

# Filters

- A circuit that is designed to pass signals with desired frequencies and reject or attenuate others -

## Basic Concepts

### Frequency Response <sup>[1]-[3]</sup>

**Definition:** The variation in the behavior of a circuit with change in signal frequency.

In this report, we mainly discuss the **voltage variation** of a circuit with respect to the **input frequency**.

**Voltage gain:** (No unit)

$$A_V = \frac{v_{out}}{v_{in}}$$

**Phase shift:** (Units: rad or °)

$$\varphi = \text{output phase} - \text{input phase}$$

**Input frequency:** (Units: rad/s or Hz)

$$\omega = \frac{d\theta}{dt} = 2\pi f$$

### Transfer Function

**Definition:** The frequency-dependent ratio of a phasor output  $Y(\omega)$  to a phasor input  $X(\omega)$ .

$$H(\omega) = \frac{Y(\omega)}{X(\omega)} = |H(\omega)| \angle \varphi$$

Type:

① <b>Voltage gain</b> (No Unit)	$\frac{V_{out}(\omega)}{V_{in}(\omega)}$
② <b>Current gain</b> (No Unit)	$\frac{I_{out}(\omega)}{I_{in}(\omega)}$
③ <b>Transfer impedance</b> (Units: $\Omega$ )	$\frac{V_{out}(\omega)}{I_{in}(\omega)}$
④ <b>Transfer admittance</b> (Units: S)	$\frac{I_{out}(\omega)}{V_{in}(\omega)}$

### Bode Plot <sup>[4]</sup>

**Definition:** A graph of the frequency response of a system.

**Vertical axis:**

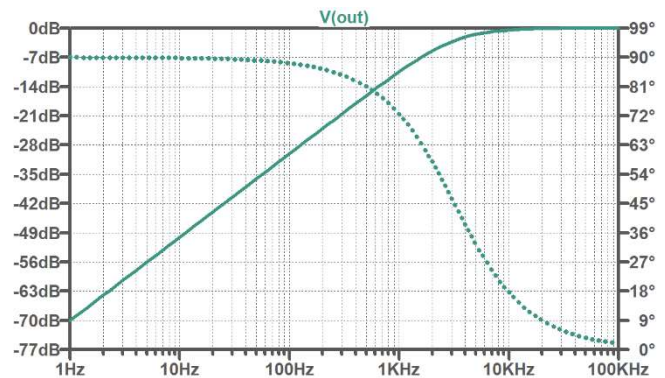
① <b>Magnitude</b> (dB)	② <b>Phase shift</b> (°)
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**Horizontal axis:** Frequency (logarithmic scale)

**Decibel:** (Units: dB)

$$H_{dB} = 10 \log\left(\frac{P}{P_0}\right) = 10 \log\left(\frac{V}{V_0}\right)^2 = 20 \log(|H|)$$

**Example** ( — magnitude ... phase )



### Half-Power Point

**Definition:** The point where the output power is the half of its peak value. It is also known as **half-power bandwidth**.

\*Half-power in dB:  $10 \log(0.5) \approx -3.01$  dB

$$*10 \log(0.5) = 20 \log(H) \Rightarrow H = \frac{1}{\sqrt{2}} \approx 0.707$$

### Bandwidth

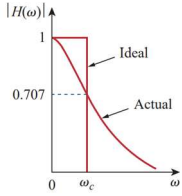
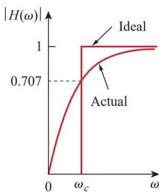
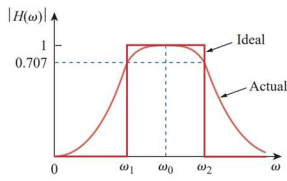
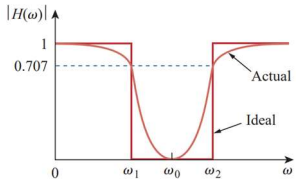
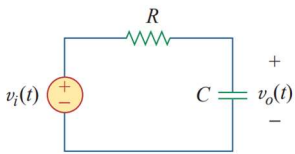
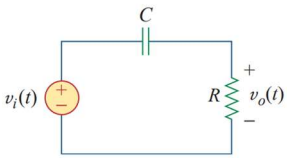
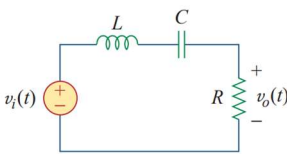
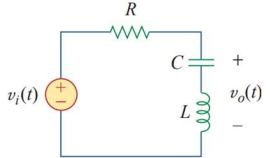
**Definition:** The difference between the two half-power frequencies of a resonant circuit.

