# 15-462 Computer Graphics I Lecture 11

# **Splines**

Cubic B-Splines
Nonuniform Rational B-Splines
Rendering by Subdivision
Curves and Surfaces in OpenGL
[Angel, Ch 10.7-10.14]

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Frank Pfenning

Carnegie Mellon University

http://www.cs.cmu.edu/~fp/courses/graphics/

#### Review

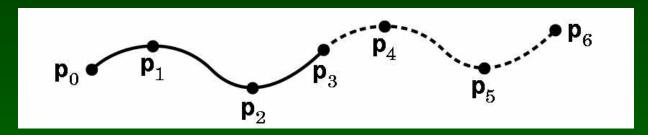
Cubic polynomial form for curve

$$\mathbf{p}(u) = \mathbf{c}_0 + \mathbf{c}_1 u + \mathbf{c}_2 u^2 + \mathbf{c}_3 u^3 = \sum_{k=0}^{3} \mathbf{c}_k u^k$$

- Each  $c_k$  is a column vector  $[c_{kx} \ c_{ky} \ c_{kz}]^T$
- Solve for c<sub>k</sub> given control points
- Interpolation: 4 points
- Hermite curves: 2 endpoints, 2 tangents
- Bezier curves: 2 endpoints, 2 tangent points

# **Splines**

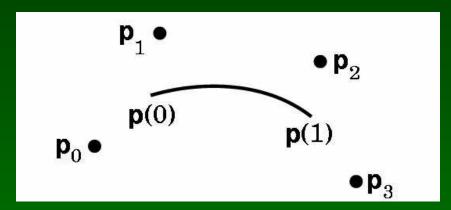
Approximating more control points



- C<sup>0</sup> continuity: points match
- C¹ continuity: tangents (derivatives) match
- C<sup>2</sup> continuity: curvature matches
- With Bezier segments or patches: C<sup>0</sup>

# **B-Splines**

Use 4 points, but approximate only middle two



- Draw curve with overlapping segments
   0-1-2-3, 1-2-3-4, 2-3-4-5, 3-4-5-6, etc.
- Curve may miss all control points
- Smoother at joint points

# **Cubic B-Splines**

- Need m+2 control points for m cubic segments
- Computationally 3 times more expensive than simple interpolation
- C<sup>2</sup> continuous at each interior point
- Derive as follows:
  - Consider two overlapping segments
  - Enforce C<sup>0</sup> and C<sup>1</sup> continuity
  - Employ symmetry
  - C<sup>2</sup> continuity follows

# **Deriving B-Splines**

- Consider points
  - $-p_{i-2}, p_{i-1}, p_i, p_{i+1}$
  - -p(0) approx  $p_{i-1}$ , p(1) approx  $p_i$
  - $-p_{i-3}, p_{i-2}, p_{i-1}, p_i$
  - q(0) approx  $p_{i-2}$ , q(1) approx  $p_{i-1}$
- Condition 1: p(0) = q(1)
  - Symmetry:  $p(0) = q(1) = 1/6(p_{i-2} + 4 p_{i-1} + p_i)$
- Condition 2: p'(0) = q'(1)
  - Geometry:  $p'(0) = q'(1) = 1/2 ((p_i p_{i-1}) + (p_{i-1} p_{i-2}))$ = 1/2  $(p_i - p_{i-2})$

# **B-Spline Geometry Matrix**

• Conditions at u = 0

$$-p(0) = c_0 = 1/6 (p_{i-2} + 4p_{i-1} + p_i)$$
  
- p'(0) = c<sub>1</sub> = 1/2 (p<sub>i</sub> - p<sub>i-2</sub>)

Conditions at u = 1

$$-p(1) = c_0 + c_1 + c_2 + c_3 = 1/6 (p_{i-1} + 4p_i + p_{i+1})$$
  
- p'(1) = c<sub>1</sub> + 2c<sub>2</sub> + 3c<sub>3</sub> = 1/2 (p<sub>i+1</sub> - p<sub>i-1</sub>)

