



# Prediction of Remaining Useful Life(RUL) for Aircraft Engine Using Neural Network Models

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# Outline

1. Introduction & Motivation
2. Data exploration
3. Data preprocessing
4. Sensor selection
5. Neural Network models
6. Conclusion and future work



# Introduction

- Estimation of the *Remaining Useful Life* (RUL) of a set of unspecified components
- Data-driven approach
- Data consists of multivariate time series for each unit
- Data provided was split into training and test sets beforehand
- Test data components were not run to failure



# Motivation

RUL

- The amount of time a component can be expected to continue operating.
- High importance in PHM
- Learn directly from the data

MSE (Mean Square Error):

$$\frac{\sum_{u=1}^n \sum_{c=1}^{m_u} (R_{true} - R_{estimated})^2}{\sum_{u=1}^n m_u}$$



# Data exploration

- C-MAPSS
- Clustering of operational conditions
- MDS for visualization

## C-MAPSS

- Input: Health related parameters  
Fuel flow, 13 component-related health parameters and etc.
- Output: sensor measurement(temperature; pressure; speed)

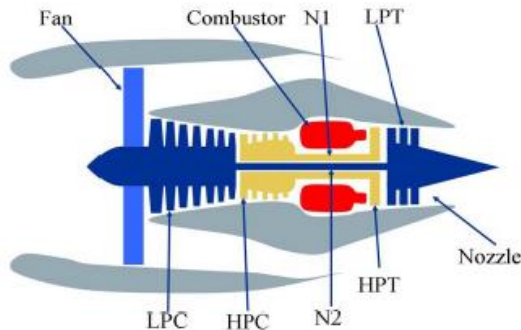


Figure 1. Simplified diagram of engine simulated in C-MAPSS

Name
Fuel flow
Fan efficiency modifier
Fan flow modifier
Fan pressure-ratio modifier
LPC efficiency modifier
LPC flow modifier
LPC pressure-ratio modifier
HPC efficiency modifier
HPC flow modifier
HPC pressure-ratio modifier
HPT efficiency modifier
HPT flow modifier
LPT efficiency modifier
HPT flow modifier



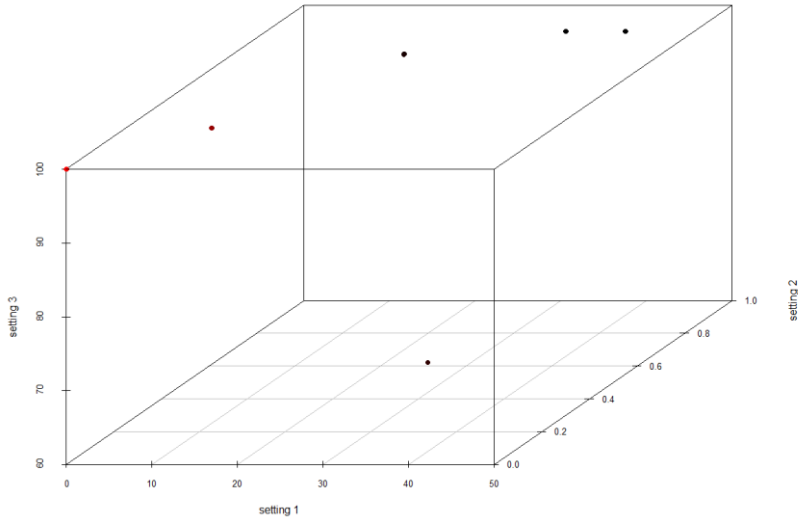
## Operational conditions

1. Altitude: 0~0.84
2. Mach number: 20~100
3. Throttle resolver angle(TRA): 0~42

Unit	Cycle	Altitude	Mach #	TRA	Sensor 1	...	Sensor 21
1	1	34.9983	0.84	100	449.44	...	8.8071
1	2	41.9982	0.8408	100	445	...	6.2665
...	...	...	...	...	...	...	...
1	149	42.0017	0.8414	100	445	...	6.2285
2	1						
2	2						
...	...						