$$B = \omega_2 - \omega_1$$

**Resonant frequency:**  $\omega_0 = \sqrt{\omega_1 \omega_2}$

$$\text{Quality factor: } Q = \frac{\omega_0}{B} = \frac{\omega_0 L}{R} = \frac{1}{\omega_0 RC}$$

## Classification & Type [5]

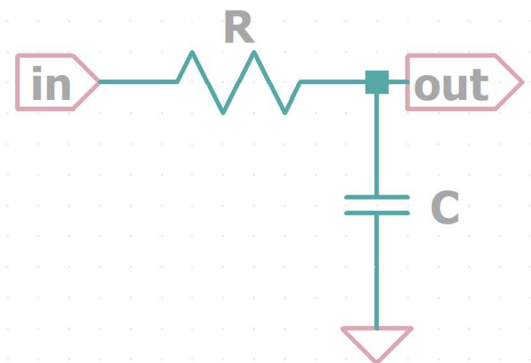
Passive	Active		
A filter is a passive filter if it consists of <b>only passive elements</b> such as <b>R, L, and C</b> .	A filter is an active filter if it <b>consists of active elements</b> such as <b>transistors</b> and <b>OPAMPs</b> .		
Low Pass	High Pass	Band Pass	Band Stop
Designed to pass only frequencies up to the cutoff frequency $\omega_c$ .	Designed to pass only frequencies above the cutoff frequency $\omega_c$ .	Designed to pass all frequencies between half-power points.	Designed to stop all frequencies between half-power points.
Frequency Response	Frequency Response	Frequency Response	Frequency Response
			
Circuit	Circuit	Circuit	Circuit
			

\*Images in the above part are from reference [1].

## Circuit Analysis

### Passive 1<sup>st</sup> – order low pass filter

#### Circuit



**Cutoff frequency:**  $\omega_c = \frac{1}{RC}$

\*The proof of the cutoff frequency is in page 4.

#### Analysis

By voltage divider rule,

$$\frac{V_{out}}{V_{in}} = \frac{Z_C}{Z_R + Z_C} = \frac{\frac{1}{j\omega C}}{R + \frac{1}{j\omega C}} = \frac{1}{1 + j\omega RC}$$

**Transfer function:**

$$H(\omega) = \frac{V_{out}}{V_{in}} = \frac{1}{1 + j\omega RC}$$

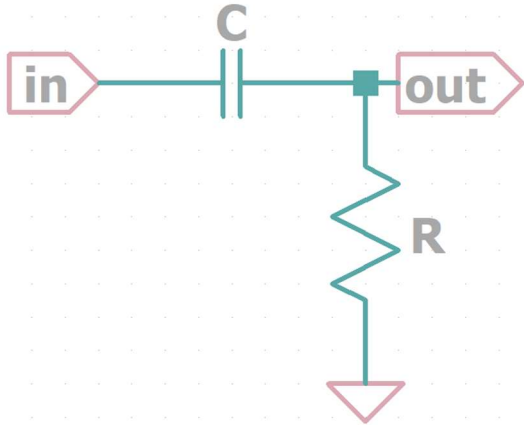
$$= \frac{1}{\sqrt{1^2 + (\omega RC)^2}} \angle -\tan^{-1}(\omega RC)$$

**Voltage gain:**  $A_V = |H(\omega)| = \frac{1}{\sqrt{1 + (\omega RC)^2}}$

**Phase difference:**  $\varphi = -\tan^{-1}(\omega RC)$

## Passive 1<sup>st</sup> – order high pass filter

### Circuit



**Cutoff frequency:**  $\omega_c = \frac{1}{RC}$

\*The proof of the cutoff frequency is in page 4.

### Analysis

By voltage divider rule,

$$\frac{V_{out}}{V_{in}} = \frac{Z_R}{Z_R + Z_C} = \frac{R}{R + \frac{1}{j\omega C}} = \frac{1}{1 + \frac{1}{j\omega RC}}$$

### Transfer function:

$$H(\omega) = \frac{V_{out}}{V_{in}} = \frac{1}{1 + \frac{1}{j\omega RC}} = \frac{j\omega RC}{1 + j\omega RC}$$

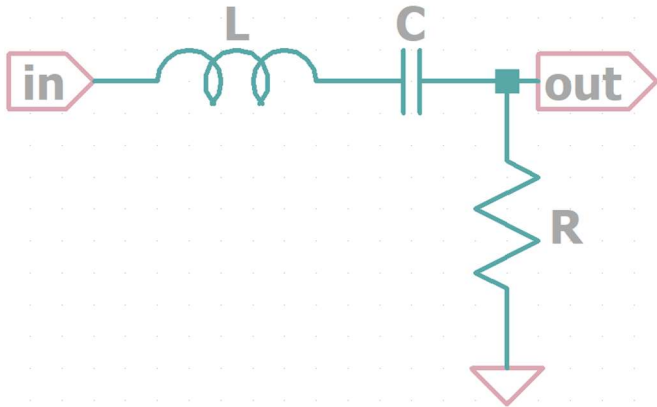
$$= \frac{1}{\sqrt{1^2 + \left(\frac{1}{\omega RC}\right)^2}} \angle \tan^{-1}\left(\frac{1}{\omega RC}\right)$$

