

The Word-Weavers

NLP Assignment 2- Report

Github- <https://github.com/RahulVC02/nlp-a2-N-grams.git>

Preprocessing the Reddit Comments Data

Given a huge raw dataset of reddit comments, the first step for any NLP pipeline is to pre-process the data so that we have a structured list of sentences. After observing the raw comments we performed the following steps before tokenizing them into sentences:

- 1) Removed the comments from the corpus which were deleted from Reddit.
- 2) Removed URLs from the comments.
- 3) Converted all the comments into lowercase.
- 4) Removed all symbols except `.,?!'` Because we will need these punctuation marks for the sentence tokenizer.

We do all the above steps using parallelization by utilizing the `joblib Parallel(n_jobs=8)` which reduces the pre-processing time drastically. Now, we concatenate the list of sentences using a full stop in between to make a complete corpus string. Here comes the second pre-processing step:

- 1) We remove `.,?!'` As we didn't remove them earlier.
- 2) We realized that two random usernames are used a lot in all the comments ('x000d' and 'x200b') so we removed all occurrences of them as they didn't give any context.
- 3) We also removed extra spaces (more than 1).
- 4) Again after parallelizing this pre-processing, we removed the empty sentences, and put them in a panda series which is our corpus.

Even after such involved pre-processing and data cleaning, one of the most important steps is executed on initializing the NGram Language Model class. We also need to add `<s>` and `</s>`, which are the start of sentence and end of sentence tokens, respectively. Depending on the value of N, we add these tokens at the start and end of each and every sentence.

Why Are We Reporting Log Perplexity

First we tried to calculate the perplexity of the individual sentences and took the average of all to find the total perplexity. It turns out that the probabilities of n-grams are very less and their product of them is even lesser. In Python, due to the limitation of representing floating point digits, it just gives 0 if it is a very small number. Perplexity is the inverse of the product which is not deterministic in this case ($1/0$). Due to this, we calculate the $\log(\text{perplexity})$, because it converts the perplexity expression into the sum of $\log(\text{probability})$. The advantages of this transformation are:

- 1) First of all we can say that the trends will be the same as perplexity as logarithm function is a monotonically increasing function. That's why we have displayed the $\log(\text{perplexity})$ trends to better understand the difference in values.
- 2) $\log(\text{number})$ where the number is very close to 0 gives a significant negative number and then we sum those numbers. Here we remove the chances of underflow and make calculations faster because addition is faster than multiplication.

To check the perplexity value we can just raise the $\log(\text{perplexity})$ to the power of 10, but the trends are better visible in $\log(\text{perplexity})$. $\log(\text{perplexity})$ can easily be calculated by taking a logarithm to the base 10 on both sides of the perplexity formula. This brings down the $(-1/N)$ exponent and converts the product of N probabilities into the sum of logarithms of N probabilities.

See the below example for better understanding:

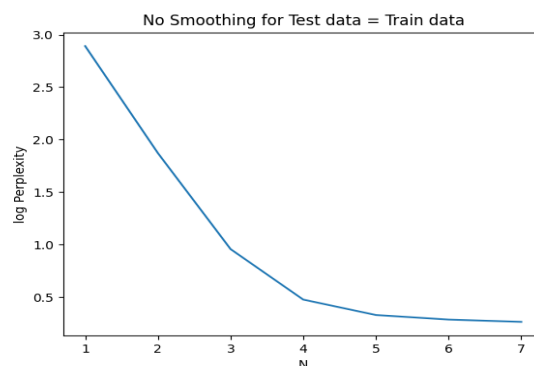
```
No. of Sentences in Train Data : 235762
No. of Sentences in Test Data : 235762
Smoothing : None

Unigram Log Perplexity: 2.8932259506731124
Bigram Log Perplexity: 1.8888649666089294
Trigram Log Perplexity: 0.9834132205945932
Quadgram Log Perplexity: 0.49298941944774927
Pentagram Log Perplexity: 0.3384700055681343
Hexgram Log Perplexity: 0.2926395193667191
Septagram Log Perplexity: 0.2701928531189098

Unigram Perplexity: 782.0345684000403
Bigram Perplexity: 77.42210350233003
Trigram Perplexity: 9.625276643998184
Quadgram Perplexity: 3.111640528460926
Pentagram Perplexity: 2.1800678261869577
Hexgram Perplexity: 1.9617312906623092
Septagram Perplexity: 1.86291420003099
```

Without Smoothing

Adding **no smoothing** gives predictable results when train data is the same as test data, where perplexity decreases as we move from unigram to bigram to trigram, etc. This validates the working of our n -gram models. However, when we make the test set separate from the training set, perplexity comes out as **infinity**, which suggests for some sentences, the estimated probability came as **0**, which is understandable as not all n -grams of the test set are present in the training set. However, it is interesting to note that the probability is not 0 in unigrams as long as the training data contains the entire vocabulary for custom test sets.



