FINAL YEAR PROJECT

TOPIC: Prediction of Longwall periodic weighing and Local fall interval for making model in ML

BY

Rahul Kumar Sharma 20JE0749

B. Tech (Mining Engineering)

Department of Mining Engineering
Indian Institute of Technology (Indian School of Mines)
Dhanbad-826004

Under the guidance of Dr. Dondapati Gopi Krishna,

Department of Mining Engineering
Indian Institute of Technology (Indian School of Mines)
Dhanbad-826004



ABSTRACT

This study presents a machine learning-based approach for predicting critical events in longwall mining, specifically periodic weighing and local fall intervals, which are essential for safe and efficient mining operations. Longwall mining involves complex challenges due to unpredictable roof stability and shifting geological conditions, making it essential to anticipate these events accurately. The proposed methodology aims to develop a predictive model that utilizes both historical and real-time data collected from various sources within the mining environment, including periodic weighting intervals, time-weighted average resistance, and loading rates. By leveraging these geo-mining parameters, the model provides timely predictions, offering proactive insights to improve safety and minimize disruptions.

Data collection forms the foundation of this methodology, incorporating sensor data, geological survey information, and historical records. This raw data undergoes preprocessing to address missing values, standardize variables, and transform relevant features. Techniques such as mean and regression imputation are used to handle incomplete data, while normalization ensures consistency across the dataset. Feature engineering, including interaction terms between geological and operational variables, refines the data further, allowing the model to capture more complex relationships. Exploratory Data Analysis (EDA) then examines patterns within the data, employing correlation matrices and visualization techniques to guide the selection of critical features that will impact prediction accuracy.

For model development, a variety of machine learning algorithms are considered, including Random Forest, Gradient Boosting Machines, Support Vector Machines, and Artificial Neural Networks. These models are evaluated based on metrics such as Root Mean Squared Error (RMSE), Mean Absolute Error (MAE), and R² for regression tasks, while accuracy, precision, recall, and F1-score are used for classification tasks. The methodology includes robust model optimization, utilizing hyperparameter tuning and ensemble techniques to improve predictive accuracy. Additionally, k-fold cross-validation ensures the model's reliability and generalizability to different datasets.

Once trained and validated, the model is deployed within the mining operation's control system to enable real-time monitoring. Integrated with real-time data streams, the model can assess ongoing changes in geo-mining conditions and generate alerts for impending periodic weighing or roof fall events. This proactive alert system provides mining personnel with critical insights, enabling preemptive action to reinforce roof supports and prevent accidents. Post-deployment, the model is continually evaluated to maintain and improve predictive accuracy, adapting to new data and evolving mining conditions. This machine learning approach demonstrates the potential to transform longwall mining operations by enhancing safety, optimizing resource use, and supporting data-driven decision-making in complex mining environments.

CHAPTER -1 INTRODUCTION

Longwall mining, a mechanized form of underground coal extraction, has emerged as a highly efficient method due to its ability to maximize resource extraction while minimizing the number of workers exposed to the mining face. In this technique, a long wall of coal is cut and removed as the supporting roof strata are intentionally allowed to collapse behind the advancing equipment. While efficient, the process faces critical challenges related to managing roof stability and anticipating strata falls, which can lead to operational disruptions, increased costs, and, most importantly, serious safety hazards for mining personnel.

Managing the stability of the roof and understanding the periodic "weighing" (or load redistribution on support systems) is crucial for safe and effective longwall operations. The roof support structures, or shields, are periodically subjected to increased pressure as overlying rock layers shift and settle due to mining-induced voids. Predicting these events, as well as the intervals at which local roof falls occur, can provide valuable insights for preemptive support adjustments and allow the operation to proceed safely and efficiently. To this end, leveraging machine learning (ML) for predicting these occurrences presents a powerful approach that combines historical data with modern predictive analytics, enabling enhanced decision-making in real time.

In longwall mining, strata mechanics plays a fundamental role in determining the stability of the mine. As mining progresses, the overburden (the rock and soil layers above the coal seam) is subject to various shifts and stresses. Factors such as the immediate roof composition, thickness of the coal seam, in-situ stress, and mining depth all influence the occurrence and frequency of roof weighing events and local falls. Traditional monitoring methods for these occurrences typically involve manual or sensor-based monitoring, which, while useful, often lack the predictive capability necessary for foreseeing abrupt changes in strata behaviour.