**Voltage Gain:**  $A_V = |H(\omega)| = \frac{1}{\sqrt{1 + \left(\frac{1}{\omega RC}\right)^2}}$

**Phase difference:**  $\varphi = \tan^{-1}\left(\frac{1}{\omega RC}\right)$

## Passive 2<sup>nd</sup> – order band pass filter

### Circuit



\*The proofs of following properties are in page 5.

### Half-power frequencies:

$$\omega_1, \omega_2 = \mp \frac{R}{2L} + \sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC}}$$

**Resonant frequency:**  $\omega_0 = \sqrt{\frac{1}{LC}}$

**Bandwidth:**  $B = \frac{R}{L}$

### Analysis

By voltage divider rule,

$$\frac{V_{out}}{V_{in}} = \frac{Z_R}{Z_R + Z_L + Z_C} = \frac{R}{R + j\omega L + \frac{1}{j\omega C}}$$

### Transfer function:

$$H(\omega) = \frac{V_{out}}{V_{in}} = \frac{1}{1 + j \times \frac{\omega L - \frac{1}{\omega C}}{R}}$$

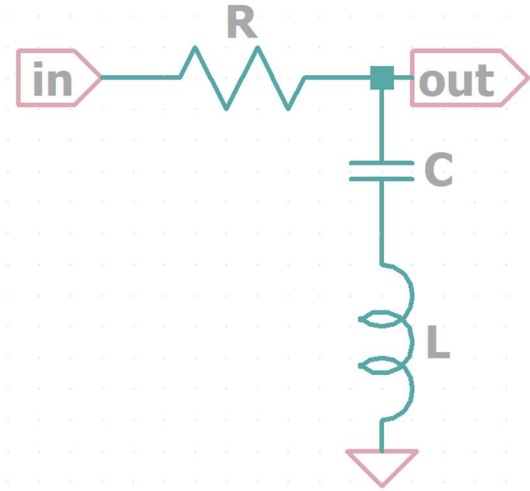
$$= \frac{1}{\sqrt{1^2 + \left(\frac{\omega L - \frac{1}{\omega C}}{R}\right)^2}} \angle -\tan^{-1}\left(\frac{\omega L - \frac{1}{\omega C}}{R}\right)$$

**Voltage Gain:**  $A_V = |H(\omega)| = \frac{1}{\sqrt{1 + \left(\frac{\omega L - \frac{1}{\omega C}}{R}\right)^2}}$

**Phase difference:**  $\varphi = -\tan^{-1}\left(\frac{\omega L - \frac{1}{\omega C}}{R}\right)$

## Passive 2<sup>nd</sup> – order band stop filter

### Circuit



\*The proofs of following properties are in page 5.

### Half-power frequencies:

$$\omega_1, \omega_2 = \mp \frac{R}{2L} + \sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC}}$$

**Resonant frequency:**  $\omega_0 = \sqrt{\frac{1}{LC}}$

**Bandwidth:**  $B = \frac{R}{L}$

### Analysis

By voltage divider rule,

$$\frac{V_{out}}{V_{in}} = \frac{Z_L + Z_C}{Z_R + Z_L + Z_C} = \frac{j\omega L + \frac{1}{j\omega C}}{R + j\omega L + \frac{1}{j\omega C}}$$

### Transfer function:

$$H(\omega) = \frac{V_{out}}{V_{in}} = \frac{1}{1 - j \times \frac{R}{\omega L - \frac{1}{\omega C}}}$$

$$= \frac{1}{\sqrt{1^2 + \left(\frac{R}{\omega L - \frac{1}{\omega C}}\right)^2}} \angle \tan^{-1} \left( \frac{R}{\omega L - \frac{1}{\omega C}} \right)$$

