Functional Programming

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Functional Programming: The Idea

Basic Haskell

Lists

Higher-Order Functions

Lazy evaluation

Case Study: Recursive Descent Parsing

Algebraic data Types

Modules and Abstract Data Types

Proofs

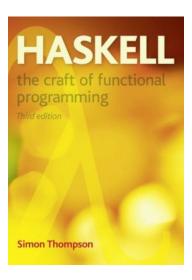
Grading

- ► There are three lab assignments: $L = \frac{L_1 + L_2 + L_3}{3}$. Grading of the labs via Themis: https://themis.housing.rug.nl
- ► There is a final written exam: *E*
- ▶ Final grade: $F = \frac{4 \times E + 3 \times L}{7}$ provided that $E \ge 5$ and $L \ge 5$ Otherwise $F = \min(L, E)$
- ► In case of a resit exam, the resit grade replaces the grade *E*. Warning: there is no resit for the labs!

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Literature

According to Ocasys, the literature for this course is the book Haskell, the Craft of Functional Programming, 3rd ed.

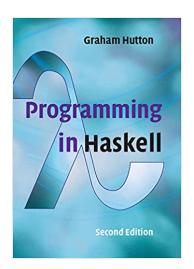


If you have the 2^{nd} edition, that is fine.

Tutorial exercises are from the book. You are not advised to work through the book from page 1 to the last page. A much more gentle introduction to Haskell is to follow the slides.

Recommended Literature

The following book is highly recommended:



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1. Functional Programming: The Idea

Functions are pure/mathematical mappings: Always same output for same input

Computation = Application of functions to arguments

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Example 1

```
In Haskell:
sum [1..10]
In C/C++/Java:
total = 0;
for (i = 1; i <= 10; ++i) {
  total = total + i;
}</pre>
```

Example 2

In Haskell:

```
wellknown [] = []
wellknown (x:xs) = wellknown leq ++ [x] ++ wellknown gt
    where leq = [y | y <- xs, y <= x]
        gt = [z | z <- xs, z > x]
```

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Quicksort in C

```
void swap(int *a, int *b) {
   int temp = *a;
   *a = *b;
   *b = temp;
}
void quicksort(int low, int high, int *numbers) {
   int i = low, j = high, pivot = numbers[low];
   while (i <= j) {
      while (numbers[i] < pivot) i++;</pre>
      while (numbers[j] > pivot) j--;
      if (i <= j) {
        swap(&numbers[i], &numbers[j]);
        i++; j--;
      }
   }
   if (low < j) quicksort(low, j, numbers);</pre>
   if (i < high) quicksort(i, high), numbers);</pre>
}
void sort(int len, int *numbers) {
   quicksort(0, len - 1, numbers);
}
```

Characteristics of functional programs

```
elegant
expressive
concise
readable
predictable pure functions, no side effects
provable it's (very basic) discrete mathematics!
```

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Aims of functional programming

- Program at a high level of abstraction: not bits, bytes and pointers but whole data structures
- ▶ Minimize time to write programs:
 ⇒ reduced development and maintenance time and costs
- ▶ Increased confidence in correctness of programs:
 clean and simple syntax and semantics
 ⇒ programs are easier to
 - understand
 - test (Quickcheck!)
 - prove correct

Historic Milestones 1930s





Alonzo Church and Stephen Kleene developed the lambda calculus, the core of all functional programming languages.

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Historic Milestones

1950s



John McCarthy (Turing Award 1971) develops Lisp, the first functional programming language.

```
(defun factorial (n)
  (if (< n 2)
          1
          (* n (factorial (- n 1)))))</pre>
```

Historic Milestones



Robin Milner (FRS, Turing Award 1991) & Co. develop ML, the first modern (but impure) functional programming language with *polymorphic types* and *type inference*.

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Historic Milestones







Initial design by Philip Wadler, implementation by Simon Peyton Jones. Later, an international committee of researchers initiated the development of Haskell, a pure lazy functional language.

```
fac :: Int -> Int
fac 0 = 1
fac n = n * fac (n-1)

fact :: Int -> Int
fact n = if n <= 0 then 1 else n * fact (n-1)

factorial :: Int -> Int
factorial n = product [1..n]
```

Why we teach FP

- ► FP is a fundamental programming style (like structured procedural programming and OO)
- ► FP is everywhere: Javascript, Scala, Erlang, (O)Caml, F# ...
- ► Pure functional Programs have no side-effects: they are easily mapped to symmetric multiprocessing architectures (SMPs)
- ► FP concepts make you a better programmer, no matter which language you use
- ► To show you that programming is a science, and need not be a black art with magic spells like public static void

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2. Basic Haskell

Notational conventions
Type Bool
Type Integer
Guarded equations
Recursion
Some syntax matters
Types Char and String
Tuple types
Do's and Don'ts

GHC: Glasgow Haskell Compiler system. Documentation via link http://www.haskell.org/ghc/docs/latest/html/users_guide/index.html

2.1 Notational conventions

e:: T means that expression e has type T

Function types: Mathematics Haskell $f: A \times B \rightarrow C$ $f:: A \rightarrow B \rightarrow C$

Function application: Mathematics Haskell f(a) f a

f(a,b) fab f(g(b)) f (gb) f(a,g(b)) fa (gb)

Prefix operations bind stronger than infix operations:

```
f a + b means (f a) + b
not f (a + b)
```

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2.2 Type Bool

Predefined: True False not && || ==

Defining new functions:

```
xor :: Bool -> Bool -> Bool
xor x y = (x || y) && not(x && y)

xor1 :: Bool -> Bool -> Bool
xor1 x y = x /= y

xor2 :: Bool -> Bool -> Bool
xor2 True True = False
xor2 True False = True
xor2 False True = True
xor2 False False = False
```

The function xor2 is an example of the use of pattern matching. The equations are tried in order. More later.

```
Is xor x y == xor2 x y true?
```

Testing with QuickCheck

QuickCheck: library for software testing.

Warning: on many linux distributions, you need to install it separately!

Import test framework:

```
import Test.QuickCheck
```

Define property (assertion) to be tested:

```
prop_xor2 x y =
  xor x y == xor2 x y
```

Note naming convention prop_...

Check property:

```
> quickCheck prop_xor2
+++ OK, passed 100 tests.
```

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XorDemo.hs

For GHCi commands (like :1, :q, :t, etc) see

http://www.haskell.org/ghc/docs/latest/html/users_guide/ghci.html

2.3 Type Integer

Unlimited precision integers!

Predefined: $+ - * ^ div mod abs == /= < <= >=$

Prelude> 2^200

1606938044258990275541962092341162602522202993782792835301376

==, <=, etc are overloaded and work on many types!

There is also the type Int with a platform dependent precision, which is guaranteed for the interval $[-2^{29} .. 2^{29})$.

On my 64 bit Linux installation I found:

Prelude> minBound :: Int

-9223372036854775808

Prelude> maxBound :: Int

9223372036854775807

Warning: be aware of precision!

Prelude> (2::Int)^62

4611686018427387904

Prelude> (2::Int)^62 + ((2::Int)^62 - 1)

9223372036854775807

Prelude> (2::Int)^63

-9223372036854775808

Prelude> (2::Int)^64

0

Prelude> 2^64

18446744073709551616

Prelude> 2^100

1267650600228229401496703205376

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Example:

```
sq :: Integer -> Integer
sq n = n * n
```

Evaluation:

```
\underline{sq} (sq 3) = \underline{sq} 3 * \underline{sq} 3

= (3 * 3) * (3 * 3)

= 81
```

Evaluation of Haskell expressions means

applying the defining equations from left to right.

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2.4 Guarded equations

Example: maximum of 2 integers.

Haskell also has an if-then-else:

```
maxval x y = if x >= y then x else y
```

Let us (quick) check that maxval is associative:

```
prop_max_assoc x y z =
  maxval x (maxval y z) == maxval (maxval x y) z
> quickCheck prop_max_assoc
+++ OK, passed 100 tests.
```

Local definitions: where

A defining equation can be followed by one or more local definitions.

```
pow4 x = x2 * x2 where x2 = x * x

pow4 x = sq (sq x) where sq x = x * x

pow8 x = sq (sq x2)
  where x2 = x * x
    sq x = x * x
```

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Local definitions: let

let
$$x = e_1$$
 in e_2
defines x locally in expression e_2

Example:

```
let x = 2+3 in x^2 + 2*x
= 35
Like e_2 where x = e_1
But can occur anywhere in an expression where: only after function definitions
```

```
2.5 Recursion
Example: x^n (using only *, not ^)
-- pow x n returns x to the power of n
pow :: Integer -> Integer
pow x n = ???
Cannot write x * \cdots * x
             n times
Two cases:
pow x n
  | n == 0 = 1
                                 -- the base case
  \mid n > 0 = x * pow x (n-1) -- the recursive case
More compactly (using pattern matching):
pow x 0 = 1
pow x n | n > 0 = x * pow x (n-1)
```

Evaluating pow

```
pow x 0 = 1
pow x n | n > 0 = x * pow x (n-1)
pow 2 3 = 2 * pow 2 2
        = 2 * (2 * pow 2 1)
        = 2 * (2 * (2 * pow 2 0))
        = 2 * (2 * (2 * 1))
        = 8
> pow 2 (-1)
GHCi answers
*** Exception: PowDemo.hs:(1,1)-(2,33):
    Non-exhaustive patterns in function pow
```

Partially defined functions

```
pow x n | n > 0 = x * pow x (n-1)

versus

pow2 x n = x * pow2 x (n-1)
```

- ▶ pow: call outside intended domain raises exception
- pow2: call outside intended domain leads to arbitrary behaviour, including nontermination

You are strongly advised to write functions in the style of the pow function.

3.

Example sumTo

```
The sum from 0 to n = 0 + 1 + ... + (n-1) + n

sumTo :: Integer -> Integer
sumTo 0 = 0
sumTo n | n > 0 =

prop_sumTo n =
   sumTo n == n*(n+1) 'div' 2

> quickCheck prop_sumTo

*** Exception: SumDemo.hs:(4,1)-(5,35): Non-exhaustive
patterns in function sumTo
```

Recursion in general

- Reduce a problem to a smaller problem, e.g. pow x n to pow x (n−1)
- ► Must eventually reach a base case
- ▶ Build up solutions from smaller solutions

General problem solving strategy in *any* programing language

The only way of 'looping' in Haskell!

