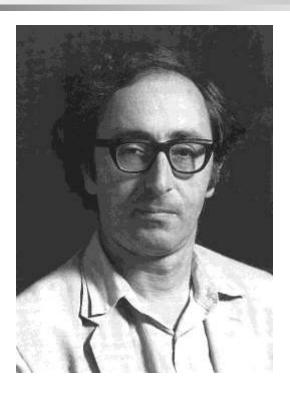
## **Chapter 5**

- 5. Josephson Effect
- **5.1 Josephson Equations** 
  - **5.1.1** SIS Josephson Junction
  - **5.1.2** Ambegaokar-Baratoff relation
- **5.2 Josephson Coupling Energy** 
  - 5.2.1 Josephson Junction with applied current
- **5.3 Applications of the Josephson Effect**

### **5** Josephson Effect



Brian David Josephson (born 1940)

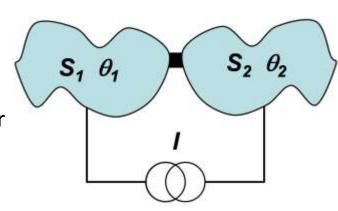
What happens if we weakly couple two superconductors?

- what happens if we weakly couple two superconductors?
  - coupling by tunneling barriers, point contacts, normal conducting layers, etc.
  - do they form a bound state such as a molecule?
  - if yes, what is the binding energy?
- B.D. Josephson in 1962 (nobel prize with Esaki and Giaever in 1973)
  - → Cooper pairs can tunnel through thin insulating barrier naive expectation:
    - tunneling probability for pairs  $\propto (|T|^2)^2$ 
      - $\rightarrow$  extremely small  $\approx (10^{-4})^2$

#### Josephson:

- tunneling probability for pairs  $\propto |T|^2$
- coherent tunneling of pairs ("tunneling of macroscopic wave function")
- → finite supercurrent at zero applied voltage
- → oscillation of supercurrent at constant applied voltage

→ finite binding energy of coupled SCs = Josephson coupling energy



Josephson effects

- coupling is weak  $\rightarrow$  supercurrent density is small  $\rightarrow |\Psi|^2 = n_s$  is not changed
- supercurrent density depends on gauge invariant phase gradient  $\gamma$ :

$$J_s(\mathbf{r},t) = \frac{q_s n_s \hbar}{m_s} \left[ \boldsymbol{\nabla} \theta(\mathbf{r},t) - \frac{2\pi}{\Phi_0} \mathbf{A}(\mathbf{r},t) \right] = \frac{q_s n_s \hbar}{m_s} \, \boldsymbol{\gamma}(\mathbf{r},t)$$

#### • simplifying assumptions:

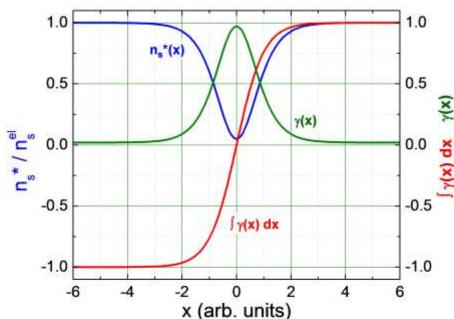
- current density is homogeneous
- $\gamma$  varies negligibly in electrodes
- $J_s$  same in electrodes and junction area
  - $\rightarrow \gamma$  in superconducting electrodes much smaller than in insulator I

#### • then:

- replace gauge invariant phase gradient  $\gamma$  by **gauge invariant phase difference**:

$$\varphi(\mathbf{r},t) = \int_{1}^{2} \gamma(\mathbf{r},t) = \int_{1}^{2} \left( \nabla \theta - \frac{2\pi}{\Phi_{0}} \mathbf{A} \right) \cdot d\mathbf{I}$$

$$= \theta_{2}(\mathbf{r},t) - \theta_{1}(\mathbf{r},t) - \frac{2\pi}{\Phi_{0}} \int_{1}^{2} \mathbf{A}(\mathbf{r},t) \cdot d\mathbf{I}$$



### first Josephson equation:

• we expect:

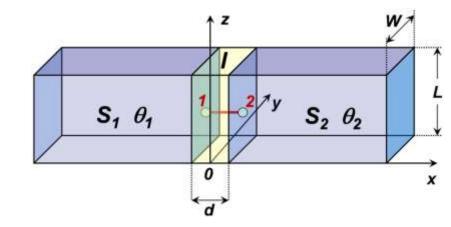
$$J_s = J_s(arphi)$$
  
 $J_s(arphi) = J_s(arphi + n2\pi)$ 

• for  $J_s=0$ : phase difference  $\varphi$  must be zero:

$$J_s(0)=J_s(n\cdot 2\pi)=0$$

therefore:

$$J_s(arphi) = J_c \sin arphi \ + \ \sum_{m=2}^{\infty} J_m \sin(m arphi)$$



J<sub>c</sub>: critical/maximum
Josephson current density

general formulation of 1st Josephson equation: current-phase relation

- in most cases: keep only 1st term (especially for weak coupling):
  - 1. Josephson equation:  $J_s(\varphi) = J_c \sin \varphi$
- generalization to spatially inhomogeneous supercurrent density:

$$J_s(y,z,t) = J_c(y,z) \sin \varphi(y,z,t)$$

derived by Josephson for SIS junctions

supercurrent density varies sinusoidally with  $\varphi=\theta_2-\theta_1$  w/o ext. potentials

other argument why there are only sin contributions to Josephson current

$$J_s(\varphi) = J_c \sin \varphi + \sum_{m=2}^{\infty} J_m \sin(m\varphi)$$



- if we reverse time, the Josephson current should flow in opposite direction
  - $t \rightarrow -t$ ,  $J_s \rightarrow -J_s$
- the time evolution of the macroscopic wave functions is  $\propto \exp[i\theta(t)] = \exp[i\omega t]$ 
  - if we reverse time, we have

$$\varphi(t) = \theta_2(t) - \theta_1(t) \xrightarrow{t \to -t} \qquad \varphi(-t) = \theta_2(-t) - \theta_1(-t)$$

$$= -[\theta_2(t) - \theta_1(t)]$$

$$= -\varphi(t)$$

if the Josephson effect stays unchanged under time reversal, we have to demand

$$J_s(\varphi) = -J_s(-\varphi)$$
 satisfied only by sin-terms

### second Josephson equation:

• time derivative of the gauge invariant phase difference:  $\varphi(\mathbf{r},t) = \theta_2(\mathbf{r},t) - \theta_1(\mathbf{r},t) - \frac{2\pi}{\Phi_0} \int_1^{\epsilon} \mathbf{A}(\mathbf{r},t) \cdot d\mathbf{I}$ 

$$\frac{\partial \varphi}{\partial t} = \frac{\partial \theta_2}{\partial t} - \frac{\partial \theta_1}{\partial t} - \frac{2\pi}{\Phi_0} \frac{\partial}{\partial t} \int_{1}^{2} \mathbf{A}(\mathbf{r}, t) \cdot d\mathbf{I}$$

• substitution of the energy-phase relation  $-\hbar \frac{\partial \theta}{\partial t} = \frac{1}{2n_s} \wedge \mathbf{J}_s^2 + q_s \phi$  gives:

$$\frac{\partial \varphi}{\partial t} = -\frac{1}{\hbar} \left( \frac{\Lambda}{2n_s} \left[ \mathbf{J}_s^2(2) - \mathbf{J}_s^2(1) \right] + q_s \left[ \phi(2) - \phi(1) \right] \right) - \frac{2\pi}{\Phi_0} \frac{\partial}{\partial t} \int_1^2 \mathbf{A} \cdot d\mathbf{I}$$

• supercurrent density across the junction is *continuous*  $(\mathbf{J}_s(1) = \mathbf{J}_s(2))$ :

$$\frac{\partial \varphi}{\partial t} = \frac{2\pi}{\Phi_0} \int_{1}^{2} \left( -\nabla \phi - \frac{\partial \mathbf{A}}{\partial t} \right) \cdot d\mathbf{I}$$
 (term in parentheses = electric field)

2. Josephson equation: 
$$\frac{\partial \varphi}{\partial t} = \frac{2\pi}{\Phi_0} \int_{1}^{\infty} \mathbf{E}(\mathbf{r}, t) \cdot d\mathbf{l}$$

voltage – phase relation

voltage drop

• for a constant voltage across the junction: 
$$\frac{\partial \varphi}{\partial t} = \frac{2\pi}{\Phi_0}\,V$$
 
$$\varphi(t) = \varphi_0 + \frac{2\pi}{\Phi_0}\,V \cdot t$$

•  $I_s$  is oscillating at the Josephson frequency  $f = V/\Phi_0$ :

$$I_s(t) = I_c \sin \varphi(t)$$
 
$$\frac{f}{V} = \frac{\omega}{2\pi V} = \frac{1}{\Phi_0} \simeq 483.597898(19) \frac{\text{MHz}}{\mu V}$$
$$= I_c \sin \left(\frac{2\pi}{\Phi_0} V \cdot t\right)$$
  $\Rightarrow$  voltage controlled oscillator

