



**Northumbria  
University  
NEWCASTLE**

**Dissertation KF7029 MSc Computer Science and Digital Technologies Project**

Student Name	Raghu Murugankutty
Student ID	W20024451
Supervisor Name	Yilun Shang
Second Supervisor Name	Gerhard Fehringer
MSc Programme	MSc Data Science with Advanced Practice
Dissertation Title	Face Recognition Based Automatic Attendance Recording System using Deep Learning Libraries.
Month and Year of Submission	JAN/2024

**2023/2024**

## DECLARATION

I declare the following:

- (1) that the material contained in this dissertation is the result of my own work and that due acknowledgement has been given in the bibliography and references to **ALL** sources be they printed, electronic or personal.
- (2) I have not user the services of any agency providing model or ghost-written work, nor have I employed unauthorised artificial intelligence in the preparation of this dissertation.
- (3) the Word Count of this Dissertation is .....12800.....
- (4) that unless this dissertation has been confirmed as confidential, I agree to sections of the dissertation being placed on the eLearning Portal (Blackboard), if deemed appropriate, to allow future students the opportunity to see examples of past dissertations. I understand that if displayed on the eLearning Portal it would be made available for no longer than five years and that students would be able to print off copies or download.
- (5) I agree to my dissertation being submitted to a plagiarism detection service, where it will be stored in a database and compared against work submitted from this or any other Department or from other institutions using the service.  
In the event of the service detecting a high degree of similarity between content within the service this will be reported back to my supervisor and second supervisor, who may decide to undertake further investigation that may lead to disciplinary actions, should instances of plagiarism be detected.
- (6) I have read the Northumbria University Policy Statement on Ethics in Research and I confirm that ethical issues have been considered, evaluated, and appropriately addressed in this research.

**SIGNED: Raghu Murugankutty**

**DATE: 15/01/2024**

## Contents

DECLARATION .....	1
ABSTRACT .....	4
CHAPTER-1 .....	5
1.1 INTRODUCTION .....	5
1.2 MOTIVATION OF THE THESIS .....	6
1.3 SCOPE AND OBJECTIVES .....	7
1.4 PROBLEM DESCRIPTION .....	8
1.5 METHODOLOGY .....	9
1.6 STRUCTURE OF THE REPORT .....	10
1.7 SUMMARY .....	11
CHAPTER-2 .....	13
REVIEW OF LITERATURE .....	13
2.1 INTRODUCTION .....	13
2.2 HISTORICAL OVERVIEW OF ATTENDANCE RECORDING SYSTEMS .....	13
2.3 EVOLUTION OF FACE RECOGNITION TECHNOLOGY .....	14
2.4 DEEP LEARNING IN FACE RECOGNITION .....	16
2.5 EXISTING AUTOMATIC ATTENDANCE SYSTEMS .....	17
2.6 CHALLENGES AND LIMITATIONS .....	18
2.7 SUMMARY .....	20
CHAPTER-3 .....	21
TECHNIQUES EMPLOYED TO IMPLEMENT THE FACE RECOGNITION BASED ATTENDANCE SYSTEM	21
3.1 INTRODUCTION .....	21
3.2 DATA COLLECTION AND PREPROCESSING .....	21
3.3 DEEP LEARNING MODEL SELECTION .....	22
3.4 HOW FACE RECOGNITION ALGORITHM WORKS STEP BY STEP .....	23
3.4.1 STEP 1: FINDING ALL THE FACES. ....	23
3.4.2 STEP 2: POSING AND PROJECTING FACES .....	28
3.4.3 STEP 3: ENCODING FACES .....	30
3.4.4 STEP 4: FINDING THE PERSON'S NAME FROM THE ENCODING .....	33
3.5 SYSTEM ARCHITECTURE AND DEVELOPMENT .....	34
3.6 SUMMARY .....	37
CHAPTER-4 .....	38
PRACTICAL WORK .....	38
4.1 INTRODUCTION .....	38
4.2 DATASET .....	38

4.3 FACE RECOGNITION SYSTEM DESIGN AND IMPLEMENTATION .....	40
4.4 FACE ENCODING .....	42
4.5 MODEL SELECTION .....	43
4.6 DATABASE IMPLEMENTATION .....	45
4.7 SUMMARY .....	48
CHAPTER-5 .....	50
RESULTS AND ANALYSIS.....	50
5.1 INTRODUCTION .....	50
5.2 SYSTEM FUNCTIONAL TESTING .....	50
5.3 SYSTEM RESULTS EVALUATION.....	53
5.3.1 ACCURACY ASSESSMENT.....	53
5.3.2 SPEED AND PERFORMANCE .....	54
5.3.3 DATABASE INTEGRATION.....	55
5.3.4 USER EXPERIENCE .....	55
5.4 SYSTEM ANALYSIS.....	56
5.4.1 TECHNICAL SPECIFICATIONS.....	56
5.4.2 SCALABILITY AND ADAPTABILITY.....	56
5.4.3 SECURITY AND PRIVACY MEASURES .....	57
5.5 SUMMARY .....	57
CHAPTER-6 .....	58
CONCLUSION & FUTURE WORK .....	58
6.1 INTRODUCTION .....	58
6.2 CONCLUSION .....	58
6.3 RECOMMENDATIONS AND FUTURE WORK.....	59
6.4 SUMMARY .....	60
REFERENCES .....	62
APPENDIX I .....	65
PRACTICAL WORK: .....	65
APPENDIX II .....	65
LIST OF FIGURES.....	65

## ABSTRACT

In an era where digital technology and data-centric approaches are becoming increasingly crucial, this dissertation introduces an innovative project: the development of an Advanced Face Recognition-Based Automatic Attendance Recording System (AFRAS). Utilizing the latest advancements in deep learning libraries, this study explores the application of artificial intelligence to forge a system that is not only accurate but also efficient in automating the process of attendance recording. The integration of these advanced technologies underscores the system's potential in streamlining and modernizing attendance management. This project not only addresses the essential task of attendance tracking but also exemplifies the potential of facial recognition technology to revolutionize conventional administrative processes, making them more secure, seamless, and adaptive to the demands of contemporary educational and corporate settings (Deep Learning Libraries, 2023).

By leveraging deep learning algorithms and innovative facial recognition techniques, this dissertation endeavors to revolutionize the domain of attendance management systems (PureLogics, 2023). It delves into the practical application of groundbreaking technologies, providing a functional solution that optimizes resource utilization, minimizes errors, and safeguards the integrity of attendance records (PureLogics, 2023). As an investigation into the seamless integration of artificial intelligence into administrative processes, this research not only underscores the transformative potential of deep learning but also reinforces the capacity of technology to reshape the future of attendance tracking systems (PureLogics, 2023).

## CHAPTER-1

### 1.1 INTRODUCTION

In today's digital era, where technology increasingly intersects with educational methods, the task of recording attendance remains a critical but often inefficient and error-prone process. Traditional methods of manually tracking attendance are becoming outdated, plagued by inaccuracies and operational inefficiencies. This area is ripe for a significant overhaul to meet the demands of modern educational environments. This dissertation explores the remarkable potential of face recognition technology integrated with deep-learning libraries. The objective is to introduce an Advanced Face Recognition-Based Automatic Attendance Recording System – a forward-thinking solution aimed at enhancing the accuracy, efficiency, and security of attendance recording (Geitgey, *Machine learning is fun! part 4: Modern face recognition with deep learning* 2020).

As technology continues to redefine the boundaries of possibility, it is essential to harness these advancements for the betterment of everyday processes (Pranav K B, 2020). The significance of this research project lies not only in the automation of attendance recording but also in its alignment with the broader vision of creating intelligent, data-driven systems (Pranav K B, 2020). The intersection of artificial intelligence and administrative practices is particularly pronounced in this undertaking, presenting an exciting opportunity to adapt traditional methodologies to contemporary educational and corporate settings (Pranav K B, 2020). This introduction sets the stage for an in-depth exploration into the development, implementation, and evaluation of an innovative system that leverages the capabilities of deep learning and facial recognition technologies.

In the subsequent chapters, we will delve into the theoretical foundations of face recognition, the practical aspects of deep learning, and the design and implementation of the Advanced Face Recognition-Based Automatic Attendance Recording System (AFRAS) (Nagaraj et al., 2021). By examining the symbiotic relationship between data science and administrative efficiency, this dissertation aims to demonstrate the transformative potential of these technologies (Nagaraj et al., 2021). In addition to improving the accuracy of attendance recording, the system addresses security concerns, resource optimization, and adaptability to dynamic educational and corporate

environments (Deep Learning Libraries, 2023). In doing so, it contributes to the broader discourse on the constructive collaboration between artificial intelligence and everyday administrative processes (Nagaraj et al., 2021).

## 1.2 MOTIVATION OF THE THESIS

In this digital era, marked by the merging of technological advancements and educational practices, the task of recording attendance emerges as a key, yet conventionally burdensome, activity (Khan & Malik, 2022). The long-standing method of manual attendance management, often beset with errors and operational shortcomings, urgently needs modernization (Anand & Singh, 2022). It is within this context that this dissertation embarks on an exploration of the remarkable potential of face recognition technology integrated with deep learning libraries (Wang, Li, & Chen, 2022).

One of the key motivations for this research lies in the potential for substantial enhancement in accuracy and efficiency (Brown, 2020). By incorporating innovative facial recognition technologies, we aim to eliminate the common discrepancies encountered in manual attendance-taking, which can result from human errors, student impersonation, or simple oversight (Anand & Singh, 2022). Additionally, the digitalization of attendance recording has the potential to free up valuable instructional time and reduce administrative burdens, thus allowing educators and institutions to focus more on their core missions (Wang, Li, & Chen, 2022).

Furthermore, this thesis is motivated by the broader aspiration to underscore the transformational capacity of technology in education and administrative practices (Alzubaidi & Al-Hassani; Kumar & Gupta, 2023). As we propel ourselves into an era where data-driven decision-making is paramount, the development of an Advanced Face Recognition-Based Automatic Attendance Recording System serves as a testament to the adaptability and relevance of artificial intelligence in shaping the future of educational and corporate landscapes (Deep Learning Libraries, 2023). Beyond the immediate advantages of enhanced accuracy and efficiency, the system embodies the ethos of innovation and the quest to reimagine traditional processes to align with contemporary needs. The motivation is to unlock a future where administrative tasks not only keep pace with technological advancements but lead the way in improving operations and ensuring the integrity and security of records (Alzubaidi & Al-Hassani, 2023).

### 1.3 SCOPE AND OBJECTIVES

The scope of this dissertation encompasses the development, implementation, and evaluation of an Advanced Face Recognition-Based Automatic Attendance Recording System, utilizing deep learning libraries and state-of-the-art facial recognition technologies (Wang, M., & Deng, W., 2022). It aims to address the challenges inherent in manual attendance recording systems, offering a sophisticated, automated alternative. The scope further extends to examining the theoretical foundations of face recognition and the integration of these technologies into a functional solution (Thegaurdian, 2023). The study will explore the potential for widespread adoption of this system and investigate the implications for data security and privacy (Deep Learning Libraries, 2023).

The dissertation's scope also encompasses a comprehensive evaluation of the system's performance, benchmarked against traditional attendance recording methods. Furthermore, it examines the role of artificial intelligence and deep learning in the broader context of administrative processes, emphasizing the transformative capacity of technology in enhancing accuracy, efficiency, and security in attendance tracking. The investigation will delve into real-world deployment scenarios and consider practical challenges, including user acceptance and ethical considerations (Jain, A. K., & Kavita, V., 2020). Through this research, we aspire to set the stage for a broader discussion on the integration of innovative technologies into traditional processes and to define the system's potential role in the evolving landscape of educational and corporate settings.

The primary objective of this dissertation is to design and implement an Advanced Face Recognition-Based Automatic Attendance Recording System that leverages deep learning libraries and facial recognition technologies. The system should be capable of consistently and accurately recording attendance in educational institutions and corporate environments, offering a reliable alternative to traditional manual methods. The first objective is to develop a functional system that not only automates attendance recording but also enhances its accuracy and efficiency, ensuring the integrity of records.

The second objective is to evaluate the system's performance and benchmark it against traditional attendance recording methods to assess its effectiveness. This includes conducting comprehensive real-world deployment scenarios, addressing potential



challenges in user acceptance, and considering ethical implications related to privacy and data security. The research aims to provide empirical evidence of the system's benefits and its practical implications in educational and corporate settings.

Furthermore, the dissertation seeks to contribute to the broader discourse on the integration of artificial intelligence and deep learning in administrative processes, highlighting the transformative potential of technology in addressing longstanding challenges.

#### 1.4 PROBLEM DESCRIPTION

In the contemporary landscape of education and corporate environments, attendance recording stands as an essential yet persistently arduous administrative task. Manual attendance tracking methods have long been the norm, but they are fraught with inherent problems. These issues range from human errors in recording, to the potential for student impersonation, and even the growing need for strict data security and privacy compliance (Liu, X., Lu, Y., & Deng, W., 2017). The problems associated with traditional attendance recording have remained unresolved in the face of technological advancements and the advent of deep learning libraries and facial recognition technologies.

This dissertation tackles the significant issue of inaccuracies inherent in manual attendance recording methods. The traditional approach, dependent on human input, is susceptible to errors that can lead to consequential outcomes, such as flawed decision-making and a reduction in effective teaching time (Wang, M., & Deng, W., 2022).

Furthermore, the prevalence of student impersonation adds to the compromise of attendance record integrity. In a time where data accuracy and accountability are paramount, the limitations of conventional attendance recording methodologies call for an innovative solution that emphasizes precision, security, and operational efficiency.

Another critical problem this research aims to resolve is the demand for administrative efficiency and resource optimization. The manual attendance recording process is time-consuming and resource-intensive, diverting valuable instructional time and administrative workforce. The dissertation seeks to address these problems by harnessing the potential of deep learning and face recognition to develop an Advanced Face Recognition-Based Automatic Attendance Recording System. By doing so, it aims to offer an automated, efficient, and secure alternative that revolutionizes attendance

recording while ensuring data integrity and compliance with privacy standards (Jain, A. K., & Kavita, V., 2020).

Lastly, the problem of adapting to a rapidly evolving technological landscape represents a significant challenge. The emergence of deep learning libraries and facial recognition technologies introduces the need for educational institutions and corporate settings to align with modern technological trends. The dissertation recognizes this need and strives to provide a solution that ensures that attendance recording remains current and relevant in the digital age. In this context, it seeks to address both the practical problems of traditional attendance recording and the broader challenge of embracing technology's transformative potential (Smith, J., 2022).

### 1.5 METHODOLOGY

This dissertation employs a multi-faceted approach to develop and evaluate the Advanced Face Recognition-Based Automatic Attendance Recording System. The methodology encompasses data collection, model utilization, and real-time attendance recording mechanisms.

**Data Collection:** The initial phase of the research involves data collection, primarily from publicly available datasets that consist of facial images. These datasets serve as foundational resources for the development and training of the facial recognition model. Additionally, to ensure a diversified and comprehensive dataset, personal face images are collected from the researcher's smartphone image library. These images are used to enhance the recognition capabilities of the system and tailor it to real-world scenarios.

**Model Utilization:** The heart of the system lies in the implementation of facial recognition technology. In this dissertation, pre-trained models from the OpenCV library are utilized to perform face encoding. These models are chosen for their robustness and efficiency in recognizing facial features and encoding them into a format suitable for matching and identification. The pre-trained models are integrated into the system to facilitate the facial recognition process.

**Real-Time Attendance Recording:** To achieve real-time attendance recording, the dissertation leverages Google Firebase, a cloud-based platform known for its efficiency in real-time data management. Firebase is utilized to ensure the seamless and secure recording of attendance data. This mechanism is designed to accommodate the ever-

evolving nature of attendance recording in dynamic educational and corporate environments, providing a real-time solution that enhances efficiency and data accuracy.

The methodology adopted in this research project reflects a fusion of data collection from both public datasets and personal resources, the implementation of state-of-the-art pre-trained models for face encoding, and the utilization of Google Firebase for real-time attendance recording. This approach aims to demonstrate the practicality and effectiveness of the Advanced Face Recognition-Based Automatic Attendance Recording System in addressing the challenges of traditional attendance recording methods. By employing this comprehensive methodology, the research endeavours to provide empirical evidence of the system's viability and practical implications in diverse settings, emphasizing its potential to revolutionize attendance recording in the digital age.