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Typical recursion patterns for integers

Always make the base case as simple as possible (typically 0)

Many variations:

- more parameters
- ▶ other base cases, e.g. f 1
- ▶ other recursive calls, e.g. f(n 2)
- more than one recursive call (e.g. fibonacci)

```
Fibonacci: F_0 = 0, F_1 = 1, F_n = F_{n-1} + F_{n-2}

fib :: Integer -> Integer

fib 0 = 0

fib 1 = 1

fib n = fib (n-1) + fib (n-2)
```

Clearly, due to the exponential time complexity of this implementation, it is unfeasible to compute fib 100.

However, it is easy to make a linear time implementation:

```
fib :: Integer -> Integer
fib n = f n 0 1
   where
   f 0 a b = a
   f n a b = f (n-1) b (a+b)
```

```
Evaluation of fib 5 = f 5 0 1:
f 5 0 1 = f 4 1 1 = f 3 1 2 = f 2 2 3 = f 1 3 5 = f 0 5 8 = 5.
```

2.6 Some syntax matters

Functions are defined by one or more equations. In the simplest case, each function is defined by an (possibly conditional) equation:

```
f \quad x_1 \quad \dots \quad x_n
\mid test_1 = e_1
\vdots
\mid test_n = e_n
```

Each right-hand side e_i is an expression.

Note: otherwise = True

Function and parameter names must begin with a lower-case letter (Type names begin with an upper-case letter)

An expression can be

- ▶ a literal like 0 or "xyz",
- or an *identifier* like True or x,
- ightharpoonup or a function application $f e_1 \ldots e_n$ where f is a function and $e_1 \ldots e_n$ are expressions,
- or a parenthesized expression (e)

Note the similarity with λ -calculus.

Additional syntactic sugar:

- ▶ if then else
- infix
- where
- **...**

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Scoping by example

```
x = y + 5
y = x + 1 where x = 7
f y = y + x
> f 3
```

Binding occurrence
Bound occurrence
Scope of binding

Scoping by example

Binding occurrence Bound occurrence Scope of binding

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Scoping by example

$$x = y + 5$$

 $y = x + 1$ where $x = 7$
f $y = y + x$
> f 3

Binding occurrence Bound occurrence Scope of binding

Scoping by example

$$x = y + 5$$

 $y = x + 1$ where $x = 7$
 $f y = y + x$
> f 3

Binding occurrence Bound occurrence Scope of binding

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Scoping by example

Binding occurrence Bound occurrence Scope of binding

Scoping by example

Summary:

- Order of definitions is irrelevant
- ► Parameters and where-defs are local to each equation

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Layout: the offside rule

$$a = 10$$
 $a = 10$ $a = 10$
 $b = 20$ $b = 20$
 $c = 30$ $c = 30$

In a sequence of definitions, each definition must begin in the same column.

$$a = 10 + \frac{a = 10 +}{20} + \frac{a = 10 +}{20}$$

A definition ends with the first piece of text in or to the left of the start column.

Prefix and infix

```
Function application: f a b
```

Functions can be turned into infix operators by enclosing them in back quotes.

Example

```
5 \text{ 'mod' } 3 = \text{mod } 5 3
```

Infix operators: a + b

Infix operators can be turned into functions by enclosing them in parentheses.

Example

```
(+) 1 2 = 1 + 2
```

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Comments

Function composition

Consider the following two (mathematical) functions:

$$f(x) = x^2 \qquad g(x) = f(f(x))$$

Here, g(x) is defined in terms of f(x) by function composition.

In Haskell you can do this similarly:

However, it is Haskell-stylish to use the composition (.) operator:

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2.7 Types Char and String

Character literals as usual: 'a', ' $^{n'}$, ' $^{n'}$, ... Lots of predefined functions in module Data.Char.

String literals as usual: "I am a string"

Strings are lists of characters.
Lists can be concatenated with ++:
"I am" ++ "a string" = "I ama string"

More on lists later.

2.8 Tuple types

```
(True, 'a', "abc") :: (Bool, Char, String)
```

In general:

If
$$e_1 :: T_1 ... e_n :: T_n$$

then $(e_1, ..., e_n) :: (T_1, ..., T_n)$

In mathematical notation: $T_1 \times \cdots \times T_n$

For pairs, the functions fst and snd are predefined in the Prelude:

$$fst (a,b) = a$$

 $snd (a,b) = b$

Here is an example of another linear time Fibonacci function:

```
fib :: Integer -> Integer
fib n = fst (f n)
  where
    f 0 = (0,1)
    f n = let (a,b)=f(n-1) in (b,a+b)
```

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2.9 Do's and Don'ts

True and False

Never write b == True Simply write b

Never write

b == False

Simply write

not b

. . .

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Tuple

```
Try to avoid (mostly):

f(x,y) = \dots

Usually better:

f x y = \dots

Just fine:

f x y = (x + y, x - y)
```

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3. Lists

List comprehension
Generic functions: Polymorphism
Pattern matching on lists
Pattern matching
Recursion over lists
Case study: Pictures

Lists are the most important data type in functional programming

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Typing rule

```
If e_1 :: T \ldots e_n :: T
then [e_1, \ldots, e_n] :: [T]
```

Graphical notation:

$$\frac{e_1 :: T \dots e_n :: T}{[e_1, \dots, e_n] :: [T]}$$

```
[True, 'c'] is not type-correct!!!
```

All elements in a list must have the same type

Test

```
(True, 'c') ::
[(True, 'c'), (False, 'd')] ::
([True, False], ['c', 'd']) ::
```

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List ranges

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Concatenation: ++

Concatenates two lists of the same type:

$$[1, 2] ++ [3] = [1, 2, 3]$$

$$\frac{[1, 2] ++ ['a']}{[3]}$$

3.1 List comprehension

Set comprehensions (mathematics):

$${x^2 \mid x \in \{1, 2, 3, 4, 5\}}$$

The set of all x^2 such that x is an element of $\{1, 2, 3, 4, 5\}$

List comprehension:

$$[x^2 | x < - [1 ... 5]]$$

The list of all x^2 such that x is an element of [1 .. 5]

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List comprehension — Generators

List comprehension — Tests

```
[ x*x | x <- [1 .. 5], odd x]
= [1, 9, 25]

[ x*x | x <- [1 .. 5], odd x, x > 3]
= [25]

[ toLower c | c <- "Hello, World!", isAlpha c]
= "helloworld"</pre>
```

Boolean expressions are called *tests*

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Defining functions by list comprehension

```
factors :: Int -> [Int]
factors n = [d | d <- [1 .. n], n 'mod' d == 0]

⇒ factors 15 = [1, 3, 5, 15]

prime :: Int -> Bool
prime n = [1,n] == factors n

⇒ prime 15 = False

primes :: Int -> [Int]
primes n = [p | p <- [1 .. n], prime p]

⇒ primes 100 = [2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 37, 41, 43, 47, 53, 59, 61, 67, 71, 73, 79, 83, 89, 97]
```

factors: a bit more efficient

Instead of

```
factors :: Int -> [Int]
factors d = [d | d <- [1 .. n], n 'mod' d == 0]

it is more efficient to write

factors :: Int -> [Int]
factors n = [d | d <- [1 .. n 'div' 2], n 'mod' d == 0] ++ [n]</pre>
```

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List comprehension — General form

[
$$expr \mid E_1, \ldots, E_n$$
]

where expr is an expression and each E_i is a generator or a test

Multiple generators

```
[(i,j) | i <- [1 .. 2], j <- [7 .. 9]]

= [(1,7), (1,8), (1,9), (2,7), (2,8), (2,9)]
Analogy: each generator is a for loop:
for all i <- [1 .. 2]
  for all j <- [7 .. 9]
   ...</pre>
```

Key difference:

Loops *do* something Expressions *produce* something

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Dependent generators

```
[(i,j) | i <- [1 .. 3], j <- [i .. 3]]
= [(1,j) | j <- [1..3]] ++
   [(2,j) | j <- [2..3]] ++
   [(3,j) | j <- [3..3]]
= [(1,1), (1,2), (1,3), (2,2), (2,3), (3,3)]</pre>
```

The meaning of list comprehensions

```
[e \mid x < - [a_1, \dots, a_n]]
= (let x = a_1 in [e]) ++ \dots ++ (let x = a_n in [e])
[e \mid b]
= if b then [e] else []
[e \mid x < - [a_1, \dots, a_n], \overline{E}]
= (let x = a_1 in [e \mid \overline{E}]) ++ \dots ++ (let x = a_n in [e \mid \overline{E}])
[e \mid b, \overline{E}]
= if b then [e \mid \overline{E}] else []
```

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Convention

Example: concat

```
concat xss = [x \mid xs \leftarrow xss, x \leftarrow xs]

concat [[1,2], [4,5,6]]

= [x \mid xs \leftarrow [[1,2], [4,5,6]], x \leftarrow xs]

= [x \mid x \leftarrow [1,2]] ++ [x \mid x \leftarrow [4,5,6]]

= [1,2] ++ [4,5,6]

= [1,2,4,5,6]

What is the type of concat?
```

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3.2 Generic functions: Polymorphism

Polymorphism = one function can have many types

Example

```
length :: [Bool] -> Int
length :: [Char] -> Int
length :: [[Int]] -> Int
:
```

The most general type:

```
length :: [a] \rightarrow Int where a is a type variable \implies length :: [a] \rightarrow Int \text{ for all types a}
```

Type variable syntax

Type variables must start with a lower-case letter Typically: a, b, c, . . .

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Defining polymorphic functions

```
id :: a -> a
id x = x

fst :: (a,b) -> a
fst (x,y) = x

swap :: (a,b) -> (b,a)
swap (x,y) = (y,x)

silly :: Bool -> a -> Char
silly x y = if x then 'c' else 'd'

silly2 :: Bool -> Bool -> Bool
silly2 x y = if x then x else y
```

Polymorphic list functions from the Prelude

```
length :: [a] -> Int
length [5, 1, 9] = 3

(++) :: [a] -> [a] -> [a]
[1, 2] ++ [3, 4] = [1, 2, 3, 4]

reverse :: [a] -> [a]
reverse [1, 2, 3] = [3, 2, 1]

replicate :: Int -> a -> [a]
replicate 3 'c' = "ccc"
```

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Polymorphic list functions from the Prelude

```
head "list" = 'l'
last "list" = 't'
tail "list" = "ist"
init "list" = "lis"
head :: [a] -> a

last :: [a] -> [a]
init :: [a] -> [a]
```

Polymorphic list functions from the Prelude

```
take 3 "list" = "lis"
drop 3 "list" = "t"

take :: Int -> [a] -> [a]

drop :: Int -> [a] -> [a]

-- A property:
prop_take_drop xs =
  take n xs ++ drop n xs == xs
```

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Polymorphic list functions from the Prelude

```
concat :: [[a]] -> [a]
concat [[1, 2], [3, 4], [0]] = [1, 2, 3, 4, 0]

zip :: [a] -> [b] -> [(a,b)]
zip [1,2] "ab" = [(1, 'a'), (2, 'b')]

BEWARE: zip [1..3] [1..4] = [(1,1), (2,2), (3,3)]

unzip :: [(a,b)] -> ([a],[b])
unzip [(1, 'a'), (2, 'b')] = ([1,2], "ab")

Warning: in general we have unzip(zip xs ys) /= (xs, ys)
```

Further list functions from the Prelude

```
and :: [Bool] -> Bool
and [True, False, True] = False

or :: [Bool] -> Bool
or [True, False, True] = True

-- For numeric types a:
sum, product :: [a] -> a
sum [1, 2, 2] = 5
product [1, 2, 2] = 4
```

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Polymorphism versus Overloading

```
Polymorphism: one definition, many types
```

Overloading: different definitions for different types

Example

Function (+) is overloaded:

- ▶ on type Int: built into the hardware
- ▶ on type Integer: realized in software

So what is the type of (+)?

