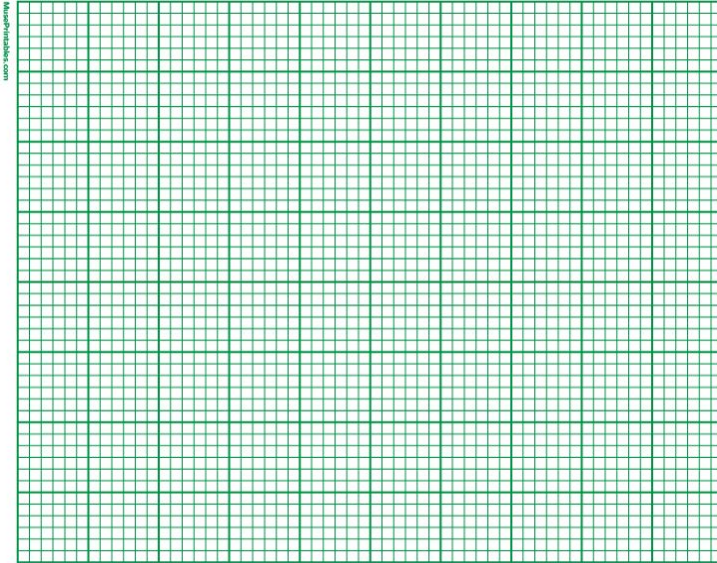


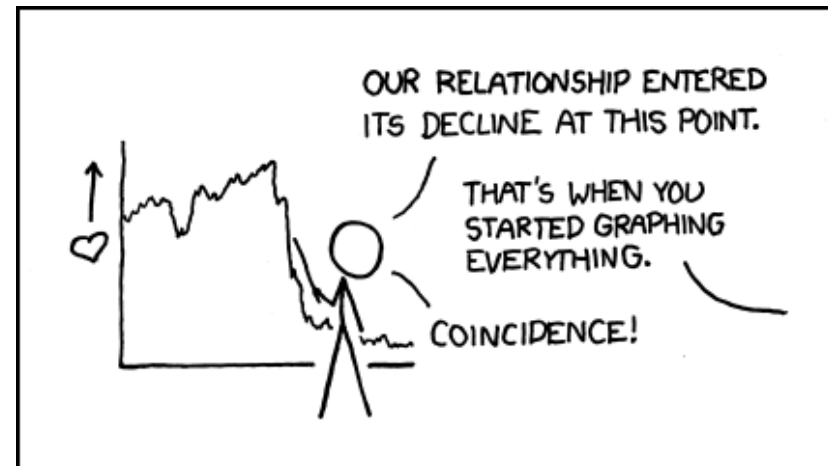
Lecture 18

Introduction to Graphs

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Learning Objectives: Lec 18

After today's lecture (and the associated readings, discussion, & homework), you should know:

- **Types of graphs:** undirected, directed
- **Representation of graphs:** Sets of vertices, sets of edges.
- **Terminology and lingo:** bipartite, degree, cycle, tree, spanning tree, connected, connected component.
- **Facts about graphs:** the handshake lemma, characterization of bipartite graphs as 2-colorable, number of edges in a spanning tree.

Outline

- **Definition of a graph**
 - **Variants: undirected, directed**
 - **Variants: simple graphs, multigraphs, and loops.**
 - **A graph vs. a drawing of a graph**
- Graphs and Relations
- Degrees and the Handshake Theorem
- Special graphs: cliques, cycles, hypercubes
- Paths and connected components
- Spanning trees
- Bipartite graphs

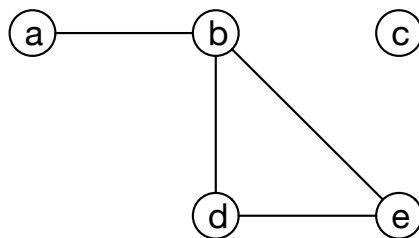
Graphs

The singular form is “vertex” just like index/indices, codex/codices, vortex/vortices, etc.
“Vertice” is not a word.

