           | 3.27           | 0.17           | 0.14           | 4.38           | 4.17           | 3.41           | 7.88    | 6.91    | 2.73    | 5.39     | 3.55     | 3.55     |
| YAL002W | VPS8    | 9.31           | 6.18           | 5.16           | 9.59           | 4.72           | 4.22           | 7.75           | 6.36           | 5.79           | 5.69    | 4.68    | 3.37    | 3.55     | 3.14     | 2.09     |
| YAL003W | EFB1    | 6.66           | 3.23           | 2.39           | 6.02           | 4.75           | 2.77           | 4.04           | 3.84           | 3.09           | 4.3     | 3.94    | 3.8     | 4.64     | 4.54     | 3.78     |
| YAL004W | YAL004W | 2.39           | 1.69           | 1.07           | 3.05           | 2.98           | 1.96           | 5.66           | 2.11           | 1.52           | 3.14    | 3.01    | 2.65    | 3.37     | 3.11     | 2.96     |
| YAL005C | SSA1    | 6.66           | 3.23           | 2.39           | 6.02           | 4.75           | 2.77           | 4.04           | 3.84           | 3.09           | 4.3     | 3.94    | 3.8     | 5.15     | 4.64     | 4.54     |
| YAL007C | ERP2    | 5.02           | 3.6            | 2.28           | 2.11           | 1.16           | 1.16           | 4.75           | 3.18           | 2.65           | 4.19    | 4.13    | 3.44    | 7        | 3.55     | 2.48     |
| YAL008W | FUN14   | 6.27           | 4.92           | 2.94           | 4.65           | 3.66           | 3.47           | 3.26           | 2.82           | 2.8            | 4.26    | 4.19    | 3.81    | 4.54     | 3.78     | 2.35     |
| YAL009W | SPO7    | 6.11           | 3.69           | 0.65           | 5.37           | 4.99           | 3.81           | 8.51           | 5.23           | 3.34           | 7.42    | 3.38    | 3.28    | 6.01     | 2.48     | 2.2      |
| YAL010C | MDM10   | 6.27           | 1.7            | 1.58           | 3.47           | 1.43           | 0.75           | 3.01           | 2.82           | 1.98           | 4.26    | 4.19    | 2.49    | 3.78     | 2.35     | 2.35     |
| YAL011W | YAL011W | 4.2            | 3.02           | 2.61           | 5.68           | 3.56           | 3.23           | 5.51           | 5.08           | 4.85           | 1.93    | 1.63    | 1.29    | 4.06     | 2.96     | 2.79     |
| YAL012W | CYS3    | 4.78           | 4              | 3.73           | 5.43           | 3.72           | 3.55           | 6.5            | 5.34           | 3.62           | 9.34    | 5.92    | 4.88    | 9.43     | 3.96     | 3.07     |
| YAL013W | DEP1    | 5.41<br>       | 4.83           | 3.3            | 6.81           | 2.43           | 2.11<br>       | 4.73<br>       | 4.54<br>       | 1.71           | 3.35    | 2.82    | 2.62    | 6.59     | 2.35     | 2.31     |
| YPR203W | YPR203W | 3.33           | 3.31           | 2.73           | 6.75           | 4.72           | 4.45           | 6.94           | 3.4            | 2.94           | 4.89    | 3.76    | 3.28    | 5.98     | 5.39     | 4.91     |

### Training and testing sets

- There is a subset of objects for which the class is n-known a priori, on the basis of external information (e.g. biological knowledge)
- These classes will be used as criterion variable.
  - To train the program (training set)
  - To test the program (testing set)
- Note: the training and testing sets might contain some errors.

#### **Phosphate-responding genes**

| #  | ORF     | Gene name | Family |
|----|---------|-----------|--------|
| 1  | YBR093C | PHO5      | PHO    |
| 2  | YDR481C | PHO8      | PHO    |
| 3  | YAR071W | PHO11     | PHO    |
| 4  | YHR215W | PHO12     | PHO    |
| 5  | YOL001W | PHO80     | PHO    |
| 6  | YGR233C | PHO81     | PHO    |
| 7  | YML123C | PHO84     | PHO    |
| 8  | YPL031C | PHO85     | PHO    |
| 9  | YJL117W | PHO86     | PHO    |
| 10 | YCR037C | PHO87     | PHO    |
| 11 | YBR106W | PHO88     | PHO    |
| 12 | YBR296C | PHO89     | PHO    |
| 13 | YHR136C | SPL2      | PHO    |

#### **Methionine-responding genes**

| #  | ORF     | Gene name | Family |
|----|---------|-----------|--------|
| 14 | YBR213W | MET8      | MET    |
| 15 | YDR253C | MET32     | MET    |
| 16 | YDR502C | SAM2      | MET    |
| 17 | YER091C | MET6      | MET    |
| 18 | YFR030W | MET10     | MET    |
| 19 | YHL036W | MUP3      | MET    |
| 20 | YIL046W | MET30     | MET    |
| 21 | YIR017C | MET28     | MET    |
| 22 | YJR010W | MET3      | MET    |
| 23 | YJR137C | ECM17     | MET    |
| 24 | YKL001C | MET14     | MET    |
| 25 | YKR069W | MET1      | MET    |
| 26 | YLR180W | SAM1      | MET    |
| 27 | YLR303W | MET17     | MET    |
| 28 | YLR396C | VPS33     | MET    |
| 29 | YNL241C | ZWF1      | MET    |
| 30 | YNL277W | MET2      | MET    |
| 31 | YOL064C | MET22     | MET    |
| 32 | YPL038W | MET31     | MET    |