Numeric types

$$(+) :: Num a => a -> a -> a$$

Function (+) has type a -> a -> a for any type of class Num

- ► Class Num is the class of *numeric types*.
- Don't confuse with OO classes!
- ▶ Predefined numeric types: Int, Integer, Float, Double
- ► Types of class Num offer the basic arithmetic operations:

```
(+) :: Num a => a -> a -> a

(-) :: Num a => a -> a -> a

(*) :: Num a => a -> a -> a

:

sum, product :: Num a => [a] -> a
```

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Other important type classes

► The class Eq of *equality types*, i.e. types that have

```
(==) :: Eq a => a -> a -> Bool
(/=) :: Eq a => a -> a -> Bool
```

Most types are of class Eq.

Exception:

► The class Ord of ordered types, i.e. types that have

```
(<) :: Ord a => a -> a -> Bool
(<=) :: Ord a => a -> a -> Bool
```

More on type classes later.

Warning: QuickCheck and polymorphism

QuickCheck does not work on polymorphic properties!

Example

```
QuickCheck does not find a counterexample to
prop_reverse :: [a] -> Bool
prop_reverse xs = reverse xs == xs
```

The solution: specialize the polymorphic property, e.g.

```
prop_reverse :: [Int] -> Bool
prop_reverse xs = reverse xs == xs
Now QuickCheck works
```

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3.3 Pattern matching on lists

3.4 Pattern matching

```
Every list can be constructed from []
by repeatedly adding an element at the front
with the "cons" operator (:) :: a \rightarrow [a] \rightarrow [a]

syntactic sugar
in reality
[3]
[2, 3]
[2, 3]
[1, 2, 3]
[1, 2, 3]
[1, 2 : 3 : []
[1, 2, 3]
[1, 2 : 3 : []
[1, 2, 3]
[2 : 3 : []
[2, 3]
[2 : 3 : []
[3]
[4]
[5]
Note: x : y : zs = x : (y : zs)
(:) associates to the right
```

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```
Every list is either

[]

x: xs where

x is the head (first element), and
xs is the tail (rest list)
```

[] and (:) are called *constructors* because every list can be constructed uniquely from them.

 \Longrightarrow

Every non-empty list can be decomposed uniquely into head and tail.

Therefore these definitions make sense:

head (x : xs) = xtail (x : xs) = xs

```
(++) is not a constructor:
[1,2,3] is not uniquely constructable with (++):
[1,2,3] = [1] ++ [2,3] = [1,2] ++ [3]
Therefore this definition does not make sense:
nonsense (xs ++ ys) = length xs - length ys
```

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Patterns

Patterns are expressions consisting only of constructors and variables. No variable must occur twice in a pattern.

⇒ Patterns allow unique decomposition = *pattern matching*.

A pattern can be

- ➤ a variable such as x or a wildcard _ (underscore)
- ► a literal like 1, 'a', "xyz", ...
- ▶ a tuple (p_1, \ldots, p_n) where each p_i is a pattern
- ▶ a constructor pattern C p_1 ... p_n where C is a constructor and each p_i is a pattern

Pattern matching / wildcards

Example

```
head :: [a] -> a
head (x : _) = x

tail :: [a] -> [a]
tail (_ : xs) = xs

null :: [a] -> Bool
null [] = True
null (_ : _) = False
```

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Function definitions by pattern matching

Example

```
true12 :: [Bool] -> Bool
true12 (True : True : _) = True
true12 _ = False

same12 :: Eq a => [a] -> [a] -> Bool
same12 (x : _) (_ : y : _) = x == y
same12 _ _ = False

asc3 :: Ord a => [a] -> Bool
asc3 (x : y : z : _) = x <= y && y <= z
asc3 _ = False</pre>
```

3.5 Recursion over lists

Example

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Primitive recursion on lists:

```
f [] = base -- base case

f (x : xs) = rec -- recursive case
```

- base: (terminating) expression without a call of f
- rec: expression using call(s) f xs
- \implies f always terminates!

f may have additional parameters.

Primitive recursive definitions

Example

```
concat :: [[a]] -> [a]
concat [] = []
concat (xs : xss) = xs ++ concat xss

(++) :: [a] -> [a] -> [a]
[] ++ ys = ys
(x:xs) ++ ys = x : (xs ++ ys)
```

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Mutual recursion

Example

```
even :: Int -> Bool even n = n == 0 \mid \mid n > 0 \&\& odd (n-1) \mid \mid n < 0 \&\& odd (n+1) odd :: Int -> Bool odd n = n \neq 0 \&\& (n > 0 \&\& even (n-1) \mid \mid n < 0 \&\& even (n+1))
```

Insertion sort

Example

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Beyond primitive recursion: Multiple arguments

Example

```
zip :: [a] -> [b] -> [(a,b)]
zip (x:xs) (y:ys) = (x,y) : zip xs ys
zip _ _ = []
```

Maybe you are tempted to write:

```
zip' [] [] = []
zip' (x:xs) (y:ys) = (x,y) : zip' xs ys
```

zip' is undefined for lists having different lengths!

Beyond primitive recursion: Multiple arguments

Example

```
take :: Int -> [a] -> [a]
take 0 _ = []
take _ [] = []
take i (x:xs) | i>0 = x : take (i-1) xs
```

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General recursion: Quicksort

Example

```
quickSort :: Ord a => [a] -> [a]
quickSort [] = []
quickSort (x:xs) =
   quickSort below ++ [x] ++ quickSort above
   where
     below = [y | y <- xs, y <= x]
     above = [y | y <- xs, x < y]</pre>
```

A better Quicksort

Example

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Accumulating parameter

Idea: Result is accumulated in a parameter and returned later

```
(++) :: [a] -> [a] -> [a]
[] ++ ys = ys
(x:xs) ++ ys = x : (xs ++ ys)

reverse :: [a] -> [a]
reverse [] = []
reverse (x : xs) = reverse xs ++ [x]

reverse' :: [a] -> [a]
reverse' xs = rev xs []
where
    rev [] rs = rs
    rev (x:xs) rs = rev xs (x:rs)
```

Accumulating parameter

```
Example: list of all maximal ascending sublists in a list ups [3,0,2,3,2,4] = [[3], [0,2,3], [2,4]]
```

Accumulating parameter (more elegant)

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3.6 Case study: Pictures

```
type Picture = [String]
```

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```
flipH :: Picture -> Picture
flipH = reverse

flipV :: Picture -> Picture
flipV pic = [ reverse line | line <- pic]

rarr :: Picture
rarr = flipV larr

darr :: Picture
darr = flipH uarr

above :: Picture -> Picture -> Picture
above = (++)

beside :: Picture -> Picture -> Picture
beside pic1 pic2 = [ l1 ++ l2 | (l1,l2) <- zip pic1 pic2]</pre>
```

Chessboards

```
bSq = replicate 5 (replicate 5 '#')

wSq = replicate 5 (replicate 5 ' ')

alterH :: Picture -> Picture -> Int -> Picture
alterH pic1 pic2 1 = pic1
alterH pic1 pic2 n = pic1 'beside' alterH pic2 pic1 (n-1)

alterV :: Picture -> Picture -> Int -> Picture
alterV pic1 pic2 1 = pic1
alterV pic1 pic2 n = pic1 'above' alterV pic2 pic1 (n-1)

chessboard :: Int -> Picture
chessboard n = alterV bw wb n where
  bw = alterH bSq wSq n
  wb = alterH wSq bSq n
```

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4. Higher-Order Functions

Applying functions to all elements of a list:

map

Filtering a list: filter

Combining the elements of a list: foldr

Lambda expressions

Curried functions

More library functions

Case study: Counting words

```
Recall [Pic is short for Picture]

alterH :: Pic -> Pic -> Int -> Pic

alterH pic1 pic2 1 = pic1

alterH pic1 pic2 n = beside pic1 (alterH pic2 pic1 (n-1))

alterV :: Pic -> Pic -> Int -> Pic

alterV pic1 pic2 1 = pic1

alterV pic1 pic2 n = above pic1 (alterV pic2 pic1 (n-1))

Very similar. Can we avoid duplication?

alt :: (Pic -> Pic -> Pic) -> Pic -> Pic -> Int -> Pic

alt f pic1 pic2 1 = pic1

alt f pic1 pic2 n = f pic1 (alt f pic2 pic1 (n-1))

alterH pic1 pic2 n = alt beside pic1 pic2 n

alterV pic1 pic2 n = alt above pic1 pic2 n
```

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Higher-order functions: Functions that take functions as arguments

```
... -> (... -> ...) -> ...
```

Higher-order functions capture patterns of computation

4.1 Applying functions to all elements of a list: map

Example

```
map even [1, 2, 3]
= [False, True, False]

map toLower "R2-D2"
= "r2-d2"

map reverse ["abc", "123"]
= ["cba", "321"]

What is the type of map?

map :: (a -> b) -> [a] -> [b]
```

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map: The mother of all higher-order functions

Predefined in Prelude. Two possible definitions:

Using a list comprehension:

```
map f xs = [f x | x < -xs]
```

using recursion:

```
map f [] = []
map f (x:xs) = f x : map f xs
```

Evaluating map

```
map f [] = []
map f (x:xs) = f x : map f xs

map sqr [1, -2]

= map sqr (1 : -2 : [])

= sqr 1 : map sqr (-2 : [])

= sqr 1 : sqr (-2) : (map sqr [])

= sqr 1 : sqr (-2) : []

= 1 : 4 : []

= [1, 4]
```

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Some properties of map

```
length (map f xs) = length xs
map f (xs ++ ys) = map f xs ++ map f ys
map f (reverse xs) = reverse (map f xs)
Proofs by induction (another lecture)
```

QuickCheck and higher order functions

QuickCheck does not work automatically for properties using function variables.

