Influence of temperature and upwelling intensity on oil sardine (*Sardinella longiceps*) landings along the southwest coast of India

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# Introduction

The Indian oil sardine (*Sardinella longiceps* Valenciennes, 1847) is one of the most commercially important fish resources along the southwest coast of India (Figure 1) and comprises ca 25% of the catch biomass (Vivekanandan et al. 2003). Landings of the Indian oilsardine are highly seasonal and peak during and after the summer monsoon period (June through September), in conjunction with the onset and early relaxation of coastal upwelling. However, the landings of this small pelagic finfish are also highly variable from year to year. Small pelagics often exhibit high variability due to the effects of environmental conditions on survival and recruitment (Checkley Jr. et al. 2009). In addition, the Indian oil sardine fishery is largely coastal and artisanal thus migration of fish schools in and out of the coastal zone greatly affects exposure to the fishery.

Researchers have examined a variety of environmental variables for their correlation with landings of the Indian oil sardine. Precipitation during the southwest monsoon (Murty and Edelman 1966, Antony Raja 1969, 1972, Jayaprakash 2002) and the day of the monsoon arrival (Jayaprakash 2002) have been widely examined and correlations found, but the reported effects are positive in some studies and negative in others. Precipitation is correlated with monsoon winds which trigger upwelling but may also affect salinity and act as a direct or indirect cue for spawning. Researchers have also found correlations with various metrics of upwelling intensity during the post-monsoon period (Longhurst and Wooster 1990, Madhupratap et al. 1994, Jayaprakash 2002, Krishnakumar et al. 2008, Thara 2011) and with direct measures of productivity, such as nearshore zooplankton and phytoplankton abundance (Hornell 1910, Nair 1952, Nair and Subrahmanyan 1955, Madhupratap et al. 1994, George et al. 2012). Researchers have also found correlations with sea surface temperature (SST); SST can affect both somatic growth rates and juvenile survival but also can cause fish to move off-shore and away from the shore-based fishery (Annigeri 1969, Prabhu and Dhulkhed 1970, Pillai 1991).

In this paper, we revisit the analysis of environmental covariates that correlate with oil sardine catch using data from 1983 to 2015 on seasonal landings and environmental conditions from remote-sensing. Based on biological information concerning how environmental conditions in the coastal waters affect spawning density, exposure of sardines to the coastal fishery, and larval and juvenile sardine survival, we develop a set of hypotheses about which remote-sensing covariates should correlate with current or future landings. We use generalized additive modelling of the landing data with remote-sensing covariates to test for these correlations.

## Study area and oil sardine life history

Our analysis focuses on the Kerala coast (Figure 1) region of India, where the majority of the Indian oil sardines are landed and where oil sardines comprise ca. 40% of the marine fish catch (Srinath 1998, Vivekanandan et al. 2003). This area is in the Southeast Arabian Sea (SEAS), one of world’s major upwelling zones, with seasonal peaks in primary productivity driven by upwelling caused by winds during the Indian summer monsoon (Madhupratap et al. 2001, Habeebrehman et al. 2008) between June and September. Within the SEAS, the coastal zone off Kerala between 9N to 13N has especially intense upwelling due to the combined effects of wind stress and remote forcing (Smitha et al. 2008, Smitha 2010). The result is a strong temperature differential between the near-shore and off-shore and high primary productivty and chlorophyll in this region (Madhupratap et al. 2001, Habeebrehman et al. 2008, Jayaram et al. 2010, Raghavan et al. 2010, Smitha 2010, Chauhan et al. 2011). The primary productivity peaks subside after September while mesozooplankton abundances increase and remain high in the inter-monsoon period (Madhupratap et al. 2001).

The life cycle of the oil sardine fishery begins with the entry of adult fish into the inshore areas during June – July, corresponding with the onset of the southwest monsoon (Chidambaram 1950, Antony Raja 1969) and restricted along the narrow strip of the western India continental shelf, within 20 km from the shore. The fish migrate from offshore to coastal waters and vice versa coinciding with the prevailing wind conditions (Hornell 1910). A gradual increase in temperature ranging from 26 to 28°C is favorable for their inshore migration (Chidambaram 1950). According to Nair et al. (2016), the exact location of oil sardine spawning grounds along the Indian coastline is still unclear; however, it is generally believed that the spawning of oil sardine occurs during the southwest monsoon period (Jun-Jul) when temperature, salinity and suitable food availability are conducive for larval survival (Murty and Edelman 1966, Jayaprakash and Pillai 2000, Krishnakumar et al. 2008), although some studies suggest that spawning can occur as late as September (Hornell 1910, Hornell and Nayudu 1923, Antony Raja 1969, Prabhu and Dhulkhed 1970).

After eggs are spawned, they develop rapidly into larvae within 24 hrs (Nair 1959). The phytoplankton bloom that provides for sardine larvae food is dependent upon nutrient influx from coastal upwelling and runoff from rivers. The blooms start in the south in June, increase in intensity and spread northward up the coast (Smitha 2010). Oil sardines grow rapidly during their first few months and can reach 40mm with 2 months (reference). Variation in the bloom initiation time and intensity lead to changes in the food supply to sardine larvae and corresponding changes in their growth rate and survival and the later recruitment of 0-year sardines into the fishery (George et al. 2012). During March to May, the inshore waters warm considerably and sardines move off-shore to deeper waters (Chidambaram 1950). Catches of sardines are correspondingly low during this time for all size classes.

The age at first maturity occurs at less than one year, at about 150 mm size. Spawning begins during the southwest monsoon period (June-July) when temperature, salinity and suitable food availability are conducive for larval survival and continues into September (Murty and Edelman 1966, Jayaprakash and Pillai 2000, Krishnakumar et al. 2008). The fishery is closed during June to mid-July during the monsoon and peak spawning, and when it resumes in mid-July, it is dominated by 1-2.5 year old fish that have recently spawned (spent). In August a spike of 0-year (40mm) juveniles from the June spawning ofter appears in the catch and another spike of 0-year fish is sometimes seen in February from the last fall spawning. Overall, catches along the Kerala coast are fairly high throughout the year except during March-May (Figure 2).

## Hypotheses

Based on biological information concerning how environmental conditions affect sardine survival and recruitment and affect exposure of sardines to the coastal fishery, we developed a set of hypotheses about which remote-sensing covariates in which months should correlate with landings in specific quarters.

### Quarter 3 July-Sept catch correlates

Quarter 3 is during the summer monsoon, with the peak in June and continuing at a lower level into October. During this time, sardines move inshore from offshore and become exposed to the primarily coastal fishery. Factors that affect inshore migration or spawning should affect the catch in quarter 3, which is primarily of mature fish although small (40mm) juveniles from the June spawning often appear befiefly in the catch during August. The following are the major factors that are hypothesized to affect the catch in quarter 3 due to either a stronger spawning class or higher (or lower) exposure of the spawning age fish to the fishery due to higher (or lower) inshore migration.

1. Precipitation low or high during either the summer monsoon (June-July) or pre-monsoon (Apr-May) is associated with low spawning.
2. Low sea surface temperature is associated with delayed and limited spawning in Pacific sardines (Jacobson and MacCall 1995). The reasons for this may be behavioral as low temperature as associated with poor survival of larvae. Larval mortality rates may be higher in colder water due to increased zooplankton predation and upwelling (associated with cold surface temperatures) advects larvae into offshore waters.
3. In addition to advection of larvae offshore, upwelling brings low oxygen water to the surface which discourages inshore migration to coastal spawning areas. Thus due to both lower SST and lower dissolved oxygen, strong upwelling during the spawning season is expected to be negatively associated with the spawning biomass in the near-shore region.
4. Salinity is also associated with spawning initiation; however we do not have long-term salinity data.
5. Spawners are age 2+ fish, thus the biomass in the previous two seasons should be correlated with the biomass of spawners this year. This should especially be the case for the biomass in quarters 4, 1 and 2 of the previous season as these were age 1-2 fish. A stronger cohort of age 1-2 fish in the previous year should be associated with a stronger spawner class in the current year. Note that it could also be the case that higher catch of age 1-2 fish in the previous season would be associated with lower spawners in the current year due to depletion. However during the majority of our study period, the fishery was largely artisanal and is not thought to be sufficient to deplete the population.

### Post-summer monsoon catch correlates

After spawning, during the 4th quarter of the current calendar year and the 1st and 2nd quarters of the next calendar year, the length distribution of the catch first shifts to smaller fish as 0-year juveniles begin shoaling inshore. The catch during the post-monsoon part of the season should be correlated with factors associated with increased survival of eggs and larvae the current and previous years.

1. Sea surface temperature is associated with egg and larval survival (Jacobson and MacCall 1995).
2. Upwelling is associated with higher productivity and higher density of zooplankton, which leads to better larval and juvenile growth and survival. Thus the strength of upwelling during the post-spawning quarters should be associated with higher non-spawning biomass in subsequent years.
3. Chlorophyll blooms are signatures of high productivity from nutrient influx either due to upwelling or coastal inputs. Thus the bloom intensity in prior years should be associated with future non-spawning biomass. In addition, juveniles shoal in response to chlorophyll blooms (citation) and thus the near shore chlorophyll bloom intensity in the current season should be associated with higher post-spawning catches due to higher exposure of the non-spawning biomass to the coastal fishery.

1 Abundance of 1-year and 2-year fish should be correlated with strength of the cohorts from the previous two seasons. We do not have have direct measures of recruitment or spawners. However the catch in quarter 3 is dominated by mature fish, thus catch in quarter 3 in the previous two calendar years is expected to be correlated with the post-monsoon catch after the summer monsoon (quarter 4 of the current calendar year with quarter 1 and 2 of the next calendar year).

1. Because age 2 fish also appear in the catch after quarter 3, we also expect the post-monsoon catch in the previous season to be correlated with the post-spawning catch in the current season.

# Methods and data

## Sardine landing data

Quarterly fish landing data have been collected by the Central Marine Fisheries Research Institute (CMFRI) in Kochi, India, since the early 1950s using a stratified multi-stage sample design that takes into account landing centers, number of fishing days, and boat net combinations in fishing operations (Srinath et al. 2005). The quarterly landings for oil sardine landed from all gears in Kerala were obtained from CMFRI reports (1956-1984) and online database (1985-2015). (CMFRI 1969, 1995, Pillai 1982, Jacob et al. 1987, 2016). The quarterly landing data were log-transformed to stabilize the seasonal variance.

## Remote-sensing data

We analysed monthly composites of the following environmental data derived from satellites: Sea Surface Temperature (SST), chlorophyll-a (CHL), upwelling (UPW) and precipitation. Sea surface temperature: For 1981 to 2002, we used Pathfinder Version 5.2 product on a 4km grid, and for 2003 to 2016, we used Advanced Very-High Resolution Radiometer (AVHRR) data at a 0.1 degree spatial scale. Chlorophyll-a, we used SeaWiFS data on a 0.1 degree spatial scale for 1981 to 2002 and MODIS data from 2003 to 2017 at 0.05° spatial scale. The SST and CHL data were averaged across thirteen 1 degree by 1 degree boxes which roughly parallel the bathymetry (Figure 1). The SST and CHL satellite data were retrieved from the NOAA ERDDAP server.

