# Cryptography Notes

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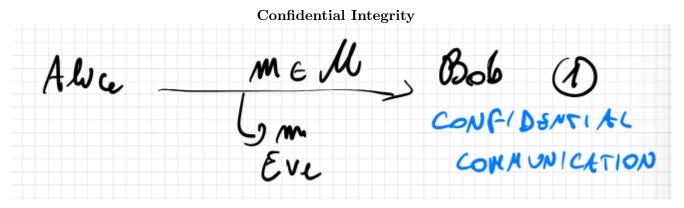
## Contents

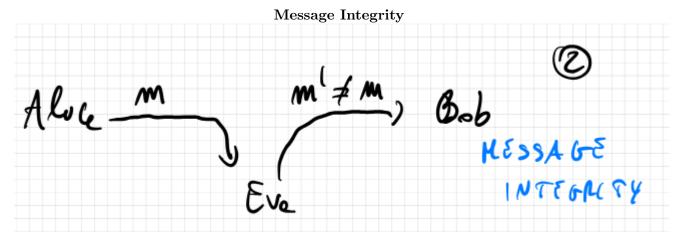
| 1 | $\mathbf{Intr}$ | to Cryptography                       | 2  |
|---|-----------------|---------------------------------------|----|
|   |                 | Secure Communication                  |    |
|   | 1.2             | Unconditional Security                | 2  |
|   | 1.3             | Perfect Secrecy                       | 3  |
|   | 1.4             | OTP                                   | 3  |
|   | 1.5             | Proof that the lemmas imply eachother | 4  |
|   | 1.6             | Message Authentication Codes          | 5  |
|   | 1.7             | Randomness Extraction                 | 6  |
|   |                 | nputational Security                  | 9  |
|   | 2.1             | Pseudorandomness                      | 10 |

### 1 Intro to Cryptography

#### 1.1 Secure Communication

We have multiple goals in cryptography, the most important ones being:





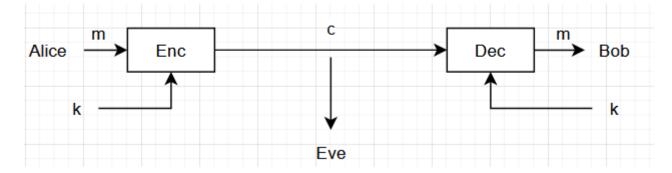
Basically we want our message to be both **confidential**, so no-one except the intended target sees it and we it to be unmodified, so that its **integrity** has not been compromised.

There are many different ways to do this, but in our case we only see two major ways:

- Symmetric Cryptography: Where Alice and Bob share a key  $k \in \mathcal{K}$ , the key is random and unknown to
- Assymetric Cryptography: Where Alice and Bob do not share a key, but they have each their own key pair  $(p_k, s_k)$  where  $p_k$  is the public key and  $s_k$  is the secret/private key

#### 1.2 Unconditional Security

To achieve confidential communication, we use symmetric cryptography.



With  $m \in \mathcal{M}, c \in \mathcal{C}, k \in \mathcal{K}$ 

In this case we have Alice sending a message m which is then encrypted utilizing a randomly generate key k to generate the cyphertext c, after that to get back to the initial message m, Bob will then need to decrypt it utilizing his own key k on cyphertext c.

In a more formal way we can define Symmetric encryption (SKE) as  $\prod = (Enc, Dec)$  such that:

- Enc :  $\mathcal{M} \times \mathcal{K} \to \mathcal{C}$
- Dec:  $\mathscr{C} \times \mathscr{K} \to \mathscr{C}$
- k is uniform over  $\mathcal{K}$  (k is chosen according to some distribution)

An encryption scheme must satisfy the correctness requirement:

**Definition 1.**  $\forall k \in \mathcal{K}, \forall m \in \mathcal{M} \text{ it holds that } Dec(k, Enc(k, m)) = m$ 

Kerchoff's Principle:

**Definition 2.** Security should not depend on the secrecy of the algorithm but on the secrecy of the key.

#### 1.3 Perfect Secrecy

**Definition 3.** Let M be any distribution over  $\mathscr{M}$  and K be uniform over  $\mathscr{K}$  (Then observe C = Enc(K,M) in a distribution over C), we say that  $(Enc,Dec) = \prod$  is **perfectly secret** if  $\forall M, \forall m \in \mathscr{M}, \forall c \in \mathscr{C} : Pr[M=m] = Pr(M=m|C=c)$  (The probability that M is m is equal to the probability that M is m knowing that C is c, so by knowing the cyphertext, we dont gain additional information).