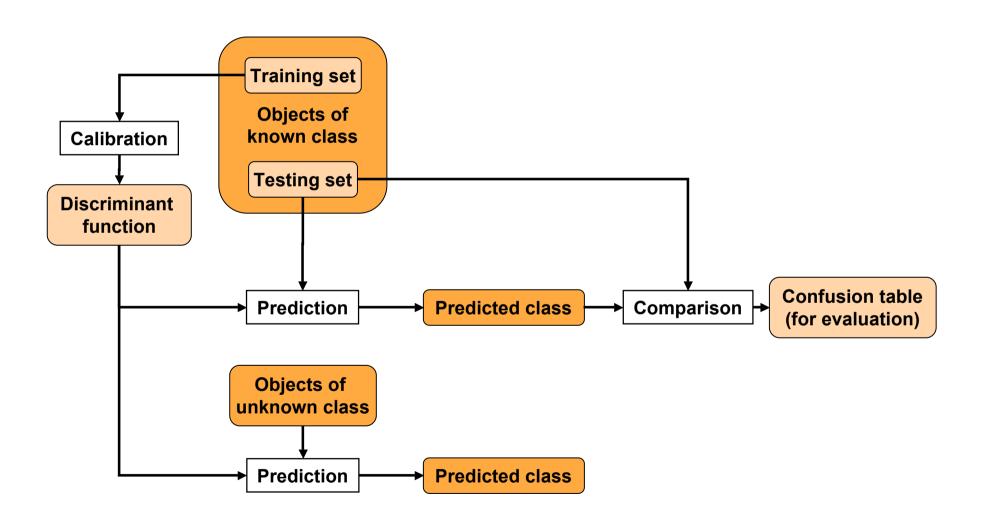
#### **Control genes**

| #   | ORF       | Gene name | Family |
|-----|-----------|-----------|--------|
| 33  | YAL038W   | CDC19     | CTL    |
| 34  | YBL005W   | PDR3      | CTL    |
| 35  | YBL005W-A | YBL005W-A | CTL    |
| 36  | YBL005W-B | YBL005W-B | CTL    |
| 37  | YBL030C   | PET9      | CTL    |
| 38  | YBR006W   | UGA5      | CTL    |
| 39  | YBR018C   | GAL7      | CTL    |
| 40  | YBR020W   | GAL1      | CTL    |
| 41  | YBR115C   | LYS2      | CTL    |
| 42  | YBR184W   | YBR184W   | CTL    |
| 43  | YCL018W   | LEU2      | CTL    |
| 44  | YDL131W   | LYS21     | CTL    |
| 45  | YDL182W   | LYS20     | CTL    |
| 46  | YDL205C   | HEM3      | CTL    |
| 47  | YDL210W   | UGA4      | CTL    |
| 48  | YDR011W   | SNQ2      | CTL    |
| 49  | YDR044W   | HEM13     | CTL    |
| 50  | YDR234W   | LYS4      | CTL    |
| 51  | YDR285W   | ZIP1      | CTL    |
|     |           |           |        |
| 112 | YPR065W   | ROX1      | CTL    |
| 113 | YPR138C   | MEP3      | CTL    |
| 114 | YPR145W   | ASN1      | CTL    |

## Approach

- Extract upstream sequences for each one of the 6000 yeast genes
- Use position-weight matrices to predict putative regulatory elements
- Use genes with known PHO or MET regulation, plus a control group (CTL) as training set
  - Build a classification rule (train a classifier) based on the training set.
  - Evaluate the accuracy of the classification rule.
  - Select the best classification method and parameters.
- Apply the classification to each one of the 6000 yeast genes, in order to predict the MET- and PHO-regulated genes

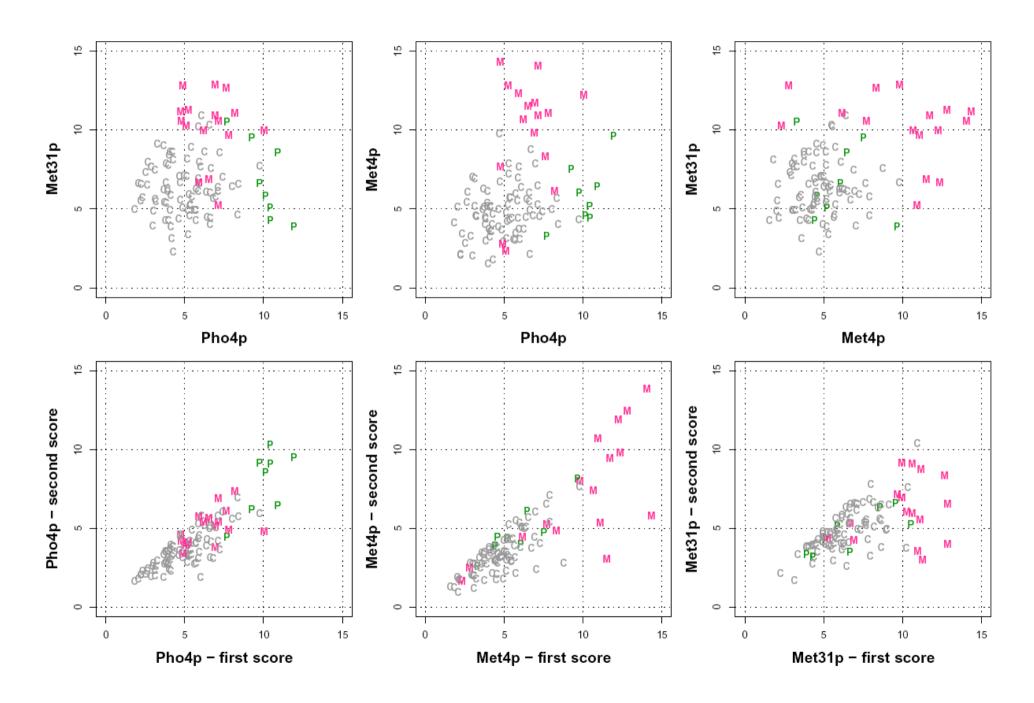
# Discriminant analysis



#### **Difficulties**

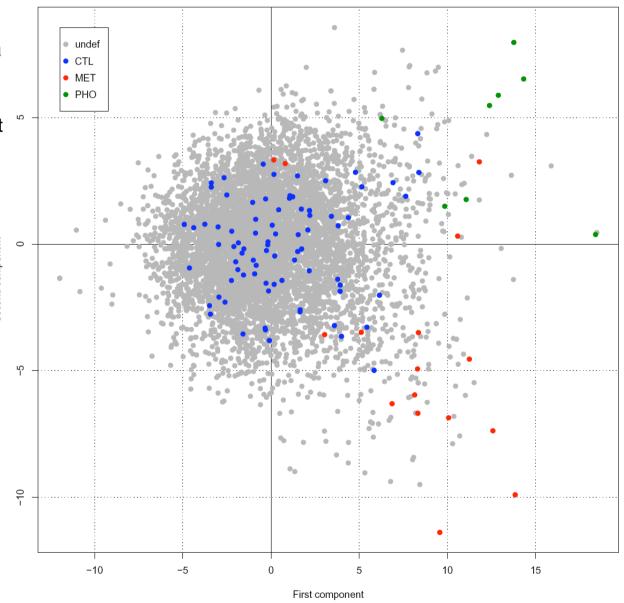
- The training sets are very small
  - 13 PHO genes
  - 19 MET genes
  - 82 control genes (supposed to respond neither to phosphate nor to methionine)
- Over-fitting
  - The number of variables (15 matrix scores, or 44 pattern counts, depending on the considered data set) is higher than the number of elements in some classes of the training set
- Size of the prediction set
  - After training and evaluation, the discriminant function will b used to classify each one of the 6300 yeast genes. Even a small error rate (e.g. 1%) would lead to an important number of false predictions (60 false positives).