For an index of coastal upwelling, we used the sea-surface temperature differential between near shore and 3 degrees offshore as described by Naidu et al. (1999) and Smitha et al. (2008). The index is computed as the average SST in boxes 3 degrees offshore of boxes 1-5 in Figure 1 minus the average SST in boxes 1-5. For SST, we used the remote-sensing sea-surface temperature data sets described above. This SST-based upwelling index has been validated as a more reliable metric of upwelling off the coast of Kerala compared to wind-based upwelling indices (Smitha et al. 2008).

Precipitation data were obtained from two different sources. The first was an estimate of the monthly precipitation (mm) over Kerala from land-based rain gauges (Kothawale and Rajeevan 2017). This time series is available from the start of our landing data (1956). The second was a remote-sensing precipitation product from the NOAA Global Precipitation Climatology Project (Adler et al. 2016). This provides estimate of precipitation over the ocean using a global 2.5 degree grid. We used the 2.5 by 2.5 degree box defined by latitude 8.75 to 11.25 and longitude 73.25 to 75.75 for the precipitation off the coast of Kerala. These data are available from 1979 forward (2017).

# Explanatory models

We investigated correlations between environmental variables and sardine catch during spawning and post-spawning periods using generalized additive models (GAMs, Wood 2017). GAMs allow one to model the effect of a covariate as a flexible non-linear function and it was known that the effects of the environmental covariates were likely to be non-linear, albeit in an unknown way. Our approach is similar to that taken by Jacobson and MacCall (1995) in a study of the effects of SST on Pacific sardine recruitment.  
The model for catch in quarter 3 was of the form

where is the log catch in the 3rd quarter of year . Catch in the 3rd quarter (July-Aug) captures mainly spawning age fish as it overlaps with the tail end of the spawning season—the fishery is closed July to mid-August during the height of the summer monsoon and spawning season. The model seeks to explain the variability in catch in the 3rd quarter via a non-linear function of the covariates, and , plus a non-linear function of total catch in prior years. The catches were logged to stabilize and normalize the variance. The model is primarily statistical, meaning it should not be thought of as being a population growth model. It is a form of model that is often used for modelling the effects of covariates on the number of fish that recruit into the fishery (e.g. Jacobson and MacCall 1995, add others or a textbook). The covariates tested are those discussed in the section on covariates that have been hypothesized to drive the size of the spawning biomass exposed to the fishery. The relevant covariate may be in the concurrent with the catch or in a prior year.

The model for catch in the non-spawning quarters (October to June) took a similar form

where is the log of the total catch in the post-spawning season October to June (a nine-month period that spans two calendar years). We included the catches during the spawning season () separately from the non-spawning season (). The covariates that affect the egg and larval survival may be quite different from those affecting the age-1 to age-2 or age-2 to age-3 survival. We wanted to be able to model these separately. The covariates tested are those discussed in the section on covariates that have been hypothesized to drive both survival and growth of juvenile fish and the factors that lead migration of fish inshore and thus exposed to the coastal fishery.

Model selection was conducted in a step-wise fashion. The length of the covariate data was 33-35 years (1982 to 2015 or 2017) for most covariates and this was not sufficient for fitting all covariates simultaneously. The model for the effect of the past of biomass on current biomass (density-dependence) was first determined. Once a sufficient model for the density-dependence was determined, the covariates were studied individually and then jointly. F-tests and AIC on nested sets of GAM models (Wood et al. 2016) were used to evaluate the support for models. One feature of GAMs is that they allow the ‘flexibility’ or smoothing parameter of the response curve to be estimated. However we fixed the degree of flexibility so that reasonably smooth responses were achieved. Multi-modal or overly wiggly response curves would not make sense for our covariates. We used GAMs with smooth terms represented by penalized regression splines (Wood 2011, using the mgcv package in R) and fixed the smoothing term at an intermediate value.

# Results

## Catches in prior seasons as explanatory variables

There was support for including the post-spawning catch in the previous year as an explanatory variable for the catch during the spawning season (3rd quarter). Models with were strongly supported over an intercept only model (Table 1, time-dependency test). However the addition of the catch two years prior, , lead to either no decrease in the residual deviance (i.e. increase in the explained variance) and in fact, increased the residual deviance for the model with non-linearity (Table 1, Linearity test). We also tested the support for non-linearity in the effect of the prior year catch on the catch in the spawning season. This was done by comparing models with included as a linear term or as a non-linear function (Table 1, Linearity test). The residual deviance decreased using a non-linear response however the cost was 1.4 degrees of freedom. The result was only weak (non-significant) support for allowing a non-linear response. The full set of models tested, including tests using catch during the spawning months in previous seasons as a covariate are shown in Tables A1 and A2. The results were the same if we used the full landings data set from 1956 to 2015 (Table A3). Overall, the landings in prior seasons was only weakly explanatory for the catch in the spawning months, and the maximum was less than 30% (Table 1).

The results were similar for models of the landings during the non-spawning months () of the sardine season (Table 2). The most supported model for used a non-linear response to landings during the non-spawning months of the previous season: with a non-linear response to landings during the spawning months two seasons prior (Table 2). There was low support for including landings from the non-spawner months two seasons prior or for using the landings during the spawning months in the prior season (Tables A4, A5, and A6).

## Environmental covariates as explanatory variables

There was no support for using precipitation during the summer monsoon (Jun-Jul) or pre-monsoon period (Apr-May) as an explanatory variable for the catch during the spawning months (Table B1) nor the non-spawning months (Table B2). This was the case whether precipitation in the current or previous season was used, if precipitation was included as non-linear or non-linear effect, or if the smoothing term (degree of non-linearity allowed) was estimated and thus not constrained, and if either precipitation during monsoon (Jun-Jul) or pre-monsoon (Apr-May) were used as the covariate.

However, we found significant correlation between average sea surface temperature during the early post-spawning period (Jul-Dec) and catch during the spawning season (Table 3, Table B3). Sea surface temperature has been found to be correlated with sardine biomass in a number of other studies [Jacobson and MacCall (1995); add the others]. The residual deviance was lowest in a model with SST in both the prior year and two years prior included and with a non-linear response for both. This a similar result to Jacobson and MacCall (1995) who found that SST in multiple prior years was supported as explanatory variables for sardine recruitment and productivity. However the reduction in degrees of freedom was high for this model and it was not supported, despite having the lowest residual degrees of freedom, given the cost (loss of degrees of freedom). The model with the lowest AIC was a model with only the current year and a non-linear response. The response shows a step-response with a negative effect at low temperatures and then an increased effect a higher temperatures (Figure 3). This type of step-response has been found in studies of the effect of SST on recruitment in Pacific sardines (Jacobson and MacCall 1995). The for this model was 0.40 (Table 3).

The strongest predictor of the catch during the spawning season however was the upwelling strength during Jan-Mar (4-6 months prior) in the previous season (Tables 3 and B4). Jan-Mar is a period when the young of the year and age-1 fish would be found feeding in large shoals in the coastal region. This is also the time of year with the second highest catches and catches that are dominated by small-sized fish (*citation*). The best supported model included only the upwelling strength in the prior year, without SST included as a covariate (Table 3). The for this model was 0.60 and the fitted versus observed catches for this model are shown in Figure 4.

For catch in the non-spawning months (Oct-Jun), sea surface temperature during the spawning months in the current season was a significant predictor similar to what was found for catches in the spawning months. Upwelling in the prior season was also a significant predictor, but the important months were Oct-Dec. This is period is important for larval and early juvenile survival and growth, and other studies have also found this to be a critical period for future stock size in sardines (*citation*). The model with the lowest residual variance and lowest AIC was the model which included both the SST and upwelling covariates (Table 3). The for this model was 0.73 and the fitted versus observed catches for this model are shown in Figure 4.

Chlorophyll-a density is speculated to be an important predictor of larval sardine survival and growth. In addition, sardines shoal in response to coastal chlorophyll blooms, which brings them in contact with the coastal fisheries. Thus Cholorophyll-a density is assumed to be an important either covariate or driver of future or current sardine catches. However, we had chlorophyll-a remote-sensing data only from 1998 onward. Our simplest covariate model required 5 degrees of freedom, thus we were limited in the analyses we could conduct. In addition, the years, 1998-2014, have relatively low variability in catch sizes; the logged catch sizes during this period range from 10-11 during the spawning months and 11-12 during the nonspawning months. Second degree polynomial models were fit (Appendix C) to the average log chlorophyll-a density in the current and prior season from quarters 3 (Jul-Sep), 4 (Oct-Dec), and 1 (Jan-Mar). Chlorophyll-a density was not a significant predictor for the spawning catch for any of the tested combinations of current or prior season and quarter. The only significant effect was seen for non-spawner catches using chlorophyll-a density in Oct-Dec of the current and prior season (Table C1). This matches results which found that the upwelling index in Oct-Dec of the prior season was a predictor for the non-spawning months’ catch. The upwelling index and chlorophyll-a density are both indices of low-trophic level productivity.

# Discussion

Sardines in all the world’s ecosystems exhibit large fluctuations in abundance (**???**, Schwartzlose et al. 2010). These small forage fish are strongly influenced by natural variability in upwelling driven by both large-scale forces, i.e. El Nino patterns, and by changes in winds and currents and in addition local conditions of temperature, salinity, and oxygen levels have both direct and indirect on sardine recruitment and survivial.

Many studies on Pacific sardines have looked at the correlation between sea surface temperture (SST) and recruitment. Temperature can have direct effect, an indirect effect on food availability or affect survival (Houde 1987). Studies in the California Current System, have found that SST explains year-to-year variability in Pacific sardine recruitment (Jacobson and MacCall 1995, Checkley Jr. et al. 2009, 2017, Lindegren and Checkley Jr. 2012). Consistent with these studies, we also found that SST was the covariate that explained variability in catch anomalies (difference between the landing prediction from prior years’ catches).

McClatchie et al. (2010) found no SST relationship with SST and Pacific sardine recruitment, however their analysis used a linear relationship while both other studies that found a relationship (Jacobson and MacCall 1995, Checkley Jr. et al. 2017) allowed a non-linear relationship. Both Jacobson and MacCall (1995) and Checkley et al (2017) found a step-like response function for temperature, where lower temperatures were poor and had negative effects and then at a threshold value the effect became positive. Our analysis found a similar step-like effect function (Figure ).

There were three outlier years when catch were much lower than expected based on prior catches. For these years, sea surface temperature improved the model fit greatly (Figure ). Need to flesh out.

