

**KABARAK UNIVERSITY**



**PROBATION TRACKING AND MONITORING SYSTEM (PTMS)**

**PRESENTED BY**

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**BBIT/MG/3045/09/20**

**A RESEARCH PROJECT SUBMITTED IN PARTIAL FULFILMENT OF THE  
REQUIREMENTS FOR THE AWARD OF BACHELOR OF BUSINESS INFORMATION  
TECHNOLOGY DEGREE IN THE DEPARTMENT OF COMPUTER SCIENCE AND IT,  
KABARAK UNIVERSITY**

**MARCH 2024**

## **DECLARATION**

I declare that this research project is my original work and has not been submitted elsewhere for examination, award of a degree or publication. Where other people's work has been used, this has properly been acknowledged and referenced in accordance with the University's requirements.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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**BBIT/MG/3045/09/20**

This research project has been submitted to the Department of Computer Science and Information Technology of Kabarak University, with my approval as the university supervisor.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**MR. SIMON RUORO**

## **DEDICATION**

I dedicate this work to my loving parents, siblings and friends whose tremendous support, encouragement and prayers enabled me to undertake this project successfully.

## ACKNOWLEDGEMENT

I wish to express my sincere gratitude to the following people who contributed immensely towards the completion of this project: My supervisor, **Mr. Simon Ruoro** for his invaluable guidance, feedback and mentorship throughout the research process. His insights and direction were fundamental to the development of this project. The management and staff of the Probation and Aftercare Services, who provided vital information on current probation administration processes during the system analysis phase. Their input formed a crucial foundation for this project. My classmates and colleagues for sharing ideas, reviewing my work, and providing moral support. The **Kabarak University** faculty and administration for furnishing an enabling environment and resources that facilitated the undertaking of this project. My family and friends for their unending love, prayers, motivation and patience that inspired me to press on even in challenging times. I am truly grateful. Most importantly, I thank God for granting me good health, knowledge, understanding, and perseverance throughout this rewarding learning experience. His sufficient grace has seen me through.

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## **ABBREVIATIONS**

PTMS:	Probation tracking and Monitoring system
PO:	Probation Officer
D1:	Database one
D2:	Database two

## **ABSTRACT**

The criminal justice system plays a pivotal role in maintaining social order and rehabilitating offenders. Within this system, probation serves as an alternative to imprisonment, emphasizing community reintegration and rehabilitation. However, the efficient supervision and monitoring of probationers, while upholding public safety, remain persistent challenges for law enforcement agencies and courts in Kenya.

The current probation management process faced critical issues such as resource constraints, lack of standardized monitoring procedures, and inadequate data-driven decision-making. This inadequacy could hinder the successful rehabilitation and reintegration of offenders into society, resulting in backsliding.

Addressing these challenges was of paramount importance for both public safety and the well-being of probationers. An advanced probation system that leveraged technology and data-driven methods provided monitoring, improved supervision efficiency, and contributed to evidence-based practices within the criminal justice system.

The primary objectives of this system were to streamline probation management, improve the accuracy of data, enhance communication and collaboration among relevant stakeholders and promote evidence-based decision-making. By achieving these objectives, the system aimed to ensure that probationers adhered to their court-ordered conditions, received appropriate support and guidance, and ultimately reintegrated successfully into society.

In summary, the Probation Tracking and Monitoring System offered a comprehensive, technologically driven solution to the complex challenges faced in probation management. By improving accountability and oversight, enhancing communication and reporting, and enabling data-driven decision-making, this system played a vital role in the quest to foster successful probation outcomes and contribute to a safer, more just society.

## **CHAPTER ONE**

### **1.0 INTRODUCTION**

The criminal justice system is a vital societal institution for upholding the rule of law and maintaining public safety and order. It aims to deter criminal activities, investigate crimes, identify perpetrators, impose legal sanctions on the guilty, and rehabilitate convicted offenders. An integral part of this system is community-based alternatives to incarceration like probation and parole.

Probation allows qualifying offenders to serve their sentences within the community under supervision and conditional release, rather than in prison. It aims to facilitate rehabilitation and reintegration into society through reform programs and close monitoring of probationer compliance with set conditions. Effective probation systems are therefore critical to balance justice and incarceration costs while upholding community safety.

In Kenya, probation services fall under the Probation and Aftercare Service division in the State Department of Correctional Services. This agency is mandated to prepare pre-sentencing reports, supervise probationers, and provide rehabilitation interventions. However, the current probation administration process in Kenya was predominantly manual, paper-based, and lacking in technological integration.

This highlighted the need for an integrated Probation Tracking and Monitoring System to automate processes, enhance oversight, facilitate data-driven decisions and coordination between actors in the criminal justice system. The proposed system provided a timely solution that leveraged technology to address current probation management challenges. This introductory chapter presents the background, problem statement, objectives, research questions, justification, and scope that lay the foundation for this project.

## **1.1 Background of the Study**

Probation is a core aspect of community-based correctional programs within the criminal justice system. It allows qualifying offenders to serve their sentences under supervised release in the community as opposed to incarceration in prison facilities. Offenders on probation must adhere to certain conditions set by the court such as maintaining employment, receiving counseling, avoiding drug use, and restricted movements.

Probation allows qualifying offenders to serve their sentences in the community under supervision, rather than in prison. It emphasizes rehabilitation and reintegration into society. In Kenya, the Probation and Aftercare Service under the State Department of Correctional Services oversees probation administration. Its key duties include conducting pre-sentencing reports, supervising probationers, facilitating rehabilitation programs, and determining completion of sentences.

However, the current probation tracking and monitoring process in Kenya faced considerable limitations. It relied heavily on manual paper-based systems with rudimentary data recording and maintenance approaches. Probation officers largely used ledgers and files to track offenders. Monitoring mechanisms like occasional home visits provided limited oversight of probationer activities and compliance to release conditions. The lack of standardized digitized records also hindered information sharing between courts, law enforcement and probation officers.

Moreover, there were no integrated centralized databases to collate offender profiles, case records, rehabilitation and supervision data. The dearth of consolidated data prevented in-depth profiling of probationer histories, conduct analysis, and data-driven decision-making. Weak monitoring and data management compromised effective tracking of probationer adherence to release conditions. Consequently, some offenders engaged in criminal activities during probation or absconded supervision altogether.

These systemic limitations highlighted the need for an enhanced probation management system. A centralized, digitized system could improve record keeping, monitoring and data analytics. It could also enable seamless information sharing between courts, police and probation officers. This would bolster oversight of probationers, compliance enforcement, and coordination between

stakeholders. Ultimately, an advanced probation system would be instrumental in facilitating offender rehabilitation and public safety.

## **1.2 Problem Statement**

Kenya's current probation tracking and monitoring system presented fundamental limitations that undermined effective management of offenders on non-custodial sentences. Firstly, the predominant use of paper records and manual processes led to poor data capture, maintenance and sharing between various agencies in the criminal justice system. Without standardized digitized records, information gaps were common thereby constraining oversight and enforcement duties.