**Lemma 1.** The following are equivalent:

- Perfect Secrecy
- M and C are independent
- $\forall m, m' \in \mathcal{M}, \forall c \in \mathcal{C} : Pr[Enc(k, m) = c] = Pr[Enc(k, m') = c]$  with k being uniform over  $\mathcal{K}$

#### 1.4 OTP

Let us see if OTP (One Time Pad) is perfectly secret

We know that the OTP uses  $\oplus$  to generate and later decypher the cyphertext, we have that  $K = M = C = \{0,1\}^N$  with N being the length of the string, we know that:

- Enc (k,m) =  $k \oplus m$
- Dec (k,c) =  $c \oplus k = (k \oplus m) \oplus k = m$

To prove that it is perfectly secret let us utilize the third lemma:

$$Pr[C = c|M = m'] = Pr[Enc_k(m') = c] = Pr[m' \oplus K = c] = Pr[K = m' \oplus c] = 2^{-N}$$

and therefore:

$$Pr[Enc(k, m') = c] = 2^{-N}$$

There seem to be some limitations, the key can only be used once and it must as long as the message, lets assume we encrypt m" and m':  $c_1 = k \oplus m_1$   $c_2 = k \oplus m_2$  therefore  $c_1 \oplus c_2 = m_1 \oplus m_2$ , so if I know a pair  $(m_1, c_1)$  then I could compute  $m_2$ , therefore we cannot encrypt two messages with the same key.

**Theorem 1** (Shannon). Let  $\prod$  be any perfectly secret SKE then we have  $|\mathcal{K}| \geq |\mathcal{M}|$ .

*Proof.* Take  $\prod$  to be uniform over  $\mathcal{M}$ . Take any c s.t.  $\Pr[C=c] > 0$ . Consider  $\mathcal{M}' = \{Dec(k,c) : k \in \mathcal{K}\}$  and assume  $|\mathcal{K}| < |\mathcal{M}|$  by contraddiction, then:

$$|\mathcal{M}|' \leq |\mathcal{K}| < |\mathcal{M}| \to |\mathcal{M}'| < |\mathcal{M}| \to \exists m \in \mathcal{M} \setminus \mathcal{M}'$$

Now:

$$Pr[M = m] = |\mathcal{M}|^{-1}$$
 but  $Pr[M = m|C = c] = 0$ 

#### 1.5 Proof that the lemmas imply eachother

Let us prove that  $1 \implies 2 \implies 3 \implies 1$ 

Let us start by proving that  $1 \implies 2$ :

Proof. We know that 
$$Pr[M=m]=Pr[M=m|C=c] \rightarrow \frac{Pr[M=m \land C=c]}{Pr[C=c]}=Pr[M=m \land C=c]$$
  
=  $Pr[M=m]*Pr[C=c]$  and therefore we have proved their independence, so  $I(M;C)=0$ 

Let us prove that  $2 \implies 3$ 

*Proof.* Let us fix an m from M and c from C:

$$Pr[Enc(K,m)=c]=Pr[Enc(K,M)=c|M=m] \implies Pr[C=c|M=m]=Pr[C=c]$$
 Remember that Enc(...) is c!