Discuss density-dependence. The fact that the prior year catch had a strong explainatory value.

Finish off with implications. In California Current system, SST is used as an indicator for recruitment and is used in management of harvest.

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# Figure Legends

Figure 1. Close up of Kerala State with the latitude/longitude boxes used for the satellite data. Kerala State is marked in grey and the oil sardine catch from this region is being modeled.

Figure 2. Quarterly catch data 1956-2014 from Kerala. The catches have a strong seasonal pattern with the highest catches in quarter 4 Note that quarter 3 is July-Sept and that the fishery is closed July 1 to Aug 15, thus the fishery is only open 1.5 months in quarter 3. The mean catch (metric tonnes) in quarters 1 to 4 are 38, 19.2, 30.9, and 59.9 metric tonnes respectively.

Figure 5. Cartoon of the sardine life-cycle in the SE Indian Ocean and how it interacts with the fishery.

Figure 6. Remote sensing covariates used in the analysis. All data are monthly averages over Box 4 in Figure 1 on the Kerala coast off of Kochi. Panel A) Upwelling Index. The upwelling index is the difference between the near-shore sea surface temperature (SST) and the off-shore SST defined as 3 degrees longitude offshore. Panel B) Surface chlorophyll-a (Chl-a). The Chl-a data are only available from 1997 onward. Panel C) Sea surface temperature constructed from Advanced Very High Resolution Radiometer (AVHRR). Panel D) Average daily rainfall (mm/day) off the Kerala coast.

Figure 7. Key oil sardine life-history events overlaid on the monthly SST in the near-shore and off-shore and the near-shore Chl-a.

Figure 3. Effects of covariates estimated from the GAM models. Panel A) Effect of SST during the spawning months (Jul-Sep) on catch during the spawning months. Low SST is associated with lower that expected catch during the spawning months. Panel B) Effect of upwelling (inshore/off-shore SST differential) during Jan-Mar of the prior season on catch during the next spawning months (Jul-Sep). The index is the difference between offshore and inshore SST, thus a negative value indicates warmer coastal surface water than off-shore. Warm coastal water during Jan-Mar when sardines are foraging along the coast, is associated with lower catch during the next spawning season. Panel C) Effect of SST during the spawning months (Jul-Sep) on catch during the subsequent non-spawning months (Oct-Jun). Low SST is associated with lower that expected catch during the following non-spawning months. Panel D) Effect of upwelling (inshore/off-shore SST differential) during Oct-Dec of the prior season on catch during the non-spawning months (Oct-Jun) the next season. Strong upwelling (positive upwelling index) in the early larval and juvenile period (Oct-Dec) is associated with higher than expected catch in the next season.

Figure 8. Fitted versus observed catch with models with and without environmental covariates. Panel A) Fitted versus observed log catch in the spawning months with only non-spawning catch in the previous season as the covariate: . Panel B) Fitted versus observed log catch in the spawning months with Jan-Mar upwelling in the prior season added as a covariate to the model: . Panel C) Fitted versus observed log catch in the non-spawning months with only non-spawning catch in the previous season and spawning catch two season prior as the covariates: . Panel D) Fitted versus observed log catch in the non-spawning months with current season SST in Jul-Sep () and Oct-Dec upwelling in the prior season () added as covariates: . was added linearly since the data were insufficient to estimate four non-linear effects.

Table 1. Model selection tests of time-dependency and linearity for the model using F-tests of nested models fit to log landings data. is the catch during the spawning period (Qtr 3 = July-Sep) of season (Jul-Jun). is the catch during the prior sardine season after the spawning period (the 9-months following Qtr 3, Oct-Jun, of the previous sardine season). is the same for two seasons prior. is a non-linear function of the response variable.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| Time dependency test 1982-2015 data |  |  |  |  |  |
| = + | 33 | 65.13 |  |  | 122.59 |
| (Var() = 1.97) |  |  |  |  |  |
| = + + | 32 | 48.37 | 10.85 | 0.002 | 114.47 |
| ( = 23%, Var() = 1.51) |  |  |  |  |  |
| = + + + | 31 | 48.18 | 0.12 | 0.729 | 116.34 |
| ( = 21%, Var() = 1.55) |  |  |  |  |  |
|  |  |  |  |  |  |
| Linearity test 1982-2015 data |  |  |  |  |  |
| = + + | 32 | 48.37 |  |  | 114.47 |
| ( = 23%, Var() = 1.51) |  |  |  |  |  |
| = + + | 30.6 | 44.8 | 1.74 | 0.199 | 113.76 |
| ( = 27%, Var() = 1.44) |  |  |  |  |  |
| = + + + | 28.2 | 42.91 | 0.54 | 0.618 | 116.14 |
| ( = 25%, Var() = 1.47) |  |  |  |  |  |
| = + + + | 27.7 | 40.87 | 0.97 | 0.419 | 115.33 |
| ( = 28%, Var() = 1.42) |  |  |  |  |  |

# 

Table 2. Model selection tests for the model using AIC for models fit to log landings data. is the catch during the spawning season. is the catch during the non-spawning period (Qtrs 4, 1 and 2: Oct-Jun) of season (Jul-Jun). and are the catch during the prior sardine season during and after the spawning period respectively. and are the same for two seasons prior.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| = + + | 31 | 27.42 |  |  | 93.54 |
| ( = 39%, Var() = 0.88) |  |  |  |  |  |
| = + + | 29.5 | 23.04 | 5.2 | 0.02 | 89.79 |
| ( = 47%, Var() = 0.77) |  |  |  |  |  |
| = + + + | 27.2 | 19.95 | 2.28 | 0.115 | 88.81 |
| ( = 51%, Var() = 0.71) |  |  |  |  |  |
| = + + + | 26.5 | 15.97 | 10 | 0.008 | 82.62 |
| ( = 60%, Var() = 0.58) |  |  |  |  |  |

# 

Table 3. Top covariates for the spawner () and non-spawner () models. The models are nested; the roman numeral indicates the level of nestedness. Models at levels II and higher are shown with the component that is added to the base level model (M0 or M1) at top. The full set of covariate models tested are given in Appendix B. The fitted versus observed catches from the covariate models are shown in Figure 8.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| Spawner catch models with covariates |  |  |  |  |  |
| = Jul-Sep SST current season |  |  |  |  |  |
| = Jan-Mar upwelling prior season |  |  |  |  |  |
| I-M0: | 29.6 | 44.66 |  |  | 111.57 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| II: | 27 | 35.62 | 2.73 | 0.073 | 108.26 |
| ( = 37%, Var() = 1.27) |  |  |  |  |  |
| III: | 24.4 | 33.06 | 0.76 | 0.514 | 110.1 |
| ( = 37%, Var() = 1.28) |  |  |  |  |  |
| II: | 26.9 | 42.45 | 0.53 | 0.648 | 114.3 |
| ( = 25%, Var() = 1.53) |  |  |  |  |  |
|  |  |  |  |  |  |
| Spawner catch models with covariates |  |  |  |  |  |
| = Jul-Sep SST current season |  |  |  |  |  |
| = Oct-Dec upwelling prior season |  |  |  |  |  |
| I-M1: | 25.6 | 15.58 |  |  | 80.66 |
| ( = 61%, Var() = 0.59) |  |  |  |  |  |
| II: | 23.1 | 9.78 | 5.8 | 0.007 | 69.77 |
| ( = 73%, Var() = 0.4) |  |  |  |  |  |
| III: | 22.1 | 9.61 | 0.44 | 0.505 | 71.14 |
| ( = 73%, Var() = 0.41) |  |  |  |  |  |
| II: | 23.3 | 14.94 | 0.48 | 0.649 | 82.97 |
| ( = 59%, Var() = 0.6) |  |  |  |  |  |

