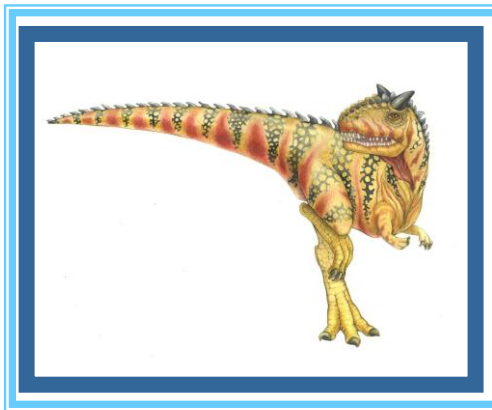


Day1: Introduction to Operating System

Kiran Waghmare





Agenda

- Introduction to OS
 - OS
 - Application Software
 - Hardware dependent
 - Components of OS
 - Difference between :
 - 4 Mobile OS, Embedded system OS,
 - 4 Real Time OS,
 - 4 desktop OS server machine os
 - Functions of OS
 - User and Kernel space & model
 - Interrupts & system calls

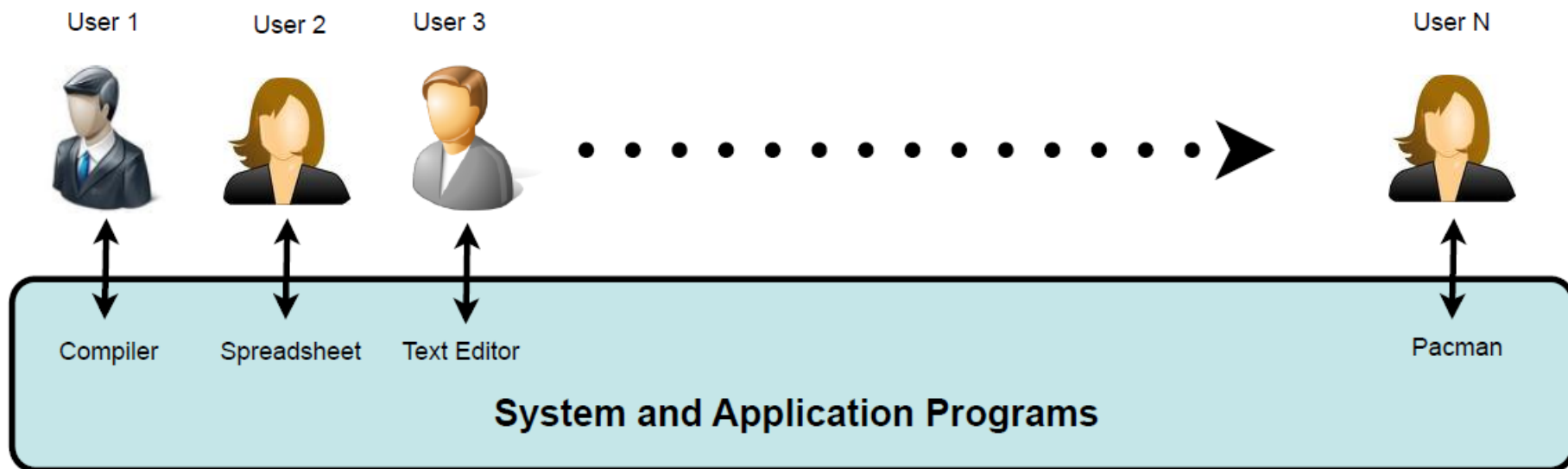




What is an Operating System?

- A program that acts as **an intermediary between a user of a computer and the computer hardware**
- Operating system goals:
 - Execute user programs and **make solving user problems easier**
 - Make the **computer system convenient** to use
 - Use the computer hardware in an efficient manner



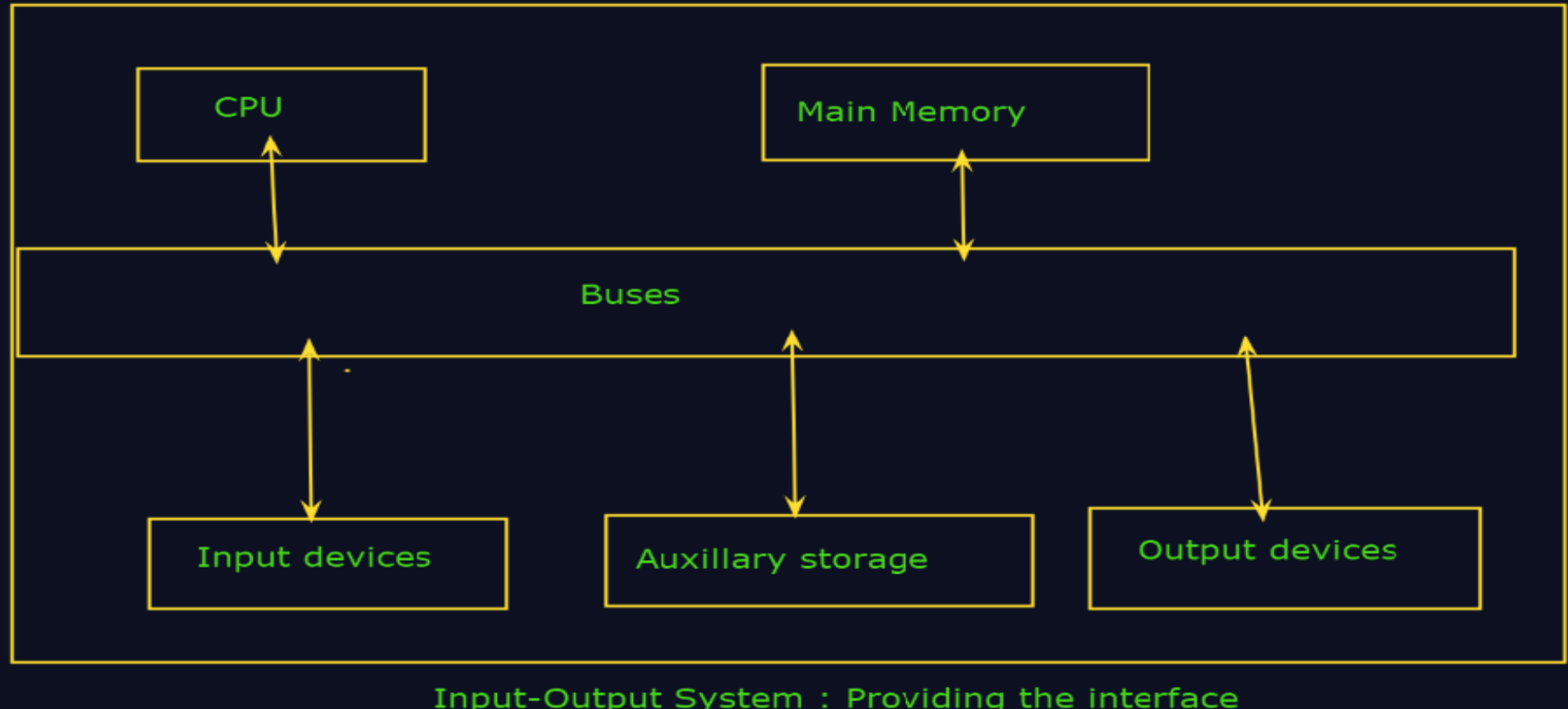
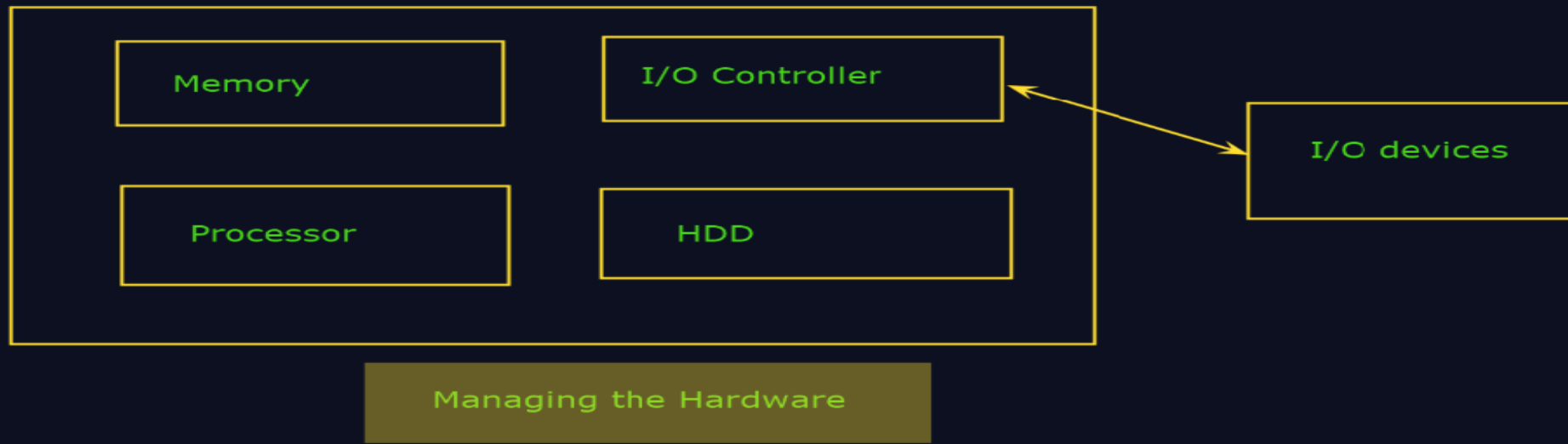


Operating System

Controls the hardware and coordinates its use among the various application programs for the various users.

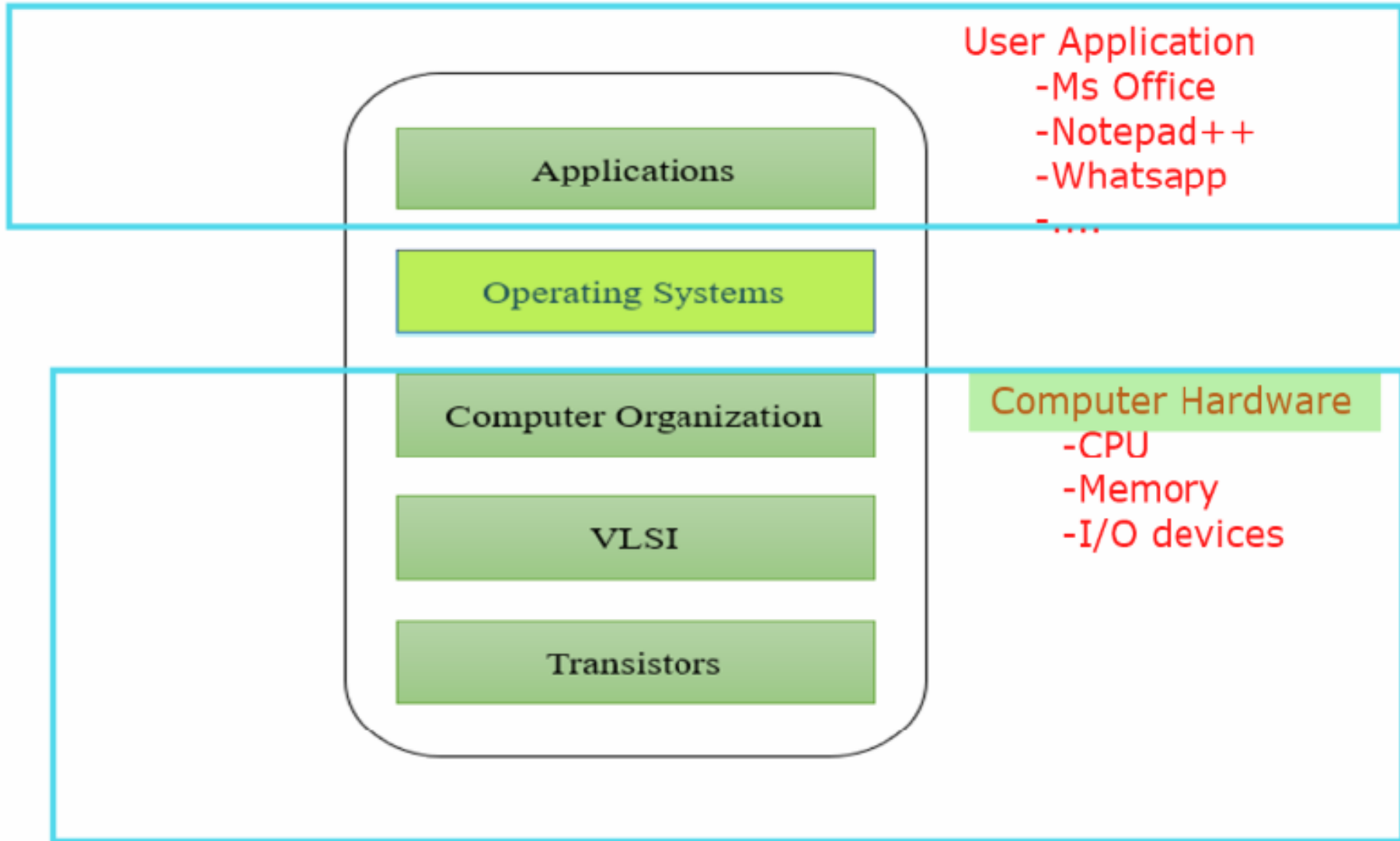
Computer Hardware







The Layers in Systems



Os
Usage



1. Hardware Abstraction
2. Resource Management





Computer System Structure

- Computer system can be divided into four components
 - **Hardware** – provides basic computing resources
 - 4 CPU, memory, I/O devices
 - **Operating system**
 - 4 Controls and coordinates use of hardware among various applications and users
 - **Application programs** – define the ways in which the system resources are used to solve the computing problems of the users
 - 4 Word processors, compilers, web browsers, database systems, video games
 - **Users**
 - 4 People, machines, other computers