We do the same thing for 
$$m'$$
 and we get:  $Pr[C = c|M = m] = Pr[C = c]$  for both of them.  
Therefore:  $Pr[Enc(K, m') = c] = Pr[C = c]$ 

And now  $3 \implies 1$ : Take any c from C: Pr[C = c] = Pr[C = c|M = m] by 2 (we are claiming this)

If the claim is true then:

$$Pr[M=m|C=c]*Pr[C=c]=Pr[M=m \land C=c]=Pr[C=c|M=m]*Pr[M=m] \implies$$

$$\implies Pr[M=m] = \frac{Pr[M=m|C=c]*Pr[C=c]}{Pr[C=e|M=m]}$$

However we still need to prove the claim:

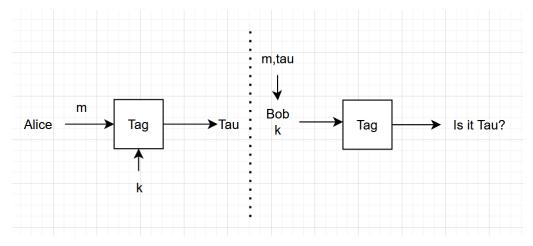
$$Pr[C = c] = \sum_{m'} Pr[C = c \land M = m'] = \sum_{m'} Pr[C = c | M = m'] * Pr[M = m'] = \sum_{m'} Pr[C = c | M = m'] = \sum_{m'} Pr[C = c \land M = m'] = \sum_{$$

$$\sum_{m'} \Pr[Enc(K, m') = c | M = m'] * \Pr[M = m'] = \sum_{m'} \Pr[Enc(K, m') = c] * \Pr[M = m']$$

$$\sum_{m'} Pr[Enc(k, m) = c] * Pr[M = m'] = Pr[Enc(k, m) = c] * \sum_{m'} Pr[M = m'] \iff 1$$

$$Pr[Enc(k, m) = c] = Pr[Enc(K, M) = c|M = m] \rightarrow Pr[C = c|M = m]$$

#### 1.6 Message Authentication Codes



In case it is  $\tau$  then we accept it, else no.

There is no need to prove correctness as  $\tau$  is deterministic, so if we had the same k and m, we should get the same  $\tau$ 

#### Unforgeability

It should be hard to forge  $\tau'$  such on msg m' and it should be hard to produce  $(m,\tau)$  as long as  $m' \neq m$ 

**Definition 4.** Statistical secure MAC We say that  $\prod = Tag$  has  $\epsilon$ -statistical security (unforgeability) if  $\forall m, m' \in \mathcal{M}$  with  $m \neq m' \ \forall \tau, \tau' \in \mathcal{T}$ :

$$Pr[Tag(K, m') = \tau' \mid Tag(K, m) = \tau] \le \epsilon$$

**TLDR**: Fix <u>any</u> m,m' with m'  $\neq$  m take  $\tau, \tau'$  on the condition that  $\tau$  is tag of m and given  $\tau'$ , it is always less than or equal to  $\epsilon$ 

Here  $\epsilon$  is a parameter e.g.  $2^{-80}$ 

**Exercise** Let us prove that it is impossible to get  $\epsilon = 0$ 

Because a random  $\tau' \in \mathscr{T}$  has probability  $\geq \frac{1}{|\mathscr{T}|}$  to be correct it is impossible.

Note that the definition is valid for One-Time!

We will show:

- The notion is Achievable
- It's inefficient, in fact:

**Theorem 2.** Any t-time  $2^{-\lambda}$  statistically secure Tag has a key of some  $(t+1)^*\lambda$ 

We will now show that any form of hash function with a particular property satisfies the definition.

**Definition 5.** Pairwise independence A family  $\mathcal{H} = \{h_k : \mathcal{M} \to \mathcal{T}\}_{k \in \mathcal{K}}$  is pairwise independent if:  $\forall m, m' \in \mathcal{M} s.t.m \neq m'$  then: (h(K, m), h(K, m')) is uniform over  $\mathcal{T}^2 = \mathcal{T} \times \mathcal{T}$  for K uniform over  $\mathcal{K}$ 

**Theorem 3.** Any family  $\mathscr{H}$  of pairwise independent functions directly gives a  $\epsilon = \frac{1}{|\mathscr{T}|}$  – statistically secure MAC.

