# Position-specific scoring matrices (PSSM)

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#### Introduction

- In the biological literature, the binding specificity of a transcription factor is often represented with a consensus string, which can be strict (e.g. CAGTGggg) or include some ambiguous residues (e.g. CACGTW).
- This representation is convenient to speak about a TF binding specificity, but it is by no way operational to predict TFBS.
- We describe in the following slides the theoretical grounds of the most commonly used representation models for transcription factor binding specificity: position-specific scoring matrices (TFBM).

### Consensus representation

- The TRANSFAC database contains 8 binding sites for the yeast transcription factor Pho4p
  - 5/8 contain the core of high-affinity binding sites (CACGTG)
  - 3/8 contain the core of medium-affinity binding sites (CACGTT)
- The IUPAC ambigous nucleotide code allows to represent variable residues.
- 15 letters to represent any possible combination between the 4 nucleotides (24 1=15).
- This representation however gives a poor idea of the relative importance of residues.

R06098	\TCA <b>cacgtg</b> gga\
R06099	\GGC <b>CACGTG</b> CAG\
R06100	\TGA <b>cacgtg</b> ggT\
R06102	\CAG <b>CACGTG</b> GGG\
R06103	\TTC <b>CACGTG</b> CGA\
R06104	\ACG <b>CACGTT</b> GGT\
R06097	\CAG <b>CACGTT</b> TTC\
R06101	\TAC <b>CACGTT</b> TTC\
Cons	nnVCACGTKBDn

1110	10 ambinuara	
_		nucleotide code
Α	Α	<b>A</b> denine
С	С	Cytosine
G	G	<b>G</b> uanine
Т	T	<b>T</b> hymine
R	A or G	pu <b>R</b> ine
Υ	C or T	p <b>Y</b> rimidine
W	<b>A</b> or <b>T</b>	<b>W</b> eak hydrogen bonding
S	G or C	Strong hydrogen bonding
M	A or C	aMino group at common position
K	<b>G</b> or <b>T</b>	Keto group at common position
Н	A, C or T	not <b>G</b>
В	G, C or T	not <b>A</b>
V	G, A, C	not <b>T</b>
D	G, A or T	not <b>C</b>
N	<b>G</b> , <b>A</b> , <b>C</b> or <b>T</b>	aNy

#### Regulatory Sequence Analysis

# From alignments to weights

### Building a position-specific scoring matrix from a collection of sites

#### Alignment of Pho4p binding sites (TRANSFAC annotations)

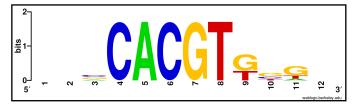
R06098	T	С	Α	С	A	С	G	T	G	G	G	Α	
R06099	G	G	С	С	A	С	G	T	G	С	Α	G	
R06100	T	G	Α	С	A	С	G	T	G	G	G	Т	
R06102	C	A	G	С	A	С	G	T	G	G	G	G	
R06103	T	Т	С	С	A	С	G	T	G	С	G	A	
R06104	Α	С	G	С	A	С	G	T	T	G	G	Т	
R06097	C	Α	G	C	A	C	G	T	T	T	T	С	
R06101	Т	А	С	С	A	С	G	T	T	т	т	С	

#### Count matrix (TRANSFAC matrix F\$PHO4\_01)

Residue\position	1	2	3	4	5	6	7	8	9	10	11	12
A	1	3	2	0	8	0	0	0	0	0	1	2
C	2	2	3	8	0	8	0	0	0	2	0	2
G	1	2	3	0	0	0	8	0	5	4	5	2
Т	4	1	0	0	0	0	0	8	3	2	2	2
Sum	8	8	8	8	8	8	8	8	8	8	8	8

#### Tom Schneider's sequence logo

(generated with Web Logo <a href="http://weblogo.berkeley.edu/logo.cgi">http://weblogo.berkeley.edu/logo.cgi</a>)