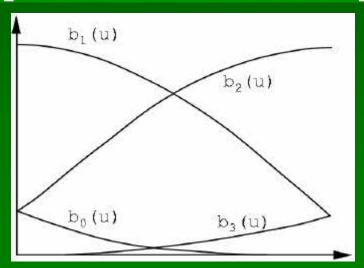
$$\begin{bmatrix} \mathbf{c}_0 \\ \mathbf{c}_1 \\ \mathbf{c}_2 \\ \mathbf{c}_3 \end{bmatrix} = \mathbf{M}_S \begin{bmatrix} \mathbf{p}_{i-2} \\ \mathbf{p}_{i-1} \\ \mathbf{p}_i \\ \mathbf{p}_{i+1} \end{bmatrix}, \mathbf{M}_S = \frac{1}{6} \begin{bmatrix} 1 & 4 & 1 & 0 \\ -3 & 0 & 3 & 0 \\ 3 & -6 & 3 & 0 \\ -1 & 3 & -3 & 1 \end{bmatrix}$$

# Blending Functions

Calculate cubic blending polynomials

$$\mathbf{b}(u) = \mathbf{M}_S^T \mathbf{u} = \frac{1}{6} \begin{bmatrix} (1-u)^3 \\ 4-6u^2+3u^3 \\ 1+3u+3u^2-3u^3 \\ u^3 \end{bmatrix}$$

Note symmetries



#### **Convex Hull**

- For  $0 \le u \le 1$ , have  $0 \le b_k(u) \le 1$
- Recall:

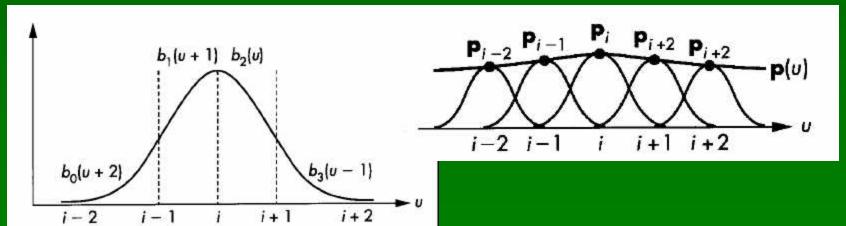
$$p(u) = b_{i-2}(u)p_{i-2} + b_{i-1}(u)p_{i-1} + b_i(u)p_i + b_{i+1}(u)p_{i+1}$$

So each point p(u) lies in convex hull of p<sub>k</sub>

# Spline Basis Functions

Total contribution B<sub>i</sub>(u)p<sub>i</sub> of p<sub>i</sub> is given by

Total contribution 
$$B_{i}(u)p_{i}$$
 of  $p_{i}$  is given by 
$$B_{i}(u) = \begin{cases} 0 & u < i-2 \\ b_{0}(u+2) & i-2 \leq u < i-1 \\ b_{1}(u+1) & i-1 \leq u \leq i \\ b_{2}(u) & i \leq u < i+1 \\ b_{3}(u-1) & i+1 \leq u < i+2 \\ 0 & i-2 \leq u \end{cases}$$



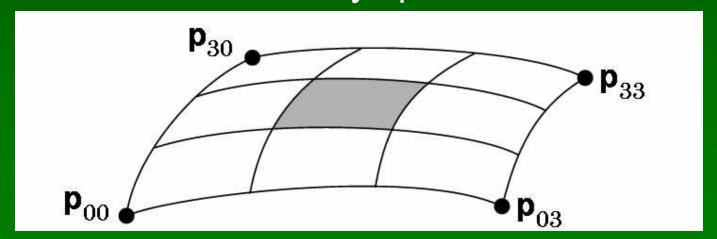
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# Spline Surface

- As for Bezier patches, use 16 control points
- Start with blending functions

$$\mathbf{p}(u, v) = \sum_{i=0}^{3} \sum_{k=0}^{3} b_i(u)b_k(v)\mathbf{p}_{ik}$$

Need 9 times as many splines as for Bezier



# Assessment: Cubic B-Splines

- More expensive than Bezier curves or patches
- Smoother at join points
- Local control
  - How far away does a point change propagate?
- Contained in convex hull of control points
- Preserved under affine transformation
- How to deal with endpoints?
  - Closed curves (uniform periodic B-splines)
  - Non-uniform B-Splines (multiplicities of knots)