**Voltage Gain:**  $A_V = |H(\omega)| = \frac{1}{\sqrt{1 + \left(\frac{R}{\omega L - \frac{1}{\omega C}}\right)^2}}$

**Phase difference:**  $\phi = \tan^{-1} \left( \frac{R}{\omega L - \frac{1}{\omega C}} \right)$

### Calculate the cutoff frequency:

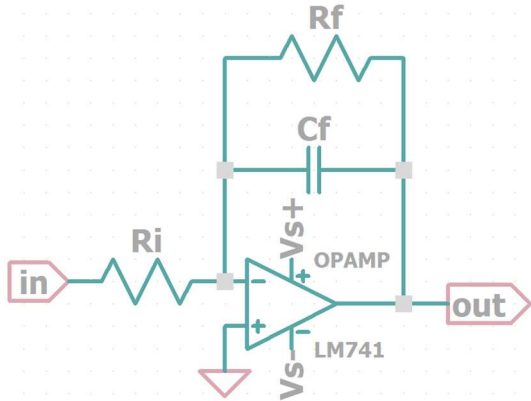
Low pass filter	High pass filter
$ H(\omega_c)  = \frac{1}{\sqrt{2}} = \frac{1}{\sqrt{1 + (\omega_c RC)^2}}$ $\Rightarrow 1 + (\omega_c RC)^2 = 2$ $\Rightarrow (\omega_c RC)^2 = 1$	$ H(\omega_c)  = \frac{1}{\sqrt{2}} = \frac{1}{\sqrt{1 + \left(\frac{1}{\omega_c RC}\right)^2}}$ $\Rightarrow 1 + \left(\frac{1}{\omega_c RC}\right)^2 = 2$ $\Rightarrow \left(\frac{1}{\omega_c RC}\right)^2 = 1$
$\Rightarrow \omega_c RC = \pm 1, \omega_c > 0$ $\Rightarrow \omega_c = \frac{1}{RC}$	

## Calculate half-power frequencies, resonant frequency and bandwidth:

Band pass filter	Band stop filter
$ H(\omega)  = \frac{1}{\sqrt{2}} = \frac{1}{\sqrt{1^2 + \left(\frac{\omega L - \frac{1}{\omega C}}{R}\right)^2}}$ $\Rightarrow \frac{\omega L - \frac{1}{\omega C}}{R} = \pm 1$	$ H(\omega_c)  = \frac{1}{\sqrt{2}} = \frac{1}{\sqrt{1^2 + \left(\frac{R}{\omega L - \frac{1}{\omega C}}\right)^2}}$ $\Rightarrow \frac{R}{\omega L - \frac{1}{\omega C}} = \pm 1$
$\Rightarrow \omega L - \frac{1}{\omega C} = \pm R$ $\Rightarrow LC\omega^2 \pm RC\omega - 1 = 0$ <p>By quadratic formula,</p> $\omega = \frac{\mp RC \pm \sqrt{(RC)^2 + 4LC}}{2LC} = \mp \frac{R}{2L} \pm \sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC}}$ <p><b>Half power frequencies:</b></p> <p>Let <math>\omega_1 &lt; \omega_2</math> then find the positive solution,</p> $\begin{cases} \omega_1 = -\frac{R}{2L} + \sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC}} \\ \omega_2 = \frac{R}{2L} + \sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC}} \end{cases}$ <p><b>Resonant frequency:</b></p> <p>By formula of difference of two squares,</p> $\omega_0 := \sqrt{\omega_1 \omega_2} = \sqrt{\sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC}}^2 - \left(\frac{R}{2L}\right)^2} = \sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC} - \left(\frac{R}{2L}\right)^2} = \sqrt{\frac{1}{LC}}$ <p><b>Bandwidth:</b></p> $B := \omega_2 - \omega_1 = \frac{R}{L}$	

## Active 1<sup>st</sup> - order low pass filter

### Circuit



**Cutoff frequency:**  $\omega_c = \frac{1}{R_f C_f} \sqrt{\frac{2R_i^2}{R_f^2} - 1}$

Proof:

$$|H(\omega_c)| = \frac{1}{\sqrt{2}} = \frac{R_f}{R_i} \frac{1}{\sqrt{1 + (\omega_c R_f C_f)^2}}$$

$$\Rightarrow 1 + (\omega_c R_f C_f)^2 = \frac{2R_i^2}{R_f^2}, \quad \omega_c \geq 0$$

$$\Rightarrow \omega_c = \frac{1}{R_f C_f} \sqrt{\frac{2R_i^2}{R_f^2} - 1}$$

### Analysis

\*The two inputs of OPAMP are virtual shorted.