# MET and PHO predicted sites



## Principal Component Analysis - matrix scores

- The 15-dimensional score space can be projected onto a 2-dimensional space
  - The two first components are the directions with the highest variance in the 15dimensional space
- Previously characterized PHO and MET genes are labelled
- The CTL genes are genes with known regulation, and supposedly not regulated by MET or PHO. As expected, they are mixed with the unlabelled genes.
- Most PHO and MET genes are projected in different angles of the space, but some of them are mixed with other groups.



#### Classification rules

- New units can be classified on the basis of rules based on the calibration sample
- Several alternative rules can be used
  - Maximum likelihood rule: assign unit u to group g if

$$f(X \mid g) > f(X \mid g')$$
 for  $g' \neq g$ 

Inverse probability rule: assign unit u to group g if

$$P(X \mid g) > P(X \mid g') \quad for g' \neq g$$

Posterior probability rule: assign unit u to group g if

$$P(g \mid X) > P(g \mid X)$$
 for  $g \neq g$ 

## Posterior probability rule

The posterior probability can be obtained by application of Bayes' theorem

$$P(g \mid X) = \frac{P(X \mid g)P(g)}{P(X)}$$

$$P(g \mid X) = \frac{P(X \mid g)\pi_g}{\sum_{g'=1}^k P(X \mid g')\pi_{g'}}$$

#### Where

X is the unit vector

g is a group

k is the number of groups

 $p_{g}$  is the prior probability of group g

 $p_g$  can be specified by the user or estimated from the training frequencies.

### Linear versus quadratic classification rule

- Under assumption of multivariate normality
  - There is one covariance matrix per group g.
  - When all covariance matrices are assumed to be identical, the classification rule can be simplified to obtain a linear function
    - -> Linear Discriminant Analysis (LDA)
  - When the groups have not the same covariance matrix,
    - -> Quadratic Discriminant Analysis (QDA) is more appropriate.

#### Evaluation of the discriminant function - confusion table

- The results of the evaluation are summarized in a confusion table, which contains the count of the predicted versus known class.
- The confusion table can be used to calculate the accuracy of the predictions.
- With linear discriminant analysis, the error rate is even higher than with hierarchical clustering! This is due to a problem of over-fitting: there are more variables (15) than objects in some training classes (13 for PHO)

#### Internal validation (biased)

|           |     | Known |     |     |     |  |
|-----------|-----|-------|-----|-----|-----|--|
|           |     | PHO   | MET | CTL | Sum |  |
| -         | РНО | 10    | 0   | 1   | 11  |  |
| icte      | MET | 0     | 16  | 1   | 17  |  |
| Predicted | CTL | 3     | 3   | 80  | 86  |  |
|           | Sum | 13    | 19  | 82  | 114 |  |

Error rate 0.07 Hit rate 0.93

#### Leave-one-out validation

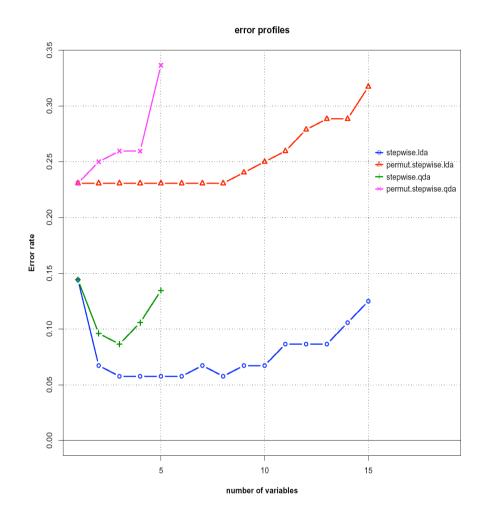
|           |     | Known |     |     |     |  |
|-----------|-----|-------|-----|-----|-----|--|
|           |     | РНО   | MET | CTL | Sum |  |
| 5         | РНО | 6     | 1   | 2   | 9   |  |
| icte      | MET | 3     | 13  | 1   | 17  |  |
| Predicted | CTL | 4     | 5   | 79  | 88  |  |
|           | Sum | 13    | 19  | 82  | 114 |  |

Error rate 0.14 Hit rate 0.86

#### Selection of variables

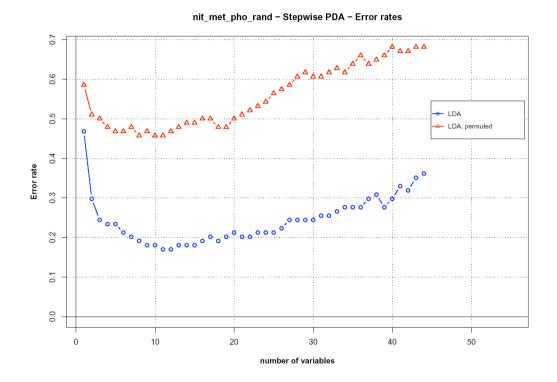
- When there are too many variables, the classification is less accurate.
- In particular, the number of variables must be much smaller than the number of elements in the training groups.
- In our case, we have 15 variables, but the PHO group contains only 13 genes.
- We select the best subset of variables via a stepwise procedure

# Stepwise discriminant analysis - error rate



- Even a random classification would still assign some objects to the correct group by chance.
- The random rate of correct assignation depends on
  - The relative size of the groups
  - The structure of the data
  - The number of variables
- The expected error rate can be estimated with a permutation test
  - The method is applied to the real data set, but the training labels are randomly assigned.

### Error rate - pattern counts



|          |         |     |        | Known |      |     |
|----------|---------|-----|--------|-------|------|-----|
|          |         | MET | NIT    | PHO   | RAND | SUM |
| 70       | MET     | 15  | 0      | 1     | 1    | 17  |
| te       | NIT     | 0   | 26     | 0     | 1    | 27  |
| redicted | PHO     | 0   | 0      | 9     | 0    | 9   |
| Pre      | RAND    | 5   | 5      | 3     | 28   | 41  |
| -        | SUM     | 20  | 31     | 13    | 30   | 94  |
|          | Errors  | 16  | 17.02% |       |      |     |
|          | Correct | 78  | 82.98% |       |      |     |

- Genes : NIT, PHO, MET + random sequences (RAND)
- 44 variables (pattern counts)
- Optimum: 7 variables
- Best variables
  - cttatc.gataag
  - cacgtg.cacgtg
  - aacgtg.cacgtt
  - acgngcg.cgcncgt
  - acgtga.tcacgt
  - ctgata.tatcag
  - agataa.ttatct
  - atcacg.cgtgat
  - acan<sub>14</sub>tgc.gcan<sub>14</sub>tgt
  - aacngtg.cacngtt
  - cacn<sub>2</sub>gac.gtcn<sub>2</sub>gtg
  - cagn<sub>2</sub>cgg.ccgn<sub>2</sub>ctg

# Predicted versus training class

- Each gene is plotted in a plane where the axes represent the two linear discriminant functions.
- The colour indicates the training class, the letter the predicted class.
- Misclassifications
  - One PHO gene (green) and one CTL gene (blue) are classified as MET
  - Four MET genes are classified as CTL.