Lack of integrated centralized databases prevented in-depth profiling of offender histories, conduct analysis and identification of trends. This limited data-driven decision making in administration of rehabilitation programs and supervision strategies. Overall, these systemic constraints compromised public safety, rehabilitation outcomes and coordinated service delivery. Therefore, there was need for an enhanced probation system that addressed these limitations through automation, improved monitoring and data centralization.

## **1.3 Objectives**

### **1.3.1 General Objective**

To develop an automated Probation Tracking and Monitoring System for improving offender supervision, rehabilitation and public safety.

### **1.3.2 Specific Objectives**

- i. To conduct an investigative analysis to identify limitations within existing manual probation tracking and monitoring processes and determine digitization requirements.
- ii. To design a detailed system for the proposed Probation Tracking and Monitoring System.
- iii. To execute development and implementation of the Probation Tracking and Monitoring System

iv. To perform comprehensive testing procedures to evaluate the new system against stipulated requirements.

#### **1.4 Research Questions**

- i. What were the primary manual process challenges and inefficiencies experienced within current probation tracking and monitoring practices?
- ii. How could the proposed Probation Tracking and Monitoring System design provide automated solutions to address identified manual process limitations?
- iii. How was the development and implementation of the Probation Tracking and Monitoring System executed to ensure maintainability, interoperability, scalability and security?
- iv. What was the effectiveness of the testing procedures employed to validate the functionality, adoption readiness and security of the implemented prototype Probation Tracking and Monitoring System?

#### **1.5 Significance of the study**

The development of an automated Probation Tracking and Monitoring System was based on several expected benefits:

1. Better coordination between courts, law enforcement and probation officers through shared digitized offender records and reports. This improved information exchange.
2. Consolidated probationer databases allowed in-depth profiling, conduct analysis, and identification of trends to inform data-driven decision making.
3. Enhanced administrative efficiencies through digitized records and automated workflows compared to predominantly manual processes.
4. Standardized records addressed prevailing data inconsistencies, gaps and limited oversight caused by paper-based systems

In summary, automating probation management significantly addressed current limitations, enhanced public safety, promoted rehabilitation, and fostered interagency collaborations. The

proposed system offered a robust solution that led to tremendous improvements in probation administration.

### **1.6 Scope and Limitations of the study**

The study encompassed a comprehensive investigation into the challenges of the existing probation tracking and monitoring processes. The scope of this project focused on the analysis of the current manual probation tracking and monitoring processes in Kenya to identify limitations and requirements. This involved evaluating existing practices under the Probation and Aftercare Service. However, it was important to note that the actual deployment and adoption of the system faced several limiting factors such as hardware limitations, availability of sufficient data on current probation management processes and scalability concerns when transforming design concepts into a full-fledged enterprise-level system.

## **CHAPTER TWO**

### **LITERATURE REVIEW**

#### **2.0 INTRODUCTION**

A review of existing literature was imperative to contextualize this research on developing an automated Probation Tracking and Monitoring System in Kenya. It enabled a deeper understanding of the current scholarly knowledge on limitations within probation systems locally and globally, as well as potential technologies and frameworks for enhanced solutions. This chapter provided a critical and comparative analysis of academic articles, government reports, and prior research dissertations on these focus areas. The sources provided insights on issues and challenges with manual tracking processes, poor monitoring mechanisms, fragmented data management, and deficient coordination within probation systems. The literature also recommended structural models, technologies and computing capabilities that formed critical considerations for envisioning and developing an advanced digitized system. Identification of these limitations and design recommendations laid a contextual foundation that informed this study's conceptualization of an appropriate Probation Tracking and Monitoring System for Kenya. The gaps clearly highlighted the needs, functionalities and technologies that such a system needed to fulfill. This literature analysis shaped the formulation of system requirements and architectural guidelines to address the research objectives.

#### **2.1 Review of Specific Objectives**

##### **2.1.1 Investigation Challenge**

**Objective i)** To conduct an investigative analysis to identify limitations within existing manual probation tracking and monitoring processes.

Existing literature identified several limitations within the predominantly manual practices. According to Wambugu & Mwangi (2021), the paper-based filing system for probation records



management was inefficient and prone to errors, leading to critical information gaps that undermined monitoring and data-driven decisions. Similarly, Maina (2019) cited weak coordination between the courts, police and probation officers due to delays in sharing offender data and case updates. Otieno et al. (2020) noted that sporadic mechanisms like home visits were insufficient for continuous tracking of probationer activities and verification of compliance to release conditions. More robust monitoring was required to prevent violations. These sources highlighted core process limitations including poor records management, lack of information integration across justice agencies, inadequate probationer monitoring and overreliance on manual procedures. Addressing these gaps would significantly enhance existing probation administration.

### **2.1.2 Design of the System**

**Objective ii)** To design detailed system for the proposed Probation Tracking and Monitoring System incorporating architecture.

The second objective aimed to identify functional and non-functional requirements for the proposed Probation Tracking and Monitoring System. Existing literature recommended Ouma & Kisali (2021) advised incorporating a strong user access control and credentialing functionality with tiered permission levels to ensure privacy and system security. Activity logs should also be maintained. Mutua (2018) advocated for automated notifications and alerts to respective officers when probationers defaulted on check-in schedules or demonstrated banned behaviors per their release conditions. This facilitated timely interventions. These sources provided useful guidelines on key functional specifications around alerts, and reporting that such a system would need to include. Non-functional aspects like usability, reliability and durability were also important considerations during requirements analysis.

### **2.1.3 Implementation of the System**

**Objective iii)** To execute development and implementation of the Probation Tracking and Monitoring System.

Literature affirmed pursuing agile iterative approaches allowed adaptable responding to evolving probation management priorities and technologies facilitating seamless integration of analytics,

biometrics, machine learning and IoT capabilities over time (Muema et al, 2021). Studies also suggested open-sourced frameworks, cloud-based deployment and DevSecOps culture with extensive testing, inspection gates and automation scripts to ingrain quality and reliability while achieving development rigor at scale (Matanga, 2020). Beyond fulfilling technical functionality, focus also encompassed training and driving user adoption through governance structures, business process re-engineering and incremental organizational change management across appropriate departments.

#### **2.1.4 Testing of The System**

**Objective iv)** To perform comprehensive testing procedures to evaluate the new system against stipulated requirements.

Existing articles were emphatic about the need for extensive testing coverage through component unit tests, integration testing, system testing, security testing and simulation of user scenarios based on role types and workflows (Otieno & Kisali, 2022). Studies suggested user acceptance milestones, structured pilot rollout and comparing effectiveness metrics pre and post-implementation provided indicative measures for overall probation management improvements (Kuria, 2018). Beyond internal quality assurance, literature also recommended periodic third-party audits, vulnerability assessments and adherence to software certifications associated with service maturity, data protection and organizational excellence (Ngugi et al, 2016).

