

# LLM PA3 Report

**Model Choice:** Three models were chosen for inferencing with pre-training which were BART-LARGE-CNN, t5-large, Pegasus Xsum. Out of the three model choices BART-CNN-LARGE was chosen for further fine-tuning.

**Dataset Preparation:** The first step in the dataset preparation was to load the pretrained checkpoint from the BART model using the AutoTokenizer function of the transformers library. Once the tokenizer is loaded using this tokenizer, we tokenize both the dialogues and summaries with padding set to max\_length. The last step is to divide the tokenized data into batches. Now the data is ready for processing.

**Fine-Tuning Process:** Once the data is ready the model is loaded using the AutoModelForSeq2SeqLM function of the transformers library. Rouge metric function is defined for evaluating the validation. The training arguments used for fine tuning this particular model is

```
training_args = Seq2SeqTrainingArguments(  
    output_dir = '/content/drive/MyDrive/PA3',  
    num_train_epochs=1,  
    per_device_train_batch_size=2,  
    per_device_eval_batch_size=2,  
    warmup_steps=500,  
    weight_decay=0.01,  
    logging_dir='./logs',  
    logging_steps=10,  
    eval_strategy="epoch",  
    learning_rate=2e-5,  
    gradient_accumulation_steps=2,  
    save_total_limit=2,  
    predict_with_generate=True,  
    fp16=True  
)
```

**Output\_dir** – The path for storing the finetuned model

**num\_train\_epochs** – The number of epochs for training the model. Since the BART Large is a very huge model and with the computational restrictions training was done only on 1 epoch

**per\_device\_train\_batch\_size:** Number of training examples per batch per device (GPU/CPU).

**per\_device\_eval\_batch\_size:** Number of evaluation examples per batch per device (GPU/CPU).

**warmup\_steps:** Number of steps for the learning rate warmup to increase gradually from zero to the initial value.

**weight\_decay:** The weight decay to apply to the optimizer, which helps in regularization and preventing overfitting.

**logging\_dir**: Directory where the logs are saved.  
**logging\_steps**: Frequency (in steps) of logging training metrics and information.  
**eval\_strategy**: The evaluation strategy to use during training (e.g., "epoch" to evaluate at the end of each epoch).  
**learning\_rate**: The initial learning rate for the optimizer.  
**gradient\_accumulation\_steps**: Number of steps to accumulate gradients before performing a backward/update pass.  
**save\_total\_limit**: Maximum number of checkpoint files to keep; older ones are deleted.  
**predict\_with\_generate**: Whether to use the model's generate method to predict sequences during evaluation.  
**fp16**: Whether to use 16-bit (half-precision) floating-point format for training, which can improve speed and reduce memory usage on compatible GPUs.

### **Summarization before Fine-Tuning**

Original Conversation: Ralph: Have you ever heard of a company called "Venque"?

Victoria: No. what is this?

Ralph: <file\_other>

Ralph: Check it out

Charles: it's a Canadian company

Charles: They have very beautiful but expensive products.

Ralph: What do think about this bag?

Ralph: <file\_other>

Charles: Very stylish

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**Original Summary: The Canadian company Venque has beautiful but expensive products.**

**Summary from BART: Charles: They have very beautiful but expensive products. Ralph: Have you ever heard of a company called "Venque"?**

**Victoria: No. what is this? Charles: It's a Canadian company called Venque.**

ROUGE Scores: {'rouge1': Score(precision=0.22580645161290322, recall=0.7777777777777778, fmeasure=0.35000000000000003), 'rouge2': Score(precision=0.13333333333333333, recall=0.5, fmeasure=0.2105263157894737), 'rougeL': Score(precision=0.12903225806451613, recall=0.44444444444444444, fmeasure=0.19999999999999998) }

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Original Conversation: Elizabeth: that new restaurant at the corner of chapel and college st finally opened

Aiden: oh really? what it it?

Elizabeth: it's a burger place

Aiden: ohh... i'm disappointed, i was hoping it would be something cooler

Elizabeth: such as?  
Aiden: a vegan restaurant  
Elizabeth: yeah, that would have been better  
Aiden: having said that, would you like to go?  
Elizabeth: sure, i'm not free tonight though  
Aiden: tomorrow?  
Elizabeth: that would be great  
Aiden: and who knows? they might have great burgers!  
Elizabeth: maybe even a vegan burger!!! lol  
Aiden: hahaha that would be interesting  
Elizabeth: can you pick me up?  
Aiden: sure, what time?  
Elizabeth: let's make it 9, i'll have a long day at work tomorrow  
Aiden: wow, that's late  
Elizabeth: i'm used to it  
Aiden: ok, i'll see you tomorrow at 9, then!

