# Deep Learning Assignment ID: 582015

# 1 Perceptron

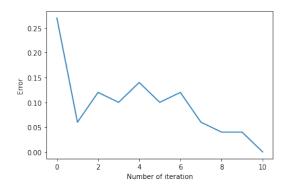
Our input to the perception model is  $T = \{(x_1, y_1), (x_2, y_2), \dots, (x_N, y_N)\}$ . Here,  $x_i \in \chi = R^{10}, y_i \in Y = \{+1, -1\}, i = 1, 2, \dots, N$ 

Hence, our perception model is  $f(x) = sign(w \cdot x + b)$ , where sign function is the one classifying the sum of inputs as label +1 or -1. The process can be summarized as follows:

- 1. Choose initial values for weights and bias  $w_0, b_0$
- 2. Loop through training dataset  $(x_i, y_i)$
- 3. If  $y_i(w \cdot x_i + b) \leq 0$ , update w and b

$$\mathbf{w} \to \mathbf{w} + \frac{\epsilon_w}{2} (v^m - v(\mathbf{u}^m)) \mathbf{u}^m$$
  
 $\mathbf{b} \to b - \frac{\epsilon_w}{2} (v^m - v(\mathbf{u}^m))$ 

For perception learning, we use  $\epsilon = 0.01$  as the learning rate and use threshold  $10^{-10}$ . We use the absolute value of the change of weights as error term. Once it becomes smaller than the threshold, we terminate the learning process. We use a randomly generated dataset of size 100 as training set and for test data, we generate a dataset of size 1000 and calculate its 'performance' (percentage of correctly classified data) over 20 trials.



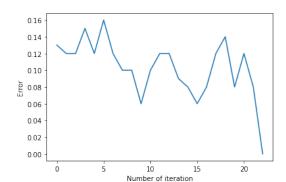


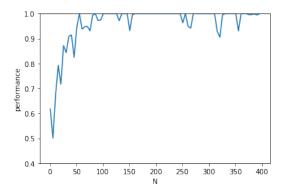
Figure 1: Error of training dataset task: sum of inputs

Figure 2: Error of testing dataset task: product of inputs

Above is two pictures depicting the change of error term defined over iteration in two missions. Both two missions are well solved. In most cases, the perceptron learning converges rapid after a few epochs. Under a few circumstances, the error term cannot converge to zero and oscillate within a certain range. The network built can also be used for larger inputs with N¿10. The second task which calculates the product of inputs have a bigger fluctuation in the error term.

The plots of the performance versus the size of training vectors are shown as follows when doing the sum and parity tasks.

In Figure 3, we can see a clear convergence when N>200. The preceptron learning process will work better when provided with larger training datasets and the performance will approximate 1.0 as expected. In Figure 4, we classify input vectors by the sign of their product  $v=sign(\prod_i u_i)$ . A very poor performance for the product classification task can be seen and the performance score mainly oscillate between 0.4 and 0.7. A basic explanation on the difference of performances is linear separability. If we simplify the sum task as two-dimensional input, which is the number of +1 and the number of -1. The output of the question can be easily classified into two categories in a 2-D plane



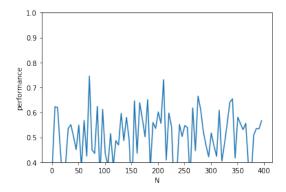


Figure 3: Performance as a function of size of Figure 4: Performance as a function of size of training set in sum-classification training set in product-classification

with a hyperplane. However, in the following case, we cannot use one single line to separate the two classes and we have at most 75% accuracy. Actually, the perceptron model with threshold will not work well if the case is not linear separable. Our parity problem can be thought of as two inputs and is not linear separable. To better solve this problem, we could use multiple perceptrons or even better, non-linear kernal support vector machine.

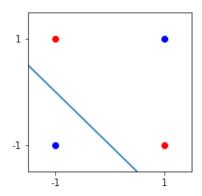


Figure 5: Parity question: linear inseparability

# 2 Self-supervised Learning

For the perceptron model I constructed, there will be eight input units, three hidden units and eight output units. The algorithm is similar to the backprop network with three layers which can be summarized as follows:

- 1. Input training data, here we use diagonal matrix of 8 dimensions as input. We use sigmoid function as the activation function as required. Mean squared error (MSE) is used as the cost function  $C(\cdot)$ .
- 2. Forward Propagation of inputs.

$$\left\{ \begin{array}{l} z^{(l)} = w^{(l)}a^{(l-1)} + b \\ a^{(l)} = \sigma\left(z^{(l)}\right) \end{array} \right.$$

3. Calculate the error of the output layers.

$$\delta^{(L)} = \nabla_{a^{(L)}} C(\theta) \cdot \sigma' \left( z^{(L)} \right)$$

4. Calculate the backward propagation of errors

$$\delta^{(l)} = \left( \left( w^{(l+1)} \right)^T \delta^{(l+1)} \right) \cdot \sigma' \left( z^{(l)} \right)$$

$$l = L - 1, L - 2, \cdots, 2$$

5. Use gradient descending to update the value of weights and biases,  $\alpha$  is the learning rate, here we set it as 0.5.

$$\begin{cases} w_{jk}^{(l)} := w_{jk}^{(l)} - \alpha \frac{\partial C(\theta)}{\partial w_{jk}^{(l)}} \\ b_j^{(l)} := b_j^{(l)} - \alpha \frac{\partial C(\theta)}{\partial b_j^{(l)}} \end{cases}$$

Although this is a very simple model, it successfully reproduces the input vector with high accuracy. The training loss drops drastically in the first 100 iterations. After 3000 iterations, we could find a quite similar output matrix or vector.

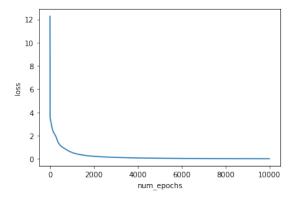


Figure 6: Training loss of eight 8-d vector as a function of number of epoches

Then we consider include momentum term in the change of weights. Momentum, in physics, the product of mass and velocity, enables a particular object with mass to continue in it's trajectory even when an external opposing force is applied. Momentum in neural networks is a variant of the stochastic gradient descent. It replaces the gradient with a momentum which is an aggregate of gradients. Usually, a momentum term can speed up learning and help if the training gets stuck at some local minima.

We use the following formula to update the delta term of the weights.

$$\Delta w_{ij} = \left(\eta * \frac{\partial E}{\partial w_{ij}}\right) + \left(\gamma * \Delta w_{ij}^{t-1}\right)$$

 $\gamma$  is the momentum factor, multiplying the weight increment from the last iteration. Here we take  $\gamma=0.5$ 

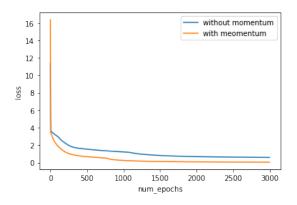


Figure 7: Training loss from two methods: with(orange) and without(blue) momentum term

Obviously, we can see by including momentum term we obtained expected results in fewer iterations. We can also set other values for momentum factor  $\gamma$  but it would make more sense if we keep  $\eta + \gamma = 1, \gamma \in (0,1)$ .

A network with 16 inputs can also be trained like this.

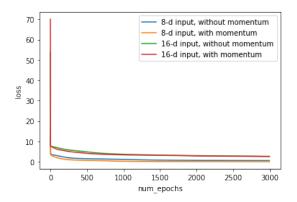


Figure 8: A comparsion of training loss across two methods on 8-d input and 16-d input

We can see a much bigger error in the training of 16-d inputs, but still, using momentum term will speed up training and have similar results. In this case, we did not try with different sets of  $\eta$  and  $\gamma$ , yet we still get fairly good training results. In the next section, we will talk more about hyperparameter optimization.

## 3 MNIST Classification

Training deep learning models usually takes time and requires computational resources. There are a lot of hyperparameters we need to deel with to get the best neural network, for example:

- 1. Number of iterations and training epochs, which activation function to use
- 2. Learning rate, momentum factor
- 3. Number of layers and units in each layer
- 4. Batch size, number of training samples

Mainly when we mention hyperparameter tuning, we are referring to hyperparameters to tune in a neural network like number of layers, and hyperparameters involved in the learning algorithm. Most of the time, increasing the units can improve the performance of a model but could also result in overfitting. Learning rate is also very important for an optimizer. A low learning rate will bring about slow convergence or even no optimal solution. A too high learning rate might result in divergence and the model cannot reach optimal solution.

There are many ways of hyperparameter tuning, like *GridSearch*, *RandomSearch* and *Bayesian Optimizers*. Here we will first talk about hyperparameter tuning for MNIST classification using *Random Forest* method.

Random Forest is a supervised machine learning algorithm made up of decision trees. It will draw a random bootstrap sample from the training set and grow a decision tree from the sample. Then it will aggregate the prediction by each tree to assign the class label by majority vote. We use RandomForest-Classifier from the sklearn package to build the classifier. There are several important parameters like n\_estimators(the number of trees) and random\_state( the randomness of the bootstrapping of the samples used and the sampling of features when looking for best split of the nodes). Here we use  $n\_estimators = 100$  and default setting first. We have a cross validation score of 0.96583, which is already a very good result since this is a dataset whose digits are easy to differentiate. We can see many cases 4 is predicted as 9, 3 is predicted as 2, 9 is predicted as 3 and 4, 5 is predicted as 3. Now we need to adjust the hyperparameters step by step in this model.

