

**MASARYKOVA UNIVERZITA**  
**PŘÍRODOVĚDECKÁ FAKULTA**  
**NÁZEV ÚSTAVU**

# **Diplomová práce**

**BRNO ROK**

**RADIM ČECH**



MASARYKOVA  
UNIVERZITA  
PŘÍRODOVĚDECKÁ FAKULTA  
NÁZEV ÚSTAVU

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**Radim Čech**

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<b>Autor:</b>	Plné jméno autora Přírodovědecká fakulta, Masarykova univerzita Název ústavu
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# Abstrakt

V této bakalářské/diplomové/rigorózní práci se věnujeme ...

# Abstract

In this thesis we study ...



Místo tohoto listu vložte kopii oficiálního zadání práce bez podpisů.



# Poděkování

Na tomto místě bych chtěl(-a) poděkovat ...

# Prohlášení

Prohlašuji, že jsem svoji bakalářskou/diplomovou práci vypracoval(-a) samostatně pod vedením vedoucího práce s využitím informačních zdrojů, které jsou v práci citovány.

Prohlašuji, že jsem svoji rigorózní práci vypracoval(-a) samostatně s využitím informačních zdrojů, které jsou v práci citovány.

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.....  
Radim Čech



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# Přehled použitého značení

Pro snazší orientaci v textu zde čtenáři předkládáme přehled základního značení, které se v celé práci vyskytuje.

$\mathbb{C}$  množina všech komplexních čísel



# Úvod



# Kapitola 1

## Teorie

### 1.1 Lattice theory

**Definition 1.1.1.** Let  $B$  be a matrix with rows linearly independent rows  $b_i \in \mathbb{R}^d$ , then the discrete subgroup  $\Lambda(B) = \{\sum v_i b_i | v_i \in \mathbb{Z}\}$  is called a *lattice*.

Let  $\pi_i : \mathbb{R}^d \rightarrow \text{span}(b_0, \dots, b_{i-1})^\perp$  be the orthogonal projection into the complement. In particular,  $\pi_0 \equiv id$ . Then the *Gram-Schmidt orthogonalization* (GSO) of  $B$  is  $B^* = (b_0^*, \dots, b_{d-1}^*)$ , where  $b_i^* = \pi_i(b_i) = b_i - \sum_{j=0}^{i-1} \mu_{i,j} b_j^*$  and  $\mu_{i,j} = \langle b_i, b_j^* \rangle / \langle b_j^*, b_j^* \rangle$ .

Let  $\|\cdot\|$  be the euclidean norm. Denote by  $\lambda_i(\Lambda)$  the radius of the smallest ball centered at the origin containing at least  $i$  linearly independent lattice vectors. In particular,  $\lambda_1(\Lambda)$  is the norm of the shortest vector of  $\Lambda$ .

Next we define the Gaussian heuristic to approximate the shortest vector of a lattice.

**Definition 1.1.2.** Let  $\Lambda(B)$  be a lattice. Denote by  $\text{vol}(\Lambda) = \det(B)$  the determinant of the basis and  $\mathbb{B}_d(R)$  the  $d$ -dimensional euclidean ball. Then

$$\text{gh}(\Lambda) = \left( \frac{\text{Vol}(\Lambda)}{\text{Vol}(\mathfrak{B}_d(1))} \right)^{1/d} = \frac{\Gamma(1 + \frac{d}{2})^{1/d}}{\sqrt{\pi}} \cdot \text{Vol}(\Lambda)^{1/d} \approx \sqrt{\frac{d}{2\pi e}} \cdot \text{Vol}(\Lambda)^{1/d}$$

is called the *Gaussian heuristic*.

The main problem in lattice theory is to find the shortest vector of a lattice.

**Definition 1.1.3** (Shortest Vector Problem (SVP)). Let  $\Lambda(B)$  be a lattice. Find the shortest nonzero vector in  $\Lambda(B)$ .

We will be interested in finding closest vector to the lattice which is guaranteed to not be too far away from the lattice.

**Definition 1.1.4** ( $\alpha$ -Bounded Distance Decoding ( $\text{BDD}_\alpha$ )). Given a lattice  $\Lambda(B)$ , a vector  $t$  and a parameter  $\alpha > 0$  such that the euclidean distance between  $t$  and the lattice  $\text{dist}(t, \Lambda(B)) < \alpha \cdot \lambda_1(\Lambda(B))$ , find the lattice vector  $v \in \Lambda(B)$  closest to  $t$ .