It needs to know how to generate functions.

Cheap alternative: replace function variable by specific function(s)

Example

```
prop_map_even :: [Int] -> [Int] -> Bool
prop_map_even xs ys =
  map even (xs ++ ys) = map even xs ++ map even ys
```

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4.2 Filtering a list: filter

Example

```
filter even [1, 2, 3]
= [2]

filter isAlpha "R2-D2"
= "RD"

filter null [[], [1,2], []]
= [[], []]
```

What is the type of filter?

```
filter :: (a -> Bool) -> [a] -> [a]
```

filter

Predefined in Prelude. Two possible definitions:

Using a list comprehension:

```
filter p xs = [x | x < -xs, px]
```

using recursion:

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Some properties of filter

```
filter p (xs ++ ys) = filter p xs ++ filter p ys
filter p (reverse xs) = reverse (filter p xs)
Proofs by induction
```

4.3 Combining the elements of a list: foldr

Example

```
sum [] = 0

sum (x:xs) = x + sum xs

sum [x_1, ..., x_n] = x_1 + ... + x_n + 0
concat [] = []
concat (xs:xss) = xs ++ concat xss
concat [xs_1, ..., xs_n] = xs_1 ++ ... ++ xs_n ++ []
```

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foldr

```
foldr (\oplus) z [x_1, ..., x_n] = x_1 \oplus (x_2 \oplus (... \oplus (x_n \oplus z)...))

Applications:

sum xs = foldr (+) 0 xs

product xs = foldr (*) 1 xs

concat xss = foldr (++) [] xss

Defined in Prelude. What is the type of foldr?

foldr :: (a -> b -> b) -> b -> [a] -> b
```

foldr

```
foldr :: (a \rightarrow b \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b

foldr f a [] = a

foldr f a (x:xs) = x 'f' foldr f a xs
```

```
foldr f a replaces
  (:) by 'f' and
  [] by a
```

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Evaluating foldr

```
foldr f a [] = a
foldr f a (x:xs) = x 'f' foldr f a xs

foldr (+) 0 [1, -2]

= foldr (+) 0 (1 : -2 : [])

= 1 + foldr (+) 0 (-2 : [])

= 1 + (-2 + (foldr (+) 0 []))

= 1 + (-2 + 0)

= -1
```

More applications of foldr

```
= a
foldr f a []
foldr f a (x:xs) = x 'f' foldr f a xs
  product xs = foldr (*)
                              1
                                     XS
  and xs
            = foldr (&&)
                              True
                                     xs
  or xs
            = foldr (||) False
                                     XS
  inSort xs = foldr ins []
                                     XS
ins x [] = [x]
ins x (y:ys) = if x \le y then x : y : ys else y : ins x ys
foldr ins [] [1, -2]
= foldr ins [] (1 : -2 : [])
= 1 'ins' (foldr ins [] (-2 : []))
= 1 'ins' (-2 'ins' (foldr 'ins' [] []))
= 1 'ins' (-2 'ins' [])
= 1 'ins' [-2]
= -2:(1 \text{ 'ins' []})
= -2:[1]
= [-2,1]
```

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Quiz

Definining functions via foldr

- means you have understood the art of higher-order functions
- ► allows you to apply properties of foldr

```
For example, if f is associative and z 'f' x = x then foldr f z (xs++ys) = foldr f z xs 'f' foldr f z ys.
```

```
Therefore sum (xs++ys) = sum xs + sum ys,
product (xs++ys) = product xs * product ys, ...
```

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4.4 Lambda expressions

Consider

squares xs = map sqr xs where sqr x = x * xDo we really need to define sqr explicitly? No!

$$\xspace \xspace \xsp$$

is the anonymous function with

formal parameter x and result x * x

In mathematics: $x \mapsto x * x$

Evaluation:

$$(\x -> x * x) 3 = 3 * 3 = 9$$

Usage:

squares $xs = map (\x -> x * x) xs$

Terminology

$$(\x \rightarrow e_1) e_2$$

x: formal parameter

e₁: result

e₂: actual parameter

Why "lambda"?

The logician Alonzo Church invented lambda calculus in the 1930s

Logicians write $\lambda x. e$ instead of $\x -> e$

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Typing lambda expressions

Example

```
(\x -> x > 0) :: (Num a, Ord a) => a -> Bool
```

The general rule:

```
(\xspace x -> e) :: T_1 -> T_2
if x :: T_1 implies e :: T_2
```

infix operators and lambda expressions

```
(+ 1)
               (\x -> x + 1)
     means
(1 +) means
               (\x \rightarrow 1 + x)
(* 2) means (\x -> x * 2)
              (\x -> 2 * x)
(2 *)
     means
               (\x -> 2 ^x)
(2^{})
     means
(^ 2)
               (\x -> x ^2)
      means
etc
```

Example

```
squares xs = map (^2) xs
```

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4.5 Curried functions

A trick (re)invented by the logician Haskell Curry

Example

$$f :: Int \rightarrow Int \rightarrow Int$$
 $f :: Int \rightarrow (Int \rightarrow Int)$
 $f x y = x+y$ $f x = \y \rightarrow x+y$

Both mean the same:

f a b (f a) b
=
$$a + b$$
 = $(\y -> a + y) b$
= $a + b$

The trick: any function of two arguments can be looked upon as a function of the first argument that returns a function of the second argument

Consequence of Currying

Every function is a function of one argument (which may return a function as a result)

$$T_1 \rightarrow T_2 \rightarrow T$$

is just syntactic sugar for

$$T_1 \rightarrow (T_2 \rightarrow T)$$

$$f$$
 e_1 e_2

is just syntactic sugar for

$$\underbrace{(f e_1)}_{::T_2 \to T} e_2$$

Analogously for more arguments

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-> is not associative:

$$T_1 \to (T_2 \to T) \neq (T_1 \to T_2) \to T$$

Example

Application is not associative:

$$(f e_1) e_2 \neq f (e_1 e_2)$$

Example

(f 3) 4
$$\neq$$
 f (3 4) g (id abs) \neq (g id) abs

head tail xs

Correct?

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Partial function application

Every function of n parameters can be applied to less than n arguments

```
Example Instead of sum xs = foldr (+) 0 xs just define sum = foldr (+) 0 In general: If f :: T_1 \rightarrow \dots \rightarrow T_n \rightarrow T and a_1 :: T_1, \dots, a_m :: T_m \text{ and } m \leq n then f a_1 \dots a_m :: T_{m+1} \rightarrow \dots \rightarrow T_n \rightarrow T
```

4.6 More library functions

```
(.) :: (b -> c) -> (a -> b) ->
f . g = \x -> f (g x)

Example

head2 = head . tail

head2 [1,2,3]
= (head . tail) [1,2,3]
= (\x -> head (tail x)) [1,2,3]
= head (tail [1,2,3])
= head [2,3]
= 2
```

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```
all :: (a -> Bool) -> [a] -> Bool
all p xs = and [p x | x <- xs]

Example
all (>1) [0, 1, 2]
= False

any :: (a -> Bool) -> [a] -> Bool
any p = or [p x | x <- xs]

Example
any (>1) [0, 1, 2]
= True
```

```
takeWhile :: (a \rightarrow Bool) \rightarrow [a] \rightarrow [a]
takeWhile p []
               = []
takeWhile p (x:xs)
                   = x : takeWhile p xs
   l p x
   | otherwise = []
Example
takeWhile (not . isSpace) "the end"
= "the"
dropWhile :: (a -> Bool) -> [a] -> [a]
dropWhile p [] = []
dropWhile p (x:xs)
   l p x
                   = dropWhile p xs
   | otherwise = x:xs
Example
dropWhile (not . isSpace) "the end"
= " end"
```

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curry / uncurry

```
curry f = \langle x y - \rangle f(x,y)

uncurry f = \langle (x,y) - \rangle f x y

Example:

f(x,y) = x+y

add = curry f -- now add 5 6 yields 11

What are the types of curry and uncurry?

curry :: ((a,b) - \rangle c) - \rangle (a - \rangle b - \rangle c)

uncurry :: (a - \rangle b - \rangle c) - \rangle ((a,b) - \rangle c)
```

4.7 Case study: Counting words

Input: A string, e.g. "never say never again"

Output: A string listing the words in alphabetical order, together with their frequency,

```
e.g. "again: 1\nnever: 2\nsay: 1\n"
```

Function putStr yields

again: 1
never: 2
say: 1

Design principle:

Solve problem in a sequence of small steps transforming the input gradually into the output

Similar to Unix pipes!

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Step 1: Break input into words

"never say never again"

["never", "say", "never", "again"]

Predefined in Prelude

Step 2: Sort words

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Step 3: Group equal words together

```
["again", "never", "never", "say"]

function group

[["again"], ["never", "never"], ["say"]]
Predefined in Data.List
```

Step 4: Count each group

```
[["again"], ["never", "never"], ["say"]]

| map (\ws -> (head ws, length ws))
[("again", 1), ("never", 2), ("say", 1)]
```

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Step 5: Format each group

Step 6: Combine the lines

Predefined in Prelude

```
["again: 1", "never: 2", "say: 1"]

function unlines

"again: 1\nnever: 2\nsay: 1\n"
```

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The solution

```
countWords :: String -> String
countWords =
  unlines
  . map (\(w,n) -> w ++ ": " ++ show n)
  . map (\ws -> (head ws, length ws))
  . group
  . sort
  . words
```

Merging maps

Can we merge two consecutive maps?