*Proof.* Fix any  $m \in \mathcal{M}, \tau \in \mathcal{T}$ :

$$\Pr[Tag(K,m) = \tau] =$$

$$Pr_k[h(K, m) = \tau] = \frac{1}{|\mathscr{T}|}$$
 by pairwise independence

Similarly, for any m,m' s.t.  $m \neq m'$ ,  $\tau, \tau' \in \mathcal{T}$ .

$$Pr_k[Tag(K,m) = \tau \wedge Tag(K,m') = \tau'] =$$

$$Pr_k[h(K,m) = \tau \wedge h(K,m') = \tau'] = \frac{1}{|\mathcal{T}|^2}$$

By Bayes:

$$Pr[Tag(K, m') = \tau' | Tag(K, m) = \tau] = \frac{Pr[h(K, m;) = \tau' \land h(K, m) = \tau]}{Pr[h(K, m) = \tau]} = \frac{\frac{1}{|\mathcal{T}|^2}}{\frac{1}{|\mathcal{T}|}} = \frac{1}{|\mathcal{T}|}$$

Now we need to instantiate it, here is a construction, Let p be a prime:

$$h_{a,b}(m) = am + b \mod p$$
  
 $k = (a,b) \in \mathbb{Z}_p^2 = \mathcal{K}$   
 $\mathbb{Z}_p = \mathcal{M} = \mathcal{T}$ 

**Lemma 2.** The above  $\mathcal{H}$  is pairwise independant.

*Proof.* For all  $m, m' \in \mathbb{Z}_p, \tau, \tau' \in \mathbb{Z}_p$  with  $m \neq m'$ 

$$\Pr_{(a,b)\in\mathbb{Z}_p^2} [h_{a,b}(m) = \tau \wedge h_{a,b}(m') = \tau'] =$$

$$\Pr_{(a,b)\in\mathbb{Z}_p^2} \begin{bmatrix} \binom{m}{m'} & 1 \\ m' & 1 \end{bmatrix} \binom{a}{b} = \frac{\tau}{\tau'} \end{bmatrix} =$$

$$\Pr_{(a,b)\in\mathbb{Z}_p^2} \begin{bmatrix} \binom{a}{b} = \binom{m}{m'} & 1 \\ m' & 1 \end{bmatrix} \frac{\tau}{\tau'} \end{bmatrix} =$$

$$\frac{1}{p^2} = \frac{1}{|\mathbb{Z}_p|^2} = \frac{1}{|\mathcal{F}|^2}$$

#### 1.7 Randomness Extraction

Alice and Bob need a **random** key, how can they generate it?

Randomness is crucial for crypto, and two components are necessary in any RNG (e.g. Fortuna, /dev/rand):

- Randomness extraction: By measuring physical quantities we can get an **unpredictable** sequence of bits (Not necessarily uniform or for cheap!)

  From this we extract a **random** Y which is short (e.g. 256 bits)
- $\bullet$  Expand it to any amount (polynomial) using a psedor andom generator (PRG) - but this requires computational assumptions.

We want to understand how to extract from an unpredictable source X. **Example Von Neumann Extractor** Assume  $B \in [0, 1]$  s.t.  $\Pr[B = 0] = p < \frac{1}{2}$ .

- Sample  $b_1 \in B, b_2 \in B$
- if  $b_1 = b_2$  then Resample
- Else output  $1 \iff b_1 = 0, b_2 = 1$ , or 0 if  $b_1 = 1, b_2 = 0$

Assuming it outputs something, this will be s.t.

$$Pr[Output \ 0] = Pr[Output \ 1] = p * (1 - p)$$

 $Pr[No \text{ output after N tries}] = (1 - 2p(1 - p))^N$  which becomes small for large enough N

We want to generalize this question, ideally we want to design a function Ext that takes a random variable X and outputs an uniform  $\operatorname{Ext}(X)$ , but this is impossible as the source must be unpredictable and Ext is deterministic