## Pattern profiles of misclassified genes

- Each column represents a matrix score
  - □ 1-3: Pho4p
  - 4-6: Pho4p.cacgtg
  - 7-9: Pho4p.cacgtt
  - 10-12: Met4p
  - 13-15: Met31p
- The MET genes which were classified as CTL have
  - no good match for Met4p matrix.
  - a good match for Met31p matrix.
- The CTL and PHO genes classified as MET have a quite good match for Met4p matrix.

## Optimal conditions

- Pattern detection: 3 top scores for 5 position-weight matrices
- Linear Discriminant Analysis
- Forward selection procedure
- External validation with the leave-one-out method

#### 3 group classification

|          |         | Known |        |     |     |  |  |
|----------|---------|-------|--------|-----|-----|--|--|
|          |         | PHO   | MET    | CTL | SUM |  |  |
| þ        | PHO     | 7     | 0      | 0   | 7   |  |  |
| ct       | MET     | 1     | 12     | 1   | 14  |  |  |
| redicted | CTL     | 0     | 4      | 79  | 83  |  |  |
| P        | SUM     | 8     | 16     | 80  | 104 |  |  |
|          | Errors  | 6     | 5.77%  |     |     |  |  |
|          | Correct | 98    | 94.23% |     |     |  |  |

#### **PHO** against others

|          |         | Known |        |     |  |  |  |  |
|----------|---------|-------|--------|-----|--|--|--|--|
|          |         | PHO   | CTL    | SUM |  |  |  |  |
| þe       | PHO     | 7     | 0      | 7   |  |  |  |  |
| ct       | CTL     | 1     | 96     | 97  |  |  |  |  |
| redicted | SUM     | 8     | 96     | 104 |  |  |  |  |
| Pr       | Errors  | 1     | 0.96%  |     |  |  |  |  |
|          | Correct | 103   | 99.04% |     |  |  |  |  |

#### **MET** against others

|         |                      | Known  |  |
|---------|----------------------|--|--|
|         | MET                  | CTL  | SUM  |
| MET     | 13                   | 0  | 13   |
| CTL     | 3                    | 88   | 91   |
| SUM     | 16                   | 88   | 104  |
| Errors  | 3                    | 2.88%  |  |
| Correct | 101                  | 97.12%   |  |
|         | CTL<br>SUM<br>Errors | MET       13         CTL       3         SUM       16         Errors       3 | MET         CTL           MET         13         0           CTL         3         88           SUM         16         88           Errors         3         2.88% |

Gonze, D. et al.. 2005. Discrimination of yeast genes involved in methionine and phosphate metabolism on the basis of upstream motifs. *Bioinformatics* **21**: 3490-3500.

# Comparison of predicted and prior class

- Letters indicate the predicted class
- Colors indicate the prior class

# Profiles by predicted class



## Choice of the prior probabilities

- The classes may have different proportions between the sample and the population
- For example, we could decide, on the basis of our biological knowledge, that it is likely to have 1% rather than 11% of yeast gene responding to phosphate.

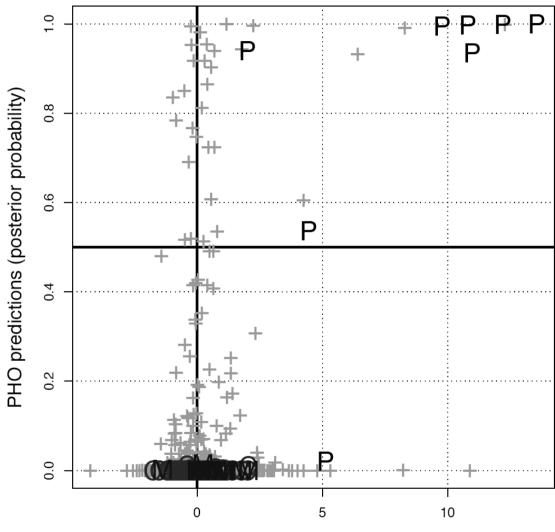
|              |        | Population         |                  |  |  |  |
|--------------|--------|--------------------|------------------|--|--|--|
| Class        | Sample | Priors from sample | Arbitrary priors |  |  |  |
| РНО          | 13     | 659                | 58               |  |  |  |
| 1110         | 11%    | 11%                | 1%               |  |  |  |
| MET          | 19     | 964                | 58               |  |  |  |
|              | 17%    | <b>17%</b>         | 1%               |  |  |  |
| CTL          | 82     | 4160               | 5667             |  |  |  |
|              | 72%    | <b>72</b> %        | 98%              |  |  |  |
| <b>TOTAL</b> | 114    | 5783               | 5783             |  |  |  |

# Discriminant analysis - prediction

- 138 genes predicted as methionine-regulated
- 64 genes predicted as phosphate-responding