No-smoothing with different test-train data

```

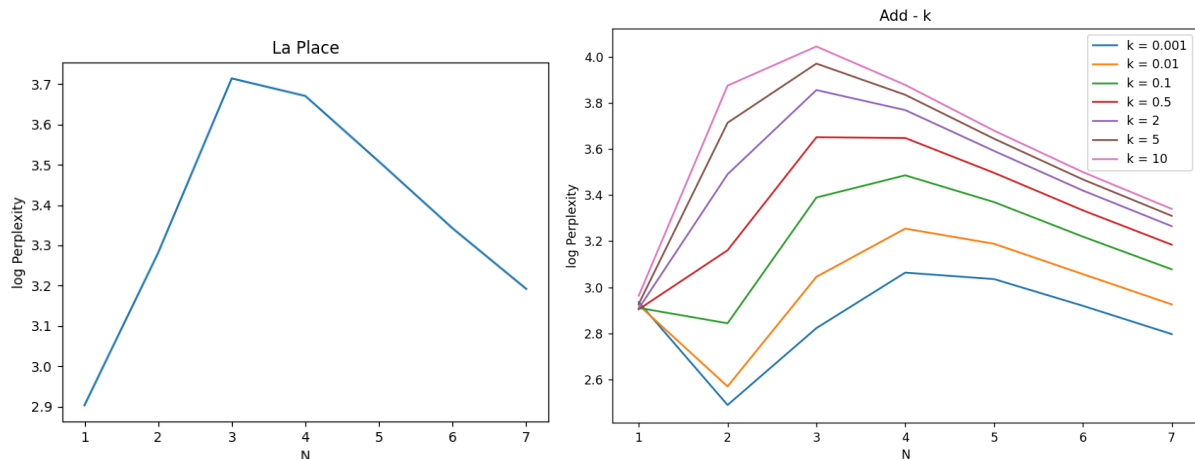
No. of Sentences in Train Data : 188609
No. of Sentences in Test Data : 47153
Smoothing : None
Unigram Log Perplexity: Infinite
Bigram Log Perplexity: Infinite
Trigram Log Perplexity: Infinite
Quadgram Log Perplexity: Infinite
Pentagram Log Perplexity: Infinite
Hexagram Log Perplexity: Infinite
Septagram Log Perplexity: Infinite

```

With Smoothing

Laplace and Add-K Smoothing

With Laplace smoothing, and train:test = 80:20 split, we were able to obtain finite perplexities for every ngram model.



With **Laplace** and **Additive** smoothing, a problem was observed while the perplexities were not infinity for other models; the perplexity for bigrams and trigrams was larger than that of unigrams. This is because the conditional probabilities in n-gram, which were otherwise very large for n-grams occurring both in train and test data, are reduced drastically in Laplace smoothing, which made probabilities of sentences with Laplace smoothing relatively very low and, in turn, increased the perplexity. This is because laplace smoothing adds 1 in the numerator and size of vocabulary in the denominator. Now, the original numerator is already small because the test set has many phrases that never appeared in the train set.

To implement this, we applied the following rule-

```
if(self.smoothing is None):
    try:
        prob = ngram_count / n_minus_one_gram_count
        return prob
    except ZeroDivisionError:
        return None
elif(self.smoothing == 'laplace'):
    return (ngram_count + 1) / (n_minus_one_gram_count + self.vocab_size)
else:
    return (ngram_count + self.smoothing) / (n_minus_one_gram_count + self.vocab_size * self.smoothing) #AI
```

Another important observation is that the training set is not the best representative of the whole corpus because reddit comments do not have greater context as compared to some general text like Wikipedia- it isn't necessary at all for two comments to have similar patterns of bigram, trigram sequences while they can still be made of the same words because the English vocabulary is common to both. Moreover, the dataset size is quite small. Statistical models like ngrams sometimes need larger and more general text datasets like the Wikipedia corpus to report better results.