3D Scatter Plot of three setting variables from dataset002



## K-means for clustering

1. Make initial guesses for the means  $m_1, m_2, \dots, m_k$
2. Until there is no change in any mean
  - a) assign each data point to the cluster whose mean is the nearest;
  - b) calculate the mean of each cluster;
  - c) for  $i$  from 1 to  $k$ :  
Replace  $m_i$  with the mean of all examples for Cluster  $i$
1. End until



## Visualization of whole dataset--Sammon Mapping $\mathbb{R}^d \rightarrow \mathbb{R}^q$

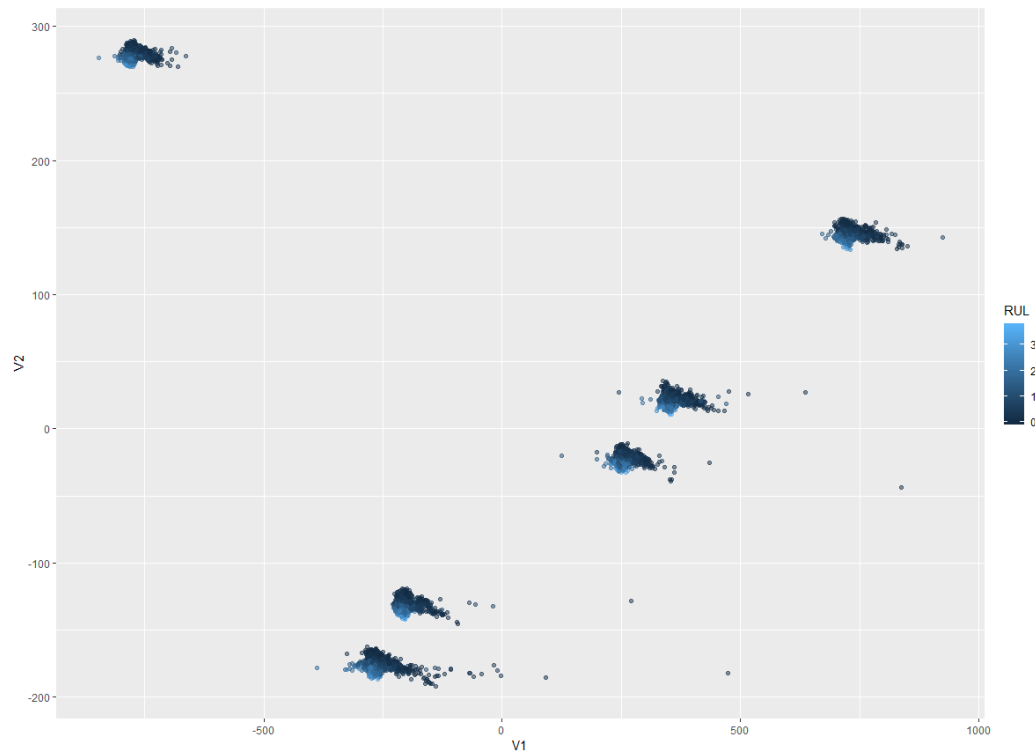
Sammon's stress: 
$$E = \frac{1}{\sum_{i < j} d_{ij}^*} \sum_{i < j}^n \frac{(d_{ij}^* - d_{ij})^2}{d_{ij}^*} \rightarrow$$

Small distance  $\rightarrow$  high weight

Distance between point i and point j in the original space:  $d_{ij}^*$

Distance between their projections:  $d_{ij}$

## Sammon mapping



Train Dataset #2: 53759 instances

Randomly choose 8000 instances in the dataset for visualization.



# Normalization

Standard normalization:

$$N(x^d) = \frac{x^d - \mu^d}{\sigma^d}, \forall d$$

Modified normalization:

$$N(x^{(m,d)}) = \frac{x^{(m,d)} - \mu^{(m,d)}}{\sigma^{(m,d)}}, \forall m, d$$



# Time Representation



## The quickest and simplest method:

consider each time point independently →  
predict at each time step



## An alternative method:

Phase space embedding representation →

- a sequence of instances is generated using a fixed length sliding window
- Drawback: curse of dimensionality

Adding extra seven  
useful features  
containing:

**cls :**  
6 clusters based on  
operational conditions

**mode1 ~ mode6:**

Total of the number of  
cycles spent in each mode  
since the beginning of the  
series.