|    |              | \$      |   |                    | poster | ior proba | abilities |  |
|----|--------------|---------|---|--------------------|--------|-----------|-----------|--|
| #  | ORF          | name    | training class                          | predicted<br>class | CTL    | MET       | PHO       | Description  |
| 1  | YBR093C      | PHO5    | PHO                                     | PHO                | 0.00%  | 0.00%     | 100.00%   | repressible acid phosphatase precursor                 |
| 2  | YML123C      | PHO84   | PHO                                     | PHO                | 0.01%  | 0.01%     | 99.99%    | high-affinity inorganic phosphate/H+ symporter         |
| 3  | YBR296C      | PHO89   | PHO                                     | PHO                | 0.02%  | 0.00%     | 99.98%    | Na+-coupled phosphate transport protein, high affinity |
| 4  | YEL017W      | YEL017w |   | PHO                | 0.04%  | 0.01%     | 99.94%    | hypothetical protein                                   |
| 5  | YHR137W      | ARO9    |   | PHO                | 0.08%  | 0.11%     | 99.81%    | aromatic amino acid aminotransferase II                |
| 6  | YHR136C      | SPL2    | PHO                                     | PHO                | 0.10%  | 0.13%     | 99.77%    | suppressor of plc1-delta                               |
| 7  | YGR233C      | PHO81   | PHO                                     | PHO                | 0.17%  | 0.06%     | 99.77%    | cyclin-dependent kinase inhibitor                      |
| 8  | YAR071W      | PHO11   | PHO                                     | PHO                | 0.25%  | 0.00%     |           | secreted acid phosphatase                              |
| 9  | YHR215W      | PHO12   |   | PHO                | 0.25%  | 0.00%     | 99.75%    | secreted acid phosphatase                              |
|    | YDR303C      | RSC3    |   | PHO                | 0.35%  | 0.01%     | 99.65%    | similarity to transcriptional regulator proteins       |
| 11 | YDL202W      | MRPL11  | ~~~~~                                   | PHO                | 0.60%  | 0.04%     | 99.36%    | ribosomal protein of the large subunit, mitochondrial  |
| 12 | YAR070C      | YAR070c |   | PHO                | 0.67%  | 0.01%     | 99.32%    | hypothetical protein                                   |
| 13 | YDR281C      | PHM6    |   | PHO                | 0.96%  | 0.01%     | 99.03%    | hypothetical protein, has a role in phosphate          |
|    |              | Š       |   |                    |        |           |           | metabolism   |
| 14 | YER073W      | ALD5    |   | PHO                | 1.06%  | 0.00%     | 98.94%    | aldehyde dehydrogenase (NAD+), mitochondrial           |
| 15 | YKR050W      | TRK2    | • | PHO                | 1.31%  | 0.01%     | 98.68%    | moderate-affinity potassium transport protein          |
| 16 | YKR048C      | NAP1    |   | PHO                | 1.39%  | 0.05%     | 98.56%    | nucleosome assembly protein I                          |
| 17 | YMR253C      | YMR253c |   | PHO                | 0.37%  | 1.10%     | 98.54%    | strong similarity to YPL264c                           |
| 18 | YMR255W      | GFD1    |   | PHO                | 0.37%  | 1.10%     | 98.54%    | protein of the nuclear pore complex                    |
| 19 | YNL113W      | RPC19   | •                                       | PHO                | 1.84%  | 0.05%     | 98.10%    | DNA-directed RNA polymerase I,III 16 KD subunit        |
| 20 | YNL115C      | YNL115c |   | PHO                | 1.84%  | 0.05%     | 98.10%    | weak similarity to S.pombe hypothetical protein        |
|    |              | 3       |   |                    |        |           |           | SPAC23C11  |
| 21 | YDR310C      | SUM1    |   | PHO                | 1.69%  | 1.24%     | 97.07%    | suppressor of SIR mutations                            |
| 22 | YDR311W      | TFB1    | *************************************** | PHO                | 1.69%  | 1.24%     | 97.07%    | TFIIH subunit (transcription initiation factor), 75 kD |
|    | YJR059W      | PTK2    |   | PHO                | 2.88%  | 0.07%     | 97.05%    | involved in polyamine uptake                           |
| 24 | YCR037C      | PHO87   | ndanananananan                          | PHO                | 0.04%  | 3.25%     | 96.71%    | member of the phosphate permease family                |
| 25 | YJR058C      | APS2    |   | PHO                | 3.88%  | 0.10%     | 96.02%    | AP-2 complex subunit, sigma2 subunit, 17 KD            |
| 26 | YCR098C      | GIT1    | »                                       | PHO                | 4.14%  | 0.18%     | 95.67%    | glycerophosphoinositol transporter                     |
| 27 | YOR347C      | PYK2    |   | PHO                | 4.97%  | 0.01%     | 95.02%    | pyruvate kinase, glucose-repressed isoform             |
| 28 | YCL054W      | SPB1    | <u> </u>                                | PHO                | 6.00%  | 0.21%     | 93.79%    | required for ribosome synthesis, putative methylase    |
| 29 | <br> YHR079C | IRE1    |   | PHO                | 6.40%  | 0.00%     | 93.60%    | protein kinase   |
| 30 | YAL002W      | VPS8    |   | PHO                | 9.54%  | 0.07%     | 90.39%    | vacuolar sorting protein, 134 kD                       |

#### PHO predictions versus microarray data

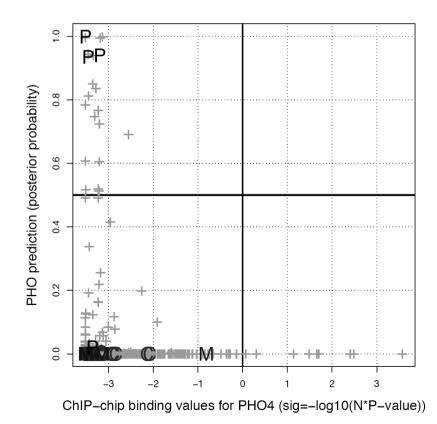


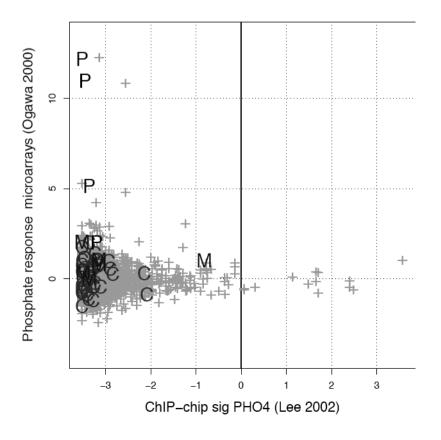
Phosphate response microarrays (Ogawa 2000)

- PHO predictions include most (but not all) of the phosphate-responding genes (microarray data, Ogawa 2000)
- There are many additional predictions which are not detected by microarrays
  - False positives ?
  - Genes responding to different conditions?

#### PHO predictions versus Chip-CHIP data (Lee, 2002)

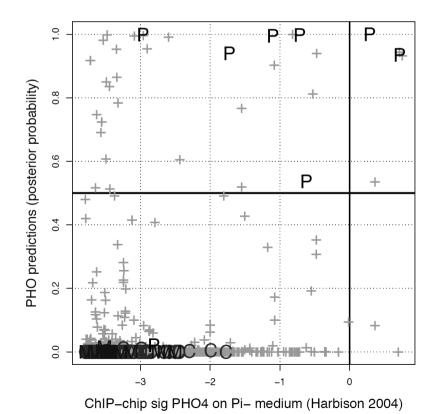
- There is not a single common gene between our PHO predictions and the Pho4p-bound promoters detected with the ChIP-chip technology by Lee et al, 2002)
- However, Lee results for Pho4p fail to detect
  - genes known to be regulated by Pho4p
  - Genes responding to phosphate in Ogawa (2000)
- Problem with the ChIP-chip experiment
  - was performed in rich medium -> Pho4p is inactive !!!