# **General B-Splines**

- Generalize from cubic to arbitrary order
- Generalize to different basis functions
- Read: [Angel, Ch 10.8]
- Knot sequence u<sub>min</sub> = u<sub>0</sub> ≤ ... ≤ u<sub>n</sub> = u<sub>max</sub>
- Repeated points have higher "gravity"
- Multiplicity 4 means point must be interpolated
- {0, 0, 0, 0, 1, 2, ..., n-1, n, n, n, n} solves boundary problem

# Nonuniform Rational B-Splines (NURBS)

Exploit homogeneous coordinates

$$\mathbf{p}_i = \left[ egin{array}{c} x_i \ y_i \ z_i \end{array} 
ight] \simeq w_i \left[ egin{array}{c} x_i \ y_i \ z_i \ 1 \end{array} 
ight] = \mathbf{q}_i$$

Use perspective division to renormalize

$$\mathbf{p}(u) = \frac{\sum_{i=0}^{n} \mathbf{B}_{i}(u) w_{i} \mathbf{p}_{i}}{\sum_{i=0}^{n} \mathbf{B}_{i}(u) w_{i}}$$

- Each component of p(u) is rational function of u
- Points not necessarily uniform (NURBS)

#### **NURBS** Assessment

- Convex-hull and continuity props. of B-splines
- Preserved under perspective transformations
  - Curve with transformed points = transformed curve
- Widely used (including OpenGL)

#### Outline

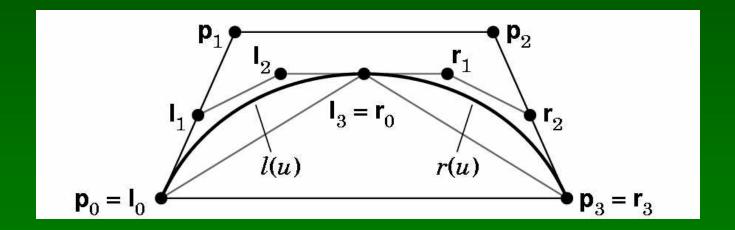
- Cubic B-Splines
- Nonuniform Rational B-Splines (NURBS)
- Rendering by Subdivision
- Curves and Surfaces in OpenGL

# Rendering by Subdivision

- Divide the curve into smaller subpieces
- Stop when "flat" or at fixed depth
- How do we calculate the sub-curves?
  - Bezier curves and surfaces: easy (next)
  - Other curves: convert to Bezier!

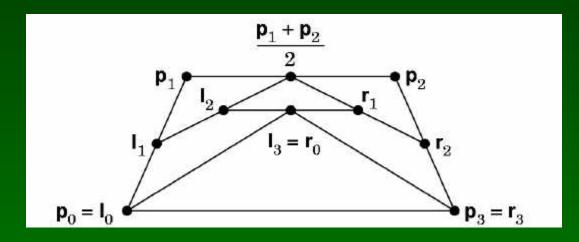
# **Subdividing Bezier Curves**

- Given Bezier curve by p<sub>0</sub>, p<sub>1</sub>, p<sub>2</sub>, p<sub>3</sub>
- Find  $I_0$ ,  $I_1$ ,  $I_2$ ,  $I_3$  and  $I_0$ ,  $I_1$ ,  $I_2$ ,  $I_3$
- Subcurves should stay the same!



#### Construction of Bezier Subdivision

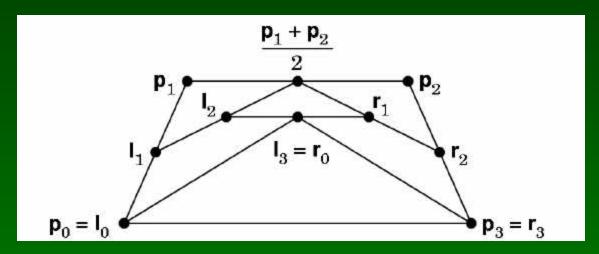
Use algebraic reasoning



- $I(0) = I_0 = p_0$
- $I(1) = I_3 = p(1/2) = 1/8(p_0 + 3p_1 + 3p_2 + p_3)$
- $I'(0) = 3(I_1 I_0) = p'(0) = 3/2 (p_1 p_0)$
- $I'(1) = 3(I_3 I_2) = p'(1/2) = 3/8(-p_0 p_1 + p_2 + p_3)$
- Note parameter substitution v = 2u so dv = 2du