```
map f . map g = ???
```

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The optimized solution

```
countWords :: String -> String
countWords =
  unlines
  . map (\ws -> head ws ++ ": " ++ show(length ws))
  . group
  . sort
  . words
```

5. Lazy evaluation Applications of lazy evaluation Infinite lists

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Introduction

So far, we did not pay much attention to the details of how Haskell expressions are evaluated. The evaluation strategy is called

lazy evaluation

Advantages:

- Avoids unnecessary evaluations
- ► Terminates as often as possible
- Supports infinite lists
- ► Increases modularity

Therefore Haskell is called a lazy functional language.

Evaluating expressions

Expressions are evaluated (*reduced*) by successively applying definitions until no further reduction is possible.

Example:

```
sq :: Integer -> Integer
sq n = n * n
```

One evaluation:

$$sq(3+4) = sq 7 = 7 * 7 = 49$$

Another evaluation:

$$sq(3+4) = (3+4) * (3+4) = 7 * (3+4) = 7 * 7 = 49$$

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Any two terminating evaluations of the same Haskell expression lead to the same final result.

This is not the case in languages with side effects:

Example

Two evaluations (in C, C++, or Java), where n is initially 0:

$$\underline{n} + (n = 1) = 0 + (\underline{n = 1}) = \underline{0 + 1} = 1$$

 $\underline{n} + (\underline{n = 1}) = \underline{n} + 1 = \underline{1 + 1} = 2$

Reduction strategies

An expression may have many reducible subexpressions:

$$sq (3+4)$$

Terminology: *redex* = reducible expression

Two common reduction strategies:

Innermost reduction Always reduce an innermost redex.

Corresponds to call by value:

Arguments are evaluated

before they are substituted into the function body

$$sq (3+4) = sq 7 = 7 * 7$$

Outermost reduction Always reduce an outermost redex.

Corresponds to call by name:

The unevaluated arguments

are substituted into the the function body

$$sq (3+4) = (3+4) * (3+4)$$

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Comparison: Number of steps

Innermost reduction:

$$sq (3+4) = sq 7 = 7 * 7 = 49$$

Outermost reduction:

$$sq(3+4) = (3+4)*(3+4) = 7*(3+4) = 7*7 = 49$$

More outermost than innermost steps!

How can outermost reduction be improved?

Sharing!

$$sq(3+4) = \bullet * \bullet = \bullet * \bullet = 49$$

$$3+4$$

$$7$$

The expression 3+4 is only evaluated once!

Lazy evaluation := outermost reduction + sharing

Lazy evaluation never needs more steps than innermost reduction.

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Comparison: termination

```
Definition:
```

loop = tail loop

Innermost reduction:

Outermost reduction:

$$fst (1,loop) = 1$$

If there exists a terminating reduction, then outermost reduction terminates

The principles of lazy evaluation:

- Arguments of functions are evaluated only if needed to continue the evaluation of the function.
- Arguments are not necessarily evaluated fully, but only far enough to evaluate the function. (Remember fst (1,loop))
- ► Each argument is evaluated at most once (sharing!)

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Pattern matching

Example

```
f :: [Int] -> [Int] -> Int

f [] ys = 0 -- f.1

f (x:xs) [] = 0 -- f.2

f (x:xs) (y:ys) = x+y -- f.3
```

Lazy evaluation:

```
f [1..10^1000] [7..10^1000]
-- does f.1 match?
= f (1 : [2..10^1000]) [7..10^1000]
-- does f.2 match?
= f (1 : [2..10^1000]) (7: [8..10^1000])
-- does f.3 match?
= 1+7
= 8
```

Guards Example

```
\max 3 m n p
  \mid m >= n && m >= p = m
  | n >= p
                     = n
                     = p
  | otherwise
Lazy evaluation:
max3 (2+3) (4-1) (3+9)
  ? 2+3 >= 4-1 && 2+3 >= 3+9
  ? 5 >= 4-1 \&\& 5 >= 3+9
  ? 5 >= 3 && 5 >= 3+9
    True && 5 >= 3+9
  ?
    5 >= 3+9
    5 >= 12
  ? False
  ?
    3 >= 12
  ? False
  ? otherwise = True
= 12
```

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Guards

```
Example
```

```
max3 m n p
| m >= n && m >= p = m
| n >= p = n
| otherwise = p
```

```
Lazy evaluation:

max3 (2+3) (3+9) (4-1)

? 2+3 >= 3+9 && 2+3 >= 4-1

? 5 >= 3+9 && 5 >= 4-1

? 5 >= 12 && 5 >= 4-1

? False && 5 >= 4-1

? 12 >= 4-1

? 12 >= 3

? True
```

= 12

Slogan

Lazy evaluation evaluates an expression only when needed and only as much as needed.

("Call by need")

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5.1 Applications of lazy evaluation

The minimum of a list

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```
min [6,1,7,5] = head(inSort [6,1,7,5])
= head(ins 6 (ins 1 (ins 7 (ins 5 []))))
= head(ins 6 (ins 1 (ins 7 (5 : []))))
= head(ins 6 (ins 1 (5 : ins 7 [])))
= head(ins 6 (1 : 5 : ins 7 []))
= head(1 : ins 6 (5 : ins 7 [])))
= 1
```

Lazy evaluation needs only linear time although inSort is quadratic.

The sorted list is never constructed completely

Warning: this depends on the exact algorithm and does not work so nicely with all sorting functions!

5.2 Infinite lists

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Example

Printing an infinite list does not terminate

But Haskell can cope with infinite lists, thanks to lazy evaluation:

```
> head ones
1
> take 5 ones
[1,1,1,1,1]
```

Remember:

Lazy evaluation evaluates an expression only as much as needed

```
Outermost reduction: head ones = head (1 : ones) = 1

Innermost reduction: head ones
= head (1 : ones)
= head (1 : 1 : ones)
= ...
```

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Haskell lists are never actually infinite but only potentially infinite Lazy evaluation computes as much of the infinite list as needed

This is how partially evaluated lists are represented internally:

```
1 : 1 : 1 : code pointer to compute rest
```

In general: finite prefix followed by code pointer

Why (potentially) infinite lists?

- ► They come for free with lazy evaluation
- ► They increase modularity:
 list producer does not need to know
 how much of the list the consumer wants

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Example: The sieve of Eratosthenes

- 1. Create the list 2, 3, 4, ...
- 2. Output the first value p in the list as a prime.
- 3. Delete all multiples of p from the list
- 4. Goto step 2

```
2 3 4 5 6 7 8 9 10 11 12 ...
2 3 5 7 11 ...
```

```
In Haskell:
```

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Modularity!

```
The first 10 primes:

> take 10 primes
[2,3,5,7,11,13,17,19,23,29]

The primes between 100 and 150:

> takeWhile (<150) (dropWhile (<100) primes)
[101,103,107,109,113,127,131,137,139,149]

All twin primes (p and p+2 are primes, for example 41 and 43):

> [(p,q) | (p,q) <- zip primes (tail primes), p+2==q]
[(3,5),(5,7),(11,13),(17,19),(29,31),(41,43),(59,61),(71,73),(11,13)]
```

Primality test?

```
> 101 'elem' primes
True
> 102 'elem' primes
nontermination

isPrime n = n == head (dropWhile (<n) primes)</pre>
```

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Infinite list of natural numbers

```
nats = [0..] is the infinite list [0,1,2,3,4,5,....].
There are many other ways to construct this list:
nats = f 0
  where
    f n = n:f (n+1)

nats = 0:map (+1) nats

nats = 0:f (map *2) nats)
  where
    f (x:xs) = x:x+1:f xs
```

Infinite list of Fibonacci numbers

$$F_0 = 0$$
 $F_1 = 1$ $F_n = F_{n-1} + F_{n-2}$

A naive implementation would be:

```
fibos :: [Integer]
fibos = map f [0..]
where
    f 0 = 0
    f 1 = 1
    f n = f (n-1) + f (n-2)

*Main> :set +s
*Main> take 40 fibos
[0,1,1,2,3,5,8,13,21,34,55,89,144,233,377,610,987,1597,2584,4181,6765,10946,17711,28657,46368,75025,121393,196418,317811,514229,832040,1346269,2178309,3524578,5702887,9227465,14930352,24157817,39088169,63245986]
```

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Infinite list of Fibonacci numbers

(371.67 secs, 191,960,417,736 bytes)

$$F_0 = 0$$
 $F_1 = 1$ $F_n = F_{n-1} + F_{n-2}$

A much better implementation is:

```
fibs :: [Integer]
fibs = f 0 1
   where
     f m n = m : (f n (m+n))

*Main> take 40 fibs
[0,1,1,2,3,5,8,13,21,34,55,89,144,233,377,610,987,1597,2584,4181,6765,10946,17711,28657,46368,75025,121393,196418,317811,514229,832040,1346269,2178309,3524578,5702887,9227465,14930352,24157817,39088169,63245986]
(0.01 secs, 259,896 bytes)
```

Infinite list of Fibonacci numbers

```
fibs :: [Integer]
fibs = f 0 1
   where
    f m n = m : (f n (m+n))
```

The evaluation of fibs goes as follows:

```
fibs

= f 0 1

= 0 : (f 1 (0+1))

= 0 : (f 1 1)

= 0 : 1 : (f 1 (1+1))

= 0 : 1 : (f 1 2)

= 0 : 1 : 1 : (f 2 (1+2))

= 0 : 1 : 1 : (f 2 3)

= 0 : 1 : 1 : 2 : (f 3 (2+3))

= 0 : 1 : 1 : 2 : (f 3 5)

= 0 : 1 : 1 : 2 : 3 : (f 5 (3+5))
```

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Infinite list of Fibonacci numbers

$$F_0 = 0$$
 $F_1 = 1$ $F_n = F_{n-1} + F_{n-2}$

The standard infinite list implementation is:

```
fibs :: [Integer]
fibs = 0 : 1 : zipWith (+) fibs (tail fibs)
```

Underlying idea: assume you already have an infinite list of Fibonacci numbers:

$$[0, 1, 1, 2, 3, 5, 8, 13, \dots]$$

The tail of this list is

```
[ 1, 1, 2, 3, 5, 8, 13, 21, .... ]
```

zipWith combines the two lists element by element using the (+) operator:

```
[ 0, 1, 1, 2, 3, 5, 8, 13, .... ]
+ [ 1, 1, 2, 3, 5, 8, 13, 21, .... ]
= [ 1, 2, 3, 5, 8, 13, 21, 34, .... ]
```

So the final list is obtained by prepending the zipped list with 0 and 1.

Approximating the golden ratio

In mathematics, two quantities are in the golden ratio if their ratio is the same as the ratio of their sum to the larger of the two quantities. Formally, for a > b > 0:

$$\frac{a+b}{a} = \frac{a}{b} = \varphi = \frac{1+\sqrt{5}}{2}$$

Note that this corresponds with the way we compute the Fibonacci series. Therefore, the following property holds:

$$\frac{F_{n+1}}{F_n} \to \varphi$$
 for $n \to \infty$

```
fibs :: [Integer]
fibs = 0 : 1 : zipWith (+) fibs (tail fibs)
gratio = zipWith (/) (tail fibs) (fibs)
```

Note that here fibs start at 1 (to avoid division by zero). In ghci the calculation of take 10 gratio yields [1.0,2.0,1.5,1.6666666666666667,1.6,1.625,1.6153846153846154, 1.619047619047619,1.6176470588235294,1.6181818181818182]

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A note about evaluation order

The operator (\$) is used to do function application at a different precedence to help avoid parentheses.

```
f x = x + 1

*Main> f (f (f (f (f (f 36)))))
42

*Main> f $ f $ f $ f $ f $ f 36
42
```

A note about evaluation order

Haskell uses lazy evaluation by default.