OS usage

- **Hardware Abstraction**

turns hardware into something that applications can use

- **Resource Management**

manage system's resources



Learning and understanding

User view



Top down



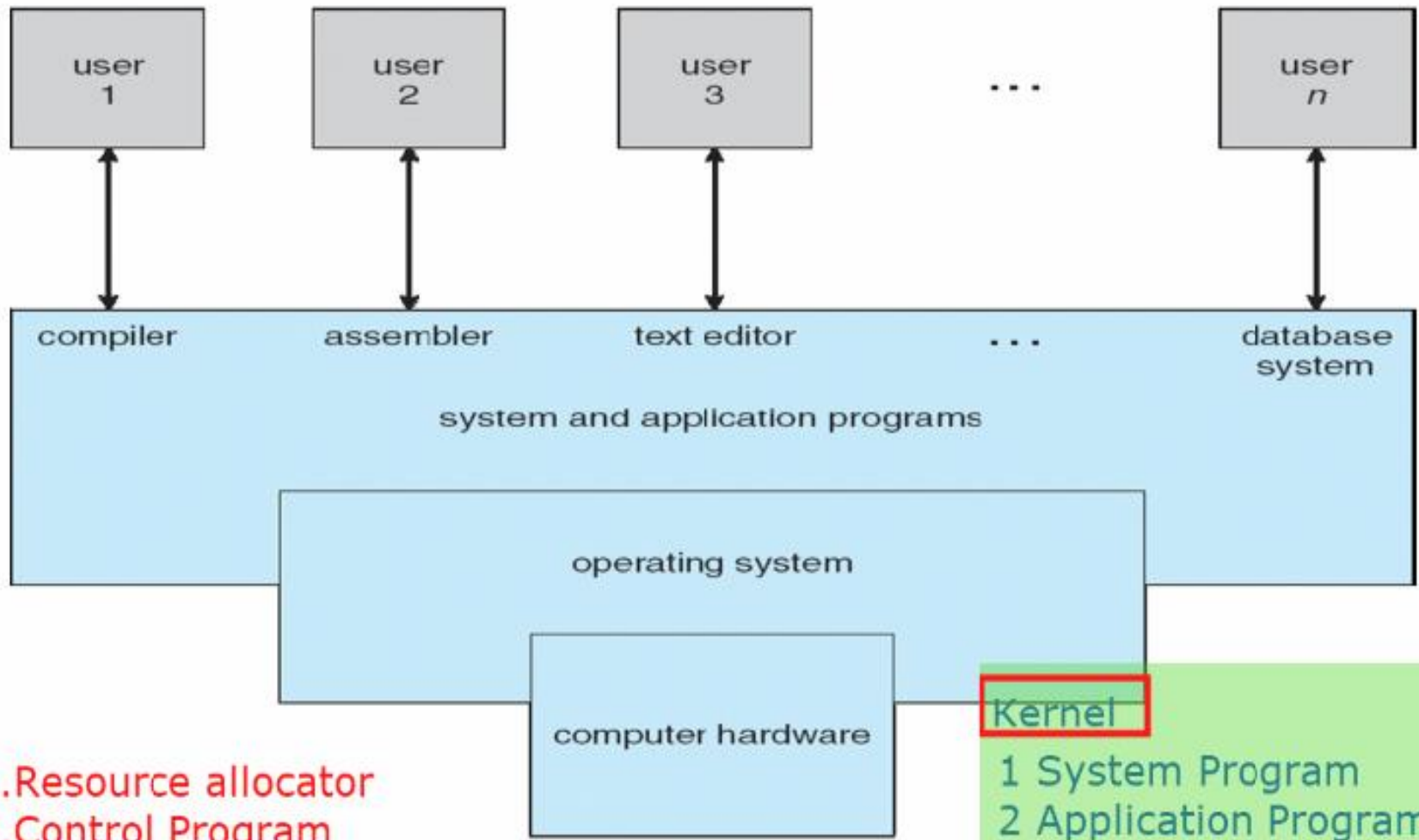
System view



Bottom up



Four Components of a Computer System



- 1.Resource allocator
- 2.Control Program

Kernel : one program which runs all the time in computer





Operating System Definition

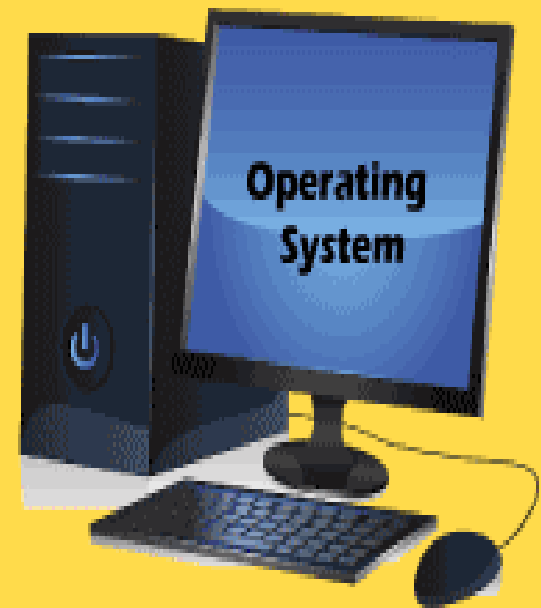
- OS is a **resource allocator**
 - Manages all resources
 - Decides between conflicting requests for efficient and fair resource use
- OS is a **control program**
 - Controls execution of programs to prevent errors and improper use of the computer



Difference Between System Software and Application Software



Application Software

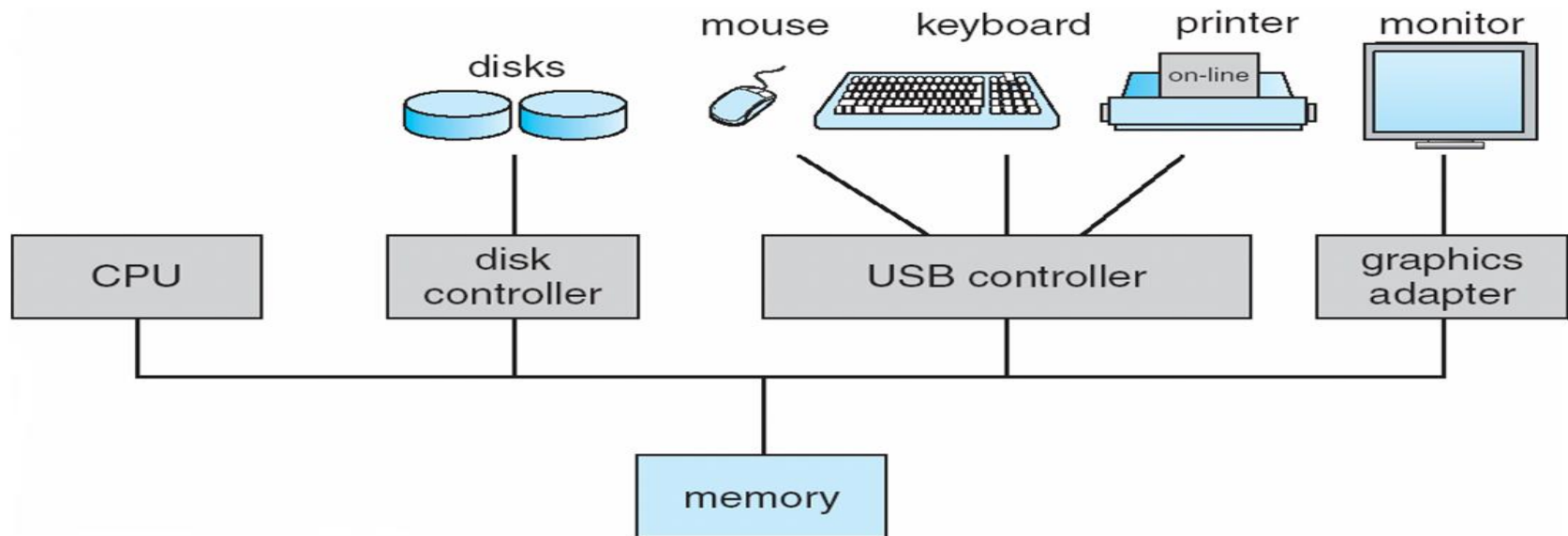


System Software



Computer System Organization

- **Computer-system operation**
 - One or more CPUs, device controllers connect through common bus providing access to shared memory
 - Concurrent execution of CPUs and devices competing for memory cycles



Basics of OS:

Kernel:

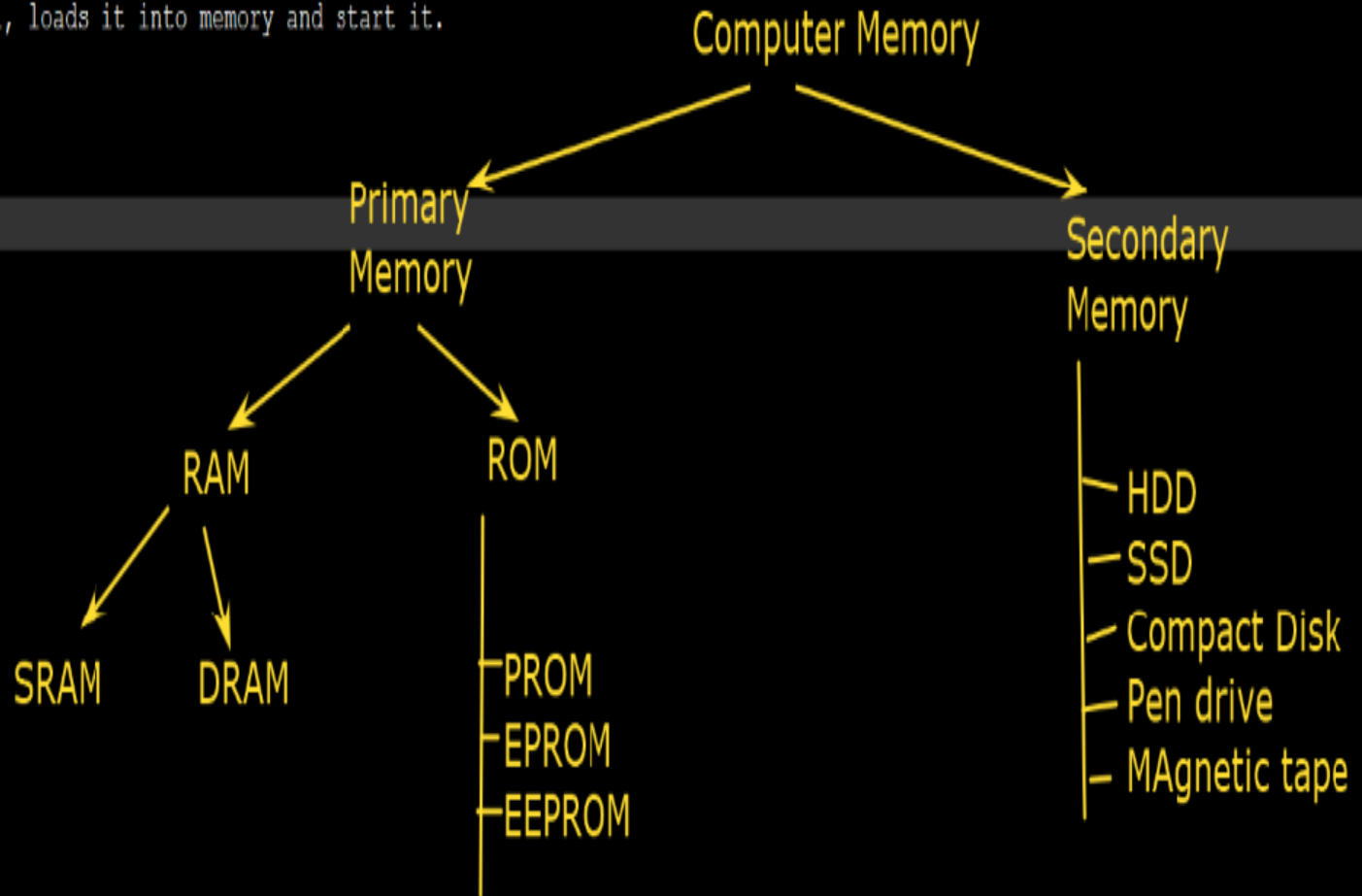
- The kernel is a computer program that is the core of the computer's operating system with complete control over everything in the system.

System Boot:

-Bootstrap loader: locates the kernel, loads it into memory and start it.