- A way to represent things and their pairwise relationships.
- Consists of a pair of sets, one of “vertices” and one of “edges”.
- $G = (V, E)$ is an **undirected graph** if
 - V is some set (vertices)
 - E is a set of two-element subsets of V (edges)
- $G = (V, E)$ is a **directed graph** if
 - V is some set (vertices)
 - $E \subseteq V \times V$ (subset of ordered pairs of elements from V)

$$V = \{a, b, c, d, e\}$$

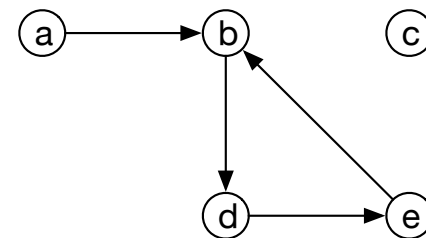
$$E = \{\{a, b\}, \{b, d\}, \{d, e\}, \{b, e\}\}$$



The edge (a, b) is directed
FROM a TO b .

$$V = \{a, b, c, d, e\}$$

$$E = \{(a, b), (b, d), (d, e), (e, b)\}$$



Graphs and Social Networks

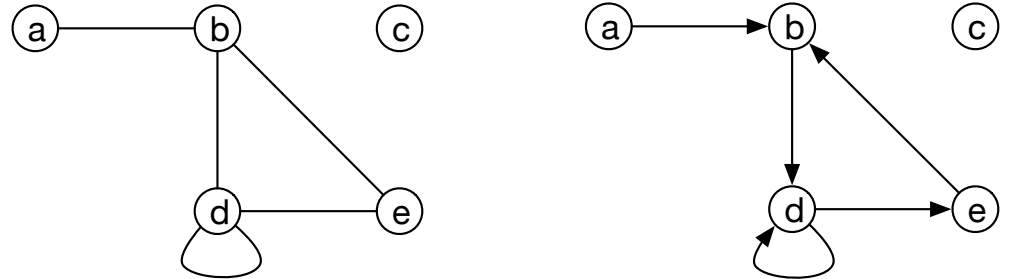
- Facebook friend graph $G_{FB} = (V, E_{FB})$
 - V : the set of all people on Earth.
 - $\{u, v\} \in E_{FB}$ if u and v are friends (symmetric/mutual relationship)
- Twitter network $G_{TW} = (V, E_{TW})$
 - V : the set of all people on Earth.
 - $(u, v) \in E_{TW}$ if u follows v .
 - Asymmetrical relationship. Not equivalent to v following u !

Undirected

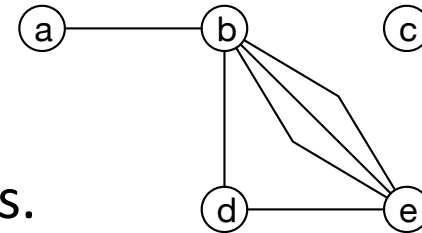
Directed

Variants of Graphs

- Some graphs have “**loop**” edges

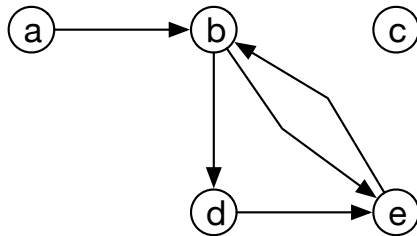


- In **multigraphs**, edges can have multiplicity:



- Simple** graphs have no loops or multiple edges.

- Is this a **simple, directed** graph?

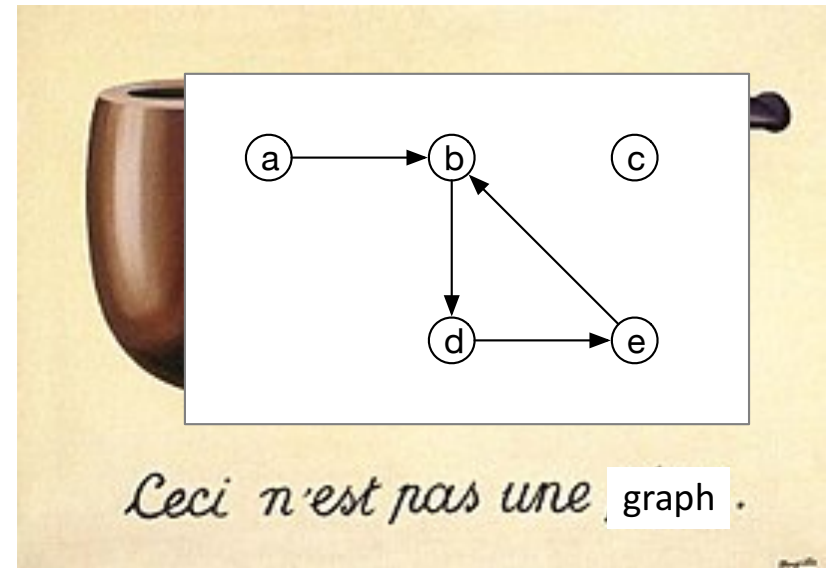
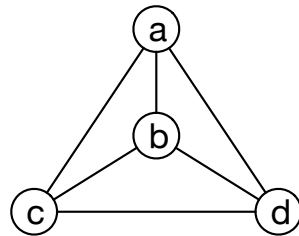
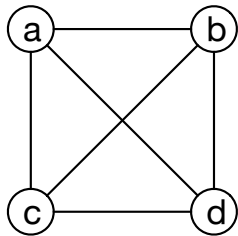