But you can override this using the so-called *strict function* application operator (\$!).

f \$! x behaves the same as f x, except that the evaluation of expression x is forced before the function f is applied.

Basically, it means that we use call-by-value!

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A note about evaluation order

```
sumwith :: Int -> [Int] -> [Int]
sumwith v [] = v
sumwith v (x:xs) = sumwith (v+x) xs

Lazy evaluation of sumwith 0 [1,2,3]:
    sumwith 0 [1,2,3]
=
    sumwith (0+1) [2,3]
=
    sumwith ((0+1)+2) [3]
=
    sumwith (((0+1)+2)+3) []
=
    ((0+1)+2)+3
=
    (1+2)+3
=
    3+3
=
    6
```

A note about evaluation order

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6. Case Study: Recursive Descent Parsing

LL(1) grammar

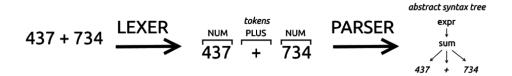
Consider the following context free grammar:

```
S -> F S'
S' -> * F S'
S' -> / F S'
S' -> <empty string>
F -> <letters> | <digits>
```

This grammar is called an LL(1) grammar since it can be parsed from Left to right, use a Lookahead of 1 symbol.

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Tokenizing the input



```
import Data.Char
```

```
lexer :: String -> [String]
lexer [] = []
lexer (c:cs)
  | elem c "\n\t " = lexer cs
  | elem c "*/+-" = [c]:(lexer cs)
  | isAlpha c = (c:takeWhile isAlpha cs): lexer(dropWhile isAlpha cs)
  | isDigit c = (c:takeWhile isDigit cs): lexer(dropWhile isDigit cs)
  | otherwise = error "Syntax Error: invalid character in input"
```

Main parser function

```
S -> F S'
S' -> * F S'
S' -> / F S'
S' -> <empty string>
F -> <letters> | <digits>

parser :: String -> (String,[String])
parser str = parseS "" (lexer str)
```

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Parsing S

```
S -> F S'
S' -> * F S'
S' -> / F S'
S' -> <empty string>
F -> <letters> | <digits>

parseS :: String -> [String] -> (String,[String])
parseS accepted tokens = parseS' acc rest
where (acc, rest) = parseF accepted tokens
```

Parsing S'

```
S -> F S'
S' -> * F S'
S' -> / F S'
S' -> <empty string>
F -> <letters> | <digits>

parseS' :: String -> [String] -> (String, [String])
parseS' accepted ("*":tokens) = parseS' acc rest
  where (acc,rest) = parseF (accepted++"*") tokens
parseS' accepted ("/":tokens) = parseS' acc rest
  where (acc,rest) = parseF (accepted++"/") tokens
parseS' accepted tokens = (accepted, tokens)
```

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Parsing F

```
S -> F S'
S' -> * F S'
S' -> / F S'
S' -> <empty string>
F -> <letters> | <digits>

parseF :: String -> [String] -> (String, [String])
parseF accepted [] = error "Parse error...abort"
parseF accepted (tok:tokens)
   | isAlpha (head tok) = (accepted++tok, tokens)
   | isDigit (head tok) = (accepted++tok, tokens)
   | otherwise = error ("Syntax Error: " ++ tok)
```

Demo ghci session

```
*Main> parser "a*b*c*d*ef"
("a*b*c*d*ef",[])

*Main> parser "a*b*c/d*ef**a"

*** Exception: Syntax Error: *

*Main> parser "a*b*c/d*ef*/a"

*** Exception: Syntax Error: /

*Main> parser "a*b*c/d*ef/a"
("a*b*c/d*ef/a",[])

*Main> parser "a*b*9/c"
("a*b*9/c",[])

*Main> parser "a*b*9/c9a*b"
("a*b*9/c",["9","a","*","b"])
```

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7. Algebraic data Types data by example Case study: boolean formulas

So far: no real new types, just compositions of existing types

Examples:

```
type Pixel = (Int,Int)
type String = [Char]
type Picture = [String]
```

Now: data defines new types

Introduction by example: From enumerated types

to recursive and polymorphic types

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7.1 data by example

Bool

From the Prelude:

```
data Bool = False | True

not :: Bool -> Bool
not False = True
not True = False

(&&) :: Bool -> Bool -> Bool
False && q = False
True && q = q

(||) :: Bool -> Bool -> Bool
False || q = q
True || q = True
```

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deriving

Warning

Do not forget to make your data types instances of Show

Otherwise Haskell cannot print values of your type

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Season

Shape

```
type Radius = Float
type Width = Float
type Height = Float
data Shape = Circle Radius | Rectangle Width Height
             deriving Show
Some values of type Shape:
                        Circle 1.0
                        Rectangle 0.9 1.1
                        Circle (-2.0)
area :: Shape -> Float
area (Circle r) = pi * r^2
area (Rectangle w h) = w * h
instance Eq Shape where
  Circle r1 == Circle r2
                                    = r1 == r2
 Rectangle w1 h1 == Rectangle w2 h2 = w1 == w2 && h1 == h2
                                     = False
```

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Maybe

```
From the Prelude:
```

Nat

Natural numbers:

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Lists

From the Prelude:

Tree

```
data Tree a = Empty | Node a (Tree a) (Tree a)
                deriving (Eq, Show)
Some trees:
 Empty
 Node 1 Empty Empty
 Node 1 (Node 2 Empty Empty) Empty
 Node 1 Empty (Node 2 Empty Empty)
 Node 1 (Node 2 Empty Empty) (Node 3 Empty Empty)
                                                                201
find :: Ord a => a -> Tree a -> Bool
find _ Empty = False
find x (Node a l r)
  | x < a = find x 1
  | a < x = find x r
  | otherwise = True
Another implementation, using short circuit evaluation would be:
find :: Ord a => a -> Tree a -> Bool
find _ Empty = False
find x (Node a l r) = (x==a) || (x<a && find x l) || (x>a && find x r)
```

Example

```
insert 6 (Node 5 Empty (Node 7 Empty Empty))
= Node 5 Empty (insert 6 (Node 7 Empty Empty))
= Node 5 Empty (Node 7 (insert 6 Empty) Empty)
= Node 5 Empty (Node 7 (Node 6 Empty Empty) Empty)
```

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Edit distance

Problem: how to get from one word to another, with a *minimal* number of "edits".

```
Example: from "fish" to "chips"
[Change 'c', Insert 'h', Copy, Change 'p', Change 's']
```

Applications: DNA Analysis, Unix diff command

So, we want a function with the type: transform :: String -> String -> [Edit]

```
data Edit = Change Char
          | Copy
          | Delete
          | Insert Char
          deriving (Eq, Show)
transform :: String -> String -> [Edit]
transform [] ys = map Insert ys
transform xs [] = replicate (length xs) Delete
transform (x:xs) (y:ys)
  | x == y
                = Copy : transform xs ys
  | otherwise = best [Change y : transform xs ys,
                          Delete : transform xs (y:ys),
                          Insert y : transform (x:xs) ys]
                                                         205
best :: [[Edit]] -> [Edit]
best [xs] = xs
best (xs:xss)
  | cost xs <= cost b = xs</pre>
  | otherwise
                        = b
  where b = best xss
cost :: [Edit] -> Int
cost = length . filter (/=Copy)
          Time complexity of transform: O(
    The edit distance problem can be solved in time O(mn)
               using dynamic programming
```

Patterns revisited

Patterns are expressions that consist only of constructors and variables (which must not occur twice):

A *pattern* can be

```
a literal like 1, 'a', "xyz", ...
a variable
a wildcard (i.e. _)
a tuple (p<sub>1</sub>, ..., p<sub>n</sub>) where each p<sub>i</sub> is a pattern
a constructor pattern C p<sub>1</sub> ... p<sub>n</sub> where
C is a data constructor (incl. True, False, [] and (:)) and each p<sub>i</sub> is a pattern
```

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7.2 Case study: boolean formulas

```
type Name = String
data Form = F | T
           | Var Name
           | Not Form
           | And Form Form
           | Or Form Form
          deriving Eq
Example: Or (Var "p") (Not(Var "p"))
More readable: symbolic infix constructors, start with:
data Form = F | T
           | Var Name
           | Not Form
           | Form :&: Form
           | Form : |: Form
           deriving Eq
Now: Var "p" : |: Not(Var "p")
```

Pretty printing

```
par :: String -> String
par s = "(" ++ s ++ ")"

instance Show Form where
    show F = "F"
    show T = "T"
    show (Var x) = x
    show (Not p) = par("~" ++ show p)
    show (p :&: q) = par(show p ++ " & " ++ show q)
    show (p :|: q) = par(show p ++ " | " ++ show q)

> Var "p" :|: Not(Var "p")
(p | (~p))
```

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Syntax versus meaning

Form is the *syntax* of boolean formulas, not their meaning:

Not (Not T) and T mean the same but are different:

```
Not(Not T) /= T
```

What is the meaning of a Form?

Its value!?

But what is the value of Var "p" ?