1.1 Objectives:

The primary goal of this project is to develop a machine learning model capable of predicting both periodic weighing events and local fall intervals based on specific geo-mining conditions. The application of machine learning aims to address the following objectives:

- Improve Operational Safety: By forecasting roof weighing and fall intervals, workers
 can take preventive measures, minimizing exposure to unexpected roof collapses or
 excessive load conditions.
- 2. **Enhance Productivity**: Predictive insights allow for better scheduling and proactive adjustments to support structures, reducing downtime and allowing for uninterrupted mining operations.

3. **Optimize Resource Use**: By accurately predicting roof conditions, resources such as time, labor, and equipment can be better allocated, ensuring that mining operations remain cost-effective.

1.2 Scope:

The project "Prediction of Longwall Periodic Weighing and Local Fall Interval Using ML for Given Geo-Mining Conditions" aims to develop a predictive system using machine learning to forecast critical roof loading events and intervals of local roof falls in longwall mining. By analyzing historical data from sensors, geological surveys, and operational logs, the model will utilize essential geo-mining features, such as overburden composition, panel layout, mining depth, and in-situ stress conditions, to predict potential instability. This proactive approach aims to enhance operational safety and efficiency by providing early warnings, allowing mine operators to make timely adjustments and reinforce roof supports where necessary.

The project's scope includes comprehensive phases from data collection and feature engineering to model development, testing, and deployment in real-time mining systems. Postdeployment, training and documentation will help mining personnel integrate these predictions into day-to-day operations. The system will undergo continuous monitoring and improvement to maintain predictive accuracy, adapting as new data is gathered or mining conditions evolve. By creating a robust machine learning model tailored for longwall mining, the project will contribute significantly to safer and more efficient mining practices.

CHAPTER-2 LITRATURE REVIEW

The application of machine learning (ML) in the mining industry has gained momentum due to its potential to optimize mining processes and enhance operational safety. Longwall mining, a method employed in underground coal mining, faces challenges such as managing the stability of the mined area and predicting falls and weighing intervals in real-time. Longwall mining involves the extraction of coal using a continuous miner, where the face is gradually advanced, and the roof is supported by hydraulic props. The problem of local falls (or roof falls) and the prediction of periodic weighing are critical for maintaining mine safety and optimizing operational performance.

Longwall Mining and its Challenges

Longwall mining is known for its efficiency and the ability to extract large volumes of coal from underground mines. However, managing the stability of the roof, the weighing of the extracted material, and predicting local falls are key concerns. Local roof falls are sudden collapses of the mined area, posing risks to workers and disrupting the mining process. Periodic weighing, on the other hand, is necessary for measuring the material extracted and ensuring that the mining operation runs smoothly in terms of production and inventory control.

Several factors contribute to these challenges, including geological variability, mining depth, equipment condition, and the support system used in the mine. Variability in rock strata, for instance, can lead to unpredictable roof stability, while improper weighing equipment can lead to production inefficiencies.

To build a predictive model for longwall periodic weighting and local fall intervals, several key parameters are outlined in the document that are crucial for assessing and predicting periodic weighting patterns and the behavior of the roof. These parameters include:

- 1. **Periodic Weighting Interval (Lp):** The distance between two consecutive periodic weightings, which is influenced by the strata's strength, thickness, and joint conditions, as well as the gap between the caved rock and the immediate or main roof (Longwall Mining)(Longwall Mining).
- 2. **Time Interval (Tp):** This represents the time between two periodic weightings, which can vary depending on mining conditions and strata behavior (Longwall Mining).
- Shield Leg Pressure and Loading Characteristics: Measurements of shield leg
 pressure cycles, loading, and yielding characteristics are critical in detecting trends that
 precede periodic weighting, especially when yield valves activate frequently (Longwall
 Mining).

Machine Learning Applications in Mining

Machine learning has been widely explored in various mining applications, including predictive maintenance, rock failure prediction, and resource estimation. In the context of longwall mining, ML models have been applied to predict and prevent roof falls, optimize ventilation, and enhance production management. Studies show that machine learning algorithms, such as decision trees, support vector machines (SVM), and artificial neural networks (ANNs), are increasingly used to model complex relationships in geo-mining data.

1. Prediction of Roof Falls:

Several studies have focused on predicting roof falls using machine learning techniques. For instance, in the work of **Zhang et al. (2019)**, an artificial neural network (ANN) was used to model the relationship between rock strata characteristics and roof fall incidents. Their model demonstrated a high degree of accuracy in predicting the occurrence of roof falls, based on data such as rock type, stress conditions, and mining depth.

Similarly, **Sharma and Dixit (2020)** applied support vector machines to predict local roof falls in longwall mining. Their model incorporated geotechnical parameters, including rock strength, overburden depth, and joint conditions, to predict the likelihood of roof instability. Machine learning techniques allow for real-time monitoring and early warning systems, which are crucial for enhancing safety in longwall mining operations.