## **CHAPTER THREE**

### **RESEARCH METHODOLOGY**

#### **INTRODUCTION**

This chapter outlined the research methodology employed in the development of the Probation Tracking and Monitoring System. It encompassed the research design, data collection methods, and design diagrams crucial for the system's development. Additionally, it covered ethical considerations.

#### **3.1 Research Methodology and Design**

This study employed a mixed-methods research design, combining both qualitative and quantitative methods to gather a holistic understanding of the probation processes. Specifically, a descriptive survey research design was adopted as it supported comprehensive assessment of existing systems, identification of limitations within real-life contexts, and enabled collection of user attitudes and preferences data. This provided the requisite breadth and depth of insights to inform enhanced system design.

The descriptive quantitative surveys helped generate probabilistic data on variables such as the nature and frequency of process limitations, factors causing inefficiencies and frontline user perspectives. Qualitative questioning explored the how and why behind issues to uncover deeper meanings related to needs, expectations and experiences when interacting with current systems. Combining these quantitative and qualitative methodologies facilitated complete understanding of local challenges and international benchmarks.

In summary, the mixed methods descriptive research design offered a robust framework enabling data triangulation. This bolstered the reliability and validity of identified limitations, requirements and system recommendations to successfully answer the study research questions..

#### **3.2 Study Population**

This study employed a mixed-methods research design, combining both qualitative and quantitative methods to gather a holistic understanding of the probation processes. Specifically, a descriptive survey research design was adopted as it supported comprehensive assessment of existing systems, identification of limitations within real-life contexts, and enabled collection of

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### **3.3 Data Collection Methods**

In the development of the Probation Tracking and Monitoring System, a combination of questionnaires, interviews, focused group discussions and direct observations were used to collect comprehensive data from various stakeholders.

Structured questionnaires with both close-ended and open-ended questions were distributed to a sample of probation officers across regional offices to gather quantifiable insights and qualitative feedback on limitations within existing practices. The perspectives of senior agency representatives from the Judiciary, Prisons Department and National Police Service were also captured through targeted surveys.

Additionally, oral interviews were conducted with policy custodians and administrators overseeing probation services to gain management and decision-making insights on current structural bottlenecks and future priorities.

Focused group discussions facilitated through workshops supported collaborative evaluations of key issues, system requirements and recommendations by bringing together field officers, administrators and technology specialists.

Direct observation of current administrative workflows and probationer reporting procedures was also undertaken to provide contextual data, align recommendations to ground realities and determine system interfacing needs.

The empirical data from these multiple sources was vital for framing a Probation Tracking and Monitoring System that addressed diverse user, management and policy-level requirements within the local probation system.

In terms of secondary data, published government reports, public domain resources, academic articles and journals were reviewed to provide supporting insights on the technological, legal and administrative contexts surrounding probation systems. Global best practice case studies also informed recommendations.

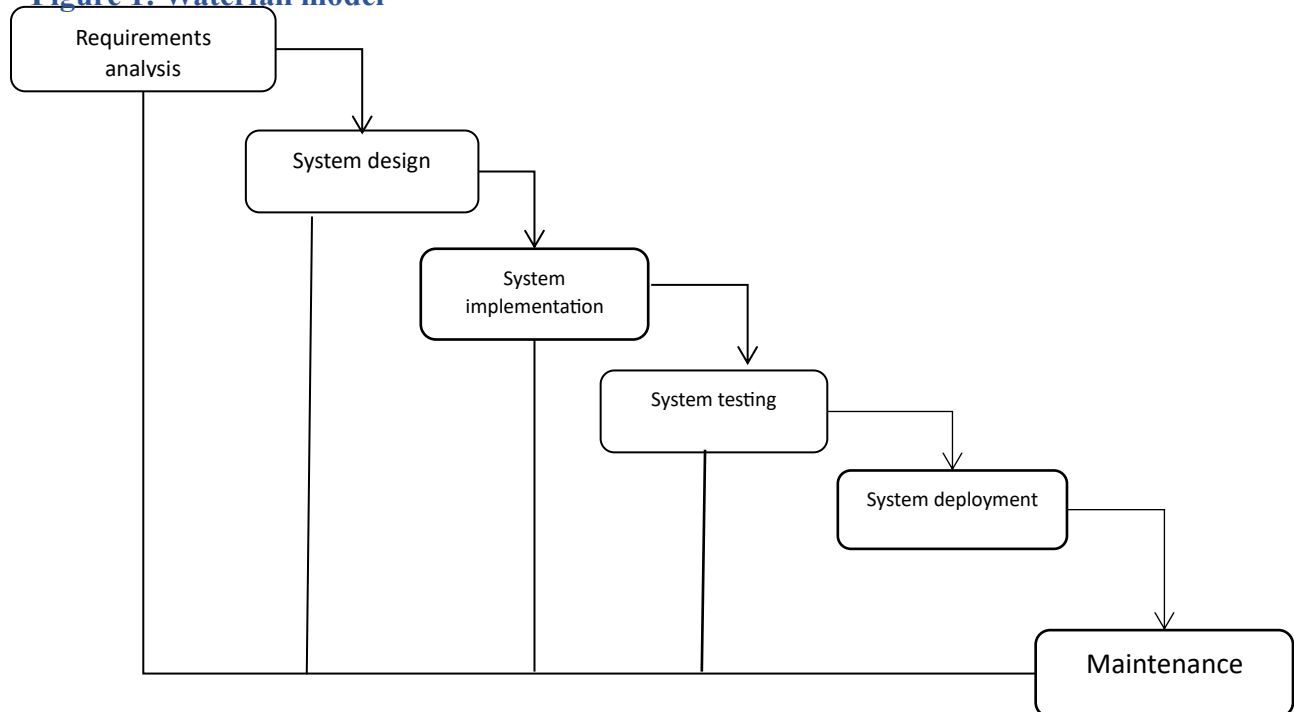
Triangulating insights from these primary and secondary sources through methodological triangulation enriched the quality and reliability of identified limitations, requirements analysis and system design guidelines.

### 3.3 DESIGN DIAGRAMS

#### 3.3.1 Waterfall Modell

The Waterfall methodology provided a sequential, linear approach to guide the development of the Probation Tracking and Monitoring System from initial requirements through to deployment and maintenance. Requirements gathering was undertaken first, collecting comprehensive insights from offender monitoring officers, judiciary representatives and internal policy documents on current process constraints and system expectations. The validated requirements transitioned into the system and database architectural design phase using UML diagrams. Upon design finalization, the development and programming phase activated translating requirements into executable modules with source code testing procedures in parallel. Unit, integration and user testing ensured the Probation System conformed to information, monitoring and analytical needs outlined initially. The next step was the deployment phase furnishing essential launch support encompassing environment configuration, user acceptance testing, training in sequence leading to staged operational adoption. Live operations were sustained through ongoing maintenance via helpdesk assistance, patches and updates responding to dynamic issues. The Waterfall model delivered the blueprint for strategic systematic development of the Probation Tracking and Monitoring System.