## Adding Useful Features

mode1	mode2	mode3	mode4	mode5	mode6	cls	unit	cycle	setting1	setting2	setting3	feature1	...	feature 21
0	0	1	0	0	0	3	1	1	34.9983	0.84	100	449.44		1358.61
0	0	2	0	0	0	3	1	2	41.9982	0.8408	100	445		1353.22
0	0	2	0	0	1	6	1	3	24.9988	0.6218	60	462.54		1256.76
0	0	3	0	0	1	3	1	4	42.0077	0.8416	100	445		1354.03
0	0	3	0	0	2	6	1	5	25.0005	0.6203	60	462.54		1257.71
0	0	3	1	0	2	4	1	6	25.0045	0.6205	60	462.54		1266.38
0	0	4	1	0	2	3	1	7	42.0043	0.8409	100	445		1347.45
0	1	4	1	0	2	2	1	8	20.002	0.7002	100	491.19		1481.69
0	1	5	1	0	2	3	1	9	41.9995	0.8407	100	445		1348.23
0	1	6	1	0	2	3	1	10	42.0011	0.84	100	445		1356.4
0	1	7	1	0	2	3	1	11	42.0029	0.84	100	445		1352.72
0	1	7	1	1	2	5	1	12	0.0015	0.001	100	518.67		1585.52
0	2	7	1	1	2	2	1	13	20.0003	0.7	100	491.19		1488.74
0	2	8	1	1	2	3	1	14	42.002	0.8407	100	445		1352.69
0	3	8	1	1	2	2	1	15	10.0038	0.2513	100	489.05		1501.72

...      ...      ...



## Sensor/feature Selection

- 21 sensors/features : feature1 to feature 21
- Goal: select the most valuable features for training
- Methods: Univariate feature selection and PCA



# Univariate feature selection

- A filter method



- Use SelectKBest : scores the features using a function:  $\chi^2$  (Chi-squared) test function
- Then remove all but the k highest scoring features that have maximum relevance/dependence with the target variable.



## Select K Best using Chi-squared test

1. Compute Chi-square:

$$\chi^2 = \sum \frac{(O - E)^2}{E}$$

*O: the observed(actual) value*

*E: the expected value*

1. Compute p value using Chi-square using [Chi-Square Distribution Calculator](#)

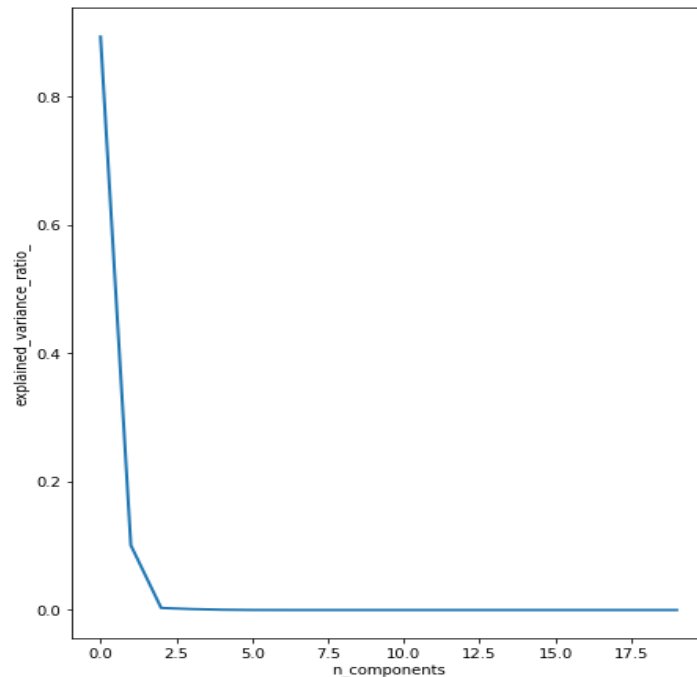
P value : the probability that the variables are **independent**.