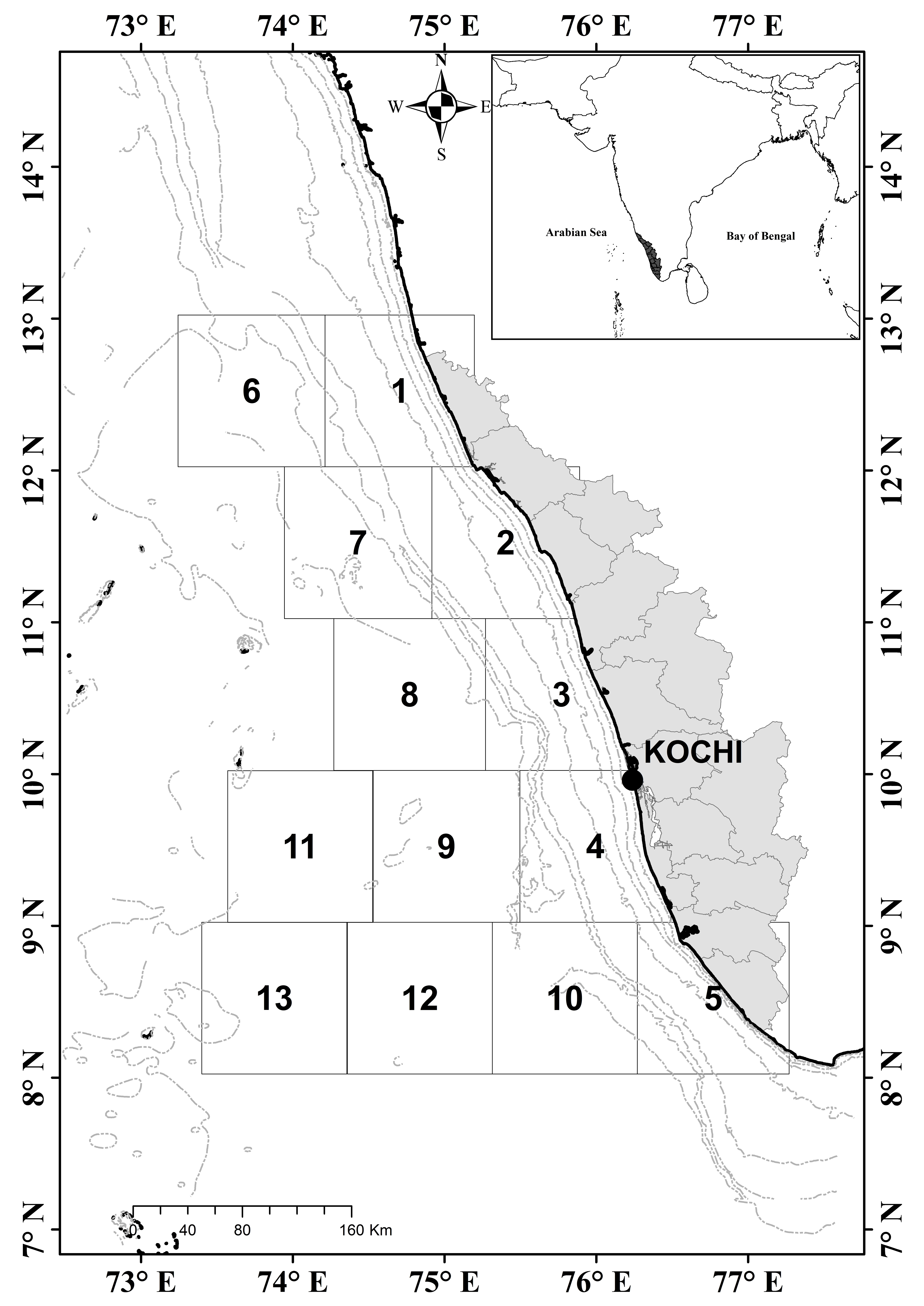


Figure 1

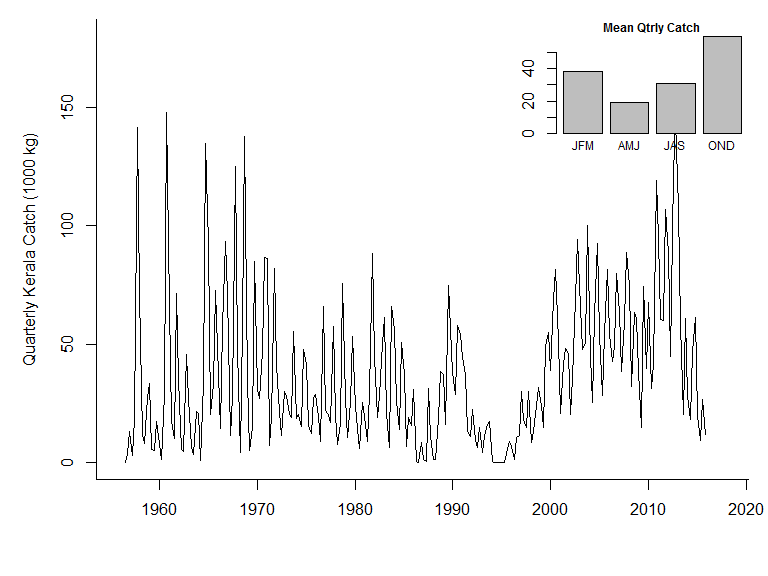


Figure 2

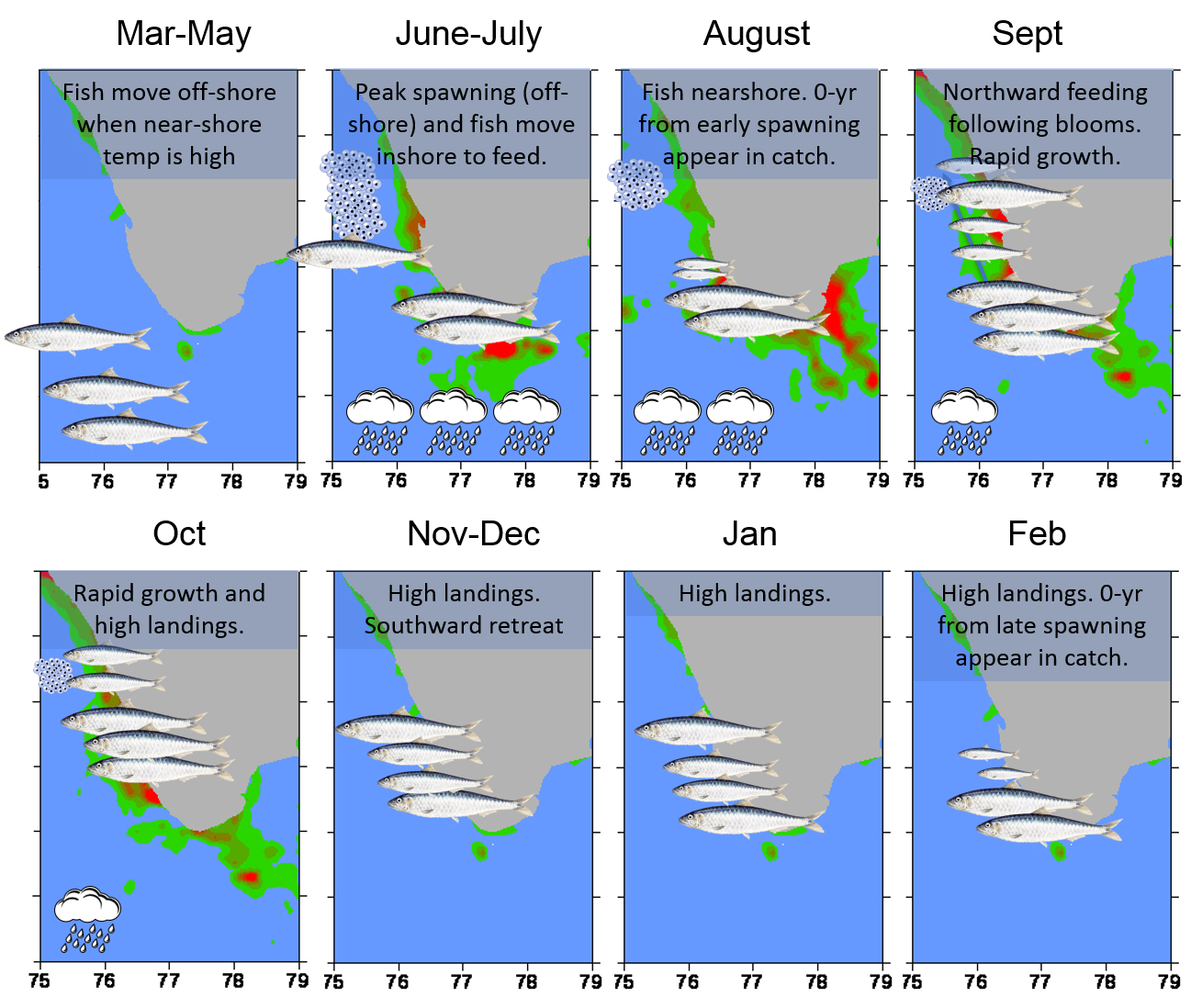


Figure 5

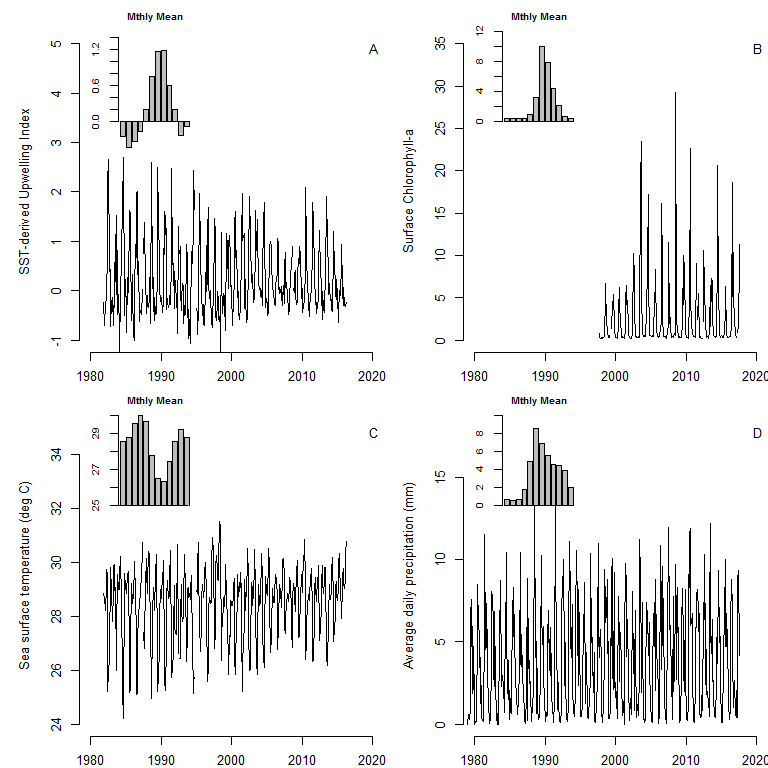


Figure 6

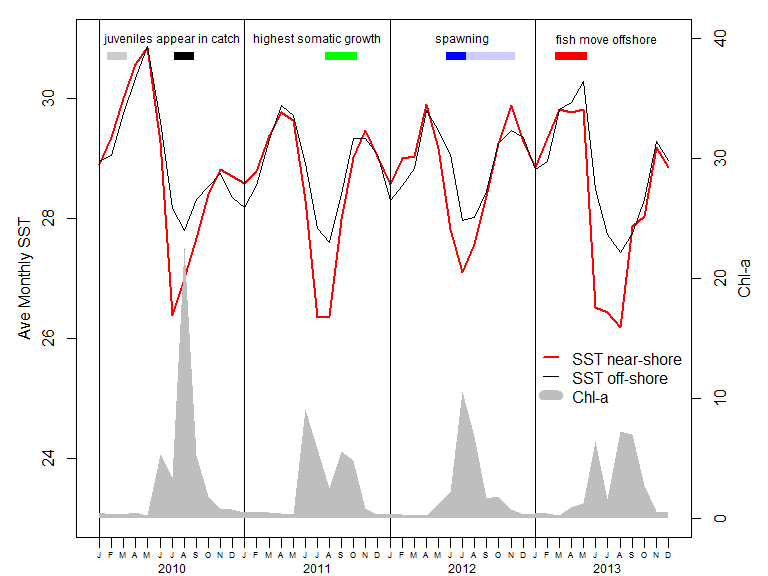


Figure 7

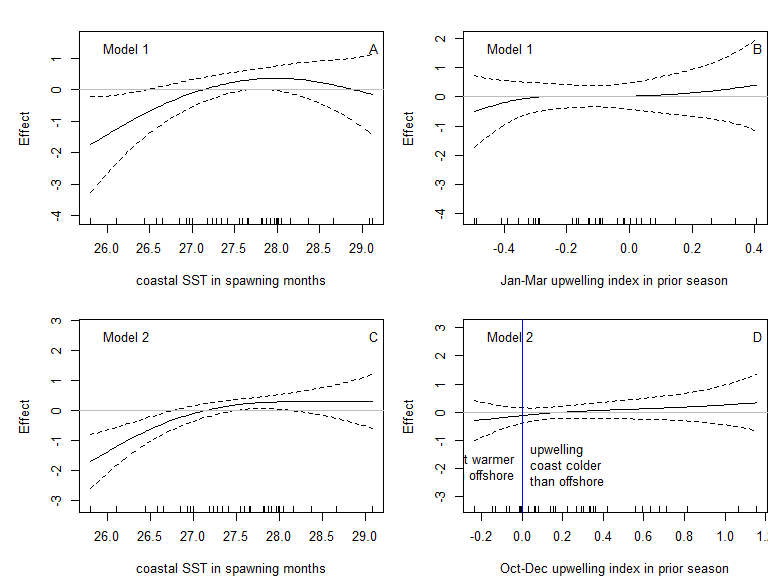


Figure 3

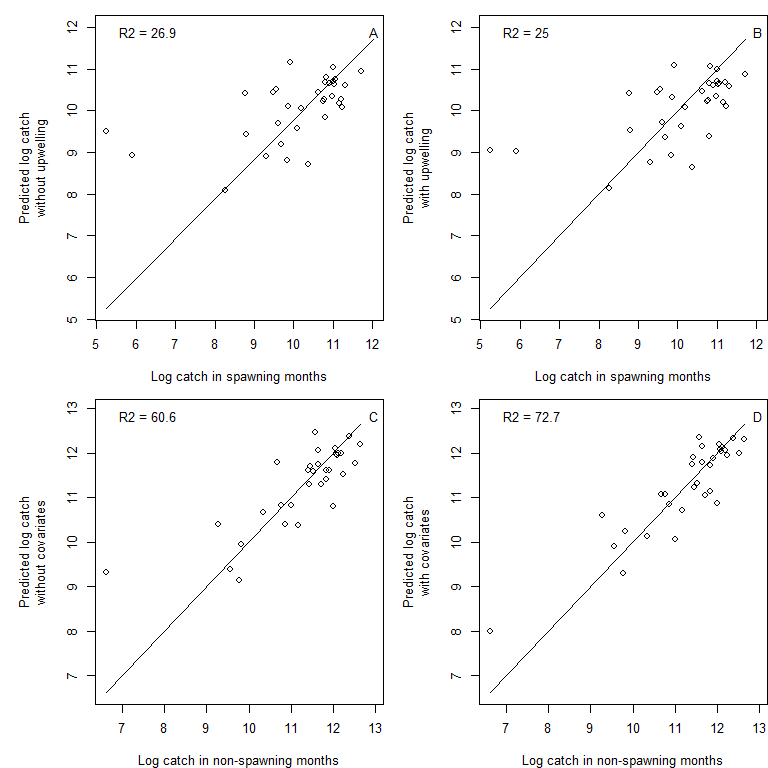


Figure 8

# Appendices

# Appendix A: Tests for prior season catch as covariate

Table A1. Model selection tests of time-dependency the log catch during spawning months using F-tests of nested linear models. is the catch during the spawning period (Jul-Sep). is the catch during the non-spawning period (Oct-Jun). and are the catch during the prior season during and after the spawning period respectively. and are the same for two seasons prior. Test A uses catch during the spawning period as the explanatory variable. Test B uses catch during the non-spawning period as the explanatory variable. Test C uses both. For Test C, the nestedness is lines 1-3 and lines 1-2 and 4.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| Time dependency test A 1983-2015 data |  |  |  |  |  |
| = + | 32 | 65.09 |  |  | 120.07 |
| (Var() = 2.03) |  |  |  |  |  |
| = + + | 31 | 56.91 | 4.61 | 0.04 | 117.63 |
| ( = 10%, Var() = 1.84) |  |  |  |  |  |
| = + + + | 30 | 53.27 | 2.05 | 0.163 | 117.45 |
| ( = 13%, Var() = 1.78) |  |  |  |  |  |
|  |  |  |  |  |  |
| Time dependency test B 1983-2015 data |  |  |  |  |  |
| = + | 32 | 65.09 |  |  | 120.07 |
| (Var() = 2.03) |  |  |  |  |  |
| = + + | 31 | 48.33 | 10.45 | 0.003 | 112.24 |
| ( = 23%, Var() = 1.56) |  |  |  |  |  |
| = + + + | 30 | 48.11 | 0.14 | 0.714 | 114.09 |
| ( = 21%, Var() = 1.6) |  |  |  |  |  |
|  |  |  |  |  |  |
| Time dependency test C 1983-2015 data |  |  |  |  |  |
| = + + | 31 | 48.33 |  |  | 112.24 |
| ( = 23%, Var() = 1.56) |  |  |  |  |  |
| = + + + | 30 | 47.65 | 0.43 | 0.517 | 113.77 |
| ( = 22%, Var() = 1.59) |  |  |  |  |  |
| = + + + | 30 | 47.89 | 0.28 | 0.601 | 113.94 |
| ( = 22%, Var() = 1.6) |  |  |  |  |  |

Table A2. Model selection tests of time-dependency the catch during spawning months using non-linear responses instead of linear responses as in Table A1 See Table A1 for an explanation of the parameters and model set-up.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| Time dependency test A 1983-2015 data |  |  |  |  |  |
| = + + | 31 | 56.91 |  |  | 117.63 |
| ( = 10%, Var() = 1.84) |  |  |  |  |  |
| = + + | 29 | 48.2 | 2.73 | 0.085 | 115.01 |
| ( = 20%, Var() = 1.63) |  |  |  |  |  |
| = + + + | 26.2 | 44.27 | 0.86 | 0.466 | 116.82 |
| ( = 20%, Var() = 1.62) |  |  |  |  |  |
|  |  |  |  |  |  |
| Time dependency test B 1983-2015 data |  |  |  |  |  |
| = + + | 31 | 48.33 |  |  | 112.24 |
| ( = 23%, Var() = 1.56) |  |  |  |  |  |
| = + + | 29.6 | 44.66 | 1.71 | 0.203 | 111.57 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + | 27.3 | 42.64 | 0.57 | 0.594 | 113.79 |
| ( = 26%, Var() = 1.51) |  |  |  |  |  |
|  |  |  |  |  |  |
| Time dependency test C 1983-2015 data |  |  |  |  |  |
| = + + | 29.6 | 44.66 |  |  | 111.57 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + | 26.9 | 38.53 | 1.66 | 0.202 | 111.12 |
| ( = 32%, Var() = 1.39) |  |  |  |  |  |
| = + + + | 26.8 | 40.64 | 0.98 | 0.414 | 113.05 |
| ( = 28%, Var() = 1.47) |  |  |  |  |  |

Table A3. Model selection tests of time-dependency for the catch during spawning months using 1956-2015 data. See Table A1 for definitions.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| Time dependency test B linear 1956-2015 data |  |  |  |  |  |