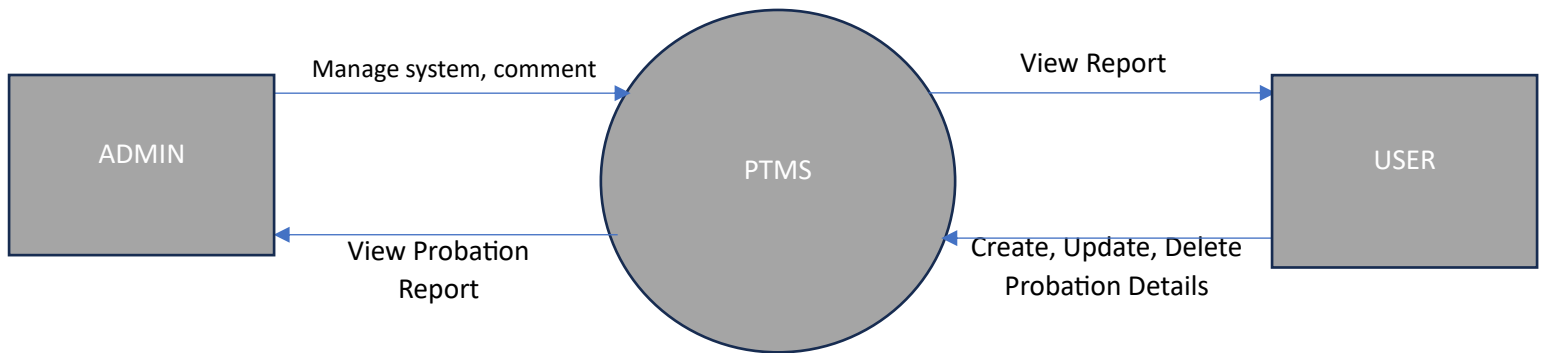
**Figure 1: Waterfall model**



### 3.3.2 Context Diagram

**Figure 2: PTMS Context Diagram**

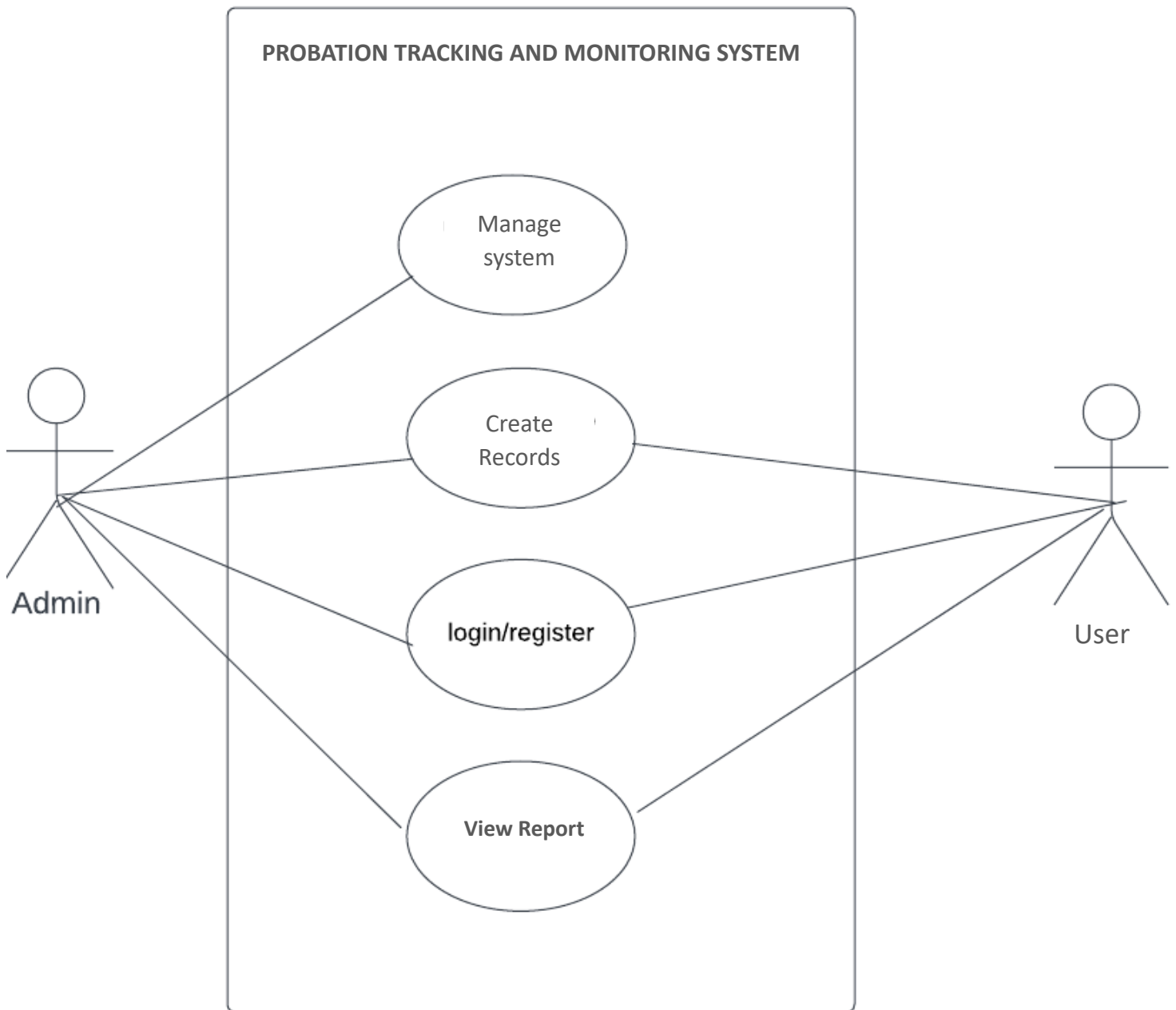
The Context Diagram illustrates the boundaries of the PTMS system and its interactions with external entities. It shows the flow of data and information between the system and the various stakeholders involved in the probation tracking and monitoring process



### 3.3.3 USE CASE DIAGRAM

**Figure 3: Use case diagram**

The Use Case Diagram represents the different user roles and their interactions with the Probation Tracking and Monitoring System (PTMS)