#### PHO predictions versus Chip-CHIP data (Harbison, 2004)

- In 2004, the same group performed new experiments with different environmental conditions (Harbison, 2004)
- There is a slightly better (but far from perfect) correspondence between ChIP-chip results and
  - Our PHO predictions
  - microarray data (Ogawa et al., 2000)
  - Annotated Pho4p target genes (P on the plots)

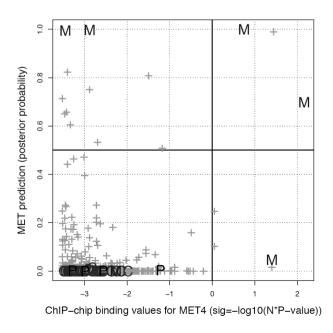


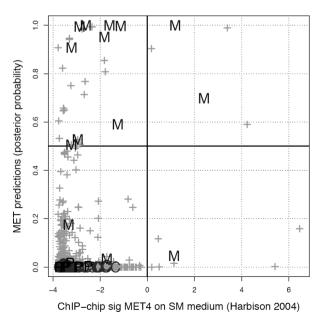
ChIP-chip PHO4 on Pi- medium (Harbison 2004)

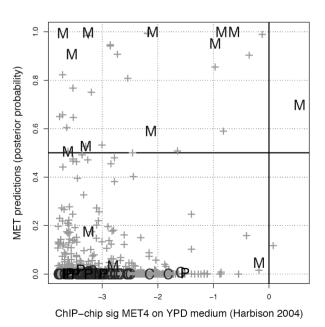
| #     | ORF                | name            | training class                            | predicted class | CTL                   | MET                                     | PHO            | Description  |
|-------|--------------------|-----------------|---|-----------------|-----------------------|---|----------------|--|
| 1     | YKL016C            | ATP7            |   | MET             | 0.01%                 | 99.99%                                  | 0.00%          | F1F0-ATPase complex, FO D subunit                              |
| 2     | YNL277W            | MET2            | MET                                       | MET             | 0.01%                 | 99.98%                                  | 0.00%          | homoserine O-acetyltransferase                                 |
| 3     | YBR213W            | MET8            | MET                                       | MET             | 0.02%                 | 99.98%                                  | 0.00%          | siroheme synthase  |
| 4     | YKL001C            | MET14           | MET                                       | MET             | 0.02%                 | 99.98%                                  | 0.00%          | ATP adenosine-5prime-phosphosulfate 3prime-                    |
|       |                    | 8<br>8          |   | 8<br>8          |                       |   |                | phosphotransferase   |
| 5     | YLR149C            | YLR149c         |   | MET             | 0.04%                 | 99.96%                                  | 0.00%          | weak similarity to hypothetical protein SPCC4G3.03 S.          |
|       |                    |                 |   | 8               |                       |   |                | pombe  |
| 6     | YER091C            | MET6            | MET                                       | MET             | 0.05%                 | 99.95%                                  | 0.00%          | 5-methyltetrahydropteroyltriglutamate                          |
|       |                    | , ;<br>,        |   | )<br>           |                       | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                | homocysteine methyltransferase                                 |
| 7     | YER092W            | IES5            |   | MET             | 0.05%                 | 99.95%                                  | 0.00%          | weak similarity to tryptophan synthase beta subunit -          |
|       |                    | 3               | <b>,,,,,,,</b>                            | 8<br>8          |                       |   |                | Aquifex aeolicus   |
| 8     | YLR150W            | STM1            |   | MET             | 0.06%                 | 99.94%                                  | 0.00%          | specific affinity for guanine-rich quadruplex nucleic          |
|       | VDL074C            |                 |   | 8<br>8<br>8     | 0.000/                | 00.040/                                 | 0.000/         | acids weak similarity to spindle pole body protein NUF1        |
|       | YDL074C<br>YJR010W | BRE1<br>MET3    | MET                                       | MET             | 0.09%<br><b>0.09%</b> | 99.91%<br><b>99.91%</b>                 | 0.00%<br>0.00% |  |
| L     |                    | 2               | MET                                       | MET             |                       |   | L              | sulfate adenylyltransferase                                    |
|       | YER125W            | RSP5<br>YGR154c |   | MET             | 0.16%                 | 99.84%                                  | 0.00%          | hect domain E3 ubiquitin-protein ligase                        |
| 12    | YGR154C            | 1 GR 1540       |   | MET             | 0.24%                 | 99.75%                                  | 0.01%          | strong similarity to hypothetical proteins YKR076w and YMR251w |
| 12    | YLL060C            | GTT2            |   | MET             | 0.26%                 | 99.74%                                  | 0.00%          | glutathione S-transferase                                      |
| h     | YIL046W            | MET30           | MET                                       | MET             | 0.20%                 | 99.74%                                  | 0.04%          | involved in regulation of sulfur assimilation genes            |
| 14    | TILU40VV           | METSU           | IVIEI                                     | 8 IVI⊑I         | 0.22 /0               | 33.14/0                                 | 0.04 /0        | and cell cycle progression                                     |
| 15    | <br> YIL047C       | SYG1            |   | MET             | 0.22%                 | 99.74%                                  | 0.04%          | member of the major facilitator superfamily                    |
|       | YOR367W            | SCP1            |   | MET             | 0.26%                 | 99.74%                                  | 0.00%          | similarity to mammalian smooth muscle protein SM22             |
|       | TOROUT W           | 001 1           |   | , .v            | 0.2070                | 00.7470                                 | 0.0070         | and chicken calponin alpha                                     |
| 17    | YFL018C            | LPD1            |   | MET             | 0.27%                 | 99.69%                                  | 0.04%          | dihydrolipoamide dehydrogenase precursor                       |
| L     | YHR001W-A          | OCR10           |   | MET             | 0.32%                 | 99.67%                                  | 0.00%          | ubiquinolcytochrome-c reductase 8.5 kDa subunit                |
|       | YML122C            | YML122c         |   | MET             | 0.24%                 | 99.66%                                  | 0.10%          | hypothetical protein   |
| L     | . L                | YER091c-a       | ,<br>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | MET             | 0.43%                 | 99.56%                                  | 0.01%          | hypothetical protein - identified by SAGE                      |
|       | YIL074C            | SER33           |   | MET             | 0.44%                 | 99.52%                                  | 0.04%          | 3-phosphoglycerate dehydrogenase                               |
| haman | YFL017W-A          |                 | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,    | MET             | 0.51%                 | 99.46%                                  | 0.03%          | snRNP G protein (the homologue of the human Sm-G)              |
|       |                    | 3               |   | 8               | 0.0 . 70              | 00.1070                                 | 0.0070         |  |
| 23    | YPL250C            | ICY2            | ************                              | MET             | 0.05%                 | 99.43%                                  | 0.52%          | weak similarity to YMR195w                                     |
| 1     | YDL059C            | RAD59           |   | MET             | 0.57%                 | 99.36%                                  | 0.07%          | recombination and DNA repair protein                           |
| -     | YGR204W            | ADE3            | <u> </u>                                  | MET             | 0.58%                 | 99.30%                                  | 0.12%          | C1-tetrahydrofolate synthase (trifunctional                    |
|       |                    | 3               |   | 8               |                       |   |                | enzyme),cytoplasmic  |
| 26    | YGR155W            | CYS4            | <del> </del>                              | MET             | 0.85%                 | 99.12%                                  | 0.04%          | cystathionine beta-synthase                                    |
| 1     | YDL058W            | USO1            |   | MET             | 0.95%                 | 99.04%                                  | 0.01%          | intracellular protein transport protein                        |

