

# **Programming Turing Machine example**

**Everything is an integer or string**

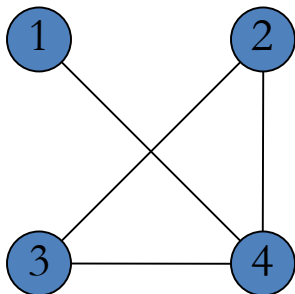
**Enumerators**

# Programming Turing Machines

$$L_4 = \{\langle G \rangle : G \text{ is a connected undirected graph}\}$$

Q: How do we feed a graph into a Turing Machine?

A: We represent it by a string, e.g.



$(1, 2, 3, 4) ((1, 4), (2, 3), (3, 4) (4, 2))$

**Convention for describing graphs:**

(nodes) (edges)

**no** node must repeat

edges **are** pairs  $(\text{node}_1, \text{node}_2)$

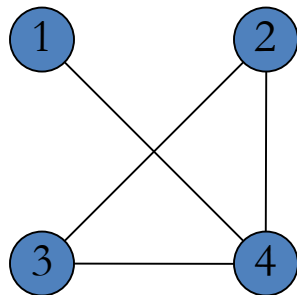
# Programming Turing Machines

**To check if  $\langle G \rangle$  is in  $L_4$ :**

0. Verify that  $\langle G \rangle$  is the description of a graph  
(no vertex repeats; edges only go between nodes)
1. Mark the first node of  $G$  (*with dot on top*)
2. Repeat until no new nodes are marked:  
For each node, mark it if it is attached to an already marked node:
  - a) Scan to find an undotted node  $v$  and mark it (*by underlining*)
  - b) Scan again to find a dotted node  $u$  and underline it, too
  - c) Scan edges to see if two underlined nodes are in one edge  
if they are, remove underlines, dot  $v$ , go to a)
  - d) If there are no more edges, then move underline from  $u$  to next dotted node, go to c).
3. If all nodes are marked accept, otherwise reject.

# Programming Turing Machines

$$L_4 = \{\langle G \rangle : G \text{ is a connected undirected graph}\}$$



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# Why the following is not a description of a legitimate Turing machine?

$M_{\text{bad}}$  = “On input  $\langle p \rangle$ , a polynomial over variables  $x_1, \dots, x_k$ :

1. Try all possible settings of  $x_1, \dots, x_k$  to integer values.
2. Evaluate  $p$  on all of these settings.
3. If any of these settings evaluates to 0, accept; otherwise, reject .”

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Answer:

The variables  $x_1, \dots, x_k$  have infinitely many possible settings.

A Turing Machine will need infinite time to try them all.

However, every stage of a TM description must be completed in a finite number of steps.

# Everything can be represented as Integers or Strings

- Data types have become very important as a programming tool.
- But at another level, there is only one type, which you may think of as integers or strings.

## Example: Text

- Strings of ASCII or Unicode characters can be thought of as binary strings, with 8 or 16 bits/character.
- Binary strings can be thought of as integers.
- It makes sense to talk about “the  $i$ -th string.”



# Binary Strings to Integers

- There's a small glitch:
  - If you think simply of binary integers, then strings like 101, 0101, 00101,... all appear to be “the fifth string” ( $2^2+1=5$ ).
- Fix by prepending a “1” to the string before converting to an integer.
  - Thus, 101, 0101, and 00101 are the 13<sup>th</sup>(1101), 21<sup>st</sup>(10101), and 37<sup>th</sup>(100101) strings, respectively.

## Example: Images

- Represent an image in (say) GIF.
- The GIF file is an ASCII string.
- Convert string to binary.
- Convert binary string to integer.
- Now we have a notion of “the  $i$ -th image.”

# Example: Proofs

- A formal proof is a sequence of logical expressions, each of which follows from the ones before it.
- Encode mathematical expressions of any kind in Unicode.
- Convert expression to a binary string and then an integer.