(a) YES!
(b) NO!

← (b, e) and (e, b) are distinct edges, not an edge with multiplicity 2.

Graphs vs. Drawings of Graphs


- A **graph** $G = (V, E)$ consists of sets of vertices and edges.
- A **drawing** of a graph is a diagram consisting of dots and lines/arrows.
- Every graph can be drawn and every (legal) drawing corresponds to a graph, but they're not the same thing!
 - Two drawings of the same graph $G = (V, E)$,
 $V = \{a, b, c, d\}$, $E = \{\{x, y\} \mid x, y \in V, x \neq y\}$



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- Special graphs: cliques, cycles, hypercubes
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- Bipartite graphs

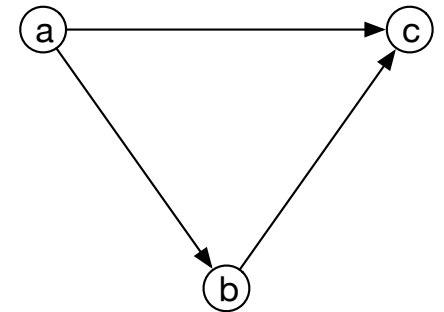
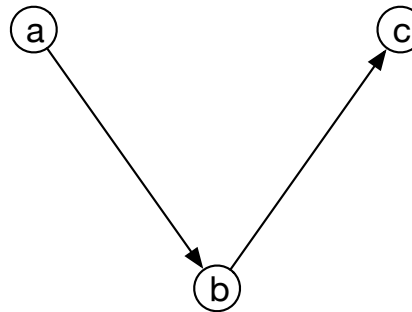
Graphs and Relations

- Let V be a set and $E \subseteq V \times V$ be a relation.
- If E is **symmetric** ($\forall a, b \in V. (a, b) \in E \leftrightarrow (b, a) \in E$) then you can often regard the graph $G = (V, E)$ as being *undirected*.
 - Two directed edges $(a, b), (b, a)$ very much like an undirected edge $\{a, b\}$.
- If E is **irreflexive** and **not symmetric** then what can you say about $G = (V, E)$?
 - (a) it's an undirected graph.
 - (b) it's a simple undirected graph.
 - (c) it's a directed graph.
 - (d) it's a simple directed graph. 
 - (e) it's a directed multigraph.

Irreflexive only means that $\forall a \in V. (a, a) \notin E$, i.e., no loops are allowed. I.e., it is a **simple graph**.

Graphs and Relations

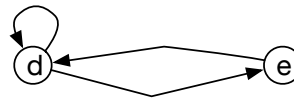
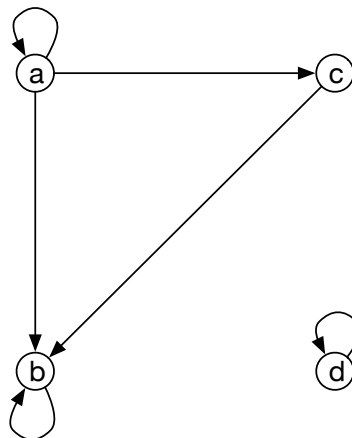
- Let V be a set and $E \subseteq V \times V$ be a relation.
- If E is **transitive**, what does that look like in terms of the graph?
 - $\forall a, b, c. (a, b), (b, c) \in E \rightarrow (a, c) \in E$



- Is this directed graph transitive?

- (a) YES!

- (b) NO! 