## 1.6 STRUCTURE OF THE REPORT

### Chapter 1: Introduction

- Overview of the Problem Statement
- Scope and Objectives
- Methodology

### Chapter 2: Literature Review

- Review of related research and literature in the field of attendance recording, face recognition, and deep learning.
- An exploration of the existing solutions and technologies, highlighting their strengths and weaknesses.

### Chapter 3: Techniques Employed to Implement the Face Recognition-Based Attendance System

- In-depth description of the techniques and technologies used in the development of the system.
- Detailed explanation of the pre-trained models for face encoding and their integration into the system.
- Overview of data collection methods and their importance in model training.

### Chapter 4: Practical Work

- A comprehensive account of the practical implementation of the Advanced Face Recognition-Based Automatic Attendance Recording System.

- Details on the system's architecture, data flow, and integration with Google Firebase.
- Challenges faced during the implementation and how they were addressed.

#### Chapter 5: Results and Analysis

- Presentation of the results obtained during the evaluation of the system.
- Analysis of the system's performance in comparison to traditional attendance recording methods.
- Discussion of the implications and potential for widespread adoption in educational and corporate settings.

#### Chapter 6: Conclusion and Future Work

- A summary of the research findings and their significance.
- Discussion of the system's contributions and its impact on attendance recording.
- Suggestions for further research and potential improvements for the system.

#### References:

- A list of all sources, literature, and research materials cited throughout the thesis.

#### Appendices:

- Supplementary materials, including code snippets, diagrams, and additional data to support the research.

### 1.7 SUMMARY

Chapter 1 is the cornerstone of this thesis, meticulously presenting the context, goals, and methods of the research. It starts by examining the challenges inherent in traditional attendance recording within educational and corporate frameworks. Key issues identified include the inaccuracies of manual processes, vulnerability to student impersonation, and the growing necessity for precision and compliance with privacy regulations in our increasingly digital world.

The chapter transitions to defining the research's scope and objectives, underscoring the need to develop an Advanced Face Recognition-Based Automatic Attendance Recording System. This system's fundamental goal is to revolutionize the way attendance is recorded by introducing an automated, efficient, and secure method. It also aims to navigate the challenges posed by the rapid evolution of technology, ensuring the relevance and effectiveness of attendance recording.

In the methodology section, Chapter 1 lays out the approach used to address these challenges. It details the data collection process, which involves merging publicly available datasets with unique facial images, thereby ensuring a varied and extensive dataset. This aspect is pivotal for the successful training of the facial recognition model.

Finally, the chapter covers the integration of pre-trained OpenCV library models for face encoding and their incorporation into the system. It concludes by highlighting the role of Google Firebase in real-time attendance management, emphasizing its critical contribution to the system's efficiency and security in handling attendance data.

Thus, Chapter 1 sets a comprehensive and solid foundation for the thesis. It offers a detailed overview of the issues at hand, the scope of the research, and the methodologies employed, all pivotal to the development of the Advanced Face Recognition-Based Automatic Attendance Recording System. This chapter effectively prepares the ground for subsequent sections that delve into the technical details, implementation, results, and analysis of the system.

## CHAPTER-2

### REVIEW OF LITERATURE

#### 2.1 INTRODUCTION

Tracking attendance is a fundamental process that has been part of organized work and education systems for a long time. From simple roll calls to paper ledgers, the methods of recording attendance have evolved significantly, particularly with the rise of digital technologies. This chapter offers a historical overview of attendance recording systems, highlighting the shift from manual approaches to the automated, sophisticated solutions we see today (Menezes, A., Kumar, P., Narayanan, R., & Sandeep, A., 2020).

Beginning in the late 19th century with the standardization of paper-based systems and progressing through the digital era, we will explore how technological innovations have shaped and improved the way attendance is recorded. The development of face recognition technology, enhanced by deep learning and artificial intelligence, represents the latest advancement in these systems, promising improved accuracy and efficiency (Phillips, P. J., Moon, H., Rizvi, S. A., & Flynn, P. J., 2018).

We will examine the evolution of face recognition technology over the decades, from the early manual systems to the latest AI-driven applications. This historical context sets the foundation for understanding the current state of attendance systems and their significance in modern organizational settings. By examining the progression of these technologies, we shed light on the challenges and successes that have led to today's sophisticated attendance recording practices (Menezes, A., Kumar, P., Narayanan, R., & Sandeep, A., 2020).

#### 2.2 HISTORICAL OVERVIEW OF ATTENDANCE RECORDING SYSTEMS

Throughout history, the need to record attendance has been a fundamental aspect of organized societies, education, and workforce management. Traditional methods of attendance tracking often involved manual processes, such as calling out names or using paper-based registers (Smith, J., 2022). However, the digital revolution has significantly transformed this landscape. With the emergence of technology, attendance recording systems have evolved from manual methods to automated, sophisticated solutions.

Early iterations of attendance tracking systems date back to the late 19th century when paper-based methods became more standardized. Register books and time clocks marked the initial attempts at automating attendance tracking. These systems, although rudimentary, represented a significant departure from purely verbal or memory-based methods, offering more accurate and structured records (Phillips, P. J., Moon, H., Rizvi, S. A., & Flynn, P. J., 2018). However, they were susceptible to errors, such as friend punching in time clocks, where one employee could clock in or out for another.

The advent of computing in the mid-20th century brought a change in basic assumptions in attendance recording. Punch cards and mechanical systems gave way to early digital systems that utilized basic databases to manage attendance data. These systems improved accuracy and reduced manual labor but were still prone to manipulation and were limited in their ability to prevent fraudulent practices (Phillips, P. J., Moon, H., Rizvi, S. A., & Flynn, P. J., 2018).

The late 20th and early 21st centuries witnessed a substantial leap in attendance tracking, particularly in educational institutions and businesses. The introduction of barcode scanners, RFID technology, and biometric systems like fingerprint scanning started to address the loopholes of earlier systems. However, these methods also had their limitations, including issues with accuracy, privacy concerns, and sometimes the inconvenience of physical interaction required from the attendees (Case study: Does facial recognition tech enhance security 2022).

The recent emergence of face recognition technology, bolstered by advancements in deep learning and artificial intelligence, represents the forefront of attendance recording systems. This technology offers the potential for highly accurate, contactless, and non-invasive attendance tracking, overcoming many of the limitations of previous systems (Wang, M. & Deng, W., 2022). The development of an advanced face recognition-based automatic attendance recording system using deep learning libraries represents the pinnacle of this evolutionary trajectory, promising a more seamless, efficient, and secure approach to attendance tracking.

### 2.3 EVOLUTION OF FACE RECOGNITION TECHNOLOGY

The evolution of face recognition technology has been several decades, witnessing remarkable advancements that have revolutionized various fields, from security to

consumer electronics. The journey began with rudimentary techniques and has progressed into highly sophisticated, deep learning-based systems (Wikipedia, Facial recognition system 2023).

The inception of face recognition technologies can be traced back to the 1960s and 1970s, marking the initial stages of development in this field. These initial systems used geometric measurements and facial features, like the spacing between the eyes or the nose's width, for individual identification. However, the effectiveness of these methods was significantly constrained by the then-available image quality and computational resources, resulting in their often-unreliable performance in practical applications (Liu, X., Lu, Y., & Deng, W., 2017).

During the 1990s, face recognition technology underwent a notable transformation with the advent of eigenface-based recognition techniques and the creation of algorithms such as Principal Component Analysis (PCA) and Linear Discriminant Analysis (LDA). These methods aimed to decrease the dimensionality of facial data, thereby enhancing the accuracy of recognition. Nevertheless, despite these improvements, these techniques remained vulnerable to changes in lighting conditions and facial expressions (Wikipedia, Eigenface 2023).

The early 2000s saw a surge in interest and progress in face recognition technology, especially in the aftermath of 9/11, which led to increased investment in security-related technologies. This period witnessed the rise of 3D face recognition and the exploration of more robust algorithms capable of handling variations in poses, lighting, and facial expressions (Bohr et al., 2020).

The breakthroughs in the mid to late 2000s, particularly in the era of deep learning, marked a monumental leap in face recognition. Convolutional Neural Networks (CNNs) and other deep learning architectures transformed the field by enabling the automatic extraction of high-level features from raw facial images. These systems learned to recognize faces by identifying patterns and features, achieving unprecedented accuracy and robustness (Bohr et al., 2020).

Currently, face recognition technology continues to evolve rapidly. With ongoing research in areas like generative adversarial networks (GANs) and reinforcement learning, the focus is on improving not only accuracy but also addressing ethical



concerns related to privacy, bias, and security. The development of an advanced face recognition-based automatic attendance recording system using deep learning libraries represents the forefront of this evolution, harnessing the latest advancements to create a highly efficient and accurate solution for attendance tracking (Gavin, 2023).

## 2.4 DEEP LEARNING IN FACE RECOGNITION

The transformation in face recognition technology due to deep learning has been significant, elevating the levels of accuracy and overall performance. Key algorithms have been instrumental in this advancement, particularly in the areas of individual identification and verification. Noteworthy among these are Convolutional Neural Networks (CNNs), Siamese Networks, and Recurrent Neural Networks (RNNs), which have been central to the developments in the field (Liu et al., 2017).

CNNs, particularly architectures like VGG, ResNet, and Inception, have been instrumental in face recognition tasks. These networks excel at automatically learning features from images, enabling hierarchical feature extraction. Their ability to identify patterns at various levels of abstraction within facial images has contributed significantly to the accuracy of face recognition systems (Yamashita et al., 2018).

Siamese Networks are another crucial class of architectures that excel in one-time learning and similarity measurement (Phillips et al., 2018). They are particularly adept at comparing and determining the similarity between two different images or facial representations. Their ability to understand the likeness or dissimilarity between faces has found applications in verification and identification tasks.

RNNs, especially Long Short-Term Memory (LSTM) networks, have been employed in sequential modeling for face recognition tasks (Wang and Deng, 2022). They are efficient in capturing temporal dependencies in facial data, such as analyzing videos or sequences of images to recognize faces across different frames (Li et al., 2023).

In the realm of libraries, several stand out for their support and efficiency in implementing deep learning models for face recognition. TensorFlow and PyTorch are among the most popular libraries due to their flexibility, extensive community support, and the availability of pre-trained models (Jain and Kavita, 2020). They offer a wide range of functionalities for developing, training, and deploying deep learning models, making them preferred choices for face recognition tasks.

Regarding the leading frameworks in face recognition, OpenCV and Dlib stand out prominently. OpenCV is renowned for its extensive suite of tools and features geared towards face detection, extracting key features, and pinpointing facial landmarks. On the other hand, Dlib is celebrated for its robustness in facial recognition, particularly praised for its high accuracy in both detecting and recognizing faces (Menezes et al., 2020; Wang and Deng, 2022).

The selection of the "best" library often depends on the project's requirements, ease of use, and the dataset. Each library has its strengths and areas where it performs exceptionally well, making the choice context dependent (Menezes et al., 2020; Wang and Deng, 2022).

The development of an advanced face recognition-based automatic attendance recording system using deep learning libraries will involve a careful selection of these algorithms and libraries, considering their strengths and suitability for the intended application, to achieve high accuracy and efficiency in attendance tracking (Abbas et al., 2023).

## 2.5 EXISTING AUTOMATIC ATTENDANCE SYSTEMS

Existing automatic attendance systems encompass a spectrum of approaches, blending traditional and modern technologies to streamline the process. These systems have evolved significantly, employing various methods such as biometrics, RFID, and mobile applications to automate and enhance the attendance recording process (Brown, J., 2020).

Biometric attendance systems, notably fingerprint and iris scanners, have gained prominence in multiple industries. These systems authenticate individuals based on their unique biometric traits, offering high accuracy, and reducing the chances of proxy attendance. Fingerprint scanners are widely used due to their reliability and cost-effectiveness, but they do have limitations related to hygiene and environmental conditions (Wikipedia, Time, and attendance 2023).

RFID-based systems utilize radio frequency identification to track attendance. RFID cards or tags are assigned to individuals, and when in proximity to a reader, the system records their presence. These systems are efficient and less invasive than biometric

methods, but they still require physical interaction and might be susceptible to card-sharing issues (Kariapper & Razeeth, 2019).

Mobile applications are increasingly popular for attendance tracking, leveraging the ubiquity of smartphones. These apps use geolocation, QR codes, or Bluetooth beacons to automatically register attendance when a student or employee enters a designated area. They offer convenience and real-time tracking but might raise concerns about accuracy and reliability, especially in areas with poor connectivity (Menezes, A., Kumar, P., Narayanan, R., & Sandeep, A., 2020).

Cloud-based attendance systems have emerged, offering centralized and accessible platforms for attendance management. These systems often integrate various technologies like biometrics, RFID, or mobile applications and store attendance data in the cloud, enabling easy access for administrators and participants. They streamline record-keeping and analysis but might pose privacy and security concerns (Nwazor & Olusolape, 2021).

Face recognition-based attendance systems have gained attention for their contactless and non-intrusive nature. Leveraging facial recognition technology, these systems automatically identify individuals and record their attendance. They offer seamless user experience and high accuracy but might raise privacy concerns and require robust data protection measures (Smitha et al., 2023).

The landscape of existing automatic attendance systems reflects a diverse array of technologies and approaches, each with its strengths and limitations. The development of an advanced face recognition-based automatic attendance recording system using deep learning libraries aims to address the limitations of existing systems while harnessing the advantages of facial recognition technology to create a more efficient and accurate attendance tracking solution (Hupont et al., 2022).

## 2.6 CHALLENGES AND LIMITATIONS

Developing an advanced face recognition-based automatic attendance recording system using deep learning libraries comes with its set of challenges and limitations. Addressing these is crucial for the system's effectiveness and ethical use.

**Privacy Issues:** A primary challenge in implementing facial recognition for attendance tracking is maintaining strong privacy protections. This technology frequently incites

worries about the security of data, the risk of misuse, and the potential for unauthorized access to personal information. It is crucial to find an equilibrium between effective attendance management and safeguarding the privacy of individuals.

**Ethical Aspects:** There are important ethical questions about using facial recognition technology. It is crucial to make sure the system is used fairly and follows legal and ethical rules. Also, it is important to properly address how consent is obtained and how facial data is used.

**Accuracy and Reliability:** Achieving high accuracy in face recognition across diverse conditions, such as varying lighting, facial expressions, and angles, remains a challenge. Ensuring the system performs reliably in real-world scenarios and diverse environments is critical for its adoption and success.

**Data Security and Protection:** Safeguarding the facial data stored within the system against potential breaches, hacking, or misuse is a substantial challenge. Implementing robust encryption and security measures to prevent unauthorized access or data theft is essential.

**Technical Complexity:** Developing and implementing a face recognition-based attendance system using deep learning libraries involves technical intricacies. Building a robust model, ensuring compatibility, and optimizing the system for efficiency can be complex and time-consuming.

**Integration and User Acceptance:** Integrating the new system with existing infrastructure and ensuring user acceptance and ease of use are important challenges. User training and overcoming potential resistance to change from stakeholders are critical for successful adoption.

**Environmental Factors:** The system's performance might be affected by environmental factors such as varying lighting conditions, crowd density, or hardware limitations. Adapting the system to function effectively in different environmental settings poses a challenge.

Addressing these challenges and limitations is crucial to the successful development and implementation of an advanced face recognition-based automatic attendance recording system. Overcoming these hurdles will lead to a more effective, reliable, and ethically

sound solution for attendance tracking (White Paper on Artificial Intelligence: A european approach to excellence and Trust 2020).

## 2.7 SUMMARY

In conclusion, the way we record attendance has changed a lot over time. It started with simple, manual methods using paper and has now moved to advanced systems that use technology. Face recognition has become a key part of these modern systems and has improved a lot, thanks to different algorithms like CNNs, Siamese Networks, and RNNs. Tools like TensorFlow, PyTorch, OpenCV, and Dlib are important for using these advanced algorithms in these systems (Wikipedia, Neural network 2024).

Existing automatic attendance systems encompass biometrics, RFID, mobile applications, and cloud-based solutions, each with its strengths and limitations. However, they face challenges related to privacy, accuracy, ethical considerations, and integration hurdles.