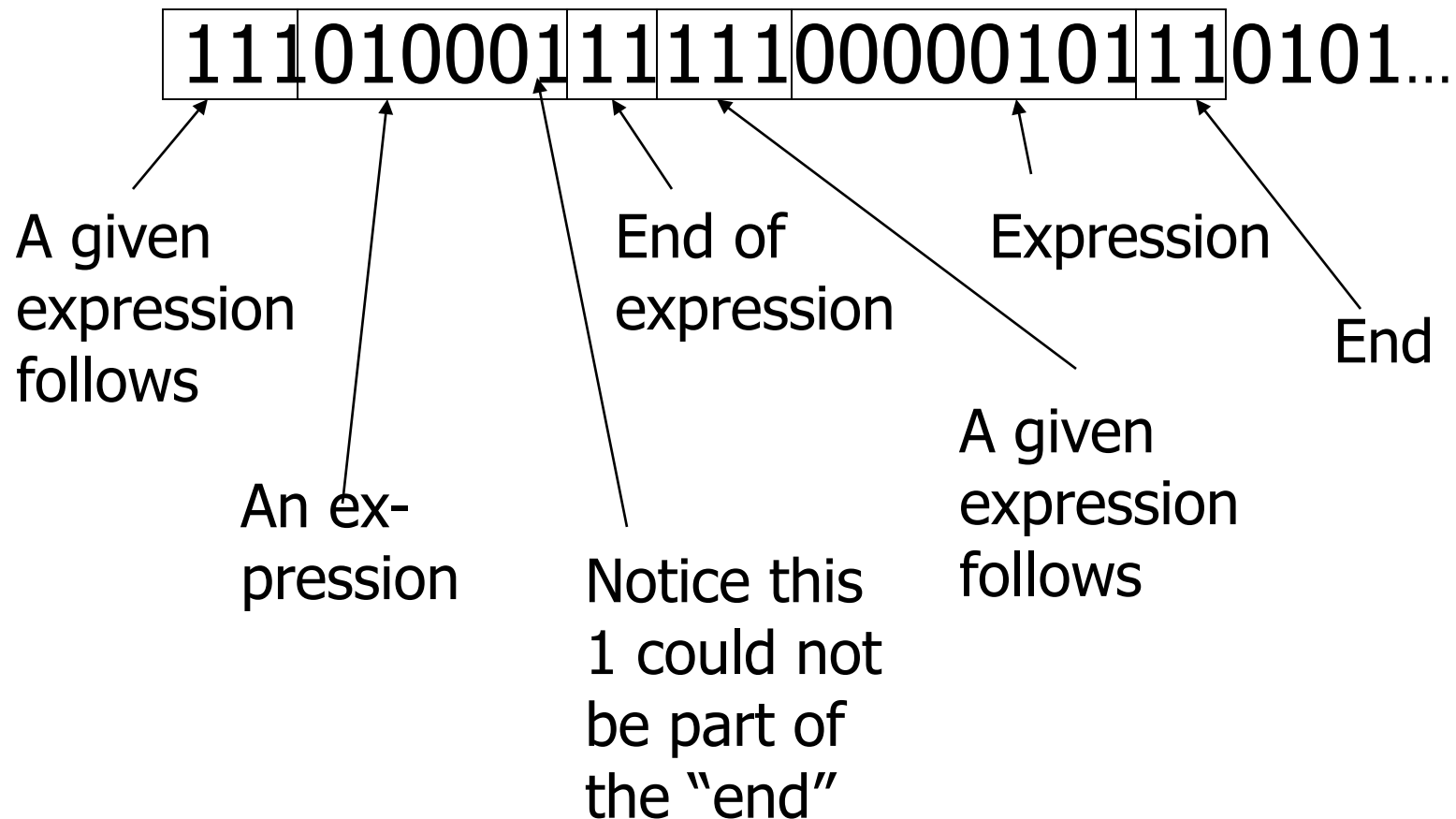
# Proofs – (2)

- But a proof is a sequence of expressions, so we need a way to separate them.
- Also, we need to indicate which expressions are given.

# Proofs – (3)

- Quick-and-dirty way to introduce new symbols into binary strings:
  1. Given a binary string, precede each bit by 0.
    - ◆ **Example:** 101 becomes 010001.
  2. Use strings of two or more 1's as the special symbols.
    - ◆ **Example:** 111 = “the following expression is given”;  
11 = “end of expression.”

# Example: Encoding Proofs



# Example: Programs

- Programs are just another kind of data.
- Represent a program in ASCII.
- Convert to a binary string, then to an integer.
- Thus, it makes sense to talk about “the  $i$ -th program.”
- Hmm...There aren't all that many programs.

# Finite Sets

- Intuitively, a *finite set* is a set for which there is a particular integer that is the count of the number of members.
- **Example:** {a, b, c} is a finite set; its *cardinality* is 3.
- It is impossible to find a 1-1 mapping between a finite set and a proper subset of itself.



