# CS577: Homework 9

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## 1 Algorithm description

**Input**:  $\alpha_1, \alpha_2, \dots, \alpha_n > 0$  and  $\omega_1, \omega_2, \dots, \omega_n > 0$ , where  $\alpha_i$  represents the cost of purchasing component i through Alpha and  $\omega_i$  the cost of purchasing it through Omega. Also, the incompatibility costs c(i, j) for each  $i, j \in [1, n], i \neq j$ .

**Output**: A purchasing strategy  $\gamma_1, \gamma_2, \ldots, \gamma_n$ , where each  $\gamma_i$  is A or  $\Omega$  depending on which company component i should be purchased from so as to minimize the sum of the purchase costs and incompatibility costs.

Initialize the graph to have n vertices, labelled 1, 2, ..., n. Henceforth we will refer to this set of vertices as N, where |N| = n. Also create two vertices s and t, the source and sink of our network, respectively. For each  $i \in N$ , create two edges:

- 1. An edge (s,i) with capacity  $\omega_i$
- 2. An edge (i, t) with capacity  $\alpha_i$

For each pair of vertices  $u, v \in N, u \neq v$ , create an edge (u, v) with capacity c(u, v) corresponding exactly to the given incompatibility cost associated with components u and v being purchased from different suppliers.

Finally, run the max-flow algorithm on this network. Afterward, process the residual network to determine which vertices reside in S and which in T. All  $V_{\omega} \in S \setminus s$  correspond to components which should be purchased from Alpha, and those  $V_{\alpha} \in T \setminus t$  correspond to components which should be purchased from Omega. Return the purchasing strategy  $\gamma_1, \gamma_2, \ldots, \gamma_n$  where each  $\gamma_i$  is A if vertex i is in S or  $\Omega$  if vertex i is in T.

### 2 Correctness

The key insight here is that we want to partition the components into those that we buy from Alpha and those that we buy from Omega, and a cut is a natural way to express this. We now aim to argue that an S-T cut produced by the output of the max-flow corresponds to a purchasing strategy for the components. Indeed, there is a one-to-one and onto correspondence between S-T cuts and purchasing strategies for components. Furthermore, the minimal S-T cut corresponds to exactly the minimum total sum of purchase and incompatibility costs.

Cuts  $\rightarrow$  purchasing strategies: For a given cut, every vertex  $v \in N \setminus s, t$  is either on the S or the T side. This partitioning is exactly the purchasing strategy that we are looking for, namely to purchase each component from either of Alpha or Omega.

**Purchasing strategies**  $\rightarrow$  **cuts**: For a given purchasing strategy (i.e. each component will either be purchased from Alpha or Omega, there is exactly one S-T cut corresponding to it, which is just the cut where those purchased from Alpha are on the S side of the cut, and those purchased from Omega on the T side.

The two correspondences are also inverses. Namely, if we start with an S-T cut of G, determine the corresponding purchasing strategy, and then generate an S-T cut from that strategy, we end up with

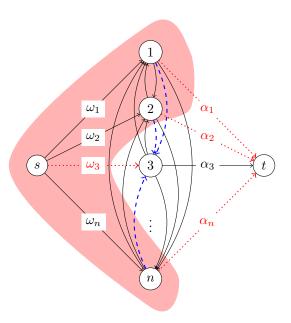


Figure 1: S-T cuts correspond to purchasing strategies, and the capacity of the cut is precisely the cost of purchasing components in  $S \setminus s$  from Alpha and in  $T \setminus t$  from Omega. Here, components 1, 2, and n will be purchased from Alpha and incur costs of  $\alpha_1, \alpha_2$ , and  $\alpha_n$  (dotted, right). Component 3 will be purchased from Omega and incurs a cost of  $\omega_3$  (dotted, left). Furthermore, the incompatibility costs are accounted for by the dashed edges. For example, the edge (n,3) has capacity c(n,3), capturing the incompatibility costs described in the problem.

identically the same cut we started with. Similarly, given a purchasing strategy we can generate an S-T cut, and going from that cut back to a purchasing strategy will generate an identical strategy to the original.

Furthermore, by the max-flow min-cut theorem, the maximum value of any flow in a network is equal to the minimum capacity of any S-T cut in the network [1]. Since each S-T cut in G corresponds to a purchasing strategy, and the capacity of said cut is exactly the objective function of cost, the S-T cut produced by the max-flow will determine an optimal purchasing strategy to minimize costs.

#### 3 Runtime

The constructed graph is composed of  $n^2 + 2n = O(n^2)$  edges and O(n) vertices. Furthermore, it is constructed in  $O(n^2)$  time, because construction of the 2n source and sink edges  $\omega_1, \omega_2, \ldots, \omega_n$  and  $\alpha_1, \alpha_2, \ldots, \alpha_n$  can be done in O(n) time and the  $n^2$  incompatibility cost edges c(i,j) can be constructed in  $O(n^2)$  time. The state-of-the-art max-flow algorithm discussed in class runs in  $O(|V| \cdot |E|)$ . Because of the structure of this graph, this is  $O(n^2 \cdot n) = O(n^3)$ .

Once we have run the max-flow algorithm, we just find a minimum cut in the flow network, and those vertices on the S side of the cut tell us which components to buy from Alpha (and those in T tell use which to buy from Omega).

### References

[1] Dieter van Melkebeek. Network flow, October 2015.