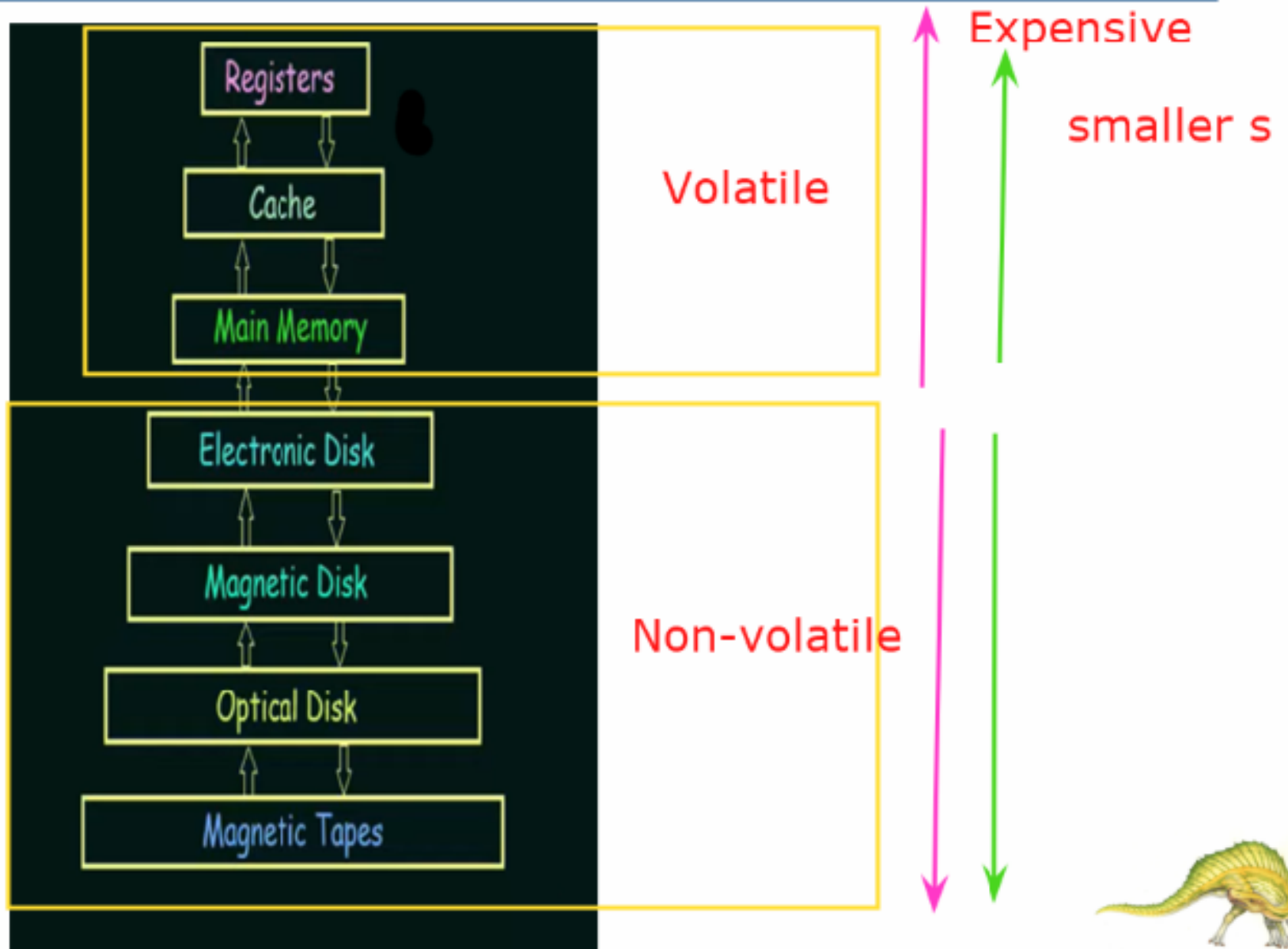
-Bootting system, Bootstrap program.

-EPROM or ROM memory



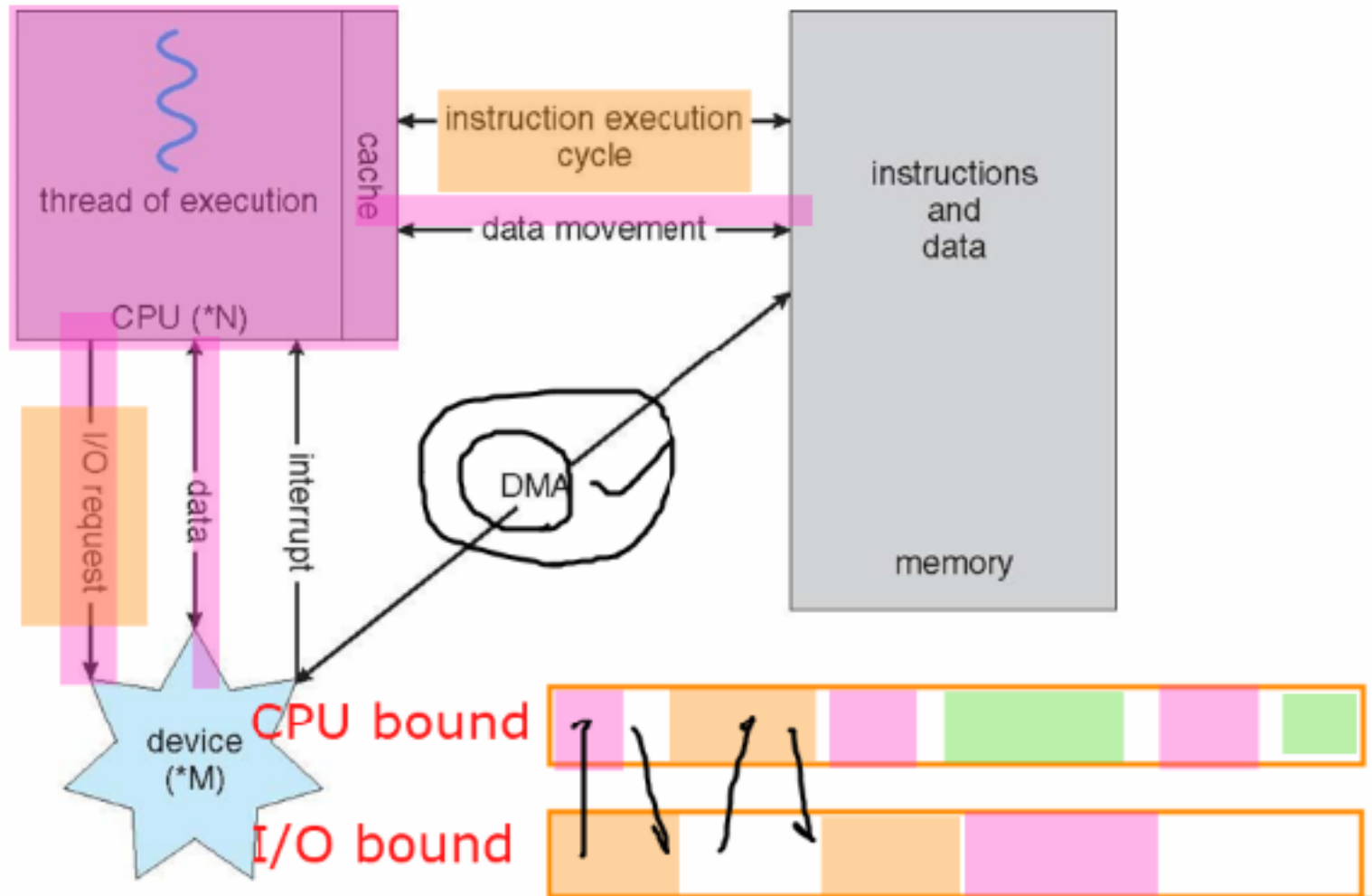


Storage Structure





How a Modern Computer Works



CPU is busy : Efficiency is high
CPU is ideal : Efficiency is low





Direct Memory Access Structure

- Used for high-speed I/O devices able to transmit information at close to memory speeds
- Device controller transfers blocks of data from buffer storage directly to main memory without CPU intervention
- Only one interrupt is generated per block, rather than the one interrupt per byte





Computer-System Operation

- I/O devices and the CPU can execute concurrently
- Each device controller is in charge of a particular device type
- Each device controller has a local buffer
- CPU moves data from/to main memory to/from local buffers
- I/O is from the device to local buffer of controller
- Device controller informs CPU that it has finished its operation by causing an *interrupt*





A Simple Program

What is the output of the following program?

```
#include <stdio.h>

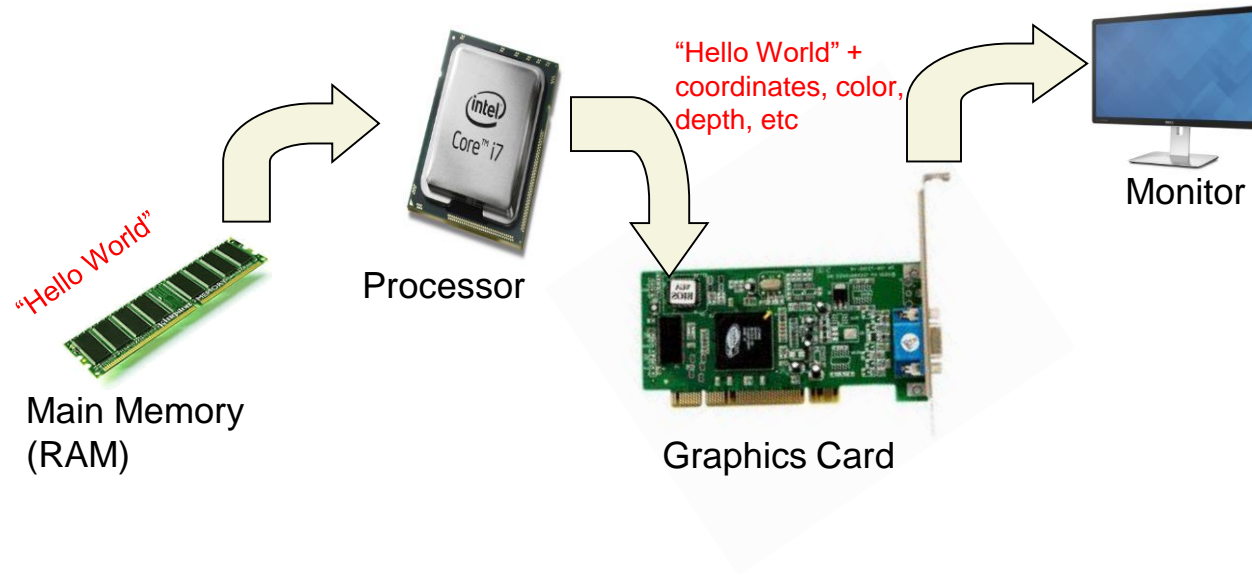
int main(){
    char str[] = "Hello World\n";
    printf("%s", str);
}
```

How is the string displayed on the screen?





Displaying on the Screen



- Can be complex and tedious
- Hardware dependent

Without an OS, all programs need to take care of every nitty gritty detail



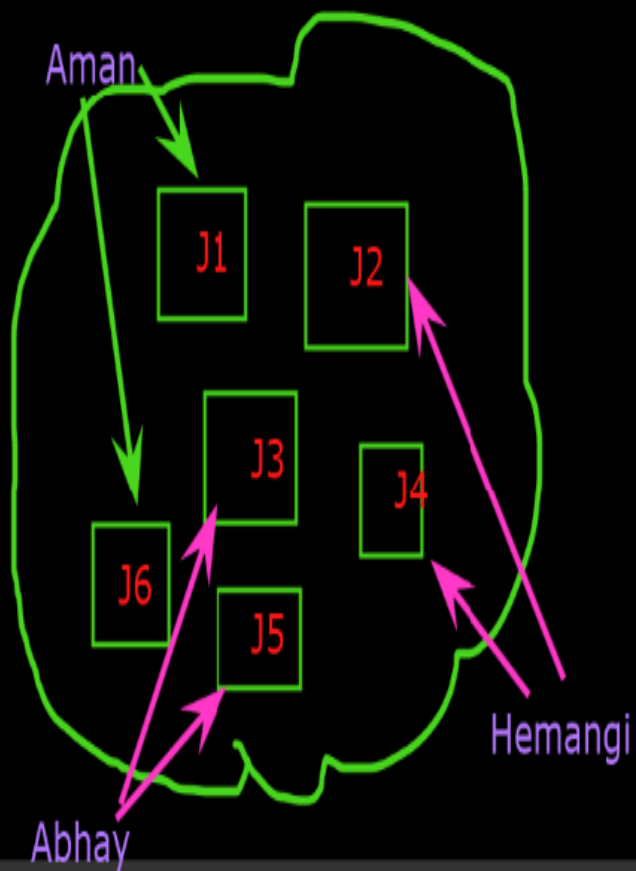


Types of Operating Systems

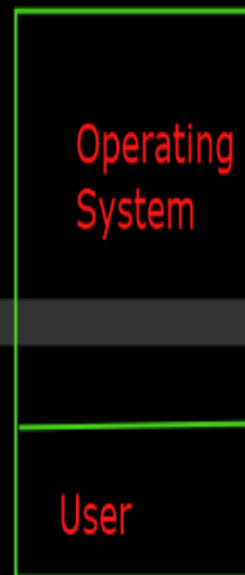
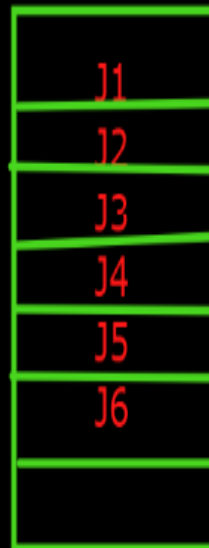
- Following are some of the most widely used types of Operating system.
 - Simple Batch System
 - Multiprogramming Batch System
 - Multiprocessor System
 - Desktop System
 - Distributed Operating System
 - Clustered System
 - Realtime Operating System
 - Handheld System



2. Multiprocessor System



Job queue



3. Clustered System



Main Memory (RAM)

Microsoft PowerPoint interface showing a slide titled "Types of Operating Systems".

Types of Operating Systems

- Following are some of the most widely used types of Operating system.
- Simple Batch System
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Footer: CDAC Mumbai: Kiren Waghmare, Silberschatz, Galvin and Gagne ©2009



Multiprocessor Systems

- A Multiprocessor system consists of **several processors** that share a common physical memory.
- Multiprocessor system provides **higher computing power and speed**.
- In multiprocessor system all processors **operate under single operating system**.
- **Multiplicity of the processors and how they do act together** are transparent to the others.