One intuitive explanation for Unigram perplexity being smaller than bigram and trigram perplexity is that both train and test data share a similar vocabulary distribution (they are made up of a similar set of words), however their bigram and trigram sequences/patterns are quite different. On smoothing, we add a $|V|$ term in the denominator for all bigram and trigram probabilities. Because the bigram and trigram sequence distribution in train and test data is very different, a majority of the bigram and trigram probabilities turn out to be $1/(|V| + \text{count}(w_i))$. In contrast, the unigram probabilities are greater because while the denominator is still $= N + |V|$, the numerator $= c(w_i)$ can be very large because the isolated word will appear many times in train and test. In contrast, the bigram/trigram patterns need not. So, the unigram probabilities turn out to be higher, leading to lower perplexity scores.

Only with a very low value of k (to the order of $k = 0.01$) were favorable results obtained for even bigrams, but for other models, perplexity was still greater than that of unigrams. For smaller values of k , we observe better log-perplexity scores. An intuitive explanation for this is that *add-k* adds k to the numerator and $k*V$ to the denominator, where V is the size of the vocabulary. For larger values of k , the increase in the denominator can outweigh the increase in the numerator. This significantly reduces the probability values leading to higher perplexity scores. This is similar to the results obtained in Laplace smoothing as Add-k smoothing is equivalent to Laplace smoothing when $k=1$.

Good-Turing Smoothing

Good Turing smoothing gave relatively suitable results from other models as the perplexities decreased as we moved from unigram to larger models for both cases where test data was equal to training data and distinct from it. We tried two methods-

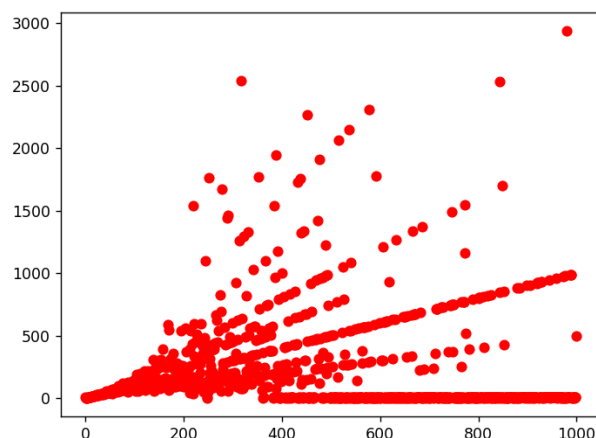
- 1) Unconditional probability for ngrams (exactly as in class slides)
- 2) Conditional probability for ngrams (additionally done by us)

To get smoothed counts for calculating probabilities, we applied the following rule:-

```
def goodTuring_ngram_count(ngram, count_gram_dict, freq_dict, tot_grams):  
    c = count_gram_dict.get(ngram,0)  
    if c > 100 or freq_dict.get(c+1,0)==0:    #done for maintaining consistency after the  
                                                #although for our set freq[c+1] was never 0  
        return c - 0.75  
    elif (c == 0):  
        return freq_dict[1]/min((tot_grams - len(count_gram_dict)),1e6)  
    else:  
        return (c+1)*freq_dict.get(c+1,0)/freq_dict[c]
```

Also, we used the empirical formula of $c^* = c - 0.75$ for large c and faced no discrepancies issued in $0 \leq c \leq 100$. In unigrams or bigrams, inconsistencies didn't show up before around 250. Still, since we were using models till the septagram, we kept a safe number of 100 for using this empirical formula, which was observed for very large corpora in class.

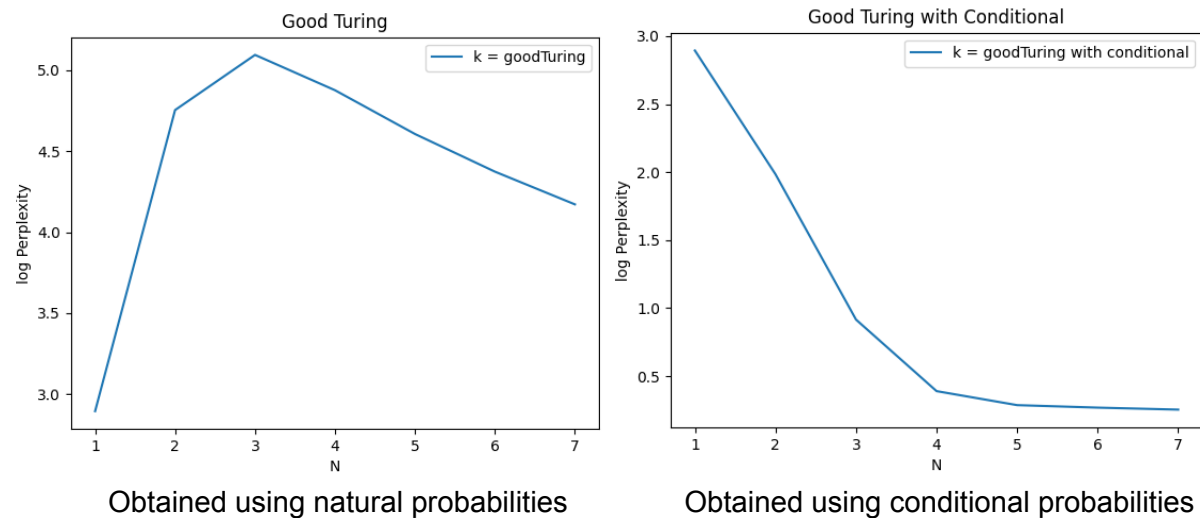
Below is the plot of smoothed Good-Turing count transformations with the x-axis as the c value and the y-axis as c^* , and as apparent, there are many inconsistencies with zero values for Good-Turing counts leading to zero probability values and infinite perplexity, justifying the use of the empirical formula.