### MET predictions versus chip-chip data

- We compared MET predictions with ChIP-chip data
  - Lee (2002): rich medium
  - Harbison (2004): SM medium
  - Harbison (2004): YPD medium
- The correspondences are rather poor
- Even though our predictions contain a rate of false positives, and miss some MET genes, the correspondence with annotated MET is better than for genes detected experimentally with the ChIP-chip method!







### Summary - discriminant analysis

- Discriminant analysis is based on a set of quantitative predictor variables, and a single nominal criterion variable.
- A sample is used to build a set of discriminant functions (calibration), which is then used to assign additional units to classes (prediction).
- The discriminant function can be either linear or quadratic. Linear discriminant analysis relies on the assumption that the different classes have similar covariance matrices.
- The accuracy of the discriminant function can be evaluated in different ways.
  - On the whole sample (internal approach)
  - Splitting of the sample into training and testing set (holdout approach)
  - Successively discard each sample unit, build a discriminant function and predict the discarded unit (leave-one-out)
- The efficiency decreases with the p/N ratio. When this ratio is too low, there is a problem of over-fitting.
- Stepwise approaches consist in selecting the subset of variables which raises the highest efficiency.

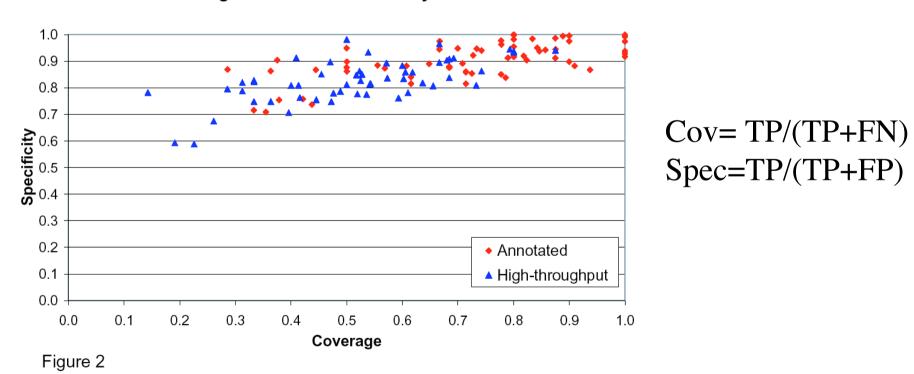
### Summary - Gene classification

- To some extend, it is possible to classify genes according to regulatory signals, but there are different sources of errors
  - a single pattern is poorly informative
  - combining multiple patterns returns however interesting results.
- Unsupervised classification (clustering)
  - simple counts of selected patterns already return some interesting results
  - the choice of an appropriate metrics is critical
- Supervised classification (discriminant analysis)
  - training sets are generally small, when they exist
  - if there are many variables, feature selection is necessary to avoid over-fitting
  - if correctly used, it is always more accurate than unsupervised classification

### Evaluation with annotated regulons

- All yeast regulons from TRANSFAC + additional annotation from aMAZE
- Pattern discovery with oligo-analysis + dyad-analysis
- Discriminant analysis was applied to regulons where a motif with sig >= 1 was detected.

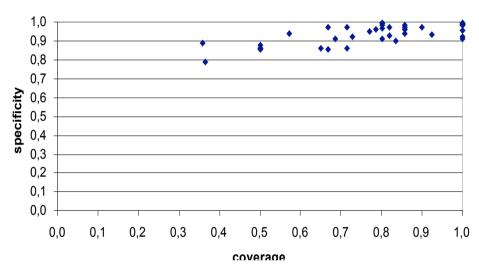
#### Regulons discriminant analysis



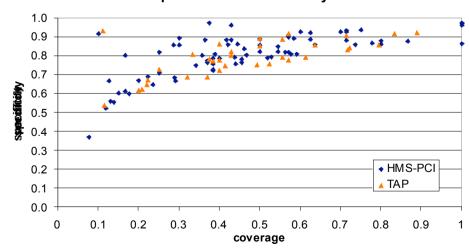
Simonis et al. (2004). Transcriptional regulation of protein complexes in yeast. Genome Biol 5: R33.

# Analysis of protein complexes

#### Annotated regulons discriminant analysis



#### Complexes discriminant analysis



## Replication fork complexes

| COMPLEX                      | GENE  | ORF     | P(da)      |
|------------------------------|-------|---------|------------|
|                              | POL1  | YNL102W | 0.95155315 |
| DNA polymerase alphal        | PRI2  | YKL045W | 0.92757655 |
| primase complex              | POL12 | YBL035C | 0.8740073  |
|                              | PRI1  | YIR008C | 0.14922267 |
|                              | POL32 | YJR043C | 0.88528264 |
| DNA polymerase deltaIII      | HYS2  | YJR006W | 0.79712089 |
|                              | CDC2  | YDL102W | 0.79079457 |
|                              | POL2  | YNL262W | 0.85845235 |
| DNA polymerase epsilonII     | DPB2  | YPR175W | 0.7575824  |
|                              | DPB3  | YBR278W | 0.64557167 |
| Exonucleases                 | RAD27 | YKL113C | 0.99432872 |
| PCNA                         | POL30 | YBR088C | 0.69668482 |
|                              | RFA1  | YAR007C | 0.98673268 |
| Replication factor A complex | RFA2  | YNL312W | 0.87875323 |
|                              | RFA3  | YJL173C | 0.73210048 |
| Topoisomerases               | TOP1  | YOL006C | 0.82395424 |
| Topoisomerases               | TOP2  | YNL088W | 0.73032433 |
| DNA helicases                | ECM32 | YER176W | 0.23499023 |
| DIVA Helicases               | DNA2  | YHR164C | 0.05406037 |
| DNA ligases                  | CDC9  | YDL164C | 0.03708159 |
| DNA polymerase betalV        | POL4  | YCR014C | 0.03919368 |
| DNA polymerase gamma         | MIP1  | YOR330C | 0.03182497 |
| DNA polymerase zeta          | REV7  | YIL139C | 0.05724429 |
| DIVA polymerase zeta         | REV3  | YPL167C | 0.04090946 |
|                              | RFC4  | YOL094C | 0.50870756 |
|                              | RFC5  | YBR087W | 0.33589936 |
| Replication factor C complex | RFC3  | YNL290W | 0.26089619 |
|                              | RFC2  | YJR068W | 0.10584985 |
|                              | RFC1  | YOR217W | 0.05246123 |
| RNase H1                     | RNH1  | YMR234W | 0.02989109 |