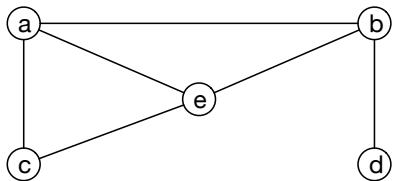
$(e, d), (d, e) \in E \rightarrow (e, e) \in E$.
However, the loops $(a, a), (b, b) \in E$
don't "need" to be there.

Outline

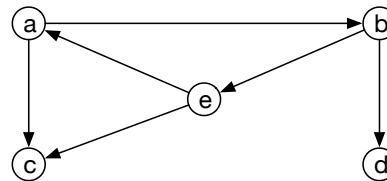
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Degrees, Indegrees, and Outdegrees

- In a simple graph, vertices u, v are “adjacent” or “neighbors” if
 - $\{v, u\} \in E$ (undirected graph) or $(v, u) \in E$ (directed).
- Here is some useful notation for talking about neighborhoods:
 - $N(u) = \{v \mid \{u, v\} \in E\}$ (the “neighborhood of u ” in an undirected graph)
 - $N^+(u) = \{v \mid (u, v) \in E\}$ (the “out-neighborhood of u ” in a directed graph)
 - $N^-(u) = \{v \mid (v, u) \in E\}$ (the “in-neighborhood of u ” in a directed graph)



$$N(e) = \{a, b, c\}$$



$$N^+(e) = \{a, c\}$$

$$N^-(e) = \{b\}$$

- The **degree** of a vertex is the number of adjacent edges.
 - $\deg(u) = |N(u)|$ (undirected graphs)
 - $\deg^+(u) = |N^+(u)|$ (out-degree in directed graphs)
 - $\deg^-(u) = |N^-(u)|$ (in-degree in directed graphs)

The Handshake Theorem

In an undirected graph $G = (V, E)$, what is $\sum_{v \in V} \deg(v)$?

The Handshake Theorem

- **Theorem.** In a simple undirected graph $G = (V, E)$,

$$\sum_{v \in V} \deg(v) = 2|E|.$$

- **Proof.** Every edge $\{u, v\} \in E$ contributes 1 to $\deg(u)$ and 1 to $\deg(v)$.

Does this Theorem hold for **non-simple** graphs?
How should we define $\deg(v)$?

- **Theorem.** Similarly, in a directed graph $G = (V, E)$,

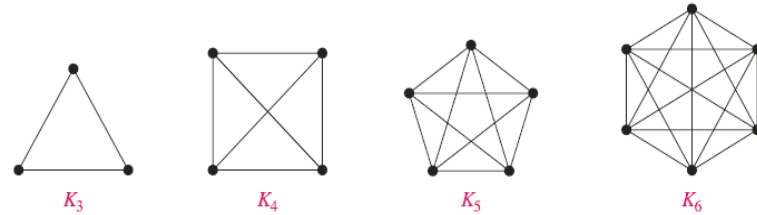
$$\sum_{v \in V} \deg^+(v) = \sum_{v \in V} \deg^-(v) = |E|$$

Degree sequences

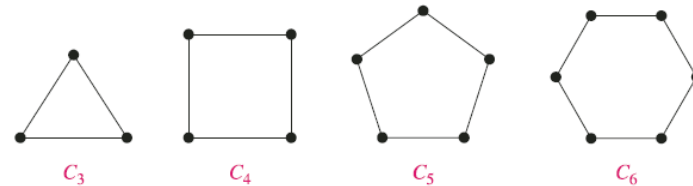
- I'm thinking of a simple undirected graph with 6 vertices whose degrees are 1,2,2,3,3,4. How many edges does it have?
 - (a) 13
 - (b) 15
 - (c) 26
 - (d) 30
 - (e) there is no such graph ←
- **Corollary** (of the Handshake Theorem). Every graph has an **even number** of vertices with **odd degree**.
- **Proof by contradiction.** If one had an odd number of vertices with odd degree, then $\sum_{v \in V} \deg(v)$ would be odd, but $2|E|$ is clearly even, a contradiction.

Special Undirected Graphs

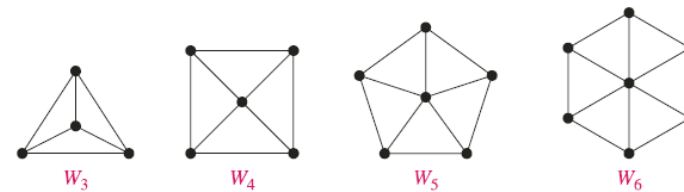
- K_n : the complete graph on n vertices.