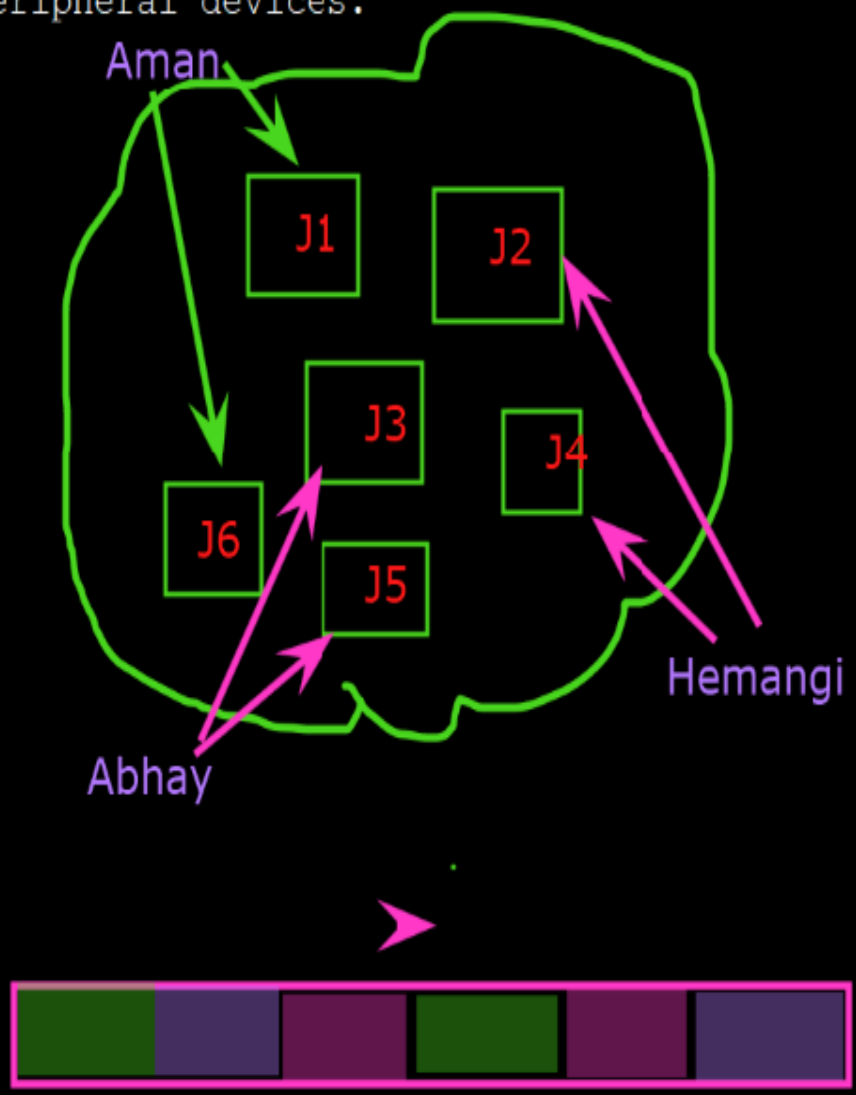
Advantages of Multiprocessor Systems

1. Enhanced performance
2. Execution of several tasks by different processors concurrently, increases the system's throughput without speeding up the execution of a single task.
3. If possible, system divides task into many subtasks and then these subtasks can be executed in parallel in different processors. Thereby speeding up the execution of single tasks.



2. Multiprocessor System

-parallel system or tightly coupled system
-2/more processors in close communication, sharing the computer bus and sometimes the clock
peripheral devices.

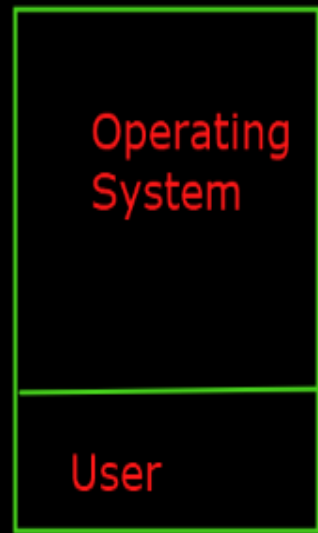


CPU Utilization is increased:

Job queue

J1
J2
J3
J4
J5
J6

Efficiency = Throughput



Main Memory (RAM)



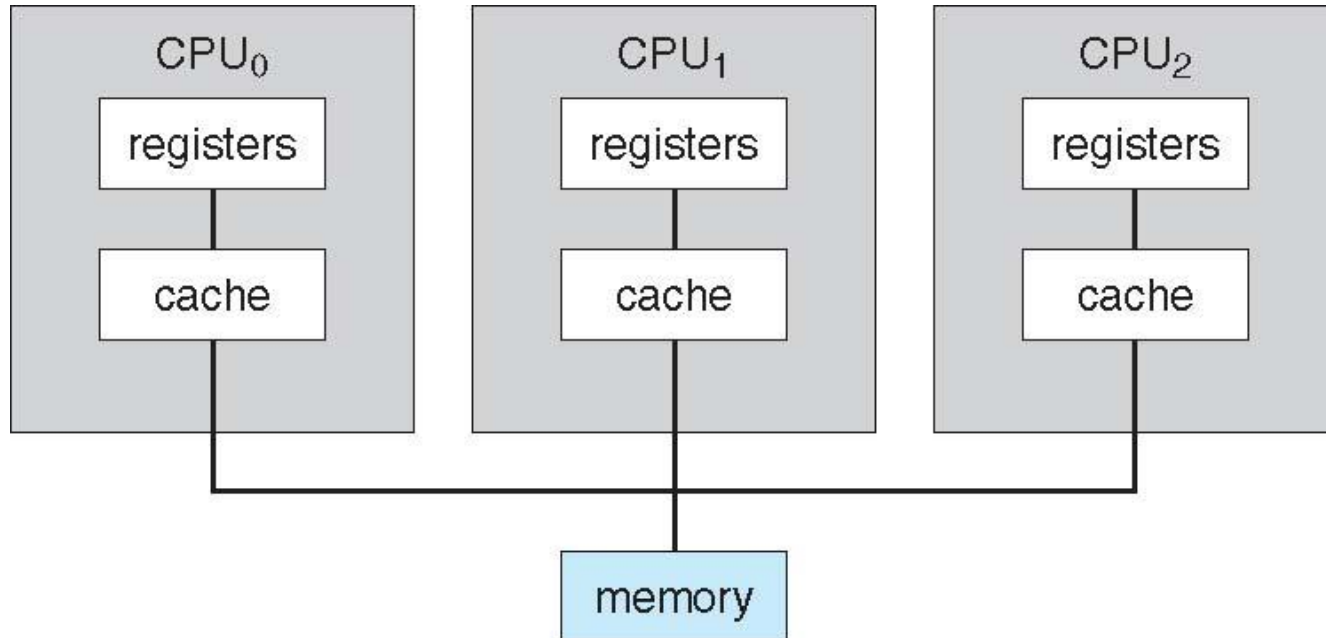
Computer-System Architecture

- Most systems use a single general-purpose processor (PDAs through mainframes)
 - Most systems have special-purpose processors as well
- **Multiprocessors** systems growing in use and importance
 - Also known as **parallel systems**, **tightly-coupled systems**
 - Advantages include
 1. **Increased throughput**
 2. **Economy of scale**
 3. **Increased reliability – graceful degradation or fault tolerance**
 - Two types
 1. **Asymmetric Multiprocessing**
 2. **Symmetric Multiprocessing**



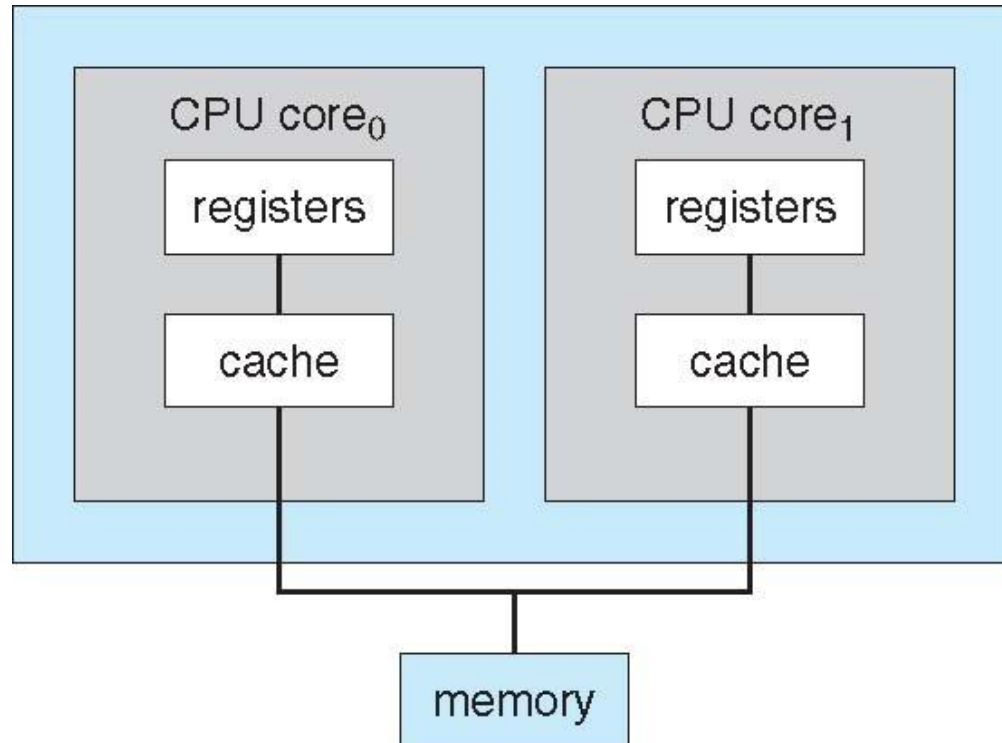


Symmetric Multiprocessing Architecture





A Dual-Core Design





Operating System Structure

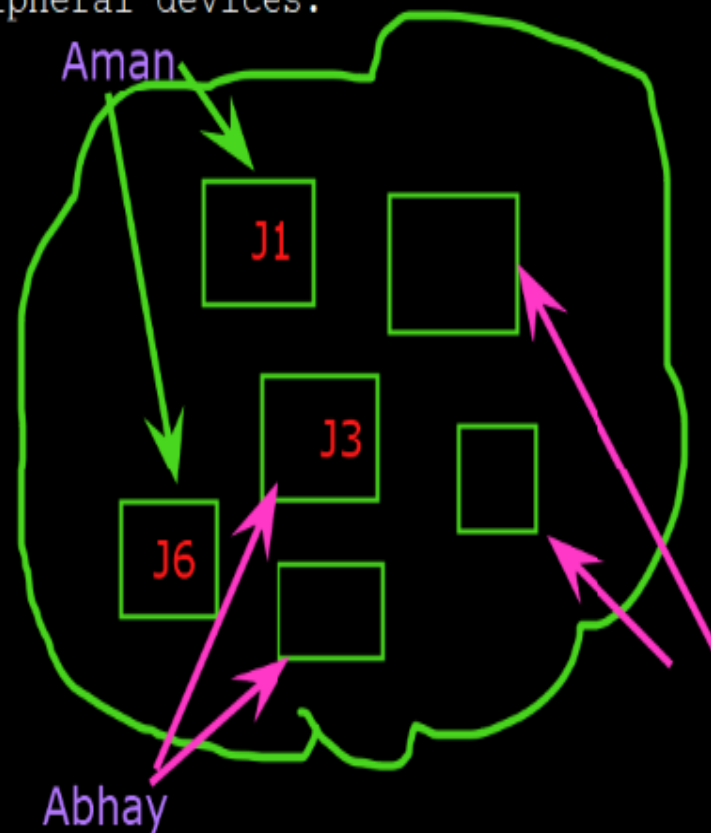
- **Multiprogramming** needed for efficiency
 - Single user cannot keep CPU and I/O devices busy at all times
 - Multiprogramming organizes jobs (code and data) so CPU always has one to execute
 - A subset of total jobs in system is kept in memory
 - One job selected and run via **job scheduling**
 - When it has to wait (for I/O for example), OS switches to another job
- **Timesharing (multitasking)** is logical extension in which CPU switches jobs so frequently that users can interact with each job while it is running, creating **interactive** computing
 - **Response time** should be < 1 second
 - Each user has at least one program executing in memory [?] **process**
 - If several jobs ready to run at the same time [?] **CPU scheduling**
 - If processes don't fit in memory, **swapping** moves them in and out to run
 - **Virtual memory** allows execution of processes not completely in memory



2. Multiprocessor System

-parallel system or tightly coupled system

-2/more processors in close communication, sharing the computer bus and sometimes the clock peripheral devices.