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Valuations

All valuations for a given list of variable names:

```
vals :: [Name] -> [Valuation]
vals [] = [[]]
vals (x:xs) = [(x,False):v | v \leftarrow vals xs] ++
              [ (x,True):v | v <- vals xs ]
vals ["b"]
= vals "b":[]
= [("b",False):v | v <- vals []] ++
  [("b",True):v | v <- vals []]
= [("b",False):[]] ++ [("b",True):[]]
= [[("b",False)]] ++ [[("b",True)]]
= [[("b",False)], [("b",True)]]
vals ["a","b"]
= [("a",False):v | v <- vals ["b"]] ++
  [("a",True):v | v <- vals ["b"]]
= [[("a",False),("b",False)],[("a",False),("b",True)],
   [("a",True),("b",False)],[("a",True),("b",True)]]
```

Variables of a formula

```
vars :: Form -> [Name]
vars F = []
vars T = []
vars (Var x) = [x]
vars (Not p) = vars p
vars (p :&: q) = uniq (vars p ++ vars q)
vars (p :|: q) = uniq (vars p ++ vars q)
uniq :: Eq a => [a] -> [a]
uniq [] = []
uniq (x:xs) = x:uniq (filter (/= x) xs)
```

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Satisfiable and tautology

```
satisfiable :: Form -> Bool
satisfiable p = or [eval v p | v <- vals(vars p)]

tautology :: Form -> Bool
tautology p = and [eval v p | v <- vals(vars p)]

Maybe you like better:

tautology :: Form -> Bool
tautology = not . satisfiable . Not
```

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Simplifying a formula: Not inside?

```
> isSimple (Var "a")
True
> isSimple (Not (Var "a"))
True
> isSimple (Not (Not (Var "a")))
False
> isSimple (Var "a" :|: (Not (Var "b") :&: Not (Var "c")))
True
> isSimple (Not (Var "a" :|: ((Var "b") :&: Not (Var "c"))))
False
```

Simplifying a formula: Not inside?

```
isSimple :: Form -> Bool
isSimple (p :&: q) = isSimple p && isSimple q
isSimple (p :|: q) = isSimple p && isSimple q
isSimple (Not p) = not (isOp p)
  where
  isOp (Not p) = True
  isOp (p :&: q) = True
  isOp (p :|: q) = True
  isOp p = False
isSimple _ = True
```

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Alternative: Not inside?

```
isSimple :: Form -> Bool
isSimple (p :&: q) = isSimple p && isSimple q
isSimple (p :|: q) = isSimple p && isSimple q
isSimple (Not p) = not (isOp p)
  where
  isOp F = False
  isOp T = False
  isOp (Var x) = False
  isOp _ = True
isSimple _ = True
```

NOT ALLOWED: Not inside?

```
isSimple :: Form -> Bool
isSimple (p _ q) = isSimple p && isSimple q
isSimple (Not p) = not (isOp p)
  where
  isOp F = False
  isOp T = False
  isOp (Var x) = False
  isOp _ = True
isSimple _ = True
```

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Simplifying a formula: Not inside!

```
simplify :: Form -> Form
simplify (p :&: q) = simplify p :&: simplify q
simplify (p :|: q) = simplify p :|: simplify q
simplify (Not p) = pushNot (simplify p)
  where
  pushNot T = F
  pushNot F = T
  pushNot (Not p) = p
  pushNot (p :&: q) = pushNot p :|: pushNot q
  pushNot p = Not p
simplify p = p
```

8. Modules and Abstract Data Types Modules Abstract Data Types

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8.1 Modules

Module = collection of type, function, class etc definitions

Purposes:

- Grouping
- Interfaces
- ► Name space management: M.f vs f
- ► Information hiding

GHC: one module per file

Recommendation: module M in file M.hs

Module header

All definitions must start in this column

► Exports everything defined in M

Selective export:

```
module M (T, f, ...) where 
► Exports only T, f, ...
```

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Exporting data types

```
module M (T) where data T = \dots
```

► Exports only T, but not its constructors

```
module M (T(C,D,...)) where data T = ...
```

Exports T and its constructors C, D, ...

```
module M (T(..)) where data T = ...
```

► Exports T and all of its constructors

Exporting modules

. . .

```
module B where
                                       module A where
     import A
                                       f = \dots
                                       . . .
   \Longrightarrow B does not export f
    By default, modules do not export names from imported modules
    Unless the names are mentioned in the export list
    module B (f) where
     import A
     . . .
   Or the whole module is exported
    module B (module A) where
     import A
import
    By default, everything that is exported is imported
    module B where
                                       module A where
     import A
                                       f = \dots
                                       g = \dots
   \Longrightarrow B imports f and g
    Unless an import list is specified
    module B where
     import A (f)
    \Longrightarrow B imports only f
   Or specific names are hidden
    module B where
     import A hiding (g)
```

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qualified

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Renaming modules

```
import qualified TotallyAwesomeModule
... TotallyAwesomeModule.f ...
Painful

More readable:
import qualified TotallyAwesomeModule as TAM
... TAM.f ...
```

For the full description of the module system see the Haskell report

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8.2 Abstract Data Types

Abstract Data Types do not expose their internal representation

Why?

Example: sets implemented as lists without duplicates

- Cannot easily change representation later
- ► Could distinguish what should be indistinguishable:

► Could create illegal value: [1, 1]

Example: Sets

```
module Set where
-- sets are represented as lists w/o duplicates
type Set a = [a]

empty :: Set a
empty = []

insert :: a -> Set a -> Set a
insert x xs = ...

isin :: a -> Set a -> Set a
isin x xs = ...

size :: Set a -> Integer
size xs = ...

Exposes everything
```

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Better

```
module Set (Set, empty, insert, isin, size) where
-- Interface
empty :: Set a
insert :: Eq a => a -> Set a -> Set a
isin :: Eq a => a -> Set a -> Bool
size :: Set a -> Int
-- Implementation
type Set a = [a]
...
```

Allows nonsense like Set.size [1,1]

- ► Explicit export list/interface
- But representation still not hidden

Hiding the representation

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Uniform naming convention: S → Set

```
module Set (Set, empty, insert, isin, size) where
-- Interface
...
-- Implementation
data Set a = Set [a]

empty = Set []
insert x (Set xs) = Set(if elem x xs then xs else x:xs)
isin x (Set xs) = elem x xs
size (Set xs) = length xs

Which Set is exported?
```

Slightly more efficient: newtype

```
A newtype declaration creates a new type in much the same way as data. In fact, you can replace the newtype keyword with data. The converse is not true, because:

a newtype type has exactly one constructor with exactly one field.

(A mathematician would say that newtype is an isomorphism)

module Set (Set, empty, insert, isin, size) where
-- Interface
...
-- Implementation
newtype Set a = Set [a]

empty = Set []
insert x (Set xs) = Set(if elem x xs then xs else x:xs)
isin x (Set xs) = elem x xs
size (Set xs) = length xs
```

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Conceptual insight

Data representation can be hidden by wrapping data up in a constructor that is not exported

Implementing Set using trees

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9. Proofs

Proving properties
Structural induction on data structures
Definedness

Guarantee functional (I/O) properties of software

- ► Testing can guarantee properties for some inputs.
- ► Mathematical proof can guarantee properties for all inputs.

QuickCheck is good, proof is better

Beware of bugs in the above code; I have only proved it correct, not tried it.

Donald E. Knuth, 1977

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9.1 Proving properties

What do we prove?

Equations e1 = e2

How do we prove them?

By using defining equations f p = t

A first, simple example

Observation:

= 1 : 2 : []

first used equations from left to right (feels natural), then from right to left (feels less natural).

[] ++ ys = ys (1) (x:xs) ++ ys = x : (xs ++ ys) (2)

A more natural proof of [1,2] ++ [] = [1] ++ [2]:

Proofs of e1 = e2 are often better presented as two reductions to some expression e:

-- by def of ++ (1)

Fact If an equation does not contain any variables, it can be proved by evaluating both sides separately and checking that the result is identical.

But how to prove equations with variables, for example

```
length(xs ++ ys) = length xs + length ys
```

Properties of recursive functions are proved by induction.

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Structural induction on lists

This is called *structural induction* on xs. It is a special case of induction on the length of xs.

Example: length of ++

```
length [] = 0
                                  (1)
 length (x:xs) = 1 + length xs
                                  (2)
                                  (1)
     [] ++ ys = ys
 (x:xs) ++ ys = x : (xs ++ ys)
                                  (2)
Lemma length(xs ++ ys) = length xs + length ys
Proof by structural induction on xs
Base case: length ([] ++ ys) = length [] + length ys
 length ([] ++ ys)
                         -- by def of ++ (1)
 = length ys
 length [] + length ys
 = 0 + length ys
                        -- by def of length
 = length ys
```

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Example: length of ++

```
length [] = 0
                                (1)
 length (x:xs) = 1 + length xs
                                (2)
                                (1)
     [] ++ ys = ys
 (x:xs) ++ ys = x : (xs ++ ys)
                                (2)
Lemma length(xs ++ ys) = length xs + length ys
Induction step: length((x:xs)++ys) = length(x:xs) + length ys
 length((x:xs) ++ ys)
= length(x : (xs ++ ys)) -- by def of ++ (2)
 = 1 + length(xs ++ ys)
                             -- by def of length (2)
 = 1 + length xs + length ys
                             -- by IH
 length(x:xs) + length ys
 = 1 + length xs + length ys -- by def of length (2)
 QED
```

Induction template

Lemma P(xs)

Proof exercise

```
Proof by structural induction on xs
    Base case: P([])
    Proof of P([])
   Induction step: P(x:xs)
   Proof of P(x:xs) using IH P(xs)
Example: reverse of ++
         reverse [] = []
                                           (1)
     reverse (x:xs) = reverse xs ++ [x]
                                           (2)
                                     (1)
         [] ++ ys = ys
     (x:xs) ++ ys = x : (xs ++ ys) (2)
    Lemma reverse(xs ++ ys) = reverse ys ++ reverse xs
    Proof by structural induction on xs
    Base case: reverse ([] ++ ys) = reverse ys ++ reverse []
     reverse ([] ++ ys)
     = reverse ys
                                -- by def of ++
     reverse ys ++ reverse []
     = reverse ys ++ []
                                -- by def of reverse
                                -- by Lemma lem_Nil
     = reverse ys
    Lemma lem_Nil: xs ++ [] = xs
```

```
reverse [] = []
                                         (1)
     reverse (x:xs) = reverse xs ++ [x] (2)
         [] ++ ys = ys
                                    (1)
     (x:xs) ++ ys = x : (xs ++ ys) (2)
   Lemma reverse(xs ++ ys) = reverse ys ++ reverse xs
   Induction step:
   reverse((x:xs) ++ ys) = reverse ys ++ reverse(x:xs)
    reverse((x:xs) ++ ys)
    = reverse(x : (xs ++ ys))
                                          -- by def of ++
    = reverse(xs ++ ys) ++ [x]
                                          -- by def of reverse
     = (reverse ys ++ reverse xs) ++ [x] -- by IH
     = reverse ys ++ (reverse xs ++ [x])
                                          -- by Lemma lem_assoc
    reverse ys ++ reverse(x:xs)
    = reverse ys ++ (reverse xs ++ [x]) -- by def of reverse
                                                                 249
Lemma: associativity of ++
         [] ++ ys = ys
                                    (1)
     (x:xs) ++ ys = x : (xs ++ ys)
                                    (2)
   Lemma lem_assoc: (xs ++ ys) ++ zs = xs ++ (ys ++ zs)
   Proof by structural induction on xs
    Base case: ([] ++ ys) ++ zs = [] ++ (ys ++ zs)
     ([] ++ ys) ++ zs
    = ys ++ zs
                        -- by def of ++ (1)
    = [] ++ (ys ++ zs) -- by def of ++ (1, from right to left)
   Induction step: ((x:xs) ++ ys) ++ zs = (x:xs) ++ (ys ++ zs)
     ((x:xs) ++ ys) ++ zs
    = (x : (xs ++ ys)) ++ zs -- by def of ++ (2)
    = x : ((xs ++ ys) ++ zs) -- by def of ++ (2)
    = x : (xs ++ (ys ++ zs)) -- by IH
     (x:xs) ++ (ys ++ zs)
    = x : (xs ++ (ys ++ zs)) -- by def of ++ (2)
     QED
```