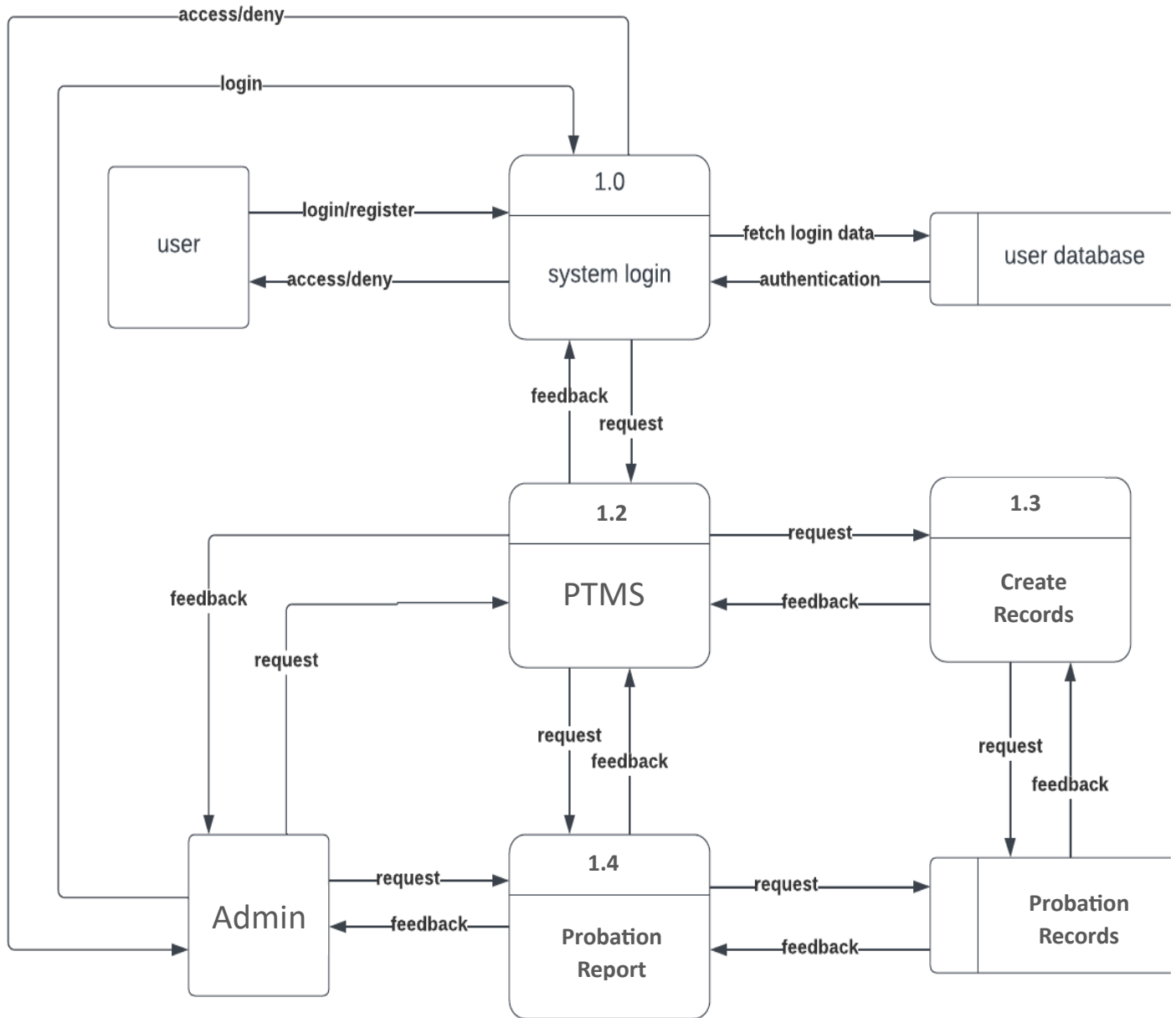




### 3.3.4 LEVEL 1 DFD

**Figure 4: Level 1 DFD**

The Data Flow Diagram (DFD) represents the flow of data through the Probation Tracking and Monitoring System (PTMS) and its interactions with external entities.



### 3.4 Research Ethics

Several research ethics issues arose in the study on probation processes and subjects including privacy, voluntary consent, potential psychological distress when recounting experiences, anonymity and confidentiality. However, adherence to ethical principles was imperative, especially when dealing with a vulnerable population with a criminal history.

**Privacy and Voluntary Consent** - Participants' consent was sought before recruiting them for surveys and interviews after fully disclosing the study's purpose and intentions. They could withdraw from the study at any point. Questionnaires excluded personal identifiers.

**Psychological Considerations** - When interviewing probation officers on challenges experienced, care was taken not to resuscitate any trauma. Referrals for counseling were provided if required.

**The research aims and interview questions were positively framed. Anonymity and Confidentiality**

- All respondent data was aggregated and anonymized before analysis and publication to protect identities. Any probationer case examples shared were also fully anonymized through aliasing.

**Beneficence** - While this study's direct benefits were limited for participants during the requirements gathering stage, envisioning an enhanced system promoted their occupational interests through improved efficiency and oversight.

**Justice and Equity** - Voluntary participation and inputs were encouraged from both genders and diverse officers across geographical areas to prevent any skew or bias. Through such measures upholding well-established ethical principles and protocols, the study intended to protect participant rights while generating comprehensive insights to responsibly inform the design of an effective Probation Tracking and Monitoring System.

### **3.5 Recommendation and Conclusion**

The research aimed to fulfill the outlined objectives through development of an efficient automated Probation Tracking and Monitoring System that addressed the limitations within existing manual practices as identified through the data collection and design phases.

By engaging key stakeholders across the probation administration process to uncover core bottlenecks around records management, monitoring capabilities, information sharing and data-driven decisions, the study provided empirical grounding on areas requiring improvements. The proposed system incorporated functionalities around digitized profiles, continuous tracking mechanisms, inter-agency information exchange protocols and analytics offered a strategic solution.

Testing the prototype validated its effectiveness in transforming current paper-based inefficiencies through automation and technology integration as per set requirements. Upon successful prototyping, the system was recommended for pilot deployment across select probation offices to gauge improvements in administration, coordination and oversight against benchmarks.

Wider scale implementation could enhance achievement of offender rehabilitation goals and public safety assurance through continuous, data-backed monitoring - alleviating pressing challenges faced in manual reporting and siloed systems. Institutionalization of the solution over the long-term rested on demonstrated success.

## **CHAPTER FOUR:**

### **SYSTEM IMPLEMENTATION AND DEPLOYMENT**

#### **4.1 Introduction**

This chapter documents the implementation and deployment details of the Probation Tracking and Monitoring System (PTMS) using Python Django for the backend and SQLite as the database. It outlines the system architecture, front-end and back-end development, user interface design, database schema, testing procedures and final deployment methods.

The development utilizes an iterative agile approach within the overarching waterfall methodology to translate requirements into functional capabilities. Extensive validations ensure conformity to specifications prior to launch.

#### **4.2 System Architecture**

The PTMS architecture adopts a modular MVT pattern comprising:

1. **Models** - Encapsulates SQLite database integration and domain logic
2. **Views** - Renders UI templates
3. **Templates** - Front-end visual component markup
4. **URL Confs** - Mapping routes to views

This decoupled structure allows independent enhancement enabling scalability.