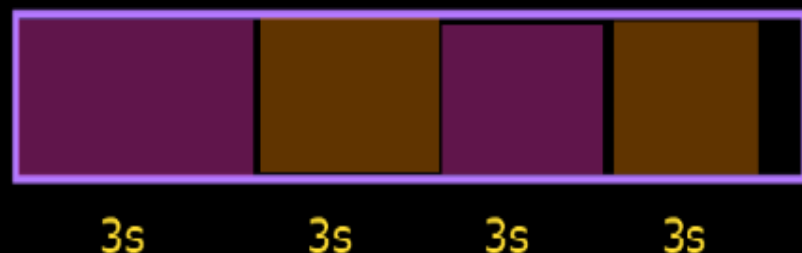
1. Multiprogramming

-Multiple user

-time slice = 3s

2. Multitasking

-Multiple task





Operating-System Operations

- Interrupt driven by hardware
- Software error or request creates **exception** or **trap**
 - Division by zero, request for operating system service
- Other process problems include infinite loop, processes modifying each other or the operating system
- **Dual-mode** operation allows OS to protect itself and other system components
 - **User mode** and **kernel mode**
 - **Mode bit** provided by hardware
 - 4 Provides ability to distinguish when system is running user code or kernel code
 - 4 Some instructions designated as **privileged**, only executable in kernel mode
 - 4 System call changes mode to kernel, return from call resets it to user





Desktop Systems

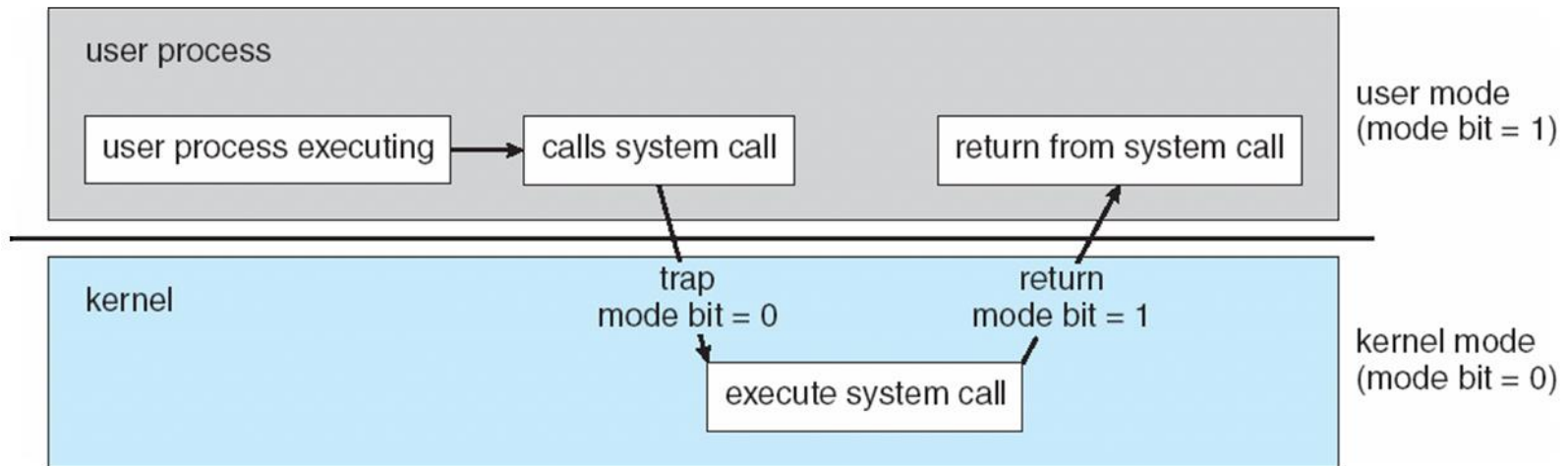
- Earlier, CPUs and PCs **lacked the features needed to protect** an operating system from user programs.
- PC operating systems therefore were **neither multiuser nor multitasking**.
- However, the **goals of these operating systems have changed with time; instead of maximizing CPU and peripheral utilization, the systems opt for maximizing user convenience and responsiveness**.
- These systems are called **Desktop Systems** and include PCs running Microsoft Windows and the Apple Macintosh.
- Operating systems for these computers **have benefited in several ways** from the development of operating systems for **mainframes**.
- **Microcomputers were immediately able to adopt some of the technology developed for larger operating systems.**
- On the other hand, the hardware **costs for microcomputers are sufficiently low** that individuals have sole use of the computer, and CPU utilization is no longer a prime concern.
- Thus, some of the design decisions made in operating systems for mainframes may not be appropriate for smaller systems.





Transition from User to Kernel Mode

- Timer to prevent infinite loop / process hogging resources
 - Set interrupt after specific period
 - Operating system decrements counter
 - When counter zero generate an interrupt
 - Set up before scheduling process to regain control or terminate program that exceeds allotted time



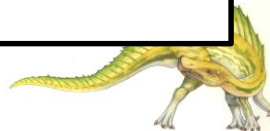


Distributed Operating System

- The motivation behind developing distributed operating systems is the **availability of powerful and inexpensive microprocessors and advances in communication technology.**
- These advancements in technology have made it possible to design and develop distributed systems comprising of many computers that are inter connected by communication networks. The main benefit of distributed systems is its low price/performance ratio.

Advantages Distributed Operating System

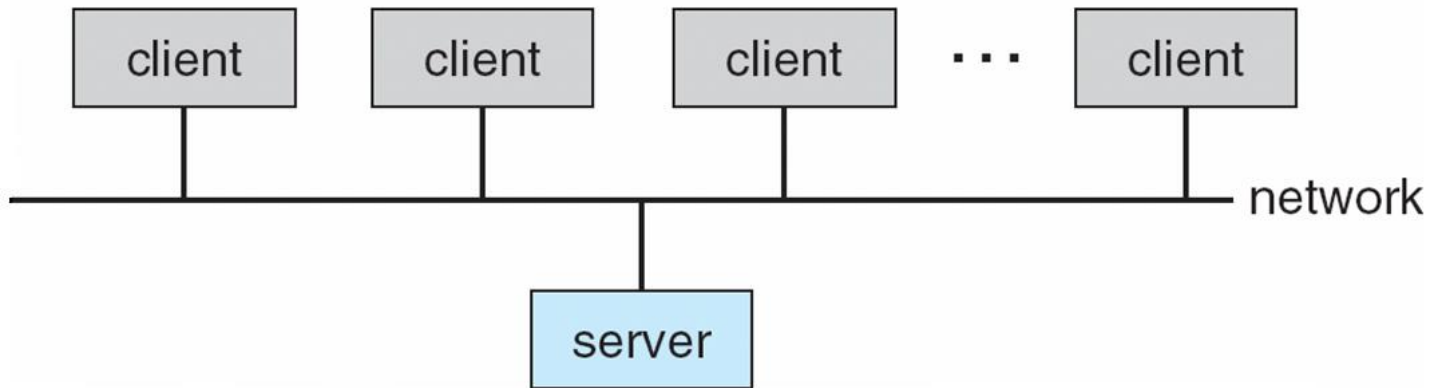
- As there are multiple systems involved, user at one site can utilize the resources of systems at other sites for resource-intensive tasks.
- Fast processing.
- Less load on the Host Machine.

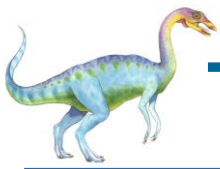




Computing Environments (Cont)

- Client-Server Computing
 - Dumb terminals supplanted by smart PCs
 - Many systems now **servers**, responding to requests generated by **clients**
 - 4 **Compute-server** provides an interface to client to request services (i.e. database)
 - 4 **File-server** provides interface for clients to store and retrieve files





Types of Distributed Operating Systems

- Following are the two types of distributed operating systems used:
 - Client-Server Systems
 - Peer-to-Peer Systems
- **Client-Server Systems**
- Centralized systems today act as server systems to satisfy requests generated by client systems. The general structure of a client-server system is depicted in the figure below:
- Server Systems can be broadly categorized as: Compute Servers and File Servers.
- Compute Server systems, provide an interface to which clients can send requests to perform an action, in response to which they execute the action and send back results to the client.
- File Server systems, provide a file-system interface where clients can create, update, read, and delete files.





Peer-to-Peer Computing

- Another model of distributed system
- P2P does not distinguish clients and servers
 - Instead all nodes are considered peers
 - May each act as client, server or both
 - Node must join P2P network
 - 4 Registers its service with central lookup service on network, or
 - 4 Broadcast request for service and respond to requests for service via **discovery protocol**
- Examples include *Napster* and *Gnutella*





Clustered Systems

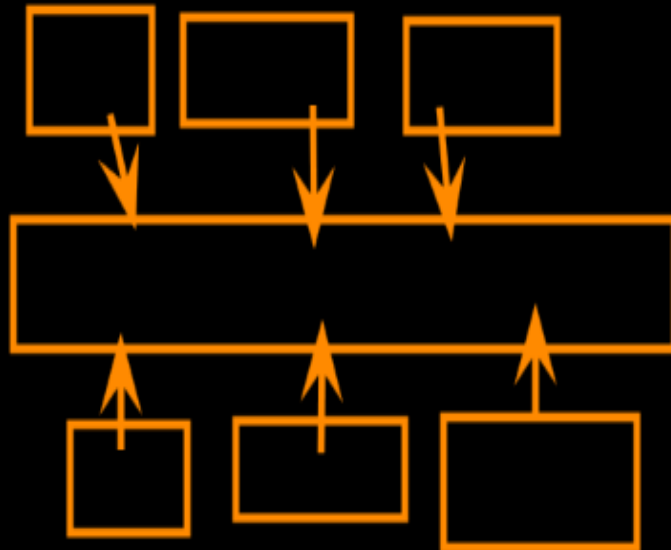
- Like multiprocessor systems, but multiple systems working together
 - Usually sharing storage via a **storage-area network (SAN)**
 - Provides a **high-availability** service which survives failures
 - 4 **Asymmetric clustering** has one machine in hot-standby mode
 - 4 **Symmetric clustering** has multiple nodes running applications, monitoring each other
 - Some clusters are for **high-performance computing (HPC)**
 - 4 Applications must be written to use **parallelization**



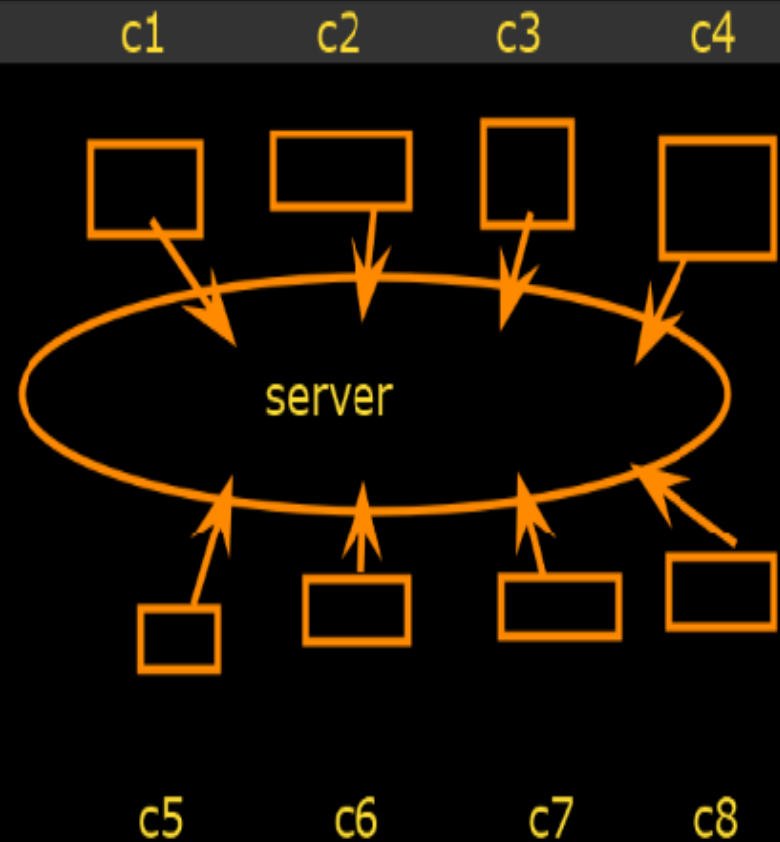
3. Clustered System

Client-Server system

Peer to peer system



Clusters

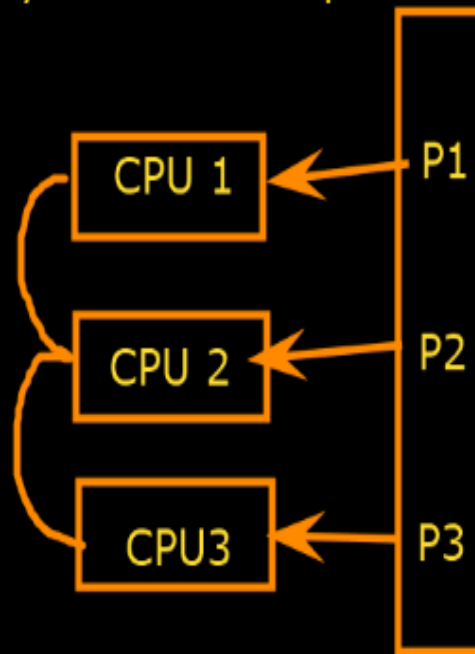




Peer to peer system

Types of Multiprocessing

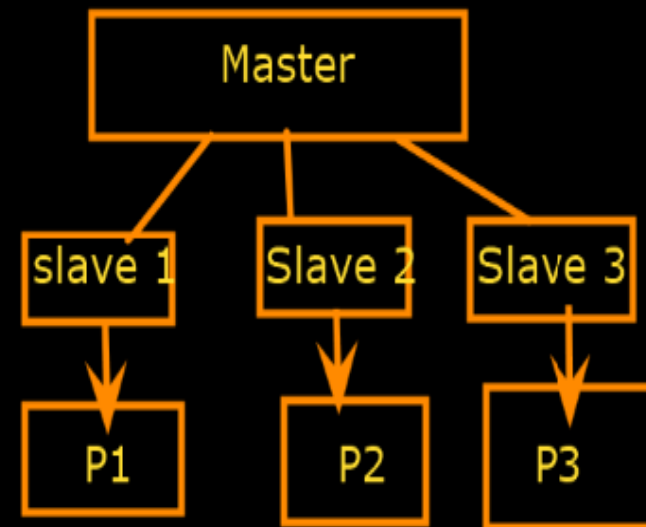
Symmetric Multiprocessing



P1 p2 p3

Job queue

Asymmetric Multiprocessing



Advantage:

1. Efficiency: Increase Throughput
2. Economy of scale
3. Reliable system



Real Time Operating System

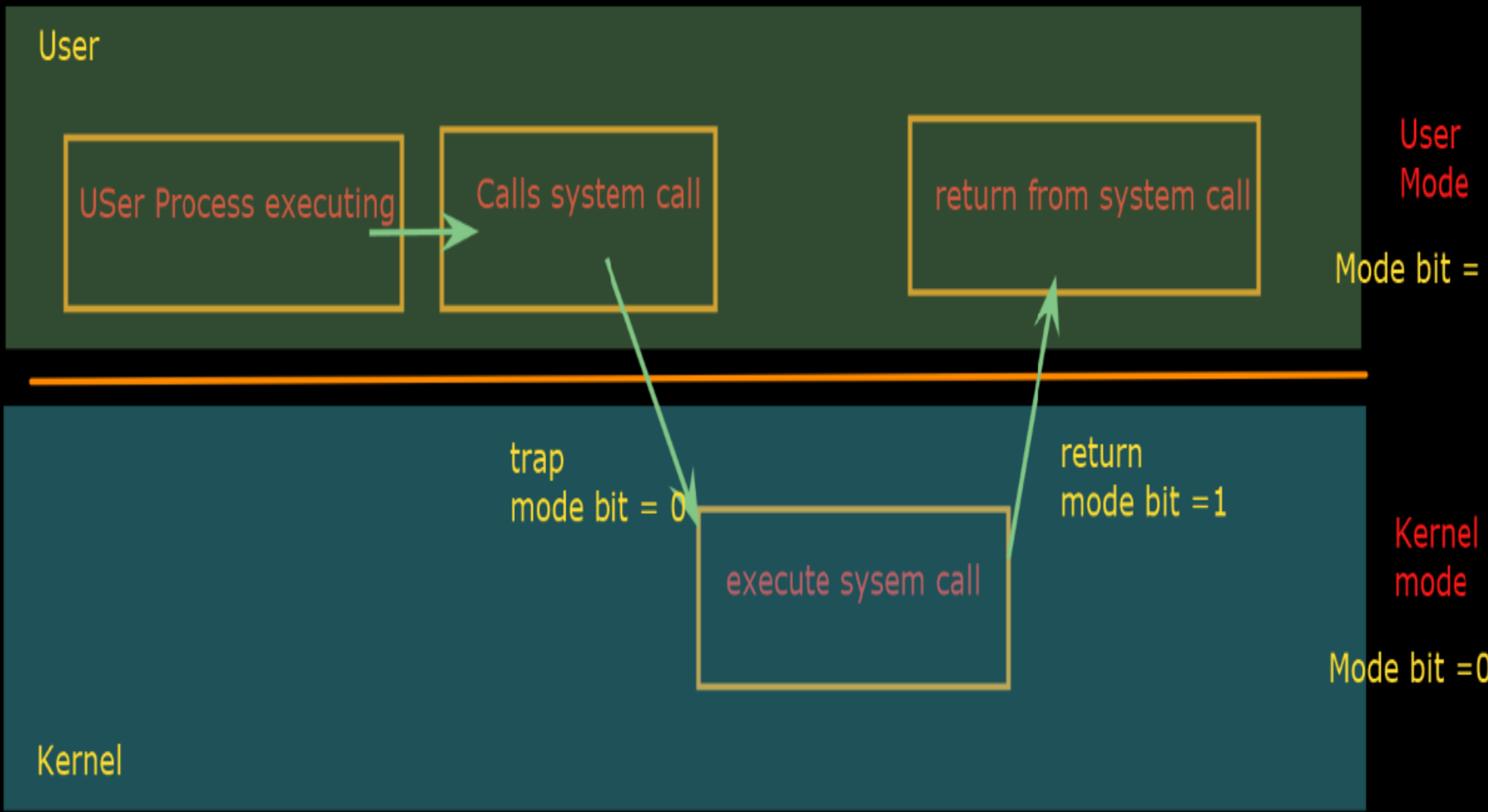
- It is defined as an operating system **known to give maximum time for each of the critical operations** that it performs, like OS calls and interrupt handling.
- The Real-Time Operating system which **guarantees the maximum time for critical operations and complete them on time** are referred to as Hard Real-Time Operating Systems.
- While the real-time operating systems that **can only guarantee a maximum of the time**, i.e. the critical task will get priority over other tasks, but no assurity of completing it in a defined time. These systems are referred to as Soft Real-Time Operating Systems.

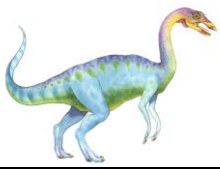


errrupt

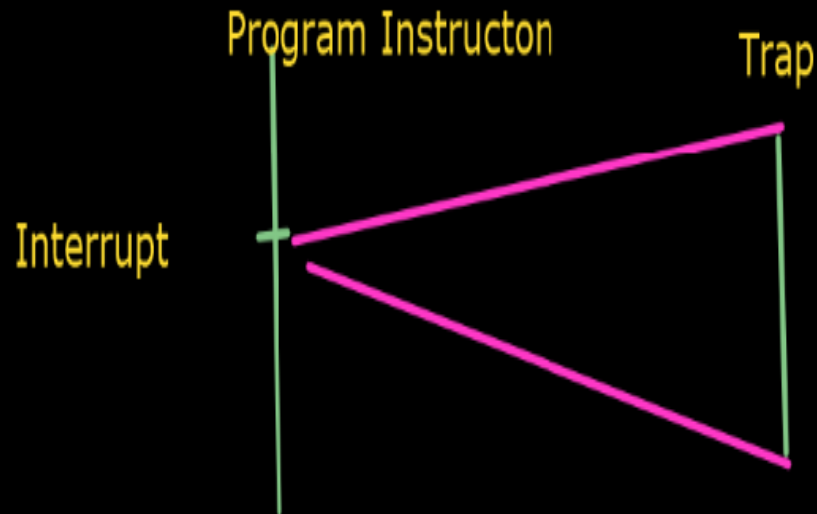
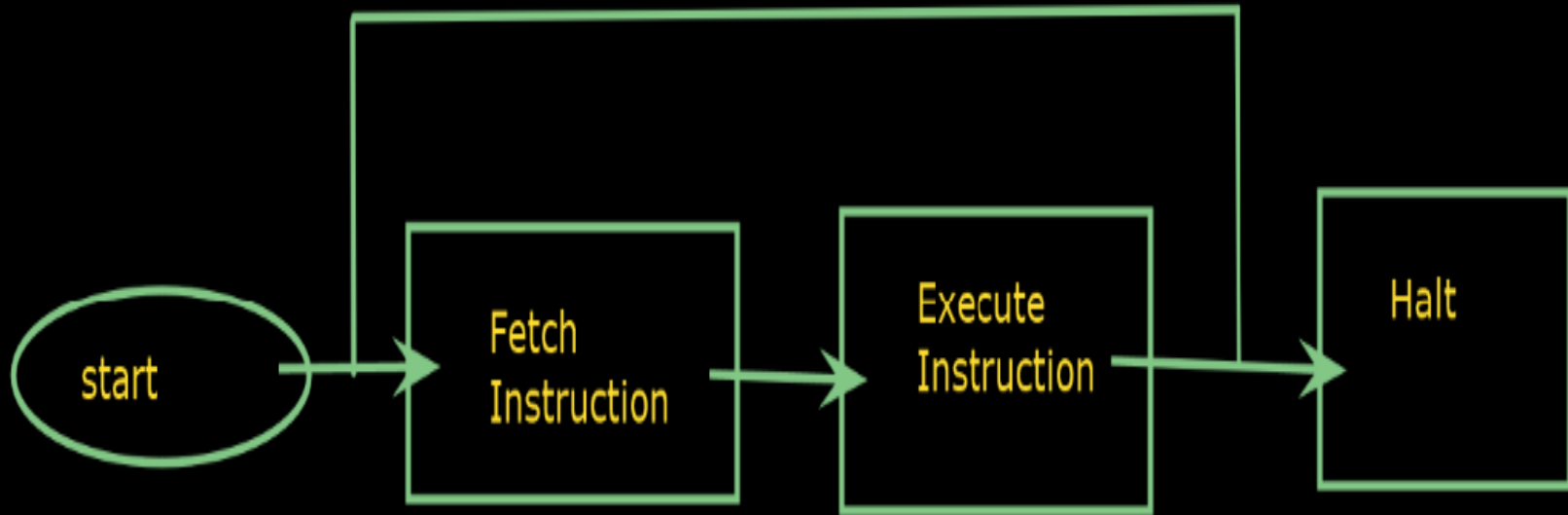
nel and User mode : Dual mode

- Kernel mode: handle hardware operation
- User mode: handle application software
- Mode bit: undersatand by Kernel mode





Interrupt

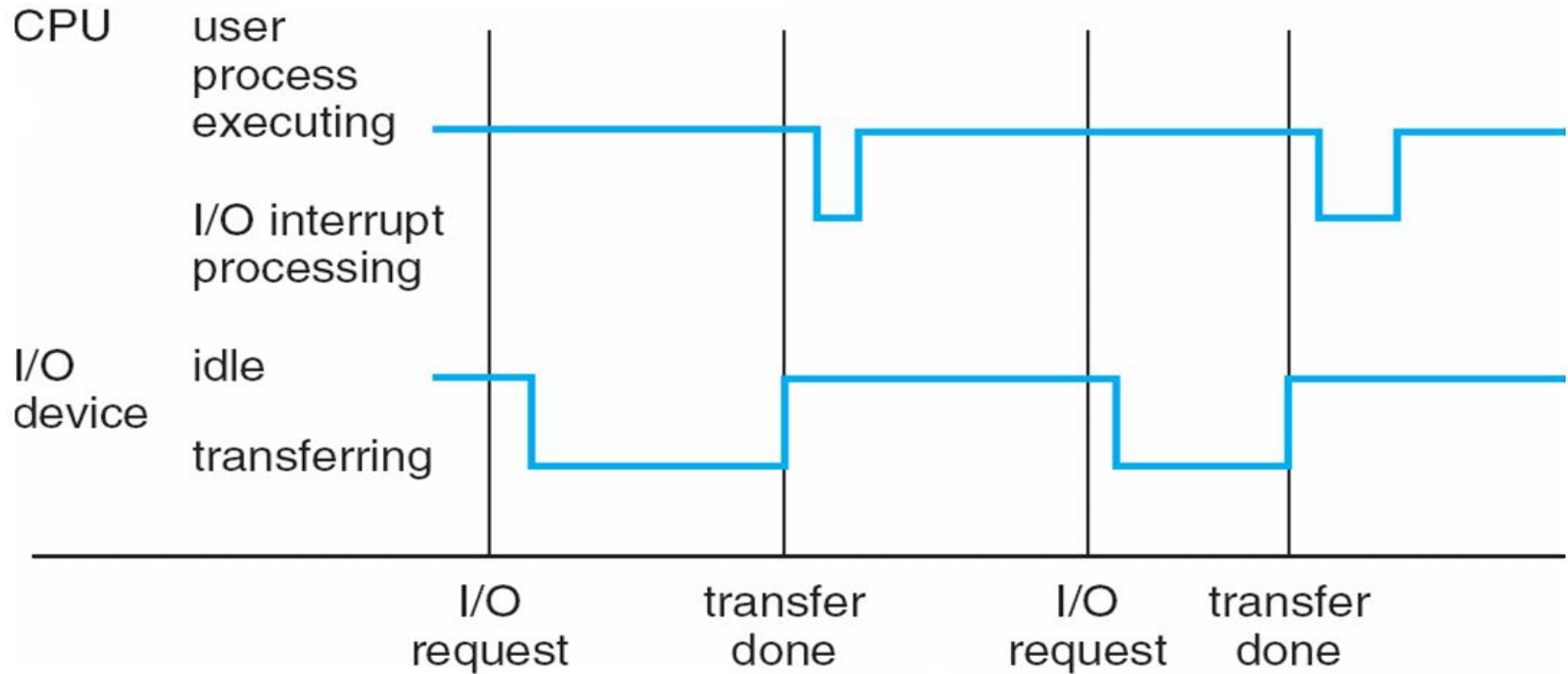


Interrupt Handling:

-
1. Polling
 2. Vectored Interrupt System.