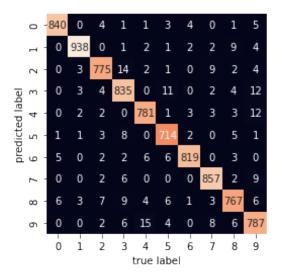


Figure 9: A confusion matrix of true labels and predicted labels of MNIST digits

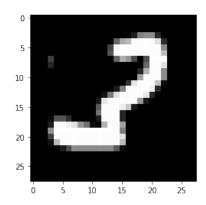


Figure 10: One of the common mistakes: 3 is predicted as 2

• Choose criterion Criterion is highly associated with how the optimum split of features is found and the parameter is used to measure the quality of a split. There are two commonly used criterion, *Entropy* and *Gini*.

$$Gini = 1 - \sum_{i} p_j^2$$

where p<sub>-j</sub> is the probability of class j.

$$Entropy = -\sum_{j} p_j \cdot \log_2 \cdot p_j$$

Entropy measures the disorder of the features with the target. The optimum split is chosen by the feature with less entropy. In this case, we choose Entropy with score of 0.9488 which is a little better than using Gini with score 0.9460. Usually, the obtained results with entropy criterion are slightly better. However, the Gini criterion is less computationally expensive and much faster. In our case, the criterion parameter will not make a difference to the result.

- Choose the number of trees: n\_estimators(Figure 11)
  We run the classifier for n\_estimators = 10, 20, 30...200 and obtain the best parameter is 140 with score 0.95047796. And we run it for interval (130,150) and the optimum is found at 136.
- Choose max\_depth: the maximum depth of the tree(Figure 12) The best parameter found is 10 with score 0.95213842.

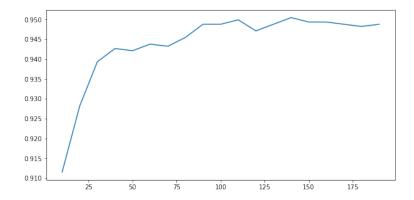


Figure 11: A plot of cross-validation score against n\_estimators value

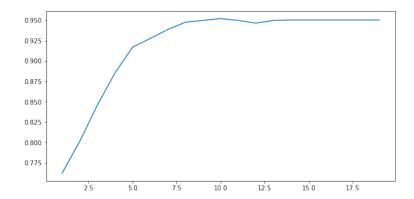


Figure 12: A plot of cross-validation score against max\_depth value

• Choose min\_samples\_split: the minimum number of samples required to split an internal node(Figure 13)

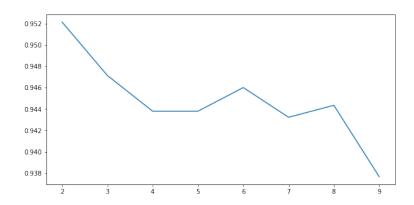


Figure 13: A plot of cross-validation score against min\_samples\_split value

The best parameter found is 2 with score 0.95213842.

• Choose min\_samples\_leaf: the minimum number of samples required to be at a leaf node(Figure 14)

The best parameter found is 1 with score 0.95213842.

In short summary, we have best parameters  $n_{estimators} = 136$ ,  $max_{depth} = 10$ ,  $min_{samples\_leaf} = 1$ ,  $min_{samples\_split} = 2$ ,  $criterion = 'entropy', max_features = 'sqrt'$ 

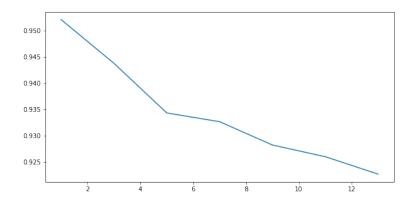


Figure 14: A plot of cross-validation score against min\_samples\_leaf value

We just implemented manual adjustment for the parameters one by one. However, this is just a temporary solution since we do not know how these parameters influence each other. Hence, we do a grid search in the neighborhood of the optimized parameters we already obtain. Furthermore, we could use Random Search Cross Validation in Scikit-Learn package to specify a grid of hyperparameter ranges and randomly sample from the grid. The result is a little different from above,  $n_e$ stimators = 300,  $max_depth = 14$ ,  $min_samples_leaf = 2$ ,  $min_samples_split = 4$ , criterion = 'entropy',  $max_features = 'sqrt'$ 

We now move on to the hyperparameter tuning for using Convolutional Neural Network. There are many choices for CNN architecture. Our purpose is to choose the one with high accuracy while minimizing computational complexity. A typical CNN starts with feature extraction and finishes with classification. Convolutional layers convolve the input and pass its result to the next layer. Pooling layers reduce the dimensions of data by combining the outputs of neuron clusters. Fully connected layers connect every neuron in one layer to every neuron in another layer. The flattened matrix goes through a fully connected layer to classify the images. As mentioned before, there are a range of hyperparameters to be considered. Some are associated with the learning(optimization) algorithm and some are associated with the structure of the neural network. Still, a very explicit way of tuning parameters is to analyse their influence one by one especially when dealing with parameters involved in the CNN structure. First, we consider the number of subsampling pairs we use in the model. Here, pair means the combination of the use of convolution layer and pooling layer. We have the following three common structures starting with 784 units in input layer and ending with 256 units in fully connected dense layer and 10 in output layer.

```
A. [convolution layer: 24 feature maps(5x5 filter),max pooling(2x2 filter,stride 2)]
B. [convolution layer: 24 feature maps(5x5 filter),max pooling(2x2 filter,stride 2)]
[convolution layer: 48 feature maps(5x5 filter),max pooling(2x2 filter,stride 2)]
C. [convolution layer: 24 feature maps(5x5 filter),max pooling(2x2 filter,stride 2)]
[convolution layer: 48 feature maps(5x5 filter),max pooling(2x2 filter,stride 2)]
[convolution layer: 64 feature maps(5x5 filter),max pooling(2x2 filter,stride 2)]
```

From Figure 15, we can see using 2 and 3 pairs of convolution layer and pooling layer achieve almost same accuracy. In order to minimize computational complexity, we choose 2 pairs of (C-P). Then, among different values of feature maps, we choose 32 and 64 in the two convolution layers among the following settings:(8,16),(16,32),(24,48),(32,64),(48,96),(64,128). In the training result, (32,64)(64,128) achieved similar accuracy after 15 epochs. Hence we choose (32,64) as numbers of feature maps. Furthermoafter the dense layers of the networker, following the same process, we choose 128 units in the fully connected dense layer. 256 and more units will only perform slightly better than 128 units after 15 epochs, hence they are not worth the extra computing cost.

Dropout value is another parameter which plays an important part in preventing overfitting on the

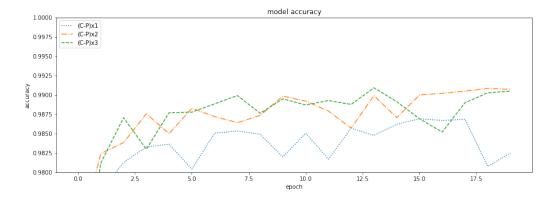


Figure 15: A plot of validation accuracy using three CNN structures

training data. Dropout randomly deactivates some neurons of a layer, thus removing their contribution to the output. Dropouts are mainly used after the dense layers of the network. Here we consider dropout threshold from 0 to 0.5 since taking dropout bigger than 0.5 will lead to poor performance and inaccurate prediction. From Figure 16, we can see 30% dropout is the best. In short summary, we

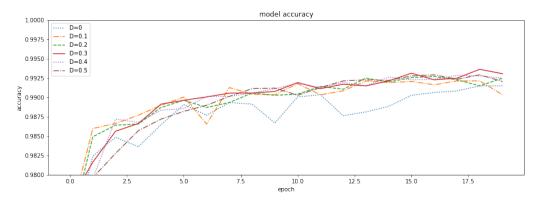


Figure 16: A plot of validation accuracy against models with different dropout values from 0 to 0.5

use two pairs of (C-P) in the CNN model with 32 and 64 feature maps relatively in each convolution layer. After each (C-P), we implement a dropout of 30% before the dense layer. In the fully connected dense layer before the output layer, we set 128 units.

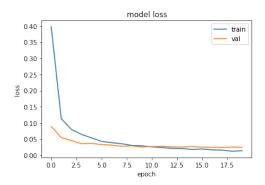
Before we move on to learning rate, there are many available optimizers. They are the algorithm used to minimize the loss/cost. Optimizers in neural networks work by finding the gradient/derivative of the loss with respect to the parameters. The various optimizers differ in how they change the weights. Most popular optimizers are Stochastic Gradient Descent (SGD), Momentum, AdaGrad, RMSProp and Adam. Of all the models constructed above, we use Adam which is in nature a combination of RMSProp and momentum. There are three important hyperparameters involved. One is learning rate $\epsilon$ . The other two  $\beta_1, \beta_2$  are decay rates which are close to 1. We use the following combination of hyperparameters to compile the model. The result is shown as follows:

Learning-Rate	Beta1	Beta2	Optimum-Epoch	Val-Loss
0.1	0.9	0.99	3	0.02544233202934265
0.1	0.9	0.999	2	0.028278963640332222
0.1	0.99	0.99	4	0.029036937281489372
0.1	0.99	0.999	16	0.031150540336966515
0.01	0.9	0.99	15	0.03613648936152458
0.01	0.9	0.999	1	0.03395235165953636
0.01	0.99	0.99	11	0.036354441195726395

0.01	0.99	0.999	10	0.037416618317365646
0.001	0.9	0.99	7	0.04145916923880577
0.001	0.9	0.999	12	0.0379871241748333
0.001	0.99	0.99	16	0.04299500212073326
0.001	0.99	0.999	16	0.043123263865709305

The optimized hyperparameters are  $\epsilon = 0.1, \beta_1 = 0.9, \beta_2 = 0.99$ 

Model loss and accuracy over epochs can be seen from Figure 17 and 18. An accuracy of 0.99857 on the validation sets are obtained, indicating that this optimized model has good performance on accuracy with reasonable computational cost.