1. **p < 0.05** is the usual test for dependence.
2. Pick k features that has **p** value less than 0.05
3. Result: feature **3, 4, 7, 9, 12, 18** are selected



# PCA- Feature Extraction

- Use normalized training dataset
- Perform PCA on 21 features
- 2 components represent most of the features





# PCA- Feature Extraction

- Each principal component is a linear combination of the original features

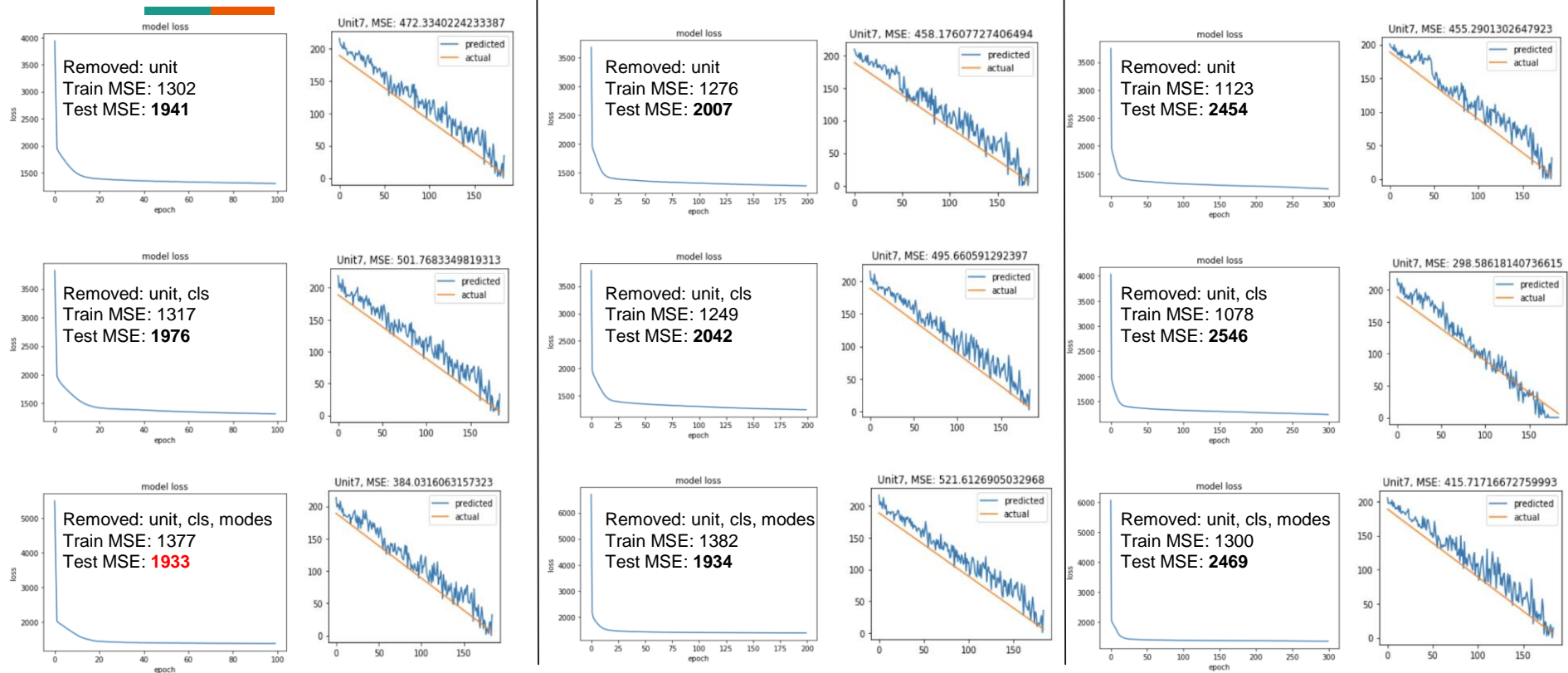
$$PC^j = \beta_1^j X_1 + \beta_2^j X_2 + \cdots + \beta_n^j X_n$$