- The replication fork complex regroups
   30 genes regrouped in 14 subunits.
- Discriminant analysis classifies the genes in two groups.
  - Genes predicted as regulated by the discovered motifs belong to 7 of the subunits (15 out of 16 genes)
  - Genes predicted as non-regulated by the discovered motifs belong to the 7 other subunits (13 out of 14 genes).

#### Gene classification

# Supplementary material

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# Discriminant analysis - validation

Internal validation

Leave-one-out



## Flexible discriminant analysis - pattern counts

- Since we know in advance which genes belong to which family, we can use this information to train a program.
- This is called supervised classification.
- There are multiples approaches to supervised classification.
- Results obtained by Flexible Discriminant Analysis (FDA)

|           | ,       | Con | fusion tab | le  |     |
|-----------|---------|-----|------------|-----|-----|
|           |         |     | Kno        | wn  |     |
|           |         | MET | NIT        | PHO | SUM |
| þ         | MET     | 20  | 0          | 0   | 20  |
| ict       | NIT     | 0   | 31         | 1   | 32  |
| Predicted | PHO     | 0   | 0          | 12  | 12  |
| P         | SUM     | 20  | 31         | 13  | 64  |
|           | Errors  | 1   | 1.56%      |     |     |
|           | Correct | 63  | 98.44%     |     |     |

# Flexible discriminant analysis - matrix scores

- Discrimination between MET and PHO genes
- Validation on the basis of the training set (Leaveone-out approach)

| Confusion table  |         |       |        |     |     |  |  |
|------------------|---------|-------|--------|-----|-----|--|--|
|                  |         | Known |        |     |     |  |  |
|                  |         | CTL   | MET    | PHO | SUM |  |  |
| <b>Predicted</b> | CTL     | 80    | 3      | 3   | 86  |  |  |
|                  | MET     | 1     | 16     | 0   | 17  |  |  |
|                  | PHO     | 1     | 0      | 10  | 11  |  |  |
|                  | SUM     | 82    | 19     | 13  | 114 |  |  |
|                  | Errors  | 8     | 7.02%  |     |     |  |  |
|                  | Correct | 106   | 92.98% |     |     |  |  |

#### Multivariate data with a nominal criterion variable

- One disposes of a set of objects (the sample) which have been previously assigned to predefined classes.
- Each object is characterized by a series of quantitative variables (the predictors), and its class is indicated in a separated column (the criterion variable).

#### **Predictor variables**

#### **Criterion variable**

|          | score 1                 | score 2                   | <br>score 15                | class |
|----------|-------------------------|---------------------------|-----------------------------|-------|
| gene 1   | X <sub>1,1</sub>        | X <sub>2,1</sub>          | <br><b>X</b> <sub>p,1</sub> | PHO   |
| gene 2   | X <sub>1,2</sub>        | X <sub>2,2</sub>          | <br><b>X</b> <sub>p,2</sub> | PHO   |
| gene 3   | X <sub>1,3</sub>        | X <sub>2,3</sub>          | <br><b>X</b> <sub>p,3</sub> | PHO   |
| •••      |                         |                           | <br>                        | •••   |
| gene i   | <b>X</b> <sub>1,i</sub> | <b>X</b> <sub>2,i</sub>   | <br>$\mathbf{x}_{p,i}$      | MET   |
| gene i+1 | X <sub>1,i+1</sub>      | <b>X</b> <sub>2,i+1</sub> | <br>X <sub>p,i+1</sub>      | MET   |
| gene i+2 | X <sub>1,i+2</sub>      | X <sub>2,i+2</sub>        | <br>X <sub>p,i+2</sub>      | MET   |
| •••      |                         |                           |                             |       |
| gene n-1 | X <sub>1,n-1</sub>      | X <sub>2,n-1</sub>        | <br>X <sub>p,n-1</sub>      | CTL   |
| gene n   | <b>X</b> <sub>1,n</sub> | X <sub>2,n</sub>          | <br>$\mathbf{X}_{p,n}$      | CTL   |

#### Discriminant analysis - calibration and prediction

#### Calibration phase

The sample is used to build a discriminant function

#### Prediction phase

 The discriminant function is used to predict the value of the criterion variable for new objects

#### **Predictor variables**

#### **Criterion variable**

|             | score 1         | score 2                | ••• | score p         | class |
|-------------|-----------------|------------------------|-----|-----------------|-------|
| gene 1      | X <sub>11</sub> | <b>X</b> <sub>21</sub> |     | x <sub>p1</sub> | PHO   |
| gene 2      | X <sub>12</sub> | X <sub>22</sub>        |     | X <sub>p2</sub> | PHO   |
| gene 3      | X <sub>13</sub> | X <sub>23</sub>        |     | X <sub>p3</sub> | MET   |
|             |                 |                        |     |                 |       |
| gene ntrain | X <sub>1n</sub> | X <sub>2n</sub>        |     | Χ <sub>pn</sub> | CTL   |

#### **Predictor variables**

#### **Criterion variable**

|            | score 1         | score 2                | ••• | score p                | class |
|------------|-----------------|------------------------|-----|------------------------|-------|
| gene 1     | X <sub>11</sub> | <b>X</b> <sub>21</sub> |     | X <sub>p1</sub>        | ?     |
| gene 2     | X <sub>12</sub> | <b>X</b> <sub>22</sub> |     | X <sub>p2</sub>        | ?     |
| gene 3     | X <sub>13</sub> | X <sub>23</sub>        |     | <b>X</b> <sub>p3</sub> | ?     |
|            |                 |                        |     |                        |       |
| gene npred | X <sub>1n</sub> | X <sub>2n</sub>        |     | <b>X</b> pn            | ?     |

# Regulatory motif profiles

- Each unit on the X axis represents one matrix (5 matrices, 3 scores per matrix)
- Y axis gives the top scores
- MET genes have higher scores in columns 10-15 (Met4p and Met31p matrices)
- PHO genes have higher scores in columns 1-9 (Pho4p matrices)