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**Original Summary: The new burger restaurant at the corner of Chapel and College Street finally opened. Elizabeth and Aiden will go there tomorrow. Aiden will pick Elizabeth up at 9 o'clock.**  
**Summary from BART: Elizabeth tells Aiden about a new burger place. Aiden is disappointed, thinking it would have been a vegan restaurant. Elizabeth asks Aiden to pick her up at 9pm tomorrow.**

ROUGE Scores: {'rouge1': Score(precision=0.3793103448275862, recall=0.36666666666666664, fmeasure=0.3728813559322034), 'rouge2': Score(precision=0.07142857142857142, recall=0.06896551724137931, fmeasure=0.07017543859649124), 'rougeL': Score(precision=0.27586206896551724, recall=0.26666666666666666, fmeasure=0.2711864406779661)}

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Original Conversation: Mike: R we meeting at 5 p.m. today?  
Paul: Yes  
Paul: Don't forget about it!  
Paul: I know you  
Mike: yes yes  
Mike: I'll try :)

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**Original Summary: Mike reminds Paul that they are meeting at 5 pm today.**  
**Summary from BART: Paul: Don't forget about it! Mike: R we meeting at 5 p.m. today? Paul: Yes. Mike: I'll try :)**

ROUGE Scores: {'rouge1': Score(precision=0.2857142857142857, recall=0.5454545454545454, fmeasure=0.37499999999999994), 'rouge2': Score(precision=0.1, recall=0.2, fmeasure=0.13333333333333333), 'rougeL': Score(precision=0.23809523809523808, recall=0.45454545454545453, fmeasure=0.31249999999999994)}

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Original Conversation: Jim: there are some cheap flights advertised  
Jim: in January  
Lane: What date  
Jim: 15-24  
Matt: I'm away on 15th & 16th  
Matt: Can you find something later on?  
Jim: there is one on 20th  
Matt: That'd be fine  
Matt: What time does it land?  
Jim: 8:15pm  
Matt: Ok, I can pick you up  
Jim: Lane, are you okay with 20th  
Lane: sure, no problem  
Jim: thanks  
Jim: so I will see all of you soon  
Lane: can't wait  
Jim: me too  
Matt: bring me a few bottles of foreign goodies ;)  
Jim: will do

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**Original Summary: Jim found cheap flights to visit Matt and Lane. He is flying in on the 20th, landing at 8:15pm. Matt will pick him up.**  
**Summary from BART: Jim: There are some cheap flights advertised in January. Lane: I can pick you up at 8:15pm on 20th January. Jim: so I will see all of you soon Lane: can't wait**

ROUGE Scores: {'rouge1': Score(precision=0.38235294117647056, recall=0.52, fmeasure=0.4406779661016949), 'rouge2':  
Score(precision=0.09090909090909091, recall=0.125, fmeasure=0.10526315789473685), 'rougeL':  
Score(precision=0.23529411764705882, recall=0.32, fmeasure=0.2711864406779661)}

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Original Conversation: Paul: Hey Meg, how are your interviews going?  
Meghan: Excellent! I've almost made it. There's only one interview left with the Hub Director 😊  
Paul: Glad to hear that! It's cheered me right up!  
Meghan: How's your work?  
Paul: Not so bad, but I'm thinking of moving on and changing it for something much better

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**Original Summary: Meghan is having interviews and they are going well. Meghan is having just one more with the Hub Director. Paul is considering looking for a better job.**  
**Summary from BART: Meghan: How's your work? Paul: Not so bad, but I'm thinking of moving on and changing it for something much better. Paul: Hey Meg, how are your interviews going?**

ROUGE Scores: {'rouge1': Score(precision=0.25806451612903225, recall=0.2962962962962963, fmeasure=0.27586206896551724), 'rouge2':