**Definition 6** (Min-Entropy). The min-entropy of X is:  $H_{\infty} = -\log_2 \max \Pr[X = x]$ 

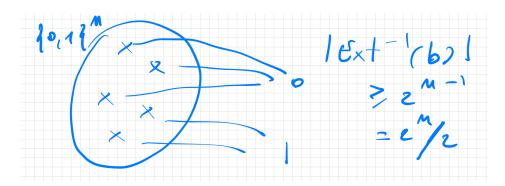
**Example:** Let  $X \equiv U_m$  Uniform over  $\{0,1\}^N$ .  $H_{\infty}(X) = N$  If X is a costant we have  $H_{\infty}(X) = 0$ 

Here's the next best thing:

Design Ext that extracts UNIFORM Y = Ext(X) for every X s.t.  $H_{\infty}(X) \ge k$ But this is also impossible, even if

$$Ext(X) = b \in \{0, 1\}$$
$$k = n - 1$$
$$x \in \{0, 1\}^n$$

And here's why: fix any Ext: $\{0,1\}^n \to 0,1$  and let  $b \in 0,1$  be the output of maximing  $|Ext^{-1}(b)|$ 



The bad X: Define X to be Uniform over  $Ext^{-1}(b)$ . Since it is uniform:  $H_{\infty}(X) \ge n-1$  but Ext(X) = b so not uniform.

Solution: Swap the quantifiers.

**Definition 7** (Seeded Extractor). A function  $Ext : \{0,1\}^n \times \{0,1\}^d \to \{0,1\}$  is a  $(k,\epsilon)$ -seeded extractor if for every X over s.t.  $H_{\infty}(X) \geq k$ :

$$(S, Ext(S, X)) \approx_{\epsilon} (S, U_e)$$

for  $S \equiv U_d$  (uniform over  $\{0,1\}^d$ ). (Note that  $(S,U_e) \equiv U_{d+e}$ ).

What does this mean? There is a standard way to measure distance between distributions:

$$Z \equiv_{\epsilon} Z' \iff SD(Z, Z') \le \epsilon$$
$$SD(Z, Z') = \frac{1}{2} \sum_{z} |Pr[Z = z] - Pr[Z' = z]|$$

This is equivalent:  $\forall$  Unbounded adversary A:

$$|Pr[A(z) = 1 : z \in Z] - Pr[A(z) = 1 : z \in Z']| \le \epsilon$$

**Theorem 4** (Leftover Hash Lemma). Let  $\mathcal{H} = \{h_s : \{0,1\}^n \to \{0,1\}^l\}_{s \in \{0,1\}^d}$  be a family of pairwise independent hash functions. Then  $Ext(x,s) = h_s(x)$  is a  $(k,\epsilon)$ -seeded extractor for  $k \ge l + 2\log_2(\frac{1}{\epsilon})-2$ .

**Lemma 3.** Let Y be a RV over  $\mathscr{Y}$ . Such that:

$$Col(Y) = \sum_{y \in \mathscr{Y}} Pr[Y = y]^2 \le \frac{1}{|\mathscr{Y}|} * (1 + 4\epsilon^2)$$

Then,  $SD(Y, U) \le \epsilon$ 

Proof.

$$SD(Y,U) = \frac{1}{2} \sum_{y \in \mathscr{Y}} |Pr[Y = y] - \Pr[U = y]|$$

$$\frac{1}{2} \sum_{y \in \mathscr{Y}} |Pr[Y = y] - \frac{1}{|\mathscr{Y}|}|$$

$$Let \ q_y = Pr[Y = y] - \frac{1}{|\mathscr{Y}|}$$

$$Let \ s_y = \begin{cases} 1 \ \text{if} \ q_y \ge 0 \\ -1 \ \text{else} \end{cases}$$

$$Hence \ SD(Y,U) = \frac{1}{2} \sum_{y \in \mathscr{Y}} s_y q_y$$

$$= \frac{1}{2} \langle s,q \rangle \le \frac{1}{2} \sqrt{\langle \overrightarrow{q}, \overrightarrow{q} \rangle * \langle \overrightarrow{s}, \overrightarrow{s} \rangle} \text{ by Cauchy-Schwarz}$$

$$= \frac{1}{2} \sqrt{\sum_{y \in \mathscr{Y}} q_y^2 * |\mathscr{Y}|}$$

Now, We analyze the term  $\sum_{y \in \mathscr{Y}} q_y^2$ :