$X_i$ : original features

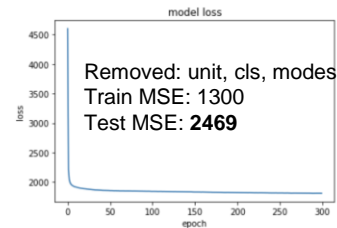
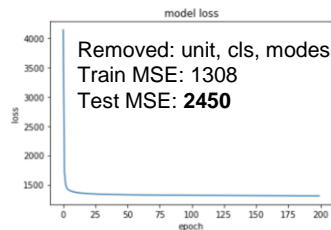
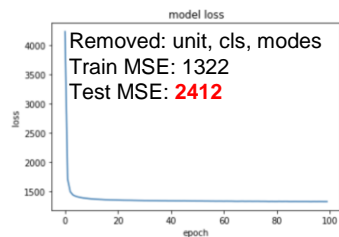
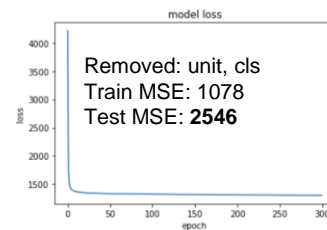
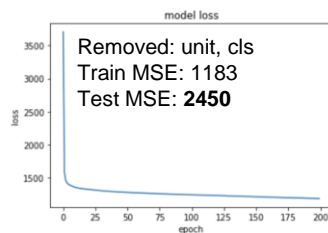
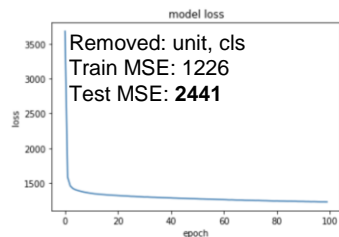
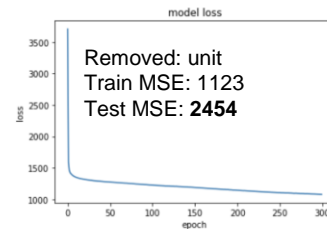
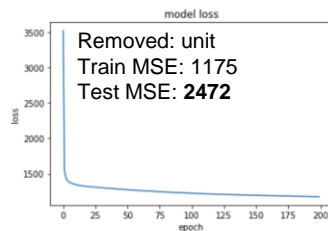
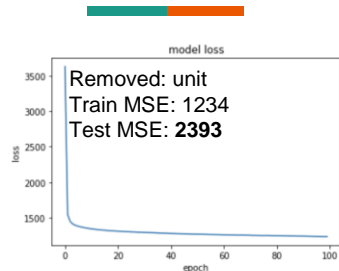
$\beta_i$ : corresponding weights/coefficients

- To find what features contribute the most in the components, we just need to find the features with the highest weights/coefficients (absolute value)
- Result: feature 1, 6, 7, 8, 12, 18 are selected.

# MLPs on original data

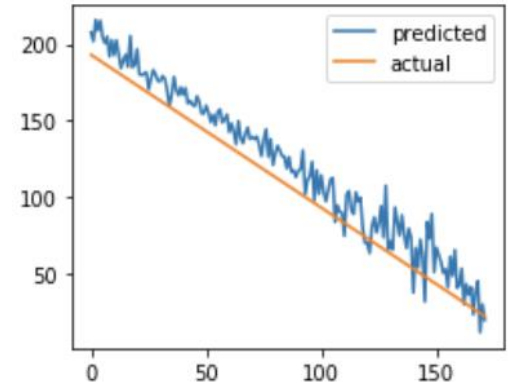
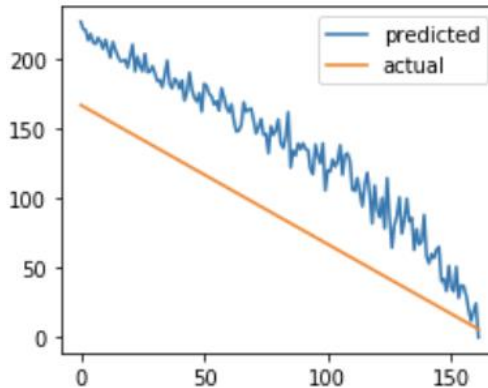
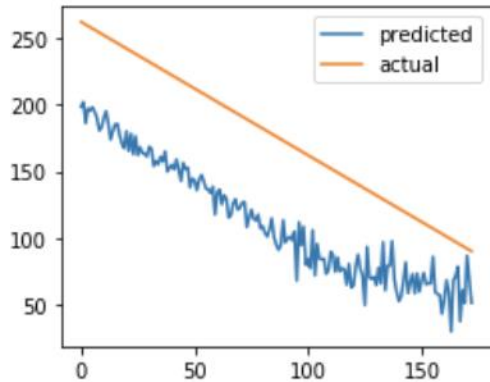