| = + | 57 | 80.84 |  |  | 187.85 |
| ( = 0%, Var() = 1.42) |  |  |  |  |  |
| = + + | 56 | 67.58 | 10.79 | 0.002 | 179.46 |
| ( = 15%, Var() = 1.21) |  |  |  |  |  |
| = + + + | 55 | 67.58 | 0 | 0.97 | 181.46 |
| ( = 13%, Var() = 1.23) |  |  |  |  |  |
|  |  |  |  |  |  |
| Linearity test 1956-2015 data |  |  |  |  |  |
| = + + | 56 | 67.58 |  |  | 179.46 |
| ( = 15%, Var() = 1.21) |  |  |  |  |  |
| = + + | 54.6 | 65.7 | 1.13 | 0.312 | 179.64 |
| ( = 16%, Var() = 1.19) |  |  |  |  |  |
| = + + + | 52.3 | 65 | 0.25 | 0.811 | 182.78 |
| ( = 14%, Var() = 1.22) |  |  |  |  |  |

# 

Table A4. Model selection tests of time-dependency the model using F-tests of nested models fit to 1983 to 2014 log landings data. The years are determined by the covariate data availability. is the catch during the non-spawning period (Qtrs 4, 1 and 2: Oct-Jun) of season (Jul-Jun). and are the catch during the prior sardine season during and after the spawning period respectively. and are the same for two seasons prior. Test A uses catch during the spawning period as the explanatory variable. Test B uses catch during the non-spawning period as the explanatory variable. Test C uses both. For Test C, the nestedness is lines 1-3 and lines 1-2 and 4.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| Time dependency test A 1983-2014 data |  |  |  |  |  |
| = + | 31 | 46.19 |  |  | 106.56 |
| (Var() = 1.49) |  |  |  |  |  |
| = + + | 30 | 32.39 | 12.77 | 0.001 | 97.2 |
| ( = 28%, Var() = 1.08) |  |  |  |  |  |
| = + + + | 29 | 31.31 | 1 | 0.325 | 98.12 |
| ( = 28%, Var() = 1.08) |  |  |  |  |  |
|  |  |  |  |  |  |
| Time dependency test B 1983-2014 data |  |  |  |  |  |
| = + | 31 | 46.19 |  |  | 106.56 |
| (Var() = 1.49) |  |  |  |  |  |
| = + + | 30 | 27.41 | 20.17 | 0 | 91.85 |
| ( = 39%, Var() = 0.91) |  |  |  |  |  |
| = + + + | 29 | 27 | 0.43 | 0.517 | 93.38 |
| ( = 38%, Var() = 0.93) |  |  |  |  |  |
|  |  |  |  |  |  |
| Time dependency test C 1983-2014 data |  |  |  |  |  |
| = + + | 30 | 27.41 |  |  | 91.85 |
| ( = 39%, Var() = 0.91) |  |  |  |  |  |
| = + + + | 29 | 27.32 | 0.1 | 0.759 | 93.75 |
| ( = 37%, Var() = 0.94) |  |  |  |  |  |
| = + + + | 29 | 27.4 | 0.01 | 0.93 | 93.84 |
| ( = 37%, Var() = 0.94) |  |  |  |  |  |

Table A5. Model selection tests of time-dependency the model using non-linear responses instead of linear responses as in Table A4 See Table A4 for an explanation of the parameters and model set-up.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| Time dependency test A 1983-2014 data |  |  |  |  |  |
| = + + | 30 | 32.39 |  |  | 97.2 |
| ( = 28%, Var() = 1.08) |  |  |  |  |  |
| = + + | 28.1 | 29.4 | 1.68 | 0.207 | 96.88 |
| ( = 31%, Var() = 1.03) |  |  |  |  |  |
| = + + + | 25.1 | 24.35 | 1.84 | 0.166 | 95.69 |
| ( = 38%, Var() = 0.93) |  |  |  |  |  |
|  |  |  |  |  |  |
| Time dependency test B 1983-2014 data |  |  |  |  |  |
| = + + | 30 | 27.41 |  |  | 91.85 |
| ( = 39%, Var() = 0.91) |  |  |  |  |  |
| = + + | 28.5 | 22.99 | 4.07 | 0.04 | 88.28 |
| ( = 47%, Var() = 0.79) |  |  |  |  |  |
| = + + + | 26.3 | 19.89 | 1.87 | 0.171 | 87.3 |
| ( = 51%, Var() = 0.73) |  |  |  |  |  |
|  |  |  |  |  |  |
| Time dependency test C 1983-2014 data |  |  |  |  |  |
| = + + | 28.5 | 22.99 |  |  | 88.28 |
| ( = 47%, Var() = 0.79) |  |  |  |  |  |
| = + + + | 25.9 | 21.83 | 0.55 | 0.631 | 90.96 |
| ( = 45%, Var() = 0.81) |  |  |  |  |  |
| = + + + | 25.6 | 15.58 | 4.26 | 0.015 | 80.66 |
| ( = 61%, Var() = 0.59) |  |  |  |  |  |