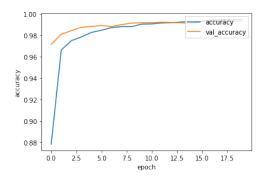


Figure 17: A plot of model loss on training Figure 18: A plot of accuracy and and validation sets with optimized parameter val\_accuracy of the optimized CNN model

# 4 Question 4 Hopfield Network

A Hopfield network is a simple assembly of perceptrons that is able to overcome the XOR problem. It is a fully interconnected neural network where each unit is connected to every other unit. The network stores information in their connectivity matrix W. Matrix W is symmetric and if  $w_{ij} = 0$ , node i and j are disconnected. The weights indicate how strong the link between nodes are. The higher of the value of the weight, the more likely the two connected nodes will activate simultaneously.

The state  $s_i$  takes value in +1,-1. The activation rule is as follows:

$$s_i = +1 \text{ if } \sum_j w_{ij} s_j \ge \theta_i$$
  
 $s_i = +1 \text{ otherwise}$ 

The concept of energy is introduced to measure the performance of the hopfield network.

$$E = -\frac{1}{2} \sum_{i,j} w_{ij} s_i s_j - \sum_i \theta_i s_i = -\frac{1}{2} \vec{s}^T \mathbf{W} \vec{s}^T \vec{\theta}$$

 $\vec{s}$  is the input and state vector and  $\vec{\theta}$  is the bias vector. The storage capacity of memory is the number of patterns stored in the network. The estimated upper bound depends on the strategy for updating weights. With Hebbian learning, the estimate is around  $N \leq 0.15K$ , where K is the number of nodes in the network.

We first initialize the network with  $\vec{x}_p = (x_{p,1}, x_{p,2}, \dots, x_{p,J})^T$ ,  $x_{p,i} = \pm 1$ . N patterns are stored in the network.

 $w_{ij} = \frac{1}{J} \sum_{p=1}^{N} x_{p,i} x_{p,j}$  for all  $i \neq j$ . And  $w_{ii} = 0$ . The Hebbian learning rule can be written in the following form:

$$w_{ij}(t) = w_{ij}(t-1) + \eta x_{t,i} x_{t,j}, \forall i \neq j$$

$$t = 1, \dots, N, w_{ij}(0) = 0, \eta = \frac{1}{J}$$

The storage capacity of an associate memory network is  $N_{max} = \frac{J}{2 \ln J}$  In our example, J=25 and we generate N patterns(N < J) to reproduce the input bipolar vectors. We use 5x5 binary bitmap to visualize the plots. In order to measure its performance, we use overall memory accuracy, which is the mean value of the accuracy of recalling all of the bipolar vectors using the Hopfield network. We

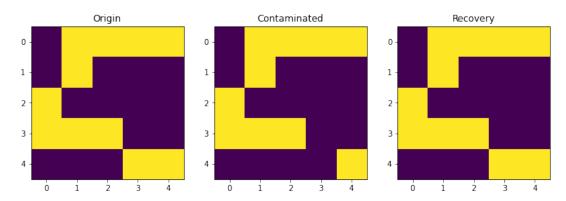


Figure 19: A plot of original pattern, contaminated pattern and recovered pattern

found perfect accuracy when  $N \leq 4$  in this case. This proves the upperbound  $N_{max} = \frac{J}{2 \ln J}$  mentioned before. All of the input patterns can be restored, even when a contaminated pattern is used, one of whose element is taken the opposite number (from +1 to -1 or from -1 to +1). The model has been tested on different noisy inputs. Overall, when there are 30% distortion, the hebbian learning rule can still almost restore the original pattern. When the distortion rate goes up to 50%, the model shows very bad recall accuracy.

The sparseness of the bipolar pattern does not have big influence on the storage capacity but will affect the overall accuracy. We take p, the proportion of +1, from 0.1 to 0.9 and N from 2 to 10.

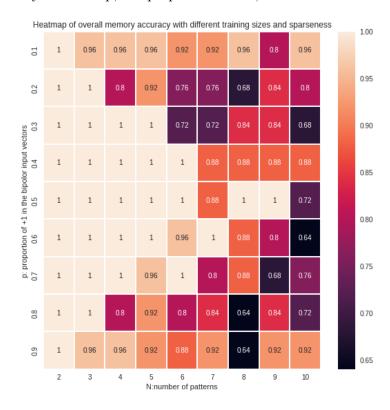


Figure 20: A heatmap of overall accuracy with related to N and p

We can see that when the proportions of +1 and -1 get close to 1:1, the network show better accuracy. Spurious states, which are other stable states different from the fundamental memories, are one major restriction for the Hopfield network being used as associated memory[11]. There are many methods

to improve this by using modified learning rules, like weighted Hebbian learning and perceptron-type learning rule and pseudoinverse learning.

The pseudoinverse rule aims at minimizing the crosstalk between the stored patterns[3]. Based on the hebbian rule weight matrix W, we calculate the pseudoinverse as

$$W_{pinv} = \mathbf{W}^{\mathrm{T}} \cdot \left( W \cdot W^{T} \right)^{-1}$$

The pseudoinverse matrix is calculated using numpy.linalg.pinv. This method can to a much extent improve the storage capacity and recall accuracy over the hebbian rule. We mentioned the storage capacity of Hebbian rule is around 0.14J. By using this rule, we achieved an upperbound of J, which is a great improvement in memory capacity. For pattern recall accuracy, no error is detected when trying to recall the original pattern. When a distortion rate of 50% is set, we can still have nearest neighbor of original pattern in the recovered output, which is also much better than the Hebbian rule. In short summary, the Hopfield network built works with different sizes of patterns and try to recall the input patterns with different learning rules. With Hebbian learning rule, the maximum storage capacity is around 4 when J=25. The accuracy is quite low when working with noisy patterns. But this can be greatly improved by using pseudoinverse rule to update the weight matrix. With this method the storage capacity can be increased to J.

# 5 AlphaFold2 one year on: a deep learning based model solved protein prediction problem

#### Introduction

In 2020, DeepMind team won the 14th Critical Assessment of Structural Prediction Competition (CASP14), where scientists strive to predict the structure of several proteins whose structure has not been released. Their model achieved the most accurate of all the submissions for 92.5% of the targets. The deep learning algorithms were first used and developed by DeepMind to tackle the most difficult and complex human game Go, The success of *AlphaGo* could be viewed as a prelude of the team moving forward to work on applicable fields like protein structure prediction problem.

The argument for structure prediction is based on Anfinsen's 'thermodynamic hypothesis,' which asserts that in a physiological context, a protein's lowest free-energy state is unique, and hence its corresponding 3D structure is also unique. Before, laboratory experiments have been the primary source of good protein structure. Over the past decades, Cryo-EM has become the favoured tool of many structural-biology labs[2]. After an initial try at CASP13, DeepMind team applied the latest attention system Transformer instead of Convolutional Neural Network. In addition, instead of using the distances between amino acids directly this time, they used another neural network to generate the 3D coordinates of the atoms directly from the Transformer's output, says JinBo Xu in ISICDM, who is a computational biologiest in Toyota Technological Institute at Chicago. AlphaFold2 had very high confidence in 36% of the new human protein predictions and some level in 58% of them. Over half of the prediction results are comparable to experimental results, achieving GDT-TS score over 0.9, which is a scoring system of CASP competition to asses predicton models[6]. Also, it is worth mentioning that even atoms in the side chains can be accurately provides.

The creativity of developing AlphaFold2 lies in the application of novel deep learning approach that combines physical and biological knowledge[5]. The startling accuracy and robustness to a certain extent make protein structure prediction almost a solved problem. The method has triggered off great focus in life sciences community, with promising applications in proteomics, therapeutics and many other fields[4]. As claimed in the Nature new article[2], this program will change everything and empower a new generation of molecular biologists to ask more advanced questions. This essay will briefly discuss about the architecture of AlphaFold2 and its influence along with concerns and limitations.

#### Methodology

AlphaFold achieves predicting the protein structure primarily by predicting the distribution of distances between each pair of amino acids in a protein, and the angles between the chemical bonds that connect them, and then aggregating the measurements for all amino acid pairs into a 2D histogram of distances. And then, it learns from these pictures through CNN to create 3D structure. AlphaFold2 instead makes use of attention to focus on more details to improve completeness and accuracy. Specifically, it composes of two main parts, which are EvoFormer and Structure module[5]. The two modules are optimized jointly, adopted by many recent advances in machine learning[1].

The attention mechanism is the core of both modules. Attention allows neural network to guide information flow by selecting which components of the input must interact with others. In 2017, the original model architecture based on attention mechanism, Transformers, was proposed. In the model, every input token, for example a residue of protein sequence can attend to every other input token, Each token generates a key-query-value triplet and attention function maps a query and key-value pairrs to an output[10].

In the first step, AlphaFold2 uses input amino acid sequence to query database of protein sequences to obtain multiple sequence alignment(MSA), thus constructing an initial representation of the structure based on the results of MSA, which is called pair representation. The basic idea of MSA is that some parts of the protein is highly conserved. If two amino acids are in close contact, the mutation in the first one might lead the second one to mutate. The feature extracted from MSA gives a contact map model between two amino acids, which is intended to provide more an better reference and priori knowledge to AlphaFold's structure prediction model.