The below plots are from using Good Turing Smoothing to calculate average perplexities with two methods. The left one uses the Good Turing approximation similar to what was studied in the class, without the above interpolation and without using conditional

probabilities to make calculations of Good Turing probabilities similar to what was done for other models.

On the other hand, the model on the right uses conditional probabilities to calculate the total probabilities of the sentence.



As is expected, the natural probabilities take no context into account as the denominator is equal to the total number of n-grams across the corpus. This naturally decreases the probability value drastically and results in having high perplexity scores.

Using conditional probabilities helps take into account context by equating the denominator to the Good-Turing count of the (n-1)-gram corresponding to the context words. This reduces the value of the denominator and increases the probability leading to low perplexity scores.

Final Results

The table below shows the comparison between the perplexity values associated with using different smoothing techniques on five n-gram models.

We have included four different k values as each depicts a unique trend in perplexity across the five n-grams.

Smoothing_Comparison - Notepad

| Smoothing Technique | Unigram | Bigram | Trigram | Quadgram | Pentagram |
|------------------------------|-------------------|--------------------|--------------------|-------------------|--------------------|
| None | Infinite | Infinite | Infinite | Infinite | Infinite |
| Laplace | 802.0037121953072 | 2098.250606781514 | 5724.499338212644 | 5148.408889264759 | 3520.8455246916355 |
| Additive : k=0.001 | 861.5054843579185 | 308.10580293122973 | 664.5090916484808 | 1157.406785646937 | 1085.143308791403 |
| Additive : k=0.1 | 813.9221091399056 | 697.3813075505217 | 2452.003028422765 | 3062.270345770293 | 2343.3212260863825 |
| Additive : k=0.5 | 802.3670485713718 | 1447.6644752812858 | 4480.923707835655 | 4448.161595527675 | 3139.4390683427164 |
| Additive : k=5 | 846.3522067867649 | 5178.349771410506 | 9356.664684741054 | 6848.001518286263 | 4415.404064336691 |
| Good Turing | 783.8116869301073 | 56674.53690510697 | 124174.40316155611 | 75130.77860503971 | 40331.81092111217 |
| Good Turing with conditional | 783.8116869301073 | 96.55748047867924 | 8.24342509409292 | 2.455955268213162 | 1.9375492310395537 |

This is a tabular representation of the same results depicted in the plot diagrams and the inferences/reasoning behind these results has been discussed in detail above.

Contributions

1. Dhairya Shah - Worked on complete pre-processing, and formulated the documentation. Also helped in implementing a few methods in the N-gram language model class.
2. Yashraj Deshmukh - Worked on implementing smoothing methods for ngram models. Experimented with using an interpolation function for more suitable values for Good Turing counts.
3. Shubh Singhal - Worked on implementing the different smoothing methods to apply to the different n-gram models, including making the methods compatible with the different language models and debugging the model.
4. Rahul Chembakasseril - Worked on ideating for preprocessing, implementing some methods in the ngram pipeline.
5. Bhavesh Jain- Worked on implementing the functions in utils.py which were helpful in forming the count dictionaries (frequency distributions).
6. Sukruta Midigeshi - Worked on optimizing the preprocessing pipeline via parallelization, and implemented few functions in the ngram pipeline.
7. Chirag Sarda - Worked on plotting some of the different perplexity results and making observations out of them.
8. Arun Mani- Worked on the analysis of results and drawing logical inferences from the observed results.
9. Mihika Jadhav - Worked on the documentation and implemented some code modifications to incorporate the smoothing techniques.