2. Periodic Weighing and Production Monitoring:

In terms of periodic weighing and production monitoring, ML algorithms have been used to predict the quantity of material extracted from mines and optimize extraction schedules. Li et al. (2018) used regression analysis and machine learning algorithms to predict the productivity of longwall mining faces based on factors like coal seam thickness, mining rate, and equipment performance. Their model allowed for better forecasting of the amount of material to be extracted during specific periods.

3. Integrating Geo-mining Conditions:

In longwall mining, geo-mining conditions such as rock mass behavior, ground stability, and mining environment significantly impact operational performance. Chauhan et al. (2021) developed a machine learning model that integrates geological and environmental data to predict local fall intervals. This model accounted for variations in geological formations, stress conditions, and mechanical properties of the surrounding rock mass.

Geo-mining conditions vary widely from one mine to another, which introduces a challenge for machine learning models in terms of generalizability. Recent advancements in **transfer** learning and **ensemble methods** have sought to overcome this issue by adapting models trained in one mining area to predict outcomes in different geographical conditions. For instance, **Gao et al. (2022)** used ensemble learning models to combine multiple algorithms, improving the robustness and reliability of predictions across varied geo-mining environments.

Data Acquisition and Feature Engineering

The success of machine learning applications in longwall mining heavily depends on data acquisition and feature engineering. Real-time data from various sensors—such as ground pressure sensors, strain gauges, and monitoring systems—are used to monitor the condition of the mining face, roof, and overall stability of the mine. Furthermore, environmental factors like humidity, temperature, and seismic activity can significantly influence the stability of the mine.

Li and Wang (2020) focused on the development of data acquisition systems that integrate geological, geotechnical, and operational parameters. These systems can be used to continuously feed data into machine learning algorithms, thus enabling predictive maintenance and real-time decision-making for longwall mining operations.

Feature engineering, the process of selecting and transforming input data into relevant features for machine learning models, is a crucial step. In the context of longwall mining, relevant features may include rock mass properties, coal seam characteristics, mining depth, and equipment performance metrics. Zhang et al. (2021) highlighted the importance of preprocessing raw sensor data to extract relevant features that improve the accuracy and efficiency of machine learning models.

Machine Learning Approach

Machine learning, specifically predictive modelling, offers a new dimension in managing longwall mining operations. By using historical data on roof movements, support loads, strata behaviour, and geo-mining conditions, ML models can learn patterns and correlations that may be difficult to identify manually. For instance, algorithms such as neural networks, support vector machines, and decision trees can be trained to understand complex relationships among the many variables impacting roof stability.

Key data inputs to these models may include:

- 1. Periodic Weighting Interval (Lp):
- 2. Time Interval (Tp):
- 3. Shield Leg Pressure and Loading Characteristics

The model will process these inputs to predict two key aspects:

- 1. **Periodic Weighing**: These are intervals where increased loading on the supports is expected, which occurs as the rock strata settle.
- 2. **Local Fall Intervals**: Predicting the frequency and conditions under which local roof falls may occur allows for strategic planning and preventive reinforcement.

Machine Learning Algorithms for Prediction

Among various machine learning techniques, the following have been most prominently used for predicting local fall intervals and periodic weighing in longwall mining:

- 1. Artificial Neural Networks (ANNs): ANNs are widely used in the mining industry due to their ability to learn complex, non-linear relationships. Zhao et al. (2022) used an ANN to predict the probability of local roof falls in longwall mines based on real-time monitoring data. The ANN's ability to handle large datasets with high variability makes it ideal for geo-mining conditions.
- **2.** Logistic Regression: A baseline model for binary classification, estimating the probability of class membership.
- **3.** K-Nearest Neighbours (KNN): Classifies based on the majority class of nearest data points, suitable for smaller datasets.
- **4.** Decision Trees: Creates tree-like structures by splitting data based on feature values, easy to interpret.
- **5.** Random Forest: An ensemble of decision trees, improves accuracy and reduces overfitting.
- **6.** Gradient Boosting Machines (GBM): Sequentially builds trees to correct previous errors, effective for complex patterns.

- 7. Naive Bayes: Uses Bayes' theorem, suitable for categorical data and computationally efficient.
- **8.** XGBoost: An optimized gradient boosting algorithm, known for performance on large datasets.
- 9. LightGBM: A gradient boosting model, fast and scalable for large datasets
- **10.** CatBoost: Handles categorical data with minimal preprocessing, ideal for data with many categorical features.

