Declarative Debugging of Wrong and Missing Answers for SQL Views (extended version) *

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Abstract. This paper presents a debugging technique for diagnosing errors in SQL views. The debugger allows the user to specify the error type, indicating if there is either a missing answer (a tuple was expected but it is not in the result) or a wrong answer (the result contains an unexpected tuple). This information is employed for slicing the associated queries, keeping only those parts that might be the cause of the error. The validity of the results produced by sliced queries is easier to determine, thus facilitating the location of the error. Although based on the ideas of declarative debugging, the proposed technique does not use computation trees explicitly. Instead, the logical relations among the nodes of the trees are represented by logical clauses that also contain the information extracted from the specific questions provided by the user. The atoms in the body of the clauses correspond to questions that the user must answer in order to detect an incorrect relation. The resulting logic program is executed by selecting at each step the unsolved atom that yields the simplest question, repeating the process until an erroneous relation is detected. Soundness and completeness results are provided. The theoretical ideas have been implemented in a working prototype included in the Datalog system DES.

1 Introduction

SQL (Structured Query Language [16]) is a language employed by relational database management systems. In particular, the SQL select statement is used for querying data from databases. Realistic database applications often contain a large number of tables, and in many cases, queries become too complex to be coded by means of a single select statement. In these cases, SQL allows the user to define *views*. A SQL view can be considered as a virtual table, whose content is obtained executing its associated SQL select query. View queries can rely on previously defined views, as well as on database tables. Thus, complex

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queries can be decomposed into sets of correlated views. As in other programming paradigms, views can have bugs. However, we cannot infer that a view is incorrectly defined when it computes an unexpected result, because it might be receiving erroneous input data from the other database tables or views. Given the high-abstraction level of SQL, usual techniques like trace debugging are difficult to apply. Some tools like [2, 11] allow the user to trace and analyze the stored SQL procedures and user defined functions, but they are of little help when debugging systems of correlated views. Declarative Debugging, also known as algorithmic debugging, is a technique applied successfully in (constraint) logic programming [14], functional programming [10], functional-logic programming [5], and in deductive database languages [3]. The technique can be described as a general debugging schema [9] which starts when an initial error symptom is detected by the user, which in our case corresponds to an unexpected result produced by a view. The debugger automatically builds a tree representing the erroneous computation. In SQL, each node in the tree contains information about both a relation, which is a table or a view, and its associated computed result. The root of the tree corresponds to the initial view. The children of a node correspond to the relations (tables or views) occurring in the definition of its associated query. After building the tree, it is navigated by the debugger, asking to the user about the validity of some nodes. When a node contains the expected result, it is marked as valid, and otherwise it is marked as nonvalid. The goal of the debugger is to locate a buggy node, which is a nonvalid node with valid children. It can be proved that each buggy node in the tree corresponds to either an erroneously defined view, or to a database table containing erroneous data. A debugger based on these ideas was presented in [4]. The main criticism that can leveled at this proposal is that it can be difficult for the user to check the validity of the results. Indeed, even very complex database queries usually are defined by a small number of views, but the results returned by these views can contain hundreds or thousands of tuples. The problem can be easily understood by considering the following example:

Example 1. The loyalty program of an academy awards an intensive course for students that satisfy the following constraints:

- The student has completed the basic level course (level = 0).
- The student has not completed an intensive course.
- To complete an intensive course, a student must either pass the *all in one* course, or the three initial level courses (levels 1, 2 and 3).

The database schema consists of three tables: courses(id,level) contains information about the standard courses, including their identifier and the course level; registration(student,course,pass) indicates that the student is in the course, with pass taking the value true if the course has been successfully completed; and the table allInOneCourse(student,pass) contains information about students registered in a special intensive course, with pass playing the same role as in registration. Figure 1 contains the views for selecting the award candidates. The first view is standard, which completes the information included in the table Registration with the course level. The view basic selects those standard students

```
create or replace view standard (student, level, pass) as
   select R. student, C. level, R. pass
  from courses C, registration R
  where C. id = R. course;
create or replace view basic (student) as
   select S. student
  from standard S
  where S.level = 0 and S.pass;
create or replace view intensive (student) as
   (select A. student from allInOneCourse A where A. pass)
  union
   (select al.student
   from standard A1, standard A2, standard A3
    where A1. student = A2. student and A2. student = A3. student
          a1.level = 1 and a2.level = 2 and a3.level = 3);
create or replace view awards(student) as
  select student from basic
 where student not in (select student from intensive);
```

Fig. 1. Views for selecting award winner students

that have passed a basic level course (level 0). Next, view intensive defines as intensive students all the members of the allInOneCourse table together with the students that have completed the three initial levels. However, this view definition is erroneous: although it checks that the student is registered in the three levels we have forgotten to check that the courses have been completed (flag pass). Finally, the main view awards selects the students in the basic but not in the intensive courses. Suppose that we try the query select * from awards;, and that in the result we notice that the student Anna is missed. We know that Anna completed the basic course, and that although she registered in the three initial levels she did not complete one of them, and therefore she is not an intensive student. Hence, the result obtained by this query is nonvalid. A standard declarative debugger using for instance a top-down strategy [15], would ask first about the validity of the contents of basic, because it is the first child of awards. But suppose that basic contains hundreds of tuples, among them one tuple for Anna. The problem is that in order to answer that basic is valid, the user must check that all the tuples in the result are the expected ones, and that there is no missing tuple. Obviously, the question about the validity of basic becomes practically impossible to answer.

The main goal of this paper is to overcome or at least to reduce this drawback. This is done by asking for more specific information from the user. The questions are now of the type "Is there a missing answer (that is, a tuple is expected but it is not there) or a wrong answer (an unexpected tuple is included in the result)?" With this information, the debugger can:

- Reduce the number of questions directed at the user. This is possible because we can deduce which relations among the children are producing/losing the wrong/missing tuple. In the previous example, the debugger would check that *Anna* is in *intensive*, and that therefore it cannot be in *awards*. Therefore, it skips any question about *basic* (the other child of *awards*), thus reducing the number of questions.
- The questions directed at the user about the validity in the children nodes can be simplified. For instance, the debugger only considers those tuples that are needed to produce the wrong or missing answer in the parent. In the example, the tool would ask if Anna was expected in *intensive*, without asking for the validity of the rest of the tuples in this view.

Another novelty of our approach is that we represent the computation tree using Horn clauses, which allows us to include the information obtained from the user during the session. This leads to a more flexible and powerful framework for declarative debugging that can now be combined with other diagnosis techniques. We have implemented these ideas in the system DES [12], which makes it possible for Datalog and SQL to coexist as query languages in the same database

The next section presents some basic concepts used in the rest of the paper. Section 3 introduces the debugging algorithm that constitutes the main contribution of our paper. Section 4 proves the theoretical results supporting our technique. The implementation is discussed in Section 5. Finally, Section 6 presents the conclusions and proposes future work.

2 Preliminaries

In this section, we summarize the main results of [4] by describing the basic concepts of declarative debugging applied to SQL views. We introduce an example that defines a particular database and allows us to show how the debugger works. This example is used in the rest of the paper.

2.1 Basic Concepts of Relational Databases

A table schema has the form $T(A_1,\ldots,A_n)$, with T being the table name and A_i the attribute names for $i=1\ldots n$. We refer to a particular attribute A by using the notation T.A. Each attribute A has an associated type. An instance of a table schema $T(A_1,\ldots,A_n)$ is determined by its particular tuples. Each tuple contains values of the correct type for each attribute in the table schema. The notation t_i represents the i-th element in the tuple. In our setting, partial tuples are tuples that might contain the special symbol \bot in some of its components. The set of defined positions of a partial tuple s, def(s), is defined by $p \in def(s)$ $\Leftrightarrow s_p \neq \bot$. Tuples s with $def(s) = \emptyset$ are total tuples. Membership with partial tuples is defined as follows: if s is a partial tuple, and S a set of total tuples

with the same arity as s, we say that $s \in S$ if there is a tuple $u \in S$ such that $u_p = s_p$ for every $p \in (def(s) \cap def(u))$. Otherwise we say that $s \notin S$.