Table A6. Table A5 with 1956-2015 data instead of 1983 to 2014 data. See Table A4 for an explanation of the parameters and model set-up.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| Time dependency test A 1956-2015 data |  |  |  |  |  |
| = + + | 55 | 43.02 |  |  | 151.71 |
| ( = 19%, Var() = 0.78) |  |  |  |  |  |
| = + + | 53.4 | 41.68 | 1.04 | 0.348 | 152.24 |
| ( = 20%, Var() = 0.77) |  |  |  |  |  |
| = + + + | 50.7 | 40.21 | 0.71 | 0.534 | 154.53 |
| ( = 20%, Var() = 0.78) |  |  |  |  |  |
|  |  |  |  |  |  |
| Time dependency test B 1956-2015 data |  |  |  |  |  |
| = + + | 55 | 36.81 |  |  | 142.84 |
| ( = 31%, Var() = 0.67) |  |  |  |  |  |
| = + + | 53.6 | 35.24 | 1.75 | 0.191 | 142.2 |
| ( = 33%, Var() = 0.65) |  |  |  |  |  |
| = + + + | 51.3 | 33.96 | 0.87 | 0.439 | 143.8 |
| ( = 33%, Var() = 0.65) |  |  |  |  |  |
|  |  |  |  |  |  |
| Time dependency test C 1956-2015 data |  |  |  |  |  |
| = + + | 53.6 | 35.24 |  |  | 142.2 |
| ( = 33%, Var() = 0.65) |  |  |  |  |  |
| = + + + | 51.2 | 33.5 | 1.12 | 0.342 | 143.28 |
| ( = 34%, Var() = 0.64) |  |  |  |  |  |
| = + + + | 51 | 33.97 | 0.75 | 0.513 | 144.43 |
| ( = 32%, Var() = 0.65) |  |  |  |  |  |

Table A7. Model selection tests for the model using AIC for models fit to log landings data with catch during the spawning season added as a covariate. Data 1983 to 2014 were used.

|  |  |  |  |
| --- | --- | --- | --- |
| Model | Residual df | Residual deviance | AIC |
| Add current season spawning information |  |  |  |
| = + + | 30 | 15.41 | 73.43 |
| ( = 66%, Var() = 0.51) |  |  |  |
| = + + | 28.2 | 14.79 | 74.64 |
| ( = 65%, Var() = 0.51) |  |  |  |
| = + + + | 27.1 | 11.51 | 68.8 |
| ( = 72%, Var() = 0.42) |  |  |  |
| = + + + | 27.5 | 10.93 | 66.45 |
| ( = 74%, Var() = 0.39) |  |  |  |

# 

# Appendix B: Tests for environmental variables as covariates

Table B1. Model selection tests of GPCP precipitation as an explanatory variable for the catch during spawning months (Jul-Sep) using 1983 to 2015 data. The data range is determined by the years for which SST was available in order to use a consistent dataset across covariate tests. is the catch during Jul-Sep of season . is the covariate in the current season which spans two calendar years from July to June in the next year. is the covariate in the prior Jul-Jun season.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| V = Jun-Jul Precipitation |  |  |  |  |  |
| = + + | 29.6 | 44.66 |  |  | 111.57 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + | 28.6 | 43.39 | 0.86 | 0.361 | 112.56 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + | 26.8 | 40.45 | 1.08 | 0.348 | 112.74 |
| ( = 29%, Var() = 1.45) |  |  |  |  |  |
| = + + + + | 25.9 | 39.91 | 0.37 | 0.543 | 114.23 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + + | 24.2 | 39.13 | 0.3 | 0.707 | 115.94 |
| ( = 25%, Var() = 1.52) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Apr-May Precipitation |  |  |  |  |  |
| = + + | 29.6 | 44.66 |  |  | 111.57 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + | 28.6 | 43.46 | 0.78 | 0.386 | 112.65 |
| ( = 26%, Var() = 1.5) |  |  |  |  |  |
| = + + + | 26.8 | 42.17 | 0.45 | 0.621 | 114.13 |
| ( = 25%, Var() = 1.52) |  |  |  |  |  |
| = + + + + | 25.8 | 42.18 | NA | NA | 116.09 |
| ( = 23%, Var() = 1.57) |  |  |  |  |  |
| = + + + + | 24.1 | 40.14 | 0.76 | 0.459 | 116.79 |
| ( = 23%, Var() = 1.56) |  |  |  |  |  |

Table B3. Model selection tests of sea surface temperature off Cochi as the explanatory variable () for the catch during spawning months (Jul-Sep) using 1983 to 2015 data. See Table B1 for an explanation of the models.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| V = Jul-Sep SST |  |  |  |  |  |
| = + + | 29.6 | 44.66 |  |  | 111.57 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + | 28.6 | 42.38 | 1.86 | 0.184 | 111.77 |
| ( = 28%, Var() = 1.46) |  |  |  |  |  |
| = + + + | 27 | 35.62 | 3.35 | 0.061 | 108.26 |
| ( = 37%, Var() = 1.27) |  |  |  |  |  |
| = + + + + | 26 | 34.03 | 1.34 | 0.256 | 108.68 |
| ( = 38%, Var() = 1.26) |  |  |  |  |  |
| = + + + + | 24.7 | 32.65 | 0.79 | 0.422 | 109.19 |
| ( = 38%, Var() = 1.25) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Jan-Dec SST |  |  |  |  |  |
| = + + | 29.6 | 44.66 |  |  | 111.57 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + | 28.6 | 41.84 | 1.98 | 0.172 | 111.38 |
| ( = 29%, Var() = 1.44) |  |  |  |  |  |
| = + + + | 26.7 | 41.56 | 0.1 | 0.9 | 113.89 |
| ( = 26%, Var() = 1.5) |  |  |  |  |  |
| = + + + + | 25.7 | 39.4 | 1.58 | 0.22 | 114.02 |
| ( = 28%, Var() = 1.47) |  |  |  |  |  |
| = + + + + | 23.9 | 37.02 | 0.91 | 0.406 | 114.58 |
| ( = 28%, Var() = 1.46) |  |  |  |  |  |

Table B4. Model selection tests of upwelling intensity off Cochi as the explanatory variable. See Table B1 for an explanation of the models.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| V = Jul-Sep Upwelling current and prior seasons |  |  |  |  |  |
| = + + | 29.6 | 44.66 |  |  | 111.57 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + | 28.6 | 40.75 | 2.63 | 0.119 | 110.5 |
| ( = 31%, Var() = 1.4) |  |  |  |  |  |
| = + + + | 26.6 | 39.74 | 0.34 | 0.712 | 112.5 |
| ( = 29%, Var() = 1.44) |  |  |  |  |  |
| = + + + + | 25.7 | 39.71 | 0.02 | 0.886 | 114.38 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + + | 24 | 38.65 | 0.4 | 0.649 | 116.08 |
| ( = 25%, Var() = 1.52) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Oct-Dec Upwelling prior season |  |  |  |  |  |
| = + + | 29.6 | 44.66 |  |  | 111.57 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + | 28.6 | 44.25 | 0.27 | 0.604 | 113.24 |
| ( = 25%, Var() = 1.52) |  |  |  |  |  |
| = + + + | 27.2 | 43.85 | 0.18 | 0.756 | 114.8 |
| ( = 23%, Var() = 1.56) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Jan-Mar Upwelling prior season |  |  |  |  |  |
| = + + | 29.6 | 44.66 |  |  | 111.57 |
| ( = 27%, Var() = 1.49) |  |  |  |  |  |
| = + + + | 28.6 | 44.08 | 0.38 | 0.54 | 113.13 |
| ( = 25%, Var() = 1.52) |  |  |  |  |  |
| = + + + | 26.9 | 42.45 | 0.62 | 0.525 | 114.3 |
| ( = 25%, Var() = 1.53) |  |  |  |  |  |

# 

Table B5. Model selection tests of GPCP precipitation as an explanatory variable for the catch during the non-spawning months (Oct-Jun) using 1983 to 2014 data. The data range is determined by the years for which SST was available in order to use a consistent dataset across covariate tests. is the catch during Oct-Jun of season . is the covariate in the current season which spans two calendar years from July to June in the next year. is the covariate in the prior Jul-Jun season.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| V = Jun-Jul Precipitation |  |  |  |  |  |
| = + + + | 25.6 | 15.58 |  |  | 80.66 |
| ( = 61%, Var() = 0.59) |  |  |  |  |  |
| = + + + + | 24.6 | 14.11 | 2.69 | 0.115 | 79.44 |
| ( = 63%, Var() = 0.55) |  |  |  |  |  |
| = + + + + | 22.9 | 13.77 | 0.36 | 0.66 | 80.94 |
| ( = 62%, Var() = 0.56) |  |  |  |  |  |
| = + + + + | 24.6 | 15.54 | 0.07 | 0.783 | 82.49 |
| ( = 59%, Var() = 0.61) |  |  |  |  |  |
| = + + + + | 22.7 | 14.09 | 1.31 | 0.288 | 82.1 |
| ( = 61%, Var() = 0.58) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Apr-May Precipitation |  |  |  |  |  |
| = + + + | 25.6 | 15.58 |  |  | 80.66 |
| ( = 61%, Var() = 0.59) |  |  |  |  |  |
| = + + + + | 24.6 | 15.42 | 0.27 | 0.601 | 82.28 |
| ( = 60%, Var() = 0.6) |  |  |  |  |  |
| = + + + + | 22.9 | 14.99 | 0.41 | 0.641 | 83.74 |
| ( = 59%, Var() = 0.61) |  |  |  |  |  |
| = + + + + | 24.6 | 15.55 | 0.05 | 0.83 | 82.58 |
| ( = 59%, Var() = 0.61) |  |  |  |  |  |
| = + + + + | 22.9 | 15.04 | 0.5 | 0.58 | 83.78 |
| ( = 59%, Var() = 0.62) |  |  |  |  |  |