$$\begin{split} \sum_{y \in \mathscr{Y}} q_y^2 &= \sum_{y \in \mathscr{Y}} (Pr[Y = y] - \frac{1}{|\mathscr{Y}|})^2 = \\ \sum_{y \in \mathscr{Y}} Pr[Y = y]^2 + \frac{1}{|\mathscr{Y}|^2} - 2\frac{Pr[Y = y]}{|\mathscr{Y}|} = \\ \underbrace{\sum_{y \in \mathscr{Y}} \Pr[Y = y]^2 + \frac{1}{|\mathscr{Y}|} - 2\frac{1}{|\mathscr{Y}|}}_{Col(Y)} = \\ Col(Y) - \frac{1}{|\mathscr{Y}|} &\leq \frac{4\epsilon^2}{|\mathscr{Y}|} \\ SD(Y, U) &\leq \frac{1}{2} \sqrt{\frac{4\epsilon^2}{|\mathscr{Y}|}} * |\mathscr{Y}| = \epsilon \end{split}$$

Then:

Next we apply the lemma to prove the Leftover Hash Lemma:

Proof.

$$Y = (S, Ext(X, S)) = (S, h(S, X))$$

and compute Col(Y):

$$\begin{split} Col(Y) &= \sum_{y \in \mathscr{Y}} \Pr[Y = y]^2 = \Pr[Y = Y'] \\ &= \Pr[S = S' \land h(S, X) = h(S', X')] \\ &= \Pr[S = S' \land h(S, X) = h(S, X')] \\ &= \Pr[S = S'] * \Pr[h(S, X) = h(S, X')] \\ &= \frac{1}{2^d} * \Pr[h(S, X) = h(S, X')] \\ &= \frac{1}{2^d} * (\Pr[X = X'] + \Pr[h(S, X) = h(S, X') \land X \neq X']) \\ &\leq \frac{1}{2^d} * (\frac{1}{2^k} + \frac{1}{2^l}) \text{ by pairwise independence and } H_{\infty}(X) \geq k \\ &= \frac{1}{2^{d+l}} (1 + 2^{l-k}) \leq \frac{1}{2^{d+l}} (2^{2-2\log_2(\frac{1}{\epsilon})} + 1) \\ &= \frac{1}{|\mathscr{Y}|} * (1 + 4\epsilon^2) \end{split}$$

### 2 Computational Security

We know that withouth any assumptions we can do Symmetric crypto and randomness generation, with some strong limitations.

- Privacy: |msg| = |key| and one-time use
- Integrity: same as above.
- Randomness We can't extract more than k from  $p_y k$

We want to overcome all these limitations. We'll do so off of the base of some assumptions

- Adversary is Computationally Bounded
- Hard Problems exist

We will make conditional statements:

**Theorem 5.** If Problem X is hard (against efficient solvers), Then cryptosystem  $\prod$  is secure (against efficient adversaries)

Consequence: if  $\prod$  is insecure,  $\exists$  efficient solver for X! Depending on what X is, the above could be **Groundbreaking**.

#### Examples:

$$X = "P \neq NP" X = "Factoring is hard" X = "Discrete Log is hard"$$

We are not able to just assume  $P \neq NP$ , we need a stronger assumption: One-Way Functions: These are functions that are easy to compute but hard to invert. Clearly OWF  $\implies P \neq NP$ , why?

Because if P = NP, OWF do not exist as checking if f(x) = y is efficient and this it's in NP=P We cannot exclude that  $P \neq NP$  but still,OWF do not exist.

To better demonstrate this, we can refer to the following worlds created by Russel Impagliazzo:

- Algorithmica: P=NP
- Heuristica:  $P \neq NP$  but no "average-hard" problems
- Pessiland:  $P \neq NP$  and "average-hard" problems exist, but no OWF
- Minicrypt: OWFs exist
- Cryptomania: OWF exist + Public-key crypto exist

First we must start by fixing a model of computation: Turing Machines efficient computation = polynomial time TMs.