A database schema D is a tuple $(\mathcal{T}, \mathcal{V})$, where \mathcal{T} is a finite set of tables and \mathcal{V} a finite set of views. Views can be thought of as new tables created dynamically from existing ones by using a SQL query. The general syntax of a SQL view is: create view $V(A_1, \ldots, A_n)$ as Q, with Q a query and $V.A_1, \ldots V.A_n$ the names of the view attributes. A database instance d of a database schema is a set of table instances, one for each table in \mathcal{T} . The notation d(T) represents the instance of a table T in d. The dependency tree of any view V in the schema is a tree with V labeling the root, and its children the dependency trees of the relations occurring in its query. In general, we will use the name relation to refer to either a table or a view. The syntax of SQL queries can be found in [16]. We distinguish between basic queries and compound queries. A basic query Q contains both select and from sections in its definition with the optional where, group by and having sections. For instance, the query associated to the view standard in the example of Figure 1 is a basic query. A compound query Q combines the results of two component queries Q_1 and Q_2 by means of set operators union [all], except [all] or intersect [all] (the keyword all indicates that the result is a multiset). For convenience, our debugger transforms basic queries into compound queries when necessary. This is the case of where sections defined in terms of and, or, We also assume that the queries defining views do not contain subqueries. Translating queries into equivalent definitions without subqueries is a well-known transformation (see for instance [6]). For instance, the query defining view awards in the Figure 1 is transformed into:

```
select student from basic
except
select student from intensive;
```

The semantics of SQL assumed in this paper is given by the Extended Relational Algebra (ERA) [8], an operational semantics allowing aggregates, views, and most of the common features of SQL queries. Each relation R is defined as a multiset of tuples. The notation $|R|_t$ refers to the number of occurrences of the tuple t in the relation R, and Φ_R represents the ERA expression associated to a SQL query or view R, as explained in [7]. A query/view usually depends on previously defined relations, and sometimes it will be useful to write $\Phi_R(R_1, \ldots, R_n)$ indicating that R depends on R_1, \ldots, R_n . Tables are denoted by their names, that is, $\Phi_T = T$ if T is a table.

Definition 1. The computed answer of an ERA expression Φ_R with respect to some schema instance d is denoted by $\|\Phi_R\|_d$, where:

```
- If R is a database table, \|\Phi_R\|_{d} = d(R).

- If R is a database view or a query and R_1, \ldots, R_n the relations defined in R, then \|\Phi_R\|_{d} = \Phi_R(\|\Phi_{R_1}\|_{d}, \ldots, \|\Phi_{R_n}\|_{d}).
```

The parameter d indicating the database instance is omitted in the rest of the presentation whenever is clear from the context.

Queries are executed by SQL systems. The answer for a query Q in an implementation is represented by $\mathcal{SQL}(Q)$. The notation $\mathcal{SQL}(R)$ abbreviates $\mathcal{SQL}(\mathsf{select} * \mathsf{from} R)$. In particular, we assume in this paper the existence of correct SQL implementations.

Definition 2. A correct SQL implementation verifies that $SQL(Q) = \| \Phi_Q \|$ for every query Q.

2.2 Declarative Debugging Framework

In the rest of the paper, D represents the database schema, d the current instance of D, and R a relation defined in D. We assume that the user can check if the computed answer for a relation matches its intended answer.

Definition 3. The intended answer for a relation R w.r.t. d, is a multiset denoted as $\mathcal{I}(R)$ containing the answer that the user expects for the query select * from R in the instance d.

This concept corresponds to the idea of *intended interpretations* employed usually in algorithmic debugging.

Definition 4. We say that SQL(R) is an unexpected answer for a query R if $I(R) \neq SQL(R)$. An unexpected answer can contain either a wrong tuple, when there is some tuple t in SQL(R) s.t. $|I(R)|_t < |SQL(R)|_t$, or a missing tuple, when there is some tuple t in I(R) s.t. $|I(R)|_t > |SQL(R)|_t$.

For instance, the intended answer for *awards* contains *Anna* once, which is represented as $|\mathcal{I}(\mathsf{awards})|_{(\mathsf{Anna})} = 1$. However, the computed answer does not include this tuple: $|\mathcal{SQL}(\mathsf{awards})|_{(\mathsf{Anna})} = 0$. Thus, *('Anna')* is a missing tuple for *awards*. In order to define the key concept of erroneous relation we need the following auxiliary concept.

Definition 5. Let R be either a query or a relation. The expectable answer for R w.r.t. d, $\mathcal{E}(R)$, is defined as:

- 1. If R is a table, $\mathcal{E}(R) = d(R)$, with d the database schema instance.
- 2. If R is a view, then $\mathcal{E}(R) = \mathcal{E}(Q)$, with Q the query defining R.
- 3. If R is a query $\mathcal{E}(R) = \Phi_R(\mathcal{I}(R_1), \dots, \mathcal{I}(R_n))$ with R_1, \dots, R_n the relations occurring in R.

Thus, in the case of a table, the expectable answer is its instance. In the case of a view V, the expectable answer corresponds to the computed result that would be obtained assuming that all the relations R_i occurring in the definition of V contain the intended answers. Then, $\mathcal{I}(R) \neq \mathcal{E}(R)$ indicates that R does not compute its intended answer, even assuming that all the relations it depends on contain their intended answers. Such relation is called *erroneous*.

Definition 6. We say that a relation R is erroneous hen $\mathcal{I}(R) \neq \mathcal{E}(R)$, and correct otherwise.

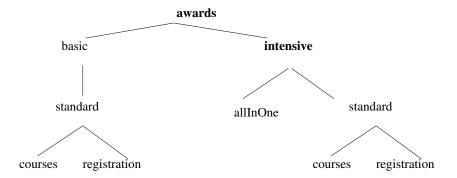


Fig. 2. Example of Computation Tree

In our running example, the real cause of the missing answer for the view *awards* is the erroneous definition of the view *intensive*.

Definition 6 clarifies the fundamental concept of erroneous relation. However, it cannot be used directly for defining a practical debugging tool, because in order to point out a view V as erroneous, it would require comparing $\mathcal{I}(V)$ and $\mathcal{E}(V)$. Instead, we require from the user only to answer questions of the form 'Is the computed answer (...) the intended answer for view V?' Thus, the declarative debugger will compare the computed answer –obtained from the SQL system—and the intended answer –known by the user—.

The debugging process starts when the user finds a view R returning an unexpected result. In a first phase, the debugger builds a *computation tree* for this view R. The definition of this structure is the following:

Definition 7. Computation Trees

The computation tree CT(R) associated with R w.r.t. the instance d is defined as follows:

- The root of CT(R) is $(R \mapsto \mathcal{SQL}(R))$.
- For any node $N = (R' \mapsto \mathcal{SQL}(R'))$ in CT(R):
 - If R' is a table, then N has no children.
 - If R' is a view, the children of N will correspond to the CTs for the relations occurring in the query associated with R'.

After building the computation tree, the debugger will navigate the tree, asking the user about the validity of some nodes:

Definition 8. Valid, Nonvalid and Buggy Nodes

Let T = CT(R) be a computation tree, and $N = (R' \mapsto \mathcal{SQL}(R'))$ a node in T. We say that N is valid when $\mathcal{SQL}(R') = \mathcal{I}(R')$, nonvalid when $\mathcal{SQL}(R') \neq \mathcal{I}(R')$, and buggy when N is nonvalid and all its children in T are valid.

The goal of the debugger will be to locate buggy nodes. In [4] we prove that a computation tree with a nonvalid root always contains a buggy node, and that every buggy node corresponds to an erroneous relation.

Next we describe a particular debugging session based on the ideas in [4]. Figure 2 shows the computation tree of the view awards after removing the repeated children. The debugger marks the root of the tree as a nonvalid node (the computed answer of the view awards is an unexpected answer). Next, the debugger navigates the tree asking the user about the validity of some nodes. Notice these questions can be difficult to answer when the computed answer contains hundreds or thousands of tuples. The first question is about the validity of Grants. Suppose the user checks the answer produced by the SQL system as valid. In this case, children of *Grants* are not considered anymore. The second question is about of the validity of the view Candidates. The computed answer of the view Candidates produced by the SQL system contains Anna and the user checks this answer as nonvalid. Next, the first child of Candidates is visited. The user again checks the computed answer of the view BasicLevelStudents as nonvalid. The only child of BasicLevelStudents is SalsaStudent. As this is checked as valid, the debugger points out node BasicLevelStudents as buggy; node BasicLevelStudents is marked as nonvalid and its only child is marked as a valid node. In the tree, Nonvalid nodes are in bold face and the only buggy node (a circled node) corresponds to view BasicLevelStudents.

In the next Section we propose to improve the debugging technique presented in [4] by allowing the user to specify the error type, that is wrong tuple or missing tuple.