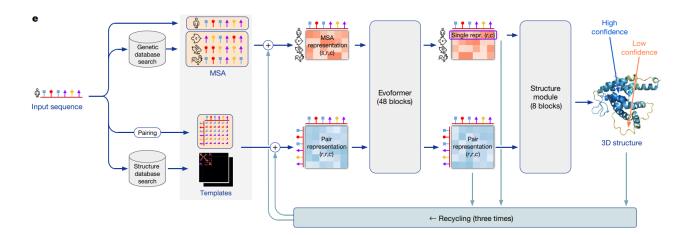


Figure 21: A model architecture flow chart of AlphaFold2 as published in the Nature paper(Jumper et al.,2021)[5]

In EvoFormer, it mainly combines graph network and multiple sequence alignment (MSA). The former calculates the distance among amino acids, which indicating the relationship among them, using a designed 'Triangular self-attension network'[5]. Through MSA, the similarity in structures and functions among protein sequences can be inferred. The attention system is able to connect information learnt in the triangle attention to what is learnt in MSA, which gives a broader information than the previous AlphaFold. In Evoformer, the pair representation, which captures with residues are likely to interact with each other works as both a product and an intermediate layer. The coevolution information from MSA will be passed back to the pair representation, so another structure hypothesis can be generated. Both representations, sequence and structure, exchange information until the network reaches a solid inference.

In Structure Module, every part of the protein can be calculated with 'Invariant Point Attention' (IPA). The structure module considers the protein as a 'residue gas'. Every amino acid is modelled as a triangle, representing the three atoms of the backbone. Starting from some certain atom, a 3D framework as a reference field can be created, which is characterized by numerous independent rotations and translations[5]. An explanation of IPA is well described in the section 1.8 of Supplementary Information in the original Nature paper[5].

#### Influence, Concerns and Further Work

With an explicit goal of replacing crystallography as a method for determineing protein structure, AlphaFold2 did a great job in many aspects. Especially, the representations of protein structure is fed through the networks multiple times to refine the structure. Transformer architecture is broadly used in AlphaFold2, attention mechanism is well used in updating information from MSA and pair representations.

The advent of Alphafold2 enables better prediction of the probability of protein-molecule binding, thus greatly accelerating the efficiency of new drug development. The availability of high-accuracy predictions will hopefully benefit the biopharmaceutical industry to use AlphaFold's model for development. Furthermore, some applications, such as protein evolutionary analysis, are expected to thrive[2]. AlphaFold2 brought biophysical insight into the process of protein folding and the intricate structure of proteins. DeepMind researcher Tunyasuvunakool said that there are already cases where AlphaFold has already enabled experimental scientists to work out structures that had eluded them for years. This will not totally replace experimental techniques. AlphaFold can be combined with laboratory work to better interpret protein structures, while saving much time and effort. The great work to a certain extent well solved the protein structure prediction problem. Scientists can move forward to how to make use of this to interpret many prion diseases, such as Creutzfeldt–Jakob disease and Alzheimer's disease.

Moreover, all the original codes of AlphaFold2 is publicly released on Github and there is a ColabFold

version, which is a free and accessible platform for protein folding running on Google Colaboratory[7]. This makes high quality protein structure prediction accessible and in addition gives features to explore the full potential of AlphaFold2[7].

Currently, AlphaFold2 is still expensive in computational cost. This kind of limits its spread among individual researchers. Another important problem is that it much depends on known structures, hence we cannot safely draw the conclusion that the challenge of predicting protein structures has been already tackled. Since it is a deep learning based work, it still much depends on the volume of training samples. Hence, it shows much lower accuracy in predicting some irregular structure. Since its samples from Protein Data Bank(PDB), for proteins without experimental results from PDB, the prediction results are much worse than those PDB resolved ones. A comparison of distribution of average confidence scores for AlphaFold2 models of human proteins with and without homologs in PDB reveals that predictions for available PDB structures are heavily skewed to higher scores[4]. In contrast, those without homologs in PDB, the predicted local-distance different test(pLDDT)[8] scores are much lower and indicate a very broad range of predicted reliability.

Another outstanding challenge is that, the *AlphaFold2* models cannot be explained or externally proved[4]. Besides experimental results from the lab, the models cannot be independently validated by existing computer programs or methods. There are still many concerns remain unaddressed and await further work. But in all, this is still an incredible achievement for biology researchers, who will no longer need to invest huge amount of fundings and long time to understand the structures of proteins, but can refer to results from *AlphaFold2*.

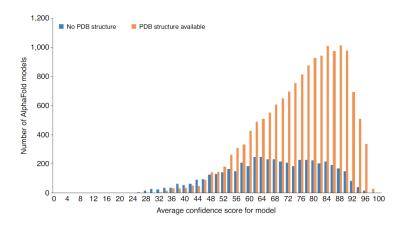


Figure 22: Distribution of average confidence scores for AlphaFold2 models of human proteins with and without PDB homologs[9]

### Summary

In summary, AlphaFold2, created by DeepMind team, proves to be a milestone in predicting protein structure and resolving protein folding problem. It has already been used to predict structures for some of the proteins in SARS-CoV-2 to better help understand how the mutations have affected the proteins. In the very recent research in Omicron S protein, AlphaFold2 is used to predict the structure of S, M and N proteins of the Omicron variant and a significant difference is observed which might result in weak recognition by antibodies, explaining potential immune escape and the invalidation of some existing vaccines[12]. The development of new techniques will not come along with the conclusion that a problem to which researchers have developed uncountable time and effort has already been solved. On the contrary, protein structure problem is still an unsolved problem. More novel subproblems will come up and await to be studied on. In the meanwhile, more new facts about biology can be discovered and more effort will be devoted to the application based on already developed methods. It still remains to be seen how much AlphaFold2 and relevant inspired models can help design proteinss with new functions in the recent future.

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# 6 Appendix

## 6.1 Question 1

```
1
 2
 3
   import numpy as np
   import matplotlib.pyplot as plt
   import copy, random
 5
 6
7
   class perceptron:
8
        def __init__(self, N=10, gamma=-0.5):
9
10
            self.ws = np.zeros(N)
11
12
            self.gamma = gamma
            self.dim = N
13
            self.gamma = 0
14
            self.err = []
15
            self.E=[]
16
            return
17
18
19
        \mathbf{def} train(self, N = 100, Print = False, task = 'sum', a=123):
20
21
            np.random.seed(a)
            train_data = np.random.choice([1,-1], (self.dim,N)) #training
22
            if task = 'sum': vs = np. sign(np.sum(train_data, 0) + 0.01)
23
            elif task == 'product': vs = np.sign(np.prod(train_data, 0))
24
25
            else:
                print('Fail!')
26
                return
27
28
            if Print: print(vs)
29
30
            self.data = train_data
            self.truth = vs
31
32
            self.train_perceptron()
33
34
35
36
            if Print: print (self.ws)
            return
37
38
        \mathbf{def} train_perceptron_prop(self, epsilon, thresh = 10**(-10), Print=
39
            oldws = copy.copy(self.ws)
40
41
            for i in range (self.data.shape[1]):
42
                u = self.data[:, i]
43
                 self.ws += epsilon/2*(
44
                              self.truth[i] -
45
46
                              np. sign(np. dot(self.ws, u) - self.gamma)) * u
                 self.gamma = epsilon/2*(
47
                              self.truth[i] -
48
```

```
np. sign(np. dot(self.ws, u) - self.gamma))
49
50
            err=np.sum((np.abs(self.ws-oldws))**1)
51
52
            Err=0
53
            for i in range (self.data.shape[1]):
54
55
                u = self.data[:, i]
                Err=Err+(self.truth[i] - np.sign(np.dot(self.ws,u)-self.gamma
56
57
            self.err.append(err)
58
59
            self.E.append(Err)
60
            if Print: print('err:', err)
61
            if err < thresh: #converged</pre>
62
                return False
63
            else: return True #should still train
64
65
        def train_perceptron(self, epsilon = 0.01, nlim=1000):
66
67
            self.ws = np.zeros(self.dim)
            train = True
68
            n = 0
69
70
            error = []
            while train and n < nlim:
71
72
                n += 1
                train = self.train_perceptron_prop(epsilon)
73
74
75
76
            #print('final gamma:', self.gamma,'final weights:', self.ws)
            #print('Error:', self.err)
77
78
79
            # plt.figure()
            # plt.plot(self.err)
80
            # plt.xlabel('Number of iteration')
81
            # plt.ylabel('Error')
82
83
            # plt.show()
84
            return
85
        def calculate (self, u, Print = False):
86
87
            v = np. sign(np. dot(self.ws, u)-self.gamma)
88
89
            self.state = v
            if Print:
90
                print('sum:', np.sum(u))
91
                print('state:', v)
92
93
            return v
        def queries (self, n, plusses='random'):
94
95
            if plusses = 'random': queries = np.random.choice([-1, 1], (10,n)
96
               ))
97
            else:
98
                queries = np.zeros((10, n))
99
```

```
pool = [1 \text{ for } i \text{ in } range(plusses)] + [-1 \text{ for } i \text{ in } range(10 - 1)]
100
                     plusses)]
                 #print(pool)
101
                 for i in range(n):
102
                      queries [:, i] = np.random.choice(pool, 10, replace=False)
103
104
                      #print (queries [:, i])
105
106
107
             truth = np. sign (np. sum (queries, 0) +0.001)
108
             vs = np. sign(np. dot(self.ws, queries) - self.gamma)
             performance = sum(np.array(vs) = np.array(truth))/n
109
110
             return performance
111
        def test_performance(self, Ns = np.arange(1,400, 5), task = 'sum',
112
                                ntrial = 20, n=1000, Plot=True, plusses='random'
113
                                   ):
114
             #plusses is nubmer of +1s in input
             performances = []
115
             for N in Ns:
116
117
                 performance = []
118
119
                 for j in range (10):
                      self.train(N=N, task = task)
120
121
                      for i in range(ntrial):
                           performance.append(self.queries(n, plusses=plusses))
122
123
                 performance = np.mean(performance)
124
                 performances.append(performance)
125
             if Plot:
126
127
                 plt.figure()
                 plt.plot(Ns, performances)
128
                 plt.xlabel('N')
129
                 plt.ylabel('performance')
130
                  plt.ylim (0.4,1)
131
132
                 plt.show()
133
             return Ns, performances
134
135
136
    s = perceptron()
137
138
    s.train(N=100, task='sum', a=1238)
139
    s.test_performance(task='sum')
140
141
142
    p = perceptron()
    p. train (N=100, task='product', a=2)
143
144
    p.test_performance(task='product')
145
```

