

New Customer Application

Account Name and Business Address:

Beneficial Owners (Individual (s) who holds 10% or more equity ownership, must also provide a copy of a government issued photo ID document, such as a passport or Driver's License):

Description of Customer Business (e.g., refiner, aggregator, recycler, manufacturer):

Description of Customer's Transactions with ACC or Affiliate Company (e.g., refining services, purchase of precious metals products):

For Refining Services:

Do you source GOLD from any countries outside of the United States? If so, please list below:

Do you have a responsible sourcing policy, or anti-money laundering policy? _____

If so, please submit with this application.

If not, what due diligence do you perform on your GOLD suppliers? (e.g., obtain KYC documentation, verify their supply chain, check supplier against any sanctions lists)

What form of GOLD do you receive from your suppliers?



For Purchases of Precious Metal Products:

Are you purchasing for internal use (please answer YES, NO, or percentage of internal use):

Please list purpose of your internal Precious Metal Product usage:

Are you purchasing for resale (please answer YES, NO, or percentage of resale):

Please list countries of Precious Metal Product final use:

Customer Government Identification Number (e.g., Employer Identification Number, Taxpayer Identification Number, Canadian Revenue Agency Number):

Customer Form of Business Entity:

_____ Corporation – Complete Section A on the following page

_____ Partnership – Complete Section B on the following page

_____ Individual Proprietorship

_____ Other: _____

Wire Transfer Instructions for Settlement (if applicable):

Name of Bank: _____

Name on Bank Account: _____

Routing Number: _____

Bank Account Number: _____



Section A: Please complete the following section if the customer is a Corporation

Jurisdiction of Incorporation: _____

Location of Government Records - Office Name:

Address (street or P.O. Box):

Telephone: (____) _____ - _____

Website: _____

Section B: Please complete the following section if the customer is a Partnership/Sole Proprietor/LLC:

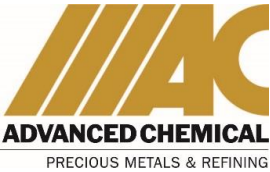
Identity of all Partners (or other person with full liability) -A copy of a government issued photo ID document, such as a passport or U.S. State Driver's License must be included:

Name(s):

Address(s):

Telephone(s): (____) _____ - _____
(____) _____ - _____
(____) _____ - _____

PLEASE submit a copy of your Articles of Incorporation or Organization with this application.



Pick-Up Location (if different from business address):

Loading Dock / Shipping Hours of Operation:

EPA ID#:

Would you like customer samples taken and sent to you for your initial shipment (circle yes or no)?

Yes No

Please select how you would like to receive your assay results for your initial shipment (circle one):

Report Exchange

Primary Contact

Name:
Phone:
Email:

Person(s) Authorized to make Account Changes:

If there are any additional contacts to be included on correspondence (i.e. acknowledgment letters, assay reports or refining settlements), please indicate their name and contact information here:



Certification of Customer AML Regulatory Status
Regulated or Non-Regulated Dealer in Precious Metals

The undersigned certifies that _____ is:

Name of Customer

(Please select one)

_____ **A.** Has a written anti-money laundering program in compliance with the requirements of the U.S.A Patriot Act (31 CFR 103.140) to develop and implement an anti-money laundering program for dealers in precious metals and the Bank Secrecy Act. **If yes, please provide copy.**

_____ **B.** Is not a “dealer in precious metals, stones and jewels as defined by the U.S.A. Patriot Act” (31 CFR 103.140) to develop and implement an anti-money laundering program for dealers in precious metals.

_____ **C.** Is exempt from compliance therewith for the following reasons:

_____ **Retailer Exemption:** Retailers that purchase only from other dealers who follow a U.S. anti-money laundering program are exempt from compliance. Additionally, retailers that purchase less than \$50,000 of covered good from non-U.S. dealers and members of the general public are exempt from compliance.

_____ **Pawnbroker Exemption:** Business properly licensed and registered a pawnbroker under state or municipal law are specifically exempt from the Interim Final Rule’s definition of “dealer” and are not required to comply.

Furthermore, Customer by signing this acknowledgement, represents and warrants that: **(a)** none of the material it provides to Advanced Chemical Company will originate from, relate to, further, or be involved of derived in any way from any type of activity that is criminal, illegal, illicit, or otherwise prohibited; **(b)** the country of origin for all materials shipped to Advanced Chemical Company is not from a conflict area; **(c)** customer has complied with all applicable holding and reporting requirements relating to the material; and **(d)** that all information provided is true, accurate and certifies that the indicated business either has in place a written anti-money laundering program or is exempt from this requirement.

Date: _____ Printed Name of Authorized Representative: _____

Signature of Authorized Representative: _____

Advanced Chemical Company, 105 Bellows Street, Warwick RI 02888

800-553-3568



Advanced Chemical Company - Responsible Sourcing Policy

Advanced Chemical Company is a conformant smelter registered with the Responsible Business Alliance, and conforms to the requirements set forth by the Responsible Minerals Initiative's (RMI's) Responsible Minerals Assurance Process (RMAP) Standard, that aligns with the Organization for Economic Co-operation and Development's (OECD) Due Diligence Guidance for Responsible Supply Chains on Minerals from Conflict-Affected and High-Risk Areas.

Advanced Chemical Company respect the dignity and importance of human rights and consider any mineral that may pose risks of contributing the harm listed in Annex II of OECD Guidelines to be 'conflict minerals' as well as risks related to money laundering and the financing of terrorism. We will only purchase gold-containing materials from sources that have been verified as not involving or contributing to illegal armed groups, human rights violations, or financial wrongdoings as defined in Annex II of the OECD Guidance and listed here:

- Serious abuses associated with the extraction, transport or trade of minerals:
- Any forms of torture, cruel, inhuman and degrading treatment;
 - Any forms of forced or compulsory labor;
 - The worst forms of child labor;
 - Other gross human rights violations and abuses such as widespread sexual violence;
 - War crimes or other serious violations of international humanitarian law, crimes against humanity or genocide.
- Direct or indirect support to non-state armed groups.
- Direct or indirect support to public or private security forces.
- Bribery and fraudulent misrepresentation of the origin of minerals.
 - Money laundering.
 - Non-payment of taxes, fees and royalties to governments.

In support of the above, all of our relevant staff members are directed to follow and trained on procedures to implement a conflict minerals due diligence system that aims to:

- Exercise due diligence with relevant suppliers consistent with the OECD Guidance and the Responsible Business Alliance's Responsible Minerals Assurance Process (RMAP) and encourage our suppliers to do the same.
- Provide, and expect our suppliers to cooperate in providing, due diligence information to confirm gold in our supply chain does not contribute to illegal armed groups, human rights violations, or financial wrongdoings as defined in Annex II of OECD Guidance, as well as an Anti-Money Laundering and Countering Financing Terrorism Policy
- Aim to establish long-term relationships with our immediate suppliers.
- Consider ways to support and build capacities of gold supplying counterparties to improve performance and conform to this supply chain policy.
- Suspend or discontinue engagement with upstream suppliers after failed attempts at mitigation.
- Immediately discontinue engagement with suppliers who pose a reasonable risk to be causing severe human rights abuses.
- Undergo an annual RMAP assessment to verify our conflict-free status as well as identify opportunities to continually improve our conflict minerals due diligence management system.
- Commit to transparency in the implementation of this policy by making available reports on our progress to our customers, relevant stakeholders and the public (as required).

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- Support the implementation of the principles and criteria of the Extractive Industry Transparency Initiative (EITI).

Currently, gold is the only mineral on the list of “Conflict Metals” that is related to our products, chemistries, or services.

Should any person or party, either internal or external to our organization, have concerns regarding our sourcing practices or our handling of gold, may initiate a grievance with the Responsible Business Alliance by way of visiting their grievance portal at www.responsiblemineralsinitiative.org.

For additional information regarding our Responsible Minerals Initiative program or our company, please visit www.advchem.com, or email info@advchem.com.

Younes Lattenist

Chief Financial Officer