3 Debugging Algorithm

In this section we present the algorithm that defines our debugging technique, describing the purpose of each function. Although the process is based on the ideas of declarative debugging, this proposal does not use computation trees explicitly. Instead, our debugger represents computation trees by means of Horn clauses, denoted as $H \leftarrow C_1, \ldots, C_n$, where the comma represents the conjunction, and H, C_1, \ldots, C_n are positive atoms. As usual, a fact H stands for the clause $H \leftarrow \text{true}$. Next, we describe the functions that define the algorithm, although the code of some basic auxiliary functions is omitted for the sake of space. This is the case of qetSelect, qetFrom, qetWhere, and qetGroupBy which return the different sections of a SQL query. In the case of getFrom, it is assumed that all the relations have an alias and the result is a sequence of elements of the form R as R'. A Boolean expression like qetGroupBy(Q)=[] is satisfied if the query Q has no group by section. Function qetRelations(R) returns the set of relations involved in R. It can be applied to queries, tables and views: if R is a table, then $getRelations(R) = \{R\}$, if R is a query, then getRelations(R) is the set of relations occurring in the definition of the query, and if R is a view, then getRelations(R) = getRelations(Q), with Q the query defining R. The function generateUndefined(R) generates a tuple whose arity is the number of attributes

Code 1 debug(V)

```
Input: V: view name
Output: A list of buggy views

1: A := askOracle(all V)

2: P := initialSetOfClauses(V, A)

3: while getBuggy(P)=[] do

4: LE := getUnsolvedEnquiries(P)

5: E := chooseEnquire(LE)

6: A := askOracle(E)

7: P := P ∪ processAnswer(E,A)

8: end while

9: return (getBuggy(P))
```

in R containing only undefined values (\perp, \ldots, \perp) . Thus, $generateUndefined(R) \notin S$ for every S.

The general schema of the algorithm is summarized in the code of function debug (Code 1). The debugger is started by the user when an unexpected answer is obtained as computed answer for some SQL view V. In our running example, the debugger is started with the call debug(awards). Then, the algorithm asks the user about the type of error (line 1). The answer A can be simply valid, nonvalid, or a more detailed explanation of the error, like wrong(t) or missing(t), indicating that t is a wrong or missing tuple respectively. In our example, A takes the initial value missing(('Anna')). During the debugging process, variable P keeps a list of Horn clauses representing a logic program. The initial list of clauses P is generated by the function *initialSetofClauses* (line 2). The initialization (line 2) introduces the clauses that correspond to the computation tree rooted by V(which are listed partially in Figure 3 for the running example). The purpose of the main loop (lines 3-8) is to add information to the program P, until a buggy view can be inferred. The function qetBuqqy returns the list of all the relations R such that buqqy(R) can be proven w.r.t. the logic program P. The clauses in P contains enquiries that might imply questions to the user. Each iteration of the loop represents the election of an enquiry in a body atom whose validity has not been established yet (lines 4-5). Then, an enquiry about the result of the query is asked to the user (line 6). Finally, the answer is processed (line 7). Next, we explain in detail each part of this main algorithm.

Code 2 corresponds to the initialization process of line 2 from Code 1. The function initialSetofClauses gets as first input parameter the initial view V. This view has returned an unexpected answer, and the input parameter A contains the explanation. The output of this function is a set of clauses representing the logic relations that define possible buggy relations with predicate buggy. Initially it creates the empty set of clauses and then it calls to initialize (line 2), a function that traverses recursively all the relations involved in the definition of the initial view V, calling to createBuggyClause with V as input parameter. createBuggyClause adds a new clause indicating the enquiries that must hold in order to consider V as incorrect: it must be nonvalid, and all the relations it

Code 2 initialSetofClauses(V, A)

```
initialize(R)
Input: V: view name, A: answer
                                              Input: R: relation
Output: A set of clauses
                                              Output: A set of clauses
1: P := \emptyset
                                              1: P := createBuggyClause(R)
2: P := initialize(V)
                                              2: for each R_i in getRelations(R) do
3: P := P \cup processAnswer((all V), A)
                                                  P := P \cup initialize(R_i)
4: return P
                                              4: end for
                                              5: return P
createBuggyClause(V)
Input: V: view name
Output: A Horn clause
 1: [R_1, \ldots, R_n] := \text{getRelations}(V)
2: return { buggy(V)← state((all V), nonvalid),
                                 state((all R_1), valid), \ldots, state((all R_n), valid)).
buggy(awards)
                :- state(all(awards),nonvalid),
                    state(all(basic), valid), state(all(intensive), valid).
                 :- state(all(basic), nonvalid), state(all(standard), valid).
buggy(basic)
buggy(intensive) :- state(all(intensive),nonvalid),
                   state(all(allInOneCourse),valid), state(all(standard),valid).
```

Fig. 3. Initial set of clauses for the running example

depends on must be valid. Figure 3 shows a partial list of initial clauses for our example.

The correlation between these clauses and the dependency tree is straightforward. Finally, in line 3, function processAnswer incorporates the information that can be extracted from A into the program P. The information about the validity/nonvalidity of the results associated to enquiries is represented in our setting with predicate state. The first parameter is an enquiry E, and the second one can be either valid or nonvalid. The next definition determines the possible enquiries, their associated questions and answers, and a measure $\mathcal C$ of the complexity of the questions:

Definition 9. Enquiries can be of any of the following forms: (all R), $(s \in R)$, or $(R' \subseteq R)$ with R, R' relations, and s a tuple with the same schema as relation R. Each enquiry E corresponds to a specific question to the user, and it has a possible set of answers and an associated complexity C(E):

- If $E \equiv (all \ R)$. Let S = SQL(R). The associated question asked to the user is "Is S the intended answer for R?" The answer can be either yes or no. In the case of no, the user is asked about the type of the error, missing or wrong, giving the possibility of providing a witness tuple t. If the user provides this information,

the answer is changed to missing(t) or wrong(t), depending on the type of the error. We define C(E) = |S|, with |S| the number of tuples in S.

- -If $E \equiv (R' \subseteq R)$. Let $S = \mathcal{SQL}(R')$. Then the associated question is "Is S included in the intended answer for R?" As in the previous case the answer allowed can be yes or no. In the case of no, the user can point out a wrong tuple $t \in S$ and the answer is changed to $\operatorname{wrong}(t)$. $\mathcal{C}(E) = |S|$ as in the previous case.
- If $E \equiv (s \in R)$. The question is "Does the intended answer for R include a tuple s?" The possible answer can be yes or no. No further information is required from the user. In this case C(E) = 1, because only one tuple must be considered.

In the case of wrong, the user typically points to a tuple in the result R. In the case of missing, the tuple must be provided by the user, and in this case partial tuples, i.e., tuples including some undefined attributes are allowed. The answer yes corresponds to the state valid, while the answer no corresponds to nonvalid. An atom state(q,s) occurring in a clause body, is a solved enquiry if the logic program P contains at least one fact of the form state(q, valid) or state(q, valid)nonvalid), that is, if the enquiry has been already solved. The atom is called an unsolved enquiry otherwise. The function getUnsolvedEnquiries (see line 4 of Code 1) returns in a list all the unsolved enquiries occurring in P. The function choose Enquiry (line 5, Code 1) chooses one of these enquiries according to some criteria. In our case we choose the enquiry E that implies the smaller complexity value $\mathcal{C}(E)$, although other more elaborated criteria could be defined without affecting the theoretical results supporting the technique. Once the enquiry has been chosen, Code 1 uses the function askOracle (line 6) in order to ask to the associated question, returning the answer of the user. We omit the definitions of these simple functions for the sake of space.

The code of function processAnswer (called in line 7 of Code 1), can be found in Code 3. The first lines (1-5) introduce a new logic fact in the program with the state that corresponds to the answer A obtained for the enquiry E. In our running example, the fact state(all(awards), nonvalid) is added to the program. The rest of the code distinguishes several cases depending on the form of the enquiry and its associated answer. If the enquiry is of the form $(s \in R)$ with answer is no (meaning $s \notin \mathcal{I}(R)$), and the debugger checks that the tuple s is in the computed answer of the view R (line 7), then s is wrong in the relation R. In this case, the function processAnswer is called recursively with the enquiry (all R) and wrong(s) (line 8). If the answer is yes and the debugger checks that s does not belong to the computed answer of R (line 10), then s is missing in the relation R. For enquiries of the form $(V \subseteq R)$ and answer wrong(s), it can be ensured that s is wrong in R (line 13). If the enquiry is (all V) for some view V, and with an answer including either a wrong or a missing tuple, the function slice (line 16) is called. This function exploits the information contained in the parameter A(missing(t)) or wrong(t) for slicing the query Q in order to produce, if possible, new clauses which will allow the debugger to detect incorrect relations by asking simpler questions to the user. The implementation of slice can be found

Code 3 processAnswer(E,A)

```
Input: E: enquiry, A: answer obtained for the enquiry
Output: A set of new clauses
1: if A \equiv yes then
      P := \{ state(E, valid). \}
3: else if A \equiv no or A \equiv missing(t) or A \equiv wrong(t) then
      P := \{ state(E, nonvalid). \}
5: end if
6: if E \equiv (s \in R) then
7:
       if (s \in \mathcal{SQL}(R) \text{ and } A \equiv no) \text{ then }
8:
          P := P \cup processAnswer((all R), wrong(s))
       else if (s \notin SQL(R) \text{ and } A \equiv yes) then
9:
          P := P \cup processAnswer((all R), missing(s))
10:
12: else if E \equiv (V \subseteq R) and (A \equiv wrong(s)) then
       P := P \cup processAnswer((all R), A)
14: else if E \equiv (all \ V) with V a view and (A \equiv missing(t) \ or \ A \equiv wrong(t)) then
       Q := SQL query defining V
       P := P \cup slice(V,Q,A)
16:
17: end if
18: return P
```

in Code 4. The function receives the view V, a subquery Q, and an answer A as parameters. Initially, Q is the query defining V, and A the user answer, but this situation can change in the recursive calls. The function distinguishes several particular cases:

- The query Q combines the results of Q_1 and Q_2 by means of either the operator union or union all, and A is wrong(t) (first part of line 2). This means that the query Q produces too many copies of t. Then, if any Q_i produces as many copies of t as Q, we can blame Q_i as the source of the excessive number of t's in the answer for V (lines 3 and 4). The case of subqueries combined by the operator intersect [all], with $A \equiv missing(t)$ is analogous, but now detecting that a subquery is the cause of the scanty number of copies of t in $\mathcal{SQL}(V)$.
- The query Q is of the form Q_1 except [all] Q_2 , with $A \equiv missing(t)$ (line 5). If the number of occurrences of t in both Q and Q_1 is the same, then t is also missing in the query Q_1 (line 6). Additionally, if query Q is of the particular form Q_1 except Q_2 , which means that we are using the difference operator on sets (line 7), then if t is in the result of Q_2 it is possible to claim that the tuple t is wrong in Q_2 . Observe that in this case the recursive call changes the answer from missing(t) to wrong(t).
- If Q is defined as a basic query without group by section (line 8), then either function missingBasic or wrongBasic is called depending on the form of A.

Both missingBasic and wrongBasic can add new clauses that allow the system to infer buggy relations by posing questions which are easier to answer. Function missingBasic, defined in Code 5, is called (line 9 of Code 4) when A is missing(t). The input parameters are the view V, a query Q, and the missing

Code 4 slice(V,Q,A)

```
Input: V: view name, Q: query, A: answer
Output: A set of new clauses
1: P := \emptyset; S = \mathcal{SQL}(Q); S_1 = \mathcal{SQL}(Q_1); S_2 = \mathcal{SQL}(Q_2)
2: if (A \equiv wrong(t) \text{ and } Q \equiv Q_1 \text{ union [all] } Q_2) or
       (A \equiv missing(t) \text{ and } Q \equiv Q_1 \text{ intersect [all] } Q_2) \text{ then}
       if |S_1|_t = |S|_t then P := P \cup slice(V, Q_1, A)
       if |S_2|_t = |S|_t then P := P \cup slice(V, Q_2, A)
 5: else if A \equiv missing(t) and Q \equiv Q_1 except [all] Q_2 then
       if |S_1|_t = |S|_t then P := P \cup slice(V, Q_1, A)
      if Q \equiv Q_1 except Q_2 and t \in S_2 then P := P \cup slice(V, Q_2, wrong(t))
 8: else if basic(Q) and groupBy(Q)=[] then
       if A \equiv missing(t) then P := P \cup missingBasic(V, Q, t)
       else if A \equiv wrong(t) then P := P \cup wrongBasic(V, Q, t)
10:
11: end if
12: return P
```

Code 5 missingBasic(V,Q,t)

```
Input: V: view name, Q: query, t: tuple
Output: A new list of Horn clauses
1: P := \emptyset; S := \mathcal{SQL}(\mathsf{SELECT} \ \mathsf{getSelect}(Q) \ \mathsf{FROM} \ \mathsf{getFrom}(Q))
2: if t \notin S then
       for (R AS S) in (getFrom(Q)) do
3:
          s = generateUndefined(R)
 4:
          for i=1 to length(getSelect(Q)) do
 5:
 6:
             if t_i \neq \perp and member(getSelect(Q),i) = S.A, A attrib., then s.A = t_i
 7:
 8:
          if s \notin \mathcal{SQL}(R) then
9:
             P := P \cup \{ (buggy(V) \leftarrow state((s \in R), nonvalid).) \}
10:
          end if
       end for
11:
12: end if
13: return P
```

Code 6 wrongBasic(V,Q,t)

```
Input: V: view name, Q: query, t: tuple

Output: A set of clauses

1: P := \emptyset

2: F := getFrom(Q)

3: N := length(F)

4: for i=1 to N do

5: R_i as S_i := member(F,i)

6: relevantTuples(R_i,S_i,V_i,Q,t)

7: end for

8: P := P \cup \{ (buggy(V) \leftarrow state((V_1 \subseteq R_1), valid), ..., state((V_n \subseteq R_n), valid).) \}

9: return P
```

Code 7 relevant Tuples (R_i, R', V, Q, t)

```
eqTups(t,s)
Input: R_i: relation, R': alias,
    V: new view name, Q: Query, t: tuple
                                                               Input: t,s : tuples
                                                               Output: SQL condition
Output: A new view in the database schema
                                                                1: C := true
1: Let A_1, \ldots, A_n be the attributes defining R_i
2: SQL(create view V as
                                                                2: \mathbf{for} i=1 \mathbf{to} length(t) \mathbf{do}
     (select R_i.A_1, \ldots, R_i.A_n from R_i)
                                                                      if t_i \neq \bot then
                                                               3:
         intersect all
                                                                4:
                                                                        C := C \text{ AND } t_i = s_i
     (select R'.A_1, \ldots, R'.A_n from getFrom(Q)
                                                                5: end for
     where getWhere(Q) and eqTups(t,getSelect(Q))))
                                                                6: return C
```

tuple t. Notice that Q is in general a component of the query defining V. For each relation R with alias S occurring in the from section, the function checks if R contains some tuple that might produce the attributes of the form S.A occurring in the tuple t. This is done by constructing a tuple s undefined in all its components (line 4) except in those corresponding to the select attributes of the form S.A, which are defined in t (lines s - 7). If s does not contain a tuple matching s in all its defined attributes (line s), then it is not possible to obtain the tuple s in s in s in this case, a buggy clause is added to the program s (line s) meaning that if the answer to the question "Does the intended answer for s include s tuple s?" is s in s, then s is an incorrect relation.

The implementation of wrongBasic can be found in Code 6. The input parameters are again the view V, a query Q, and a tuple t. In line 1, this function creates an empty set of clauses. In line 2, variable F stands for the set containing all the relations in the from section of the query Q. Next, for each relation $R_i \in F$ (lines 4 - 7), a new view V_i is created in the database schema after calling the function relevantTuples (line 6), which is defined in Code 7. This auxiliary view contains only those tuples in relation R_i that contribute to produce the wrong tuple t in V. Finally, a new buggy clause for the view V is added to the program P (line 8) explaining that the relation V is buggy if the answer to the question associated to each enquiry of the form $V_i \subseteq R_i$ is yes for $i \in \{1 \dots n\}$.

4 Theoretical Results

In the previous section we have introduced the debugging algorithm, explaining the intuitive ideas supporting the technique. Now we establish formally the soundness of the proposal. In the rest of the section we assume that the debugging algorithm uses a SLD-based logic system for checking the atoms that are entailed by the program contained in the variable P of Code 1. The notation $P \vdash A$ denotes that there is a SLD proof for A with respect to the program P.

We start checking the correctness of the framework.

Theorem 1. Correctness.

Let R be a relation such that debug(R) (defined in Code 1) returns a list L. Then all relation names contained in L are erroneous relations.

Proof. The logic program contained in the variable P of Code 1. Then L contains all the atoms R such that

$$P \vdash buggy(R) \tag{1}$$

Let R be any of the relations verifying (1). We prove that R is an erroneous relation. According to Definition 6 this means that we must check $\mathcal{I}(R) \neq \mathcal{E}(R)$.

The SLD inference proving buggy(R) must start using a clause with head buggy(R) (R is a relation name, and therefore buggy(R) is a ground atom). The algorithm code introduces clauses for predicate buggy at three points:

1.- In Code 2 (function createBuggyClause, line 2). The clause is:

buggy(R) \leftarrow state((all R), nonvalid),state((all R_1), valid),...,state((all R_n), valid) where R_1, \ldots, R_n are all the relations employed in the definition of R. Then, by Lemma 4:

$$P \vdash state((all\ R_i),\ valid) \Longrightarrow \mathcal{SQL}(R_i) = \mathcal{I}(R_i) \text{ for } i = 1 \dots n$$
 (2)

$$P \vdash state((all\ R),\ nonvalid) \Longrightarrow \mathcal{SQL}(R) \neq \mathcal{I}(R)$$
 (3)

Let d the current database instance. If R is a table:

By Definitions 1 and 2,
$$SQL(R) = d(R)$$
 (4)

By Definition 5, item 1,
$$\mathcal{E}(R) = d(R)$$
 (5)

Combining (4) and (5), $\mathcal{SQL}(R) = \mathcal{E}(R)$, and considering (3) the result $\mathcal{E}(R) \neq \mathcal{I}(R)$ is obtained. If R is a view:

By Definitions 1 and 2,
$$\mathcal{SQL}(R) = \Phi_R(\mathcal{SQL}(R_1), \dots, \mathcal{SQL}(R_n))$$
 (6)

Applying (2) to (6),
$$\mathcal{SQL}(R) = \Phi_R(\mathcal{I}(R_1), \dots, \mathcal{I}(R_n))$$
 (7)

Combining (7) and Definition 5, items 2-3, $\mathcal{SQL}(R) = \mathcal{E}(R)$, and thus by (3), $\mathcal{E}(R) \neq \mathcal{I}(R)$.

