## Planning, Learning and Decision Making

## Homework 5. Reinforcement learning

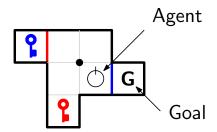


Figure 1: Grid world where an agent must reach the cell marked with "G".

Consider once again the maze world from Lab 2, where an agent moves in the grid-world environment of Fig. 1. The agent must reach the goal cell, marked with " $\mathbf{G}$ ".

At each step, the agent may move in any of the four directions—up, down, left and right. Movement across a grey cell division succeeds with a 0.8 probability and fails with a 0.2 probability. Movements across colored cell divisions (blue or red) succeed with a 0.8 probability only if the agent has the corresponding colored key. Otherwise, they fail with probability 1. When the movement fails, the agent remains in the same cell.

To get a colored key, the agent simply needs to stand in the corresponding cell. In other words, as soon as the agent stands on the cell of a colored key, you may consider that it holds that key thereafter.

This problem can be modeled as a Markov decision problem where, numbering the cells from 1 to 7, starting from left to right and from top to bottom, we have the state space

$$\mathcal{X} = \{(1, b, r), (2, \bar{b}, \bar{r}), (2, \bar{b}, r), (2, b, r), (3, \bar{b}, \bar{r}), (3, \bar{b}, r), (3, b, r), (4, \bar{b}, \bar{r}), (4, \bar{b}, r), (4, b, r), (5, \bar{b}, \bar{r}), (5, \bar{b}, r), (5, b, r), (6, b, r), (7, \bar{b}, r), (7, b, r)\},\$$

where we assume that the agent can never get to the blue key without the red key and can never get to the goal without both keys, and the action space

$$\mathcal{A} = \{u, d, l, r\}.$$

Suppose that the agent is allowed to move around the environment for T time steps, experimenting the different actions and visiting the different states. A excerpt of its trajectory is

$$x_{t-1} = (4, \bar{b}, r),$$

$$a_{t-1} = r,$$

$$c_{t-1} = 1.0,$$

$$x_t = (5, \bar{b}, r),$$

$$a_t = u,$$

$$c_t = 1.0,$$

$$x_{t+1} = (5, \bar{b}, r),$$

$$a_{t+1} = r,$$

$$c_{t+1} = 1.0,$$

At time step t, the Q-values estimated by the for state  $(4, \bar{b}, r)$  are:

$$\mathbf{Q}_{(4,\bar{b},r),:}^{(t)} = \begin{bmatrix} 3.23 & 3.34 & 3.25 & 3.22 \end{bmatrix}$$

and for state  $(5, \bar{b}, r)$ ,

$$\mathbf{Q}_{(5,\bar{b},r),:}^{(t)} = \begin{bmatrix} 3.08 & 3.25 & 3.57 & 3.22 \end{bmatrix}.$$

## Exercise 1.

- (a) Indicate the Q-values after a Q-learning update with step-size  $\alpha = 0.1$ , resulting from the transition at time step t. Use  $\gamma = 0.99$ .
- (b) Indicate the Q-values after a SARSA update with step-size  $\alpha = 0.1$ , resulting from the transition at time step t.
- (c) Explain the difference between on-policy and off-policy learning using Questions 1a and 1b to illustrate your explanation.