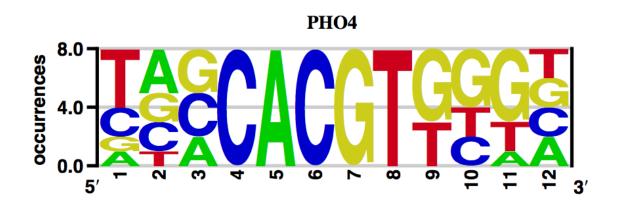
#### Residue count matrix

#### Count matrix (TRANSFAC matrix F\$PHO4\_01)

Residue\position	1	2	3	4	5	6	7	8	9	10	11	12
Α	1	3	2	0	8	0	0	0	0	0	1	2
C	2	2	3	8	0	8	0	0	0	2	0	2
G	1	2	3	0	0	0	8	0	5	4	5	2
Т	4	1	0	0	0	0	0	8	3	2	2	2
Sum	8	8	8	8	8	8	8	8	8	8	8	8

#### Tom Schneider's sequence logo

(generated with Web Logo <a href="http://weblogo.berkeley.edu/logo.cgi">http://weblogo.berkeley.edu/logo.cgi</a>)



### Frequency matrix

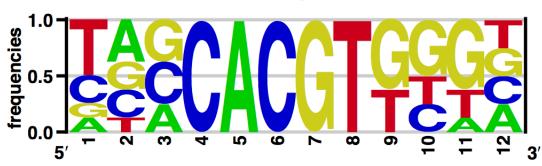
Residue\position	1	2	3	4	5	6	7	8	9	10	11	12
Α	0,125	0,375	0,250	0,000	1,000	0,000	0,000	0,000	0,000	0,000	0,125	0,250
C	0,250	0,250	0,375	1,000	0,000	1,000	0,000	0,000	0,000	0,250	0,000	0,250
G	0,125	0,250	0,375	0,000	0,000	0,000	1,000	0,000	0,625	0,500	0,625	0,250
Т	0,500	0,125	0,000	0,000	0,000	0,000	0,000	1,000	0,375	0,250	0,250	0,250
Sum	1,00	1,00	1,00	1,00	1,00	1,00	1,00	1,00	1,00	1,00	1,00	1,00

$$f_{i,j} = \frac{n_{i,j}}{\sum_{i=1}^{A} n_{i,j}}$$

 $A \\ n_{i,j,} \\ p_i \\ f_{i,i}$ 

alphabet size (=4) occurrences of residue i at position j prior residue probability for residue i relative frequency of residue i at position j

#### **PHO4**



### Count matrix with pseudo-count

#### 1st option: identically distributed pseudo-weight (equiprobable residue priors)

Count matrix w	ith pse	udo-cc	unt		k= 1						Equiprobable residues				
Residue\position	1	2	3	4	5	6	7	8	9	10	11	12	Prior (pi)		
A	1,25	3,25	2,25	0,25	8,25	0,25	0,25	0,25	0,25	0,25	1,25	2,25	0,25		
С	2,25	2,25	3,25	8,25	0,25	8,25	0,25	0,25	0,25	2,25	0,25	2,25	0,25		
G	1,25	2,25	3,25	0,25	0,25	0,25	8,25	0,25	5,25	4,25	5,25	2,25	0,25		
Т	4,25	1,25	0,25	0,25	0,25	0,25	0,25	8,25	3,25	2,25	2,25	2,25	0,25		
Sum	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	1,00		

$$f'_{i,j} = \frac{n_{i,j} + k/A}{\sum_{i=1}^{A} n_{i,j} + k}$$

#### 2nd option: pseudo-weights distributed according to residue-specific priors

Count matrix w	<u>rith pse</u>	<u>udo-cc</u>	ount				k= 1 Specific nu					cleotide frequencies		
Residue\position	1	2	3	4	5	6	7	8	9	10	11	12	Prior (pi)	
A	1,33	3,33	2,33	0,33	8,33	0,33	0,33	0,33	0,33	0,33	1,33	2,33	0,33	
C	2,17	2,17	3,17	8,17	0,17	8,17	0,17	0,17	0,17	2,17	0,17	2,17	0,17	
G	1,17	2,17	3,17	0,17	0,17	0,17	8,17	0,17	5,17	4,17	5,17	2,17	0,17	
T	4,33	1,33	0,33	0,33	0,33	0,33	0,33	8,33	3,33	2,33	2,33	2,33	0,33	
Sum	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	1,00	