- applications: Josephson voltage standard
  - microwave sources
- derivation of Josephson equations for SIS junction from time-dependent Schrödigner equation:
  - > see exercise sheet
  - see appendix G.4 in "Festkörperphysik",
    - 2. Auflage, R. Gross, A. Marx, de Gruyter (2014)

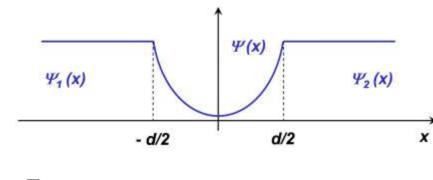
# **5.1.1 Special Topic:**Superconducting Tunnel Junctions

- Josephson effect in superconducting tunnel junctions
  - insulating tunneling barrier, thickness d
- what determines the *maximum Josephson* current density  $J_c$ ?
  - calculation by wave matching method
- energy-phase relation:

$$-\hbar \frac{\partial \theta}{\partial t} = \frac{1}{2n_s} \Lambda \mathbf{J}_s^2 + q_s \mathbf{D} + \mu$$

$$\partial \theta = \mathbf{I} \qquad \partial \theta \qquad F_0$$

 $-\hbar \frac{\partial \theta}{\partial t} = \frac{1}{2n_s} \Lambda \mathbf{J}_s^2 \qquad \qquad \frac{\partial \theta}{\partial t} = -\frac{E_0}{\hbar} \qquad E_0 = \text{kinetic energy}$ 



d/2

 $S_1 \theta_1$ 

- d/2

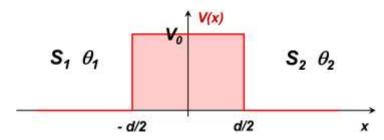
- o time depedent macroscopic wave function:  $\psi({f r},t)=\psi({f r})~{
  m e}^{-\imath(E_0/\hbar)t}$
- wave function **within** barrier with height  $V_0 > E_0$ :

only **elastic** processes:

- → time evolution is the **same** outside and inside barrier
- → consider only **time independent** part
- → time independent Schrödinger(-like) equation for region of constant potential

### **5.1.1 Josephson Effect**

$$-\frac{\hbar^2}{2m^*}\boldsymbol{\nabla}^2\psi(\mathbf{r})=(E_0-V_0)\psi(\mathbf{r})$$



assumption:

homogeneous barrier and supercurrent flow  $\rightarrow$  1D problem

- solutions:
  - in superconductors  $\psi_{1,2} = \sqrt{n_{1,2}} e^{i\theta_{1,2}}$
  - in insulator: sum of decaying and growing exponentials  $\psi(x) = A \cosh(\kappa x) + B \sinh(\kappa x)$
  - characteristic decay constant:  $\kappa = \sqrt{\frac{2m_s(V_0 E_0)}{\hbar^2}}$

barrier properties

• coefficients A and B are determined by the boundary conditions at  $x = \pm d/2$ :

$$\psi(-d/2) = \sqrt{n_1} e^{i\theta_1}$$
  
$$\psi(+d/2) = \sqrt{n_2} e^{i\theta_2}$$

 $n_{1,2}$ ,  $\theta_{1,2}$ : Cooper pair density and wave function phase at the boundaries  $x = \pm d/2$ 



$$\sqrt{n_1} e^{i\theta_1} = A \cosh(\kappa d/2) - B \sinh(\kappa d/2)$$
  
 $\sqrt{n_2} e^{i\theta_2} = A \cosh(\kappa d/2) + B \sinh(\kappa d/2)$ 

### **5.1.1 Josephson Effect**

solving for A and B:

$$A = \frac{\sqrt{n_1} e^{i\theta_1} + \sqrt{n_2} e^{i\theta_2}}{2 \cosh(\kappa d/2)}$$

$$B = -\frac{\sqrt{n_1} e^{i\theta_1} - \sqrt{n_2} e^{i\theta_2}}{2 \sinh(\kappa d/2)}$$

 $\mathbf{J}_s = \frac{q_s}{m_s} \kappa \hbar \Im \{A^* B\}$ 

- supercurrent density:  $\mathbf{J}_s = \frac{q_s}{m_s} \Re \left\{ \psi^* \left( \frac{\hbar}{l} \mathbf{\nabla} \right) \psi \right\} = \frac{\hbar q_s}{m_s} \Im \left\{ \psi^* \mathbf{\nabla} \psi \right\}$
- substituting the coefficients A and B:

$$\mathbf{J}_s = \mathbf{J}_c \, \sin(\theta_2 - \theta_1)$$
 current-phase relation