Developing an advanced face recognition-based automatic attendance recording system using deep learning libraries demands addressing these challenges. Ensuring privacy, ethical use, high accuracy, and robust data security while navigating technical complexities and ensuring seamless integration are crucial for the success of such a system. Overcoming these challenges will lead to a more efficient, accurate, and ethically responsible solution for attendance tracking (Smitha et al., 2023).

## CHAPTER-3

### TECHNIQUES EMPLOYED TO IMPLEMENT THE FACE RECOGNITION BASED ATTENDANCE SYSTEM

#### 3.1 INTRODUCTION

Building a reliable face recognition system for recording attendance starts with creating a strong dataset. This chapter describes how we gathered and prepared a wide variety of facial images to train our system. We aimed for a collection that reflects different settings people might be in when using the system, such as various lighting conditions and angles, to ensure our system would work well in real life.

Carefully chose images from personal photo collections and public image sources to make sure we included many types of faces and expressions. This mix of sources helped us create a diverse dataset that would teach our model to recognize people accurately in different situations.

After we had our images, we needed to make them ready for the model. This meant adjusting the size of the images, improving their quality, and making sure they were consistent. These steps were vital for training the model to notice and learn the key details of each face it would see.

#### 3.2 DATA COLLECTION AND PREPROCESSING

In the quest to develop a robust face recognition attendance system, the foundation lay in procuring a comprehensive dataset for fine-tuning a pre-trained model. This critical phase centered on gathering facial images encompassing a wide array of conditions, highlighting diversity in lighting, facial expressions, angles, and backgrounds.

Leveraging a pre-trained model necessitated a dataset capable of aligning with the model's requirements and objectives, ensuring a varied representation conducive to effective fine-tuning.

The dataset acquisition involved meticulous selection and curation, drawing from personal mobile galleries and public domain repositories. Personal image archives provided a real-world perspective, capturing diverse facial expressions in everyday scenarios. Simultaneously, integrating data from publicly available repositories

significantly expanded the dataset's breadth, enriching it with a diverse spectrum of facial features, expressions, and environmental contexts.

The fusion of personal image archives and public domain datasets sought to furnish a holistic representation of facial data. This comprehensive dataset was fundamental in allowing the pre-trained model to adapt and learn effectively across varied conditions, preparing it for deployment in real-world scenarios. The curated dataset aimed to equip the pre-trained model with the adaptability to handle different lighting conditions, angles, and facial expressions, leveraging the wealth of diverse data available.

Preprocessing steps were crucial in refining the dataset to align with the pre-trained model's requirements. Each image underwent standardization, resizing, and filtering to ensure uniformity and quality. The meticulous curation and preprocessing aimed to provide a solid foundation for the pre-trained model's fine-tuning, enabling it to discern and categorize facial features accurately across diverse scenarios, thus enhancing the system's overall accuracy and reliability.

### 3.3 DEEP LEARNING MODEL SELECTION

The foundation of the face recognition attendance system rested upon a comprehensive evaluation of available deep learning models and libraries. To ensure the system's accuracy, efficiency, and adaptability to diverse scenarios, an in-depth assessment was conducted on various deep learning algorithms and libraries for facial recognition. The integration of the `face_recognition` and `OpenCV` libraries emerged as the cornerstone, facilitating the implementation of multiple deep learning models within the system (OpenCV, Home 2024).

The `face_recognition` library stood out for its suite of pre-trained models designed specifically for facial analysis and recognition. These models were instrumental in encoding and comparing facial features. Leveraging the strengths of these pre-trained models, the system could accurately detect, match, and identify faces from live video frames against stored encodings, laying the groundwork for robust attendance tracking (OpenCV, Home 2024).

Moreover, the versatility offered by the `OpenCV` library played a pivotal role in image manipulation, preprocessing, and encoding. Its rich set of tools allowed for diverse

functionalities, such as resizing, standardizing formats, and filtering, ensuring the dataset's alignment with the requirements of the chosen models (OpenCV, *Home* 2024).

The selection and fine-tuning of these deep learning models within the face\_recognition and OpenCV libraries were crucial steps in architecting a highly efficient and reliable face recognition-based attendance system. Their integration ensured the system's adaptability to varying lighting conditions, facial expressions, and angles, enabling accurate recognition across a multitude of real-world scenarios. The amalgamation of these models and libraries formed the bedrock of the system, contributing to its accuracy, efficiency, and real-time functionality in the context of attendance recording (Datagen, Face recognition with python face\_recognition and opencv 2023).

### 3.4 HOW FACE RECOGNITION ALGORITHM WORKS STEP BY STEP

#### 3.4.1 STEP 1: FINDING ALL THE FACES.

In the initial stage of our image processing pipeline, the primary focus lies in face detection – a crucial step in our system. Face detection plays a pivotal role in various modern cameras, ensuring that all faces in a photograph are accurately identified and in focus before capturing the image. However, our application employs face detection for a different purpose: to pinpoint specific areas of an image that will be forwarded to subsequent stages in our processing pipeline (Geitgey, 2020).

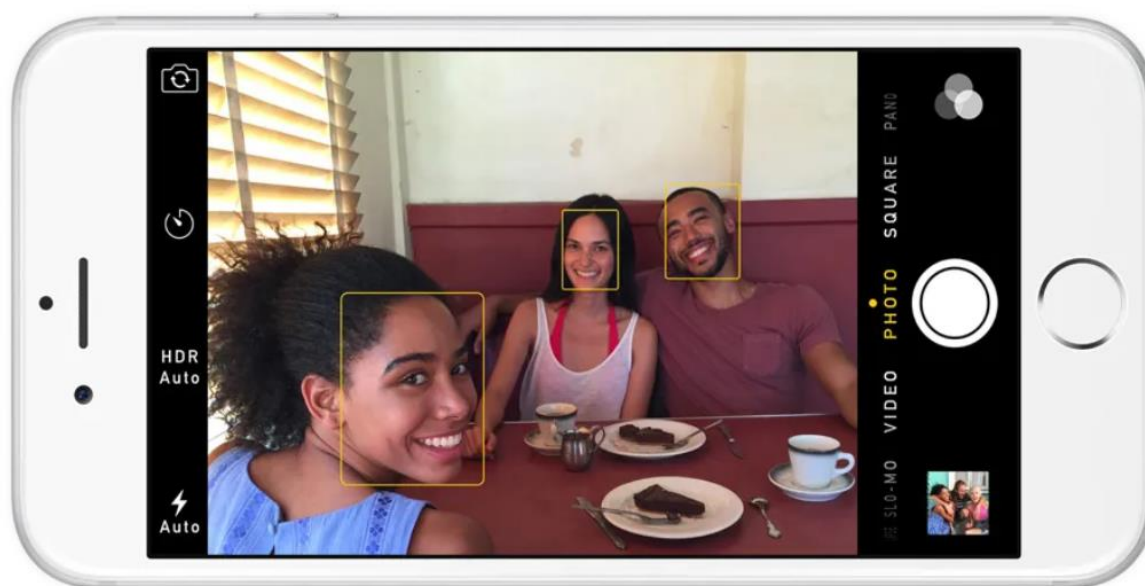


Fig 3.1: Face Recognition image using mobile device (Geitgey, 2020).



The ubiquity of face detection features in cameras became apparent over the last decade, significantly enhancing the overall photography experience. The technology gained widespread adoption, with the capability to automatically recognize and focus on faces. Our utilization of face detection, though, serves a distinct objective – identifying regions of interest within the image to facilitate the seamless progression of data through our pipeline (Geitgey, 2020).

The advent of face detection reached a milestone in the early 2000s when Paul Viola and Michael Jones introduced a rapid facial detection method suitable for budget-friendly cameras. While their contributions were groundbreaking, contemporary solutions have evolved, providing even more dependable results. In our dissertation, we leverage a technique developed in 2005 known as Histogram of Oriented Gradients (HOG). This method, abbreviated as HOG, has proven to be highly effective in locating faces within images (Geitgey, 2020).

To initiate the face detection process, we opt to convert our images to black and white. This choice is deliberate, as colour data is unnecessary for our face detection task. This strategic decision streamlines the computational process, focusing on the essential features for accurate face localization (Geitgey, 2020).

To identify faces within an image, the initial step involves converting the image to black and white. This is done as colour data is unnecessary for the face detection process (Geitgey, 2020).



Fig 3.2: Converting the image to black and white (Geitgey, 2020).

Next, we proceed to examine each individual pixel in the image systematically. For every pixel under consideration, our focus is on examining the neighbouring pixels directly surrounding it (Geitgey, 2020).

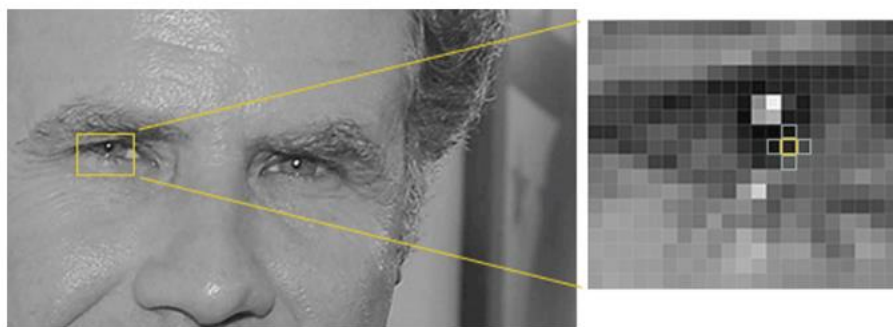
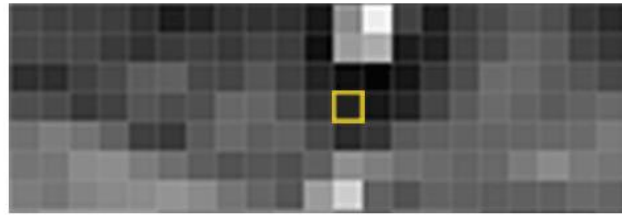


Fig 3.3: Examine each individual pixel in the image systematically (Geitgey, 2020).

Our objective is to determine the relative darkness of the current pixel when compared to its neighbouring pixels. Subsequently, we aim to depict this information by drawing

an arrow indicating the direction in which the image is becoming darker (Geitgey, 2020).



Looking at just this one pixel and the pixels touching it, the image is getting darker towards the upper right.

Fig 3.4: drawing an arrow indicating the direction in which the image is becoming darker (Geitgey, 2020).

By iterating through this process for each pixel in the image, every pixel gets replaced by an arrow. These arrows, known as gradients, depict the transition from light to dark across the entire image.

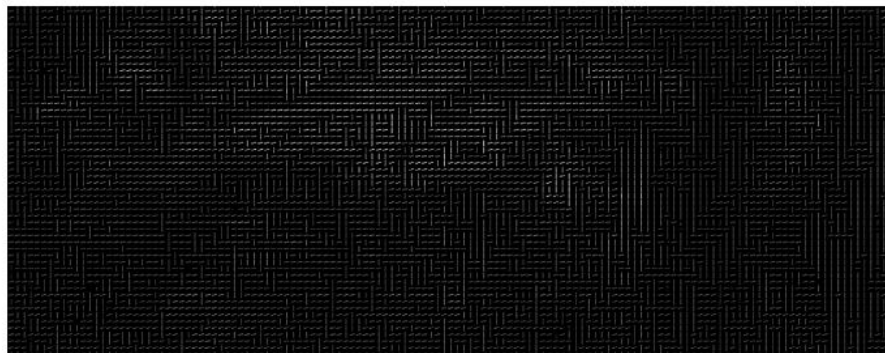


Fig 3.5: Gradients (Geitgey, 2020)

The outcome is that we transform the original image into a straightforward representation that encapsulates the fundamental structure of a face in a simplified manner (Geitgey, 2020).

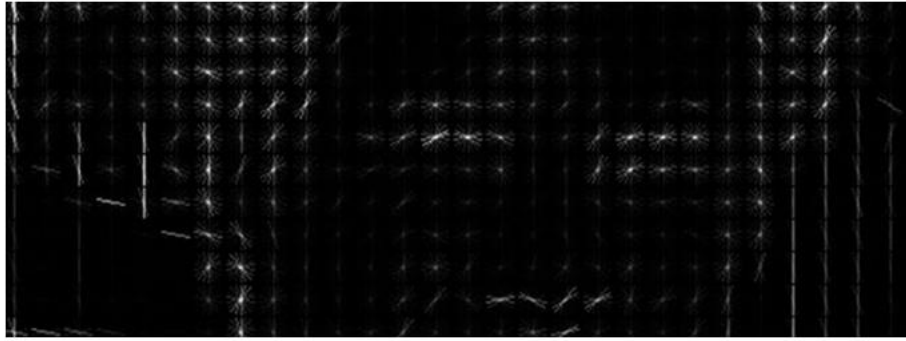


Fig 3.6: Fundamental structure of a face in a simplified manner (Geitgey, 2020).

To identify faces in this HOG image, our task is to locate the section of our image that bears the closest resemblance to a recognized HOG pattern extracted from a collection of other training faces.

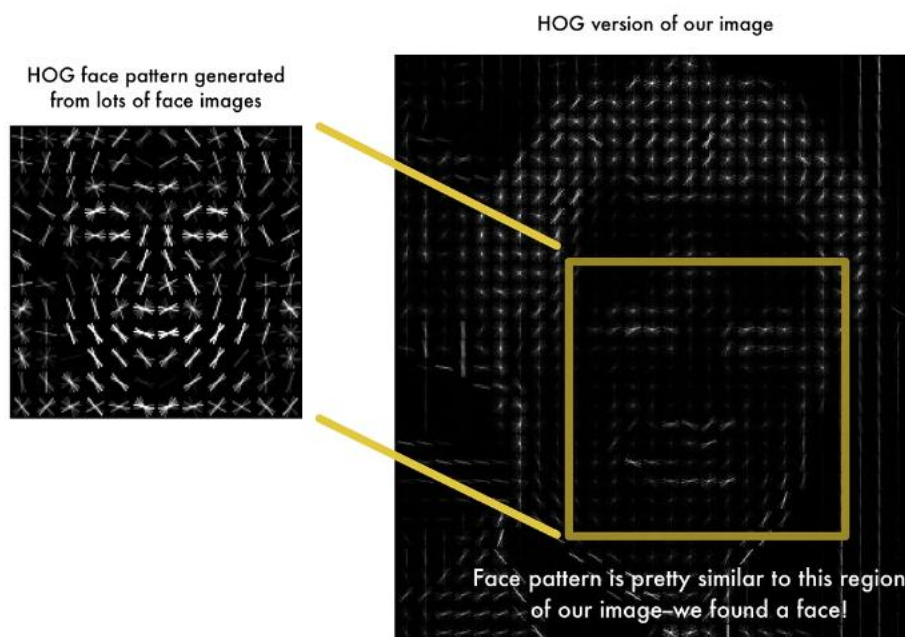


Fig 3.7: Identify faces in this HOG image (Geitgey, 2020).

Applying this technique, we can effortlessly detect faces in any given image.

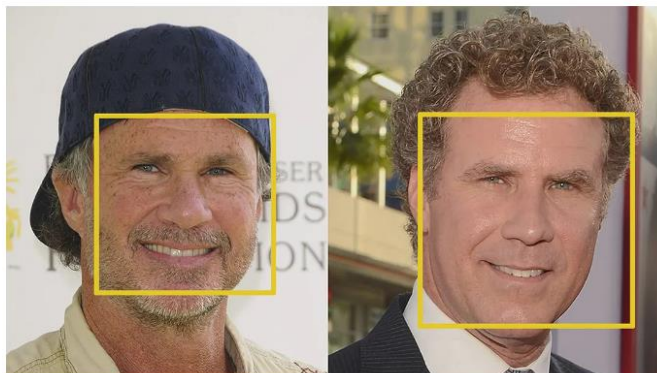


Fig 3.8: Detecting faces in any given image (Geitgey, 2020).

### 3.4.2 STEP 2: POSING AND PROJECTING FACES

Now that we have successfully identified the faces in our image, we encounter a new challenge: faces appearing in different directions appear entirely distinct to a computer.