$$f'_{i,j} = \frac{n_{i,j} + p_i k}{\sum_{i=1}^{A} n_{i,j} + k}$$

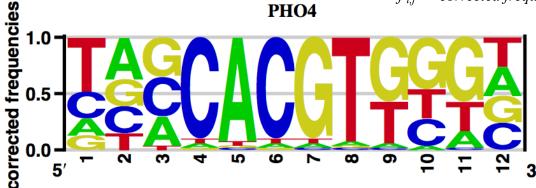
A alphabet size (=4)  $n_{i,j}$  occurrences of residue i at position j  $p_i$  prior residue probability for residue i  $f_{i,j}$  relative frequency of residue i at position j k pseudo weight (arbitrary, 1 in this case)  $f_{i,j}$  corrected frequency of residue i at position j

### Corrected frequency matrix

Frequency mat	<u>rix corr</u>	ected v	vith pse	eudo-count k= 1 Specific nucleotide freq								equencies	
Residue\position	1	2	3	4	5	6	7	8	9	10	11	12	Prior (pi)
A	0,148	0,370	0,259	0,037	0,926	0,037	0,037	0,037	0,037	0,037	0,148	0,259	0,33
С	0,241	0,241	0,352	0,908	0,019	0,908	0,019	0,019	0,019	0,241	0,019	0,241	0,17
G	0,130	0,241	0,352	0,019	0,019	0,019	0,908	0,019	0,574	0,463	0,574	0,241	0,17
T	0,481	0,148	0,037	0,037	0,037	0,037	0,037	0,926	0,370	0,259	0,259	0,259	0,33
Sum	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,00

$$f'_{i,j} = \frac{n_{i,j} + p_i k}{\sum_{i=1}^{A} n_{i,j} + k}$$

A alphabet size (=4)  $n_{i,j}$ , occurrences of residue i at position j  $p_i$  prior residue probability for residue i  $f_{i,j}$  relative frequency of residue i at position j k pseudo weight (arbitrary, 1 in this case)  $f_{i,j}$  corrected frequency of residue i at position j



### Weight matrix (Bernoulli model)

Weight matrix							k=	1	S	pecific	nucled	tide fre	quencies
Residue\position	1	2	3	4	5	6	7	8	9	10	11	12	Prior (pi)
Α	-0,35	0,05	-0,11	-0,95	0,45	-0,95	-0,95	-0,95	-0,95	-0,95	-0,35	-0,11	0,33
С	0,15	0,15	0,32	0,73	-0,95	0,73	-0,95	-0,95	-0,95	0,15	-0,95	0,15	0,17
G	-0,12	0,15	0,32	-0,95	-0,95	-0,95	0,73	-0,95	0,53	0,44	0,53	0,15	0,17
Т	0,16	-0,35	-0,95	-0,95	-0,95	-0,95	-0,95	0,45	0,05	-0,11	-0,11	-0,11	0,33
Sum	-0,150	0,004	-0,427	-2,135	-2,415	-2,135	-2,135	-2,415	-1,330	-0,472	-0,880	0,093	1,00

$$f'_{i,j} = \frac{n_{i,j} + p_i k}{\sum_{r=1}^{A} n_{r,j} + k}$$

$$W_{i,j} = \ln\left(\frac{f_{i,j}^{'}}{p_i}\right)$$

A alphabet size (=4)  $n_{i,j}$  occurrences of residue i at position j  $p_i$  prior residue probability for residue i  $f_{i,j}$  relative frequency of residue i at position j k pseudo weight (arbitrary, 1 in this case)  $f'_{i,j}$  corrected frequency of residue i at position j  $W_{i,j}$  weight of residue i at position j

#### The use of a weight matrix relies on Bernoulli assumption

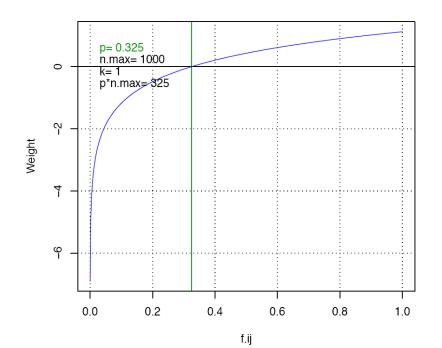
If we assume, for the background model, an independent succession of nucleotides (Bernoulli model), the weight  $W_S$  of a sequence segment S is simply the sum of weights of the nucleotides at successive positions of the matrix  $(W_{i,j})$ .