# MLPs with normalized (cls) data

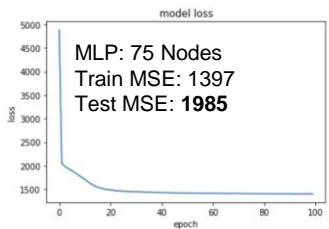
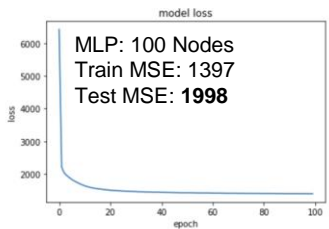
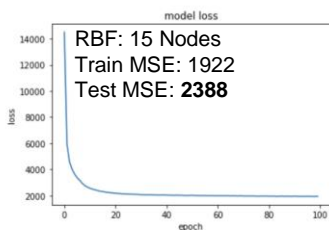




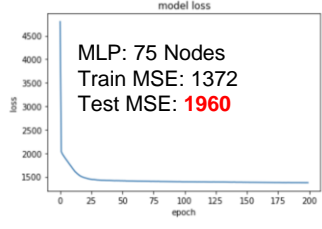
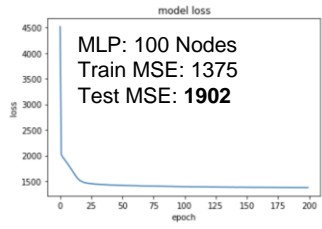
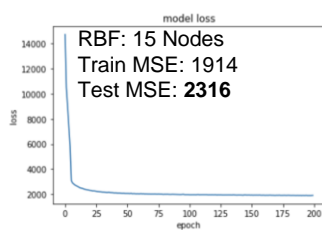
# Combining models?



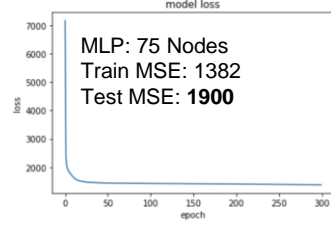
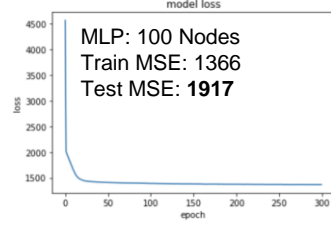
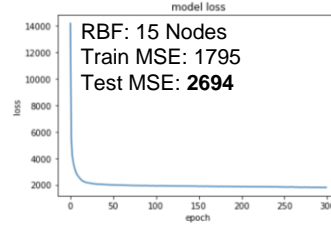
# MLPs + RBF



2040

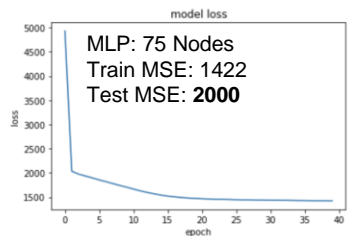
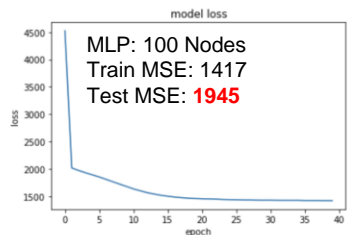
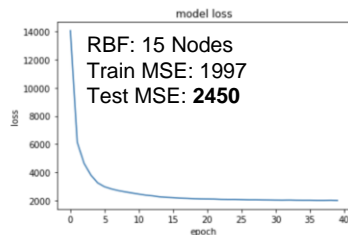