To guarantee a unique solution, it is required that  $\alpha < 1/2$ . There is a generalization of the problem for  $1/2 < \alpha < 1$ , where we want to find a unique solution with high probability. Asymptotically, for any polynomially-bounded  $\gamma \geq 1$  there is a reduction from  $\text{BDD}_{1/\sqrt{2}\gamma}$  to  $u\text{SVP}_\gamma$  from the following definition.

**Definition 1.1.5** ( $\gamma$ -Unique Shortest Vector Problem( $u\text{SVP}_\gamma$ )). Let  $\Lambda$  be a lattice such that  $\lambda_2(\Lambda) > \gamma \cdot \lambda_1(\Lambda)$ , find a nonzero vector  $v \in \Lambda$  of length  $\lambda_1(\Lambda)$ .

The mentioned reduction is due to Kannan's embedding, that constructs

$$L = \begin{pmatrix} B & 0 \\ t & \tau \end{pmatrix}$$

where  $\tau$  is some embedding factor. If  $v$  is the closest vector to  $t$ , then the lattice  $\Lambda(L)$  contains  $(t - v, \tau)$ , which is small.

We will need some lattice algorithms.

**Definition 1.1.6** (Enumeration). Consider the following problem: Given a matrix  $B$  and a bound  $R$ , find all lattice vectors  $v = \sum_{i=0}^{d-1} u_i \cdot b_i |_{u_i \in \mathbb{Z}}$  with some  $u_i \neq 0$  and  $\|v\|^2 < R^2$ . Then by lattice vector enumeration we can pick the smallest one and solve the SVP.

We can rewrite the vector  $v$  with the Gram-Schmidt basis:

$$v = \sum_{i=0}^{d-1} u_i \cdot b_i = \sum_{i=0}^{d-1} u_i \cdot \left( b_i^* + \sum_{j=0}^{i-1} \mu_{i,j} \cdot b_j^* \right) = \sum_{j=0}^{d-1} \left( u_j + \sum_{i=j+1}^{d-1} u_i \cdot \mu_{i,j} \right) \cdot b_j^*.$$

And thanks to orthogonality, the norms of the projections  $\pi_k(v)$  become

$$\|\pi_k(v)\|^2 = \left\| \sum_{j=k}^{d-1} \left( u_j + \sum_{i=j+1}^{d-1} u_i \mu_{i,j} \right) b_j^* \right\|^2 = \sum_{j=k}^{d-1} \left( u_j + \sum_{i=j+1}^{d-1} u_i \mu_{i,j} \right)^2 \cdot \|b_j^*\|^2.$$

So the norms play nicely with the parameter  $k$ . Begin with finding  $\pi_d(v)$  such that  $\|\pi_d(v)\|^2 < R^2$  and iterate the inequality over  $d$ . This defines a depth-first tree search. We find a candidate for  $u_{d-1}$  and continue to  $u_{d-2}$  level. Whenever we encounter no candidates, we abandon the branch and backtrack. When we reach the leaves  $u_0$ , we compare the candidates to the previously smallest found vector and backtrack.

**Definition 1.1.7** (Sieving). The lattice sieve algorithm takes a set of lattice vectors  $L \subset \Lambda$  and searches for integer combinations that are short. By recursively doing this process we can solve the SVP.

**Definition 1.1.8** (LLL).

**Definition 1.1.9** (BKZ).

## 1.2 The Hidden Number Problem

**Definition 1.2.1.** Let  $q$  be prime,  $x$  a secret integer and  $T_b = (q-1)/2^b$ . An oracle generates random, uniformly distributed  $c_j \in [1, \dots, q-1]$ ,  $k_j \in [-\lfloor T_{b+1} \rfloor, \dots, \lfloor T_{b+1} \rfloor]$  and computes

$$h_j = (k_j - c_j \cdot x) \mod q. \quad (1.1)$$

The adversary is given the pairs  $(h_j, c_j)$ ,  $0 < j < L$  and the goal is to recover  $x$ . We call this an instance of the *hidden number problem* with a leak of  $b$ -bits.

