

## k-nn and decision trees

k-nn and decision trees don't use GP.

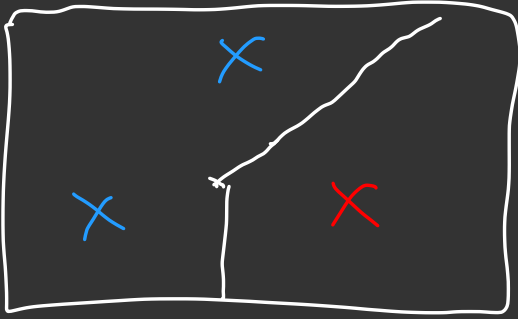
### 1-nn:

- ① pick a distance function
- ② memorize training set
- ③ output closest point

$$p(x, x_i) = \min_j p(x, x_j)$$

### k-nn

- ① pick a distance function and integer  $k \geq 1$
- ② memorize training set
- ③ classification: output plurality labels among  $k$  neighbors.  
regression: average .....



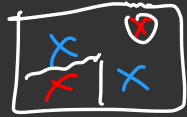
1-NN

3-NN: all red  
majority

Remarks:

① If  $(X_i)_{i=1}^n$  distance, 1-NN gets  
a training error.

False



② k-NN may fail to get 0 training error.

③ why k-NN.

↓

① higher k smooth predictor. with less complex model.  
(throw random blue labels won't bother)



② carefully choose k  $O(\ln n)$

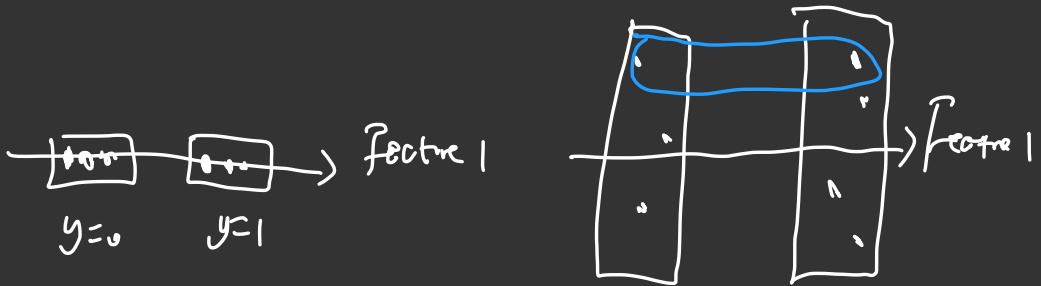
Test error of k-NN with  $L_2$  distance.

k	1	3	5	7	9
err	0.0309	0.0295	0.0312	0.0306	0.0341

Test error of k-NN with diff distances

Distance	$L_1$	$L_3$	Tungate	shipp
err	3.09	2.85	1.0%	0.63%

k-NN can be broken by bad features.

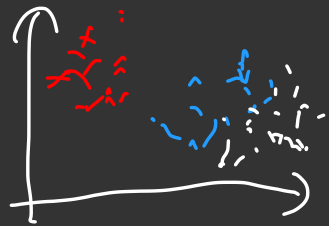


Curse of dimensionality: Given poly ( $d$ ) random unit norm points in  $\mathbb{R}^d$ , with prob  $> 99\%$ , each is  $2 \pm O(1/\sqrt{d})$  from all others.

# Decision trees

- ① binary tree which partitions input space.
- ② each tree node is associated with a splitting rule.
- ③ leaf node associated with label  $y$ .

## Training decision trees



- ① pick uncertainty measure,

$$u(T) = \frac{1}{n} \sum_{\text{leaf set}} \frac{1}{|S|} u(S)$$

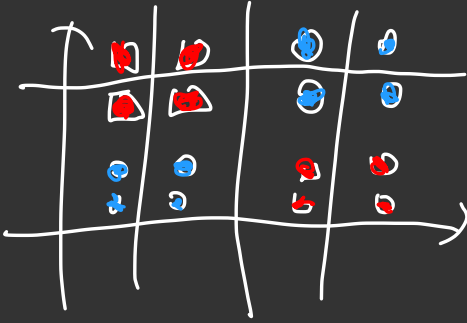
- ② put all pts at root

- ③ Loop (threshold)

① pick (cand  $l$  and splitting rule  $h$  that maximally reduces uncertainty

② split data in  $l$  using  $h$  and grow trees accordingly,

The greedy algorithm may fail

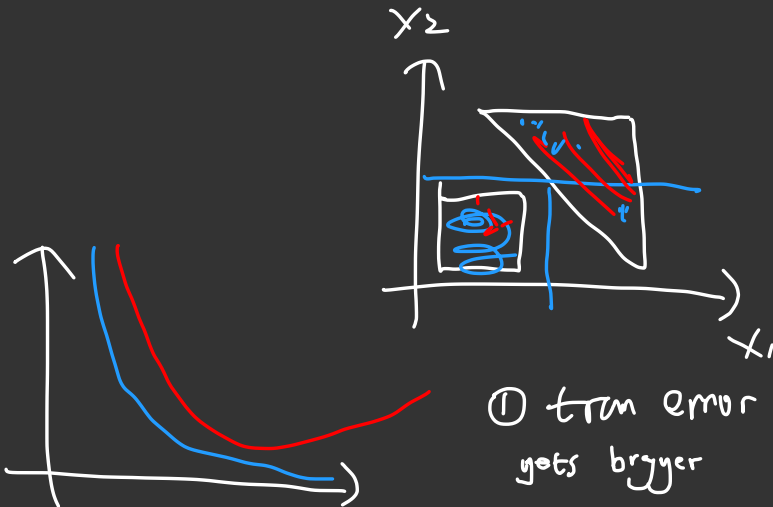


These vector lines  
fail hdfs

When to stop

① tree reaches size

② when every leaf is pure (overfitting)



① train error  $\rightarrow 0$  when tree  
gets bigger

② test error decreases at first  
but increases overfitting

# Summary

	k-nn	Decision trees.
Train	memorize data	greedy split
Test	find k closest memorized pts	traverse tree output kth label
overfit	vary k	limit tree size.