

Revision History								
Rev. #	Date	Description	Comments	Change Initiated/Requested by	Approved	Eform Link	App Owner Chop	Other Chop
0.01	7/18/2013	New SAP		Navin Dembla				
0.02	9/5/2014	New SAP		Navin Dembla				

Detail System Checklist	Responses – Select all that apply
Client UI	
	Application - Sysworkday
URL Specifications	http://dut.is.btmna.com:5555
	http://sit.is.btmna.com:5555
	https://uat.is.btmna.com:5443
	https://is.btmna.com:5443
	https://is.btmna.com:5443
Citrix Group Specifications	Application - CDSDeForm
	http://dut.myrequest.btmna.com:8585
	http://sit.myrequest.btmna.com:8585
	https://uat.myrequest.btmna.com:8585
Web Server Location	https://myrequest.btmna.com:8543
	https://myrequest.btmna.com:8543
Web Server Operating System	Other (specify):
Web Server Software	Apache
Application Server Operating System	Windows Server
Software Name	WebMethods
Database Server Operating System	Windows Server
Database Server Software	SQL Server

Detail Access Checklist	Responses – Select all that apply
Authentication	AD
Authorization	Group Based
Single SignOn Capability	Yes
Need Explicit DB Access	No
If Yes, Why?	
Name of the DB Group	
CostCenter Based	No
Business Line Based	No
Branch	No
Other	
UAR/WebService Required	No

Date	7/18/2013
Application Name	My WebMethods Server
Department	SOA C&A
Application Owner	JD Yu
System Owner	Tito Burgos

Application Owner Approval	System Owner Approval

Resource Type: MyWebMethods Applications Permissions (Grant - G and Deny - D)				WebMethods Admins	WebMethods DSOAdmins	WebMethods Support	WebMethods DevSupport	WebMethods Audit	My webMethods Users	Everyone
Access Priveileges	Monitoring			G	G	G	G	G		
		System-Wide		G		G	G	G		
			Reports			G	G	G		
			Problems	G		G	G	G		
			Analytics Overview	G		G	G	G		
		Business		G	G	G	G	G		
			Tasks	G	G	G	G	G		
				My Inbox	G		G	G	G	
				Task List Management	G	G	G	G	G	
				Task Charts	G		G	G	G	
			Process Instances	G	G	G	G	G	G	
			Process Analytics	G		G	G	G	G	
			Six Sigma Summary	G						
			Collaboration Processes	G						
		Integration		G		G	G	G		
			Services	G		G	G	G		
			Documents	G		G	G	G		
	Administration			G		G	G	G		
		System-Wide		G	G			G		
			User Management							
				Users	G	G		G		
				Groups	G	G		G		
				Roles	G	G		G		
				Certificates	G					
			Calendars Management		G					
				Business Calendars	G					
				User Calendars	G					
		Workspace Management		G						
			Permissions Management	G	G					
			Environments	G						
			Define Environments	G						
			Database Pool Configuration	G						
			System Information	G		G	G	G		
			Report Configuration	G						
		Analytics		G						
			Data Management		G					
				Asset Extraction	G					
				Live Purge	G					
			Diagnostics	G						
		Job Management		G						
			Job Audit	G						
			Job Queue	G						
		KPIs		G						
			Business Data	G						
			System Data	G						
			Dimensions	G						
			KPI Hierarchies	G						
			Intelligent Links	G						
		Overview Configuration		G						
			Rules	G						
			Rule List	G						
			Rule Filters	G						
			Rule Audit	G						
			Prediction	G						
		Business		G				G		
			Tasks	G						
				Task Email Listener Administration	G					
				Task Analytics Configuration	G					
				Task Engine Administration	G					
				Task Saved Searches Analytics Administration	G					
			Business Rules	G						
		webMethods Business Rules		G					G	
			Welcome	G						
		Business Processes		G				G		
			Data Management	G						
			Archive Audit Data	G						
			Archive History	G						
	Integration			G		G	G	G		
		ESB		G		G	G	G		
				G						
	My webMethods	System Settings		G						
			Business Rules Settings	G						
			webMethods Business Rules Settings	G						
			Servers	G			G			
			Task Engine	G			G			
				G						
		Directory Services		G						
			Data Sources	G						
			E-Mail Servers	G						
		Cluster Settings		G						
				G						
				G						
	Messaging	Broker Servers		G			G			
				G			G			
			Servers	G			G			
			Brokers	G			G			
			Document Types	G			G			
			Client Groups	G			G			
		Broker Territories	Clients	G			G			
				G						
			Territory Brokers	G						
			Territory Gateways	G						
			Territories	G						
			Territory Topology	G						
		Broker Clusters		G						
			Cluster Brokers	G						
			Cluster Gateways	G						
			Clusters	G						
		Naming Directories		G						
			Providers	G						
			Connection Factories	G						
			Destinations	G						
		Settings		G						
			Log	G						
				G						
		webMethods Administration		G						
		webMethods Administration		G			G			
Functional Privileges	General	Password Management		G			G			
		Modify Public Saved Searches		G						
		View Workspaces		G		G	G	G		G
		Edit Workspaces		G						G
		Create Workspaces		G						G
		Import Workspaces		G						G
		Expert Workspace Development		G						
	MWS	Connect to JMX		G						
				G						
	Task Engine	Global Task Rules Management	Create New Rule	G						
			Modify Rule	G						
			Modify Rule Variables	G						
			Delete Rule	G						
			Collaboration Processes	G						
		Impersonate Users for Remote Clients		G						
	Blaze RMA									
	webMethods Business Rules	Add Rule		G						
		Delete Rule		G						
		Hot Deploy Rule Project		G						
		Save Decision Entity		G						
	Business Monitoring	Processes	Stop, Suspend, Resume	G						
			Resubmit	G			G			
			Modify and Resubmit	G						
	Integration Monitoring	Services	Resubmit	G			G			
			Modify and Resubmit	G						
		Documents	Resubmit	G			G			
			Modify and Resubmit	G						
	Data Management	Archiving		G						
				G						