In this case, it is convenient to convert the PSSM into a weight matrix, which can then be used to assign a score to each position of a given sequence.

### Properties of the weight function

$$W_{i,j} = \ln \left( \frac{f'_{i,j}}{p_i} \right)$$

$$f'_{i,j} = \frac{n_{i,j} + p_i k}{\sum_{i=1}^{A} n_{i,j} + k} \qquad \sum_{i=1}^{A} f'_{i,j} = 1$$



- The weight is
  - positive when  $f'_{i,j} > p_i$ (favourable positions for the binding of the transcription factor)
  - negative when  $f'_{i,j} < p_i$  (unfavourable positions)

#### Regulatory Sequence Analysis

## Information content

### Shannon uncertainty

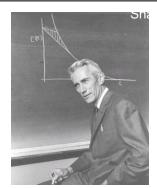
- Shannon uncertainty
  - Hs(j): uncertainty of a column of a PSSM
  - Hg: uncertainty of the background (e.g. a genome)
- Special cases of uncertainty (for a 4 letter alphabet)
  - min(H)=0
    - No uncertainty at all: the nucleotide is completely specified (e.g. p={1,0,0,0})
  - □ H=1
    - Uncertainty between two letters (e.g. p={0.5,0,0,0.5})
  - max(H) = 2 (Complete uncertainty)
    - One bit of information is required to specify the choice between each alternative (e.g. p={0.25,0.25,0.25,0.25}).
    - Two bits are required to specify a letter in a 4-letter alphabet.
- R<sub>sec</sub>
  - Schneider (1986) defines an information content based on Shannon's uncertainty.
- R\*<sub>seq</sub>
  - For skewed genomes (i.e. unequal residue probabilities),
     Schneider recommends an alternative formula for the information content.
  - This is the formula that is nowadays used.

$$H_s(j) = -\sum_{i=1}^{A} f_{i,j} \log_2(f_{i,j})$$

$$H_g = -\sum_{i=1}^A p_i \log_2(p_i)$$

$$R_{seq}(j) = H_g - H_s(j) \qquad R_{seq} =$$

$$R_{seq}^*(j) = \sum_{i=1}^A f_{i,j} \log_2 \left(\frac{f_{i,j}}{p_i}\right)$$
  $R_{seq}^* = \sum_{j=1}^w R_{seq}^*(j)$ 



### Information content of a PSSM

Information cor	ntent m	atrix					k= 1				Specific nucleotide frequencies				
Residue\position	1	2	3	4	5	6	7	8	9	10	11	12	Prior (pi)		
A	-0,12	0,04	-0,06	-0,08	0,95	-0,08	-0,08	-0,08	-0,08	-0,08	-0,12	-0,06	0,33		
С	0,08	0,08	0,26	1,52	-0,04	1,52	-0,04	-0,04	-0,04	0,08	-0,04	0,08	0,17		
G	-0,03	0,08	0,26	-0,04	-0,04	-0,04	1,52	-0,04	0,70	0,46	0,70	0,08	0,17		
Т	0,18	-0,12	-0,08	-0,08	-0,08	-0,08	-0,08	0,95	0,04	-0,06	-0,06	-0,06	0,33		
Sum	0,112	0,092	0,370	1,318	0,791	1,318	1,318	0,791	0,620	0,405	0,476	0,043	1,00		