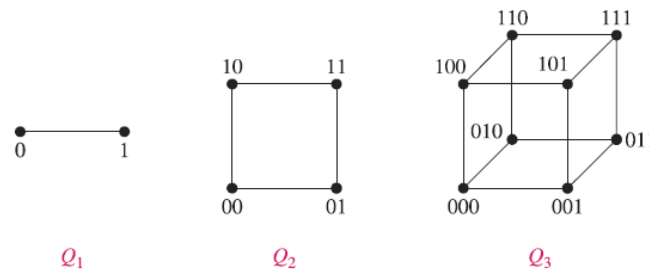
- C_n : the cycle on n vertices.



- "Wheels"

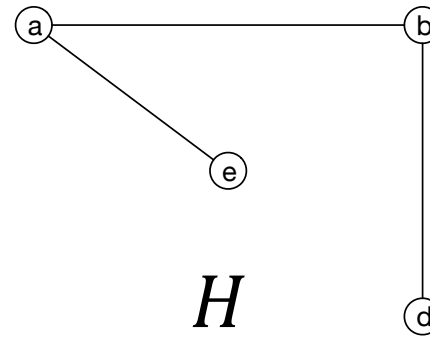
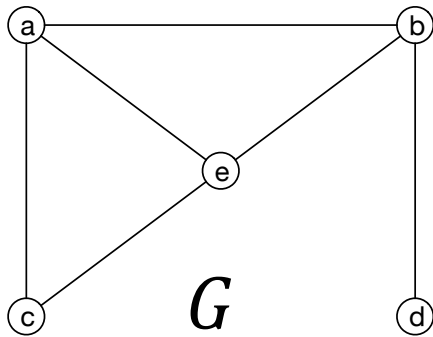


- "Hypercubes"



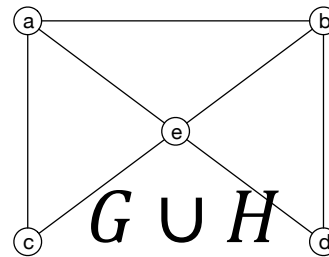
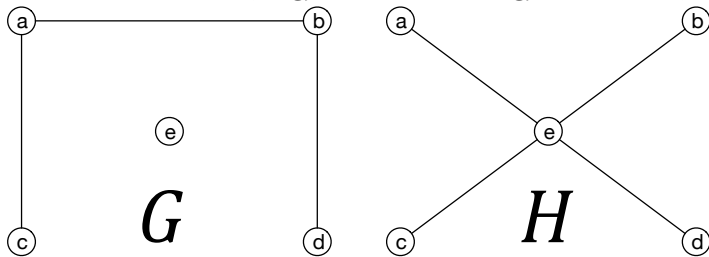
Subgraphs and Disjoint Unions

- $H = (V_H, E_H)$ is a **subgraph** of $G = (V_G, E_G)$ if $V_H \subseteq V_G$ and $E_H \subseteq E_G$.



- The **union** of $G = (V_G, E_G)$ and $H = (V_H, E_H)$ is the graph

- $G \cup H = (V_G \cup V_H, E_G \cup E_H)$.



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Connectivity

- Let $G = (V, E)$ be a simple, undirected graph.
- **Definition.** A path (u_0, u_1, \dots, u_k) is a sequence of vertices in which consecutive vertices are connected by an edge, i.e., $\forall i \in [0, k). \{u_i, u_{i+1}\} \in E$. A simple path does not repeat any vertex.
- **Definition.** Two vertices u, v are connected if there is a path (u, \dots, v) .

Connectivity

- Define the relation $(u, v) \in Conn$ iff u is connected to v in $G = (V, E)$.
- Which properties does $Conn$ have?
 - Asymmetric? No
 - Antisymmetric? No
 - Symmetric? Yes
 - Transitive? Yes
 - Reflexive? Yes
 - Irreflexive? No
- The equivalence classes of $Conn$ are called the connected components of G .