Date	7/18/2013
Application Name	My WebMethods Server
Department	SOA C&A
Application Owner	Lionel Howard
System Owner	Tito Burgos

Application Owner Approval

Resource Type: Tasks Permissions (Grant - G and Deny - D)				WebMethods_Admins	WebMethods_Support	WorkdayAdmins	WorkdayCDSD	WebMethods_Audit	Everyone
Privileges	Tasks Administration	Tasks Administration	Task 1 : WorkdayTask has associated Permissions : WorkdayAdmins, WorkdayCDSD; Task 2 : CounterPartyOnBoardingTask, CounterMassupdate, ReferenceDataUpdate has associated Permissions : WebMethodsSupport	G	G	G	G		
		Update Task Type	Task 2 : Eform CDSD Tasks have associated Permissions : Everyone	G					
		Delete Task Type		G					
		Queue New Task Instance		G					
	Task Management	View Task Data		G	G	G	G		G
		View Task Info		G	G	G			G
		View Task Audit		G	G	G			G
		Complete Task		G		G	G		G
		Cancel Task		G					G
		Suspend Task		G					G
		Resume Task		G					G
		Delegate Task		G		G	G		G
		Accept Task		G		G	G		G
		Assign Task		G		G	G		G
		Modify Task Data		G		G	G		G
		Modify Task Info		G					G
		Subscribe to a Task		G		G	G		G
		Rollback Task		G					G
		Delete Task		G					G
	Rules Management	Create New Rule		G					
		Modify Rule		G					
		Modify Rule Variables		G					
		Delete Rule		G					
	Task Comments	View Comments		G	G				G
		Add Comment		G					G
		Update Comment		G					G
		Update Other People's Comment		G					G
		Delete Comments		G					G
		Delete Other People's Comment		G					G
	Task Collaboration	View Collaboration Process		G					G
		Queue Collaboration Tasks		G					G
		Update Collaboration Tasks		G					G
		Delete Collaboration Tasks		G					G
		Search User's Collaboration Processes		G					G
		Search Other People's Collaboration Processes		G					G
	Task Application Root Page	Task Inbox Page		G	G	G	G	G	G

Roles & Responsibility Matrix								
OrgID	Org Name	Department	Roles	Responsibility	Owner	Delegated Owner	Privileged Role (Y/N)?	Department Chop
0000180, 0000517, 0000008, 0000142, 0000286, 0000724, 0000806	Data Security Operations & Administration	Data Security Operations & Administration	WebMethods_CDSD	Responsible for managing user accounts and entitlements includes adding, removing, updating and deleting functionalities. Periodically send recertification on users to their managers to comply with regulations and policy, Risk assess the application and perform role engineering to comply with Business SOD. Maintain and manage SAP (Security Access Profile) and its processes	David Hughes	David Hughes	Y	
			WorkdayAdmins					
			WorkdayCDSD					
0000291	BPM	SOA - BPM/SOA	WebMethods_Admins	Responsible for Maintaining System-Wide Activities - Roles and associated privileges, Maintaining Environments - Central Configuration for Analytics, Maintaining Messaging - Broker Servers, Maintaining Archive For Auditing, Maintaining Central Directory Services, Maintaining BPM Model Status for Process Analytics, Resubmittable steps, Monitoring Process and Task instances and Resubmitting the failed ones for Production Support, Maintaining Patch levels based on vendor requirement, Maintaining Startup / Shutdown activities during Enterprise level patching on Windows VM Wares				
0000291	BPM	SOA - BPM/SOA	WebMethods_Support	Responsible for Monitoring BPM Model Status for Process Analytics, Resubmittable steps, Monitoring Process and Task instances for both Success and failed ones for health check and reporting. Monitoring Messaging - Broker Servers, Monitoring Archive For Auditing, Monitoring BAM, KPI data for Analytics				
0000291	BPM	SOA - BPM/SOA	BTMU_Developer_Role					
			My webMethods Users					
			Everyone					

A privileged role in a business application defined as “any entitlement or a role that needs to be limited to a certain group of users which will let them to perform highly privileged transactions” needs to be documented in the SAP as a Privileged Role and the users assigned to role needs to be recertified every 90 days by their department (FFIEC requirement)