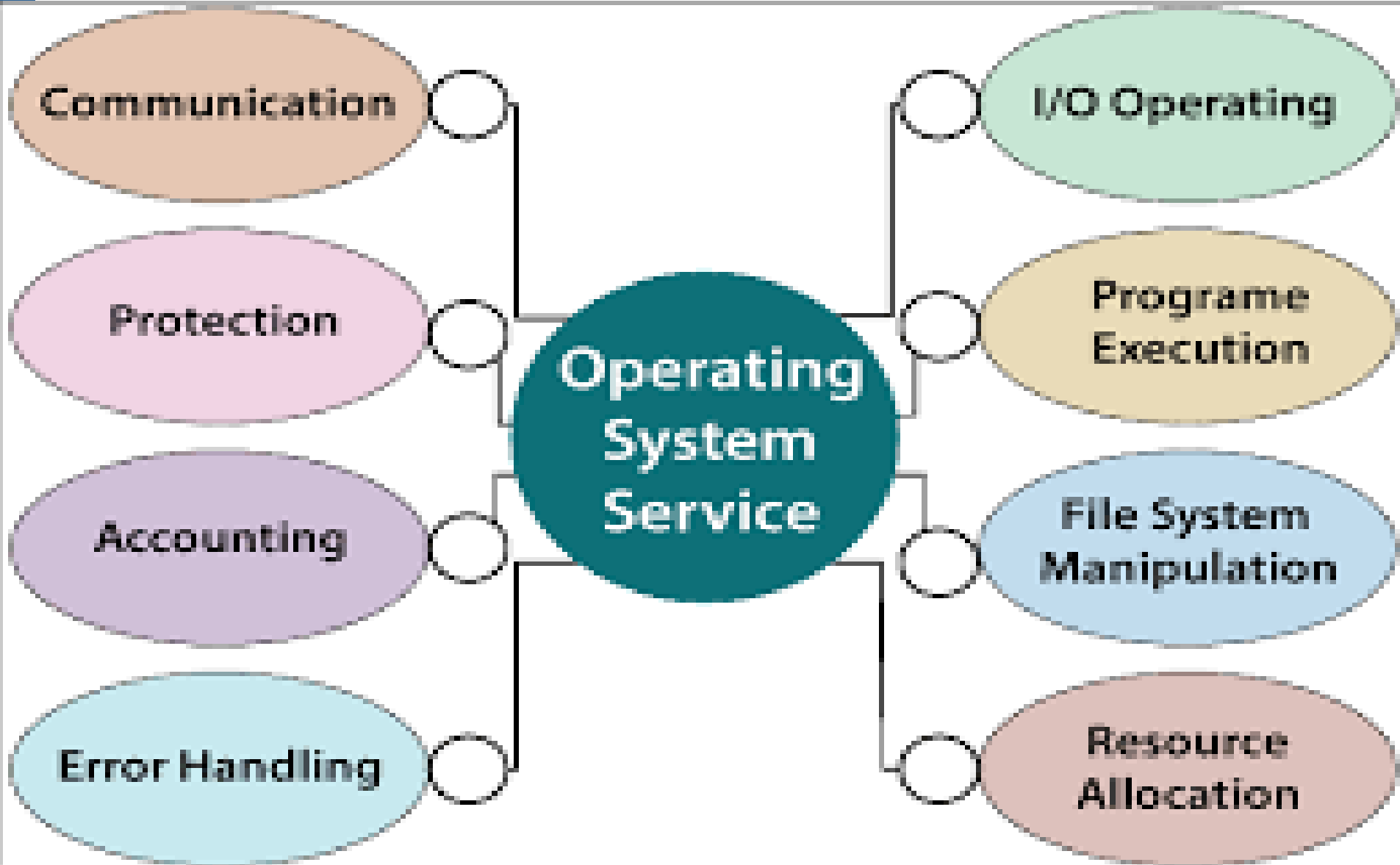


Interrupt Timeline





Operating System Services





System Calls

- Programming interface to the services provided by the OS
- Typically written in a high-level language (C or C++)
- Mostly accessed by programs via a high-level **Application Program Interface (API)** rather than direct system call use
- Three most common APIs are Win32 API for Windows, POSIX API for POSIX-based systems (including virtually all versions of UNIX, Linux, and Mac OS X), and Java API for the Java virtual machine (JVM)
- Why use APIs rather than system calls?

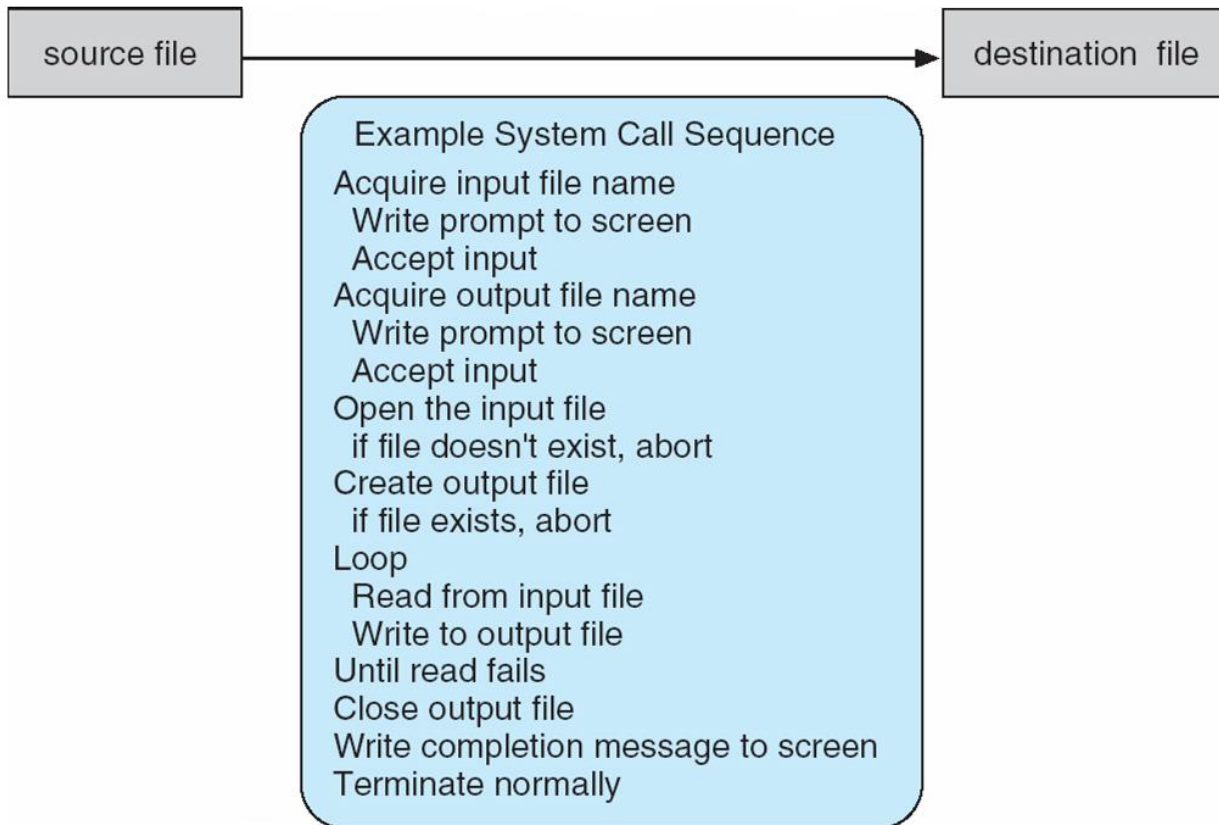
(Note that the system-call names used throughout this text are generic)





Example of System Calls

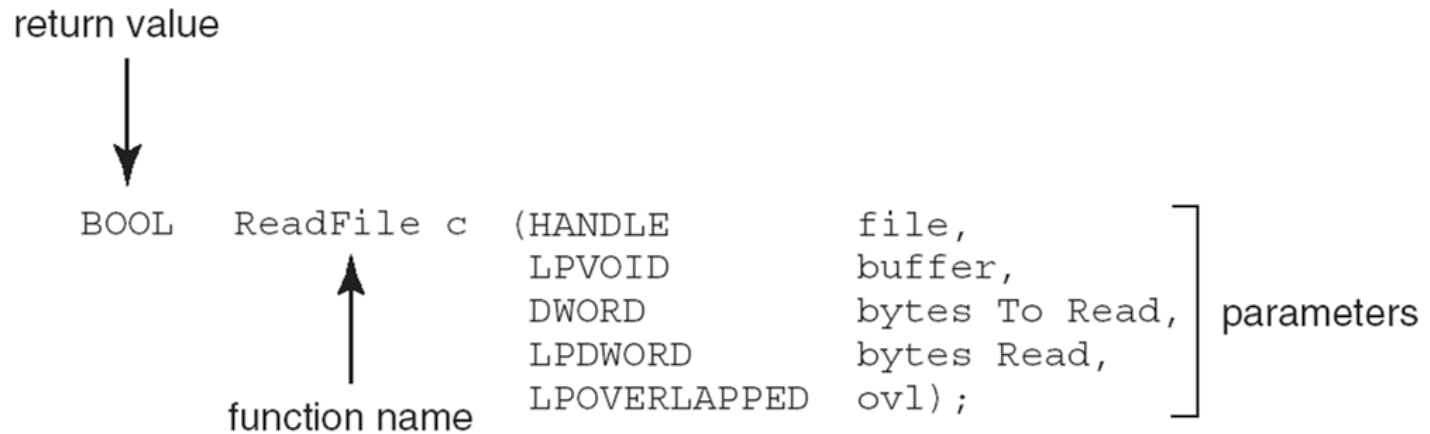
- System call sequence to copy the contents of one file to another file





Example of Standard API

- Consider the ReadFile() function in the
- Win32 API—a function for reading from a file

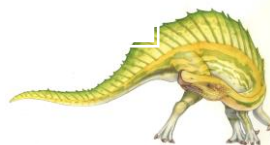
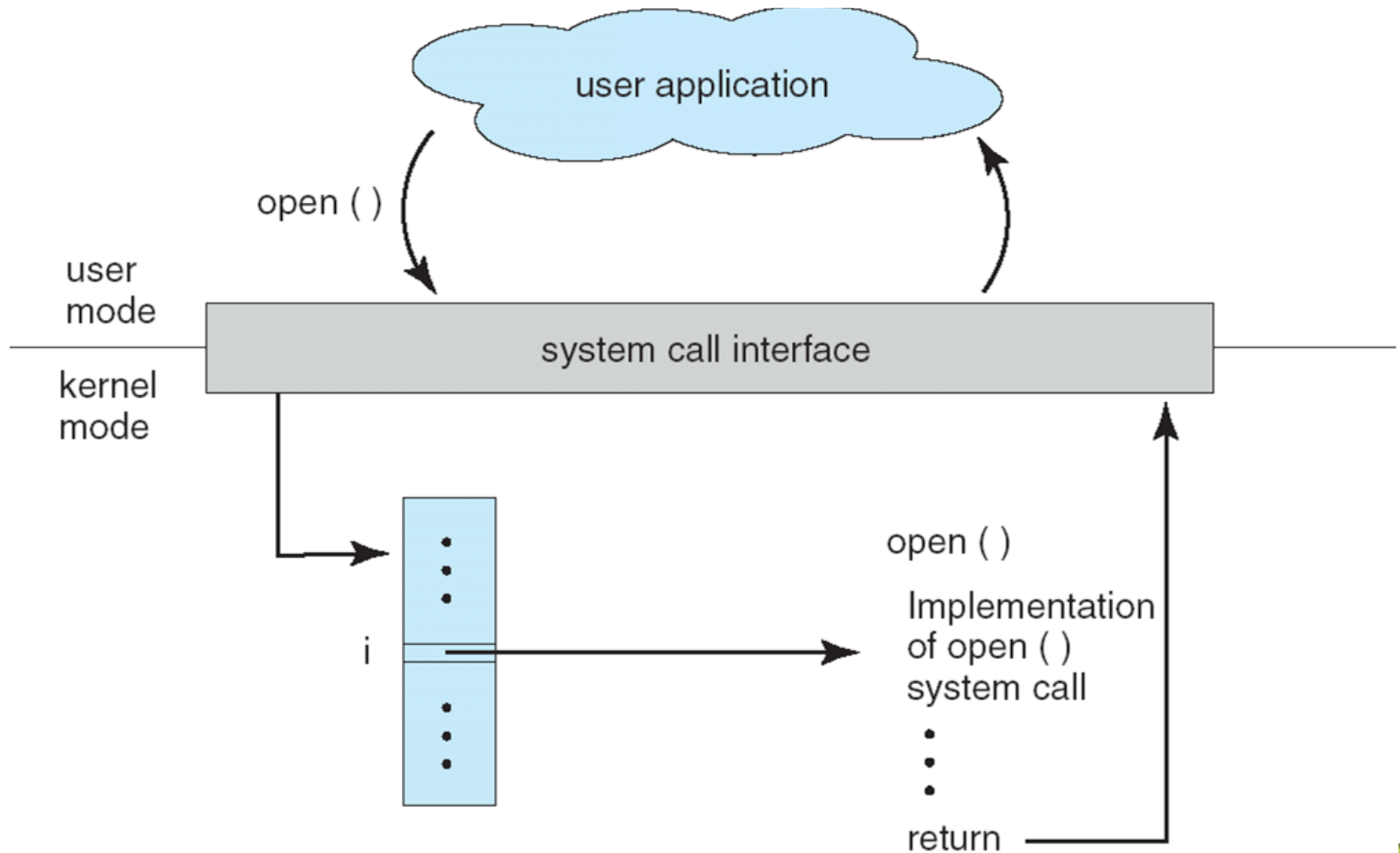


- A description of the parameters passed to ReadFile()
 - HANDLE file—the file to be read
 - LPVOID buffer—a buffer where the data will be read into and written from
 - DWORD bytesToRead—the number of bytes to be read into the buffer
 - LPDWORD bytesRead—the number of bytes read during the last read
 - LPOVERLAPPED ovl—indicates if overlapped I/O is being used