Apply KCL at the inverting input of the OPAMP,

$$I = \frac{V_{in}}{Z_{in}} = \frac{V_{out}}{Z_f}$$

$$\Rightarrow \frac{V_{out}}{V_{in}} = \frac{Z_f}{Z_{in}} = \frac{Z_{Rf} || Z_{Cf}}{Z_{Ri}} = \frac{R_f || \frac{1}{j\omega C_f}}{R_i}$$

$$\Rightarrow \frac{V_{out}}{V_{in}} = \frac{1}{R_i} \times \frac{1}{\frac{1}{R_f} + j\omega C_f} = \frac{R_f}{R_i} \left( \frac{1}{1 + j\omega R_f C_f} \right)$$

### Transfer function:

$$H(\omega) = \frac{V_{out}}{V_{in}} = \frac{R_f}{R_i} \left( \frac{1}{1 + j\omega R_f C_f} \right)$$

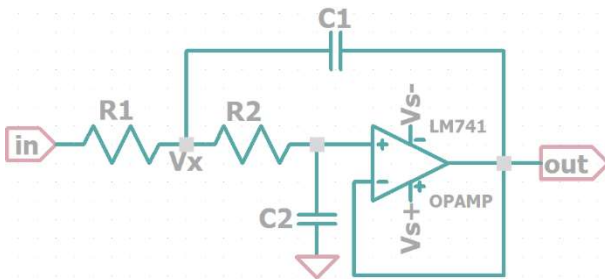
$$= \frac{R_f}{R_i} \frac{1}{\sqrt{1^2 + (\omega R_f C_f)^2}} \angle -\tan^{-1}(\omega R_f C_f)$$

**Voltage gain:**  $A_v = |H(\omega)| = \frac{R_f}{R_i} \frac{1}{\sqrt{1 + (\omega R_f C_f)^2}}$

**Phase difference:**  $\varphi = -\tan^{-1}(\omega R_f C_f)$

## Active 2<sup>nd</sup> - order low pass filter

### Circuit



**Resonant frequency:**  $\omega_0 = \sqrt{\frac{1}{R_1 R_2 C_1 C_2}}$

By the definition of the transfer function of Sallen-Key filter,

$$H(\omega) = \frac{\omega_0^2}{s^2 + \frac{\omega_0^2}{Q}s + \omega_0^2}$$

$$= \frac{1}{\frac{R_1 R_2 C_1 C_2}{s^2 + \left( \frac{1}{R_1 C_1} + \frac{1}{R_2 C_1} \right) s + \frac{1}{R_1 R_2 C_1 C_2}}}$$

### Analysis

\*The two inputs of OPAMP are virtual shorted.

Let  $s = j\omega \Rightarrow s^2 = -\omega^2$ .

Apply KCL on node X,

$$\frac{V_{in} - V_x}{Z_{R1}} + \frac{V_{out} - V_x}{Z_{C1}} + \frac{V_{out} - V_x}{Z_{R2}} = 0$$

$$\Rightarrow V_{in} - V_x = R_1(V_x - V_{out}) \left( sC_1 + \frac{1}{R_2} \right) \quad (1)$$

By voltage divider rule,

$$V_x = \frac{Z_{R2} + Z_{C2}}{Z_{C2}} V_{out} = \left( 1 + \frac{Z_{R2}}{Z_{C2}} \right) V_{out}$$

$$\Rightarrow V_x = (1 + sR_2 C_2) V_{out}$$

$$\Rightarrow V_x - V_{out} = sR_2 C_2 V_{out} \quad (2)$$

Substitute  $V_x$  and (2) into (1),

$$V_{in} - (1 + sR_2 C_2) V_{out} = \left( sC_1 + \frac{1}{R_2} \right) sR_1 R_2 C_2 V_{out}$$

$$V_{in} = (1 + sR_2 C_2 + sR_1 C_2 + s^2 R_1 R_2 C_1 C_2) V_{out}$$

$$\Rightarrow \frac{V_{out}}{V_{in}} = \frac{1}{1 + sC_2(R_1 + R_2) + s^2R_1R_2C_1C_2}$$

**Transfer function:**

$$H(\omega) = \frac{1}{(1 - \omega^2R_1R_2C_1C_2) + j\omega C_2(R_1 + R_2)}$$

**Voltage gain:**

$$A_V = \frac{1}{\sqrt{(1 - \omega^2R_1R_2C_1C_2)^2 + (\omega C_2(R_1 + R_2))^2}}$$

**Phase difference:**

$$\varphi = -\tan^{-1}\left(\frac{\omega C_2(R_1 + R_2)}{1 - \omega^2R_1R_2C_1C_2}\right)$$

