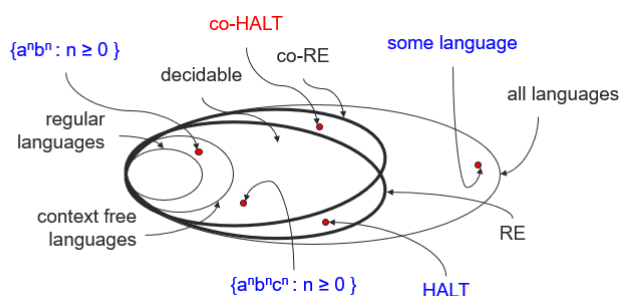


第9章 可判定性和复杂度

语言之间的包含关系



停机问题不可判定

Theorem: HALT is not decidable (undecidable).

Proof:

- Suppose TM **H** decides HALT
 - if M halts on x , H accept
 - if M does not halt on x , H reject
- Define new TM **H'**: on input $\langle M \rangle$
 - if H accepts $\langle M, \langle M \rangle \rangle$, then loop
 - if H rejects $\langle M, \langle M \rangle \rangle$, then halt
- consider H' on input $\langle H' \rangle$:
 - if it halts, then H rejects $\langle H', \langle H' \rangle \rangle$, which implies it cannot halt
 - if it loops, then H accepts $\langle H', \langle H' \rangle \rangle$, which implies it must halt
- contradiction. Thus neither H nor H' can exist

a language L is decidable if and only if L is RE and L is co-RE

A natural non-RE Language: the complement of HALT is not recursively enumerable

复杂度

注意: 现在开始讨论的都是可判定的问题!

大O表示法

语言是串的集合，而 **complexity class** 是语言的集合；我们已经见到的complexity classes有：

Regular Languages, Context-Free Languages, Decidable Languages, RE Languages, co-RE languages

时间复杂度

Definition: Time complexity class

$$\text{TIME}(t(n)) = \{L \mid \text{there exists a TM } M \text{ that decides } L \text{ in time } O(t(n))\}$$

单带的时间复杂度和多带的时间复杂度不等价：

Theorem: Let $t(n)$ satisfy $t(n) \geq n$. Every $t(n)$ multitape TM has an equivalent $O(t(n)^2)$ single-tape TM.

多项式时间 P

Definition: $\text{TIME}(t(n)) = \{L \mid \text{there exists a TM } M \text{ that decides } L \text{ in time } O(t(n))\}$

Definition: “P” or “polynomial-time” is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing Machine.


$$P = \bigcup_{k \geq 1} \text{TIME}(n^k)$$

非确定多项式时间 NP

Definition: $\text{NTIME}(t(n)) = \{L \mid \text{there exists a NTM } M \text{ that decides } L \text{ in time } O(t(n))\}$

$$NP = \bigcup_{k \geq 1} \text{NTIME}(n^k)$$

多项式时间可验证



Poly-Time Verifiers

- $NP = \{L \mid L \text{ is decided by some poly-time NTM}\}$
- Very useful alternate definition of NP:
Theorem: language L is in NP if and only if it is expressible as:
$$L = \{x \mid \exists y, |y| \leq |x|^k, \langle x, y \rangle \in R\}$$
where R is a language in P.
- **poly-time** TM M_R deciding R is a “**verifier**”

“certificate”
or “proof”

efficiently
verifiable

$L \in NP$ iff. $L = \{x \mid \exists y, |y| \leq |x|^k, \langle x, y \rangle \in R\}$

证明一个问题是NP的：构造NPC，或者用以上定理

归约

将问题A归约到问题B：可以用问题B解决问题A，证明B至少比A要难

形式定义

Definition: A is **mapping reducible** to B, written $A \leq_m B$, if there is a computable function f such that for all w

$$w \in A \Leftrightarrow f(w) \in B$$

- “yes maps to yes and no maps to no” means:

$$w \in A \text{ maps to } f(w) \in B$$

$$\& w \notin A \text{ maps to } f(w) \notin B$$

- f is called the **reduction** of A to B

归约函数必须是可计算的

Definition: $f: \Sigma^* \rightarrow \Sigma^*$ is **computable** if there exists a TM M_f such that on every $w \in \Sigma^*$ M_f halts on w with $f(w)$ written on its tape.

常见的不可判定问题

$$A_{TM} = \{ \langle M, w \rangle : M \text{ accepts input } w \}$$

$$HALT = \{ \langle M, x \rangle \mid \text{TM } M \text{ halts on input } x \}$$

$$E_{TM} = \{ \langle M \rangle : L(M) = \emptyset \}$$

对于接收/不停机/拒绝的再理解：

- 1) 如果该串w在该语言中，则图灵机必定会停机，并表示接收
- 2) 如果该串w不在该语言中，图灵机或不停机，或停机并告诉你 Reject

所以对于任意图灵机，我们都可以互换它的Accept和Reject，不仅限于Recursive。因为如果他表明了Accept或者Reject，则必定已停机；不停机的串虽然也不在该语言中，但是和Reject有所区别。