



TDA Form # KTP OLA 186



## Joint tenants WROS (with rights of survivorship) application

Account number  
270691501

Date  
07/09/2020

### Follow the steps below

- Review your application for accuracy
- Mark any necessary changes on the form
- Sign the application
- Include the supporting documents listed below
- Return the signed application and documents to us

### Mail or fax all required paperwork to:

Fax:  
1-866-468-6268

Regular mail:  
TD Ameritrade, Inc.  
PO Box 2760  
Omaha, NE 68103-2760

Overnight mail:  
TD Ameritrade, Inc.  
200 South 108th Ave.  
Omaha, NE 68154-2631

### Include these supporting documents

For joint accounts, please submit the same supporting documents for all account owners.

- A copy of your current passport. If you do not have a passport, send a copy of a current, government-issued photo ID, along with a letter stating that you do not have a passport.
- A copy of your bank (or financial institution) statement, written in English characters (Please make sure the name and address on your statement match the name and address on your new TD Ameritrade account.)
- A copy of your proof of residence: a gas, water, or electricity bill, or a household register, written in English characters or a certified English translation. (Spanish is also acceptable.) We cannot accept a credit card statement, or a phone, TV, or satellite provider bill as proof of residence.
- IRS Form W-8BEN
- Trusted contact form (optional)



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270691501Date  
07/09/2020Do you already have an account with us?  
No

### About the owners

Is the co-owner your spouse?  
YesWill three or more people be co-owners on this account?  
NoDoes either one of you live in Louisiana?  
No

### Rafael's contact information

Name  
Mr Rafael SombrioEmail you use regularly  
rafael.sombrio@outlook.com

### Rafael's personal information

Home address  
Rua Oscar Rosas 349  
Apt 103  
Joinville, Santa Catarina 89220-320  
BrazilDaytime phone number  
+5547999016021Date of birth  
02/18/1983Are you a U.S. citizen?  
NoDo you have a green card?  
NoCountry of birth  
BrazilCountry of citizenship  
BrazilType of visa  
NONEHow do you plan to use this account  
Invest in and trade stocks, options, ETFs,  
bonds, and/or mutual funds.☒ I have read the TD Ameritrade Privacy Statement.

### Rafael's financial information

Employment status  
EmployedOccupation  
EngineerIndustry of occupation  
AutomotiveBusiness/employer name  
SchulzBusiness/employer address  
Rua Dona Francisca 6901  
Joinville, Distrito Industrial  
BrazilAnnual income  
\$0-\$24,999Net worth  
\$15,000-\$49,999Liquid net worth  
\$15,000-\$49,999Source of funds for initial deposit  
Employment wagesSource of funds for ongoing deposits  
Employment wagesAffiliations  
Are you, or is your spouse, or is any member of your immediate family living in the same household licensed by, employed by, or associated with a broker-dealer firm a financial services regulator, a securities exchange, or a member of a securities exchange?  
NoAffiliations  
Are you, or is your spouse, or is any member of your immediate family a director, a 10% shareholder, or a policy-making officer of a publicly traded company?  
NoSweep of uninvested cash  
FDIC-insured deposit account(s)



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Saionara’s contact information

Name  
Mrs Saionara Sombrio

Saionara’s personal information

Do you live at a different address from Rafael?  
No

Daytime phone number  
+5547991430867

Date of birth  
02/03/1986

Are you a U.S. citizen?  
No

Do you have a green card?  
No

Country of birth  
Brazil

Country of citizenship  
Brazil

Type of visa  
NONE

Saionara’s financial information

Employment status  
Unemployed

Annual income  
\$0-\$24,999

Net worth  
\$0-\$14,999

Liquid net worth  
\$0-\$14,999

Source of funds for initial deposit  
Savings

Source of funds for ongoing deposits  
Employment wages

Affiliations  
Are you, or is your spouse, or is any member of your immediate family living in the same household licensed by, employed by, or associated with a broker-dealer firm a financial services regulator, a securities exchange, or a member of a securities exchange?  
No

Affiliations  
Are you, or is your spouse, or is any member of your immediate family a director, a 10% shareholder, or a policy-making officer of a publicly traded company?  
No



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### Electronic delivery of documents

- Client Relationship Summary
- Client Agreement
- Account Handbook
- Business Continuity Plan Statement
- TD Ameritrade Privacy Statement

You'll receive these documents in an email after your account is opened. They're also available for you after you log in to your account at Client Services > Forms & Agreements.

☐ We accept electronic delivery of these documents and do not need them mailed to us.



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### Client agreement

Before we can open your account, you must read and electronically sign the TD Ameritrade Client Agreement. If you do not agree with the Client Agreement or find any part of it unacceptable, you shouldn't open your account at this time.

By signing below, I represent that:

- I am the person identified in this account
- I am accepting and agreeing to abide by all of the Client Agreement
- I am electronically signing these documents which will have the same effect as the execution of these documents by a written signature
- I am consenting to the electronic delivery of all information relating to my account, including statements, confirmations, and other regulatory documents. I will receive shareholder information electronically when available

The Client Agreement applicable to this brokerage account contains a predispute arbitration clause. By signing this agreement, the parties agree to be bound by the terms of the Client Agreement, including the arbitration agreement located in Section 12 of the Client Agreement on page 8.

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

I, Mr Rafael Sombrio, agree to the terms of the Client Agreement.

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Mr Rafael Sombrio

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Date

I, Mrs Saionara Sombrio, agree to the terms of the Client Agreement.

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Mrs Saionara Sombrio

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Date

Questions? Call us at 800-454-9272.

For additional information on how to fund your account, go to [www.tdameritrade.com/funding-and-transfers.page](http://www.tdameritrade.com/funding-and-transfers.page).

Date submitted: 2020-07-09 06:31:02 PM CDT