Table B6. Model selection tests of sea surface temperature off Cochi as the explanatory variable () for the catch during the non-spawning months (Oct-Jun) using 1983 to 2014 data. See Table B5 for an explanation of the models.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| V = Jan-Dec SST |  |  |  |  |  |
| = + + + | 25.6 | 15.58 |  |  | 80.66 |
| ( = 61%, Var() = 0.59) |  |  |  |  |  |
| = + + + + | 24.6 | 12.34 | 6.8 | 0.016 | 75.14 |
| ( = 68%, Var() = 0.48) |  |  |  |  |  |
| = + + + + | 22.8 | 11.96 | 0.44 | 0.626 | 76.63 |
| ( = 67%, Var() = 0.49) |  |  |  |  |  |
| = + + + + | 24.6 | 14.91 | 1.31 | 0.263 | 81.2 |
| ( = 61%, Var() = 0.58) |  |  |  |  |  |
| = + + + + | 22.8 | 12.92 | 2.12 | 0.147 | 79.13 |
| ( = 64%, Var() = 0.53) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Jul-Sep SST |  |  |  |  |  |
| = + + + | 25.6 | 15.58 |  |  | 80.66 |
| ( = 61%, Var() = 0.59) |  |  |  |  |  |
| = + + + + | 24.6 | 12.89 | 7.19 | 0.015 | 76.47 |
| ( = 66%, Var() = 0.5) |  |  |  |  |  |
| = + + + + | 23.1 | 9.78 | 5.15 | 0.021 | 69.77 |
| ( = 73%, Var() = 0.4) |  |  |  |  |  |
| = + + + + | 24.6 | 15.54 | 0.07 | 0.78 | 82.47 |
| ( = 59%, Var() = 0.61) |  |  |  |  |  |
| = + + + + | 23.3 | 14.67 | 1.07 | 0.334 | 82.5 |
| ( = 60%, Var() = 0.59) |  |  |  |  |  |

# 

Table B7. Model selection tests of upwelling intensity off Cochi as the explanatory variable. See Table B5 for an explanation of the models.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| V = Jul-Sep Upwelling |  |  |  |  |  |
| = + + + | 25.6 | 15.58 |  |  | 80.66 |
| ( = 61%, Var() = 0.59) |  |  |  |  |  |
| = + + + + | 24.6 | 13.47 | 4.15 | 0.054 | 77.96 |
| ( = 65%, Var() = 0.53) |  |  |  |  |  |
| = + + + + | 22.8 | 12.75 | 0.78 | 0.453 | 78.75 |
| ( = 65%, Var() = 0.52) |  |  |  |  |  |
| = + + + + | 24.6 | 14.86 | 1.23 | 0.276 | 81.09 |
| ( = 61%, Var() = 0.58) |  |  |  |  |  |
| = + + + + | 22.8 | 14.71 | 0.14 | 0.844 | 83.4 |
| ( = 59%, Var() = 0.61) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Oct-Dec Upwelling |  |  |  |  |  |
| = + + + | 25.6 | 15.58 |  |  | 80.66 |
| ( = 61%, Var() = 0.59) |  |  |  |  |  |
| = + + + + | 24.6 | 15.36 | 0.37 | 0.538 | 82.15 |
| ( = 60%, Var() = 0.6) |  |  |  |  |  |
| = + + + + | 23.2 | 14.95 | 0.5 | 0.54 | 83.1 |
| ( = 59%, Var() = 0.61) |  |  |  |  |  |
| = + + + + | 24.6 | 15.03 | 0.94 | 0.339 | 81.47 |
| ( = 61%, Var() = 0.59) |  |  |  |  |  |
| = + + + + | 23.3 | 14.94 | 0.12 | 0.794 | 82.97 |
| ( = 59%, Var() = 0.6) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Jan-Mar Upwelling |  |  |  |  |  |
| = + + + | 25.6 | 15.58 |  |  | 80.66 |
| ( = 61%, Var() = 0.59) |  |  |  |  |  |
| = + + + + | 24.6 | 15.42 | 0.28 | 0.588 | 82.24 |
| ( = 60%, Var() = 0.6) |  |  |  |  |  |
| = + + + + | 23 | 14.59 | 0.87 | 0.412 | 82.72 |
| ( = 60%, Var() = 0.6) |  |  |  |  |  |
| = + + + + | 24.6 | 15.57 | 0.02 | 0.887 | 82.61 |
| ( = 59%, Var() = 0.61) |  |  |  |  |  |
| = + + + + | 22.9 | 15.3 | 0.27 | 0.725 | 84.35 |
| ( = 58%, Var() = 0.63) |  |  |  |  |  |

# 

# Appendix C: Tests for Chlorophyll-a as a covariate

Table C2. Model selection tests of Chlorophyll-a as an explanatory variable for the catch during spawning months (Jul-Sep) using 1998 to 2014 data. The data range is determined by the years for which CHL was available. is the catch during Jul-Sep of season . is the covariate in the current season which spans two calendar years from July to June in the next year. is the covariate in the prior Jul-Jun season. For Oct-Dec and Jan-Mar only Chlorophyll-a in the prior season is used since these months are after spawning in the current season. Non-linearity is modeled as a 2nd-order polynomial due to data constraints and appears as in the model equations. The non-spawner catch is modeled as a function of non-spawner catch in the prior year only, without spawner catch 2-years prior as in the other covariate analyses (Appendix B). This is done due to data constraints. The models are nested; the roman numeral indicates the level of nestedness. Models at levels II and higher are shown with the component that is added to the base level model (M1) at top.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| I-M1: | 14 | 1.97 |  |  | 19.6 |
| ( = 14%, Var() = 0.14) |  |  |  |  |  |
| V = Jul-Sep Chlorophyll |  |  |  |  |  |
| II: | 13 | 1.68 | 0.74 | 0.41 | 18.93 |
| ( = 26%, Var() = 0.13) |  |  |  |  |  |
| III: | 12 | 1.68 | 0.02 | 0.878 | 20.89 |
| ( = 20%, Var() = 0.14) |  |  |  |  |  |
| IV: | 11 | 1.67 | 0.08 | 0.781 | 22.76 |
| ( = 13%, Var() = 0.15) |  |  |  |  |  |
| V: | 10 | 1.64 | 0.16 | 0.694 | 24.48 |
| ( = 6%, Var() = 0.16) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Oct-Dec Chlorophyll |  |  |  |  |  |
| II: | 13 | 1.79 | 0.12 | 0.733 | 19.95 |
| ( = 21%, Var() = 0.14) |  |  |  |  |  |
| III: | 12 | 1.68 | 0.73 | 0.408 | 20.94 |
| ( = 20%, Var() = 0.14) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Jan-Mar Chlorophyll |  |  |  |  |  |
| II: | 13 | 1.79 | 0.07 | 0.798 | 20.02 |
| ( = 21%, Var() = 0.14) |  |  |  |  |  |
| III: | 12 | 1.77 | 0.13 | 0.721 | 21.83 |
| ( = 15%, Var() = 0.15) |  |  |  |  |  |

Table C1. Model selection tests of Chlorophyll-a as an explanatory variable for the catch during the non-spawning months (Oct-Jun) using 1998 to 2014 data. The data range is determined by the years for which CHL was available. is the catch during Oct-Jun of season . is the covariate in the current season which spans two calendar years from July to June in the next year. is the covariate in the prior Jul-Jun season. Non-linearity is modeled as a 2nd-order polynomial due to data constraints and appears as in the model equations. The non-spawner catch is modeled as a function of non-spawner catch in the prior year only, without spawner catch 2-years prior as in the other covariate analyses (Appendix B). This is done due to data constraints. The models are nested; the roman numeral indicates the level of nestedness. Models at levels II and higher are shown with the component that is added to the base level model (M1) at top.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| I-M1: | 14 | 1.97 |  |  | 19.6 |
| ( = 14%, Var() = 0.14) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Jul-Sep Chlorophyll |  |  |  |  |  |
| II: | 13 | 1.96 | 0.06 | 0.815 | 21.52 |
| ( = 8%, Var() = 0.15) |  |  |  |  |  |
| III: | 12 | 1.87 | 0.54 | 0.478 | 22.69 |
| ( = 5%, Var() = 0.16) |  |  |  |  |  |
| II: | 13 | 1.92 | 0.32 | 0.582 | 21.17 |
| ( = 10%, Var() = 0.15) |  |  |  |  |  |
| III: | 11.6 | 1.87 | 0.22 | 0.731 | 22.75 |
| ( = 4%, Var() = 0.16) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Oct-Dec Chlorophyll |  |  |  |  |  |
| II: | 13 | 1.88 | 0.77 | 0.402 | 20.84 |
| ( = 11%, Var() = 0.14) |  |  |  |  |  |
| III: | 12 | 1.71 | 1.55 | 0.241 | 21.17 |
| ( = 13%, Var() = 0.14) |  |  |  |  |  |
| IV: | 11 | 1.14 | 4.99 | 0.05 | 16.37 |
| ( = 36%, Var() = 0.1) |  |  |  |  |  |
| V: | 10 | 1.13 | 0.12 | 0.733 | 18.16 |
| ( = 31%, Var() = 0.11) |  |  |  |  |  |
| II: | 13 | 1.5 | 4.32 | 0.063 | 16.96 |
| ( = 29%, Var() = 0.12) |  |  |  |  |  |
| III: | 10.3 | 1.2 | 1.03 | 0.409 | 17.14 |
| ( = 33%, Var() = 0.11) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Jan-Mar Chlorophyll |  |  |  |  |  |
| II: | 13 | 1.97 | 0 | 0.972 | 21.6 |
| ( = 7%, Var() = 0.15) |  |  |  |  |  |
| III: | 12 | 1.82 | 0.93 | 0.358 | 22.23 |
| ( = 7%, Var() = 0.15) |  |  |  |  |  |
| II: | 13 | 1.67 | 2.14 | 0.171 | 18.78 |
| ( = 21%, Var() = 0.13) |  |  |  |  |  |
| III: | 11 | 1.63 | 0.12 | 0.884 | 21.25 |
| ( = 14%, Var() = 0.14) |  |  |  |  |  |

Table C3. Model selection tests of Chlorophyll-a as an explanatory variable for the catch during the non-spawning months (Oct-Jun) using box 5.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Model | Residual df | Residual deviance | F | p value | AIC |
| I-M1: | 14 | 1.97 |  |  | 19.6 |
| ( = 14%, Var() = 0.14) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Jul-Sep Chlorophyll |  |  |  |  |  |
| II: | 13 | 1.67 | 2.01 | 0.187 | 18.76 |
| ( = 21%, Var() = 0.13) |  |  |  |  |  |
| III: | 12 | 1.66 | 0.05 | 0.836 | 20.69 |
| ( = 15%, Var() = 0.14) |  |  |  |  |  |
| II: | 13 | 1.91 | 0.46 | 0.512 | 21.04 |
| ( = 10%, Var() = 0.15) |  |  |  |  |  |
| III: | 10.5 | 1.53 | 1.09 | 0.383 | 21.19 |
| ( = 15%, Var() = 0.14) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Oct-Dec Chlorophyll |  |  |  |  |  |
| II: | 13 | 1.55 | 4.22 | 0.067 | 17.57 |
| ( = 27%, Var() = 0.12) |  |  |  |  |  |
| III: | 12 | 1.35 | 2.11 | 0.177 | 17.13 |
| ( = 31%, Var() = 0.11) |  |  |  |  |  |
| IV: | 11 | 1 | 3.51 | 0.091 | 14.1 |
| ( = 44%, Var() = 0.09) |  |  |  |  |  |
| V: | 10 | 0.98 | 0.18 | 0.684 | 15.8 |
| ( = 40%, Var() = 0.1) |  |  |  |  |  |
| II: | 13 | 1.37 | 5.15 | 0.044 | 15.47 |
| ( = 35%, Var() = 0.11) |  |  |  |  |  |
| III: | 11 | 1.35 | 0.11 | 0.895 | 17.89 |
| ( = 29%, Var() = 0.12) |  |  |  |  |  |
|  |  |  |  |  |  |
| V = Jan-Mar Chlorophyll |  |  |  |  |  |
| II: | 13 | 1.59 | 3.35 | 0.097 | 17.92 |
| ( = 25%, Var() = 0.12) |  |  |  |  |  |
| III: | 12 | 1.57 | 0.17 | 0.692 | 19.72 |
| ( = 20%, Var() = 0.13) |  |  |  |  |  |
| II: | 13 | 1.72 | 2.78 | 0.125 | 19.33 |
| ( = 19%, Var() = 0.13) |  |  |  |  |  |
| III: | 10.4 | 0.98 | 3.25 | 0.071 | 13.58 |
| ( = 46%, Var() = 0.09) |  |  |  |  |  |