1972

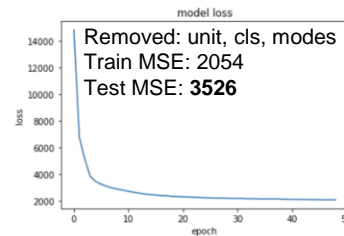
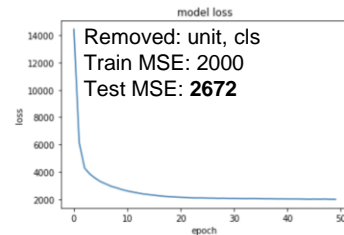
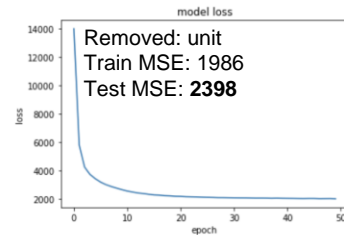


2055

# Fewer epochs?



2036



2728

# Experiments with Feature Selection

100 nodes, 100 epocs, 1 hidden layer

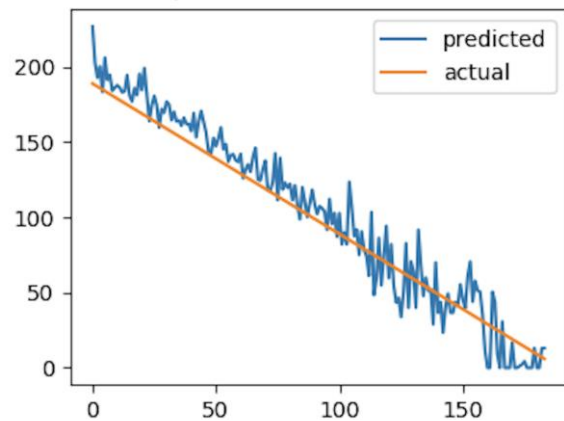
## Selected sensors:

- chi squared: 3, 4, 7, 9, 12, 18
- PCA: 1, 6, 7, 8, 12, 18

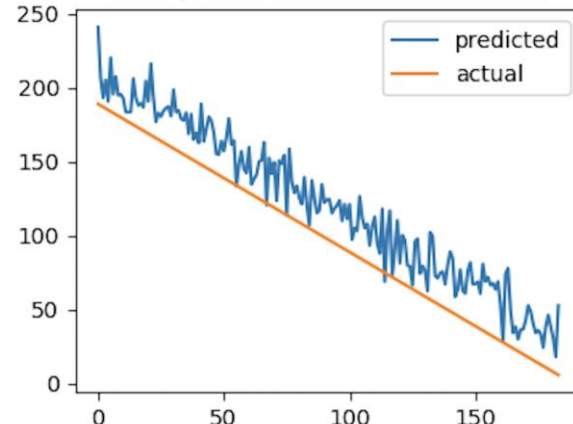
Chi-Squared		PCA	
MLP	RBF	MLP	RBF
2121	2107	2543	2538