# Infinite Sets

- Formally, an *infinite set* is a set for which there is a 1-1 correspondence between itself and a proper subset of itself.
- **Example:** the positive integers  $\{1, 2, 3, \dots\}$  is an infinite set.
  - There is a 1-1 correspondence  $1 \leftrightarrow 2, 2 \leftrightarrow 4, 3 \leftrightarrow 6, \dots$  between this set and a proper subset (the set of even integers).

# Countable Sets

- A *countable set* is a set with a 1-1 correspondence with the positive integers ( $\mathbb{N}$ ).
  - Hence, all countable sets are infinite.
- **Example:** All integers.
  - $0 \leftrightarrow 1; -i \leftrightarrow 2i; +i \leftrightarrow 2i+1$ .
  - Thus, order is 0, -1, 1, -2, 2, -3, 3,...
- **Examples:** set of binary strings, set of Java programs.

**Example:** Show that the set of finite strings  $S$  over the lowercase letters is countable.

**Proof:**

Show that the strings can be listed in a sequence.

1. First list all the strings of length 0 in alphabetical order.
2. Then all the strings of length 1 in lexicographic (as in a dictionary) order.
3. Then all the strings of length 2 in lexicographic order.
4. And so on.

This implies a bijection from  $\mathbf{N}$  to  $S$  and hence it is countable.

**Example:** The set of all Java programs is countable.

**Proof:**

Let  $S$  be the set of strings constructed from the characters which can appear in a Java program. Use the ordering from the previous example. Take each string in turn:

- Feed the string into a Java compiler. (A Java compiler will determine if the input program is a syntactically correct Java program.)
- If the compiler says YES, this is a syntactically correct Java program, we add the program to the list.
- Move on to the next string.

In this way we construct an implied bijection from  $\mathbb{N}$  to the set of Java programs. Hence, the set of Java programs is countable.

# Example: Pairs of Integers

- Order the pairs of positive integers first by sum, then by first component:
- $[1,1], [2,1], [1,2], [3,1], [2,2], [1,3], [4,1], [3,2], \dots, [1,4], [5,1], \dots$
- **Interesting fact:** this same proof means that **rational numbers** are countable.

# Enumerations

- An *enumeration* of a set is a 1-1 correspondence between the set and the positive integers.
- Thus, we have seen enumerations for strings, programs, proofs, and pairs of integers.

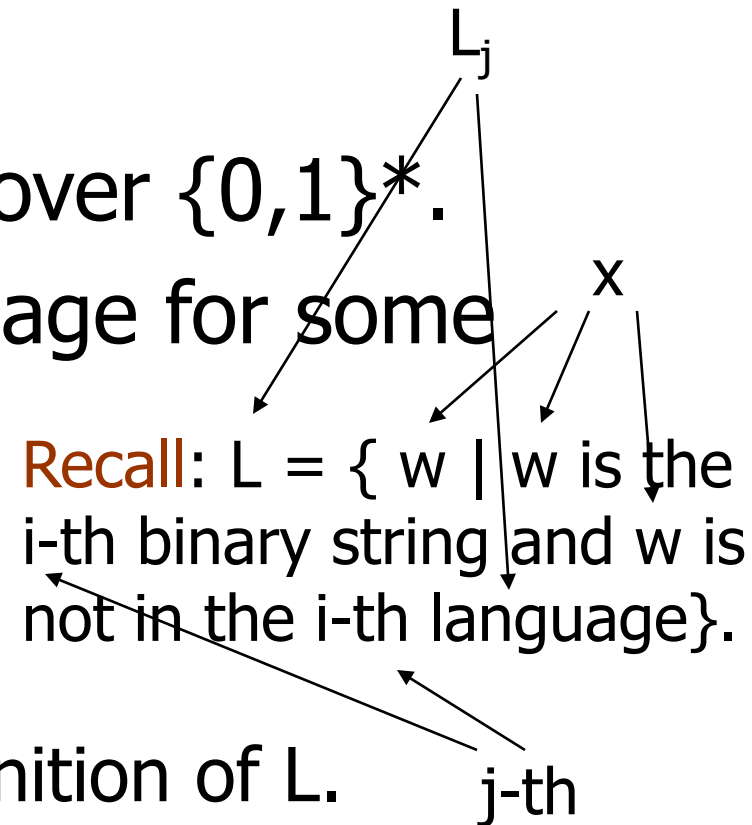
# How Many Languages?

- Are the languages over  $\{0,1\}^*$  countable?
- No; here's a **proof**.
- Suppose we could enumerate all languages over  $\{0,1\}^*$  and talk about “the  $i$ -th language.”
- Consider the language  $L = \{ w \mid w \text{ is the } i\text{-th binary string and } w \text{ is not in the } i\text{-th language} \}$ .