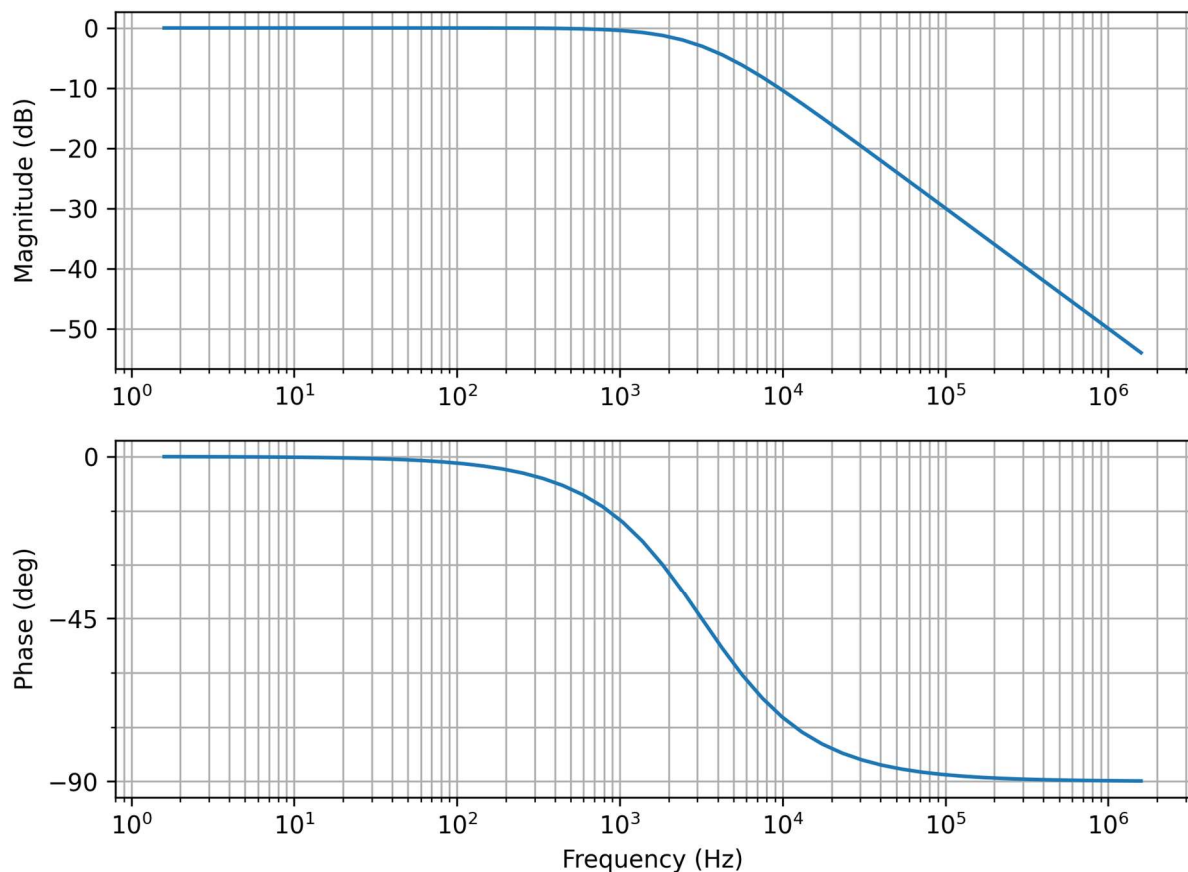
**How to calculate the *Measured* phase difference and voltage gain?**

Measured Voltage Gain	Measured Phase Difference
$A_{v,Measured} = \frac{V_{out,Measured}}{V_{in,Measured}}$	$\varphi_{Measured} = 2\pi f \Delta t \text{ rad} = 360f \Delta t ^\circ$