2.- In Code 5, line 9, which introduces the clause:

$$(buggy(V) \leftarrow state((s \in R), nonvalid))$$

Then $P \vdash state((s \in R), nonvalid))$, and:

By Code 5, line 8,
$$s \notin SQL(R)$$
 (8)

By Lemma 4,
$$s \notin \mathcal{I}(R)$$
 (9)

Observe that function missingBasic in Code 5 is called from function slice (Code 4) which ensures that Q is defined by a basic query without group by section. Moreover, examining code of function missingBasic it is clear that the select clause contains m>1 values of the form $S.A_1,\ldots,S.A_m$ with S AS R a relation in the from clause (otherwise the tuple s will be completely undefined and the condition $s \notin \mathcal{SQL}(R)$ could not hold). Therefore, the ERA expression of Q can be written as

$$\Phi_Q = \prod_{(\dots S.A_1, \dots, S.A_m \dots)} (\sigma_C(\dots \times \rho_S(R) \times \dots))$$
(10)

for some condition C. Then, by Definitions 1 and 2:

$$\mathcal{SQL}(Q) = \parallel \Phi_Q \parallel = \prod_{(\dots S.A_1, \dots, S.A_m \dots)} (\sigma_C(\dots \times \rho_S(\mathcal{SQL}(R)) \times \dots)) \quad (11)$$

Applying Definition 5 to (10) we obtain:

$$\mathcal{E}(Q) = \prod_{(\dots S.A_1, \dots, S.A_m \dots)} (\sigma_C(\dots \times \rho_S(\mathcal{I}(R)) \times \dots))$$
 (12)

Observing that s is a subtuple (in the defined positions) of the missing tuple t by construction of s, it is straightforward to prove that:

By (8) and (11),
$$t \notin \mathcal{SQL}(Q)$$

By (9) and (12), $t \notin \mathcal{E}(Q)$

which means that

$$|\mathcal{E}(Q)|_t = |\mathcal{SQL}(Q)|_t = 0 \tag{13}$$

Observe finally that slice is called from processAnswer, and that therefore by Lemma 3 V is an incorrect view.

3.- In Code 6, which introduces the clause:

$$(buggy(V) \leftarrow state((V_1 \subseteq R_1), valid), \ldots, state((V_n \subseteq R_n), valid))$$

By Lemma 4:

For
$$i=1...n$$
, $\mathcal{SQL}(V_i) \subseteq \mathcal{I}(R_i)$ (14)

Examing the call to wrongBasic in slice (Code 4, line 10), it is obvious that Q has no group by section, and therefore its ERA expression is of the form

$$\Phi_Q = \prod_{(S)} (\sigma_C(\rho_{S_1}(R_1) \times \dots \times \rho_{S_n}(R_n)))$$
(15)

for some list of expressions S and condition C. Using Definitions 1 and 2 we obtain the SQL computed answer

$$\mathcal{SQL}(Q) = \parallel \Phi_Q \parallel = \prod_{(S)} (\sigma_C(\rho_{S_1}(\mathcal{SQL}(R_1)) \times \cdots \times \rho_{S_n}(\mathcal{SQL}(R_n))))$$

and in particular:

$$|\mathcal{SQL}(Q)|_t = |\prod_{(S)} (\sigma_C(\rho_{S_1}(\mathcal{SQL}(R_1)) \times \dots \times \rho_{S_n}(\mathcal{SQL}(R_n))))|_t$$
 (16)

By Lemma 1, replacing each R_i by its corresponding R_i does not affect to the number of copies of t obtained, that is:

$$|\mathcal{SQL}(Q)|_t = |\Pi_{(S)}(\sigma_C(\rho_{S_1}(\mathcal{SQL}(V_1)) \times \dots \times \rho_{S_n}(\mathcal{SQL}(V_n))))|_t$$
(17)

It is easy to check that in an expression like (17), replacing a relation V_i in the cartesian product by other relation S such that $V_i \subseteq S$ implies at least the same tuples in the result (and possibly more, new tuples). Therefore, applying (14) to (17):

$$|\mathcal{SQL}(Q)|_t \le |\prod_{(S)} (\sigma_C(\rho_{S_1}(\mathcal{I}(R_1)) \times \dots \times \rho_{S_n}(\mathcal{I}(R_n))))|_t$$
(18)

and applying the definition of expectable answer (Definition 5) to the right-hand side of this inequality:

$$|\mathcal{SQL}(Q)|_t \le |\mathcal{E}(Q)|_t \tag{19}$$

Taking into account that the function wrongBasic has been called from slice (Code 4, line 10), with the same input parameters (V), Q, and with $A \equiv wrong(t)$, then Lemma 3 can be applied to the call slice(V,Q,A), and (19) implies that V is an incorrect relation.

This result uses various auxiliary Lemmata, which are proved below. First we prove a property of the new view created by function *relevantTuples*.

Lemma 1. After a call of the form relevant Tuples (R_i, R', V, Q, t) , V is a new view such that

- 1. $SQL(V) \subseteq SQL(R_i)$
- 2. Let Q' the result of replacing R_i by V in Q. Then $|\mathcal{SQL}(Q)|_t = |\mathcal{SQL}(Q')|_t$

Proof.

1. The definition of V can be found in Code 7. Suposse that $getFrom(Q) = R_1$ as R'_1, \ldots, R_i as R'_i, \ldots, R_m as R'_m , getWhere(Q) = C, and that $getSelect(Q) = e_1, \ldots, e_k$, the definition can represented as:

which, taking into account that $R_i.A_1, \ldots, R_i.A_n$ are all the attributes of R_i means that (20) can be represented ERA

$$V \leftarrow R_i \cap_{\mathcal{M}} \prod_{R'.A_1,...,R'.A_n} (\sigma_{C \wedge e_1 = t_1 \wedge \cdots \wedge e_k = t_k} (\rho_{R'_1}(R_1) \times \cdots \times \rho_{R'_m}(R_m))$$

$$\tag{21}$$

and therefore V is a subset of R_i .

2. The function relevant Tuples is called from function wrong Basic (line 6, Code 6), which is called from function slice (Code 4, line 10). The if sentence in slice ensures that Q is a basic query without group by clause. Therefore, Q must be of the form:

select
$$\mathbf{e}_1, \ldots, \mathbf{e}_k$$
 from \mathbf{R}_1 as $\mathbf{R'}_1, \ldots, \mathbf{R}_i$ as $\mathbf{R'}_i, \ldots, \mathbf{R}_m$ as $\mathbf{R'}_m$ where \mathbf{C}

which can be represented in ERA as:

$$\Phi_{Q} = \prod_{e_{1}, \dots, e_{k}} (\sigma_{C}(\rho_{R'_{1}}(R_{1}) \times \dots \rho_{R'_{i}}(R_{i}) \dots \times \rho_{R'_{m}}(R_{m})))$$
(22)

We call $\Phi_{Q'}$ to the result of replacing R'_i by V in (22):

$$\Phi_{Q'} = \prod_{e_1, \dots, e_k} (\sigma_C(\rho_{R'_1}(R_1) \times \dots \rho_{R'_i}(V) \dots \times \rho_{R'_m}(R_m)))$$
 (23)

observe that only the from section needed to be modified, because the rest of the query does not include R_i but his alias R'_i , and alias is kept unaltered in $\Phi_{Q'}$. The we must prove that $\|\Phi_Q\|_t = \|\Phi_{Q'}\|_t$. Taking into account that neither (22) nor (23) contain set differences (the negation in ERA), and from $\mathcal{SQL}(V) \subseteq \mathcal{SQL}(R_i)$, we have $\|\Phi_Q\|_t \geq \|\Phi_{Q'}\|_t$. Then it is is enought to prove $\|\Phi_Q\|_t \leq \|\Phi_{Q'}\|_t$ to complete the result, that is we must check that if t occurs with arity n in $\|\Phi_Q\|$ then it occurs with the same arity in $\|\Phi_{Q'}\|$.