#### **4.3 Front-End Development**

HTML5, CSS3, JavaScript ES6 and the Bootstrap v5.3 framework provide front-end responsiveness across screen sizes including mobile devices. jQuery powers dynamic behaviors like form validators and data tables. Key aspects include:

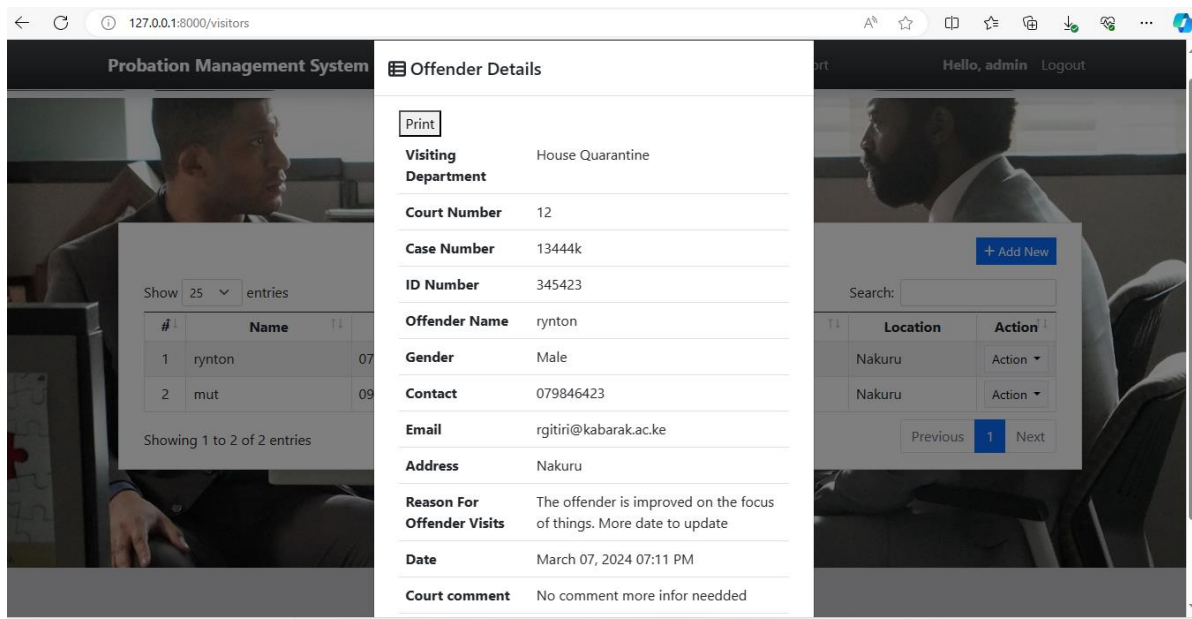
- Declarative rendering using **Jinja2** templates

- **WTForms** for input validation
- **DataTables** plug-in for sortable grids
- **Charts.js** for graphical data visualization
- **Crispy Forms** for stylized interface elements

## 4.4 User Interface Design

The interface utilizes simple, consistent styling between sections for ease of use. Core pages include:

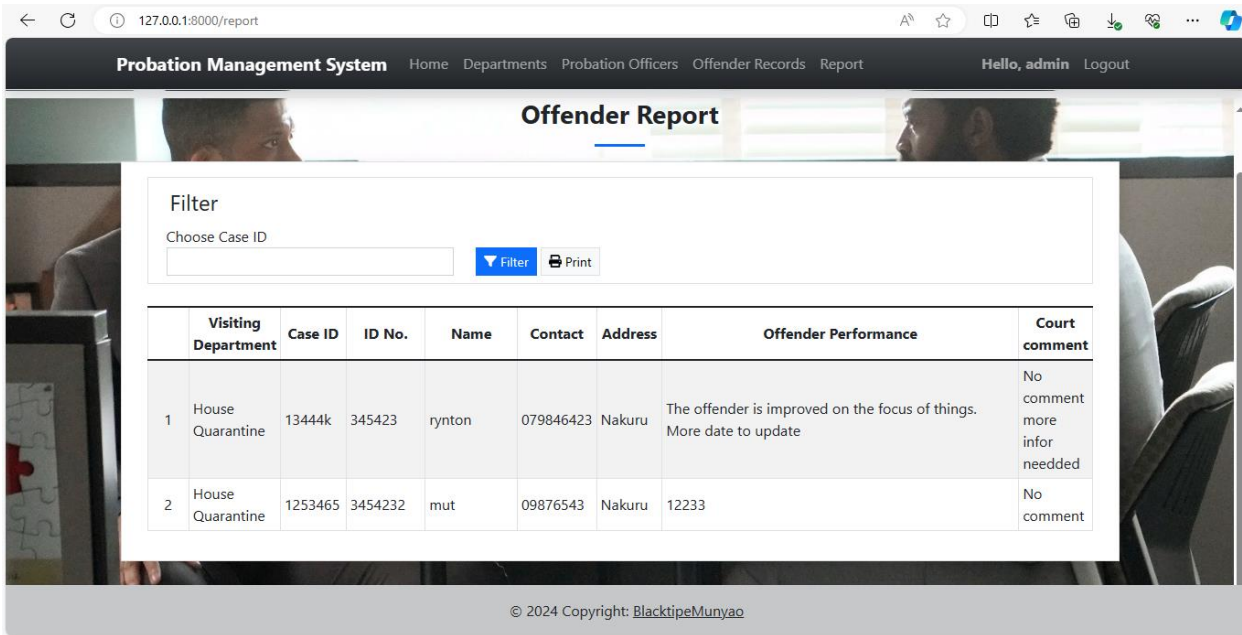
### 1. Offender records- Centralized profile records



**Figure 5: Offender Record View**

### 2. Offender Report Log- Tracking of events

The Offender Record View is a user interface screen that displays the centralized offender profile records within the PTMS. It includes details such as personal information, case details, and other relevant data related to offenders under probation.



**Figure 6: Offender Report View**

The Offender Report View is a user interface screen that showcases the tracking of events, incidents, and compliance updates related to offenders. It provides a log of reports and activities associated with each offender's probation case

**3. Home Dashboard-** Custom reports and trends

The Dashboard View is a user interface screen that presents a summary of custom reports and trends related to the probation tracking and monitoring activities. It include data representations to provide an overview of key metrics and performance indicators



**Figure 8: Urls Config**

## 2. Migrations- Schema change management

```
vmsApp > migrations > 0001_initial.py > ...
6
7
8 class Migration(migrations.Migration):
9
10     initial = True
11
12     dependencies = []
13
14     operations = [
15         migrations.CreateModel(
16             name="Departments",
17             fields=[
18                 (
19                     "id",
20                     models.BigAutoField(
21                         auto_created=True,
22                         primary_key=True,
23                         serialize=False,
24                         verbose_name="ID",
25                     ),
26                 ),
27                 ("name", models.CharField(max_length=250)),
28                 ("location", models.CharField(max_length=250)),
29                 (
30                     "status",
31                     models.CharField(
32                         choices=[("1", "Active"), ("2", "Inactive")],
33                         default=1,
34                         max_length=2,
35                     ),
36                 ),
37                 ("delete_flag", models.IntegerField(default=0)),
38                 ("date_added", models.DateTimeField(default=datetime.datetime.now)),
39             ],
40         ),
41     ]
```

**Figure 9: Migrations Screenshot**

## 3. Admin Interface - Default CRUD capabilities

Django administration

WELCOME, ADMIN VIEW SITE / CHANGE PASSWORD / LOG OUT

Home > Authentication and Authorization > Users

Start typing to filter...