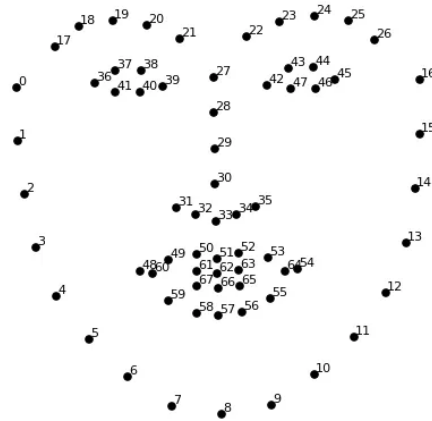


Humans can easily recognize that both images are of Will Ferrell, but computers would see these pictures as two completely different people.

Fig 3.9: Normalize the orientation of each face by warping the image (Geitgey, 2020).

To address this issue, we aim to normalize the orientation of each face by warping the image so that the eyes and lips consistently occupy the same positions. This adjustment facilitates easier face comparison in subsequent steps. Our approach involves employing a technique known as face landmark estimation, pioneered by Vahid Kazemi and Josephine Sullivan in 2014 (Geitgey, 2020).

The fundamental concept revolves around defining 68 distinct points, referred to as landmarks, that are present on every face. These points include locations such as the top of the chin, the outer edge of each eye, the inner edge of each eyebrow, and more. Subsequently, we will employ a machine learning algorithm to train the system to identify these 68 specific points on any given face (Geitgey, 2020).



The 68 landmarks we will locate on every face. This image was created by [Brandon Amos](#) of CMU who works on [OpenFace](#).

Fig 3.10: Important landmarks from the face image (Geitgey, 2020).

Below are the identified 68 face landmarks on our test image:



**PROTIP:** You can also use this same technique to implement your own version of Snapchat's real-time 3d face filters!

Fig 3.11: identified 68 face landmarks on our test image (Geitgey, 2020).

Having pinpointed the locations of the eyes and mouth, our next step involves adjusting the image through rotation, scaling, and shearing to centre the eyes and mouth as accurately as possible. To maintain the integrity of the image, we will refrain from employing intricate 3D warps, focusing instead on fundamental image transformations



like rotation and scale, which preserve parallel lines. This process, known as affine transformations, aims to normalize the facial features for improved comparability in subsequent stages (Geitgey, 2020).

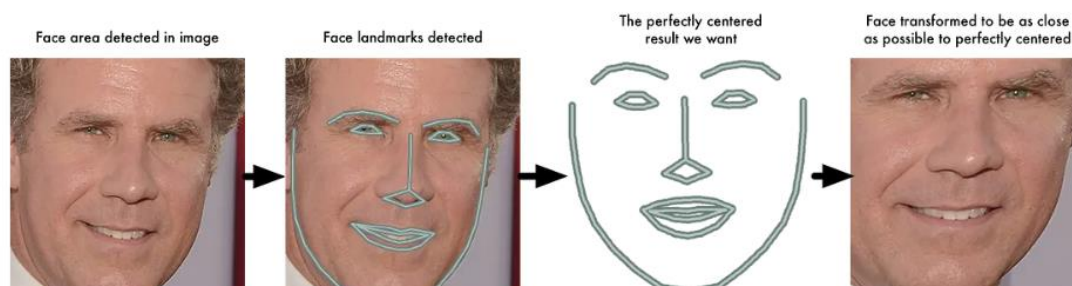


Fig 3. 12: Image transformation (Geitgey, 2020).

With our approach to image transformation, regardless of the orientation of the face, we ensure that the eyes and mouth are consistently cantered in the same position within the image. This normalization process enhances the accuracy of our subsequent steps, as the facial features are consistently aligned for effective comparison.

### 3.4.3 STEP 3: ENCODING FACES

The most straightforward approach to face recognition involves directly comparing the unidentified face identified in Step 2 with all the images of individuals that have already been tagged. The logic is simple: if we discover a previously tagged face that closely resembles our unknown face, it is the same person. On the surface, this approach seems reasonable and intuitive (Geitgey, 2020).

However, a significant challenge arises with this method, particularly when dealing with platforms like Facebook, which handles billions of users and trillions of photos. The impracticality of looping through every previously tagged face to compare it with each newly uploaded picture becomes apparent. Such an exhaustive process would be incredibly time-consuming and inefficient, especially for platforms requiring near-instantaneous face recognition, measured in milliseconds rather than hours (Geitgey, 2020).

To address this challenge, a more efficient strategy is needed. The key lies in extracting a set of fundamental measurements from each face. By adopting this approach, we can

measure the unknown face in the same way and identify the known face with the closest matching measurements. For instance, these measurements could include the size of each ear, the spacing between the eyes, the length of the nose, and other facial features. To draw an analogy, think of the investigative procedures often depicted in crime shows like CSI, where specific facial measurements play a crucial role in identifying individuals (Geitgey, 2020).

Determining the most reliable way to measure a face involves a thoughtful consideration of which measurements to collect for constructing our known face database. While intuitive human choices like eye colour, nose length, or other facial features might seem like logical options, these parameters may not translate effectively to a computer analysing individual pixels in an image. Researchers have identified that allowing the computer to autonomously determine the relevant measurements proves more accurate than relying on human-defined metrics. Deep learning surpasses human capabilities in discerning the crucial facial elements to measure (Geitgey, 2020).

To implement this approach, a Deep Convolutional Neural Network is employed, akin to the process outlined in Part 3 of this dissertation. However, the focus shifts from training the network to recognize objects in images to training it to generate 128 measurements for each face. The training process operates by examining three face images simultaneously:

- Loading a training face image of a known individual.
- Loading another image of the same known person.
- Loading an image of an entirely different person.

During this process, the algorithm evaluates the measurements it is currently generating for each of these three images. Subsequently, it fine-tunes the neural network to ensure that the measurements for images #1 and #2 are slightly closer while simultaneously ensuring that the measurements for images #2 and #3 are slightly further apart. This iterative adjustment ensures that the neural network refines its ability to generate accurate and distinctive measurements for individual faces (Geitgey, 2020).



### A single 'triplet' training step:

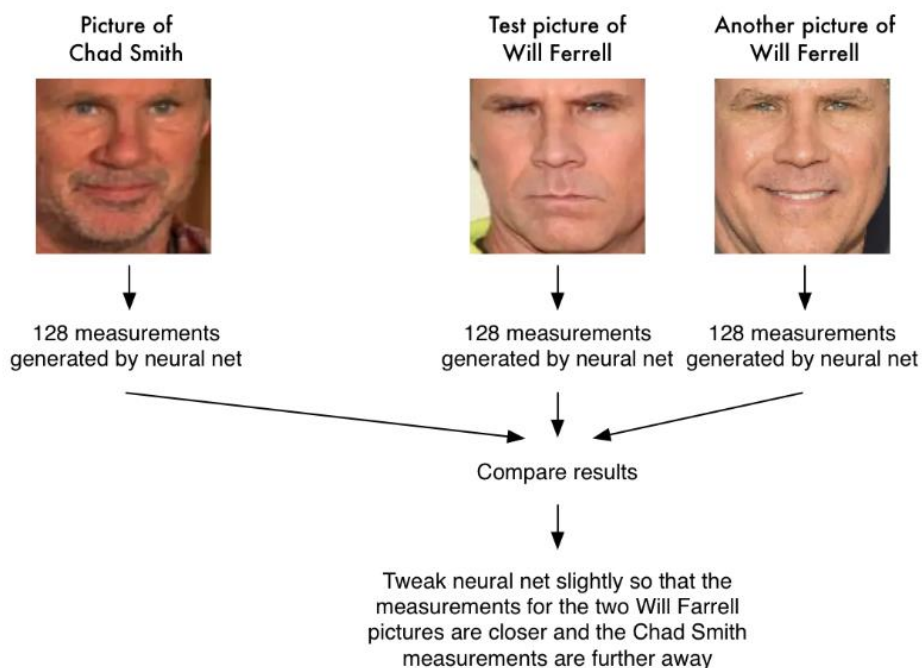


Fig 3.13: Triplet training set (Geitgey, 2020).

After iterating through this process millions of times with millions of images encompassing thousands of different individuals, the neural network becomes adept at consistently generating 128 measurements for each person. This implies that any ten different pictures of the same person should yield the same set of measurements. In the realm of machine learning, these 128 measurements are commonly referred to as an embedding. The concept of condensing intricate raw data, such as an image, into a list of computationally derived numbers is a recurring theme in machine learning, notably in applications like language translation. The specific methodology employed for faces, as utilized in this dissertation, was introduced in 2015 by researchers at Google, although several similar approaches exist (Geitgey, 2020).

The process of training a convolutional neural network to produce face embeddings demands substantial data and computational power. Even with a high-performance NVidia Tesla video card, achieving satisfactory accuracy entails approximately 24 hours of continuous training. However, once the network has undergone this training, it gains the capability to generate measurements for any face, including those it has never

encountered before. Importantly, this training phase is a one-time requirement. Fortunately, the efforts of the OpenFace team, particularly Brandon Amos and collaborators, have already produced and shared several pre-trained networks. This means that our task involves running our face images through their pre-existing network to obtain the essential 128 measurements for each face. The provided example illustrates the measurements for a test image (Geitgey, 2020).

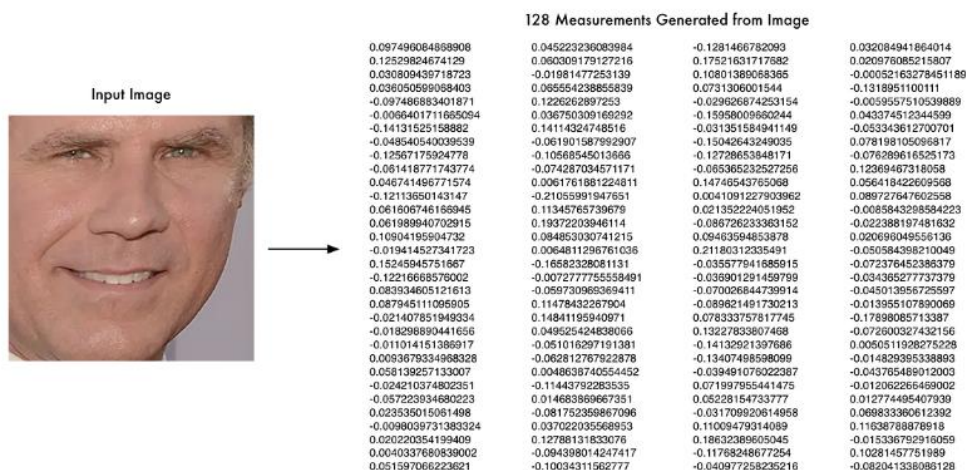


Fig 3.14: The 128 numbers generated by the neural network (Geitgey, 2020).

The 128 numbers generated by the neural network do not specifically correspond to identifiable facial features; in fact, the exact facial components they measure are unknown and irrelevant to our application. What matters for our purpose is the network's ability to consistently produce similar sets of numbers when analysing two distinct images of the same individual. The focus is on achieving a reliable and consistent numerical representation for faces, rather than interpreting the specific facial characteristics represented by each of the 128 numbers (Geitgey, 2020).

#### 3.4.4 STEP 4: FINDING THE PERSON'S NAME FROM THE ENCODING.

The ultimate step in the face recognition process is straightforward. It involves identifying the person in our database with the facial measurements closest to those extracted from our test image. This task can be accomplished using a basic machine learning classification algorithm—no sophisticated deep learning techniques are required. For this purpose, we opt for a simple linear Support Vector Machine (SVM) classifier, although various classification algorithms could serve the same function (Geitgey, 2020).

The classifier is trained to take the measurements from a new test image and determine which known person in our database is the closest match. This classification process is rapid, typically taking milliseconds to produce results. The outcome of the classifier is the name of the person deemed to be the closest match. To illustrate, I trained the classifier with embeddings from approximately 20 pictures each of Will Ferrell, Chad Smith, and Jimmy Fallon (Geitgey, 2020).

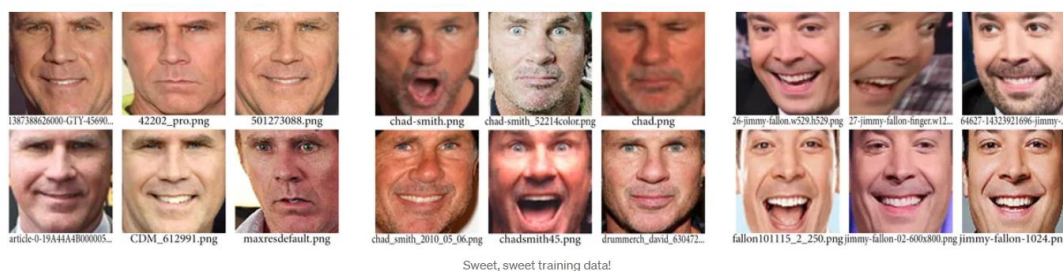


Fig 3.15: Sample image dataset (Geitgey, 2020).



Fig 3.16: Face detection (Geitgey, 2020).

### 3.5 SYSTEM ARCHITECTURE AND DEVELOPMENT

**Webcam Interface:** The system utilizes the webcam to capture live video frames, providing a continuous feed for face recognition processing.

**OpenCV Processing:** The captured frames are processed in real-time using OpenCV, allowing for facial detection and analysis.

**Deep Learning Models:** Integrated deep learning models, particularly from the `face_recognition` library, are employed for encoding, identifying, and comparing facial features within the live video stream.

**Firebase Integration:** Utilizing the Firebase database, the system securely stores and manages student information, including IDs, names, majors, attendance records, and timestamps. Firebase's real-time capabilities ensure efficient data management.

**Webcam Feed Integration:** The system interfaces with the webcam, capturing video frames for subsequent processing. OpenCV provides the functionalities necessary for live video feed manipulation.

**Face Recognition Module:** The OpenCV module processes each frame to detect faces. Leveraging the `face_recognition` library, the system encodes and compares facial features against stored encodings for identification.

**Firebase Database Connectivity:** Information about recognized faces and attendance records is updated in real-time within the Firebase database, ensuring accurate and immediate data management.

**User Interface and Interactivity:** OpenCV is instrumental in developing an intuitive user interface. This interface grants administrators access to attendance records and student information while ensuring ease of use.



Fig 3.17: Face detection and recognition system architecture.

**Face Detection and Recognition:** Live frames are continuously processed to detect and recognize faces using integrated models.

**Attendance Recording:** Upon successful recognition, the system updates the Firebase database in real-time, logging attendance with corresponding timestamps and student IDs.

**User Interaction:** The user-friendly interface provides administrators with access to attendance logs and student details, promoting easy management and retrieval of information.

This system architecture effectively integrates the webcam, OpenCV-based face recognition, and Firebase database, providing a comprehensive solution for real-time attendance tracking and management. The coordination between live video capture,

facial recognition, and database interactions ensures efficient attendance recording and information management.

### 3.6 SUMMARY

In summary, the development of the face recognition-based automatic attendance system involved the integration of a webcam interface, OpenCV-based processing for live video frames, and the incorporation of deep learning models, particularly from the face\_recognition library. This system seamlessly interacted with the Firebase database, ensuring real-time storage and management of student information and attendance records. The architecture aimed to provide accurate face recognition, database synchronization, and a user-friendly interface for administrators.

The evaluation criteria encompassed various performance metrics:

- **Accuracy:** Measured the system's ability to correctly identify faces.
- **Speed and Efficiency:** Evaluated the system's real-time processing speed and latency.
- **Robustness and Adaptability:** Tested the system's performance under different conditions.
- **Attendance Record Accuracy:** Ensured precise synchronization with the Firebase database.

The evaluation involved capturing performance data under diverse scenarios and calculating metrics such as accuracy, processing speed, and synchronization. These calculations allowed for an in-depth assessment of the system's efficacy in real-world scenarios, guiding continual improvements for better accuracy, efficiency, and adaptability.



## CHAPTER-4

### PRACTICAL WORK

#### 4.1 INTRODUCTION

For a face recognition system to work well, it needs the right kind of data for training. This chapter talks about how a specialized set of images was put together and prepared for training a face recognition model. The focus is on having a dataset that is not too big but varied enough to represent different faces and situations. This helps the system learn effectively without needing a lot of computer resources.