#### 6.2 Question 2

1 2

```
import numpy as np
   import matplotlib.pyplot as plt
 4
 5
 6
   def sigmoid(x):
        return 1 / (1 + np.exp(-x))
7
8
9
   def derivative_sigmoid(x):
10
        return sigmoid(x) * (1 - sigmoid(x))
11
12
13
   def MSE(y, y_hat):
14
        return sum(sum((y - y_hat) ** 2) / 2)
15
16
   def accuracy (y, y_hat):
17
        cnt = 0
18
19
        y_hat = \begin{bmatrix} 1 & if & i > 0.5 & else & 0 & for & i & in & y_hat \end{bmatrix}
20
        for i in range (len(y)):
             if y[i] == y_hat[i]:
21
22
                 cnt += 1
        return cnt / len(y)
23
24
   if __name__ = '__main__':
25
26
27
        w1 = np.random.normal(size = (3, 8))
        w2 = np.random.normal(size = (8, 3))
28
        b1 = np.ones((3, 8))
29
        b2 = np.ones((8, 8))
30
31
32
        X = np. diag([1,1,1,1,1,1,1,1])
        Y = np.diag([1,1,1,1,1,1,1,1,1])
33
        #Hyperparameter
34
        num_{epochs} = 10000
35
        1r = 0.5
36
37
        loss_list = []
38
        acc_list = []
39
        for epoch in range(num_epochs):
40
            # forward layer1
41
            z1 = np.matmul(w1,X) + b1
42
            a1 = sigmoid(z1)
43
44
            # forward layer2
45
            z2 = np.matmul(w2, a1) + b2
46
47
            a2 = sigmoid(z2)
48
            # loss function
49
            loss = MSE(Y, a2)
50
51
52
53
54
55
```

```
loss_list.append(loss)
56
57
             if epoch \% 500 == 0:
58
                 \mathbf{print}(f'epoch_{=}\{epoch\}: _{loss}_{==}\{loss\}')
59
60
             delta = (Y - a2) * derivative\_sigmoid(z2)
61
62
             w2_grad = np.matmul(delta, a1.T)
             b2_{grad} = np.sum(delta, axis=0)
63
64
             delta = derivative_sigmoid(z1)* np.matmul(w2.T, delta)
65
             w1_grad = np.matmul(delta, X)
66
67
             b1_{grad} = np.sum(delta, axis=0)
68
             w1 += lr * w1\_grad
69
70
             b1 += lr * b1\_grad
             w2 += lr * w2\_grad
71
72
             b2 += lr * b2\_grad
73
        # predict
74
        y = sigmoid(np.matmul(w2, sigmoid(np.matmul(w1, X) + b1)) + b2)
75
        print(y)
76
77
    loss=loss_list
78
    line1 = plt.plot(list(range(num_epochs)), loss_list)
79
80
    plt.ylabel('loss')
    plt.xlabel('num_epochs')
81
82
    if __name__ == '__main__':
83
84
        w1 = np.random.normal(size = (3, 8))
85
        w2 = np.random.normal(size = (8, 3))
86
        b1 = np.ones((3, 8))
87
        b2 = np.ones((8, 8))
88
89
        X = np. diag([1,1,1,1,1,1,1,1])
90
        Y = np. diag([1,1,1,1,1,1,1,1])
91
92
        num_epochs = 10000
93
        1r = 0.5
94
95
        gamma = 0.5
96
97
        # train
98
99
100
        loss_list2 = []
101
        for epoch in range(num_epochs):
102
             # forward layer1
103
             z1 = np.matmul(w1,X) + b1
104
             a1 = sigmoid(z1)
105
106
             # forward layer2
107
             z2 = np.matmul(w2, a1) + b2
108
```

```
109
             a2 = sigmoid(z2)
110
            # loss function
111
112
             loss = MSE(Y, a2)
             loss_list2.append(loss)
113
114
             if epoch \% 500 == 0:
115
                 print(f'epoch_{epoch}:_loss_=_{loss}')
116
117
118
             delta = (Y - a2) * derivative\_sigmoid(z2)
             last_w2_grad=w2_grad
119
             w2_grad = np.matmul(delta, a1.T)
120
             b2_{grad} = np.sum(delta, axis=0)
121
122
             delta = derivative_sigmoid(z1)* np.matmul(w2.T, delta)
123
124
             last_w1_grad=w1_grad
125
             w1_grad = np.matmul(delta, X)
             b1_grad = np.sum(delta, axis=0)
126
127
             w1 += lr * w1_grad+gamma*last_w1_grad
128
129
             b1 += lr * b1\_grad
130
            w2 += lr * w2\_grad+gamma*last\_w2\_grad
             b2 += lr * b2\_grad
131
132
        # predict
        v = sigmoid(np.matmul(w2, sigmoid(np.matmul(w1,X) + b1)) + b2)
133
134
        print(y)
135
    fig = plt.figure()
136
    line = plt.plot(list(range(3000)), loss_list[:3000], label='without_
137
       momentum')
    line 2 = plt.plot(list(range(3000)), loss_list2[:3000], label='with_
138
       meomentum')
    \#line3 = plt.plot(list(range(3000)), loss_list3[:3000])
139
    plt.ylabel('loss')
140
141
    plt.xlabel('num_epochs')
    plt.legend()
142
    plt.show()
143
144
    if __name__ == '__main__':
145
146
147
        w1 = np.random.normal(size = (3, 16))
        w2 = np.random.normal(size = (16, 3))
148
149
        b1 = np.ones((3, 16))
        b2 = np.ones((16, 16))
150
        X = np. diag([1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1])
151
        Y = np. diag([1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1])
152
153
154
155
        num_{-epochs} = 10000
        1r = 0.5
156
157
        gamma = 0.5
158
159
```

```
loss_list16 = []
160
161
        for epoch in range(num_epochs):
162
            # forward layer1
163
             z1 = np.matmul(w1,X) + b1
164
             a1 = sigmoid(z1)
165
166
            # forward layer2
167
             z2 = np.matmul(w2, a1) + b2
168
             a2 = sigmoid(z2)
169
170
171
            # loss function
             loss = MSE(Y, a2)
172
173
             loss_list16.append(loss)
174
175
             if epoch \% 500 == 0:
176
                 \mathbf{print}(f'epoch_{=}\{epoch\}: _{loss}_{==}\{loss\}')
177
178
179
             delta = (Y - a2) * derivative\_sigmoid(z2)
180
181
             w2_grad = np.matmul(delta, a1.T)
182
183
             b2_{grad} = np.sum(delta, axis=0)
184
             delta = derivative_sigmoid(z1)* np.matmul(w2.T, delta)
185
186
             w1_grad = np.matmul(delta, X)
187
             b1_{grad} = np.sum(delta, axis=0)
188
189
190
             w1 += lr * w1\_grad
191
             b1 += lr * b1\_grad
192
             w2 += lr * w2\_grad
193
194
             b2 += lr * b2\_grad
195
        # predict
196
        y = sigmoid(np.matmul(w2, sigmoid(np.matmul(w1, X) + b1)) + b2)
197
198
        print(y)
199
200
    fig = plt.figure()
    line = plt.plot(list(range(3000)), loss_list[:3000], label='8-d_input,_
201
       without _momentum')
    line2 = plt.plot(list(range(3000)), loss_list2[:3000], label='8-d_input,_
202
       with _momentum')
    line16= plt.plot(list(range(3000)), loss_list16[:3000], label='16-d_input,
203
       _without_momentum')
204
    line_16 = plt.plot(list(range(3000)), loss_list_16[:3000], label='16-d_1
       input , _with _momentum ')
205
    \#line3 = plt.plot(list(range(3000)), loss_list3[:3000])
    plt.ylabel('loss')
206
    plt.xlabel('num_epochs')
207
    plt.legend()
208
```

```
209 | plt.show()
```

## 6.3 Question 3

```
1
   #MNIST Random Forest
 2
   import numpy as np
 3
   import pandas as pd
   import matplotlib.pyplot as plt
 5
   # %matplotlib inline
   \textbf{from} \hspace{0.2cm} \textbf{sklearn.ensemble} \hspace{0.2cm} \textbf{import} \hspace{0.2cm} \textbf{RandomForestClassifier}
 7
   from sklearn.metrics import accuracy_score
   from sklearn.metrics import confusion_matrix
9
10
   from google.colab import drive
11
   drive.mount('/content/drive')
12
13
   path='/content/drive/My_Drive/mnist'
14
15
   import os
16
   os.chdir(path)
17
   os. listdir (path)
18
19
   train_file = pd.read_csv('train.csv')
20
   test_file = pd.read_csv('test.csv')
21
22
   np.sort(train_file.label.unique())
23
24
   #define the number of samples for training set and for validation set
25
   num_train, num_validation = int(len(train_file)*0.8), int(len(train_file)
26
       *0.2)
27
   num_train, num_validation
28
29
   #generate training data from train_file
30
31
   x_train, y_train=train_file.iloc[:num_train,1:].values, train_file.iloc[:
       num_train,0].values
   x_validation, y_validation=train_file.iloc[num_train:,1:].values,
32
       train_file.iloc[num_train:,0].values
33
   print(x_train.shape)
34
   print(y_train.shape)
35
   print(x_validation.shape)
36
37
   print (y_validation.shape)
38
   index=3
39
   print("Label: " + str(y_train[index]))
40
   plt.imshow(x_train[index].reshape((28,28)),cmap='gray')
41
42
   plt.show()
43
   clf=RandomForestClassifier()
44
   clf.fit(x_train,y_train)
45
46
```

```
prediction_validation = clf.predict(x_validation)
47
   print("Validation_Accuracy:_" + str(accuracy_score(y_validation,
48
      prediction_validation)))
49
   import seaborn as sns
50
   from sklearn.metrics import confusion_matrix
51
52
   mat = confusion_matrix(y_validation, prediction_validation)
   sns.heatmap(mat.T, square=True, annot=True, fmt='d', cbar=False)
53
   plt.xlabel('true_label')
54
   plt.ylabel('predicted_label')
55
56
57
   index=3
   print ("Predicted_" + str (y_validation [y_validation!=prediction_validation
58
      [[index]] + "_as_" +
        str (prediction_validation [y_validation!=prediction_validation] [index
59
            ]))
   plt.imshow(x_validation[y_validation!=prediction_validation][index].
60
      reshape ((28,28)), cmap='gray')
61
   from sklearn.datasets import load_digits
62
   digits = load_digits()
63
64
   fig = plt. figure (figsize = (6,6))
65
   fig.subplots_adjust(left=0, right=1, bottom=0, top=1, hspace=0.05, wspace
66
      =0.05)
   for i in range (64):
67
       ax = fig.add_subplot(8,8,i+1, xticks=[], yticks=[])
68
       ax.imshow(digits.images[i], cmap=plt.cm.binary, interpolation='
69
           nearest')
       ax.text(0,7,str(digits.target[i]))
70
71
   from sklearn.ensemble import RandomForestClassifier
72
   from sklearn.datasets import load_digits
73
   from sklearn.model_selection import train_test_split, GridSearchCV,
74
      cross_val_score
   from sklearn.metrics import accuracy_score
75
   import matplotlib.pyplot as plt
   import numpy as np
77
   data = load_digits()
78
   x = data.data
79
   y = data.target
80
   RF = RandomForestClassifier(random_state = 66)
81
   score = cross_val_score(RF, x, y, cv=10).mean()
82
   print('cross_val_score_is:_%.4f'%score)
83
84
   RF. fit(x,y)
85
   y_{=}RF. predict(x)
86
87
   RF = RandomForestClassifier(random_state = 66)
88
   score = cross_val_score(RF, x, y, cv=10).mean()
89
   print ( 'Gini: _%.4f '%score )
90
   RF = RandomForestClassifier(criterion = 'entropy', random_state = 66)
91
   score = cross_val_score(RF, x, y, cv=10).mean()
```

```
print ('Entropy: _%.4f'%score)
94
95
    ScoreAll = []
    for i in range (10,200,10):
96
         DT = RandomForestClassifier(n_estimators = i, criterion = 'entropy',
97
             random_state = 66) \#
         score = cross_val_score (DT, data.data, data.target, cv=10).mean()
98
         Score All. append ([i, score])
99
    ScoreAll = np.array(ScoreAll)
100
101
    \max_{score} = \text{np.where} \left( \text{ScoreAll} = \text{np.max} \left( \text{ScoreAll} \left[:, 1\right] \right) \right) \left[0\right] \left[0\right]
102
    print("best_parameters_with_score:", ScoreAll[max_score])
103
104
    plt. figure (figsize = [10,5])
105
    plt.plot(ScoreAll[:,0],ScoreAll[:,1])
106
107
    plt.show()
108
    ScoreAll = []
109
    for i in range (130, 150):
110
         DT = RandomForestClassifier(n_estimators = i, random_state = 66,
111
             criterion = 'entropy')
112
         score = cross_val_score (DT, data.data, data.target, cv=10).mean()
         Score All. append ([i, score])
113
114
    ScoreAll = np.array(ScoreAll)
115
    \max_{score} = \text{np.where} \left( \text{ScoreAll} = \text{np.max} \left( \text{ScoreAll} \left[:,1\right] \right) \right) \left[0\right] \left[0\right]
116
    print("best_parameters_with_score:", ScoreAll[max_score])
117
    plt. figure (figsize = [10,5])
118
    plt.plot(ScoreAll[:,0],ScoreAll[:,1])
119
120
    plt.show()
121
122
    ScoreAll = []
    for i in range (1,20):
123
         DT = RandomForestClassifier(n_estimators = 136, random_state = 66,
124
             max_depth = i , criterion = 'entropy') #, criterion = 'entropy'
         score = cross_val_score (DT, data.data, data.target, cv=10).mean()
125
         Score All. append ([i, score])
126
    ScoreAll = np.array(ScoreAll)
127
128
    \max_{score} = \text{np.where} \left( \text{ScoreAll} = \text{np.max} \left( \text{ScoreAll} [:, 1] \right) \right) [0] [0]
129
130
    print("best_parameters_with_score:", ScoreAll[max_score])
    plt. figure (figsize = [10,5])
131
    plt.plot(ScoreAll[:,0],ScoreAll[:,1])
132
    plt.show()
133
134
    ScoreAll = []
135
136
    for i in range (2,10):
         RF = RandomForestClassifier(n_estimators = 136,random_state = 66,
137
             max_depth = 10, min_samples_split = i, criterion = 'entropy') #,
             criterion = 'entropy'
         score = cross_val_score (RF, data.data, data.target, cv=10).mean()
138
         Score All. append ([i, score])
139
    ScoreAll = np.array(ScoreAll)
140
```

```
141
    \max_{score} = \text{np.where}(ScoreAll = \text{np.max}(ScoreAll [:, 1]))[0][0]
142
    print("best_parameters_with_score:", ScoreAll[max_score])
143
144
    plt. figure (figsize = [10,5])
    plt.plot(ScoreAll[:,0],ScoreAll[:,1])
145
146
    plt.show()
147
    ScoreAll = []
148
    for i in range (1,15,2):
149
150
        DT = RandomForestClassifier(n_estimators = 136, random_state = 66,
            max_depth = 10, min_samples_leaf = i, min_samples_split = 2, criterion
             = 'entropy'
        score = cross_val_score (DT, data.data, data.target, cv=10).mean()
151
         Score All. append ([i, score])
152
    ScoreAll = np.array(ScoreAll)
153
154
155
    \max_{score} = \text{np.where}(ScoreAll = \text{np.max}(ScoreAll[:,1]))[0][0]
    print("best_parameters_with_score:", ScoreAll[max_score])
156
    plt. figure (figsize = [10,5])
157
    plt.plot(ScoreAll[:,0],ScoreAll[:,1])
158
    plt.show()
159
160
    param_grid = \{
161
162
         'max_features':np.arange(0.1, 1)}
163
164
    rfc = RandomForestClassifier(random_state=66, n_estimators = 136, max_depth
        = 10, min_samples_leaf =1 , min_samples_split =2, criterion = 'entropy'
    GS = GridSearchCV (rfc, param_grid, cv=10)
165
166
    GS. fit (data.data, data.target)
    print(GS.best_params_)
167
    print(GS.best_score_)
168
169
170
    param_grid = {
171
      'n_estimators':np.arange(130, 140),
      'max_depth':np.arange(5, 15),
172
      'min_samples_leaf':np.arange(1, 3),
173
      'min_samples_split':np.arange(2, 5),
174
175
176
177
    rfc = RandomForestClassifier(random_state=66)
178
    GS = GridSearchCV (rfc, param_grid, cv=10)
179
    GS. fit (data.data, data.target)
180
181
    print(GS.best_params_)
182
    print(GS.best_score_)
183
 1
 2
 3
   import os
 4
 5 | import matplotlib.pyplot as plt
 6 | import numpy as np
```

```
import tensorflow as tf
   from tensorflow import keras
8
9
   # Load MNIST dataset.
10
   mnist = keras.datasets.mnist
11
   (X_train, y_train), (X_test, y_test) = mnist.load_data()
12
13
   print (X_train.shape, X_test.shape, y_train.shape, y_test.shape)
14
15
   # Reshape the dataset into a 2D array.
16
   X_{\text{train}} = X_{\text{train.reshape}}(60000, 28*28)
17
18
   X_{\text{test}} = X_{\text{test}} \cdot \text{reshape} (10000, 28*28)
19
   # Reduce the data size to save time for training.
20
   datasize_train = 10000;
21
   datasize_test = 3000;
22
   X_train = X_train [0: datasize_train, :]
23
   X_{test} = X_{test} [0: datasize_{test}, :]
24
   y_train = y_train [0: datasize_train]
25
   y_test = y_test [0:datasize_test]
26
   print(X_train.shape, X_test.shape, y_train.shape, y_test.shape)
27
28
   from sklearn.ensemble import RandomForestClassifier
29
   from sklearn.model_selection import RandomizedSearchCV
30
31
   param_space = {"bootstrap": [True],
32
            "max_depth": [6, 8, 10, 12, 14],
33
            "max_features": ['auto', 'sqrt', 'log2'],
34
            "min_samples_leaf": [2, 3, 4],
35
            "min_samples_split": [2, 3, 4, 5],
36
            "n_estimators": [100, 200, 300, 400, 500, 600, 700, 800, 900,
37
               1000]
38
39
   forest_clf = RandomForestClassifier()
40
41
   forest_rand_search = RandomizedSearchCV(forest_clf, param_space, n_iter
42
      =32,
                                               scoring="accuracy", verbose=True,
43
                                               n_{jobs}=-1, random_state=42)
44
45
   forest_rand_search.fit(X_train, y_train)
46
47
   # Use Random Forest model.
48
   RandomizedSearchCV(cv=5, error_score='raise-deprecating',
49
                        estimator=RandomForestClassifier(bootstrap=True,
50
                            class_weight=None,
                                                      criterion='gini', max_depth
51
                                                         =None,
52
                                                      max_features='auto',
53
                                                      max_leaf_nodes=None,
54
                                                      \min_{\text{impurity\_decrease}} = 0.0,
```

```
55
56
                                                     min_samples_leaf=1,
                                                     \min_{samples_split} = 2,
57
                                                     min_weight_fraction_leaf
58
                                                        =0.0.
                                                     n_estimators='warn', n_jobs
59
                                                        =None,
                                                     oob_score=False,
60
                                                     random_state=None, verbose
61
                                                        =0.
                                                     warm_start=False),
62
63
                         n_{jobs}=-1,
                         param_distributions={'bootstrap': [True],
64
                                              'max_depth': [6, 8, 10, 12, 14],
65
                                              'max_features': ['auto', 'sqrt',
66
                                                                'log2'],
67
                                              'min_samples_leaf': [2, 3, 4],
68
                                              'min_samples_split': [2, 3, 4,
69
                                              'n_estimators': [100, 200, 300,
70
                                                 400,
                                                                500, 600, 700,
71
                                                                    800,
                                                                900, 1000]},
72
73
                       pre_dispatch='2*n_jobs', random_state=42, refit=True,
                        return_train_score=False, scoring='accuracy', verbose=
74
                           True)
75
   forest_rand_search.best_params_
76
77
   forest_rand_search.best_estimator_
1
   # MNIST CNN
2
3
   from google.colab import drive
4
5
   drive.mount('/content/drive')
6
7
   path='/content/drive/My_Drive/mnist'
8
   import os
9
10
   os.chdir(path)
   os. listdir (path)
11
12
13
   # LOAD LIBRARIES
   import pandas as pd
14
   import numpy as np
15
   from sklearn.model_selection import train_test_split
16
   from keras.utils.np_utils import to_categorical
17
18
   from keras.models import Sequential
   from keras.layers import Dense, Dropout, Flatten, Conv2D, MaxPool2D,
19
      AvgPool2D, BatchNormalization, Reshape
   from keras.preprocessing.image import ImageDataGenerator
20
   from keras.callbacks import LearningRateScheduler
```

```
import matplotlib.pyplot as plt
23
   # LOAD THE DATA
24
   train = pd.read_csv("train.csv")
25
   test = pd.read_csv("test.csv")
26
27
28
   # PREPARE DATA FOR NEURAL NETWORK
   Y_train = train["label"]
29
   X_train = train.drop(labels = ["label"], axis = 1)
30
   X_{train} = X_{train} / 255.0
31
   X_{test} = test / 255.0
32
33
   X_{train} = X_{train}. values. reshape (-1, 28, 28, 1)
   X_{\text{test}} = X_{\text{test}}. values. reshape (-1, 28, 28, 1)
34
   Y_train = to_categorical(Y_train, num_classes = 10)
35
36
   # GLOBAL VARIABLES
37
   annealer = LearningRateScheduler(lambda x: 1e-3 * 0.95 ** x, verbose=0)
38
39
40
   nets = 3
   model = [0] * nets
41
42
   for j in range (3):
43
44
       model[j] = Sequential()
        model[j].add(Conv2D(24, kernel_size=5, padding='same', activation='relu'
45
                input_shape = (28, 28, 1))
46
       model [j]. add (MaxPool2D())
47
48
        if j > 0:
            model[j].add(Conv2D(48, kernel_size=5, padding='same', activation='
49
               relu'))
            model [j].add (MaxPool2D())
50
51
        if j > 1:
            model[j].add(Conv2D(64,kernel_size=5,padding='same',activation='
52
               relu'))
            model [j].add (MaxPool2D (padding='same'))
53
        model [j]. add (Flatten ())
54
        model[j].add(Dense(256, activation='relu'))
55
        model[j].add(Dense(10, activation='softmax'))
56
        model[j].compile(optimizer="adam", loss="categorical_crossentropy",
57
           metrics = ["accuracy"])
58
   X_train2, X_val2, Y_train2, Y_val2 = train_test_split(X_train, Y_train,
59
       test\_size = 0.333)
   # TRAIN NETWORKS
60
   history = [0] * nets
61
   names = ["(C-P)x1","(C-P)x2","(C-P)x3"]
62
   epochs = 20
63
   for j in range(nets):
64
        history[j] = model[j].fit(X_train2, Y_train2, batch_size=80, epochs =
65
           epochs,
            validation_data = (X_val2, Y_val2), callbacks=[annealer], verbose
66
67
        \mathbf{print} ("CNN_{0}: _Epochs = {1:d}, _Train_accuracy = {2:.5 f}, _Validation_
```

```
accuracy = \{3:.5f\}". format (
            names[j], epochs, max(history[j]. history['accuracy']), max(history[j
68
                ]. history ['val_accuracy']) ))
69
    70
    plt. figure (figsize = (15,5))
71
    for i in range(nets):
72
        plt.plot(history[i].history['val_accuracy'],linestyle=styles[i])
73
    plt.title('model_accuracy')
74
    plt.ylabel('accuracy')
75
    plt.xlabel('epoch')
76
    plt.legend(["A", 'B', 'C'], loc='upper_left')
77
    axes = plt.gca()
78
    axes.set_ylim([0.98,1])
79
    plt.show()
80
81
82
    nets = 6
    model = [0] * nets
83
84
85
    for j in range (6):
        model[j] = Sequential()
86
        model[j].add(Conv2D(32, kernel_size=5, activation='relu', input_shape
87
           =(28,28,1))
        model [j]. add (MaxPool2D())
88
89
        model[j].add(Conv2D(64, kernel_size=5, activation='relu'))
        model [j].add(MaxPool2D())
90
        model[j].add(Flatten())
91
        if j > 0:
92
            model[j].add(Dense(2**(j+4), activation='relu'))
93
        model[j].add(Dense(10, activation='softmax'))
94
        model[j].compile(optimizer="adam", loss="categorical_crossentropy",
95
           metrics = ["accuracy"])
96
    X_train2, X_val2, Y_train2, Y_val2 = train_test_split(X_train, Y_train,
97
       test\_size = 0.333)
    # TRAIN NETWORKS
98
    history = [0] * nets
99
    names = ["0N", "32N", "64N", "128N", "256N", "512N"]
100
    epochs = 20
101
    for j in range(nets):
102
        history[j] = model[j].fit(X_train2, Y_train2, batch_size=80, epochs =
103
            validation_data = (X_val2, Y_val2), callbacks = [annealer], verbose
104
105
        \mathbf{print} ("CNN_{0}: _Epochs = {1:d}, _Train_accuracy = {2:.5 f}, _Validation_
           accuracy = \{3:.5f\}". format (
            names[j], epochs, max(history[j].history['accuracy']), max(history[j])
106
                ]. history ['val_accuracy']) ))
107
108
    plt. figure (figsize = (15,5))
109
    for i in range(nets):
        plt.plot(history[i].history['val_accuracy'],linestyle=styles[i])
110
    plt.title('model_accuracy')
111
```

```
plt.ylabel('accuracy')
112
    plt.xlabel('epoch')
113
    plt.legend(names, loc='upper_left')
114
115
    axes = plt.gca()
    axes. set_ylim ([0.98, 1])
116
117
    plt.show()
118
119
    nets = 6
120
   model = [0] * nets
121
122
    for j in range (6):
        model[j] = Sequential()
123
124
        model[j].add(Conv2D(32,kernel_size=5,activation='relu',input_shape
            =(28,28,1))
        model [j].add(MaxPool2D())
125
126
        model[j].add(Dropout(j*0.1))
        model[j].add(Conv2D(64,kernel_size=5,activation='relu'))
127
        model [j].add (MaxPool2D())
128
        model[j].add(Dropout(j*0.1))
129
        model[j].add(Flatten())
130
        model[j].add(Dense(128, activation='relu'))
131
132
        model[j].add(Dropout(j*0.1))
        model[j].add(Dense(10, activation='softmax'))
133
        model[j].compile(optimizer="adam", loss="categorical_crossentropy",
134
           metrics = ["accuracy"])
135
   # CREATE VALIDATION SET
136
    X_train2, X_val2, Y_train2, Y_val2 = train_test_split(X_train, Y_train,
137
       test\_size = 0.333)
138
    # TRAIN NETWORKS
    history = [0] * nets
139
    names = ["D=0","D=0.1","D=0.2","D=0.3","D=0.4","D=0.5"]
140
    epochs = 20
141
    for j in range(nets):
142
        history[j] = model[j].fit(X_train2, Y_train2, batch_size=80, epochs =
143
             validation_data = (X_val2, Y_val2), callbacks=[annealer], verbose
144
                =0
        print ("CNN_{0}: _Epochs={1:d}, _Train_accuracy={2:.5 f}, _Validation_
145
           accuracy = \{3:.5 f\}". format (
146
            names[j], epochs, max(history[j]. history['accuracy']), max(history[j
                ]. history ['val_accuracy']) ))
147
   # PLOT ACCURACIES
148
149
    plt. figure (figsize = (15,5))
    for i in range(nets):
150
        plt.plot(history[i].history['val_accuracy'],linestyle=styles[i])
151
    plt.title('model_accuracy')
152
    plt.ylabel('accuracy')
153
    plt.xlabel('epoch')
154
    plt.legend(names, loc='upper_left')
155
    axes = plt.gca()
156
   axes. set_ylim ([0.98, 1])
157
```

```
plt.show()
158
159
160
    #ADAM Parameter tuning
161
    import itertools
162
163
    from tensorflow.keras.optimizers import Adam
    lr_list = [0.1, 0.01, 0.001]
164
    beta1_list = [0.9, 0.99]
165
    beta2\_list = [0.99, 0.999]
166
167
    grid = [lr_list, beta1_list, beta2_list]
168
169
    print("{:<14}_{:<14}_{:<14}_{:<14}_{:<14}".format('Learning-Rate', 'Beta1
170
        ', 'Beta2', 'Optimum-Epoch', 'Val-Loss'))
171
    for lr, beta1, beta2 in itertools.product(*grid):
172
        adam = Adam(lr=lr, decay=1e-6, beta_1=beta1, beta_2=beta2)
173
        model.compile(loss='categorical_crossentropy', optimizer=adam)
174
        history = model.fit(X_train2, Y_train2, batch_size=80, epochs = 20,
175
             validation_data = (X_val2, Y_val2), callbacks=[annealer], verbose
176
                =0)
177
        best_epoch = np.argsort(history.history['val_loss'])[0]
178
        \mathbf{print} \ ("\{:<\!14\} \, \_ \{:<\!14\} \, \_ \{:<\!14\} \, \_ \{:<\!14\} \, \_ \{:<\!14\}" \ . \ \mathbf{format} \ (\ \mathrm{lr} \ , \mathrm{beta1} \ , \ \ \mathrm{beta2} \ , \ )
179
            best_epoch , history history 'val_loss' | [best_epoch]))
180
    #Best model
181
    model = Sequential()
182
    model.add(Conv2D(32,kernel_size=5,activation='relu',input_shape=(28,28,1)
183
    model.add(MaxPool2D())
184
    model.add(Dropout(0.3))
185
    model.add(Conv2D(64, kernel_size=5, activation='relu'))
186
    model.add(MaxPool2D())
187
    model.add(Dropout(0.3))
188
    model.add(Flatten())
189
    model.add(Dense(128, activation='relu'))
190
    model.add(Dropout(0.3))
191
    model.add(Dense(10, activation='softmax'))
192
    adam = Adam(lr = 0.1, decay = 1e - 6, beta_1 = 0.9, beta_2 = 0.99)
193
194
    model.compile(loss='categorical_crossentropy', optimizer=adam)
195
    history = model.fit (X_train2, Y_train2, batch_size=80, epochs = 20,
196
             validation_data = (X_val2, Y_val2), callbacks=[annealer], verbose
197
                =0
198
199
    test_loss = model.evaluate(X_val2, Y_val2, batch_size=80)
    predictions = model.predict(X_val2, batch_size=80)
200
    predictions = np.argmax(predictions, axis=1) # change encoding again
201
202
    Y_{pred=np.zeros}((predictions.shape[0],10))
203
204
    Y_pred[range(predictions.shape[0]), predictions]=1
205
```

```
206
207
    print(Accuracy: Y_val2 = Y_pred).sum(Y_pred.shape[0]*10)
208
    plt.plot(history.history['loss'])
209
    plt.plot(history.history['val_loss'])
210
    plt.title('model_loss')
211
    plt.ylabel('loss')
212
    plt.xlabel('epoch')
213
214
    plt.legend(['train', 'val'], loc='upper_right')
215
    plt.show()
216
    plt.plot(history.history['accuracy'])
217
    plt.plot(history.history['val_accuracy'])
218
219
220
    plt.ylabel('accuracy')
221
    plt.xlabel('epoch')
    plt.legend(['accuracy', 'val_accuracy'], loc='upper_right')
222
223
   plt.show()
```

# 6.4 Question 4

```
1
2
  import numpy as np
3
  import matplotlib.pyplot as plt
4
  import matplotlib.lines as mlines
5
  import matplotlib.patches as mpatches
  import copy
7
  # patterns = np.array([
8
      9
      # Letter D
10
  #
      # Letter J
      #
11
        # Letter C
       12
       [-1,1,-1,-1,-1,-1,-1,1,-1,1,-1,1,1,-1,1,-1,1,-1,1,-1,1,-1,1,1,-1,1,1,1]
13
14
15
      dtype=np.float)
16
17
  result=np.zeros((9,9))
18
  \mathbf{def} \ \mathbf{gen} \ \mathbf{pattern} \ (N, J, p) :
19
20
      patterns = []
      for i in range (N):
21
22
       patterns.append(np.random.choice([-1,1],J,p=[1-0.1*p,0.1*p]))
      patterns=np.array(patterns)
23
      return patterns
24
25
  \mathbf{def} \ \mathrm{gen}(\mathrm{N},\mathrm{J}):
26
27
      patterns = []
      for i in range(N):
28
       patterns.append(np.random.choice([-1,1],J))
29
```

```
30
       patterns=np.array(patterns)
31
       return patterns
32
33
   def hebbian (m, patterns):
         weights = np.zeros((m,m))
34
         for i in range (m-1):
35
36
             for j in range (i+1,m):
                 weights [i,j] = eta*np.dot(patterns[:,i], patterns[:,j])
37
                 weights[j,i] = weights[i,j]
38
         return weights
39
40
   for p in range (1,10):
41
      for N in range (2,11):
42
         patterns=gen_pattern(N,25,p)
43
         n = patterns.shape[0]
44
         m = patterns.shape[1]
45
         eta = 1./n
46
47
         # training
48
         weights= hebbian (m, patterns)
49
         #weights=np.linalg.pinv(weights)
50
51
52
53
54
         for a in range(len(patterns)):
   #a=np.random.choice(range(len(patterns)))
55
             accuracy = []
56
             states = np.array(patterns[a], dtype=np.float)
57
             #states=np.array
58
                dtype=np.float)
             origin=copy.deepcopy(states)
59
             energy_list = [-0.5 * np.sum(weights.dot(states) * states)]
60
             # recalling
61
             for itr in range (10):
62
                 for i in np.random.permutation(m):
63
                      activations [i] = np.dot(weights [i,:], states)
64
                      states [i]=np. sign (activations [i])
65
                      energy = -0.5 * np.sum(weights.dot(states) * states)
66
67
                      energy_list.append(energy)
68
             accuracy.append(sum(states=origin)/len(states))
69
70
71
         mean_acc=0
         mean_acc=np.mean(accuracy)
72
73
         result[p-1][N-2]=mean\_acc
74
75
   print(result)
76
77
78
   def display (X):
       plt.imshow(X.reshape((5,5)))
79
80
```

```
weight=0
81
82
83
    patterns=gen (4,25)
   n = patterns.shape[0]
84
   m = patterns.shape[1]
85
   eta = 1./n
86
87
          # training
88
    weight= hebbian (m, patterns)
89
    weights=np.linalg.pinv(weight)
90
91
92
    a=np.random.choice(range(len(patterns)))
93
   print('a___',a)
94
95
96
    states = np.array(patterns[a], dtype=np.float)
97
              #states=np.array
                 dtype=np.float)
    origin=copy.deepcopy(states)
98
   print(origin)
99
100
             # recalling
    for itr in range (10):
101
102
                  for i in np.random.permutation(m):
                      activations[i] = np.dot(weights[i,:], states)
103
                      states [i]=np. sign (activations [i])
104
105
    print(states)
106
107
    print(sum(states=origin)/len(states))
108
    fig = plt. figure (figsize = (12,4))
109
   ax1=fig.add\_subplot(1, 3, 1)
110
    display (origin)
111
   ax1.set_title('Origin')
112
113
114
    ax2=fig.add\_subplot(1, 3, 2)
115
    test=copy.deepcopy(origin)
116
117
118
    list=range(24)
    sample=np.random.choice(list,3,replace=False)
119
   for j in sample:
120
        test[j]=-test[j]
121
    print(sample)
122
123
124
    display (test)
   ax2. set_title('Contaminated')
125
126
127
    print(origin)
   print(test)
128
129
130
131
```

```
132
    for itr in range (10):
        for i in np.random.permutation(m): # asynchronous activation
133
            activations [i] = np.dot(weights [i,:], test)
134
            test[i]=np.sign(activations[i])
135
    print(test)
136
137
138
    ax3=fig.add\_subplot(1, 3, 3)
139
140
    display (test)
    ax3.set_title('Recovery')
141
142
   import numpy as np
143
   import seaborn as sns
144
    import matplotlib.pylab as plt
145
    plt.style.use("seaborn")
146
147
148
149
   # 3. Plot the heatmap
150
    plt. figure (figsize = (9,9))
151
    x_axis_labels = [2,3,4,5,6,7,8,9,10] \# labels for x-axis
152
153
    y_axis_labels = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9]
    s=heat_map = sns.heatmap( result, linewidth = 1, annot = True,
154
       xticklabels=x_axis_labels, yticklabels=y_axis_labels)
    plt.title("Heatmap_of_overall_memory_accuracy_with_different_training_
155
       sizes_and_sparseness")
156
    s.set(xlabel='N:number_of_patterns', ylabel='p:_proportion_of_+1_in_the_
       bipolor_input_vectors')
157
158
   plt.show()
```