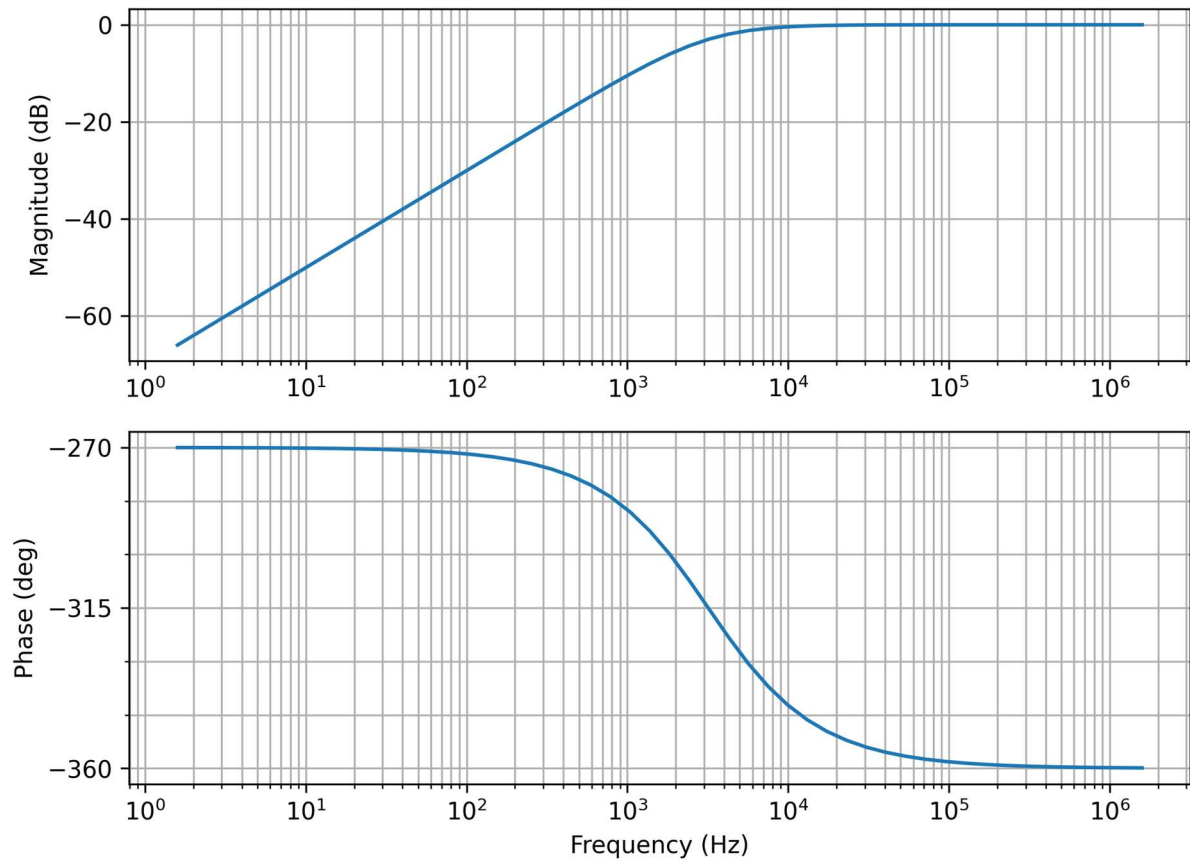
**Appendix – Bode plot example of filters in this report**

Python code: [https://github.com/RUI030/MELab/blob/main/ME Lab 2 1.ipynb](https://github.com/RUI030/MELab/blob/main/ME%20Lab%202%201.ipynb)