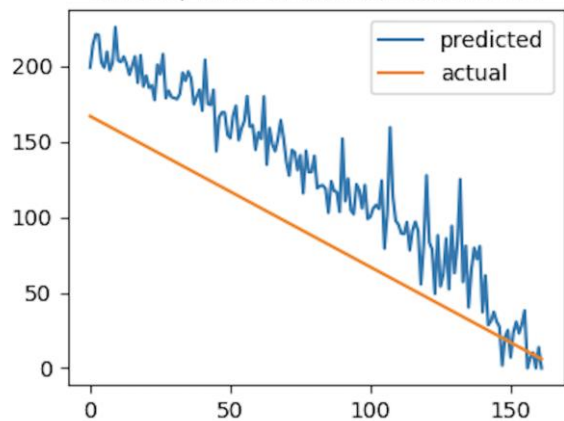
Unit7, RBF: 217.1630999485142



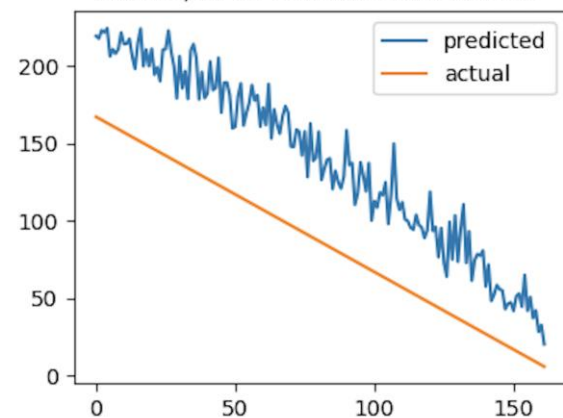
Unit7, MSE: 686.3122924818224



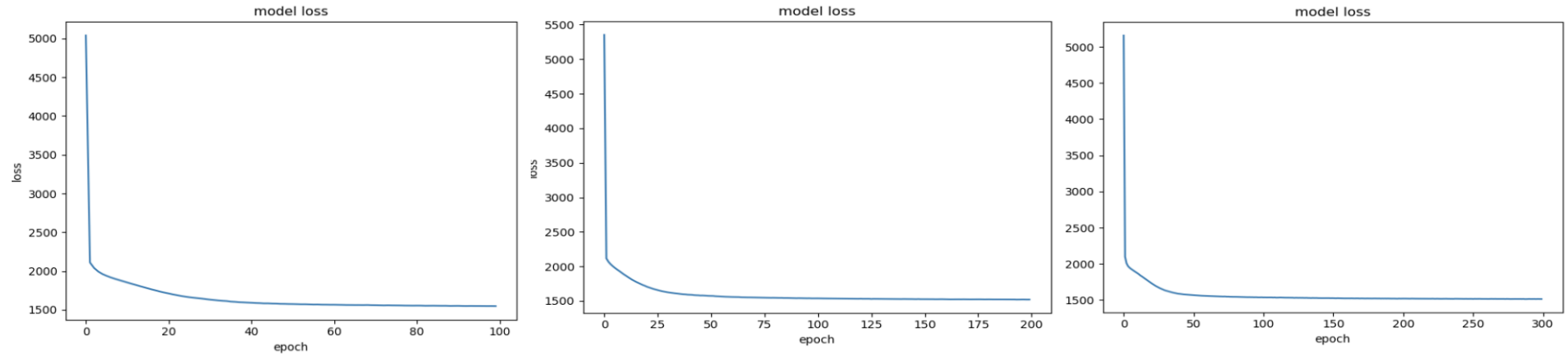
Unit11, RBF: 1920.760415304212



Unit11, MSE: 2959.023897476097



- 100 epocs, chi squared selected sensors, other features on RBF
- 200 epocs, chi squared selected sensors, other features on RBF
- 300 epocs, chi squared selected sensors, other features on RBF



Best result: settings, cycle and chi squared selected sensors with 100 epocs



100 nodes, 100 epocs, 1 hidden layer

Chi-Squared		PCA		No Selection	
MLP	RBF	MLP	RBF	MLP	RBF
2121	2107	2543	2538	1977	1924



# Conclusion & Future Work

- Look for other feature selection methods
- Experiment with other models



## References

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- <https://www.datacamp.com/community/tutorials/feature-selection-python>
- <https://www.mathsisfun.com/data/chi-square-test.html>
- [https://github.com/PetraVidnerova/rbf\\_keras](https://github.com/PetraVidnerova/rbf_keras)
- <https://www.datacamp.com/community/tutorials/deep-learning-python>



## References

Table 1. Description of the five turbofan degradation datasets available from NASA repository.

Datasets		#Fault Modes	#Conditions	#Train Units	#Test Units
Turbofan data from NASA repository	#1	1	1	100	100
	#2	1	6	260	259
	#3	2	1	100	100
	#4	2	6	249	248
PHM2008 Data Challenge	#5 $T$	1	6	218	218
	#5 $V$	1	6	218	435