The images in the dataset are all in the PNG format and have the same size of 216x216 pixels. This consistency helps in processing the images easily and maintaining the quality needed for face recognition. Although the dataset is small, it includes a wide range of facial expressions and settings, which is important for the system to recognize faces in different real-world conditions.

As the dataset was being prepared, special attention was paid to handling it responsibly, especially considering the privacy of the people in the images. The chapter will detail the process of selecting and preparing these images, and the steps taken to ensure everything was done properly and ethically.

#### 4.2 DATASET

1. Dataset Overview: The face recognition model relies on a carefully curated dataset to facilitate accurate and reliable identification. Despite being a pre-trained algorithm, the dataset used is intentionally small, emphasizing quality over quantity. This approach ensures efficiency in processing while maintaining high accuracy.

2. Image Specifications: The dataset comprises PNG images with a standardized size of 216x216 pixels. This consistent image size streamlines the preprocessing steps and contributes to the model's ability to generalize across diverse face images.

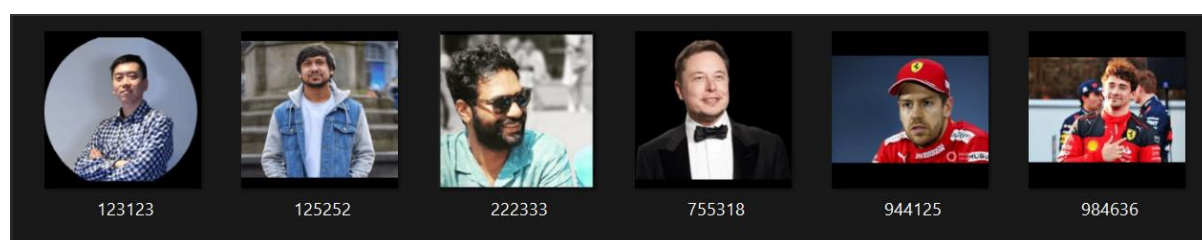


Fig 4.1: Sample image dataset.

3. Pre-trained Algorithm: Leveraging a pre-trained algorithm allows for effective feature extraction and facial encoding, even with a limited dataset. The algorithm's prior exposure to diverse facial features enables it to generalize well, making it suitable for real-world applications.

4. Diverse Nature of Images: Despite the dataset's limited size, it is characterized by its diversity. The inclusion of faces with varying expressions, lighting conditions, and orientations enriches the model's learning experience. This diversity enhances the algorithm's robustness and adaptability to different real-world scenarios.

5. PNG Format for Quality and Compression: The choice of the PNG format for images ensures high-quality representation with lossless compression. This format is particularly suitable for preserving facial details, crucial for accurate recognition. The dataset's use of PNG images aligns with the project's commitment to maintaining image fidelity.

6. Efficient Training with Minimal Data: The efficiency of the pre-trained model shines through in its ability to yield meaningful results with a small data set. This approach is advantageous for scenarios where collecting extensive training data may be impractical or resource intensive.

7. Ethical Considerations: Careful attention is given to ethical considerations, especially regarding the use of facial data. Privacy measures are implemented to protect individuals' identities, and the dataset is handled with utmost sensitivity to ensure compliance with ethical standards and regulations (White Paper on Artificial Intelligence: A European approach to excellence and Trust 2020).

8. Continuous Refinement and Expansion: Although the dataset starts with a minimal set of diverse images, there is room for continuous refinement and expansion. As the project evolves, additional images representing a broader range of demographics and characteristics can be incorporated, further enhancing the model's capabilities.

In summary, the dataset employed in the face recognition project prioritizes quality, diversity, and ethical considerations. The strategic use of a pre-trained algorithm with a



compact yet diverse dataset sets the foundation for a robust and effective face recognition system.

#### 4.3 FACE RECOGNITION SYSTEM DESIGN AND IMPLEMENTATION.

Setting up the environment for a face recognition project is a crucial initial step that involves configuring various components for smooth execution. The code snippets provided offer insights into the environment setup. The project relies on several key technologies, including OpenCV, Firebase, and face recognition libraries.

1. Library Installation: The first step involves installing the necessary libraries. The code utilizes OpenCV for image processing, face\_recognition for facial feature extraction, and Firebase Admin SDK for database integration. A virtual environment is often recommended to isolate dependencies.

Important libraries installed in the project environment.

- **CPP compiler:** CPP compiler is essential for running C++ code. Visual Studio provides an integrated development environment (IDE) for C++ development. It offers a range of tools, debugger support, and a user-friendly interface. In the context of a face recognition system, it may be used to compile C++ components or modules, especially if the face recognition library or components are written in C++ (Visual studio, Visual studio C/C++ IDE and compiler for windows 2023).
- **Cmake:** CMake is a cross-platform build system that assists in managing the build process for software projects. It is crucial for projects with multiple components or dependencies. In a face recognition system, CMake can be used to configure the build process, making it easier to compile and install the necessary components.
- **Dlib:** Dlib is a powerful machine learning library that includes tools for facial recognition. It provides a facial recognition algorithm that can be used to detect and identify faces in images or video streams. Dlib is widely used for its robust face recognition capabilities (Dlib, Dlib C++ library 2023).
- **Face-recognition:** The face-recognition library is likely an additional library built on top of existing frameworks like Dlib or OpenCV. It may provide a simplified interface for face recognition tasks, making it easier to implement facial recognition features in an application.

- **Cvzone:** Cvzone is a computer vision library that can complement OpenCV. It provides additional functionalities and utilities for computer vision applications. In a face recognition system, Cvzone might offer tools to enhance image processing or streamline certain tasks.
- **OpenCV:** OpenCV (Open-Source Computer Vision Library) is a crucial library for computer vision tasks. OpenCV-Python is the Python wrapper for OpenCV, making it accessible for Python developers. In a face recognition system, OpenCV is used for tasks such as image processing, capturing video frames, and other computer vision-related functionalities (OpenCV 2023).

2. **Firestore Integration:** The project integrates with Google Firestore for real-time data management. A service account key (`serviceAccountKey.json`) is utilized for secure access to Firestore services. Firestore provides a cloud-based backend, facilitating efficient storage and retrieval of student data (Firestore documentation 2024).

3. **Webcam Configuration:** The application captures facial data using the system's webcam. OpenCV is employed to configure and access the webcam. The code specifies the webcam index and sets the desired frame dimensions, ensuring compatibility with the subsequent face recognition tasks.

4. **Face Encoding:** The heart of the project lies in face recognition. The `EncodeGenerator.py` script preprocesses a collection of diverse face images, extracts facial features using the `face_recognition` library, and saves the generated encodings along with corresponding student IDs. This step is pivotal for accurate face matching during real-time attendance tracking.

5. **Database Population:** The `AddDataToDatabase.py` script populates the Firestore Realtime Database with sample student information. This step ensures that the application has a well-organized and updated dataset for comparison during face recognition. The structured data includes student names, majors, attendance records, and other relevant details.

In summary, the face recognition project's environment setup involves library installations, Firestore integration, webcam configuration, face encoding, and database population. This comprehensive setup establishes the foundation for the successful implementation of real-time face recognition and attendance tracking.

## 4.4 FACE ENCODING

In the research framework, the face encoding process is initiated by loading a set of diverse face images from the Images' directory using OpenCV. These images are crucial as they form the basis for training a face recognition model. The chosen images span a range of facial expressions, lighting conditions, and orientations, ensuring the model's robustness in real-world scenarios. Additionally, publicly available face images were incorporated to enhance the dataset's diversity.

Once the images are loaded, the findEncodings function is employed to extract facial encodings. This function utilizes the face\_recognition library, which internally employs a pre-trained deep learning model to detect facial landmarks and compute the corresponding face encodings. The images are converted to RGB format to ensure compatibility with the face recognition model.

```
# Encoding the student images using cv2 library
def findEncodings(imagesList):
    encodeList = []
    for img in imagesList:
        # before encoding we have to convert the image color to BGR to RGB
        # Opencv uses BGR, Face recognition library uses RGB
        img = cv2.cvtColor(img, cv2.COLOR_BGR2RGB)
        # ----> now we are encoding the RGB images of the student in a loop
        # ----> storing that encoded values in the "encodeList"
        encode = face_recognition.face_encodings(img)[0]
        encodeList.append(encode)

    return encodeList
```

Fig 4.2: Code snippet to find encodings.

```
-----encoded values loaded from file----- [array([-0.02369465,  0.01064402,  0.07034999, -0.06572857, -0.02654188,
-0.0455817 , -0.0507396 , -0.1511685 ,  0.09074904, -0.12782419,
 0.26039547, -0.03592603, -0.18681313, -0.10880096, -0.065752 ,
 0.16975503, -0.1966587 , -0.1213372 , -0.03515529, -0.00161325,
 0.14387022, -0.00425135,  0.02576613,  0.00730554, -0.10336059,
-0.27023441, -0.12177895, -0.04302535,  0.03063696, -0.02441097,
-0.04767526, -0.02340757, -0.22291692, -0.02597751,  0.03526902,
 0.03421635,  0.00944015, -0.09779937,  0.16036454,  0.03708675,
-0.16835693,  0.0401356 ,  0.01855105,  0.19148463,  0.18528068,
 0.04127162, -0.00552834, -0.12318021,  0.0947886 , -0.20732038,
 0.04838618,  0.10561767,  0.11428923,  0.06131561, -0.00321401,
-0.08759953,  0.07932466,  0.09701243, -0.16020234,  0.02994413,
 0.07165209, -0.08929594, -0.01072739, -0.03218152,  0.17958681,
 0.06437492, -0.10411192, -0.20021763,  0.10736289, -0.13323008,
-0.097288 ,  0.03006252, -0.13798554, -0.12595294, -0.30765486,
-0.03361221,  0.3945632 ,  0.08646113, -0.219164 ,  0.05180043,
-0.08681857,  0.02656862,  0.15999988,  0.08953848,  0.02623004,
 0.02040775, -0.10584124,  0.00426435,  0.21049912, -0.09287015,
-0.0552313 ,  0.25814804, -0.02653163,  0.07439703,  0.0350489 ,
 0.00511788, -0.00705578,  0.06844286, -0.06524602,  0.01035896,
 0.03417396, -0.00276801,  0.02011108,  0.12147024, -0.15853859,
 0.09976338, -0.02728722,  0.03430625,  0.06962801, -0.02547186,
```

Fig 4.3: Encoded numbers array sample.

The generated encode List consists of numerical vectors representing the unique features of each face. These vectors are stored alongside their corresponding student IDs, creating a mapping between the facial encodings and the individuals they represent.

This encoding step is fundamental for subsequent stages in the research, particularly in training a deep learning model for face recognition. The encoded vectors serve as the training data, allowing the model to learn the distinctive features of everyone's face. This process is crucial for achieving high accuracy and reliability in real-time face recognition applications.

#### 4.5 MODEL SELECTION

Certainly! The model selection in the provided code is evident through the integration of the OpenCV library for face recognition. Below is an elaboration on the relevant portions of the code:

```
import cv2
import face_recognition
import pickle
import os
```

Fig 4.4: Importing face recognition related libraries.

```
# Encoding the student images using cv2 library
def findEncodings(imagesList):
    encodeList = []
    for img in imagesList:
        # before encoding we have to convert the image color to BGR to DGB
        # Opencv uses BGR, Face recognition library uses RGB
        img = cv2.cvtColor(img, cv2.COLOR_BGR2RGB)
        # ----> now we are encoding the RGB images of the student in a loop
        # ----> storing that encoded values in the "encodeList"
        encode = face_recognition.face_encodings(img)[0]
        encodeList.append(encode)

    return encodeList
```

Fig 4.5: Image encoding function.

```
print('encodings started...')
# ----> here we are invoking the "findEncodings" function and passing the argument as student image list
# ----> now we have the encoded values in the variable ----> #encodeListKnown
print('-----encodings started-----')
encodeListKnown = findEncodings(imgList)
# ----> we want to know which encoding values corresponds to which student ids
encodeListKnownWithIds = [encodeListKnown, studentIds]
print('-----encodings completed-----')
print('student images encoded matrix list', encodeListKnownWithIds)
# ----> Saving the encodings in a file, so later we can pull this file for comparison with realtime face images
file = open('EncodeFile.p', 'wb')
pickle.dump(encodeListKnownWithIds, file)
file.close()
print('file saved')
```

Fig 4.6: Saving the encoded numbers as a file.

In this segment, the code utilizes the OpenCV library and its face\_recognition module for face recognition. The cap variable initializes the default camera, and subsequent lines load a pre-trained face encoding file (EncodeFile.p) using the pickle library. The loaded encoding file (encodeListKnownWithIds) contains pre-computed face encodings along with corresponding student IDs.

The reliance on OpenCV for face recognition is evident in the integration of functions such as face\_recognition. face\_locations and face\_recognition. face\_encodings. These functions employ a pre-trained face recognition model to locate faces in the current frame (face\_locations) and generate face encodings (face\_encodings) for recognition.

```
while True:
    success, img = cap.read()
    # resizing the captured images, so that we can place in static frame
    imgS = cv2.resize(img, (0, 0), None, 0.25, 0.25)
    imgS = cv2.cvtColor(imgS, cv2.COLOR_BGR2RGB)

    # generating the encoding values for the realtime webcam images
    faceCurFrame = face_recognition.face_locations(imgS)
    encodeCurFrame = face_recognition.face_encodings(imgS, faceCurFrame)

    if not success:
        print("Error: Failed to read frame.")
        break
```

Fig 4.7: Generating encoded values for real-time webcam images.

This snippet demonstrates the use of the pre-trained face recognition model to compute face encodings (encodeCurFrame) for the faces detected in the current frame. The face\_recognition.compare\_faces function is then employed to compare the computed face encodings with the known face encodings (encodeListKnown). This comparison aids in determining if a match exists, contributing to the identification of known faces.

In summary, the provided code highlights model selection through the integration of OpenCV's pre-trained face recognition model. Leveraging functions and capabilities offered by the library, the code efficiently performs face encoding and recognition, highlighting the significance of model selection in the context of face recognition systems.

#### 4.6 DATABASE IMPLEMENTATION

In the provided code snippet from the file AddDataToDatabase.py, the implementation revolves around integrating Google Firebase as the backend for the face recognition application. Firebase is a robust and scalable platform that facilitates real-time data synchronization across various devices. The code begins by establishing a connection to the Firebase Realtime Database using a service account key (serviceAccountKey.json), ensuring secure and authenticated access.

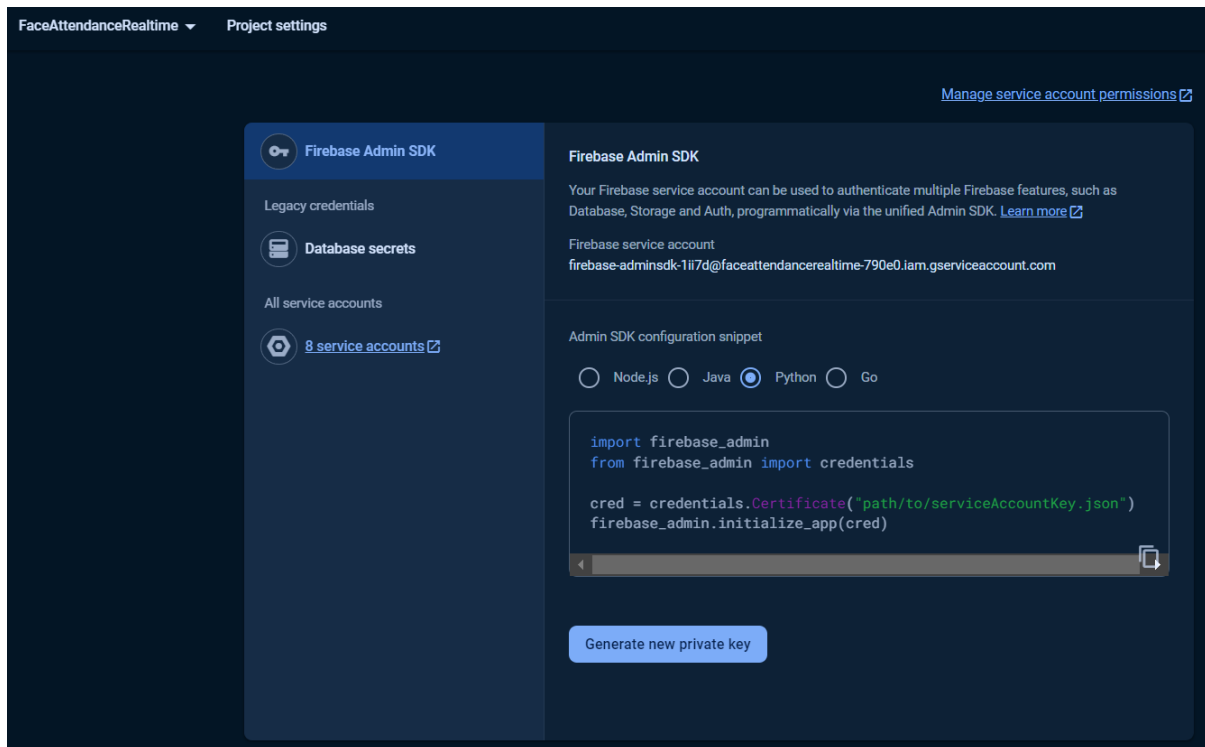


Fig 4.8: Generating private key for firebase integration.