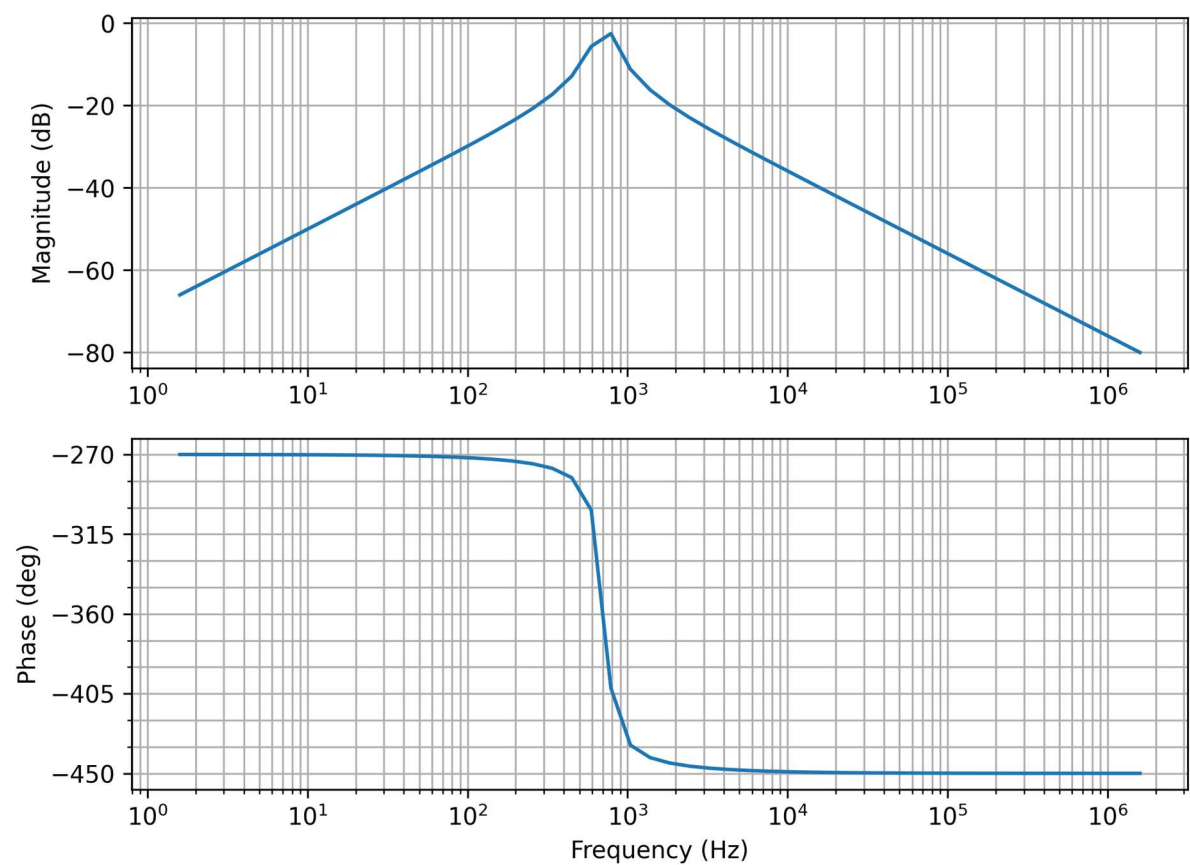
**Low Pass**



## High Pass

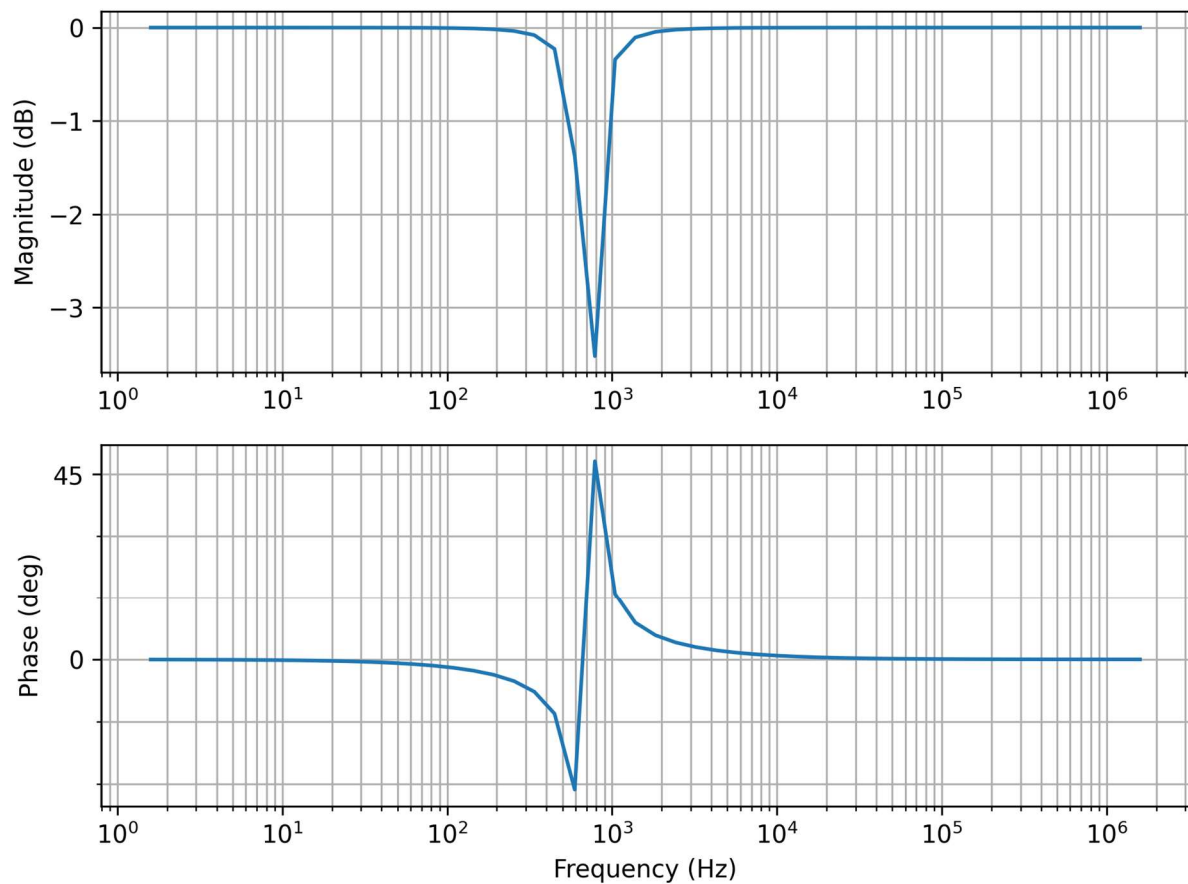


## Band Pass





## Band Stop



## Active 1<sup>st</sup>-Order Low Pass Filter

Similar to 1<sup>st</sup> passive low pass filter.

## Active 2<sup>nd</sup>-Order Low Pass Filter (Sallen-Key Filter)

