

## TEAM GENEX - IDEA SUBMISSION



🔗 **Business Focus Area:** Improve Safety under Energy and Resources area

🔗 **Team Members:** (TISS Mumbai, Masters in Analytics program)

Rahul Nain

### **Problem statement:**

*“Reporting accidents in mining and energy sector involves multiple steps which leads to data procrastination for procedures such as investigation, approval of medical insurance and compliance reporting”*

🔗 *incidence, approval of medical insurance and compliance reporting”*



# Business Challenge and Opportunity



Global Market Size  
Workplace Safety in  
mining & related industries  
**USD 14.2 billion**



Expected Growth  
**USD 26.7 billion**  
By 2027

Indian market size for workplace safety & risk  
mitigation in mining and related industries  
**USD 2.8 billion**



Expected Growth  
**USD 5.4 billion**  
By 2028

## Business Challenge - Competitor Analysis

Competitor	Key Features and Focus	Strengths	Weaknesses
HexaSafe Solutions	Real-time hazard monitoring and reporting	Established player, real-time monitoring	Limited AI integration for predictive risk mitigation
SafeTech Insights	Advanced safety analytics, data-driven insights	Strong analytics foundation	Less intuitive UI compared to potential AI-driven solution
PredictRisk Innovations	Predictive modeling of workplace hazards	Expertise in predictive modeling	Limited automation for hazard reporting and response
RiskMinder AI	AI-driven insights, customizable hazard identification	AI-driven approach for dynamic mitigation	Potential learning curve for users unfamiliar with AI

## Business Opportunity - Saving cost and time

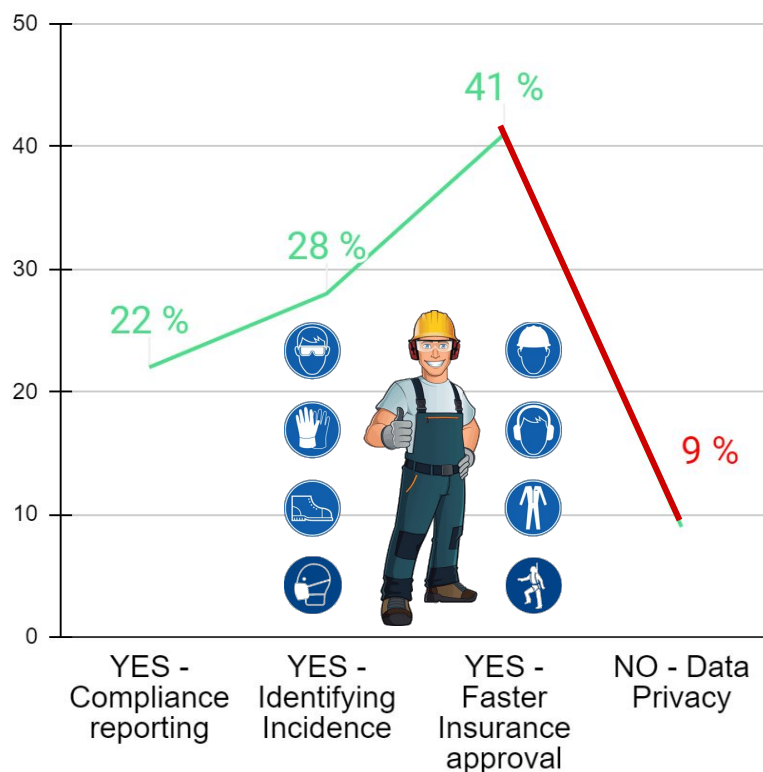
- Annual Loss of Lives: Approx. 2 million lives lost annually.
- Economic Cost: Estimated at \$1.25 trillion USD.
- Cost of Occupational Injuries: One study reports a staggering \$3 trillion.
- Inefficient Safety Reporting Systems: Businesses in the U.S. lose an average of \$1.8 billion yearly.
- Global Economic Impact: Work-related injuries cost ranges from 1.8% to 6% of GDP.
- Lost Workdays: Total of 31,515,368 lost workdays over the period.
- Equivalent to 5,700 person-years lost per year

[Source: ILO](#) [NCBI](#) [NSC](#) [NCBI](#)

# Rationale behind GEN AI Solution and Technologies Proposed

## Primary Research Survey

Key takeaways from Primary Survey response for GEN AI solution in mining safety



There is a need for GEN AI solution keeping in check Data Privacy Risk

## Secondary Research Data



Aurum Mining Corporation Data set has been used to train our prototype using:

- Input: Incident Narrative
- Output: Classification into column variables

Business Use cases:

- Incident Analysis to identify root cause
- Fast tracking medical care and health insurance
- Compliance Reporting

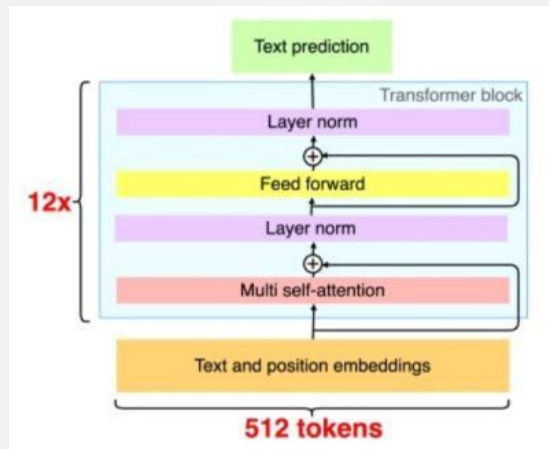
## Available Technologies

T5

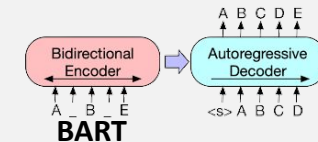
**GPT (Text-to-Text Transfer Transformer)**

GPT, which stands for "Text-to-Text Transfer Transformer," is a highly versatile language model renowned for its adaptability in transforming various text inputs into meaningful text outputs. This adaptability makes it well-suited for a wide range of language generation tasks.