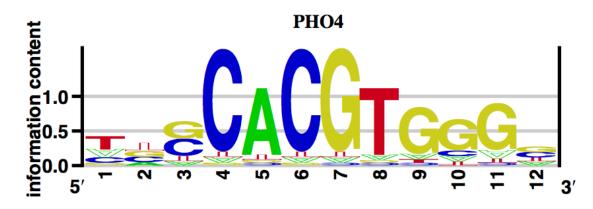
$$f'_{i,j} = \frac{n_{i,j} + p_i k}{\sum_{i=1}^{A} n_{i,j} + k}$$

$$I_{i,j} = f'_{i,j} \ln \left( \frac{f'_{i,j}}{p_i} \right)$$

$$I_{j} = \sum_{i=1}^{A} I_{i,j}$$

$$I_{matrix} = \sum_{j=1}^{w} \sum_{i=1}^{A} I_{i,j}$$

A alphabet size (=4)  $n_{i,j}$ , occurrences of residue i at position j w matrix width (=12)  $p_i$  prior residue probability for residue i  $f_{i,j}$  relative frequency of residue i at position j k pseudo weight (arbitrary, 1 in this case)  $f'_{i,j}$  corrected frequency of residue i at position j  $W_{i,j}$  weight of residue i at position j  $I_{i,j}$  information of residue i at position j

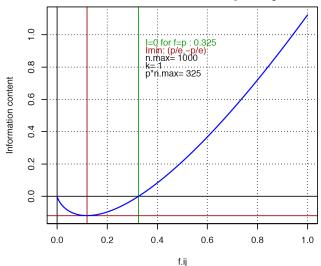


### Information content $I_{ii}$ of a cell of the matrix

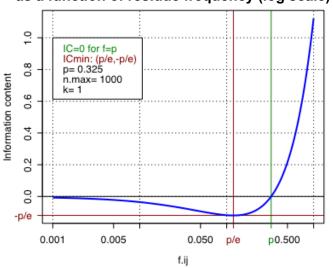
- For a given cell of the matrix
  - □  $I_{ij}$  is positive when  $f'_{ij} > p_i$  (i.e. when residue i is more frequent at position j than expected by chance)
  - $\Box$   $I_{ij}$  is negative when  $f'_{ij} < p_i$
  - $lue{I}_{ij}$  tends towards 0 when  $f'_{ij}$  -> 0

because  $\lim_{x\to 0} (x \ln(x)) = 0$ 

## Information content as a function of residue frequency



### Information content as a function of residue frequency (log scale)



#### Information content of a column of the matrix

- For a given column i of the matrix
  - The information of the column  $(I_j)$  is the sum of information of its cells.
  - $\Box$   $I_i$  is always positive
  - If  $I_j$  is 0 when the frequency of all residues equal their prior probability  $(f_{ij}=p_i)$
  - $\Box$   $I_i$  is maximal when
    - the residue i<sub>m</sub> with the lowest prior probability has a frequency of 1
       (all other residues have a frequency of 0)
    - and the pseudo-weight is null (k=0).

$$I_{j} = \sum_{i=1}^{A} I_{i,j} = \sum_{i=1}^{A} f'_{i,j} \ln \left( \frac{f'_{i,j}}{p_{i}} \right)$$

$$i_m = \operatorname{arg\,min}_i(p_i) \qquad k = 0$$

$$\operatorname{max}(I_j) = 1 \cdot \ln\left(\frac{1}{p_i}\right) = -\ln(p_i)$$

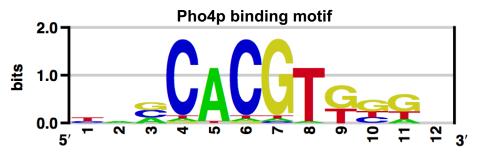
### Schneider logos

- Schneider & Stephens(1990) propose a graphical representation based on his previous entropy (H) for representing the importance of each residue at each position of an alignment. He provides a new formula for Rseq
  - Hs(j) uncertainty of column j
  - Rseq(j) "information content" of column j (beware, this definition differs from Hertz' information content)
  - e(n)correction for small samples (pseudo-weight)
- Remarks
  - This information content does not include any correction for the prior residue probabilities (pi)
  - This information content is expressed in bits.
- Boundaries
  - min(Rseq)=0 equiprobable residues
  - max(Rseq)=2 perfect conservation of 1 residue with a pseudo-weight of 0,
- Sequence logos can be generated
  - from aligned sequences on the Weblogo server <a href="http://weblogo.berkeley.edu/logo.cgi">http://weblogo.berkeley.edu/logo.cgi</a>
  - □ From matrices or sequences on enologos <a href="http://www.benoslab.pitt.edu/cgi-bin/enologos/enologos.cgi">http://www.benoslab.pitt.edu/cgi-bin/enologos/enologos.cgi</a>