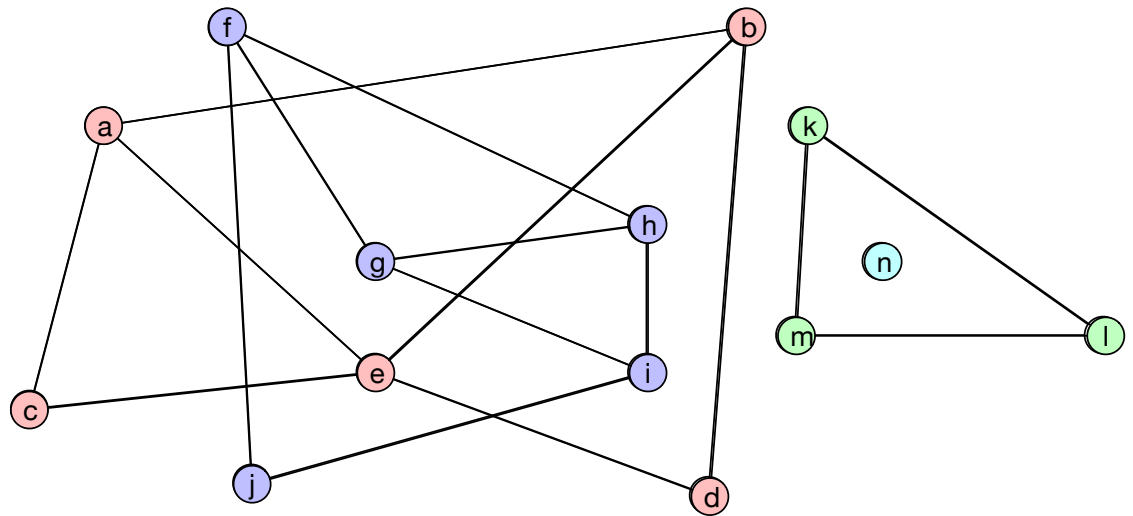
A relation that is symmetric, transitive, and reflexive is called an *equivalence relation*

Connectivity

- Define the relation $(u, v) \in Conn$ iff u is connected to v in $G = (V, E)$.
- The equivalence classes of $Conn$ are called the connected components of G .

• How many connected components are there in this graph G ?

- (a) 2
- (b) 3
- (c) 4 ←
- (d) 5
- (e) 6



Trees

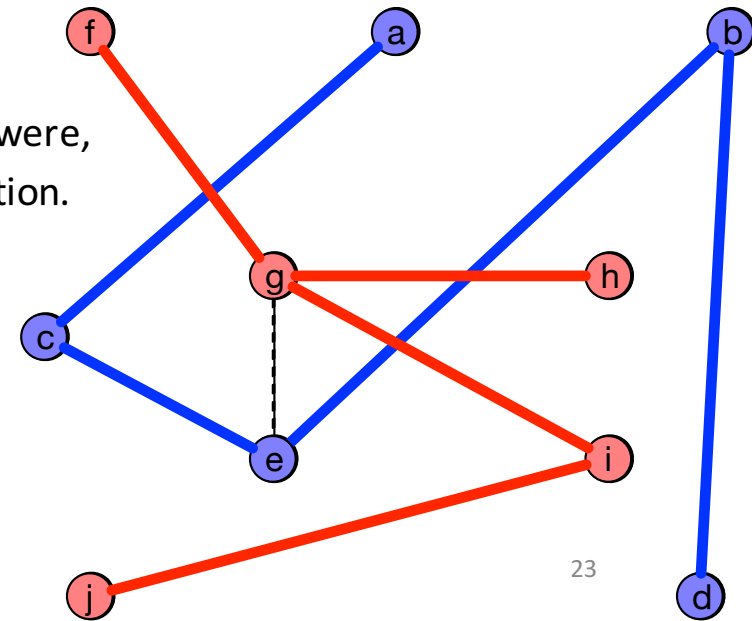
- **Definition.** A **tree** is a connected **acyclic** graph.
 - **Acyclic** means no subgraph is a cycle.
- If $G = (V, E)$ is a graph, a **spanning tree** is a subgraph $T = (V, E_T)$ that is a tree. (I.e., it “spans” all of V .)
- **Theorem.** If $T = (V, E)$ is a tree and $u, v \in V$, there is a unique simple path from u to v .
 - **Proof:** if there were two simple paths from u to v , T would contain a cycle.
- **Theorem.** Every tree on n vertices contains $n - 1$ edges.

Trees

- **Theorem.** Every tree on n vertices contains $n - 1$ edges.