**GPT1**



GPT2

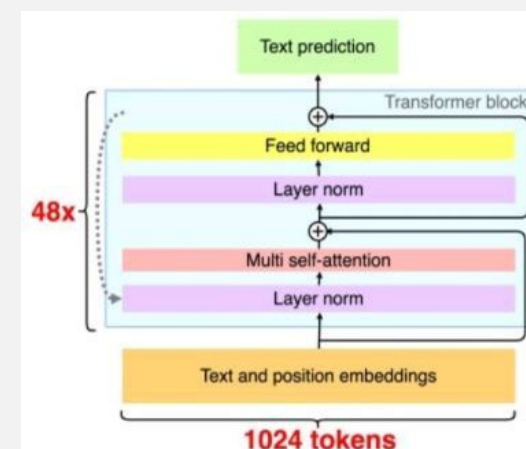


Chosen because it is really good at understanding and generating human-like text!

**Key Features of T5**

- Text-to-Text Framework
- Fine-Tuning Flexibility
- Competitive Performance
- Interpretable Outputs
- Transfer Learning

**GPT2**



# Proposed Generative AI solution including Solution Architecture



## Architecture of Transfer Learning



Reference Research Paper Link

The data set contains narrations of incidents and its **manual** classification of different attributes of the incident

Fine-Tuning

**T5 Base Transformer**

**T5**

**Python Preprocessing to obtain data in excel format**

**Faster preprocessing of data and reporting of incidents**

### Example of Narration :

Employee was building and plastering a brattice when some of the plaster got on he's glasses and into he's left eye causing scratches and irritation to he's eye.

### Classification Output :

#### Column 1

Subunit : Underground  
Underground location : Vertical Shaft  
Underground mining method : Continuous Mining  
.....

**Column 2**  
Body Part Injured : EYE/OPTIC NERVE  
.....

### Applications:




- Incident Analysis to identify root cause
- Fast tracking medical care and health insurance
- Compliance Reporting

**INPUT TEXT**

**EXPECTED OUTPUT**

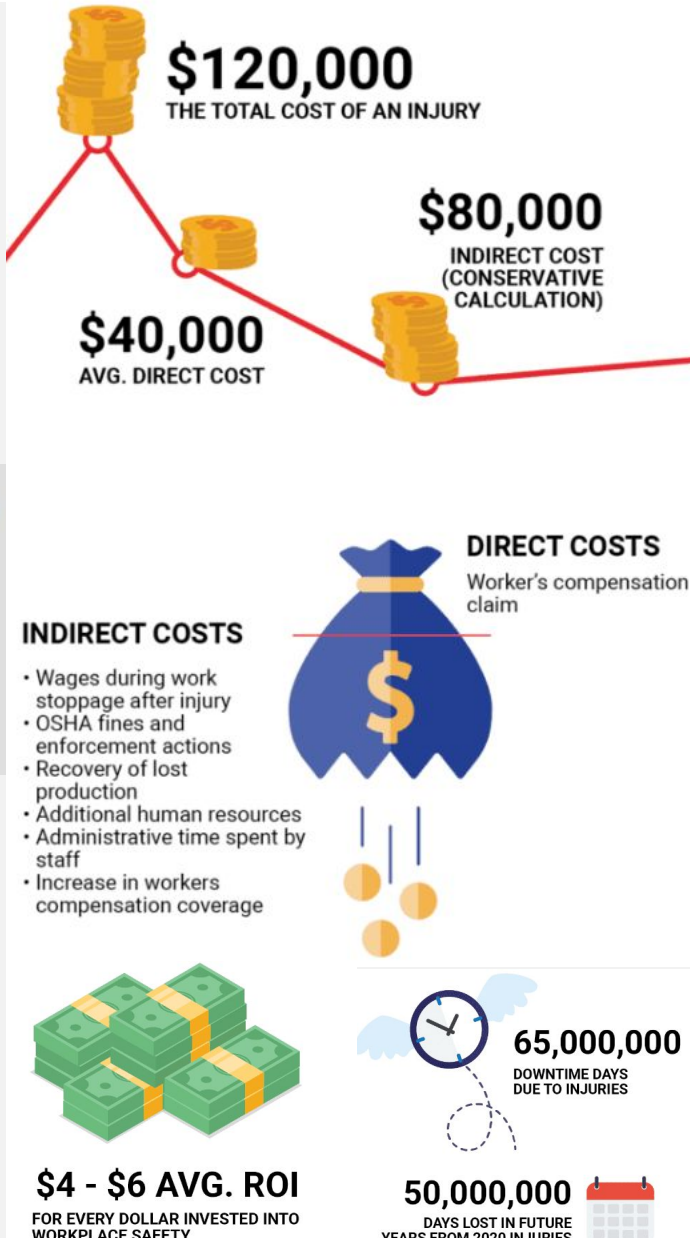


# Proposed Business Benefits

-  **Revenue Increase:** Streamlined incident analysis accelerates identification of patterns  
Can save estimated losses due to direct and indirect cost of around **1.2 Bn \$ Annually** per person.
-  **Cost Reduction:** Swift root cause identification minimizes recurring incidents and costs.  
Workplace safety has great ROI for **\$4 to \$6 for every \$1 invested in workplace safety (OSHA)**.
-  **Improve Asset Utilization:** Accurate incident analysis enables proactive maintenance, lowering downtime, increase equipment availability and reduced maintenance costs.