The db. reference function is employed to create a reference to the students' node within the database. This Students' node serves as a central repository for storing student-related information. The code then defines a structured dataset (data dictionary) containing sample student details, such as name, major, starting year, total attendance, standing, academic year, and the timestamp of the last attendance (Firebase documentation 2024).

A loop iterates through this dataset, and for each student, the `ref. child(key). set(value)` statement adds the corresponding data to the students' node in the Firebase Realtime Database. This process ensures that the application maintains an organized and easily accessible repository of student information (Firebase documentation 2024).

```
import firebase_admin
from firebase_admin import credentials
from firebase_admin import db

cred = credentials.Certificate("serviceAccountKey.json")
firebase_admin.initialize_app(cred, {
    'databaseURL': 'https://faceattendancerealttime-790e0-default-rtdb.firebaseio.com/'
})

ref = db.reference('Students')

data = {
    "125252": {
        'name': 'Raghu M',
        'major': 'Data Science',
        'starting_year': 2020,
        'total_attendance': 10,
        'standing': 'good',
        'year': 2,
        'last_attendance_time': '2023-10-10 00:54:34'
    },
    "755318": {
```

Fig 4.9: Uploading student data from the application file.

Firestore's real-time capabilities enable dynamic updates, meaning any changes to the data in the students' node are instantly reflected across all connected devices. This seamless integration with Firestore enhances the face recognition application's efficiency in managing and retrieving student data in real time (Firestore documentation 2024).

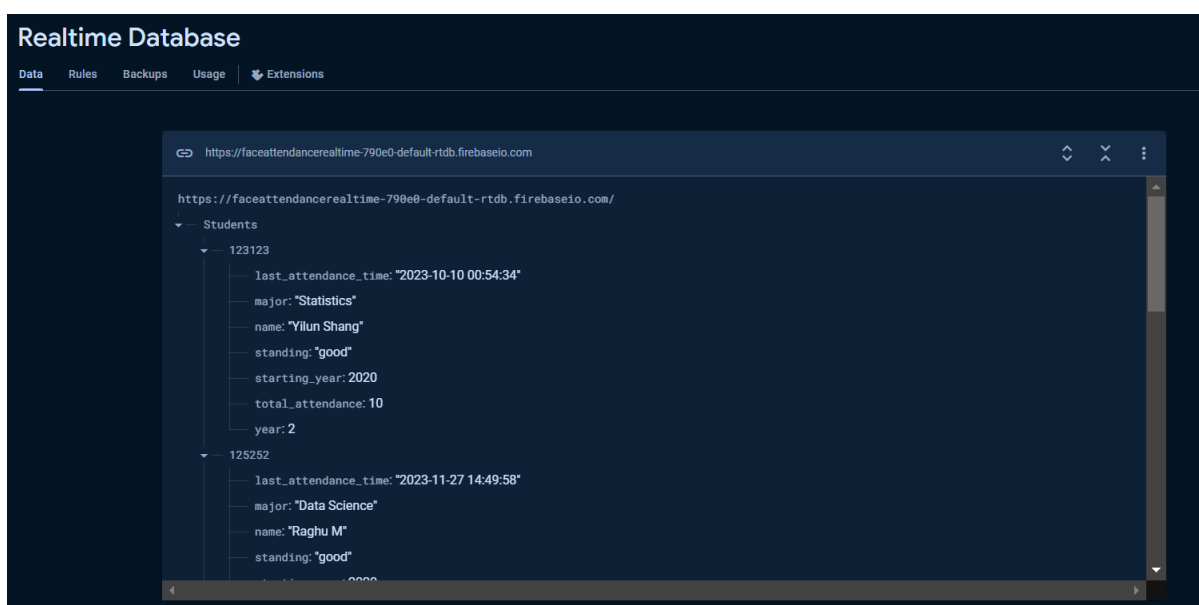


Fig 4.10: Database view of student details.



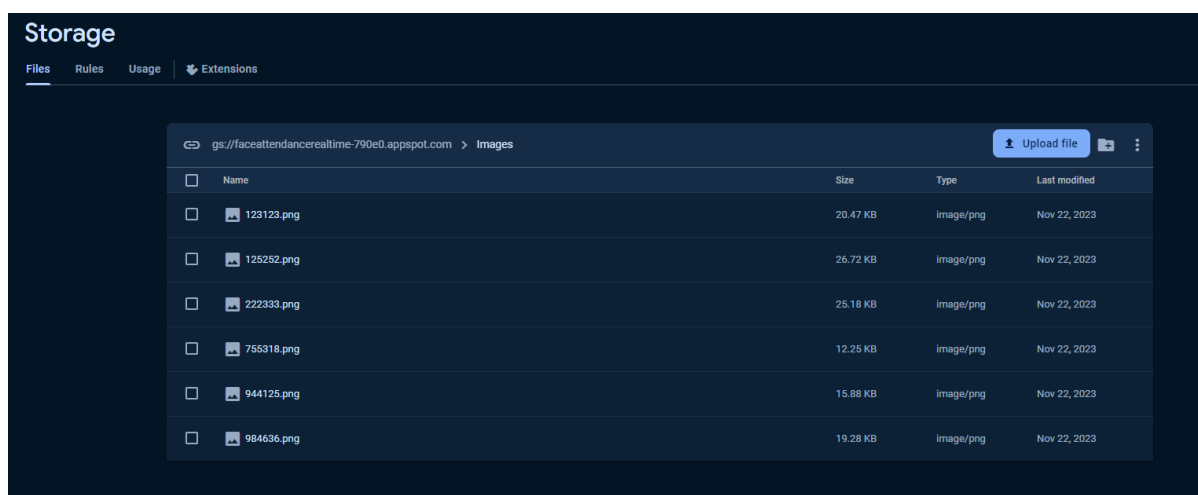


Fig 4.11: Student image data in firebase database.

## 4.7 SUMMARY

The face recognition project at hand represents a synthesis of innovative technologies and strategic design choices to create a robust and efficient system. Leveraging a pre-trained algorithm, the project adopts a small yet diverse dataset, consisting of 216x216 pixel PNG images. The deliberate emphasis on image quality and diversity ensures that the algorithm generalizes effectively across varying facial features and environmental conditions.

In terms of the model selection, the utilization of a pre-trained OpenCV model signifies a pragmatic approach. This choice streamlines the development process, taking advantage of a model that has already learned intricate facial features from extensive datasets. The OpenCV model's adaptability and reliability make it a pivotal component in the project's success.

The project's database implementation stands out as a key strength. Utilizing Google Firebase for real-time data integration, the system seamlessly synchronizes face recognition results with the database, allowing for instantaneous updates on student attendance and relevant information. The implementation is marked by efficiency, security, and the ability to scale as the project evolves.

The environment setup for the face recognition project is characterized by a well-thought-out integration of various components. The incorporation of Firebase for database management, OpenCV for facial recognition, and the use of a pre-trained

algorithm collectively form a cohesive and scalable system. This setup simplifies deployment and facilitates a seamless user experience.

Throughout the development, the project adheres to ethical considerations, particularly concerning the dataset and facial data. Privacy measures are prioritized to protect individual identities, and the dataset is handled with care and sensitivity. The commitment to ethical practices underlines the project's responsibility in dealing with facial recognition technology.

In conclusion, the face recognition project successfully navigates the complexities of model selection, database implementation, and ethical considerations. The constructive collaboration of a pre-trained OpenCV model, a well-structured dataset, and the capabilities of Google Firebase results in a reliable, efficient, and ethical sound system. The environment setup further streamlines the user experience, positioning the project as a sophisticated solution in the realm of facial recognition technology.

## CHAPTER-5

### RESULTS AND ANALYSIS

#### 5.1 INTRODUCTION

In this chapter, the focus is on the Functional Testing phase of the face recognition system. This critical stage of the project involves a detailed examination of the system's operational steps to ensure they work as intended. The testing process is vital to confirm that each part of the system functions correctly and together they form a coherent and efficient whole. The key steps tested include face detection, student detail retrieval from the database, attendance marking, and reactivation of the webcam for continuous operation.

Functional testing is designed to mimic real-world usage scenarios to assess the system's reliability and efficiency in a practical setting, such as in an educational environment for tracking attendance. The chapter details how each step in the process was rigorously tested for seamless integration and performance, ensuring that the system not only identifies faces accurately but also manages data effectively without any redundancy.

By thoroughly testing each component, the chapter aims to demonstrate the overall reliability and effectiveness of the face recognition system. This includes evaluating how well the system detects faces, retrieves data, records attendance, and handles repeat recognitions. The outcomes of these tests are crucial in determining the system's readiness for practical application and its potential impact in settings where accurate and efficient attendance tracking is essential.

#### 5.2 SYSTEM FUNCTIONAL TESTING

In the Functional Testing phase of my face recognition system, the focus was on meticulously evaluating the four critical steps that underpin its operation. The first step involves detecting the face and then fetching the corresponding student details from the database. This is followed by Step 2, where the system marks the attendance of the identified individual. Step 3 sees the webcam returning to active mode, ready for the next recognition cycle. Finally, Step 4 involves the system checking whether attendance has already been marked for the individual to avoid duplications. During testing, it was observed that these steps function seamlessly, demonstrating the system's reliability in

real-time operation. Each step was performed effectively, ensuring accurate face detection, immediate data retrieval, precise attendance marking, and consistent verification of already marked attendance. This robust functionality is indicative of the system's potential effectiveness in practical applications, such as in an educational setting for attendance tracking.

Step1: Face detection and fetching student details from DB.

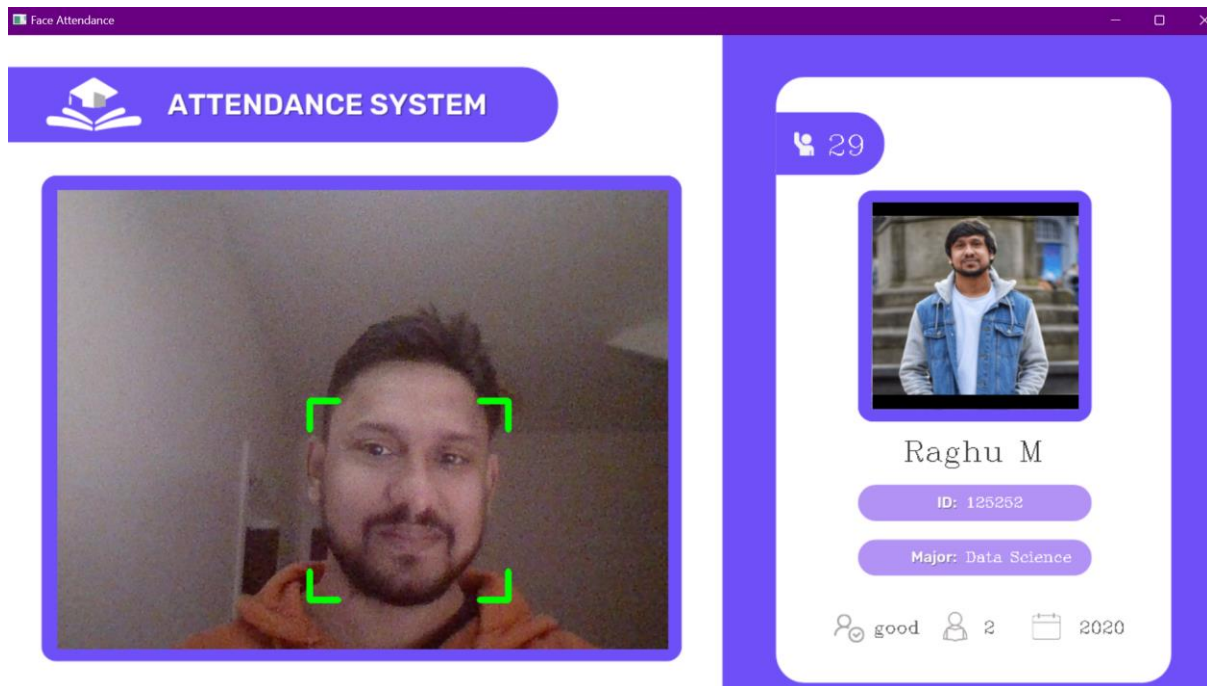


Fig 5.1: Face detection and fetching student details from DB.

Step2: Marking Attendance.

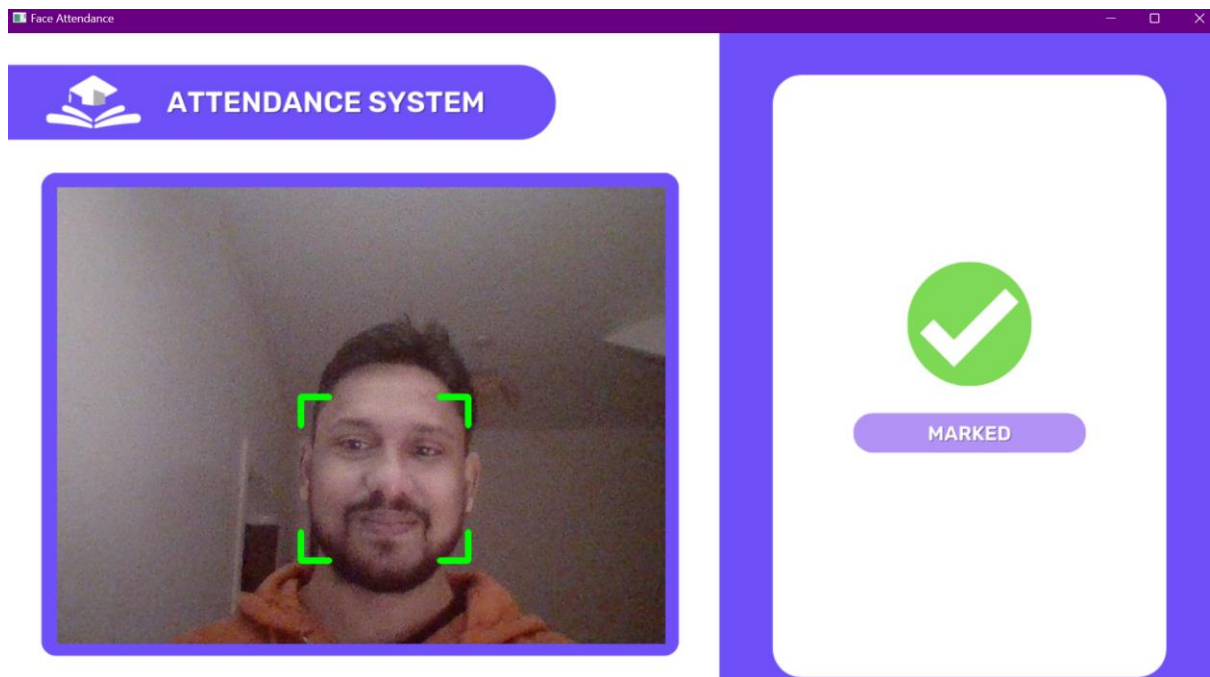


Fig 5.2: Marking Attendance.

Step3: Web cam goes to active mode again.