→ maximum Josephson current density J<sub>c</sub>:

$$\mathbf{J}_{c} = -\frac{q_{s}}{m_{s}} \kappa \hbar \underbrace{\frac{\sqrt{n_{1} n_{2}}}{2 \sinh(\kappa d/2) \cosh(\kappa d/2)}}_{= \sinh(2\kappa d)} = -\frac{q_{s} \hbar \kappa}{m_{s}} \frac{\sqrt{n_{1} n_{2}}}{\sinh(2\kappa d)}$$

real junctions:

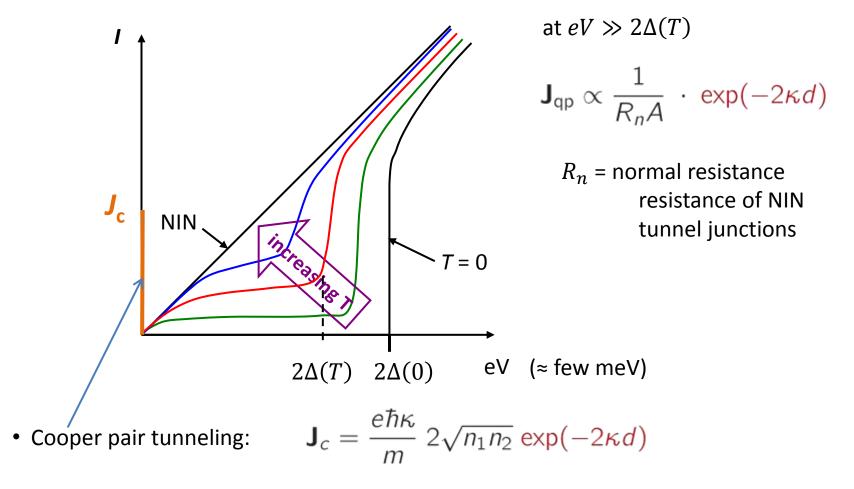
$$V_0 \approx \text{few eV} \Rightarrow 1/\kappa < 1 \text{ nm}, d \text{ few nm} \Rightarrow \kappa d \ll 1, \text{ then:} \quad \sinh(2\kappa d) \simeq \frac{1}{2} \exp(2\kappa d)$$

• maximum Josephson current **decays exponentially** with increasing barrier thickness d:

$$\mathbf{J}_c = -\frac{q_s \hbar \kappa}{m_s} \ 2\sqrt{n_1 n_2} \ \exp(-2\kappa d)$$

### 5.1.2 Ambegaokar-Baratoff Relation

- quasiparticle tunneling:
  - current-voltage characteristics



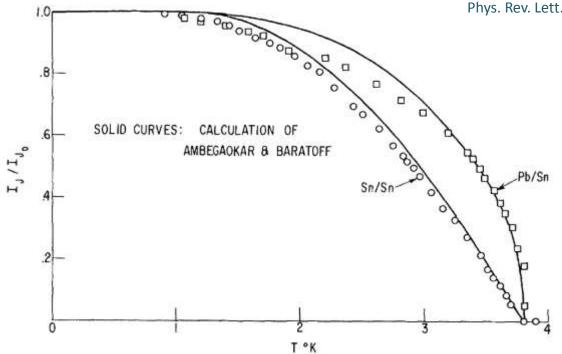
• V>0: time average of supercurrent vanishes:  $\langle J_c \sin \frac{2eV}{\hbar} t \rangle = 0$ 

### 5.1.2 Ambegaokar-Baratoff Relation

- ratio of  $J_c$  and  $J_{qp}(eV\gg 2\Delta)=const$   $\rightarrow$   $J_cR_nA=I_cR_nA=I_cR_n=const$
- exact calculation yields Ambegaokar-Baratoff relation:

$$I_c R_n = \frac{\pi}{2e} \Delta(T) \cdot \tanh\left(\frac{\Delta(T)}{2k_B T}\right)$$

V. Ambegaokar, A. Baratoff, *Tunneling Between Superconductors*, Phys. Rev. Lett. **10**, 486-489 (1963).



M.D. Fiske, Rev. Mod. Phys. <u>36</u>, 221–222 Temperature and Magnetic Field Dependences of the Josephson Tunneling Current