Observing (22) it is obvious that the n copies of t in $\| \Phi_Q \|$ must come from n tuples $s_i \in \rho_{R'_1}(R_1) \times \dots \rho_{R'_i}(R_i) \cdots \times \rho_{R'_m}(R_m)$, $i = 1 \dots p$. Each tuple s_i satisfies the condition C and projected over e_1, \dots, e_k produces the value t. Therefore

$$s_i \in (\sigma_{C \wedge e_1 = t_1 \wedge \dots \wedge e_k = t_k}(\rho_{R'_1}(R_1) \times \dots \times \rho_{R'_m}(R_m))$$
 (24)

Then, by the structure of (23), it is enough to check that $s_i \in \rho_{R'_1}(R_1) \times \dots \rho_{R'_i}(V) \dots \times \rho_{R'_m}(R_m)$, $i = 1 \dots p$. If we call u_i , $i = 1 \dots p$ to the restriction of each s_i to the attributes of R_i , then the proof is complete if we check that $u_i \in V$ for $i = 1 \dots p$. That is, from (24):

$$u_i \in \prod_{R'.A_1,\dots,R'.A_n} (\sigma_{C \wedge e_1 = t_1 \wedge \dots \wedge e_k = t_k} (\rho_{R'_1}(R_1) \times \dots \times \rho_{R'_m}(R_m))$$
 (25)

with A_1, \ldots, A_n the attributes of R_i . Then $u_i \in R_i$, and therefore

$$u_{i} \in (R_{i} \cap_{\mathcal{M}} \prod_{R'.A_{1},...,R'.A_{n}} (\sigma_{C \wedge e_{1}=t_{1} \wedge \cdots \wedge e_{k}=t_{k}} (\rho_{R'_{1}}(R_{1}) \times \cdots \times \rho_{R'_{m}}(R_{m}))))$$

$$(26)$$

which by (21) implies $u_i \in V$, which completes the proof.

Next we prove an auxiliary result that establishes the relationship between enquiries and answers:

Lemma 2. Let processAnswer(E,A) be any call to Code 3 that occur during the execution of the debugger. Then:

- 1. If $E \equiv (s \in R)$, then:
 - (a) If $A \equiv \text{yes}$, then $s \in \mathcal{I}(R)$.
 - (b) If $A \equiv \text{no}$, then $s \notin \mathcal{I}(R)$.
- 2. If $E \equiv (V \subseteq R)$, then:
 - (a) If $A \equiv \text{yes}$, then $SQL(V) \subseteq I(R)$.
 - (b) If $A \equiv \text{no}$, then $SQL(V) \nsubseteq I(R)$.
 - (c) If $A \equiv \text{wrong}(t)$, then $|\mathcal{I}(R)|_t < |\mathcal{SQL}(V)|_t$.
- 3. If $E \equiv (all R)$, then:
 - (a) If $A \equiv yes$, then SQL(R) = I(R)
 - (b) If $A \equiv \text{no}$, then $SQL(R) \neq I(R)$
 - (c) If $A \equiv \text{wrong}(t)$, then $|\mathcal{I}(R)|_t < |\mathcal{SQL}(R)|_t$
 - (d) If $A \equiv missing(t)$, then $|\mathcal{I}(R)|_t > |\mathcal{SQL}(R)|_t$

Proof. We distinguish cases depending on the form of the input parameters E, A

- $-E \equiv (V \subseteq R)$. Then the function has been called after asking the user about the validity of the enquire E, obtaining answer A. This happens in Code 1, line 7, and also in Code 2, line 3. By Definition 9, this case corresponds to the question "Is S included in the intended answer for R?", with S = SQL (select * from R_1) = $SQL(R_1)$. If the answer of the user was yes, this means that $SQL(V) \subseteq I(R)$, while the answer no, meansthat $SQL(V) \nsubseteq I(R)$. If the user indicates an answer wrong(t), this means that V contains more copies of t than expected in R. Therefore $|I(R)|_t < |SQL(V)|_t$.
- $-E \equiv (t \in R)$. A is then the answer provided by the user to the question "Does R include a tuple of the form t?", and the result is analogous.

- $-E \equiv (all\ R)$. This input parameter corresponds to calls obtained in two different situations:
 - 1. As in the previous cases, when the debugger obtains the user answer to a question, in this case "Is S the intended answer for R?", with $S = \mathcal{SQL}(select * from R) = \mathcal{SQL}(R)$. Then the result is analogous. For instance if the user answers yes, the user is indicating that $\mathcal{SQL}(R) = \mathcal{I}(R)$.
 - 2. In a recursive call produced by *processAnswer*. It is easy to check that only one recursive call can occur, due to the change in the first parameter to (all R) (which avoids further recursive calls). That is, a first call occurs containing the answer provided by the user, and the execution of this call starts a recursive call, which does not call *processAnswer* recursively. The recursive calls are located in three points of Code 3:
 - Line 8. Then, the initial call is $processAnswer((s \in R), no)$ As explained above this implies

$$s \notin \mathcal{I}(R) \tag{27}$$

Also, the condition of the if statement preceding the recursive call ensures that

$$s \in \mathcal{SQL}(R) \tag{28}$$

The recursive call is $processAnswer((all\ R), wrong(s))$. Then we must prove that $\mathcal{SQL}(R) \neq \mathcal{I}(R)$, and this is straightforward from (27) and (28).

• Line 10. The initial call must be $processAnswer((s \in R), yes)$, which means:

$$s \in \mathcal{I}(R) \tag{29}$$

The condition of the if statement indicates that

$$s \notin \mathcal{SQL}(R) \tag{30}$$

The recursive call is $processAnswer((all\ R), missing(s))$, and the expected result $SQL(R) \neq I(R)$ follows from (29) and (30).

• Line 13. The first call must be $processAnswer((V \subseteq R), wrong(s))$. This is one of the cases already analyzed, where wrong(s) is the answer provided by the user for the enquiry $(V \subseteq R)$. Now, observe that this enquiry must correspond to the election of an atom $state((V \subseteq R), \ldots)$ already occurring in the program. Such atoms are introduced in line 8 of Code 6. The view V has been created by function relevantTuples (Code 7), and by Lemma 1:

$$SQL(V) \subseteq SQL(R)$$
 (31)

This first call $processAnswer((V \subseteq R), wrong(s))$ has introduced a fact $state((V \subseteq R), nonvalid)$, and we have already prove that this implies:

$$SQL(V) \nsubseteq I(R)$$
 (32)

which, combined with 31 means:

$$SQL(R) \nsubseteq I(R)$$
 (33)

The recursive call is $processAnswer((all\ R),\ wrong(s))$, and we must prove that $\mathcal{SQL}(R) \neq \mathcal{I}(R)$, which is a direct consequence of (33).

Lemma 3. Let slice(V,Q,A) be any call to Code 4 that occur during the execution of the debugger. Then:

- If $A \equiv wrong(t)$ and $|\mathcal{E}(Q)|_t \geq |\mathcal{SQL}(Q)|_t$, then V is an incorrect view.
- If $A \equiv missing(t)$ and $|\mathcal{E}(Q)|_t \leq |\mathcal{SQL}(Q)|_t$, then V is an incorrect view.

Proof. We prove the results by induction on the number n of recursive calls to slice occurred before the current call.

If n = 0, then the initial call for slice corresponds to processAnswer, Code 3, line 16. This call ensures that V is a view, Q is initially the query defining V, and A is either missing(t) or wrong(t), where t has been pointed out as missing (respectively wrong) by the user. By definition 4, and taking into account that SQL(V) = SQL(Q), we have that in this first call:

- If A is wrong(t), then $|\mathcal{I}(V)|_t < |\mathcal{SQL}(Q)|_t$. Therefore, $|\mathcal{E}(Q)|_t \ge |\mathcal{SQL}(Q)|_t$ implies $|\mathcal{E}(Q)|_t > |\mathcal{I}(V)|_t$.
- If A is missing(t), then $|\mathcal{I}(V)|_t > |\mathcal{SQL}(Q)|_t$. Then, $|\mathcal{E}(Q)|_t \leq |\mathcal{SQL}(Q)|_t$ implies $|\mathcal{E}(Q)|_t < |\mathcal{I}(V)|_t$.

In both cases, and considering that from Def. 5 $\mathcal{E}(V) = \mathcal{E}(Q)$, we have that $|\mathcal{E}(V) \neq \mathcal{I}(V)|$, that is V is erroneous (Def. 6).