$$H_s(j) = -\sum_{i=1}^{A} f_{ij} \log_2(f_{ij})$$

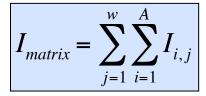
$$R_{seq}(j) = 2 - H_s(j) + e(n)$$

$$h_{ij} = f_{ij}R_{seq}(j)$$



#### Information content of the matrix

- The total information content represents the capability of the matrix to make the distinction between a binding site (represented by the matrix) and the background model.
- The information content also allows to estimate an upper limit for the expected frequency of the binding sites in random sequences.
- The pattern discovery program consensus (developed by Jerry Hertz) optimises the information content in order to detect over-represented motifs.
- Note that this is not the case of all pattern discovery programs: the gibbs sampler algorithm optimizes a log-likelihood.

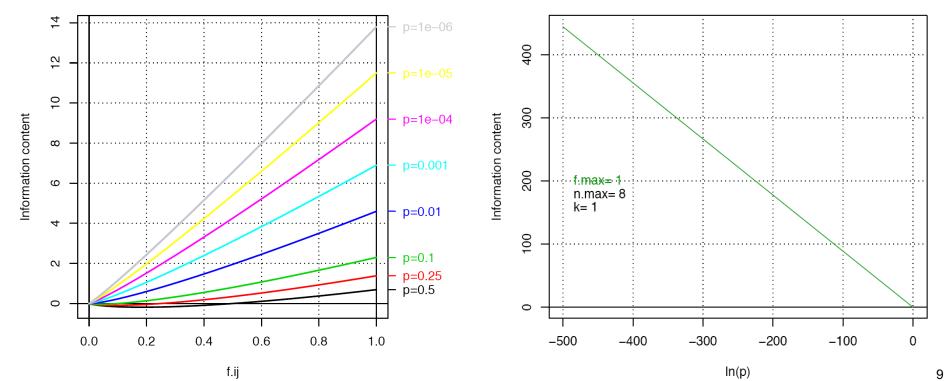


$$P(site) \le e^{-I_{matrix}}$$

Hertz and Stormo (1999). Identifying DNA and protein patterns with statistically significant alignments of multiple sequences. Bioinformatics 15: 563-77. PMIS 1

### Information content: effect of prior probabilities

- The upper bound of  $I_i$  increases when  $p_i$  decreases
- The information content, as defined by Gerald Hertz, has thus no upper bound.



#### References - PSSM information content

- Seminal articles by Tom Schneider
  - Schneider, T.D., G.D. Stormo, L. Gold, and A. Ehrenfeucht. 1986. Information content of binding sites on nucleotide sequences. J Mol Biol 188: 415-431.
  - Schneider, T.D. and R.M. Stephens. 1990. Sequence logos: a new way to display consensus sequences. Nucleic Acids Res 18: 6097-6100.
  - Tom Schneider's publications online
    - http://www.lecb.ncifcrf.gov/~toms/paper/index.html
- Seminal article by Gerald Hertz
  - Hertz, G.Z. and G.D. Stormo. 1999. Identifying DNA and protein patterns with statistically significant alignments of multiple sequences. Bioinformatics 15: 563-577.
- Software tools to draw sequence logos
  - Weblogo
    - http://weblogo.berkeley.edu/logo.cgi
  - Enologos
    - <a href="http://biodev.hgen.pitt.edu/cgi-bin/enologos/enologos.cgi">http://biodev.hgen.pitt.edu/cgi-bin/enologos/enologos.cgi</a>