### 5.1 Summary

#### Macroscopic wave function $\Psi$ :

describes ensemble of macroscopic number of superconducting pairs  $|\Psi|^2$  describes density of superconducting pairs

Current density in a superconductor:

$$\mathbf{J}_{s} = \frac{\hbar n_{s} q_{s}}{m_{s}} \left\{ \boldsymbol{\nabla} \theta(\mathbf{r}, t) - \frac{q_{s}}{\hbar} \mathbf{A}(\mathbf{r}, t) \right\} = \frac{\hbar n_{s} q_{s}}{m_{s}} \left\{ \boldsymbol{\nabla} \theta(\mathbf{r}, t) - \frac{2\pi}{\Phi_{0}} \mathbf{A}(\mathbf{r}, t) \right\}$$

Gauge invariant phase gradient:

$$\gamma(\mathbf{r}, t) = \nabla \theta(\mathbf{r}, t) - \frac{q_s}{\hbar} \mathbf{A}(\mathbf{r}, t) = \nabla \theta(\mathbf{r}, t) - \frac{2\pi}{\Phi_0} \mathbf{A}(\mathbf{r}, t)$$

Phenomenological London equations:

$$\frac{\partial}{\partial t}(\Lambda \mathbf{J}_s) = \mathbf{E}$$
  $\nabla \times (\Lambda \mathbf{J}_s) = -\mathbf{B}$   $(\Lambda = m_s/n_s q_s^2 = \mu_0 \lambda_L^2)$ 

flux/fluxoid quantization: 
$$\oint_C (\Lambda \mathbf{J}_s) \cdot d\mathbf{I} + \int_S \mathbf{B} \cdot d\mathbf{s} = n \, \Phi_0$$

### 5.1 Summary

Josephson equations:

$$\mathbf{J}_{s}(\mathbf{r},t) = \mathbf{J}_{c}(\mathbf{r},t) \sin \varphi(\mathbf{r},t)$$

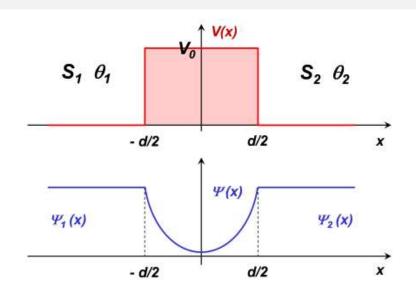
$$\frac{\partial \varphi}{\partial t} = \frac{2eV}{\hbar} = \frac{2\pi}{\Phi_0} V$$

$$(\omega/2\pi=483.6\,\mathrm{GHz/mV})$$

maximum Josephson current density  $J_c$ : wave matching method

$$\mathbf{J}_s = \mathbf{J}_c \sin(\theta_2 - \theta_1)$$

$$\mathbf{J}_c = -\frac{q_s \hbar \kappa}{m_c} \, 2\sqrt{n_1 n_2} \, \exp(-2\kappa d)$$



tunneling current of unpaired electrons (quasiparticles, cf. chapter 4.4.2):

$$\mathbf{J}_q = f(V) \cdot \exp(-2\kappa d)$$

### **5.2** Josephson Coupling Energy

- the two weakly coupled superconductors form "molecule" analogous to H₂ molecule
   → what is the binding energy of this molecule ?
- consider a JJ with initial current & phase difference equal to zero then: *increase junction current from zero to finite value* 
  - phase difference has to change
  - voltage-phase relation: finite junction voltage
  - external source has to supply energy (to accelerate the superelectrons)
  - stored in kinetic energy of moving superelectrons
  - integral of the supplied power  $I \cdot V$  to increase current to  $I(\varphi) = I_c \sin \varphi$  (voltage during increase of current):

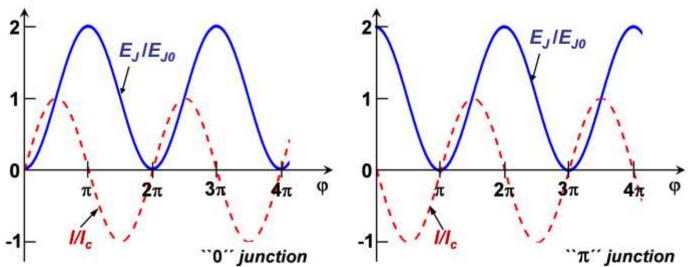
$$E_J = \int_0^{t_0} I_s V \ dt = \int_0^{t_0} (I_c \sin \widetilde{\varphi}) \left( \frac{\Phi_0}{2\pi} \frac{d\widetilde{\varphi}}{dt} \right) \ dt$$