- **Proof by induction.**

- Base case: $n = 1$. The only tree is a graph with 1 vertex and 0 edges.
- General case: Assume the claim holds for all $n' < n$. Let $T = (V, E)$ be any tree with $|V| = n$.
 - Pick any edge in E , say it is $\{e, g\}$.
 - There is no path from e to g in $T' = (V, E - \{\{e, g\}\})$; if there were, that path and $\{e, g\}$ would form a cycle in T , a contradiction.
 - Let T_e, T_g be the connected components of T' containing e, g .
 - $T_e = (V_e, E_e), T_g = (V_g, E_g)$ are acyclic and therefore trees.
 - By the inductive hypothesis, T_e contains $|V_e| - 1$ edges and T_g contains $|V_g| - 1$ edges. With $\{e, g\}$, T contains $1 + (|V_e| - 1) + (|V_g| - 1) = |V| - 1 = n - 1$ edges.



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Bipartite Graphs

- A graph $G = (V, E)$ is called **bipartite** if
 - You can partition $V = A \cup B$ into two parts, where $A \cap B = \emptyset$.
 - For every edge $\{a, b\} \in E$, $a \in A$ and $b \in B$. (There are no edges between A -vertices or B -vertices.)
- **Theorem.** The following statements are equivalent:
 - (1) G is bipartite.
 - (2) G is 2-colorable.
 - There is a function $f: V \rightarrow \{\text{red}, \text{blue}\}$ s.t. $\{u, v\} \in E \rightarrow f(u) \neq f(v)$.
 - (3) G does not contain any C_{2k+1} (an odd cycle) as a subgraph.

- (1) \leftrightarrow (2)

- If $G = (V, E)$ is bipartite we can write it as $G = (A \cup B, E)$ such that $\{u, v\} \in E \rightarrow u \in A, v \in B$.
- Color every vertex in A “red” and every vertex in B “blue”.
 - $f: V \rightarrow \{\text{red}, \text{blue}\}$ be such that $f(u) = \begin{cases} \text{red} & u \in A \\ \text{blue} & u \in B \end{cases}$.
 - By definition, $\{u, v\} \in E \rightarrow f(u) \neq f(v)$, so G is 2-colorable.
- In the reverse direction, set $A = f^{-1}(\text{red})$ and $B = f^{-1}(\text{blue})$.
 - Then $\{u, v\} \in E \rightarrow u \in A, v \in B$, so G is bipartite.

- (2) \rightarrow (3)
- Proof by contradiction.
 - Suppose G is 2-colorable and contains C_{2k+1} (odd cycle) as a subgraph.
 - Call the vertices of the cycle $(v_0, v_1, v_2, v_3, \dots, v_{2k}, v_0)$.
 - Wlog $f(v_0) = \text{blue}$.
 - Then $f(v_1) = f(v_3) = f(v_5) = \dots = f(v_{2k-1}) = \text{red}$,
 - And $f(v_0) = f(v_2) = f(v_4) = \dots = f(v_{2k}) = \text{blue}$.
 - But then $f(v_0) = f(v_{2k})$, so f is not a 2-coloring, a contradiction.

- (3) \rightarrow (2)
- If the claim holds for every connected component of G then it holds for G as well. (Combine the 2-colorings of each component.) Wlog we can assume G is connected.
- Let T be any spanning tree of G and $v_0 \in V$ any vertex.
- $f(u) = \begin{cases} \text{blue} & \text{if the path in } T \text{ from } v_0 \text{ to } u \text{ has **even** length.} \\ \text{red} & \text{if the path in } T \text{ from } v_0 \text{ to } u \text{ has **odd** length.} \end{cases}$
- If f were not a 2-coloring then some edge $\{x, y\}$ has $f(x) = f(y)$.
 - Let $(v_0, v_1, v_2, v_3, \dots, v_k)$ be the T -path from v_0 to $v_k = x$, and
 - $(v_0, v_1, \dots, v_i, v'_{i+1}, v'_{i+2}, \dots, v'_j)$ be the T -path from v_0 to $v'_j = y$.
- Then $(v_i, v_{i+1}, \dots, v_k, v'_j, v'_{j-1}, \dots, v'_{i+1}, v_i)$ is a cycle with length $k - i + 1 + j - i$, but since $f(v_k) = f(v'_j)$, $(k - i) \equiv (j - i) \pmod{2}$, it follows that
- $k - i + 1 + j - i \equiv 1 \pmod{2}$, meaning the cycle has odd length, contradicting (3).