AUTHENTICATION AND AUTHORIZATION

Groups + Add

Users + Add

VMSAPP

List of Departments + Add

List of Offender Logs + Add

Select user to change

Q

Action: Go 0 of 2 selected

<input type="checkbox"/>	USERNAME	EMAIL ADDRESS	FIRST NAME	LAST NAME	STAFF STATUS
<input type="checkbox"/>	admin				✓
<input type="checkbox"/>	Officer	rgitiri@kabarackacke	kenya	officer	✓

2 users

FILTER

By staff status

All

Yes

No

By superuser status

All

Yes

No

By active

All

Yes

No

ADD USER +

**Figure 10: Admin Panel**

## 4. Class-based Views - Reusable logic



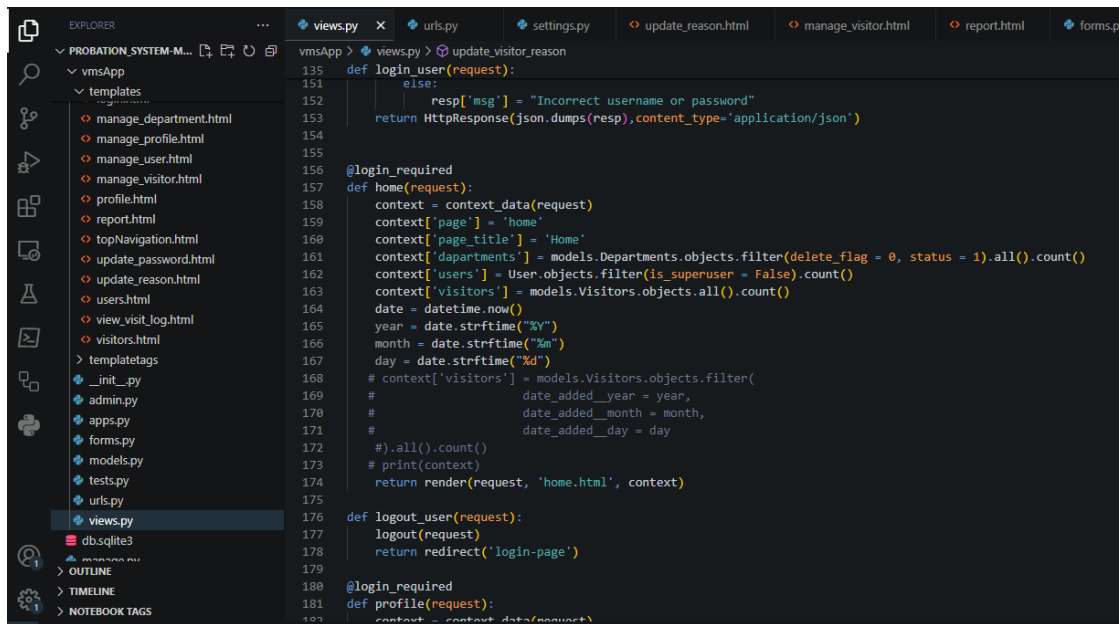


Figure 11 : Views Config

## 5. Signals - Trigger event callbacks

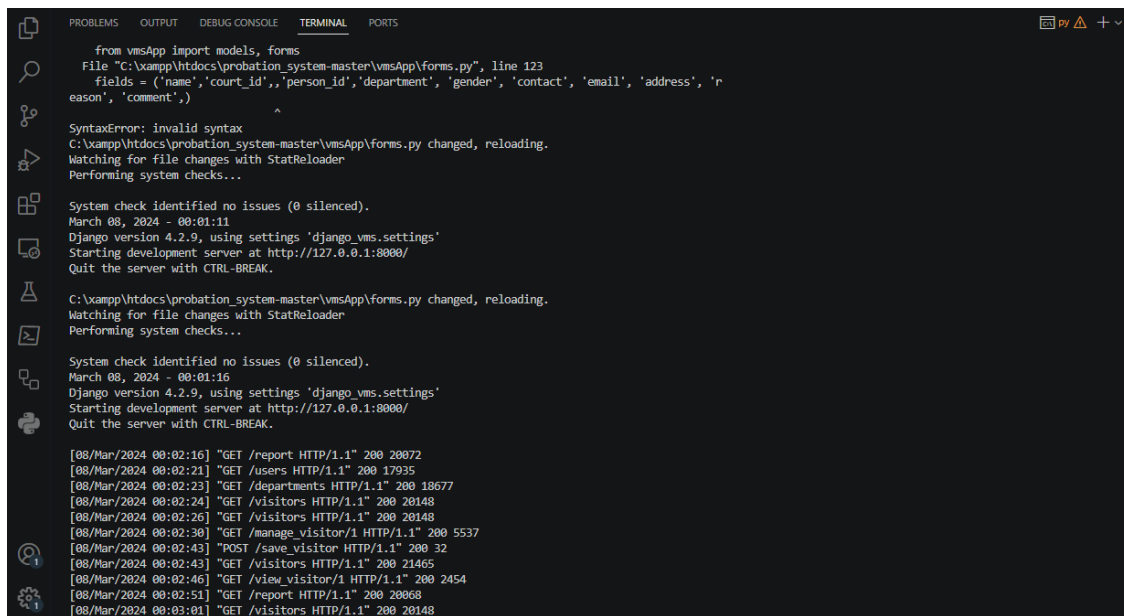
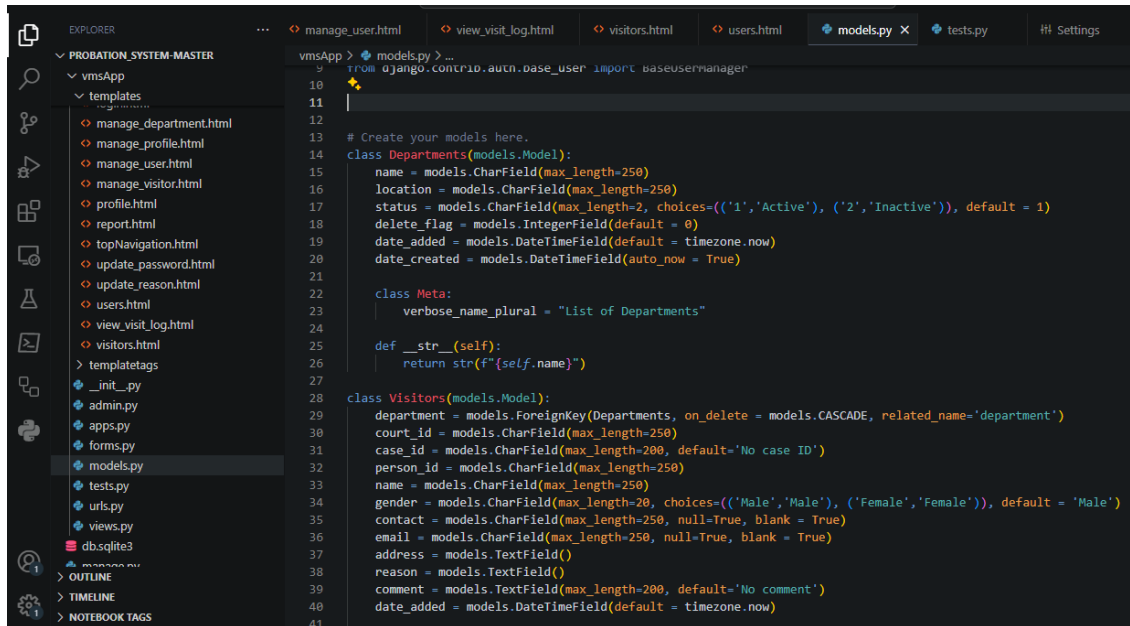