#### Geometric Bezier Subdivision

Can also calculate geometrically



• 
$$I_1 = \frac{1}{2}(p_0 + p_1), r_2 = \frac{1}{2}(p_2 + p_3)$$

• 
$$I_2 = \frac{1}{2} (I_1 + \frac{1}{2} (p_1 + p_2)), r_1 = \frac{1}{2} (r_2 + \frac{1}{2} (p_1 + p_2))$$

• 
$$I_3 = r_0 = \frac{1}{2} (I_2 + r_1), I_0 = p_0, r_3 = p_3$$

#### Recall: Bezier Curves

• Recall 
$$\mathbf{u}^T = \begin{bmatrix} 1 \ u \ u^2 \ u^3 \end{bmatrix}$$

• Express 
$$\mathbf{p}(u) = \mathbf{c}_0 + \mathbf{c}_1 u + \mathbf{c}_2 u^2 + \mathbf{c}_3 u^3$$

$$= \mathbf{u}^{T} \begin{bmatrix} \mathbf{c}_{0} \\ \mathbf{c}_{1} \\ \mathbf{c}_{2} \\ \mathbf{c}_{3} \end{bmatrix} = \mathbf{u}^{T} \mathbf{M}_{B} \begin{bmatrix} \mathbf{p}_{0} \\ \mathbf{p}_{1} \\ \mathbf{p}_{2} \\ \mathbf{p}_{3} \end{bmatrix}$$

$$\mathbf{M}_B = \left[ egin{array}{ccccc} 1 & 0 & 0 & 0 & 0 \ -3 & 3 & 0 & 0 & 0 \ 3 & -6 & 3 & 0 & 0 \ -1 & 3 & -3 & -1 & 0 \end{array} 
ight]$$

# **Subdividing Other Curves**

- Calculations more complex
- Trick: transform control points to obtain identical curve as Bezier curve!
- Then subdivide the resulting Bezier curve
- Bezier: p(u) = u<sup>T</sup> M<sub>b</sub> p
- Other curve:  $p(u) = u^T M q$ , M geometry matrix
- Solve:  $q = M^{-1} M_b p$  with  $p = M_b^{-1} M q$

# **Example Conversion**

From cubic B-splines to Bezier:

$$\mathbf{M}_{B}^{-1}\mathbf{M}_{S} = \frac{1}{6} \begin{bmatrix} 1 & 4 & 1 & 0 \\ 0 & 4 & 2 & 0 \\ 0 & 2 & 4 & 0 \\ 0 & 1 & 4 & 1 \end{bmatrix}$$

- Calculate Bezier points p from q
- Subdivide as Bezier curve

### Subdivision of Bezier Surfaces

- Slightly more complicated
- Need to calculate interior point
- Cracks may show with uneven subdivision
- See [Angel, Ch 10.9.4]

#### Outline

- Cubic B-Splines
- Nonuniform Rational B-Splines (NURBS)
- Rendering by Subdivision
- Curves and Surfaces in OpenGL

# Curves and Surface in OpenGL

- Central mechanism is evaluator
- Defined by array of control points
- Evaluate coordinates at u (or u and v) to generate vertex
- Define Bezier curve: type = GL\_MAP\_VERTEX\_3
   glMap1f(type, u<sub>0</sub>, u<sub>1</sub>, stride, order, point\_array)
- Enable evaluator glEnable(type)
- Evaluate Bezier curve glEvalCoord1f(u)

# Example: Drawing a Bezier Curve

4 control points

### **Evaluating Coordinates**

Use a fixed number of points, num\_points

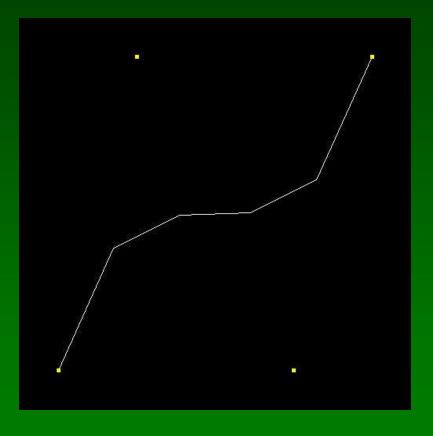
```
void display()
{ ...
  glBegin(GL_LINE_STRIP);
   for (i = 0; i <= num_points; i++)
      glEvalCoord1f((GLfloat)i/(GLfloat)num_points);
  glEnd();
   ...
}</pre>
```