If n>0 we are considering a recursive call slice(V,Q',A'). All the recursive calls occur of Code 4 verify that they do not change the V, which is hence the same as in the initial call. The values Q' and A', might have changed with respect to the input values Q and A. By inductive hypothesis we have that this Lemma can be applied to the input values V, Q, and A. Now we check that the result can be applied also to V, Q' and A', distinguising cases depending on the particular call:

- Code 4, Line 3. In this case

(1)
$$|\mathcal{SQL}(Q_1)| = |\mathcal{SQL}(Q)|_t$$

and one of the following conditions hold:

• A \equiv missing(t) AND Q \equiv Q₁ INTERSECT Q₂. Then (2) If $|\mathcal{E}(Q)|_t \leq |\mathcal{SQL}(Q)|_t$, then V is incorrect (induction hypothesis). (3) If $|\mathcal{E}(Q)|_t \leq |\mathcal{SQL}(Q_1)|_t$, then V is incorrect (by (1) and (2)). From $\Phi_Q = \Phi_{Q_1} \cap \Phi_{Q_2}$, and Definition 5 we have $\mathcal{E}(Q) = \mathcal{E}(Q_1) \cap \mathcal{E}(Q_2)$. Thefore

(4)
$$|\mathcal{E}(\mathsf{Q}_1)|_t \ge |\mathcal{E}(\mathsf{Q})|_t$$

and finally combining (3) and (4) we have the expected result:

- (5) If $|\mathcal{E}(Q_1)|_t \leq |\mathcal{SQL}(Q_1)|_t$, then by (3) $|\mathcal{E}(Q)|_t \leq |\mathcal{SQL}(Q_1)|_t$, and by (4) this means that V is incorrect.
- A \equiv missing(t) AND Q \equiv Q₁ INTERSECT ALL Q₂. Analogous to the previous point. Observe that replacing the set operator \cap by $\cap_{\mathcal{M}}$ does not affect to the result.
- Either (A \equiv wrong(t) AND Q \equiv Q₁ UNION Q₂), or (A \equiv wrong(t) AND Q \equiv Q₁ UNION ALL Q₂). Very similar to the corresponding cases of the intersection and of the multiset intersection (in the case of ALL), replacing \cap by \cup ($\cap_{\mathcal{M}}$ by $\cup_{\mathcal{M}}$ in the case of ALL), \geq by \leq , and \leq by \geq .
- Code 4, Line 4. Analogous to the previous case changing Q_1 by Q_2 .
- Code 4, Line 6. Then A ≡ missing(t) AND Q ≡ Q₁ EXCEPT [ALL] Q₂, and (1), (2), (3) hold. Then $\Phi_{\mathsf{Q}} = \Phi_{\mathsf{Q}_1} \setminus \Phi_{\mathsf{Q}_2}$ (changing \ by _M in the case of ALL), and by Definition 5 (4) holds as well. Then by the same reasoning as in the first case, the result (5) holds for the call slice(V, Q₁, A).
- Code 4, Line 7: Then $A \equiv \mathsf{missing}(\mathsf{t})$, $Q \equiv Q_1$ EXCEPT Q_2 , $\mathsf{t} \in \mathcal{SQL}(Q_2)$, and (2) holds. Then $\Phi_Q = \Phi_{Q_1} \setminus \Phi_{Q_2}$, which means by Definition 5

(6)
$$\mathcal{E}(Q) = \mathcal{E}(Q_1) \setminus \mathcal{E}(Q_2)$$

and by Definition 1,

$$(7) \qquad \mathcal{SQL}(Q) = \parallel \varPhi_{Q} \parallel = \parallel \varPhi_{Q_{1}} \parallel \backslash \parallel \varPhi_{Q_{2}} \parallel = \mathcal{SQL}(Q_{1}) \backslash \mathcal{SQL}(Q_{2})$$

From $t \in \mathcal{SQL}(Q_2)$, we have that $|\mathcal{SQL}(Q)|_t = 0$, which means that the induction hypothesis (2) can be rewwriten as:

(8) If
$$t \notin \mathcal{E}(Q)$$
, then V is incorrect

Now observe that in this case the call to slice is $slice(V,Q_2,wrong(t))$. Therefore we must prove that

(9) If
$$|\mathcal{E}(Q_2)|_t \geq |\mathcal{SQL}(Q_2)|_t$$
, then V is an incorrect view

Assume that $|\mathcal{E}(Q_2)|_t \geq |\mathcal{SQL}(Q_2)|_t$ in (9) holds. This implies in particular that $t \in \mathcal{E}(Q_2)$, which by (6) means that $t \notin \mathcal{E}(Q_2)$, which combined with (8) implies that V is incorrect, and thus (9) holds.

The next lemma indicate how state relates the answers obtained by the SQL system and the intended interpretation \mathcal{I} :

Lemma 4. Let R be a relation, $\mathcal{I}(R)$ its intended answer w.r.t. the current instance, and let \mathcal{P} be the logic program contained in the variable P of Code 1. Then, the following implications hold at any moment of the execution of the algorithm:

```
\begin{array}{lll} \mathcal{P} \vdash \mathrm{state}((\mathrm{all}\ R),\, \mathrm{valid}) & \Rightarrow \mathcal{SQL}(R) = \mathcal{I}(R) \\ \mathcal{P} \vdash \mathrm{state}((\mathrm{all}\ R),\, \mathrm{nonvalid}) & \Rightarrow \mathcal{SQL}(R) \neq \mathcal{I}(R) \\ \mathcal{P} \vdash \mathrm{state}((t \in R),\, \mathrm{valid}) & \Rightarrow t \in \mathcal{I}(R) \\ \mathcal{P} \vdash \mathrm{state}((t \in R),\, \mathrm{nonvalid}) & \Rightarrow t \notin \mathcal{I}(R) \\ \mathcal{P} \vdash \mathrm{state}((R_1 \subseteq R),\, \mathrm{valid}) & \Rightarrow \mathcal{SQL}(R_1) \subseteq \mathcal{I}(R) \\ \mathcal{P} \vdash \mathrm{state}((R_1 \subseteq R),\, \mathrm{nonvalid}) & \Rightarrow \mathcal{SQL}(R_1) \nsubseteq \mathcal{I}(R) \end{array}
```

Proof. Proving $\mathcal{P} \vdash state(E,S)$ implies that there is a fact $state(E,S) \in \mathcal{P}$, because the algorithm only introduces facts for this predicate. And only function processAnswer(E,A) (Code 3) introduces facts of this form in the program (lines 1-5). We distinguish cases depending on the form of the input parameters E, A.

- $-E \equiv (R_1 \subseteq R)$. Then the function has been called after asking the user about the validity of the enquire E, obtaining answer A. This happens in Code 1, line 7, and also in Code 2, line 3. This case corresponds to the question "Is S included in the intended answer for R?", with S = SQL (select * from R_1) = $SQL(R_1)$. If the function processAnswer introduces the fact $state((R_1 \subseteq R), valid)$, this implies that the answer of the user was yes, meaning that $SQL(R_1) \subseteq \mathcal{I}(R)$. The function processAnswer introduces the fact $state((R_1 \subseteq R), nonvalid)$ when the answer of the user is no, meaning that $SQL(R_1) \nsubseteq \mathcal{I}(R)$.
- $-E \equiv (t \in R)$. As in the previous case, A is then the answer provided by the user to the question "Does R include a tuple of the form t?". If the function processAnswer introduces the fact $state((t \in R), valid)$, this implies that the answer of the user was yes, meaning that $t \in \mathcal{I}(R)$. The function processAnswer introduces the fact $state((t \in R), nonvalid)$ when the answer of the user is no, meaning that $t \notin \mathcal{I}(R)$.
- $-E \equiv (all\ R)$. This input parameter corresponds to calls obtained in two different situations:
 - 1. As in the previous cases, when the debugger obtains the user answer to a question, in this case "Is S the intended answer for R?", with S = SQL(select * from R) = SQL(R). Then if processAnswer introduces the fact state((all R), valid) this implies that the answer of the user was yes, meaning that SQL(R) = I(R). Analogously, a fact state((all R), nonvalid) introduced by processAnswer implies that the answer was either no, missing(t) or wrong(t). All these cases mean that $SQL(R) \neq I(R)$ (see Definition 4).
 - 2. In a recursive call produced by *processAnswer*. It is easy to check that only one recursive call can occur, due to the change in the first parameter to (all R) (which avoids further recursive calls). That is, a first call occurs containing the answer provided by the user, and the execution of this call starts a recursive call, which does not call *processAnswer* recursively. The recursive calls are located in three points of Code 3:

• Line 8. Then, the initial call is $processAnswer((s \in R), no)$, which has produced a fact $state((s \in R), nonvalid)$. As explained above this implies

$$s \notin \mathcal{I}(R) \tag{34}$$

Also, the condition of the if statement preceding the recursive call ensures that

$$s \in \mathcal{SQL}(R) \tag{35}$$

The recursive call is $processAnswer((all\ R), wrong(s))$, and the state fact considered is $state((all\ R), nonvalid) \leftarrow true)$. Then we must prove that $SQL(R) \neq I(R)$, and this is straightforward from (34) and (35).

• Line 10. The initial call must be $processAnswer((s \in R), yes)$, and this call has introduced a fact $state((s \in R), valid)$, which means:

$$s \in \mathcal{I}(R) \tag{36}$$

The condition of the if statement indicates that

$$s \notin \mathcal{SQL}(R) \tag{37}$$

The recursive call is $processAnswer((all\ R), missing(s))$, and the fact we are analyzing is hence $state((all\ R), nonvalid) \leftarrow true)$ or simply $state((all\ R), nonvalid))$. Then the expected result $\mathcal{SQL}(R) \neq \mathcal{I}(R)$ follows from (36) and (37).

• Line 13. The first call must be $processAnswer((V \subseteq R), wrong(s))$. This is one of the cases already analyzed, where wrong(s) is the answer provided by the user for the enquiry $(V \subseteq R)$. Now, observe that this enquiry must correspond to the election of an atom $state((V \subseteq R), \ldots)$ already occurring in the program. Such atoms are introduced in line 8 of Code 6. The view V has been created by function relevantTuples (Code 7), and by Lemma 1:

$$SQL(V) \subseteq SQL(R)$$
 (38)

This first call $processAnswer((V \subseteq R), wrong(s))$ has introduced a fact $state((V \subseteq R), nonvalid)$, and we have already prove that this implies:

$$SQL(V) \nsubseteq I(R)$$
 (39)

which, combined with 38 means:

$$SQL(R) \nsubseteq I(R)$$
 (40)

The recursive call is $processAnswer((all\ R),\ wrong(s))$, which introduces the fact $state((all\ R),nonvalid))$. We must prove that $\mathcal{SQL}(R) \neq \mathcal{I}(R)$, which is a direct consequence of (40).

Next we study the completeness of the technique.

Theorem 2. Completeness.

Let R be a relation, and A the answer obtained after the call to askOracle(all R) in line 1 of Code 1. If A is of the form nonvalid, wrong(t) or missing(t), then the call debug(R) (defined in Code 1) returns a list L containing at least one relation.

Proof. The while loop in Code 1 stops when an atom buggy(R') can be inferred from the program in variable P. When this happens, the return in line 9 collects all the buggy atoms, and thus the result contains at least R').

In order to complete the proof we must check that the while loop always terminates. The result is a consequence of the following auxiliary result:

"Given a call debug(R) there is constant k such that the program P always have less than k clauses"

The reason is that this means that:

- The program P is finite, there is a maximum number of clauses buggy that can be introduced. And a finite, non-recursive, ground logic program is always terminating for any goal. This means that the goal buggy(R) in get-Buggy(P) is terminating.
- The initial set of clauses represents the computation tree introduced in [4]. In particular a node for a relation R in the tree is buggy if and only if it corresponding buggy clause can be satisfied in P. The first user answer means that the root of the tree is nonvalid, and due to the general completeness result this means that the computation tree has a buggy node. Since P is finite, its number of enquiries is also finite, and therefore after some questions to the user the buggy clause corresponding to the buggy node will be found. Observe that the new clauses added during the process are shortcuts for finding the error with less questions, but that the original set of clauses is kept during the process.

Lemma 5. Given a call debug(R), there is a constant k such that the program P in Code 1 always have less than k clauses.

Proof. Originally the number of clauses with head buggy is the number of nodes in the dependency tree. During the execution of the algorithm new facts for predicate state are added, and also new clauses with head buggy are included. The maximum number of possible state facts correspond to the number of state atoms in the body of clauses for buggy. Therefore it is enough to prove that there is a maximum number k' of clauses for buggy.

Now, observe that the new clauses are introduced by functions missingBasic and wrongBasic. These functions are called by slice. The maximum number of calls correspond to the number of basic query components in the set of relations.

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Moreover, it can be checked that both missingBasic and wrongBasic can generate a new clause for each relation in the from section of the input query Q.

Therefore:

- Let n be the number of nodes in the dependency tree rooted by R. Notice that n is finite number, because views cannot be mutually recursive and we are assuming a finite database schema.
- Let q be the total number of basic components occurring in the queries of views that appear in the dependency tree of R.
- Let p be the maximum number of relations occurring in the from clause of any basic components mentioned in the previous items.

Then we can take k' as $n+(q\times p)$, that is the original number of buggy clauses plus the number of clauses generated by the basic components. It is worth observing that either missingBasic or wrongBasic might generate a clause for a given relation in the from section of a basic component, but never both of them.

Finally, if we consider m the maximum number of relations defining any view in the dependency tree, we can take $k = k' + m \times k'$, that is a maximum of k' clauses for buggy plus a maximum of $m \times k'$ facts for state.

Thus, the algorithm always stops pointing to some user view (completeness) which is incorrectly defined (correctness).

5 Implementation

The algorithm presented in Section 3 has been implemented in the Datalog Educational System (DES [12, 13]), which makes it possible for Datalog and SQL to coexist as query languages for the same database. The debugger is started when the user detects that *Anna* is not among the (large) list of student names produced by view *awards*. The command /debug_sql starts the session:

```
1: DES-SQL> /debug_sql awards
                                      1 - awards('Carla'), ... }
2: Info: Debugging view 'awards': {
3: Is this the expected answer for view 'awards'? m'Anna'
4: Does the intended answer for 'intensive' include
                                                      ('Anna')
5: Does the intended answer for 'standard'
                                            include
                                                      ('Anna',1,true) ? y
6: Does the intended answer for 'standard'
                                            include
                                                      ('Anna',2,true) ? y
7: Does the intended answer for 'standard'
                                            include
                                                     ('Anna',3,false)? y
8: Info: Buggy relation found: intensive
```

The user answer m'Anna' in line 3 indicates that ('Anna') is missing in the view awards. In line 4 the user indicates that view intensive should not include ('Anna'). In lines 5, 6, and 7, the debugger asks three simple questions involving the view standard. After checking the information for Anna, the user indicates that the listed tuples are correct. Then, the tool points out intensive as the buggy view, after only five simple questions. Observe that intermediate views can contain hundreds of thousands of tuples, but the slicing mechanism helps

to focus only on the source of the error. Next, we describe briefly how these questions have been produced by the debugger.

After the user indicates that ('Anna') is missing, the debugger executes a call processAnswer(all(awards), missing((Anna))). This implies a call to $slice(awards, Q_1 \text{ except } Q_2, missing(('Anna')))$ (line 16 of Code 3). The debugger checks that Q_2 produces ('Anna') (line 7 of Code 4), and proceeds with the recursive call $slice(awards, Q_2, wrong(('Anna')))$ with $Q_2 \equiv \text{select student from intensive}$. Query Q_2 is basic, and then the debugger calls $wrongBasic(awards, Q_2, ('Anna'))$ (line 10 of Code 4)). Function wrongBasic creates a view that selects only those tuples from intensive producing the wrong tuple ('Anna') (function relevantTuples in Code 7):

```
create view intensive_slice(student) as
(select * from intensive)
intersect all
(select * from intensive I where I.student = 'Anna');
```

Finally the following buggy clause is added to the program P (line 8, Code 6):

```
buggy(awards) :- state(subset(intensive slice,intensive),valid).
```

By enabling development listings with the command /development on, the logic program is also listed during debugging. The debugger chooses the only body atom in this clause as next unsolved enquiry, because it only contains one tuple. The call to askOracle returns wrong(('Anna')) (the user answers 'no' in line 4). Then $processAnswer(subset(intensive_slice,intensive), wrong(('Anna')))$ is called, which in turn calls to processAnswer(all(intensive),wrong(('Anna'))) recursively. Next call is slice(intensive, Q, wrong(('Anna'))), with $Q \equiv Q_3$ union Q_4 the query definition of intensive (see Figure 1). The debugger checks that only Q_4 produces ('Anna') and calls to $slice(intensive, Q_4, wrong(('Anna')))$. Query Q_4 is basic, which implies a call to $wrongBasic(intensive, Q_4, ('Anna'))$. Then relevantTuples is called three times, one for each occurrence of the view standard in the from section of Q_4 , creating new views:

is added to P (line 8, Code 6). Next, the tool selects the unsolved question with less complexity that correspond to the questions of lines 5, 6, and 7, for which

the user answer yes. Therefore, the clause for buggy(intensive) succeeds and the algorithm finishes.

The current implementation of our proposal, including instructions about how to use it, can be downloaded from

https://gpd.sip.ucm.es/trac/gpd/wiki/GpdSystems/DesSQL.

6 Conclusions

We have presented a new technique for debugging systems of SQL views. Our proposal refines the initial idea presented in [4] by taking into account information about wrong and missing answers provided by the user. Using a technique similar to dynamic slicing [1], we concentrate only in those tuples produced by the intermediate relations that are relevant for the error. This minimizes the main problem of the technique presented in [4], which was the huge number of tuples that the user must consider in order to determine the validity of the result produced by a relation. The algorithm proposed looks for particular but common error sources, like tuples missed in the from section or in and conditions (that is, intersect components in our representation). If such shortcuts are not available, or if the user only answers yes and no, then the tools works as a pure declarative debugger.

A more general contribution of the paper is the idea of representing a declarative debugging computation tree by means of a set of logic clauses. In fact, the algorithm in Code 1 can be considered a general debugging schema, because it is independent of the underlying programming paradigm. The main advantage of this representation is that it allows combining declarative debugging with other diagnosis techniques that can be also represented as logic programs. In our case, declarative debugging and slicing cooperate for locating an erroneous relation. It would be interesting to research the combination with other techniques such as the use of assertions.

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