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Score(precision=0.0, recall=0.0, fmeasure=0.0), 'rougeL':
Score(precision=0.12903225806451613, recall=0.14814814814814814,
fmeasure=0.13793103448275862)}
```

## **Summarization after Fine-Tuning**

Original Conversation: Ralph: Have you ever heard of a company called "Venque"?

Victoria: No. what is this?

Ralph: <file\_other>

Ralph: Check it out

Charles: it's a Canadian company

Charles: They have very beautiful but expensive products.

Ralph: What do think about this bag?

Ralph: <file\_other>

Charles: Very stylish

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**Original Summary: The Canadian company Venque has beautiful but expensive products.**

**Summary from BART: Charles: They have very beautiful but expensive products. Ralph: Have you ever heard of a company called "Venque"?**

**Victoria: No. what is this? Charles: It's a Canadian company called Venque.**

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Elizabeth: such as?

Aiden: a vegan restaurant

Elizabeth: yeah, that would have been better

Aiden: having said that, would you like to go?

Elizabeth: sure, i'm not free tonight though

Aiden: tomorrow?

Elizabeth: that would be great

Aiden: and who knows? they might have great burgers!

Elizabeth: maybe even a vegan burger!!! lol

Aiden: hahaha that would be interesting

Elizabeth: can you pick me up?  
Aiden: sure, what time?  
Elizabeth: let's make it 9, i'll have a long day at work tomorrow  
Aiden: wow, that's late  
Elizabeth: i'm used to it  
Aiden: ok, i'll see you tomorrow at 9, then!

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Matt: Ok, I can pick you up  
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Jim: thanks  
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Meghan: How's your work?  
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**Original Summary: Meghan is having interviews and they are going well. Meghan is having just one more with the Hub Director. Paul is considering looking for a better job. Summary from BART: Meghan: How's your work? Paul: Not so bad, but I'm thinking of moving on and changing it for something much better. Paul: Hey Meg, how are your interviews going?**

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## Rouge Scores before Fine-Tuning

```
{ 'rouge1': AggregateScore(low=Score(precision=0.2316205533596838,
recall=0.36918518518518517, fmeasure=0.30422372227579564),
mid=Score(precision=0.28501848782353695, recall=0.5012390572390573,
fmeasure=0.3477467052394728), high=Score(precision=0.33740323997336624,
recall=0.6797575757575757, fmeasure=0.38693989071038243)),

'rouge2': AggregateScore(low=Score(precision=0.03428571428571429,
recall=0.05, fmeasure=0.04067796610169492),
mid=Score(precision=0.07469696969696969, recall=0.17629310344827587,
fmeasure=0.09889830508474577), high=Score(precision=0.10547619047619047,
recall=0.33999999999999997, fmeasure=0.15389830508474578)),

'rougeL': AggregateScore(low=Score(precision=0.14044795783926217,
recall=0.22992592592592592, fmeasure=0.17055425448868072),
mid=Score(precision=0.18802045702466458, recall=0.32676094276094275,
fmeasure=0.22850346696055474), high=Score(precision=0.23465092722455974,
recall=0.42359595959595956, fmeasure=0.2750241080038573)),

'rougeLsum': AggregateScore(low=Score(precision=0.14044795783926217,
recall=0.2296592592592595, fmeasure=0.1767596546815449),
mid=Score(precision=0.18802045702466458, recall=0.32676094276094275,
fmeasure=0.22850346696055474), high=Score(precision=0.23462677263518777,
recall=0.42359595959595956, fmeasure=0.2750241080038573)) }
```

## Rouge Scores after Fine-Tuning

```
{ 'rouge1': AggregateScore(low=Score(precision=0.1,
recall=0.05703703703703704, fmeasure=0.0731457800511509),
mid=Score(precision=0.26388888888888889, recall=0.16176430976430978,
fmeasure=0.1958178448284788), high=Score(precision=0.42888888888888889,
recall=0.28218181818181814, fmeasure=0.3295546558704453)),

'rouge2': AggregateScore(low=Score(precision=0.0, recall=0.0,
fmeasure=0.0), mid=Score(precision=0.06547619047619048,
recall=0.047692307692307694, fmeasure=0.05505882352941176),
high=Score(precision=0.17976190476190473, recall=0.1276923076923077,
fmeasure=0.1491764705882353)),

'rougeL': AggregateScore(low=Score(precision=0.06944444444444445,
recall=0.03995555555555556, fmeasure=0.04660633484162896),
mid=Score(precision=0.19344444444444445, recall=0.12428282828282829,
fmeasure=0.14820816550524454), high=Score(precision=0.35,
recall=0.2537373737373737, fmeasure=0.29355229505990044)),

'rougeLsum': AggregateScore(low=Score(precision=0.07193611111111116,
recall=0.03995555555555556, fmeasure=0.047047511312217204),
mid=Score(precision=0.19344444444444445, recall=0.12428282828282826,
fmeasure=0.14820816550524452), high=Score(precision=0.34900000000000003,
recall=0.24751515151515152, fmeasure=0.29309980637211763)) }
```



## **Analysis**

- Before Fine tuning the model was just outputting the main dialogues and there was no coherence in the summaries
- Just with one epoch the model's summarization did improve a little bit but still outputs the dialogues as the summarization except that this time it catches the correct conversations to match the original summaries.
- When comparing the rouge scores from before fine tuning to after fine tuning we can clearly see an increase in the highs of all the rouge metrics