Some leaks in the (EC)DCA and Diffie-Hellman can be mapped to the HNP, which is traditionally solved by lattice reduction or the Bleichenbacher attack.

## 1.3 The Bleichenbacher Approach to the HNP

**Definition 1.3.1.** Let  $X$  be a random variable over  $\mathbb{Z}/q\mathbb{Z}$ . Define *bias* of  $X$  as

$$B(X) = E(e^{2\pi i X/q}) = B(X \mod q). \quad (5)$$

For a set of points  $V = (v_0, v_1, \dots, v_{L-1})$  in  $\mathbb{Z}/q\mathbb{Z}$ , define the *sampled bias* as

$$B(V) = \frac{1}{L} \sum_{j=0}^{L-1} e^{2\pi i v_j/q}. \quad (6)$$

**Lemma 1.3.2.** Let  $X$  be uniformly distributed on  $[-(T-1)/2, \dots, (T-1)/2]$  for some bound  $0 < T \leq q$ , then

1. For independent random variables  $X$  and  $Y$ ,  $B(X+Y) = B(X)B(Y)$ .
2.  $B(X) = \frac{1}{T} \sin\left(\frac{\pi T/q}{\sin(\pi/q)}\right)$ . So  $B(X)$  is real-valued and  $0 \leq B(X) \leq 1$ .
3. If  $T = q$ , then  $B(X) = 0$ .
4. Let  $a$  be an integer with  $|a|T \leq q$ , and  $Y = aX$ . Then  $B(Y) = \frac{1}{T} \sin\left(\frac{\pi a T/q}{\sin(\pi a/q)}\right)$ .
5.  $B(Y) \leq B(X)^{|a|}$ .

**Example 1.3.3** (Bias estimation).

The idea of the Bleichenbacher attack is the following. Take a guess for the secret key  $\omega \in \mathbb{Z}_q$  and let  $B(\omega)$  be the bias of the set  $\{h_j + c_j \cdot \omega \mod q\}$ . Then we expect  $\omega = x$  to be the unique number such that the bias  $B(\omega)$  will be significantly nonzero,

while for all other  $\omega \neq x$  the bias should be close to zero. To see this compute

$$\begin{aligned}
B_q(\omega) &= \frac{1}{L} \sum_{j=0}^{L-1} e^{2\pi i(h_j + c_j \omega)/q} = \sum_{t=0}^{q-1} \left( \frac{1}{L} \sum_{\{j|c_j=t\}} e^{2\pi i h_j/q} \right) e^{2\pi i t \omega/q} \\
&= \sum_{t=0}^{q-1} \left( \frac{1}{L} \sum_{\{j|c_j=t\}} e^{2\pi i(h_j + c_j x)/q} \right) e^{2\pi i t(\omega-x)/q} \\
&= \sum_{t=0}^{q-1} \left( \frac{1}{L} \sum_{\{j|c_j=t\}} e^{2\pi i k_j/q} \right) e^{2\pi i t(\omega-x)/q}.
\end{aligned} \tag{1.2}$$

When  $\omega = x$ ,  $B(\omega) = \frac{1}{L} \sum_{j=0}^{L-1} e^{2\pi i k_j/q}$  is exactly the sampled bias of the  $k_j$ s. Assuming a  $b$ -bit leak and  $L$  large enough,  $B(X)$  will be close to 1, since the points  $e^{2\pi i k_j/q}$  lie in the part of the unit circle with phase  $-\pi/2^b < \theta < \pi/2^b$ .  $B(\omega)$  will be close to zero for  $\omega \neq x$ , since the points will be distributed over the whole circle because of the  $e^{2\pi i t(\omega-x)/q}$  term in (1.2).

Now evaluating this sum for all  $\omega \in \mathbb{Z}_q$  is not feasible. Notice from (1.2) that  $B(\omega)$  is a sum of terms  $e^{2\pi i t \omega/q}$  with frequencies  $t/q$ . If the frequencies  $t/q$  are much smaller than 1, then the peak of  $B(\omega)$  will broaden allowing us to search only over a sparse set of  $\omega$ . To achieve this we need to reduce the size of the  $c_j$ s. Assuming that  $c_j < C$  for some  $C$ , and letting  $n = 2C$ , we can find the  $n$  most significant bits of  $x$  by searching for a peak in  $n$  evenly spaced values of  $\omega \in \mathbb{Z}_q$ . Set  $\omega_m = mq/n, m \in [0, n-1]$ . Then

$$\begin{aligned}
B_q(\omega_m) &= \frac{1}{L} \sum_{j=0}^{L-1} e^{2\pi i(h_j + (c_j mq/n))/q} = \frac{1}{L} \sum_{j=0}^{L-1} e^{(2\pi i h_j/q) + (2\pi i c_j m/n)} \\
&= \sum_{t=0}^{n-1} \left( \frac{1}{L} \sum_{\{j|c_j=t\}} e^{2\pi i h_j/q} \right) e^{2\pi i t m/n} = \sum_{t=0}^{n-1} Z_t e^{2\pi i t m/n}.
\end{aligned} \tag{8}$$

is the inverse FFT of  $Z = (Z_0, \dots, Z_{n-1})$ . Find the  $m$  for which  $B(\omega_m)$  is maximal, then the most significant  $n$  bits of  $x$  are  $msb_n(x) = msb_n(mq/n)$ . So  $n$  is determined by the maximum FFT we can compute. If we can reduce the  $c_j$  below  $C$ , then we can iteratively recover the whole secret key.

### 1.3.1 Range reduction

There are various approaches to range reduction. The original Bleichenbacher presentation proposes the sort and difference algorithm.

1. Sort the list  $\{(h_i, s_i)\}_{i=0}^{L-1}$  in ascending order by the  $h_i$  values.
2. Take the successive differences to create a new list  $\{(h'_i, s'_i)\}_{i=0}^{L-2} = \{(h_{i+1} - h_i, s_{i+1} - s_i)\}_{i=0}^{L-2}$ .
3. Repeat.



In the original presentation, Bleichenbacher mentioned the use of the Schroepel–Shamir algorithm, a knapsack problem solver, for the range reduction phase. The paper (newbleichenbahcer records) transforms the Schroepel–Shamir knapsack solver into a range reduction algorithm. The idea is to

1. Split the set  $S$  of  $2^{\alpha+2}$  of input signatures into 4 lists  $\mathcal{L}^1, \mathcal{R}^1, \mathcal{L}^2, \mathcal{R}^2$  of size  $2^\alpha$ .
2. Fix a  $c \in [0, 2^\alpha] \cap \mathbb{Z}$  and create lists  $\mathcal{A}^r, r \in 1, 2$ , that contain the combinations of two samples  $(\eta^r, \xi^r) = \mathcal{L}^r(i) + \mathcal{R}^r(j) = (c_i^r + c_j^r, h_i^r + h_j^r)$  such that  $\eta^r$ 's  $(\alpha+1)$  most significant bits are the same as  $c$ 's, that is  $MSB_{\alpha+1}(\eta^r) = MSB_{\alpha+1}(c)$ .
3. Sort  $\mathcal{A}^1, \mathcal{A}^2$  by the first coordinate and extract short combinations.

pseudokod je v (new bleichanbcher)

## 1.4 Solving the HNP with Lattices

Boneh and Vankatesan construct the following lattice for solving the HNP

$$\begin{bmatrix} n & 0 & 0 & \cdots & 0 & 0 \\ 0 & n & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & n & 0 \\ t_0 & t_1 & t_2 & \cdots & t_{m-1} & \frac{1}{n} \end{bmatrix}, \quad (1.3)$$

and we want to find the vector  $(a_0, \dots, a_{m-1}, 0)$ . The vector  $([t_0 \cdot \alpha]_p, \dots, [t_{m-1} \alpha]_p, \alpha/n)$  is within  $\sqrt{m+1} \cdot 2^l$  of the desired vector for  $|k_i| < 2^l$ . ■



## Kapitola 2

## Experiment



## Závěr



# Příloha





# Seznam použité literatury

- [1] S. J. Monaquel a K. M. Schmidt, *On  $M$ -functions and operator theory for non-self-adjoint discrete Hamiltonian systems*, v „Special Issue: 65th birthday of Prof. Desmond Evans“, J.Comput. Appl. Math. **208** (2007), č. 1, 82–101.