# Appendix D: Correlation of covariates across the boxes

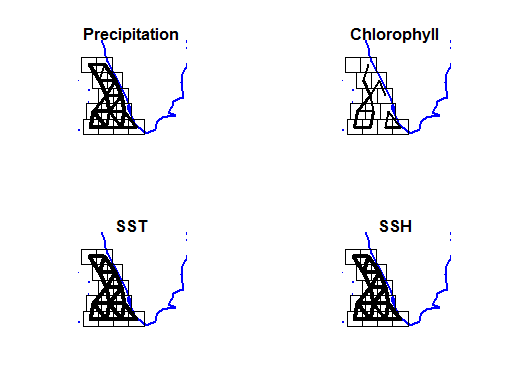


Figure C1. Correlation of the covariates across boxes. Correlation is shown by the width of the lines between neighboring boxes.

# Appendix E: Covariates along the SE India coast

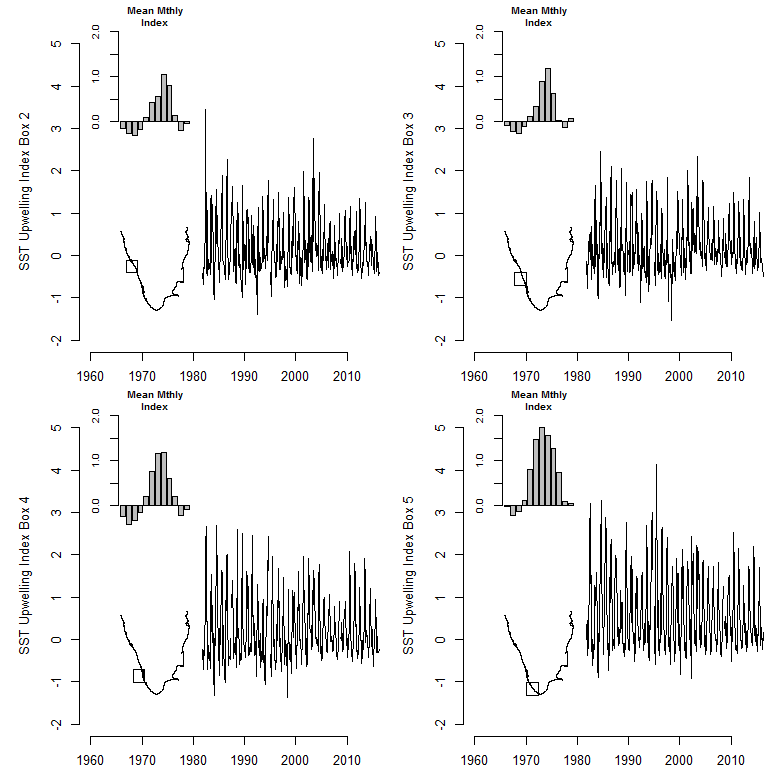


Figure E1. Upwelling index.

# 

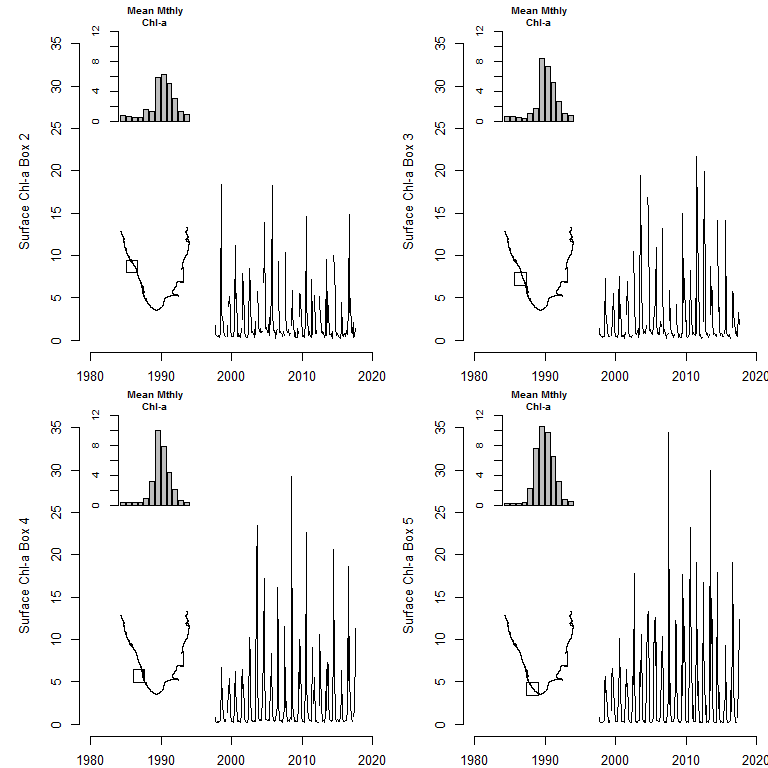


Figure E2. Chlorophyll-a.

# 

# Appendix F: Comparison of land and oceanic rainfall measurements

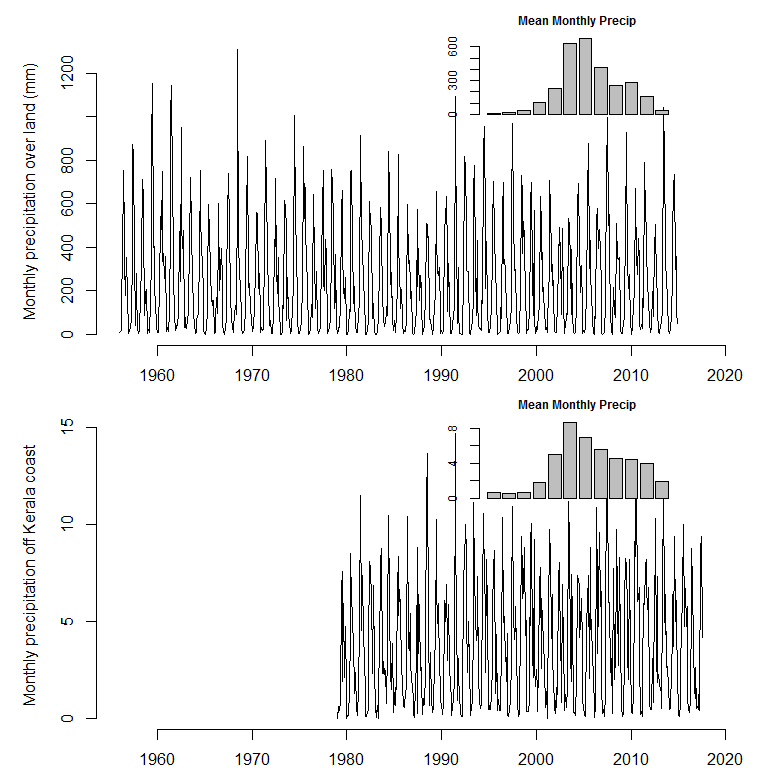


Figure F1

# 

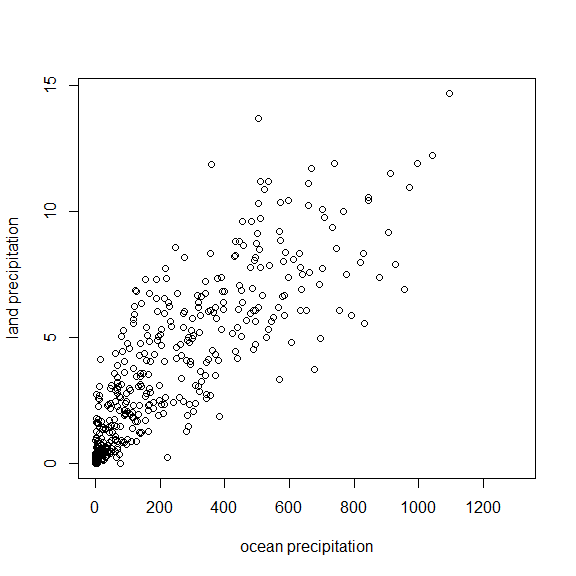


Figure F2. Monthly precipitation measured over land via land gauges versus the precipitation measured via remote sensing over the ocean.

# Appendix G: Chlorophyll-a images in 2016

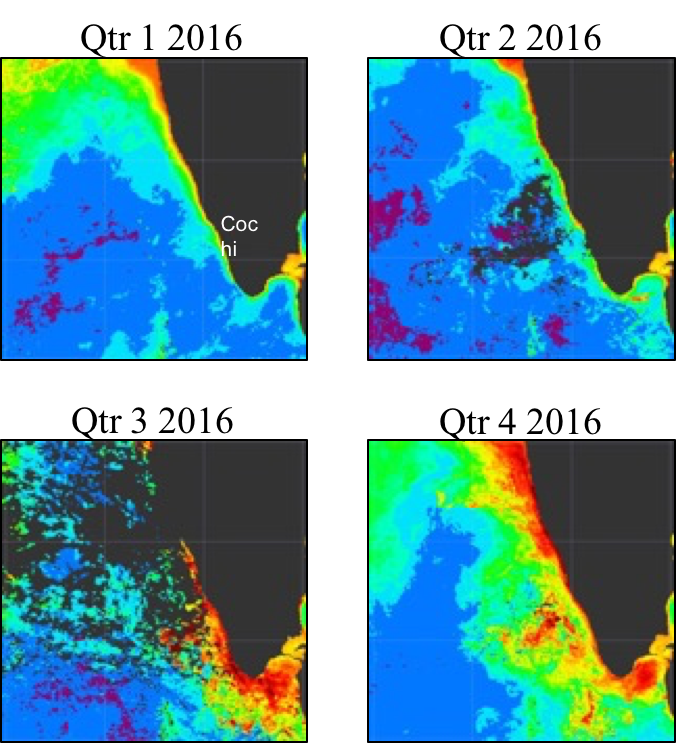


Figure 9

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