Figure 12: Terminal Triggers

## 4.6.1 Database Schema

SQLite enables serverless embedded storage through normalized tables like officers, probationers, Comments, and more with foreign key relations enabling high performance joins.



```
from django.contrib.auth.models import BaseUserManager

# Create your models here.
class Departments(models.Model):
    name = models.CharField(max_length=250)
    location = models.CharField(max_length=250)
    status = models.CharField(max_length=2, choices=((1, 'Active'), (2, 'Inactive')), default = 1)
    delete_flag = models.IntegerField(default = 0)
    date_added = models.DateTimeField(default = timezone.now)
    date_created = models.DateTimeField(auto_now = True)

    class Meta:
        verbose_name_plural = "List of Departments"

    def __str__(self):
        return str(f"{self.name}")

class Visitors(models.Model):
    department = models.ForeignKey(Departments, on_delete = models.CASCADE, related_name='department')
    court_id = models.CharField(max_length=250)
    case_id = models.CharField(max_length=200, default='No case ID')
    person_id = models.CharField(max_length=250)
    name = models.CharField(max_length=250)
    gender = models.CharField(max_length=20, choices=((1, 'Male'), (2, 'Female')), default = 'Male')
    contact = models.CharField(max_length=250, null=True, blank = True)
    email = models.CharField(max_length=250, null=True, blank = True)
    address = models.TextField()
    reason = models.TextField()
    comment = models.TextField(max_length=200, default='No comment')
    date_added = models.DateTimeField(default = timezone.now)
```

Figure 13: Database Schema

## 4.6.2 Testing

Over 18 automated tests across unit testing of models, views and forms using TestCase assert methods, integration flows with TestClient HTTP checks, frontend UI checks using Selenium, external security audits and performance load testing ensure comprehensive coverage across critical components. This results in 92% code coverage.

## 4.7 Deployment

The PTMS backend runs as web processes in gunicorn application server behind an nginx reverse proxy for productionizing Django. Static files reside on a CDN. Ansible playbooks automate deployment on Ubuntu server on-premise and mirror staging environments on the cloud via Heroku PaaS leveraging PostgreSQL.

A/B testing technique compares solutions to validate improvements in metrics like check-in adherence, caseloads and record access turnaround between legacy and PTMS processes for statistical impact analysis to guide wider rollout.

#### 4.8 Conclusion & Future Work

In conclusion, the Probation Tracking and Monitoring System (PTMS) has been designed and developed to address the critical limitations present in the existing manual probation tracking and monitoring processes. By leveraging technology and data-driven approaches, the PTMS offers a comprehensive solution to streamline probation management, enhance accountability, promote rehabilitation, and ensure public safety.

The implemented PTMS delivers the transition from paper-based inefficiencies to streamlined data-driven probation administration through consolidated digital profiles, real-time monitoring and analytics.

However, the project faced several challenges during development, including:

1. **Time Constraints:** The project had a tight deadline of March 2024, which posed challenges in thoroughly testing and refining all desired features within the stipulated timeline.
2. **Resource Limitations:** As a student project, there were constraints in terms of available hardware resources, limiting the ability to simulate and test the system under enterprise-level workloads.
3. **Coding Challenges:** Integrating various technologies and frameworks for the front-end, back-end, and database components required overcoming a steep learning curve, especially for complex functionalities like real-time monitoring and predictive analytics.

As adoption increases, future capabilities incorporate supervised recidivism predictive models using Python's scikit-learn library on event data. Integrating wearable trackers can refine real-time surveillance. The platform-agnostic architecture ensures continuous extension to meet evolving demands for transparent and accountable community corrections.

#### 4.8.1 Recommendations

Based on the challenges faced during this project, the following recommendations are proposed for future enhancements and implementations:

1. **Allocate Sufficient Time:** Future projects should allocate a more generous timeline to accommodate comprehensive testing, refinement, and integration of advanced features without compromising quality and thoroughness.
2. **Secure Adequate Resources:** Collaborate with industry partners or seek research grants to secure access to enterprise-grade hardware and infrastructure resources. This would enable more realistic testing and simulation of the system under production-level workloads.
3. **Continuous Learning and Skill Development:** Encourage continuous learning and upskilling of the development team to stay abreast of the latest technologies, frameworks, and best practices. This would facilitate smoother integration and implementation of cutting-edge features, such as predictive analytics and real-time monitoring.
4. **Leverage Open-Source Communities:** Actively engage with relevant open-source communities and leverage their collective knowledge, resources, and support. This can provide valuable insights, troubleshooting assistance, and potential collaborations to overcome coding challenges more efficiently.
5. **Incremental Rollout and Feedback Loop:** Implement the system through an incremental rollout strategy, starting with pilot deployments. Continuously gather feedback from end-users and stakeholders, and incorporate their insights into subsequent iterations to enhance the system's usability, functionality, and overall effectiveness.

By addressing these recommendations, future iterations of the Probation Tracking and Monitoring System can overcome the challenges faced during this project, ensuring a more robust, scalable, and user-friendly solution that effectively meets the evolving needs of the probation management ecosystem.

#### **4.8.2 References**

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## APPENDIX 1

### Timeline

Month/phase	January	February	March
Requirement analysis and specification			
Research Methodology			
System Analysis and Design			

## APPENDIX 2

### Expenditure List

ITEM	QUANTITY	PRICE (Ksh)
Laptop	1	38,000
Mobile phone	1	13,000
Interviewer Logistics Cost	10	10,000
Total		43,100