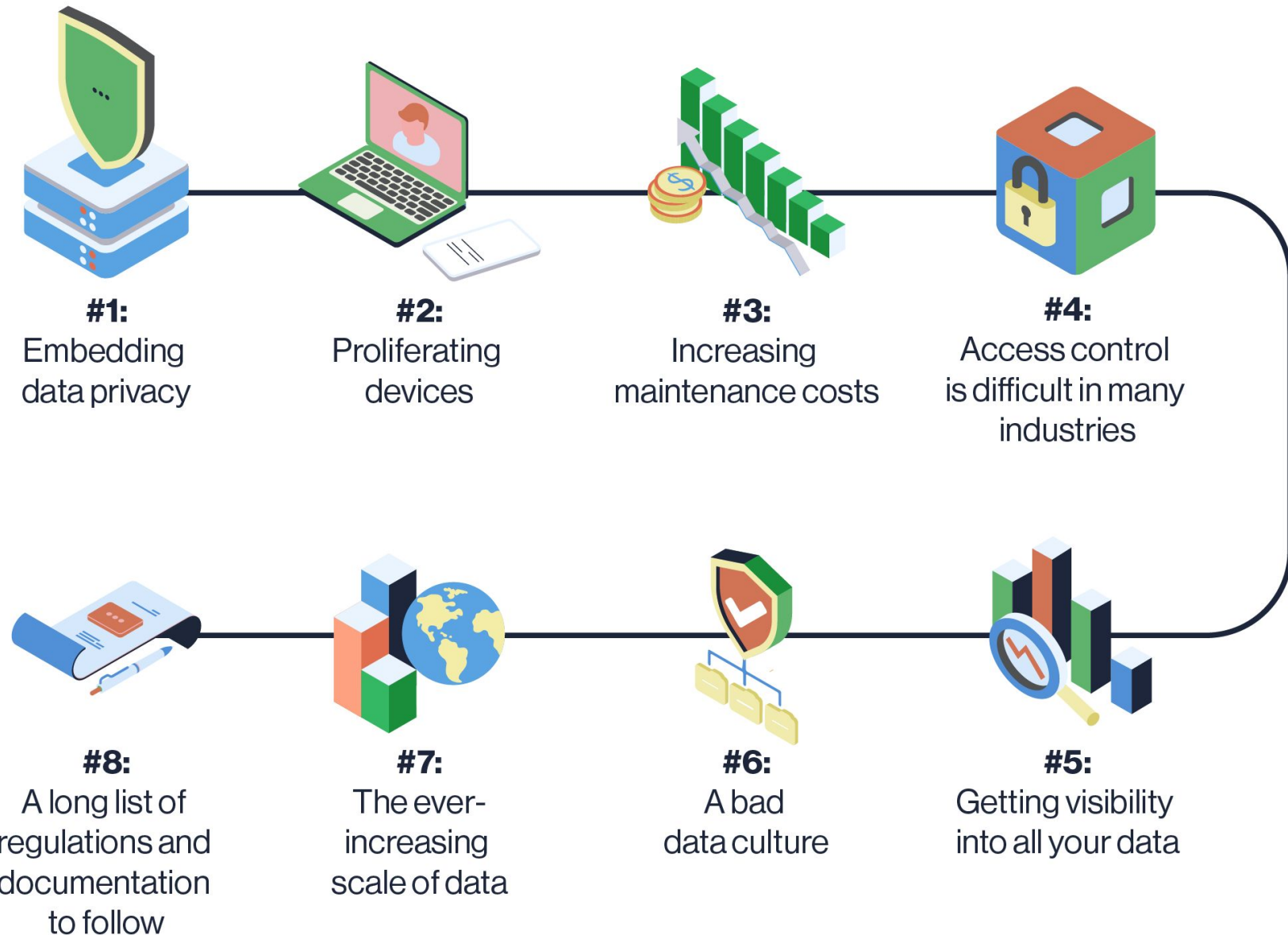


-  **Risk Reduction:** Enhanced compliance minimizes legal and financial risks and potential penalties  
The maximum **penalties** will be raised from the current **\$100,000** and/or up to one year imprisonment
-  **Experience Enhancement:** Effective incident response fosters a safer, positive work environment.  
Organizations prioritizing safety lower safety incidents by **70%** according to a study by **Gallup 2017**.  
The **NSC** estimated a total of **99,000,000 days lost** due to work-related injuries for 2020.



# Risks associated with proposed solution -> Mitigation Strategies

- Data Privacy -> Data Encryption
- Proliferating Devices -> Data Governance
- Maintenance Costs -> Automation
- Access Controls -> Better Data Architecture
- Visibility of all Data -> Effective Data Pipeline implementation
- Bad Data Culture or Data Bias -> Data Ethics trainings and policies
- Scalability of solution -> Developing Quality standards
- Regulations -> Staff Training



# PRODUCT DEVELOPMENT PRESENTATION



🔗 **Business Focus Area:** Improve Safety under Energy and Resources sector

🔗 **TEAM GENX (TISS Mumbai, Masters in Analytics program)**

 Soumyadeep Pal

 Amit Kadarmandalgi

 Mayuri Jape

 Rahul Nain





## Business Problem Statement & Scope of Solution



*“Reporting accidents in mining and energy sector involves manual data entry which leads to data procrastination for procedures such as identifying root cause of incidence, approval of medical insurance and compliance reporting”*

- **What is the business problem?** Manual entry of incident reports of accidents happening at workplace
  - **Where is this happening?** Mainly in energy and mining sector where there are higher chances of work hazards
  - **How did we arrive at this problem?** Conducted a primary research using a questionnaire based survey form
  - **Why is this an important issue?** Manual entry leads to data procrastination which leads to delay in processes.
    - **Root Cause analysis:** Not identifying the cause of accidents implies no corrective or preventive action
    - **Insurance approval:** Delay in approvals for crucial medical assistance and insurance approval to the victim
    - **Regulatory Compliance:** Failing to do internal audits can lead to legal penalties also imprisonment.
- ✂ Compromising on safety standards of workers leads to loss of **life, money, time** and also **legal punishments**

IN 2020 ALONE...



**\$163.9  
BILLION**  
TOTAL COST OF  
WORK INJURIES

**\$44,000**

COST PER MEDICALLY  
CONSULTED INJURY



**\$1,310,000**

COST PER DEATH





# Workflow Solution and Technical Architecture



## Architecture of Transfer Learning



Reference Research Paper Link

The data set contains narrations of incidents and its **manual** classification of different attributes of the incident

Fine-Tuning

**GPT2  
Transformer**

### Example of Narration :

Employee was building and plastering a brattice when some of the plaster got on he's glasses and into he's left eye causing scratches and irritation to he's eye.

**INPUT TEXT**

### Classification Output :

#### Column 1

Subunit : Underground  
Underground location : Vertical Shaft  
Underground mining method : Continuous Mining  
.....

#### Column 2

Body Part Injured :  
EYE/OPTIC NERVE  
.....

**EXPECTED OUTPUT**

**Python  
Preprocessing  
to obtain data  
in excel  
format**

**Faster  
preprocessing  
of data and  
reporting of  
incidents**

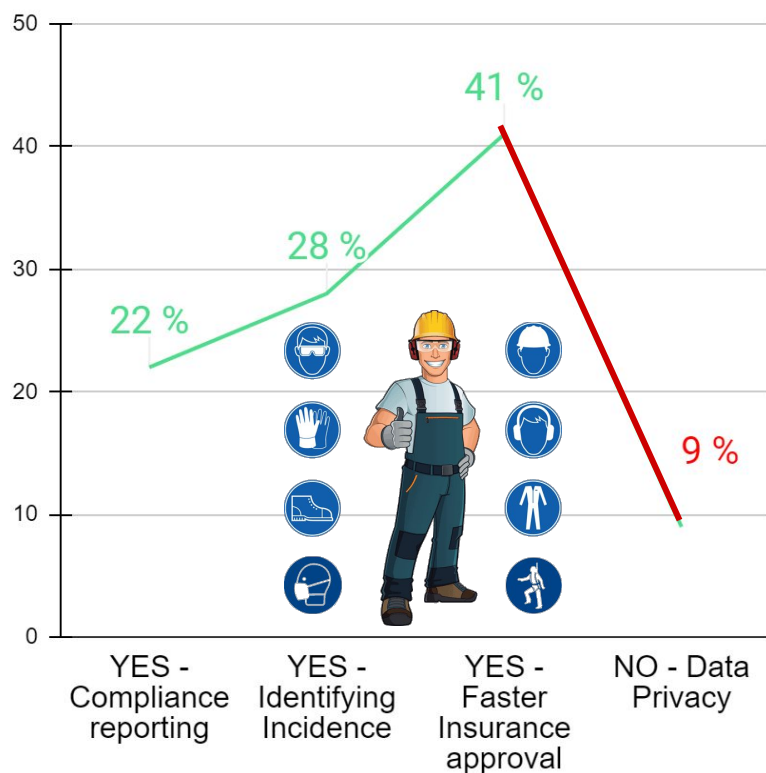
### Applications:

- Incident Analysis to identify root cause
- Fast tracking medical care and health insurance
- Compliance Reporting

# Data Set, Sources and Data Processing Steps

## Primary Research Survey Data

Key takeaways from Primary Survey response for GEN AI solution in mining safety



## Secondary Data Set Source



### Data Set used:

Aurum Mining Corporation  
Data set has been used to train our model using LLM

**Data Type:** Human data entries of Narratives of accidents in text form

**Data Source:** We have sent an official request to QHSE and received AMC safety data set after approval

[Safety Data Sheets - AMC Drilling Optimisation \(amcmud.com\)](https://amcmud.com)

## Data Processing Steps

### 1. Data Collection

Conducted Primary and Secondary research and Finalised on Data Set i.e. AMC Safety Data Set

### 2. Data Preprocessing

Data Pre processing to identify errors in text narrative, data selection and tokenization

### 3. Data Modelling

Splitting into training and test data set for model

Feedback and Corrections to enhance Model

Output

There is a need for GEN AI solution keeping in check Data Privacy Risk

# Details of foundation models



## Choice of GPT (text to text transformer):



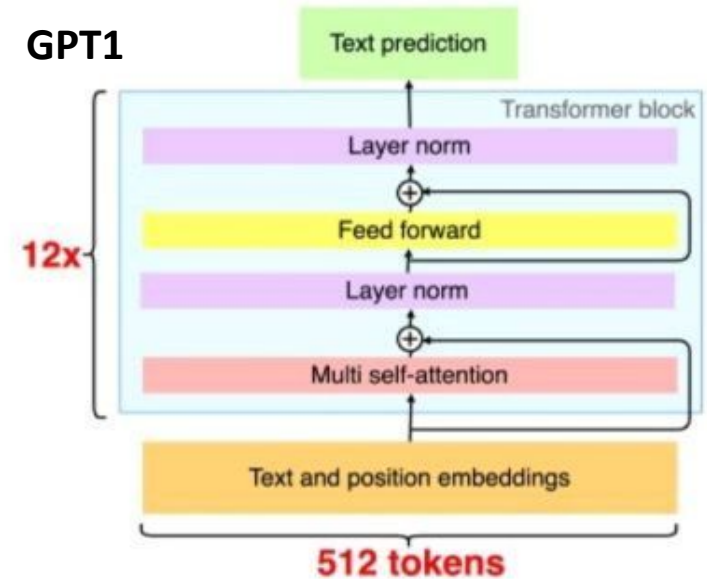
GPT2

Chosen because it is really good at understanding and generating human-like text

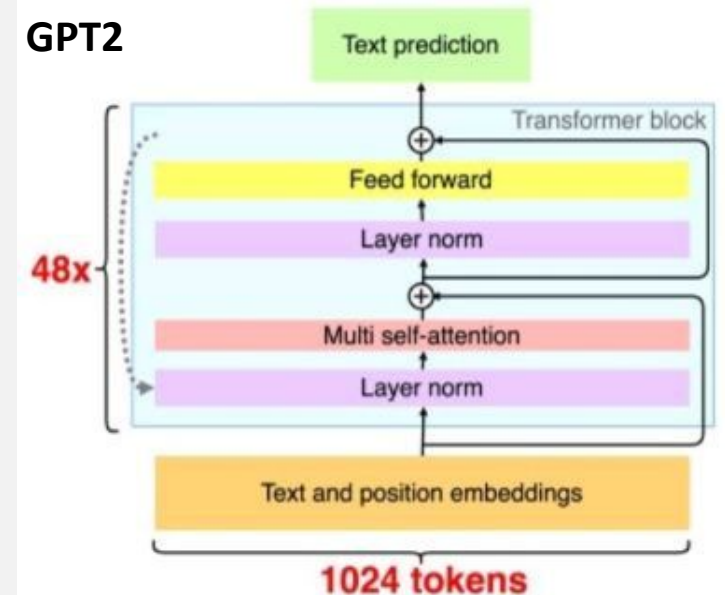
## Key Features of GPT

- 1.Text-to-Text Framework:** utilizes a text-to-text framework, enabling it to process input text and generate meaningful output text which supports a wide range of NLP tasks.
- 2. Fine-Tuning Flexibility:** adaptable as it allows customization for specific tasks
- 3. Competitive Performance:** consistently delivers competitive performance across various NLP benchmarks, thanks to its large-scale architecture and extensive pre-training.
- 4. Interpretable Outputs:** GPT generates interpretable and contextually meaningful outputs, aligning well with input context
- 5. Transfer Learning:** leverages transfer learning by pre-training on vast text data, enabling it to understand language comprehensively.

GPT1



GPT2



# Model evaluation & Deployment approach



## Non-Traditional approach of model evaluation

1. Accuracy of Information: How accurately the generative AI model fills in the columns compared to manual entry.(Around 80% for the current model)
2. Time Efficiency: The time saved by using the generative AI model compared to manual data entry.(Need Company level data to calculate)

### How should the metric look like?

#### Example:

Manual Entry:

Accuracy: 90%

Time taken: 10 minutes per incident

Generative AI Entry:

Accuracy: 95%

Time taken: 2 minutes per incident

## Model Deployment Approach

### 3 - Click Approach for front-end



[Link to GitHub Repository](#)

[Link to Demo Prototype](#)



### Infrastructure Setup (Refer to Required Infrastructure and Environment)

1. Compute Resources (GPU recommended)

Adequate Storage

Stable Network Connection

Model Serving Setup

1. Choose Model Server Framework

Dockerize Application for Portability

API Development

1. Create RESTful API for Input and Response

Implement Robust Error Handling

Integration with Frontend/Client

5. Develop User Interface or Client App

Manage User Input and API Requests

Deployment and Monitoring

6. Host on Cloud Platform (e.g., AWS, GCP)

Implement Load Balancing (if needed)

Set up Monitoring, Logging, and Security Measures



# GPT2 Fine-tuning Approach



## Installing Dependencies

1. transformers
2. SentencePiece
3. Cython
5. rich

## Choice of **platform**

for fine-tuning.  
Kaggle provides with 15GB of RAM  
and 15GB of GPU P100  
Accelerator.



Choice of Model and libraries to Load the  
model: We have used GPT2 base model from  
Huggingface for finetuning.  
Libraries: GPT2LMHeadModel and  
GPT2Tokenizer

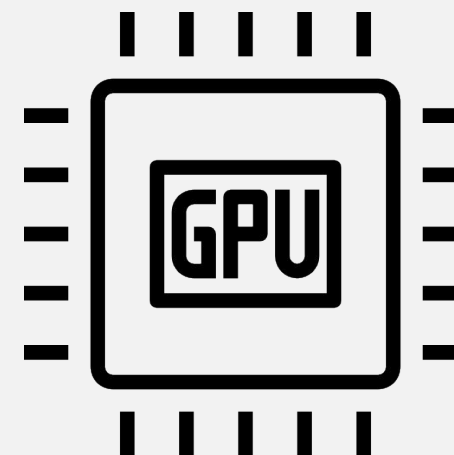


```
from torch import cuda
device = 'cuda' if cuda.is_available() else 'cpu'

model = model.to(device)
```

```
def fine_tune_gpt2(model_name, train_file, output_dir):
    # Load GPT-2 model and tokenizer
    model = GPT2LMHeadModel.from_pretrained(model_name)
    tokenizer = GPT2Tokenizer.from_pretrained(model_name)
```

1. The initial function fine\_tune\_gpt2 takes three inputs: model\_name, train\_file, and output\_dir.
2. It loads a pre-trained GPT-2 model and a compatible tokenizer using from\_pretrained.
3. It checks if a GPU is available. If a GPU is available, it moves the model to the GPU for faster training.
4. It creates a training dataset using the TextDataset class, configured with the provided tokenizer and file path.
5. A data collator is created for language modeling tasks, with masking set to False.
6. Training arguments are set, including output directory, number of epochs, batch size, and save settings.
7. The model is trained using a Trainer object, which manages the training process.
8. The fine-tuned model is saved along with the tokenizer configurations to the specified directory.



# Required Infrastructure and Environment



## Estimated GPU Memory Requirement and Implementation Cost Analysis

### Model Configuration:

- GPT Model: GPT-2 Base version (**851.2 MB**)
- Description Token Size: 30 tokens
- Batch Size: 8

### GPU Memory Estimation:

- Formula: GPU Memory (GB) = Batch Size \* Model Size (GB) \* Tokenization Factor
- Calculation: GPU Memory (GB) = 8 \* 0.8512 GB \* 30 = **20.43 GB**

### Cloud Service Costs for 1 Year:

- Azure: Approximately **\$314.29 for 1 year**
- AWS: Approximately **\$3,293.76 for 1 year**

Azure Cost Analysis			
Service category	Region	Description	Estimated monthly cost
Compute	West India	1 D2 v3 (2 vCPUs, 8 GB RAM) (1 year savings plan), Linux, (Pay as you go); 0 managed disks – S4; Inter Region transfer type, 5 GB outbound data transfer from West India to East Asia	\$77.77
Compute	East US	1 D2 v3 (2 vCPUs, 8 GB RAM) x 730 Hours (Pay as you go), Windows (License included), OS Only; 0 managed disks – S4; Inter Region transfer type, 5 GB outbound data transfer from East US to East Asia	\$137.24
Compute	East US	1 A2 v2 (2 Cores, 4 GB RAM) x 730 Hours (Pay as you go), Windows (License included), OS Only; 0 managed disks – S4; Inter Region transfer type, 5 GB outbound data transfer from East US to East Asia	\$99.28
Support	Support		\$0.00
Total			\$314.29

### AWS Cost Analysis

Detailed Estimate				
Group hierarchy	Region	Service	Upfront	First 12 months total
My Estimate	India	Amazon EC2	3293.76	\$3293.76

## Target Users

### Mining Companies



### Oil and Gas Companies



### Renewable Energy Companies



### Manufacturing and Construction Companies in Energy Sector



### Regulatory and Compliance Bodies



### Medical Personnel



### Strategy Analysts & Decision Makers



### Environmental Monitoring Officers



### Risk Management and Insurance Agencies



## Target User Personas



### Operator Ojas

Operator Ojas is responsible for day-to-day operations in the mining and energy sector. They need tools that support their tasks and ensure safety.

#### Jobs To Be Done:

#### Job 1: Incident Notification and Response

In case of an incident, Oliver needs a tool that allows quick notification and response. The AI solution should facilitate immediate reporting and response procedures.



### Compliance Officer Kartik

Compliance Officer Kartik ensures that the organization adheres to legal and regulatory requirements related to safety.

#### Jobs To Be Done:

#### Job 1: Automated Compliance Reporting

Carla's primary responsibility is to generate compliance reports. The AI solution should automate this process, providing accurate and up-to-date compliance reports.





## Safety Manager: Sameer

Safety Manager Sameer oversees safety protocols and procedures within mining and energy operations. They are responsible for incident response and prevention strategies.

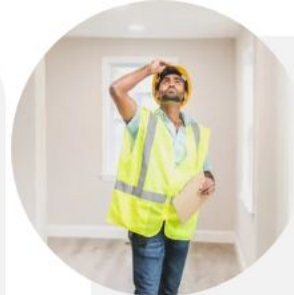
### Jobs To Be Done:

#### Job 1: Efficient Incident Reporting

When an incident occurs, Sam needs to quickly report and respond to it. The AI solution should provide a seamless and streamlined reporting process, allowing Sam to receive real-time information and take immediate action.

#### Job 2: Root Cause Analysis

After an incident, Sam needs to identify the root cause to implement effective preventive measures. The AI solution should facilitate prompt identification and analysis of root causes.



## Inspector Ishant

Inspector Ishant conducts routine inspections to ensure compliance with safety regulations and standards.

### Jobs To Be Done:

#### Job 1: Real-time Compliance Reporting

Ingrid needs to ensure that the operations comply with safety regulations. The AI solution should provide instant access to compliance data, allowing Ingrid to make timely assessments and recommendations.



## Medic Meera

Medic Meera handles medical assessments and treatments for injured personnel. Quick access to information is crucial for providing timely care.

### Jobs To Be Done:

#### Job 1: Faster Approval of Medical Insurance

When an incident occurs, Mia needs to quickly approve medical insurance claims for injured personnel. The AI solution should expedite the approval process, ensuring prompt medical attention.



## Analyst Alisha

Analyst Alisha analyzes safety data to identify trends, patterns, and areas for improvement. They rely on accurate and structured data for meaningful insights.

### Jobs To Be Done:

#### Job 1: Clean and Structured Data

Alex needs high-quality, standardized data for effective analysis. The AI solution should provide clean and structured data, reducing the time and effort required for analysis.



## Executive Evelyn

Executive Evelyn makes strategic decisions based on performance metrics and safety records. Informed decision-making is critical for operational efficiency.

### Jobs To Be Done:

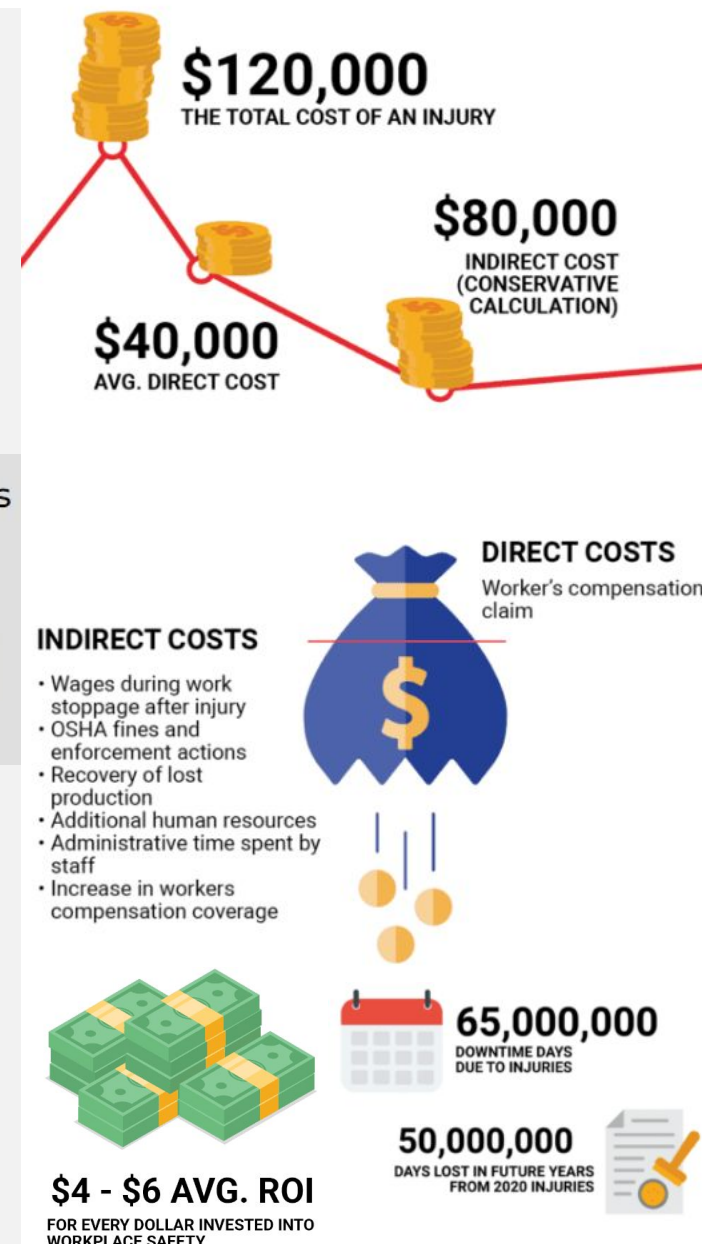
#### Job 1: Improved Decision-making

Evelyn relies on timely and accurate safety data to make informed decisions. The AI solution should provide access to such data for enhanced operational efficiency and risk management.



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# Solution Benefits with Examples of applications



## Solution



Tangible Benefit

Intangible Benefit

Financial Benefit

### Efficient Incident Reporting:

- Reduction in incident reporting time
- Intangible Benefit: Enhanced situational awareness for quicker response.
- Financial Benefit: Potential cost savings due to reduced downtime and operational disruptions.

### Real-time Compliance Reporting

- Reduction in compliance reporting time.
- Improved regulatory adherence leading to a better organizational image.
- Lower compliance-related fines and penalties.

### Faster Approval of Medical Insurance

- Medical insurance approval process shortened
- Increased trust and satisfaction among employees.
- Reduced financial burden on the organization for medical expenses.

## Solution



Tangible Benefit

Intangible Benefit

Financial Benefit

### Root Cause Analysis

- Improvement in identifying root causes promptly.
- More effective preventive measures reducing future incidents.
- Cost reduction through prevention of recurrent incidents and associated expenses.

### Automated Compliance Reporting

- Reduction in manual compliance reporting efforts.
- Enhanced transparency and trust with regulatory bodies.
- Lower compliance-related administrative costs.

### Improved Decision-making

- Faster decision-making with access to real-time safety data.
- Enhanced operational efficiency and risk management.
- Improved profitability through better-informed strategic decisions.



## Revenue Benefits

&

## Facts supporting it



- **Reduced Downtime:** Minimizing incidents and improving response times can lead to significant revenue savings by reducing downtime in mining and energy operations.



- **Better Reputation:** Enhanced safety practices and compliance can attract new business opportunities and partnerships, contributing to revenue growth.



- **Insurance Cost Savings:** Efficient medical insurance approvals can result in lower insurance premiums, contributing to cost reduction.



- **Data-Driven Efficiency:** Improved decision-making can optimize resource allocation, reduce operational costs, and increase overall profitability.



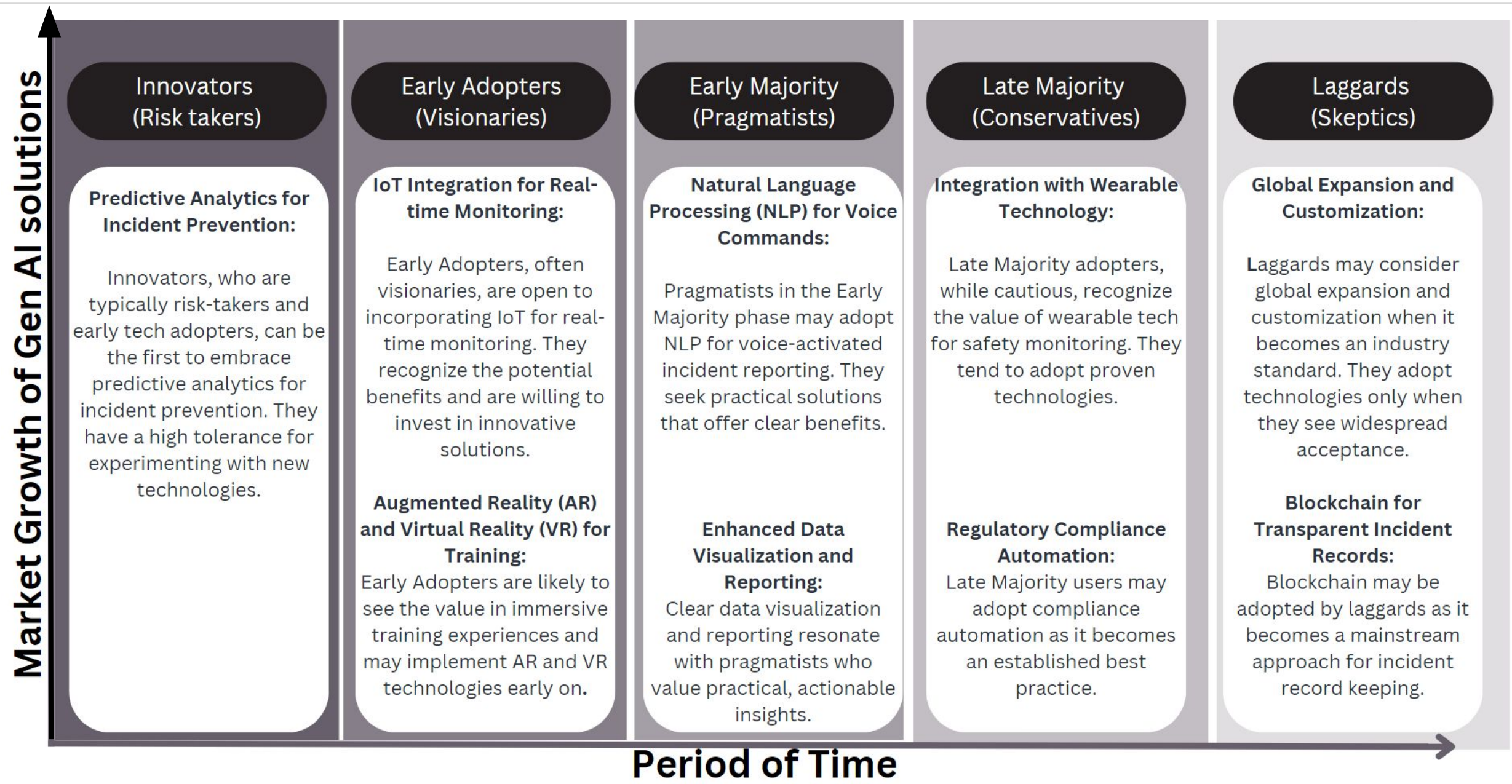
- **Preventing Regulatory Fines:** Avoiding regulatory fines and penalties can protect revenue and maintain financial stability.



- **Market Share Growth:** A better safety record can help capture a larger share of the market and increase revenue potential.
- **Reduced Employee Turnover:** Improved safety and faster medical attention can reduce employee turnover and recruitment costs.

- The average cost of lost revenue, financial penalties, idle staff time and restarting lines is \$532,000 per hour, amounting to \$172 million per plant annually.
- 92% will be more likely to trust a company that supports social or environmental issues.
- The digitization of the claims and filing process can improve the customer experience by 20% points and reduces expenses by 25-30%
- According to a report by McKinsey, companies that effectively use data analytics for decision-making can achieve up to a 20% improvement in operational efficiency.
- According to a report by McKinsey, effective use of digital technologies in risk management can lead to a 10-25% reduction in regulatory costs in the mining industry.
- According to OSHA, effective safety and health management systems can reduce workplace injuries and illnesses by 20-40%. This can directly impact turnover rates.

# Future Scope of solution focusing on clients at different stages of Tech Adoption cycle ➤





## Product Demonstration Video and Repository Links



Link to Demo Prototype



Link to GitHub Repository

# THANK YOU