# Proof – Continued

- ◆ Clearly,  $L$  is a language over  $\{0,1\}^*$ .
- ◆ Thus, it is the  $j$ -th language for some particular  $j$ .
- ◆ Let  $x$  be the  $j$ -th string.
- ◆ Is  $x$  in  $L$ ?

- ◆ If so,  $x$  is not in  $L$  by definition of  $L$ .
- ◆ If not, then  $x$  is in  $L$  by definition of  $L$ .





# Diagonalization Picture

		Strings					
		1	2	3	4	5	...
Languages	1	1	0	1	1	0	...
	2		1				
	3			0			
	4				0		
	5					1	
	...						...

# Diagonalization Picture

Flip each diagonal entry

Strings

Can't be a row – it disagrees in an entry of each row.

Languages

	1	2	3	4	5	...
1	0	0	1	1	0	...
2		0				
3			1			
4				1		
5					0	
...						...

# Proof – Concluded

- We have a contradiction:  $x$  is neither in  $L$  nor not in  $L$ , so our sole assumption (that there was an enumeration of the languages) is wrong.
- Thus, there are (way) more languages than programs.
- E.g., there are languages with no membership algorithm.

# Non-constructive Arguments

- We have shown the **existence** of a language with no algorithm to test for membership, but we have not exhibited a particular language with that property.
- A proof that shows only that something exists without showing a way to find it or giving a specific example is a **non-constructive argument**.

# Turing-Machine Theory

- The purpose of the theory of Turing machines was to prove that certain specific languages have no algorithm.

ON COMPUTABLE NUMBERS, WITH AN APPLICATION TO  
THE ENTSCHIEDUNGSPROBLEM

*By* A. M. TURING.

[Received 28 May, 1936.—Read 12 November, 1936.]

The “computable” numbers may be described briefly as the real numbers whose expressions as a decimal are calculable by finite means. Although the subject of this paper is ostensibly the computable *numbers*, it is almost equally easy to define and investigate computable functions of an integral variable or a real or computable variable, computable predicates, and so forth. The fundamental problems involved are, however, the same in each case, and I have chosen the computable numbers for explicit treatment as involving the least cumbrous technique. I hope shortly to give an account of the relations of the computable numbers, functions, and so forth to one another. This will include a development of the theory of functions of a real variable expressed in terms of computable numbers. According to my definition, a number is computable if its decimal can be written down by a machine.

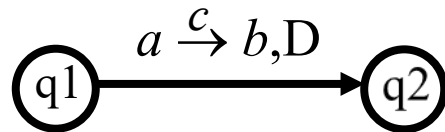
# Why Turing Machines?

- Why not deal with C programs or something like that?
- **Answer:** You can, but it is easier to prove things about TM's, because they are so simple.
  - And yet they are as powerful as any computer.
    - More so, in fact, since they have infinite memory.

# Variants of TM: Enumerators

An *enumerator* is a TM with two tapes: the *work tape* and the *printer*.

- Initially both tapes are blank.
- On the work tape it can read, write and move in either direction just as an ordinary TM.
- On the printer, it can only print strings.
- So that transitions look like



(if, in state  $q1$ , you see an  $a$  on the work tape, replace it with  $b$ , move in the direction  $D$  ( $D=L$  or  $D=R$ ), go to state  $q2$ , print  $c$  on the printer and move right)

# Enumerability vs Turing recognizability

**Theorem 3.21:** A language is Turing recognizable iff some enumerator enumerates it.

**Proof sketch.** Consider an arbitrary language  $L$ .

( $\Leftarrow$ ): Suppose  $E$  enumerates  $L$ . Construct a TM  $M$  that works as follows:

$M =$  “On input  $w$ :

1. Simulate  $E$ . Every time  $E$  prints a new string, compare it with  $w$ .
2. If  $w$  is ever printed, accept.”

( $\Rightarrow$ ): Suppose  $M$  recognizes  $L$ . Let  $s_1, s_2, s_3, \dots$  be the lexicographic list of all strings over the alphabet of  $L$ . Construct an enumerator  $E$  that works as follows:

- $E =$  “
1. Repeat the following for  $i=1, 2, 3, \dots$
  2. Simulate  $M$  for  $i$  steps on each of the inputs  $s_1, s_2, \dots, s_i$ .
  3. If any computations accept, print out the corresponding  $s_i$ .”