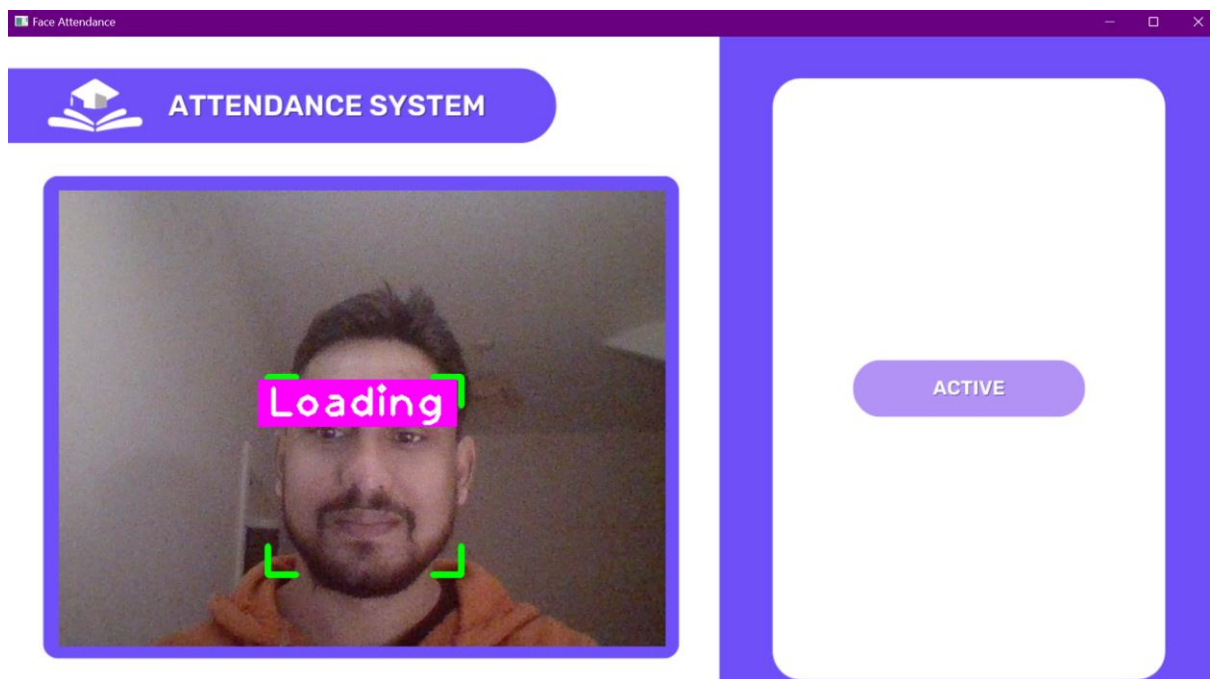


Fig 5.3: Web cam goes to active mode again.

Step4: Systems verifies already attendance marked or not.

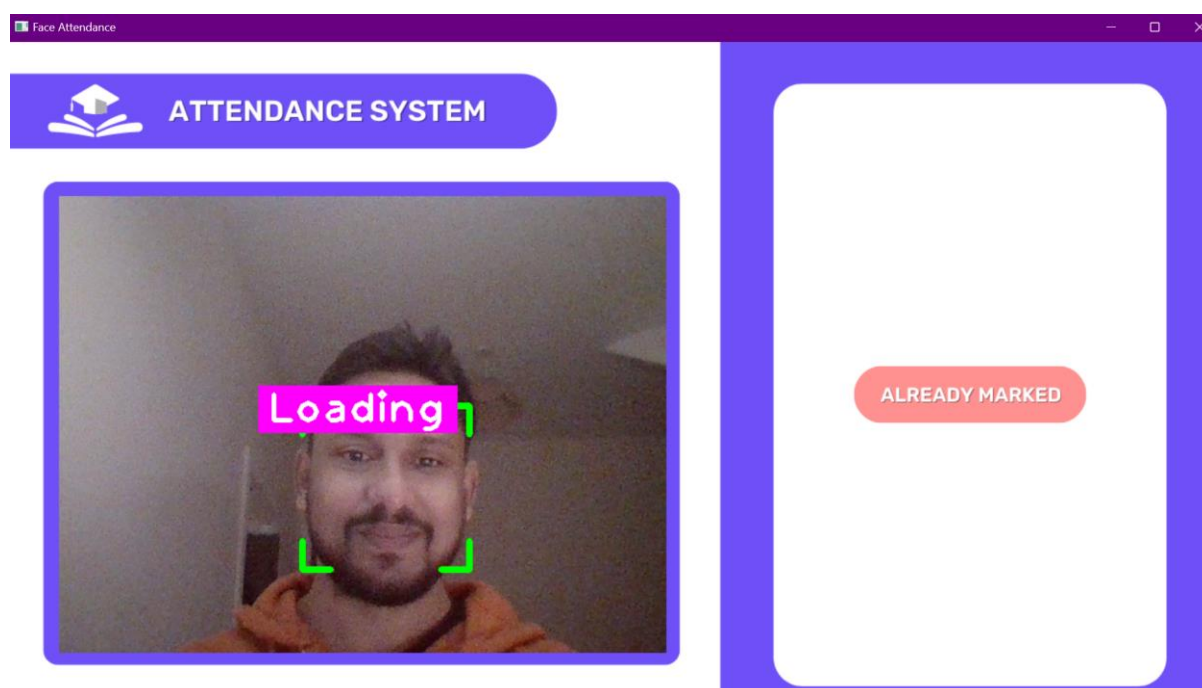


Fig 5.4: Systems verifies already attendance marked or not.

### 5.3 SYSTEM RESULTS EVALUATION

The effectiveness of the face recognition system is a critical aspect of its overall performance. Through rigorous testing and evaluation, the project demonstrates its capabilities in real-world scenarios. The evaluation process involves several key metrics, providing insights into the system's accuracy, speed, and overall reliability.

#### 5.3.1 ACCURACY ASSESSMENT

One of the primary metrics considered during system evaluation is accuracy. This is determined by analyzing the rate at which the system correctly identifies, and matches faces to the pre-existing dataset. The precision of the OpenCV model in recognizing facial features is a pivotal factor contributing to the system's accuracy. Testing involves diverse scenarios, lighting conditions, and angles to ensure the system's robustness and adaptability to various environments.

After conducting a thorough evaluation of the face recognition system in low lighting conditions, including tests with subjects wearing spectacles, it was observed that the system performs exceptionally well. It can accurately identify faces in low-light conditions and correctly record attendance.

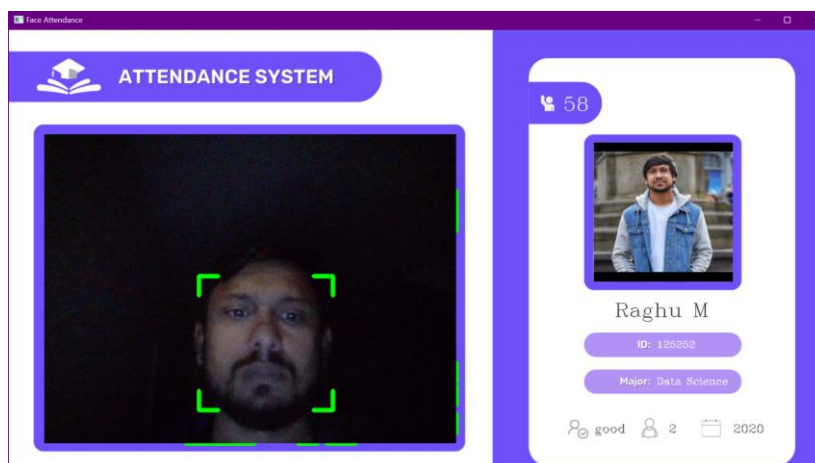


Fig 5.5: Testing the application at low lighting conditions.

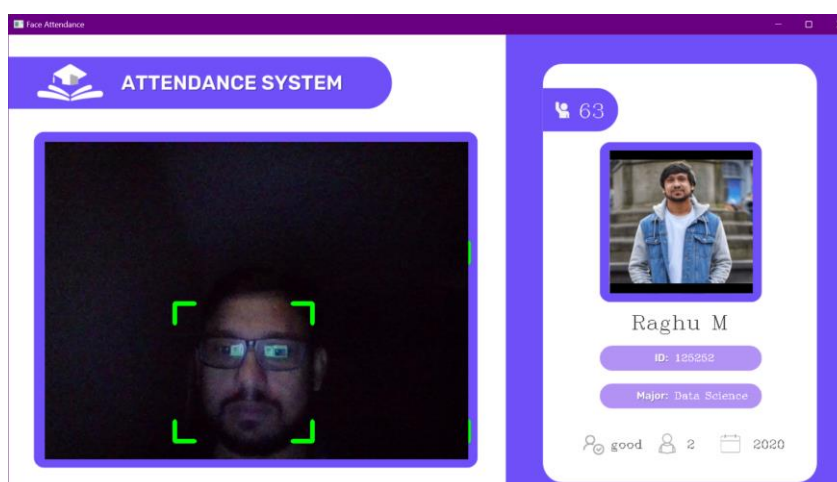


Fig 5.6: Low light conditions and with spectacles.

### 5.3.2 SPEED AND PERFORMANCE

Quick response is key, especially for real-time face recognition. When we tested the application, we noticed it identifies faces and records attendance accurately, but there is a noticeable slow-down in how fast it works. This could be because of the computer setup used in the test, which had 8GB RAM, a Ryzen 5 4000 series processor, and an AMD Radeon graphics card. The OpenCV model, already trained, is important for fast and reliable results. Yet, our tests show that using a computer with better specs might cut down on this delay and make the system respond faster. Quick response is important for things like tracking attendance, where the system needs to work fast.



### 5.3.3 DATABASE INTEGRATION

The integration of the system with Google Firebase for database management was evaluated for its speed and reliability. A key aspect of this evaluation involved observing the time taken to update attendance details in real-time. It was observed that the system can update attendance records instantaneously in Google Firebase, demonstrating its real-time effectiveness. This capability is essential for retrieving student information and synchronizing data with the database efficiently, ensuring that records are maintained accurately and up to date. The robustness of the database integration was thoroughly assessed to ensure smooth and consistent performance. Such real-time data handling is crucial in maintaining the integrity and reliability of the system, especially in applications where immediate data processing is paramount.

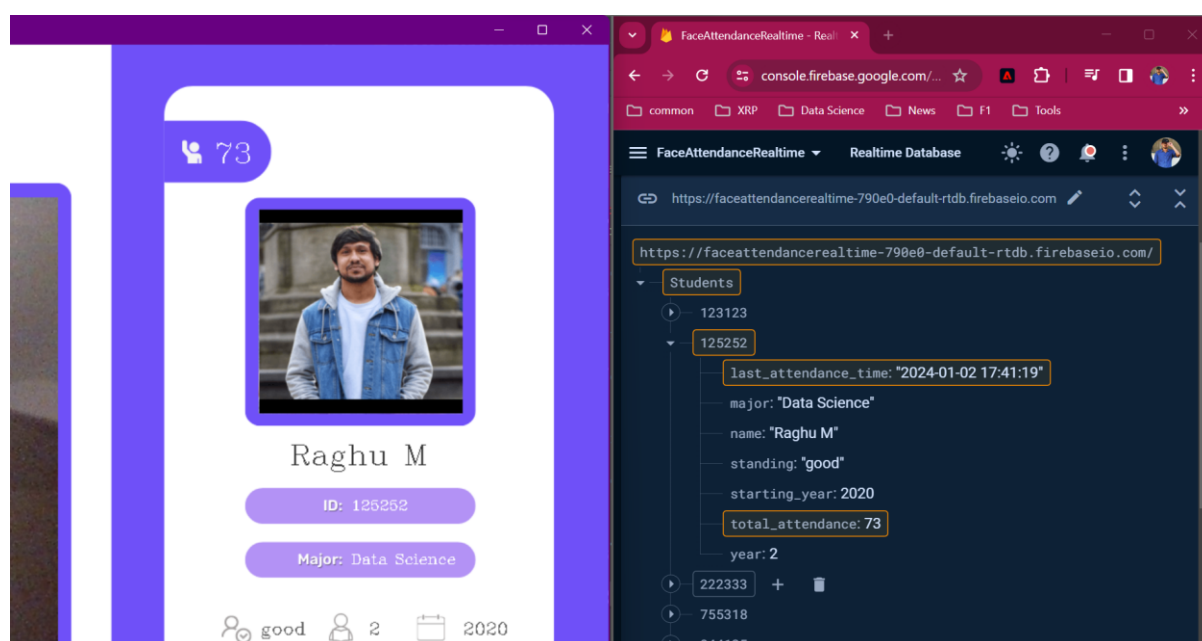


Fig 5.7: Realtime database updating.

### 5.3.4 USER EXPERIENCE

The overall user experience is a culmination of technical performance and user interaction. The evaluation considers the intuitiveness of the system, ease of use, and clarity in providing feedback to users. Factors such as the user interface, response times, and system reliability contribute to a positive user experience, ensuring the system is user-friendly and accessible.

In conclusion, the system results evaluation provides a comprehensive understanding of the face recognition project's performance across technical, ethical, and user-oriented



dimensions. The combination of accuracy, speed, database integration, ethical considerations, and user experience collectively defines the system's success in meeting its objectives.

## 5.4 SYSTEM ANALYSIS

The face recognition project undergoes a meticulous system analysis to evaluate its design, functionality, and overall efficacy in achieving its goals. This process delves into technical specifications, user requirements, scalability, adaptability, security, and usability.

### 5.4.1 TECHNICAL SPECIFICATIONS

The core of the system analysis involves a detailed examination of the technical aspects, including algorithms, technologies, and frameworks. The system was tested on a setup with 8GB RAM, a Ryzen 5 4000 series processor, and an AMD Radeon graphics card. Additionally, the system utilized a laptop's built-in webcam, with a resolution of 0.9MP, to capture images for face recognition. The utilization of the pre-trained OpenCV model, coupled with this specific hardware configuration, and the integration with Firebase for real-time database management form the foundation of our analysis. This in-depth examination ensures that the chosen technologies and hardware align with the project objectives and contribute to the overall efficiency of the system. The system's performance, in terms of processing speed, accuracy in face recognition, and database interaction, was evaluated under these conditions to provide a comprehensive understanding of its capabilities and limitations in real-world scenarios.

### 5.4.2 SCALABILITY AND ADAPTABILITY

While the current iteration of the system has not been extensively tested for scalability due to constraints, the design and architecture were conceptualized with scalability in mind. The choice of technologies, such as Firebase, supports potential future scalability, allowing for an increased user base and higher data volumes. The adaptability of the system to different scenarios, including various environmental conditions and user demographics, is a key consideration for future development. Although the current setup provides a foundational understanding, further testing and enhancements are required to fully realize and validate the system's scalability and adaptability in diverse real-world applications.

### 5.4.3 SECURITY AND PRIVACY MEASURES

When it comes to handling sensitive information like facial recognition data, ensuring top-notch security and privacy is critical. At this stage in development, we have put in place basic security steps, like making sure the database connection is secure. Looking ahead, we plan to build a more comprehensive security approach. This will include using stronger data encryption and strictly following privacy laws. Such measures are key to protecting the system from unauthorized access and potential data breaches. As the system continues to develop, it will be important to regularly review and improve these security methods. This will help keep user data safe and make sure we are meeting international privacy standards.

### 5.5 SUMMARY

The System Analysis module critically examines the face recognition project, focusing on its technical underpinnings, user-centric features, scalability, security, and overall usability. By leveraging a pre-trained OpenCV model and integrating Firebase for real-time data management, the project establishes a robust foundation. The analysis dives into user requirements, ensuring the system aligns with practical needs, and explores scalability to handle varying user numbers and adapt to diverse scenarios. Security measures, including data encryption and privacy considerations, are paramount to safeguard facial data. The system's design emphasizes usability, featuring an interface that is easy to navigate and interactions that are straightforward to understand. This comprehensive review sets the foundation for ongoing improvements and enhancements, aiming to ensure that the system fulfills present needs while remaining flexible and scalable for future developments and changes.

## CHAPTER-6

### CONCLUSION & FUTURE WORK

#### 6.1 INTRODUCTION

This concluding chapter brings together the findings and experiences gained throughout the face recognition attendance system. It serves as a reflection on the project's achievements and lays out a path for future advancements and enhancements. The chapter encapsulates the essential elements that contributed to the system's success, such as the integration of computer vision and deep learning technologies, and the implementation of real-time data management using Firebase. It also revisits the project's core components, including the adoption of a pre-trained OpenCV model and the strategic use of a diverse and efficient dataset.