Proof heuristic

- ► Try QuickCheck
- ► Try induction
 - ► Base case: reduce both sides to a common term using function defs and lemmas
 - Induction step: reduce both sides to a common term using function defs, IH and lemmas
- ► If base case or induction step fails: conjecture, prove and use new lemmas

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Two further techniques

- Proof by cases
- ▶ Generalization

Example: proof by cases

QED

```
rem x [] = []
rem x (y:ys) | x==y = rem x ys
             | otherwise = y : rem x ys
Lemma rem z (xs ++ ys) = rem z xs ++ rem z ys
Proof by structural induction on xs
Base case: rem z ([] ++ ys) = rem z [] ++ rem z ys
 rem z ([] ++ ys)
                       -- by def of ++
 = rem z ys
 rem z [] ++ rem z ys
 = [] ++ rem z ys -- by def of rem
                    -- by def of ++
 = rem z ys
                                                          253
rem x [] = []
rem x (y:ys) | x==y = rem x ys
          | otherwise = y : rem x ys
Ind. step: rem z ((x:xs)++ys) = rem z (x:xs) ++ rem z ys
Proof by cases: z == x and z /= x
Case z == x:
 rem z ((x:xs) ++ ys)
 = rem z (x:(xs ++ ys)) -- by def of ++
                        -- by def of rem and z==x
 = rem z (xs ++ ys)
                        -- by IH
 = rem z xs ++ rem z ys
 rem z (x:xs) ++ rem z ys
 = rem z xs ++ rem z ys -- by def of rem and z==x
Case z /= x:
 rem z ((x:xs) ++ ys)
                          -- by def of ++
 = rem z (x:(xs ++ ys))
 = x : rem z (xs ++ ys) -- by def of rem and z/=x
 = x : (rem z xs ++ rem z ys) -- by IH
 rem z (x:xs) ++ rem z ys
 = (x : rem z xs) ++ rem z ys -- by def of rem, and z/=x
 = x : (rem z xs ++ rem z ys) -- by def of ++
```

Inefficiency of reverse

```
reverse [1,2,3]
= reverse [2,3] ++ [1]
= (reverse [3] ++ [2]) ++ [1]
= ((reverse [] ++ [3]) ++ [2]) ++ [1]
= (([] ++ [3]) ++ [2]) ++ [1]
= ([3] ++ [2]) ++ [1]
= (3 : ([] ++ [2])) ++ [1]
= [3,2] ++ [1]
= 3 : ([2] ++ [1])
= 3 : (2 : ([] ++ [1]))
= [3,2,1]
```

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An improvement: itrev

```
itrev :: [a] -> [a] -> [a]
itrev [] xs = xs
itrev (x:xs) ys = itrev xs (x:ys)

itrev [1,2,3] []
= itrev [2,3] [1]
= itrev [3] [2,1]
= itrev [] [3,2,1]
= [3,2,1]
```

Proof attempt

```
itrev [] xs = xs
itrev (x:xs) ys = itrev xs (x:ys)

Lemma itrev xs [] = reverse xs

Proof by structural induction on xs

Induction step fails: itrev (x:xs) [] = reverse (x:xs)
  itrev (x:xs) []
  = itrev xs (x:[]) -- by def of itrev
  = itrev xs [x]

reverse (x:xs)
  = reverse xs ++ [x] -- by def of reverse

Problem: IH not applicable because too specialized: []
```

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Generalization

```
itrev [] xs = xs
itrev (x:xs) ys = itrev xs (x:ys)
Lemma itrev xs ys = reverse xs ++ ys
Proof by structural induction on xs
Induction step: itrev (x:xs) ys = reverse (x:xs) ++ ys
 itrev (x:xs) ys
= itrev xs (x:ys)
                               -- by def of itrev
                               -- by IH
= reverse xs ++ (x:ys)
reverse (x:xs) ++ ys
= (reverse xs ++ [x]) ++ ys -- by def of reverse
= reverse xs ++ ([x] ++ ys) -- by Lemma lem_assoc
 = reverse xs ++ ([]++(x:ys))
                               -- by def of ++
= reverse xs ++ (x:ys)
                               -- by def of ++
```

Note: IH is used with x:ys instead of ys

When using the IH, variables may be replaced by arbitrary expressions, only the induction variable must stay fixed.

Justification: all variables are implicitly \forall -quantified, except for the induction variable.

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foldr

Definining functions via foldr

- means you have understood the art of higher-order functions
- ► allows you to apply properties of foldr

```
For example, if f is associative and a 'f' x = x then foldr f a (xs++ys) = foldr f a xs 'f' foldr f a ys.
```

```
Therefore sum (xs++ys) = sum xs + sum ys,
product (xs++ys) = product xs * product ys, etc.
```

Proving foldr property

```
foldr f a []
foldr f a (x:xs) = x 'f' foldr f a xs
If f is associative and a 'f' x = x then
foldr f a (xs++ys) = foldr f a xs 'f' foldr f a ys.
Proof by induction on xs.
Induction step:
foldr f a ((x:xs) ++ ys)
= foldr f a (x : (xs++ys))
= x 'f' foldr f a (xs++ys)
= x 'f' (foldr f a xs 'f' foldr f a ys)
                                           -- by IH
foldr f a (x:xs) 'f' foldr f a ys
= (x 'f' foldr f a xs) 'f' foldr f a ys
= x 'f' (foldr f a xs 'f' foldr f a ys) -- by assoc. f
QED
So, if g xs = foldr f a xs, then g (xs ++ ys) = g xs 'f' g ys.
Therefore sum (xs++ys) = sum xs + sum ys,
product (xs++ys) = product xs * product ys, ...
```

Proof sketch: qsort

```
qsort :: Ord a => [a] -> [a]
qsort [] = []
qsort (x:xs) = qsort below ++ [x] ++ qsort above
  where below = [y | y <- xs, y <= x]
      above = [z | y <- xs, x < z]</pre>
```

Lemma qsort xs is sorted

Proof by induction on the length of the argument of qsort.

Induction step: In the call qsort (x:xs) we have
length below <= length xs < length(x:xs)
length above <= length xs < length(x:xs)
Therefore qsort below and qsort above are sorted by IH.
By construction below contains only elements (<=x).
Therefore qsort below contains only elements (<=x).
Analogously for above and (x<).
Therefore qsort (x:xs) is sorted.</pre>

Is that all? Or should we prove something else about sorting?

How about this sorting function?

Every element should occur as often in the output as in the input!

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9.2 Structural induction on data structures

Structural induction for Tree

```
data Tree a = Empty | Node a (Tree a) (Tree a)
To prove property P(t) for all finite t :: Tree a
Base case: Prove P(Empty) and
Induction step: Prove P(Node x t1 t2)
    assuming the induction hypotheses P(t1) and P(t2).
```

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Example

```
inorder :: Tree a -> [a]
inorder Empty = []
inorder (Node x t1 t2) = inorder t1 ++ [x] ++ inorder t2

mapTree :: (a -> b) -> Tree a -> Tree b
mapTree f Empty = Empty
mapTree f (Node x t1 t2) =
   Node (f x) (mapTree f t1) (mapTree f t2)

Lemma inorder (mapTree f t) = map f (inorder t)
```

```
Lemma inorder (mapTree f t) = map f (inorder t)
Proof by structural induction on t

Base case: we need to show
inorder (mapTree f Empty) = map f (inorder Empty)

inorder (mapTree f Empty)
= inorder Empty
= []

map f (inorder Empty)
= map f []
= []
QED.
```

Lemma inorder (mapTree f t) = map f (inorder t) Induction step: IH1: inorder (mapTree f t1) = map f (inorder t1) IH2: inorder (mapTree f t2) = map f (inorder t2) inorder (mapTree f (Node x t1 t2)) = To show: map f (inorder (Node x t1 t2)) inorder (mapTree f (Node x t1 t2)) = inorder (Node (f x) (mapTree f t1) (mapTree f t2)) -- by def of mapTree = inorder (mapTree f t1) ++ [f x] ++ inorder (mapTree f t2) -- by def of inorder = map f (inorder t1) ++ [f x] ++ map f (inorder t2) -- by IH1 and IH2 map f (inorder (Node x t1 t2)) = map f (inorder t1 ++ [x] ++ inorder t2) -- by def of inorder = map f (inorder t1) ++ [f x] ++ map f (inorder t2) -- by lemma distributivity of map over ++ QED.

9.3 Definedness

In the proofs that we make in this course, we make the simplifying assumption that we only deal with defined values.

Two kinds of undefinedness:

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What is the problem?

Many familiar laws no longer hold unconditionally:

$$x - x = 0$$

is true only if x is a defined value.

Two examples:

- ► Not true: head [] head [] = 0
- From the nonterminating definition
 f x = f x + 1

we could conclude that 0 = 1.

Termination

Termination of a function means termination for all inputs.

Restriction:

The proof methods used this far assume that all recursive definitions under consideration terminate.

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How to prove termination

```
Example
reverse [] = []
reverse (x:xs) = reverse xs ++ [x]
```

The function reverse terminates because ++ terminates and with each recursive call of reverse, the length of the argument becomes smaller.

A Haskell function $f :: T1 \rightarrow T$ terminates if there exists a measure function $m :: T1 \rightarrow \mathbb{N}$ such that for every recursive call f p = E(f r) we have m p > m r.

How to prove termination

More generally:

```
A Haskell function f :: T1 \rightarrow ... \rightarrow Tn \rightarrow T terminates if there exists a measure function m :: T1 \rightarrow ... \rightarrow Tn \rightarrow \mathbb{N} such that for every recursive call f p1 ... pn = E(f r1 ... rn) we have m p1 ... pn > m r1 ... rn.
```

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Summary

- ► In this lecture everything must terminate
- ► This avoids undefined and infinite values
- ► This simplifies proofs