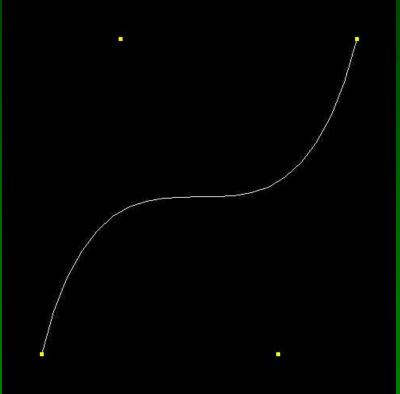
# **Drawing the Control Points**

To illustrate Bezier curve

```
void display()
{ ...
   glPointSize(5.0);
   glColor3f(1.0, 1.0, 0.0);
   glBegin(GL_POINTS);
   for (i = 0; i < 4; i++)
      glVertex3fv(&ctrlpoints[i][0]);
   glEnd();
   glFlush();
}</pre>
```

# Resulting Images





$$n = 5$$

$$n = 20$$

#### **Bezier Surfaces**

Create evaluator in two parameters u and v

```
glMap2f(GL_MAP2_VERTEX_3,
u<sub>0</sub>, u<sub>1</sub>, ustride, uorder,
v<sub>0</sub>, v<sub>1</sub>, vstride, vorder, point_array);
```

- Enable, also automatic calculation of normal glEnable(GL\_MAP2\_VERTEX\_3); glEnable(GL\_AUTO\_NORMAL);
- Evaluate at parameters u and v glEvalCoord2f(u, v);

#### Grids

- Convenience for uniform evaluators
- Define grid (nu = number of u division)
   glMapGrid2f(nu, u<sub>0</sub>, u<sub>1</sub>, nv, v<sub>0</sub>, v<sub>1</sub>);
- Evaluate grid
   glEvalMesh2(mode, i<sub>0</sub>, i<sub>1</sub>, k<sub>0</sub>, k<sub>1</sub>);
- mode = GL\_POINT, GL\_LINE, or GL\_FILL
- i and k define subrange

# Example: Bezier Surface Patch

Use 16 control points

```
GLfloat ctrlpoints[4][4][3] = \{...\};
```

Initialize 2-dimensional evaluator

# **Evaluating the Grid**

Use full range

```
void display(void)
{ ...
   glPushMatrix();
   glRotatef(85.0, 1.0, 1.0, 1.0);
   glEvalMesh2(GL_FILL, 0, 20, 0, 20);
   glPopMatrix();
   glFlush();
}
```

# Resulting Image



#### **NURBS** Functions

- Higher-level interface
- Implemented in GLU using evaluators
- Create a NURBS renderer

```
theNurb = gluNewNurbsRenderer();
```

Set NURBS properties

```
gluNurbsProperty(theNurb, GLU_DISPLAY_MODE, GLU_FILL); gluNurbsCallback(theNurb, GLU_ERROR, nurbsError);
```

# Displaying NURBS Surfaces

Specify knot arrays for splines

```
GLfloat knots[8] = {0.0, 0.0, 0.0, 0.0, 1.0, 1.0, 1.0, 1.0};

gluBeginSurface(theNurb);

gluNurbsSurface(theNurb,

8, knots, 8, knots,

4 * 3, 3, &ctlpoints[0][0][0],

4, 4, GL_MAP2_VERTEX_3);

gluEndSurface(theNurb);
```

For more see [Red Book, Ch. 12]

# Summary

- Cubic B-Splines
- Nonuniform Rational B-Splines (NURBS)
- Rendering by Subdivision
- Curves and Surfaces in OpenGL

#### Reminders

- Assignment 3 due Thursday
- Assignment 4 out Thursday
- Midterm will cover curves and surfaces
- Thursday: Pixel Shading (Nvidia guest lecture)