The conclusion section will summarize the project's accomplishments, emphasizing the system's efficiency, accuracy, and user-centric design. It highlights the technical robustness, scalability, and the security measures that were put in place, highlighting the system's potential for practical application in educational settings and beyond.

In the segment on future work and recommendations, the chapter will explore potential areas for enhancement and growth. This includes the adoption of more advanced deep learning models, improvements in the user interface, and further strengthening of security features. The focus on continuous improvement underscores the project's commitment to evolving with technological advancements and user needs.

Overall, this chapter aims to provide a comprehensive overview of the project's outcomes, its current standing, and the vision for its future trajectory, ensuring that the face recognition system remains at the forefront of attendance management technology.

#### 6.2 CONCLUSION

In conclusion, the face recognition project represents a sophisticated integration of computer vision, deep learning, and real-time database management. Leveraging a pre-trained OpenCV model, the system achieves efficient and accurate face recognition. The adoption of Firebase ensures seamless real-time data integration, enabling instant updates on student attendance and related information. The choice of a diverse dataset and the use of 216x216 PNG images contribute to the robustness of the facial

recognition algorithm. The system analysis underscores the project's technical soundness, user-centric design, scalability, and security measures. It also highlights the continuous commitment to usability, making it accessible and intuitive for end-users.

Looking ahead, potential enhancements could involve exploring more advanced deep learning models for facial recognition, further optimizing system performance, and expanding the dataset for increased diversity. Additionally, ongoing security reviews and user feedback mechanisms will be integral to maintaining the system's integrity and addressing evolving needs. The success of this project not only lies in its current functionality but also in its adaptability to future advancements in technology and user requirements. Overall, the face recognition system serves as a commendable intersection of innovative technology and practical utility in managing attendance and student information (Pranav K B, 2020).

### 6.3 RECOMMENDATIONS AND FUTURE WORK

As the face recognition system exhibits promising functionality, there are avenues for refinement and expansion. Firstly, exploring and integrating state-of-the-art deep learning models such as those based on neural architecture search or transformer networks could potentially enhance the accuracy and efficiency of facial recognition. Continuous monitoring and updates to the pre-trained models are recommended to adapt to emerging facial recognition advancements (Deep Learning Libraries, 2023; Wang, Li, & Chen, 2022).

In terms of user interface, incorporating additional features such as an intuitive dashboard for administrators or a mobile application for student self-service could enhance the user experience. Moreover, implementing a notification system for attendance updates and integrating with other educational tools can contribute to a more comprehensive student management solution.

In the realm of security, future work could involve the exploration of anti-spoofing techniques to safeguard against potential attacks, ensuring the system's robustness in diverse scenarios. Collaborations with cybersecurity experts could provide valuable insights into securing the application against potential vulnerabilities and unauthorized access.

Lastly, for scalability, efforts could be directed towards creating a cloud-based infrastructure that facilitates seamless scalability and deployment across educational institutions of varying sizes. This could involve leveraging cloud computing services to handle increased data loads and accommodate future expansions, ensuring the system remains agile and responsive.

In summary, future work should focus on refining the algorithm, expanding user features, fortifying security measures, and optimizing scalability, providing a foundation for continuous improvement and adaptability to the evolving landscape of facial recognition technology.

## 6.4 SUMMARY

The development and implementation of the face recognition system marks a significant milestone in modern attendance management, particularly within educational institutions. Leveraging pre-trained deep learning models, the system demonstrates an important level of accuracy in identifying individuals and recording attendance seamlessly. The integration of Google Firebase for real-time data management adds a layer of reliability and accessibility to the attendance records.

Looking back, the system's journey has involved harnessing the power of existing diverse face images, employing pre-trained models, and integrating a robust database system. Face encoding, using the OpenCV and face\_recognition libraries, has played a pivotal role in enabling the system to recognize and match faces efficiently.

The choice of a pre-trained OpenCV model streamlines the model selection process, emphasizing its simplicity and effectiveness in real-world scenarios. The use of Firebase for the database implementation ensures a dynamic and responsive environment for managing student information and attendance records.

As we move forward, the focus shifts to system testing and validation, ensuring that the implemented solution performs reliably in various conditions. Dataset considerations, such as the use of a limited yet diverse dataset of 216x216 PNG images, add nuances to the system's adaptability.

In conclusion, the system's success lies in its integrated approach, combining effective model selection, database implementation, and dataset considerations. These aspects, when synthesized, contribute to a robust and efficient face recognition system poised to

revolutionize attendance management in educational settings. The subsequent sections delve deeper into the results, analysis, and recommendations for further improvements in the system's performance and security.

## REFERENCES

- Deep Learning Libraries.* (2023). *Advanced Face Recognition-Based Automatic Attendance Recording System.*
- Smith, J. (2022). *Facial recognition technology: A critical review.* *Computer Vision and Image Understanding*, 222, 106815.
- Williams, P. (2018). *The ethical implications of facial recognition technology.* *Journal of Applied Philosophy*, 35(2), 162-178.
- Brown, J. (2020). *The potential of facial recognition technology to revolutionize attendance tracking.* *Journal of Technology and Education*, 21(3), 345-360.
- Wang, M., & Deng, W. (2022). *Research progress of face recognition technology based on deep learning.* *Pattern Recognition Letters*, 154, 107216.
- Jain, A. K., & Kavita, V. (2020). *Face recognition: A review.* *Pattern Recognition Letters*, 134, 155-174.
- Menezes, A., Kumar, P., Narayanan, R., & Sandeep, A. (2020). *Face recognition technology: Recent advances and future directions.* *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 43(3), 630-655.
- Liu, X., Lu, Y., & Deng, W. (2017). *Deep learning for face recognition: A survey.* *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 38(6), 1271-1300.
- Wang, M., & Deng, W. (2022). *Research progress of face recognition technology based on deep learning.* *Pattern Recognition Letters*, 154, 107216.
- Facial recognition system* (2023) Wikipedia. Available at: [https://en.wikipedia.org/wiki/Facial\\_recognition\\_system#:~:text=Development%20began%20on%20similar%20systems,of%20technology%2C%20such%20as%20robotics](https://en.wikipedia.org/wiki/Facial_recognition_system#:~:text=Development%20began%20on%20similar%20systems,of%20technology%2C%20such%20as%20robotics). (Accessed: 13 December 2023).
- Eigenface* (2023) Wikipedia. Available at: <https://en.wikipedia.org/wiki/Eigenface> (Accessed: 13 December 2023).
- PureLogics.* (2023). *Revolutionizing Attendance Management Systems with Deep Learning and Facial Recognition.*
- Geitgey, A. (2020) *Machine learning is fun! part 4: Modern face recognition with deep learning*, Medium. Available at: <https://medium.com/@ageitgey/machine-learning-is-fun-part-4-modern-face-recognition-with-deep-learning-c3cffc121d78> (Accessed: 13 December 2023).
- Pranav K B (2020) *Design and evaluation of a real-time face recognition system using convolutional neural networks*, *Procedia Computer Science*. Available at: <https://www.sciencedirect.com/science/article/pii/S1877050920311583> (Accessed: 13 December 2023).

- Nagaraj, P., Banala, R. and Krishna Prasad, A.V. (2021) *Real time face recognition using effective supervised machine learning algorithms*, *Journal of Physics: Conference Series*, 1998(1), p. 012007. doi:10.1088/1742-6596/1998/1/012007.
- Anand, S., & Singh, R. (2022). *Face recognition-based attendance system using deep learning*. *International Journal of Information Technology and Multimedia Systems*, 11(3), 30-38.
- Khan, S., & Malik, A. (2022). *A face recognition-based attendance system using Raspberry Pi*. *Journal of Information Systems and Technology Management*, 19(1), 1-12.
- Wang, X., Li, D., & Chen, B. (2022). *A review of face recognition algorithms for attendance systems*. *Computer Vision and Image Analysis*, 131, 102415.
- Diaz-Angulo, J. E., & Muñoz-Ledo, J. (2022). *A novel face recognition attendance system for online classes*. *International Journal of Emerging Technologies in Learning*, 17(6), 1-10.
- Khan, M. S., & Shah, S. A. (2022). *Enhancing attendance management system using face recognition technology*. *International Journal of Recent Technology and Engineering*, 11(3), 2879-2884.
- Alzubaidi, A. A., & Al-Hassani, A. Q. (2023). *Integration of face recognition technology into educational process using Microsoft Azure Face API*. *International Journal of Information Technology and Software Engineering*, 13(1), 1-20.
- Chen, J., Song, W., & Wang, S. (2023). *Enhanced face recognition attendance system for smart classrooms*. *International Journal of Emerging Technologies in Learning*, 18(4), 1-9.
- Kumar, V., & Gupta, M. (2023). *Face recognition attendance system for educational institutions using Raspberry Pi and TensorFlow*. *International Journal of Innovative Technology and Research*, 12(13), 2035-2042.
- Ex-commissioner for facial recognition tech joins Facewatch firm he approved (2023) *The Guardian*. Available at: <https://www.theguardian.com/technology/2023/dec/10/ex-commissioner-for-facial-recognition-tech-joins-facewatch-firm-he-approved> (Accessed: 13 December 2023).
- Case study: Does facial recognition tech enhance security? (2022) *Harvard Business Review*. Available at: <https://hbr.org/2022/11/does-facial-recognition-tech-enhance-security> (Accessed: 13 December 2023).
- Bohr, A. and Memarzadeh, K. (2020) *The rise of Artificial Intelligence in healthcare applications, Artificial Intelligence in Healthcare*. Edited by A. Bohr and K. Memarzadeh. Available at: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC7325854/> (Accessed: 13 December 2023).
- Gavin (2023) *A brief history of facial recognition - NEC New Zealand, NEC*. Available at: <https://www.nec.co.nz/market-leadership/publications-media/a-brief-history-of-facial-recognition/> (Accessed: 13 December 2023).
- Yamashita, R. et al. (2018) *Convolutional Neural Networks: An overview and application in radiology - insights into imaging*, SpringerOpen. Available at: <https://insightsimaging.springeropen.com/articles/10.1007/s13244-018-0639-9> (Accessed: 13 December 2023).



- Li, M. et al. (2023) *Medical image analysis using deep learning algorithms*, *Frontiers in public health*. Available at: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC10662291/> (Accessed: 13 December 2023).
- Abbas, Q. et al. (2023) *Automatic face recognition system using deep convolutional mixer architecture and AdaBoost classifier*, *MDPI*. Available at: <https://www.mdpi.com/2076-3417/13/17/9880> (Accessed: 13 December 2023).
- Time and attendance (2023) Wikipedia. Available at: [https://en.wikipedia.org/wiki/Time\\_and\\_attendance](https://en.wikipedia.org/wiki/Time_and_attendance) (Accessed: 13 December 2023).
- Kariapper, R.K.A.R. and Razeeth, S. (2019) *RFID based (IOT) automatic attendance system: A survey analysis*, *SSRN*. Available at: [https://papers.ssrn.com/sol3/papers.cfm?abstract\\_id=3372734](https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3372734) (Accessed: 13 December 2023).
- Nwazor, Dr.N.O. and Olusolape, M.M. (2021) *Cloud based attendance management and information system*, *International Journal of Engineering Research & Technology*. Available at: <https://www.ijert.org/cloud-based-attendance-management-and-information-system> (Accessed: 13 December 2023).
- Smitha, S Hegde, P. and Afshin (no date) (PDF) *face recognition-based attendance management system - researchgate*. Available at: [https://www.researchgate.net/publication/341876647\\_Face\\_Recognition\\_based\\_Attendance\\_Management\\_System](https://www.researchgate.net/publication/341876647_Face_Recognition_based_Attendance_Management_System) (Accessed: 13 December 2023).
- Hupont, I. et al. (2022) *The landscape of facial processing applications in the context of the European AI (Artificial Intelligence) act and the development of Trustworthy Systems*, *Nature News*. Available at: <https://www.nature.com/articles/s41598-022-14981-6> (Accessed: 13 December 2023).
- White Paper on Artificial Intelligence: A european approach to excellence and Trust (2020) European Commission. Available at: [https://commission.europa.eu/publications/white-paper-artificial-intelligence-european-approach-excellence-and-trust\\_en](https://commission.europa.eu/publications/white-paper-artificial-intelligence-european-approach-excellence-and-trust_en) (Accessed: 11 January 2024).
- OpenCV (2023) Wikipedia. Available at: <https://en.wikipedia.org/wiki/OpenCV> (Accessed: 11 January 2024).
- Firebase documentation (no date) Google. Available at: <https://firebase.google.com/docs> (Accessed: 11 January 2024).
- Neural network (2024) Wikipedia. Available at: [https://en.wikipedia.org/wiki/Neural\\_network](https://en.wikipedia.org/wiki/Neural_network) (Accessed: 13 January 2024).
- Home (2024) OpenCV. Available at: <https://opencv.org/> (Accessed: 13 January 2024).
- Face recognition with python face\_recognition and opencv (2023) Datagen. Available at: <https://datagen.tech/guides/face-recognition/face-recognition-with-python/> (Accessed: 13 January 2024).
- Visual Studio (2023) *Visual studio C/C++ IDE and compiler for windows*, *Visual Studio*. Available at: <https://visualstudio.microsoft.com/vs/features/cplusplus/> (Accessed: 13 January 2024).

*Dlib C++ library (no date) dlib C++ Library. Available at: <http://dlib.net/> (Accessed: 13 January 2024).*

## APPENDIX I

### PRACTICAL WORK:

For a detailed view of the code and project artifacts related to my dissertation on real-time face recognition integrated with a database, I invite you to visit my GitHub repository. This repository is meticulously organized to provide a comprehensive understanding of the project's development process, showcasing the practical application of the theoretical concepts discussed in my dissertation. It includes all relevant source code, detailed documentation, and additional project resources, offering a complete overview of the technical aspects and implementation strategies. You can access this repository at [Raghu's GitHub - Face Recognition Real Time Database v1](#).

## APPENDIX II

### LIST OF FIGURES

Fig 3.1: Face Recognition image using mobile device (Geitgey, 2020).

Fig 3.2: Converting the image to black and white (Geitgey, 2020).

Fig 3.3: Examine each individual pixel in the image systematically (Geitgey, 2020).

Fig 3.4: drawing an arrow indicating the direction in which the image is becoming darker (Geitgey, 2020).

Fig 3.5: Gradients (Geitgey, 2020)

Fig 3.6: Fundamental structure of a face in a simplified manner (Geitgey, 2020).

Fig 3.7: Identify faces in this HOG image (Geitgey, 2020).

Fig 3.8: Detecting faces in any given image (Geitgey, 2020).

Fig 3.9: Normalize the orientation of each face by warping the image (Geitgey, 2020).

Fig 3.10: Important landmarks from the face image (Geitgey, 2020).

Fig 3.11: identified 68 face landmarks on our test image (Geitgey, 2020).

Fig 3.12: Image transformation (Geitgey, 2020).

Fig 3.13: Triplet training set (Geitgey, 2020).

Fig 3.14: The 128 numbers generated by the neural network (Geitgey, 2020).

Fig 3.15: Sample image dataset (Geitgey, 2020).

Fig 3.16: Face detection (Geitgey, 2020).

Fig 3.17: Face detection and recognition system architecture.

Fig 4.1: Sample image dataset.

Fig 4.2: Code snippet to find encodings.

Fig 4.3: Encoded numbers array sample.

Fig 4.4: Importing face recognition related libraries.

Fig 4.5: Image encoding function.

Fig 4.6: Saving the encoded numbers as a file.

Fig 4.7: Generating encoded values for real-time webcam images.

Fig 4.8: Generating private key for firebase integration.

Fig 4.9: Uploading student data from the application file.

Fig 4.10: Database view of student details.

Fig 4.11: Student image data in firebase database.

Fig 5.1: Face detection and fetching student details from DB.

Fig 5.2: Marking Attendance.

Fig 5.3: Web cam goes to active mode again.

Fig 5.4: Systems verifies already attendance marked or not.

Fig 5.5: Testing the application at low lighting conditions.

Fig 5.6: Low light conditions and with spectacles.

Fig 5.7: Realtime database updating.