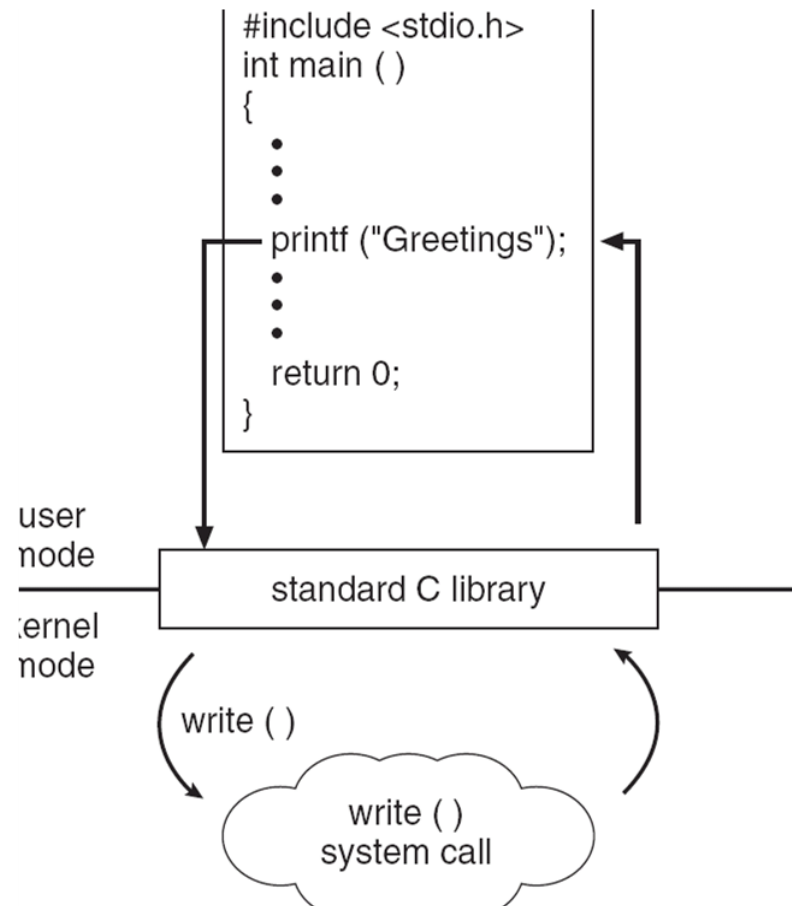
API – System Call – OS Relationship





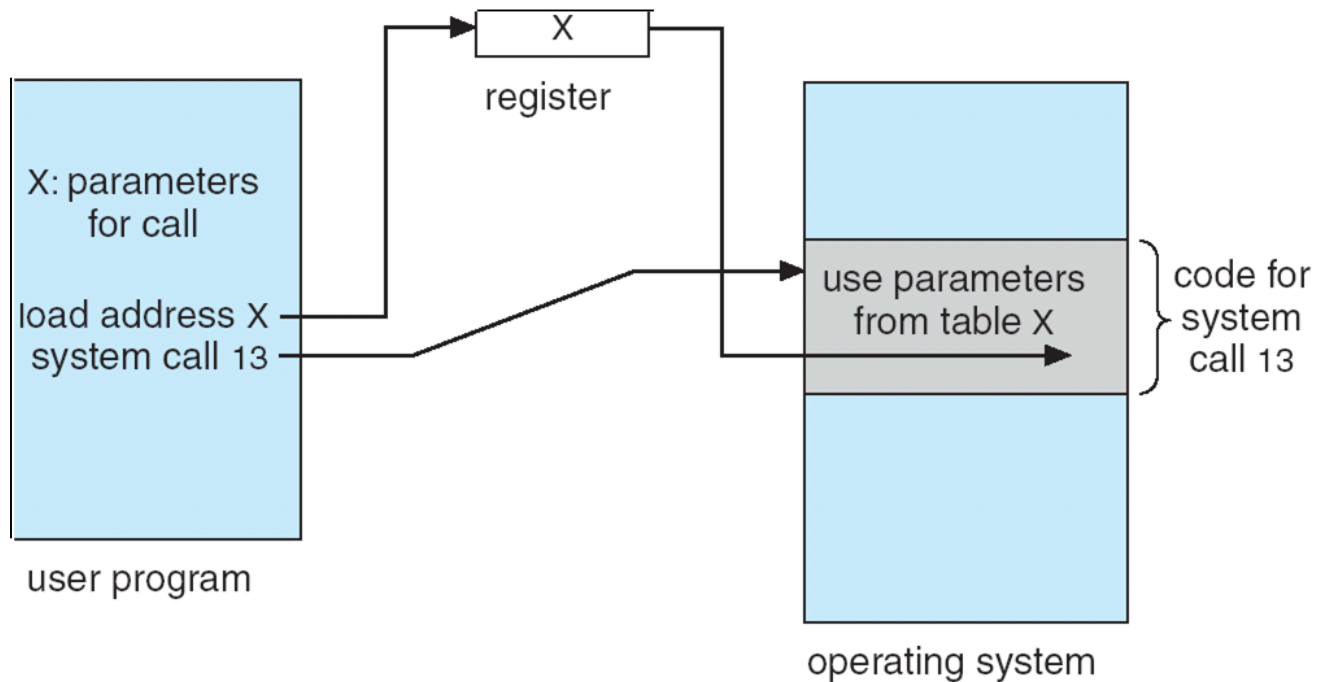
Standard C Library Example

- C program invoking printf() library call, which calls write() system call





Parameter Passing via Table





Types of System Calls

- Process control
- File management
- Device management
- Information maintenance
- Communications
- Protection





Examples of Windows and Unix System Calls

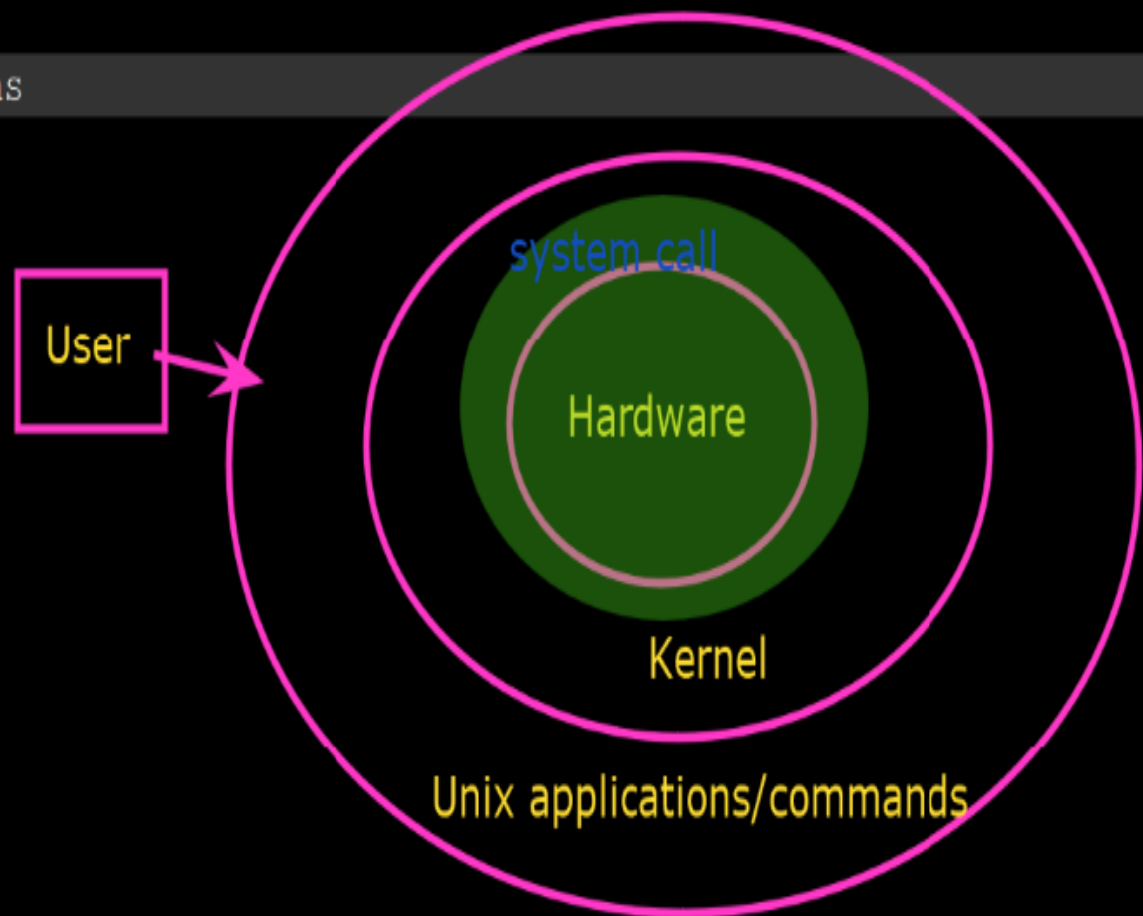
	Windows	Unix
Process Control	CreateProcess() ExitProcess() WaitForSingleObject()	fork() exit() wait()
File Manipulation	CreateFile() ReadFile() WriteFile() CloseHandle()	open() read() write() close()
Device Manipulation	SetConsoleMode() ReadConsole() WriteConsole()	ioctl() read() write()
Information Maintenance	GetCurrentProcessID() SetTimer() Sleep()	getpid() alarm() sleep()
Communication	CreatePipe() CreateFileMapping() MapViewOfFile()	pipe() shmget() mmap()
Protection	SetFileSecurity() InitializeSecurityDescriptor() SetSecurityDescriptorGroup()	chmod() umask() chown()



Powerful features of UNIX:

- Security: private and shared files
- Multi-user support
- Inter process communication
- Extensive network support
- data transmission to I/O devices
- STABILITY:
- Example: Critical applications

Layered UNIX architecture



Kernel:

-heart of OS

-the low level core of the system that is the interface between user application and hardware.

-Function:

Manage memory

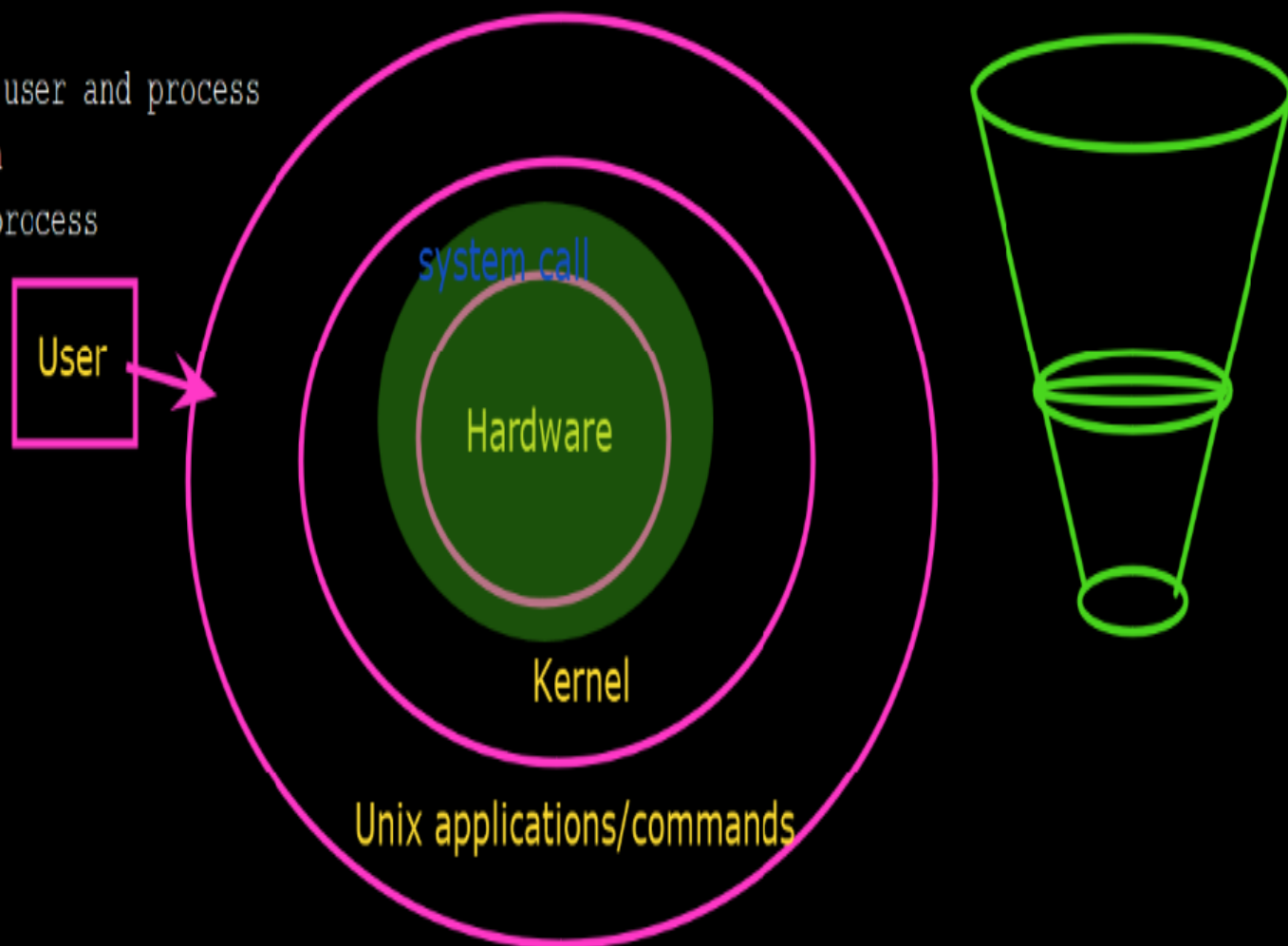
Manage I/O devices

Allocate the time between user and process

Interprocess communication

Setting the priority for process

Layered UNIX architecture





Command [option] [arguments]

pwd: present working directory

ls: list of files

File system:

