#### Documentation

Purpose: Identifies differentially expressed genes (DEGs) associated with overall survival (OS) in stage I and III breast cancer patients using Cox proportional hazards regression with L1/L2 regularization.

### • Inputs:

- o clinical\_data: DataFrame containing patient clinical information.
- o mrna\_tpm: DataFrame containing RNA expression data.
- Down\_DEGs: DataFrame containing downregulated DEGs.
- o Up\_DEGs: DataFrame containing upregulated DEGs.

#### Outputs:

- o Prints coefficients for DEGs at different alpha values.
- o Plots coefficients vs. alpha values, highlighting important genes.
- o Prints best parameters and scores from grid search.
- Prints time-dependent AUC for risk scores.

## Step-by-Step Explanation:

### 1. Data Preparation:

- o Filters data for stage I/III patients with OS data.
- Subsets DEGs from expression data.
- Prepares survival data (time and event).
- o Encodes event data (likely using a label encoder, not shown).
- o Normalizes DEG expression data using standard scaling.

### 2. Cox Model Fitting and Analysis and Grid Search for Hyperparameter Tuning:

Fits CoxnetSurvivalAnalysis with L1 regularization (Lasso only/Initialization Step).

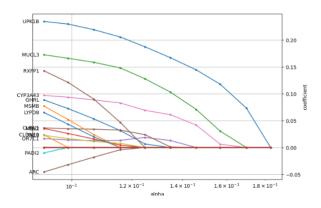
```
#Testing the range of alphas and their corresponding number of genes selected
estimator=CoxnetSurvivalAnalysis(n_alphas=10, l1_ratio=1, alpha_min_ratio=0.01, verbose = 10)
estimator.fit(X,Y)
```

- Creates hazard coefficients for the DEGs at different alphas.
- Prints non-zero coefficients per alpha, showing the number of genes that have non-zero coefficients.

```
coefficients_lasso=pd.DataFrame(estimator.coef_, index=X.columns, columns=np.round(estimator.alphas_, 5))
alphas=estimator.alphas_
for i, col in enumerate(coefficients_lasso.columns):
....non_zero = np.sum(coefficients_lasso[col] != 0) · # Count non-zeros in each column
....print(f"Column {i+1}: Number of non-zero coefficients: {non_zero}")
```

```
Column 1: Number of non-zero coefficients: 0
Column 2: Number of non-zero coefficients: 12
Column 3: Number of non-zero coefficients: 12
Column 4: Number of non-zero coefficients: 40
Column 4: Number of non-zero coefficients: 40
Column 5: Number of non-zero coefficients: 62
Column 6: Number of non-zero coefficients: 62
Column 7: Number of non-zero coefficients: 70
Column 8: Number of non-zero coefficients: 70
Column 9: Number of non-zero coefficients: 76
Column 10: Number of non-zero coefficients: 76
```

Plots coefficients vs. alphas, highlighting important genes.



### 3. :

o Performs grid search with cross-validation to find the best alpha and 11\_ratio.

```
#Steps in elastic net parameter
l1_ratios = np.arange(1, -1, -0.05)
#number of cross fold validations (testing will be 20% and will repeat 5 times to cover all the samples)
cv = KFold(n_splits=5, shuffle=True, random_state=0)
gcv = GridSearchCV((CoxnetSurvivalAnalysis(alpha_min_ratio=1)),
    param_grid={"alphas": [[v] for v in alphas], "l1_ratio" : l1_ratios},
   cv=cv,
    error_score=0.5,
    n_jobs=-1, #to work on the GPU
).fit(X, Y)
cv_results = pd.DataFrame(gcv.cv_results_)
\mbox{\tt\#} Display the relevant information
print("Best Parameters:", gcv.best_params_)
print("Best Score:", gcv.best_score_)
print("Grid Search Results:")
print(cv_results[['params', 'mean_test_score', 'std_test_score']])
```

Prints best parameters and scores.

```
Best Score: 0.6462710583896871
Grid Search Results:
                                           params mean_test_score
    {'alphas': [0.18342394045167265], 'l1_ratio': ...
                                                        0.583829
1
    {'alphas': [0.18342394045167265], 'l1_ratio': ...
                                                        0.569498
    {'alphas': [0.18342394045167265], '11 ratio': ...
2
                                                        0.529025
    {'alphas': [0.18342394045167265], 'l1_ratio': ...
3
                                                        0.509011
    {'alphas': [0.18342394045167265], 'l1_ratio': ...
4
                                                        0.525941
   {'alphas': [0.0018342394045167269], 'l1_ratio'...
                                                        0.500000
395
396 {'alphas': [0.0018342394045167269], 'l1_ratio'...
                                                        0.500000
397 {'alphas': [0.0018342394045167269], 'l1_ratio'...
                                                        0.500000
398 {'alphas': [0.0018342394045167269], '11_ratio'...
                                                        0.500000
399 {'alphas': [0.0018342394045167269], '11_ratio'...
                                                        0.500000
```

#### Risk Score Calculation:

- Selects genes with non-zero coefficients.
- o Calculates risk scores by multiplying gene expression with coefficients and summing.

```
#Risk-Score-Sum(TPM(Gene)-*-Beta(Gene))

#Select-columns-of-genes-with-non-zero-coefficients

#mna_tpm_lasso-=-mmna_tpm_DEGs[non_zero_matrix.index]

#Ensure-that-they-are-in-the-same-order

sum(non_zero_matrix.index-==-mmna_tpm_lasso.columns)-==-97-#Should-equal-97

#Multiply-each-gene-expression-values-by-its-coefficient

for-i-in-range(len(non_zero_matrix.index)):

----mmna_tpm_lasso.iloc[:,i]-=-mmna_tpm_lasso.iloc[:,i]-*-non_zero_matrix[i]

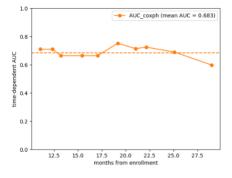
#Sum-the-row-scores-of-each-patient-(total-risk-score)

risk_score=-mmna_tpm_lasso.sum(axis=1)
```

- Gene signature is the column of coefficients produced from the lassocox function and what is calculated for each patient is the hazard based on his/her signature

## 5. Time-Dependent AUC Evaluation:

- o Splits data into training and testing sets.
- o Calculates and plots time-dependent AUC for risk scores.



#### 6. External Validation:

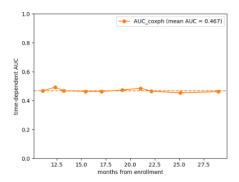
- o Reads external validation data.
- o Filters and normalizes expression data to match selected genes.

```
#You will find this file provided in the repository
external_validation=pd.read_csv("SurvivalMatrix62254.csv")
#filtering the expression matrix to include only the genes with nonzero coefficients, + applying standard scaler
external_validation_exp = external_validation.loc[:, external_validation.columns.isin(non_zero_matrix.index)]-
s_scaler=StandardScaler()
stored_forcolumns=external_validation_exp
external_validation_exp=pd.DataFrame(s_scaler.fit_transform(external_validation_exp))
external_validation_exp=pd.DataFrame(s_scaler.fit_transform(external_validation_exp))
external_validation_exp.columns=stored_forcolumns.columns
#Making a seperate survival dataFrame that will be later needed by the sksurv package
external_validation_surv = external_validation.iloc[:,0:3]
```

- Calculates risk scores for external data.
- Evaluates time-dependent AUC on external data. (Train/Test split was performed only for the function as it is usually used for train/test direct evaluation)

```
auc, mean_auc = cumulative_dynamic_auc(y_train, y_test, X_test, times)

plt.plot(times, auc, marker="o", color=f"C1", label=f"AUC_coxph (mean AUC = {mean_auc:.3f})")
plt.xlabel("months from enrollment")
plt.ylabel("time-dependent AUC")
plt.axhline(mean_auc, color=f"C1", linestyle="--")
plt.legend()
plt.ylim(0, 1)
```



## **Key Functions:**

- plot\_coefficients(coefs, n\_highlight): Creates a plot of coefficients vs. alphas.
- cumulative\_dynamic\_auc(y\_train, y\_test, X\_test, times): Calculates time-dependent AUC.
- CoxnetSurvivalAnalysis(alpha\_min\_ratio=1, 11\_ratio=1)

## Important Variables:

- clinical\_data, mrna\_tpm, Down\_DEGs, Up\_DEGs: Input DataFrames.
- survival\_data: DataFrame containing survival data.
- X: DataFrame containing normalized DEG expression data.
- Y: Array containing survival data in records format.
- estimator: CoxnetSurvivalAnalysis estimator.
- coefficients\_lasso: DataFrame of coefficients for DEGs at different alphas.

# Additional Notes:

- File annotations that will be needed can be found in the readme file