Let's be generous: Adversaries can use any amount (polynomial) of randomness: Probabilistic Polynomial Time (PPT) TMs.

In what comes next we could define two approaches:

- Concrete Security Security hols w.r.t. t-time Tms except w.p.  $\leq \epsilon$  (e.g.  $t=2^20$  steps,  $\epsilon=2^{-80}$ )
- Asymptotic Security Let  $\lambda$  be a security parameter. Adversaries are  $poly(\lambda)$ -time PPT TMs  $(\epsilon = negligeble = negl(\lambda))$

**Definition 8** (Negligible).  $\epsilon : \mathbb{N} \to \mathbb{R}$  is negligible if  $\forall p(\lambda) = poly(\lambda) \ \exists \lambda_0 \in \mathbb{N}$  s.t.  $\forall \lambda > \lambda_0 : \epsilon(\lambda) \leq \frac{1}{p(\lambda)}$  (In other words,  $\epsilon(\lambda) \leq O(\frac{1}{p(\lambda)}) \ \forall p(\lambda) = poly(\lambda)$ )

#### 2.1 Pseudorandomness

This is our first step towards efficient symmetric crypto. Moreover, pseudorandomness is used in modern computers to simulate real randomness. We will see that OWF are enough for pseudorandomness.

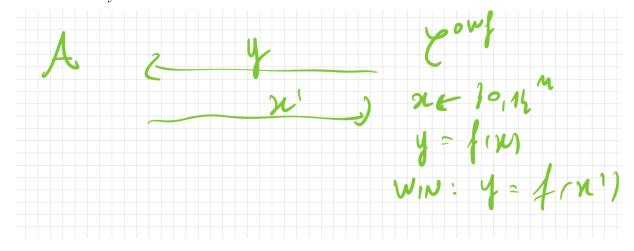
**Definition 9** (OWF). A function  $f: \{0,1\}^n \to \{0,1\}^n$  is One-Way, if:  $\forall PPT\mathscr{A}:$ 

$$\Pr_{x \leftarrow \{0,1\}^n}[f(x') = y : y = f(x); x' \leftarrow \mathscr{A}(y)] \le negl(n)$$

Informally, it goes to zero faster than any inverse of a polynomial function.

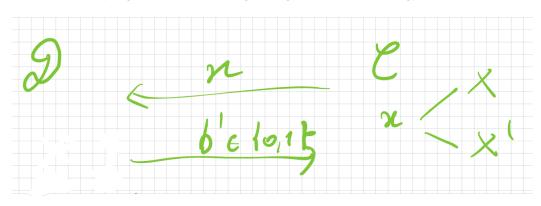
Example of negl(n) is  $2^{-n}$ 

An alternative way to think about it:



**Definition 10** (Pseudorandomness). Pseudorandomness is a sequence of bits that are not random, but look random. We capture this requirement using **Indistinguishability** (computational). We have already seen something like this in SD. Given X, Y RVs over some domain,  $SD(X,X') \leq \epsilon$  is equivalent to:  $\forall \mathcal{D}$  (adversary):

$$|Pr[\mathscr{D}(x) = 1 : x \leftarrow X] - Pr[\mathscr{D}(y) = 1 : y \leftarrow X']| \le \epsilon$$



**Definition 11.**  $X(X_n), Y(Y_n)$  are computationally indistinguishable  $(X \approx_c Y)$  if  $\forall PPT \mathcal{D}$ :

$$|Pr[\mathscr{D}(z) = 1: z \leftarrow X_n] - Pr[\mathscr{D}(z) = 1: y \leftarrow Y_n]| \le negl(n)$$

With this we cand efine pseudorandomness:

**Definition 12** (Pseudorandom Generator (PRG)). A function  $G : \{0,1\}^n \to \{0,1\}^{n+l}$  with  $l \ge 1$  (The Stretch) is secure if:

$$G(U_n) \approx_c U_{n+l}$$

$$U_n \equiv uniform \ over \{0,1\}^n$$

$$U_{n+l} \equiv uniform \ over \{0,1\}^{n+l}$$