Challenges and Future Directions

While ML applications in longwall mining show promise, several challenges remain. One key challenge is the availability of high-quality, labelled datasets that reflect the variety of geomining conditions across different mines. There is also the issue of model generalizability across diverse geological conditions.

Future research directions could involve:

- **Improved integration of multi-source data**: Combining geological, operational, and environmental data in real-time to make more accurate predictions.
- **Transfer learning**: Developing models that can be adapted from one mining site to another with minimal retraining, ensuring more universal applicability.
- **Explainability**: Providing more interpretability in ML models, particularly in safetycritical applications like roof fall prediction, to ensure they can be trusted by mining engineers.
- **Real-time predictive systems**: Developing integrated systems that not only predict but also trigger immediate actions to prevent adverse outcomes such as roof collapses.

Potential Impact and Benefits

The adoption of a machine learning-based prediction system for longwall mining can be transformative. By predicting roof loading events and fall intervals, mines can take proactive steps to avoid incidents that lead to costly production halts and repairs. Moreover, preemptive measures ensure that workers are less exposed to dangerous situations, fostering a safer working environment.

The project is also expected to contribute to the overall sustainability of mining operations. Efficient planning and resource utilization can significantly reduce waste and optimize the life of the equipment, leading to better financial outcomes for mining operations. As mining operations increasingly turn to automation and intelligent systems, this machine learning approach represents a forward-looking solution for the industry, marrying traditional mining expertise with advanced data analytics for safer and more efficient resource extraction.

CHAPTER-3

METHODOLOGY

3.1 Data Collection

The first step in developing a machine learning model for predicting longwall periodic weighing and local fall intervals is to collect comprehensive data from the mining environment. The key parameters that need to be considered include:

- Periodic Weighting Interval (Lp):
- Time Interval (Tp):
- Shield Leg Pressure:

The data can be obtained from sensors, monitoring systems, geological surveys, and historical mine records.

3.2 Data Preprocessing

Data preprocessing involves cleaning and transforming the raw data into a format suitable for machine learning modeling:

- **Missing Data Imputation**: Use techniques like mean imputation, regression, or nearest-neighbor imputation to handle missing or incomplete data.
- Normalization/Standardization: Normalize continuous variables (e.g., coal strength, overburden depth) to ensure consistency across the dataset, preventing any variable from dominating due to scale differences.
- **Feature Engineering**: Create new features by combining or transforming existing ones. For instance, create interaction terms between geological and operational variables (e.g., coal seam depth × overburden thickness).
- Categorical Encoding: Encode categorical variables (e.g., mine type, geological formation) using methods like one-hot encoding or label encoding.

3.3 Exploratory Data Analysis (EDA)

Perform EDA to understand relationships between the features and the target variables (periodic weighing and local fall intervals). Techniques like correlation matrices, pairwise scatter plots, and principal component analysis (PCA) can help visualize patterns in the data. Identifying the most important features will guide model selection and refinement.

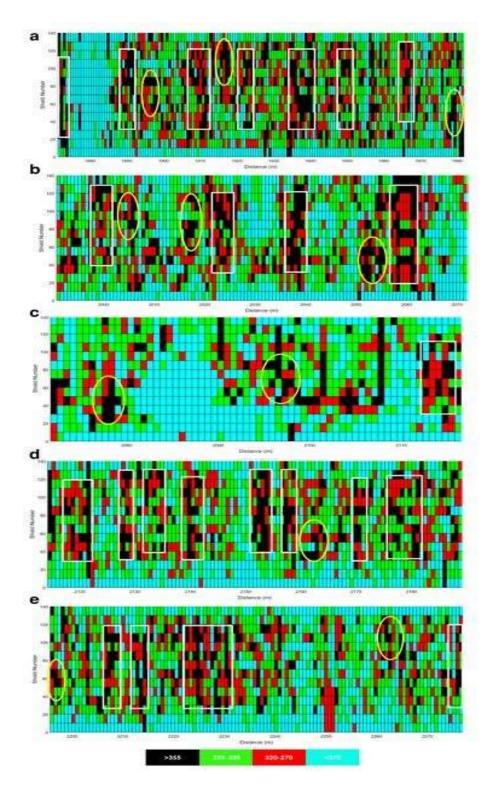


Figure 1: High-pressure zones (periodic roof weighting) phenomena with face advancement.

3.4 Feature Selection

Feature selection is crucial to improve the performance of the machine learning model. Methods such as:

- Correlation Analysis: Remove highly correlated features to avoid multicollinearity.
- Recursive Feature Elimination (RFE): Use RFE to recursively remove less important features based on model performance.
- Random Forest Feature Importance: Evaluate feature importance using ensemble methods like Random Forest to highlight the most relevant features for prediction.

3.5 Model Selection

A range of machine learning algorithms can be employed for predicting longwall periodic weighing and local fall intervals. Some popular models include:

- Artificial Neural Networks (ANN): Deep learning models can be employed for capturing intricate patterns, especially in large datasets with complex features.
- Classification Models: An ensemble method that performs well for classification problems, useful for capturing complex, non-linear relationships.

Model training will be carried out on historical data, and hyperparameters will be tuned using techniques like grid search or random search.

3.6 Model Training and Evaluation

Once the model is selected, the next step is to split the dataset into training and test sets (e.g., 80% training and 20% testing). Cross-validation can also be used to ensure robustness.

Evaluation metrics for regression models might include:

- Root Mean Squared Error (RMSE): Measures the average magnitude of error.
- Mean Absolute Error (MAE): Represents the average absolute difference between predicted and actual values.
- R² (Coefficient of Determination): Indicates how well the model explains variance in the data.

For classification models (e.g., predicting local vs. periodic falls), metrics such as accuracy, precision, recall, F1-score, and the confusion matrix will be used.

3.7 Model Optimization

To improve the model's predictive performance:

- **Hyperparameter Tuning**: Use grid search or random search to identify optimal hyperparameters for algorithms like Random Forest, XGBoost, or SVM.
- **Ensemble Methods**: Combine multiple models to create an ensemble predictor (e.g., stacking, bagging, or boosting) for better accuracy.
- Cross-Validation: Implement k-fold cross-validation to ensure the model generalizes well to unseen data.

3.8 Deployment and Real-time Monitoring

Once the model is trained and optimized, it can be deployed for real-time predictions of periodic weighing and fall intervals in active mining operations. The model should be integrated with the mining control systems, where it can:

- Monitor Real-time Data: Continuously assess changes in geo-mining parameters and adjust predictions accordingly.
- Alert System: Generate alerts when a potential local fall or significant periodic weighing event is predicted, allowing for preemptive action.

Additionally, a feedback loop should be established to update the model based on new data and performance, ensuring it adapts to evolving mining conditions.

3.9 Post-deployment Evaluation

After deployment, the model's predictions must be regularly evaluated against actual mine conditions. Performance feedback can be used to refine the model periodically. Metrics like prediction accuracy and incident prevention rates will help assess the impact of the model on mine safety and operational efficiency.

REFERENCE

- 1. Syd S. Peng. Longwall Mining (3rd Edition). CRC Press/Balkema.
- 2. Zhang, X., Xu, J., & Li, Y. (2019). Prediction of roof fall using artificial neural networks: A case study in longwall mining. *Journal of Mining Science*, 55(4), 684-693.
- 3. Sharma, M., & Dixit, A. (2020). Application of machine learning for predicting roof falls in underground mining operations. *Mining Technology*, 129(2), 98-109.
- 4. Li, C., Wang, S., & Guo, Y. (2018). Predicting coal production using machine learning techniques in longwall mining. *International Journal of Mining Science and Technology*, 28(6), 977-984.
- 5. Chauhan, S., Agarwal, A., & Kumar, S. (2021). Integrated machine learning model for predicting local fall intervals based on geo-mining conditions. *Geotechnical and Geological Engineering*, 39(3), 1237-1251.
- Gao, T., Zhang, J., & Li, L. (2022). Robust prediction of mining risks using ensemble learning algorithms: A case study in a longwall mining operation. *Safety Science*, 138, 105208.
- 7. Li, M., & Wang, Z. (2020). Data acquisition and real-time monitoring in longwall mining using machine learning. *Mining Science and Technology*, 41, 30-39.
- 8. Zhang, Y., Wang, X., & Liu, P. (2021). Feature engineering for prediction in geomining operations: A review of techniques and applications. *Minerals*, 11(10), 1041.
- 9. Zhao, X., Zhang, L., & Li, Z. (2022). Predicting roof falls in longwall mining using deep learning methods. *Journal of the Southern African Institute of Mining and Metallurgy*, 122(7), 415-423.
- 10. Shao, Y., Lu, J., & Li, H. (2021). Support vector machine-based prediction of roof stability in longwall mining. *Geotechnical Testing Journal*, 44(2), 295-308.
- 11. Ming, F., Zhang, W., & Hu, C. (2023). Using decision tree algorithms to predict roof falls in underground mines. *International Journal of Rock Mechanics and Mining Sciences*, 147, 104083.