### **5.2** Josephson Coupling Energy

with 
$$\varphi(0) = 0$$
 and  $\varphi(t_0) = \varphi$ :  $E_J = \frac{\Phi_0 I_c}{2\pi} \int_0^{\varphi} \sin \widetilde{\varphi} \ d\widetilde{\varphi}$ 

integration:  $E_J = \frac{\Phi_0 I_c}{2\pi} (1 - \cos \varphi) = E_{J0} (1 - \cos \varphi)$ 

Josephson coupling energy



#### • order of magnitude:

- typically:  $I_c \sim 1 \text{ mA} \implies E_{I0} \simeq 3 \times 10^{-19} \text{ J}$
- corresponds to thermal energy  $k_BT$  for  $T\simeq 20~000~{\rm K}$
- junction with very small critical current:  $I_c \simeq 1~\mu A~\Rightarrow {
  m thermal~energy} \simeq k_B imes 20~{
  m K}$

### **5.2.1** Josephson Junction with Applied Current

• analysis of **stability** of (junction + current source) – system: **potential energy**  $E_{pot}$  of the system under action of external force:  $E_I - F \cdot x$ 

 $E_I$ : intrinsic free energy of the subsystem junction

F: generalized force (F = I)

x: generalized coordinate  $\Rightarrow F \cdot \partial x/\partial t = \text{power flowing into subsystem } (I \cdot V)$ :

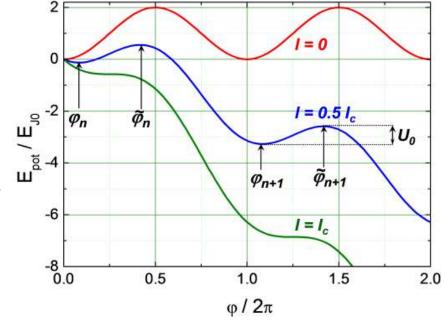
$$x = \int V dt = \frac{\hbar}{2e} \varphi + c = \frac{\Phi_0}{2\pi} \varphi + c$$

→ potential energy:

$$E_{pot}(\varphi) = E_{J}(\varphi) - I\left(\frac{\Phi_{0}}{2\pi}\varphi + c\right)$$
$$= E_{J0}\left[1 - \cos\varphi - \frac{I}{I_{c}}\varphi\right] + \widetilde{c}$$

#### tilted washboard potential

stable minima  $\varphi_n$ , unstable maxima  $\widetilde{\varphi}_n$ , states for different n: equivalent



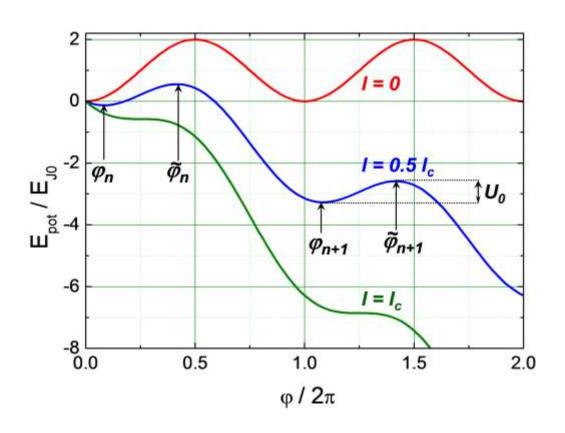
• junction dynamics: motion of  $\varphi$  in tilted washboard potential (not discussed here)

### **5.2.1 Josephson Junction with Applied Current**

$$-I_c < I < I_c$$
  $\Rightarrow$  constant phase difference:  $\varphi = \varphi_n = \arcsin\left(\frac{I}{I_c}\right) + 2\pi n$ 

→ zero junction voltage:

zero junction voltage: zero voltage state / ordinary (S) state 
$$\varphi = \widetilde{\varphi}_n = \pi - \arcsin\left(\frac{I}{I_c}\right) + 2\pi n$$



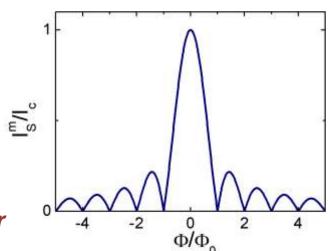
large number of applications in analog and digital electronics

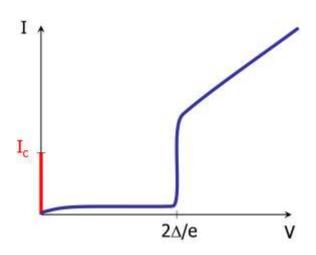
→ detailed discussion in lecture "Applied Superconductivity"

- $I_S^m = I_S^m(B)$ :
  - → magnetic field sensors (SQUIDs)
- $\beta_C \gg 1$
- → **bistability**: zero/voltage state
- → switching devices, Josephson computer

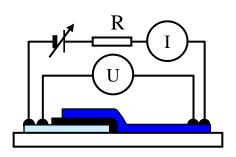


- → VCO, voltage standard
- nonlinear IVC
  - → mixers up to THz, oscillators
- macroscopic quantum behavior
  - → superconducting qubits





- V = 0: Josephson current
- $V \neq 0$ : quasiparticle current

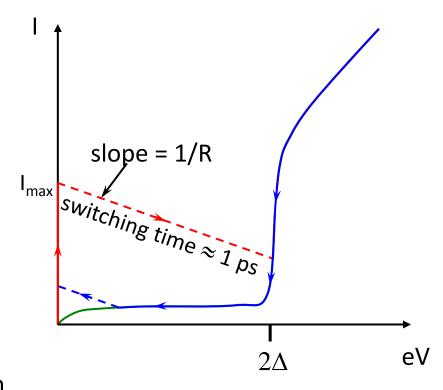




fast switching device

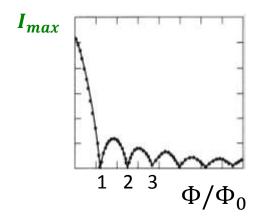
very low power consumption

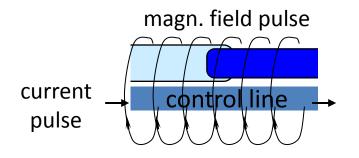
⇒ Josephson digital electronics

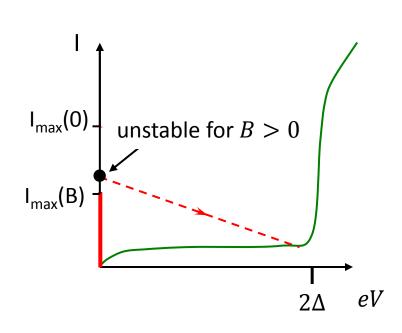


### principle of switching element:

magnetic field dependence of the maximum Josephson current

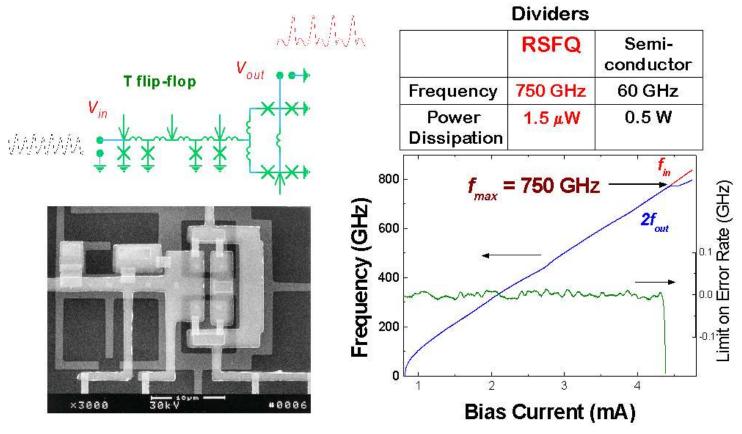






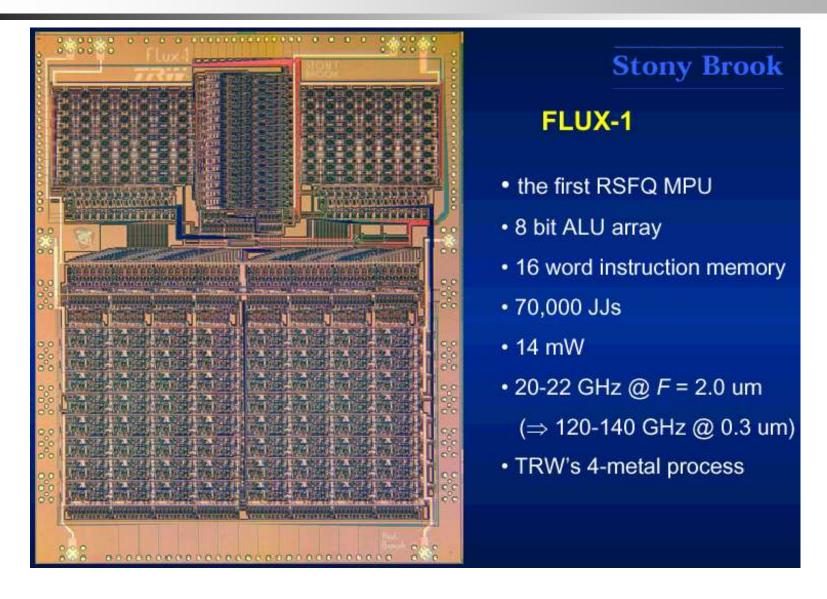
World's fastest digital IC - operates to 750 GHz

http://insti.physics.sunysb.edu/physics/news fast ic.htm



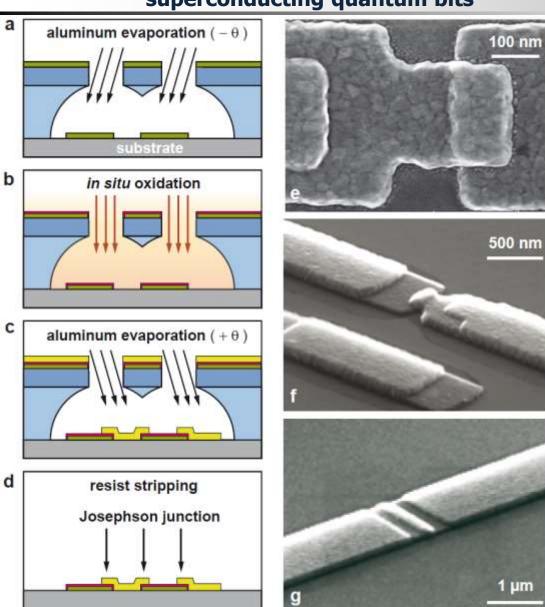
for details see: <a href="http://gamayun.physics.sunysb.edu/RSFQ/">http://gamayun.physics.sunysb.edu/RSFQ/</a>

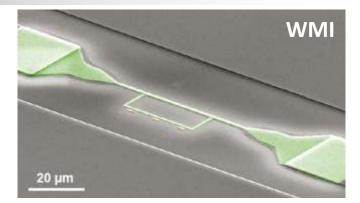
• problem: integration of large number of JJs (>  $10^5$ ) with high yield and small parameter spead

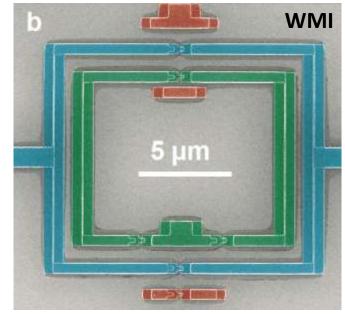


http://pavel.physics.sunysb.edu/RSFQ/

superconducting quantum bits







F. Deppe et al., PRB 76, 214503 (2007)

T. Niemczyk et al., *SUST 22, 034009 (2009)* 

superconducting quantum bits trapping loop  $al_{\rm c}$  $\alpha I_{\rm c}$ loop  $\alpha I_{\rm c}$ R. Gross © Walther-Meißner-Institut (2001 - 2015) 1 µm 1 µm readout SQUID trapping  $\alpha$  -flux loop line α -loop 10 µm ε-flux line

# 5.3 Applications of the Josephson Effect: metrology

precise definition of the electrical voltage, current and the resistance by using fundamental quantum effects:

• Josephson effect:

$$V = \frac{h}{2e} \cdot f = \Phi_0 \cdot f$$

(relation between voltage and

• Single electron pump:  $I = e \cdot f$ 

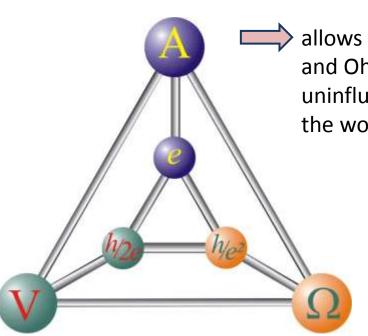
time/frequency by flux quantum)

(relation between current and time by charge quantum)

• Quantum Hall effect:

$$V = \frac{h}{e^2} \cdot I = R_K \cdot I$$

(relation between voltage and current by quantum resistance, unit = 1 Klitzing)



allows the reproduction of the physical units Volt, Ampère and Ohm with a very high precision and largely uninfluenced by environmental parameters at any place in the world

realization of the Ampère by single electron pump not realized so far at sufficient precision

→ would allow an important experimental test of the consistency of the relations between the

fundamental constants illustrated in the "electrical"

triangle"